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2 **HIV-1 single transcription start site mutants display complementary replication**

3 **functions that are restored by reversion**

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22 Running head: Reversion of HIV-1 single TSS mutants

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25 **Abstract**

26 HIV-1 transcription initiates at two positions, generating RNAs with either ^{cap}1G or ^{cap}3G 5'
27 ends. The replication fates of these RNAs differ, with viral particles encapsidating almost
28 exclusively ^{cap}1G RNAs and ^{cap}3G RNAs retained in cells where they are enriched on
29 polysomes and among spliced viral RNAs. Here, we studied replication properties of virus
30 promoter mutants that produced only one RNA 5' isoform or the other: separately, in
31 combination, and during spreading infection. Results showed that either single start RNA
32 could serve as both mRNA and genomic RNA when present as the only form in cells,
33 although ^{cap}3G RNA was more efficiently translated and spliced while ^{cap}1G RNA was
34 packaged into nascent virions slightly better than RNAs from the parental virus. When co-
35 expressed from separate vectors, ^{cap}1G RNA was preferentially packaged into virions.
36 During spreading infection ^{cap}1G-only virus displayed only minor defects but ^{cap}3G-only
37 virus showed severe replication delays in both the highly permissive MT-4 cell line and in
38 primary human CD4+ T cells. Passage of ^{cap}3G-only virus yielded revertants that replicated
39 as well as the twinned (^{cap}1G+^{cap}3G) transcription start site parent. These revertants
40 displayed restored packaging and splicing levels and had regained multiple transcription
41 start site use.

42

43 **Importance**

44 HIV-1 generates two RNAs during its replication that differ by only two nucleotides in
45 length. Despite this very minor difference, the RNAs perform different and complementary
46 replication functions. When mutants that expressed only one RNA were forced to revert,
47 they regained functions associated with the second RNA.

48 **Introduction**

49 The generation of HIV-1 RNAs requires recruitment of host RNA polymerase II to a single
50 transcriptional promoter on integrated DNA. After transcription, a subset of HIV-1 RNAs is
51 exported from the nucleus without first being spliced while other RNAs undergo alternative
52 splicing to produce multiple viral mRNA species. Unspliced viral RNA plays two roles
53 essential for viral replication: serving as mRNA for viral Gag and Gag-Pol polyproteins or
54 becoming encapsidated into nascent virions as viral genomic RNA (gRNA).

55

56 Recently it was shown that the HIV-1 possesses a twinned promoter, with transcription
57 initiating at two distinct transcription start sites (TSS) separated by two nucleotides [1, 2].

58 As a result, two major viral precursor mRNAs are formed, ^{cap}1G RNA and ^{cap}3G RNA, that
59 differ in length by two nucleotides at their 5' ends. Surprisingly, this heterogeneous
60 transcription initiation is a major determinant of function for these two viral RNAs.

61 Specifically, essentially all the viral RNA packaged into virions has ^{cap}1G ends, whereas
62 ^{cap}3G RNAs are enriched among viral mRNAs associated with polysomes and spliced viral
63 mRNAs [1-3].

64

65 Despite differing by only two nucleotides, the 5' leaders of the two primary transcripts can
66 adopt drastically different structures [4, 5] (Fig.1A). The ^{cap}3G conformer predominantly
67 folds such that the dimerization initiation site (DIS) RNA palindrome is sequestered in a
68 double-stranded region, whereas both the major 5' splice site and 5' cap structure are
69 exposed. The accessibility of these elements is reversed in the conformer most readily
70 adopted by HIV-1 ^{cap}1G RNA, with the DIS loop exposed and the splice donor signal and 5'
71 cap sequestered by intramolecular interactions. Accessibility of the DIS loop is required for

72 gRNA dimerization and packaging [6, 7]. Thus, the folding propensities of the two RNA
73 isomers suggest that inefficient ^{cap}3G RNA packaging reflects the sequestration of its DIS,
74 while splicing and translation are enabled by the accessibility of the splicing signal and 5'-
75 cap [4]. Consistent with this model, RNAs with ^{cap}3G leaders fail to form dimers but
76 efficiently bind the translation initiation factor eIF4E *in vitro*, whereas ^{cap}1G RNAs dimerize
77 efficiently but bind eIF4E inefficiently [4, 5].

78

79 Recent studies have mapped the HIV-1 core promoter determinants of heterogeneous
80 transcription initiation [8, 9]. These findings showed that sequences adjacent to the TSS
81 and the distance between the CATA-box element and TSS play crucial roles in twinned
82 transcription initiation. This led to the identification of HIV-1 promoter mutants with
83 focused TSSs that initiate transcription from a single position [8]. Viruses generated by
84 these mutants, which produce only ^{cap}1G RNAs or only ^{cap}3G RNAs, show differing levels of
85 replication deficiency in CEM-SS cells. Specifically, whereas ^{cap}3G-only virus displays
86 severe defects when compared to the parental virus, ^{cap}1G-only virus replicates only
87 slightly less well than its wild type (WT) twinned TSS parent [8]. Note that throughout the
88 current report, 'WT' is used as shorthand for the parental NL4-3 strain of HIV-1, with its
89 twinned TSS promoter that produces both ^{cap}1G and ^{cap}3G RNAs, and the virions it
90 produces.

91

92 In the current study, the nature of replication defects of the single TSS mutant viruses were
93 examined. We determined that ^{cap}1G-only and ^{cap}3G-only mutant viruses differ in RNA
94 packaging, splicing, and translation efficiency. We compared the replication properties of
95 wild type and mutants in highly permissive MT-4 cells and in human primary CD4+ T cells

96 and also selected for revertants. Several revertants of the highly defective ^{cap}3G-only virus
97 were isolated that displayed restored replication efficiency. Analysis of these revertants
98 revealed that each had recovered the ability to generate multiple RNA 5' isoforms,
99 displayed improved packaging, and had restored splicing levels.

100

101 **Results**

102 **Both HIV-1 RNA 5' isoforms can serve as mRNAs**

103 Single TSS promoter mutants (Fig.1B) were introduced into a replication defective vector
104 that included the HIV-1 RNA leader, the *gag*, *gag-pol*, *tat* and *rev* genes, and a puromycin
105 resistance expression cassette in place of portions of *env* [5]. Both ^{cap}1G-only and ^{cap}3G-
106 only derivatives produced viral particles upon transient transfection, but ^{cap}3G-only virus
107 yields were ~2-fold higher than the ^{cap}1G-only vector (Fig.1C). Intracellular Gag levels were
108 compared by western blot analysis (Fig. 1D). After normalizing to β -actin, the data revealed
109 that cells with the ^{cap}3G-only vector contained ~1.5-fold more intracellular Gag than ^{cap}1G-
110 only, and that ^{cap}3G-only Gag levels were similar to those of WT (Fig.1E). When normalized
111 to intracellular Gag , virion release for WT and ^{cap}3G-only were indistinguishable, with a
112 possible minor but not significant decrease in virion release by ^{cap}1G-only (Fig.1F).

113

114 In summary, both HIV-1 RNA isoforms can serve as mRNAs when they are the only RNA
115 form in cells. However, consistent with previous findings of an enrichment of ^{cap}3G RNA on
116 polysomes [1], more Gag protein was produced and more virions were released by the
117 ^{cap}3G-only mutant compared to the ^{cap}1G-only mutant.

118

119 **Both RNA isoforms can be packaged and serve as genomic RNAs, albeit with differing**
120 **efficiencies**

121 Next we examined the extent to which each RNA isoform could be packaged when it was
122 the only RNA present. Cells were transiently transfected with either the WT or the single
123 TSS vectors described above. An RNase protection assay (RPA) was performed to compare
124 levels of viral RNA (annealed to a probe within the *gag* gene) relative to the amount of the
125 host 7SL RNA, which is packaged into virions in proportion to the viral Gag protein (Fig. 2A)
126 [10]. The results revealed that ^{cap1G}-only RNA was packaged slightly (~1.2-fold) better than
127 RNAs generated by the WT vector. In contrast, packaging of ^{cap3G}-only RNA was reduced
128 ~1.6-fold relative to WT vector RNAs, indicating that ^{cap3G} RNAs were packaged ~2-fold less
129 efficiently than ^{cap1G} RNAs (Fig. 2B).

130
131 Because HIV-1 virions ordinarily package ^{cap1G} RNAs, this is the only 5' isoform delivered to
132 newly infected cells. To test if early replication steps were as efficient for ^{cap3G} RNAs as for
133 ^{cap1G} RNAs, encapsidated WT, ^{cap1G}-only, and ^{cap3G}-only vectors were tested in a single
134 cycle infectivity assay. When normalized by the levels of reverse transcriptase activity (RT) in
135 the medium, viral particles generated by the ^{cap1G}-only vector showed a ~1.5-fold higher
136 puromycin resistant colony forming unit titer than those from the WT vector (Fig. 2C) – a value
137 similar to the ~1.2-fold higher level of ^{cap1G}-only vector RNA packaging observed above (Fig.
138 2B). However, virions from the ^{cap3G}-only vector showed an approximately 6-fold lower titer
139 than virions produced by the WT vector. This result indicates that ^{cap3G}-only vectors have
140 replication defects in addition to their modest packaging defects. This early defect is
141 consistent with a defect in viral DNA synthesis, and it has recently been shown that ^{cap3G}
142 RNAs serve less efficiently as reverse transcription templates than ^{cap1G} RNAs, both *in vitro*

143 [2] and during viral replication [10]. Also of note, although WT virus predominantly packages
144 ^{cap1G} RNA, about 15% of 293T cell-produced WT virions contain gRNAs with alternate 5' end
145 sequences [9, 11]. It is conceivable that the minor enhancement in ^{cap1G}-only virus titer
146 relative to WT is due to an absence of alternative 5' isoform packaging by this mutant relative
147 to the low level of non-^{cap1G} RNA packaged by the WT.

148

149 HIV-1 RNA packaging is notoriously promiscuous, in that RNA packaging element mutants
150 are well-packaged in the absence of WT competition [12-16]. Furthermore, whereas most
151 viral RNA in HIV-1 particles is unspliced and full-length, a small amount of packaged
152 spliced RNA can be detected, and spliced RNA packaging increases for mutants with RNA
153 dimerization and encapsidation defects [6, 17, 18]. Thus, to address the possibility that
154 some of the observed defects in ^{cap3G} RNA packaging might reflect enhanced spliced viral
155 RNA packaging, cell and virion RNAs were compared by RPA using a probe that spans the
156 major 5' splice site, D1 (Fig. 2D). The results indicated that spliced and unspliced viral
157 RNAs were readily detected in cells for each single TSS mutant and the WT vector, and
158 whereas modest differences in ^{cap3G} and ^{cap1G} RNA splicing levels have been reported [3],
159 these differences were not apparent by the less quantitative RPA approaches used here
160 (Fig. 2D, cells). In contrast, only unspliced RNAs were detected in virion RNA samples (Fig.
161 2D, virus). Thus, the observed diminution of packaging for ^{cap3G}-only was not a result of
162 excessive spliced RNA packaging, and packaging specificity for full-length gRNA was
163 retained by the ^{cap3G}-only mutant.

164

165 **Single virion analysis confirmed high-level ^{cap1G} RNA packaging**

166 Previous imaging work has shown that viral RNA is detectable in >90% of HIV-1 virions
167 produced by transfected cells, leaving open the possibility that a minor fraction of virions
168 does not contain gRNA [6]. Thus, a single viral particle fluorescent microscopy assay was
169 performed to address if the apparent elevated level of ^{cap1G} gRNA packaging per unit virion
170 protein observed above corresponded to a higher proportion of gRNA-containing viral
171 particles for the ^{cap1G}-only vector than for WT.

172

173 This analysis was achieved using a series of self-labeling Gag-YFP/MS2-mCherry reporter
174 viruses. Using these, particles were visualized by YFP and viral RNA was detected by the
175 presence of an MS2-mCherry fusion protein, which bound to MS2 binding sites on the viral
176 RNA (Fig. 3A). Collecting YFP and mCherry channels and finding colocalization of YFP and
177 mCherry signals in a cell-free punctum was indicative of a virion containing gRNA. As a
178 control, viral particles that had a deletion in NC, a viral protein needed in packaging (Δ NC),
179 showed no YFP colocalization with the mCherry signal.

180

181 Virions produced by transfection were purified, plated on glass coverslips, and imaged on
182 a widefield microscope (Fig. 3B). When ratios of mCherry to YFP per punctum were
183 determined, the results showed a slight (~1.1-fold) increase in MS2-mCherry labeled RNA
184 per punctum for ^{cap1G}-only relative to WT virus (Fig.3C, D, Table S1). Consistent with the
185 RPA data above, an ~1.7-fold increase in signal colocalization relative to ^{cap3G}-only virus
186 was observed (Fig. 3C, D, Table S1). Taken together, mCherry to YFP levels per punctum
187 were reduced ~1.5 and ~1.7-fold in ^{cap3G}-only viral particles relative to WT and ^{cap1G}-only
188 viruses, respectively (Fig.3D, Table S1). The 1.1-fold increase in Gag and viral RNA signal
189 co-localization for the ^{cap1G}-only virus was close to the 1.2-fold increase in viral RNA

190 packaging observed by RPA, providing further evidence that a larger proportion of ^{cap1G}-
191 only viral particles contain viral RNA than do WT virions. Similarly, the 1.5-fold decrease in
192 YFP and mCherry co-localization relative to WT matched the 1.6-fold decrease in ^{cap3G}-
193 only virus RNA packaging measured by RPA above.

194

195 **^{cap1G} RNAs readily out-compete ^{cap3G} RNAs for packaging**

196 The work above examined 5' isoform properties when each was the only HIV-1 RNA present
197 in cells. However, because both RNAs are present during natural HIV-1 infection,
198 experiments were also performed where the two single TSS mutants were co-expressed. A
199 packaging-defective Ψ^- helper that provided all HIV-1 proteins in *trans* was used to mobilize
200 TSS mutant vectors in which all HIV-1 coding regions were deleted (Minimal vectors) [5].
201 Viral particles were harvested from cells co-transfected with Ψ^- helper plus pairs of
202 Minimal vectors, and cell and virion RNA was assayed by RPA (Fig. 4A, B). In each Minimal
203 vector co-transfection, one of the two (Minimal Δ) contained a deletion in sequences that
204 do not contribute to packaging specificity. As a result, Minimal and Minimal Δ vector RNAs
205 protected different-sized riboprobe fragments that allowed separate identification of the
206 co-expressed vectors by RPA. Analysis of the RNAs in viral particles produced by co-
207 transfected Minimal plus Minimal Δ vector pairs revealed that the presence of ^{cap1G} RNA
208 effectively prevented ^{cap3G} RNA encapsidation, regardless of whether ^{cap1G} was expressed
209 by a Minimal or a Minimal Δ vector (Fig. 4A, B). Consistent with previous reports, Ψ^- helper
210 RNA was observed in virions from cells transfected with Ψ^- helper alone (Fig. 4A lane 9) but
211 all Minimal vectors efficiently outcompeted the Ψ^- RNA for packaging [13-17].

212

213 **Peak virus levels achieved during spreading infection by ^{cap}3G-only virus were lower**
214 **than those of ^{cap}1G-only in MT-4 cells and in primary cells**

215 Replication studies were performed using the infectious NL4-3 clone containing ^{cap}1G-only
216 and ^{cap}3G-only mutations. Previous work with these mutants in CEM-ss cells showed that
217 ^{cap}1G-only virus replication was only minimally delayed relative to WT, and that peak levels
218 of replication were observed 3-4 weeks post-infection. In contrast, ^{cap}3G-only virus
219 remained at low levels for the duration of these previous experiments [8].

220

221 Here, we used the highly permissive MT-4 cell line [19, 20] as well as stimulated primary
222 CD4+ T cells to study replication kinetics and to select for revertants. After infection,
223 culture media were sampled every 2-3 days to monitor viral particle production. At the
224 same time points, infected cell samples were harvested for proviral DNA analysis (Fig. 5A).
225 As previously observed using CEM-ss cells, replication kinetics of ^{cap}1G-only virus were
226 similar to but slightly slower than WT in MT-4 cells. In contrast to the previous studies,
227 ^{cap}3G-only virus did not remain at a low level but instead expanded through the culture,
228 albeit slightly slower than and reaching a peak 2-5 days later than WT or ^{cap}1G-only (Fig. 5A).
229 Similar trends were observed in primary cells, with ^{cap}3G-only virus replicating slower than
230 WT or ^{cap}1G-only virus, and with ^{cap}1G-only replication kinetics very similar to those of WT
231 NL4-3 (Fig. 5B). Thus, consistent with the packaging and early replication stage defects
232 observed above, the ^{cap}3G-only virus showed reduced replication capacity when tested in a
233 spreading viral infection.

234

235 **Fitness of WT and a ^{cap}1G-only revertant differed little from parental ^{cap}1G-only virus**

236 Virus evolution was tracked by sequencing cell-associated viral DNA extracted at various
237 time points post-infection. The data revealed that a TSS region mutation (TCG to TGG,
238 hereafter called ^{cap1G-R1}) (Fig. 6A) emerged by day 3 and co-replicated with the original
239 ^{cap1G}-only virus throughout a 3-week infection (Fig. 6A). Upon diluting these cultures into
240 fresh MT-4 cells, the proportion of ^{cap1G-R1} gradually increased, but the parental ^{cap1G}-only
241 mutant persisted throughout the 60 day-long experiment and no additional TSS revertants
242 were observed. The same revertant emerged during passage of ^{cap1G}-only virus in primary
243 CD4+ T cells (Fig. 6B).

244
245 To further study ^{cap1G}-only virus fitness, cells were coinfecting with WT NL4-3 and infectious
246 ^{cap1G}-only virus. High throughput sequencing revealed the emergence of ^{cap1G-R1} and a
247 slow decrease in the proportion of ^{cap1G}-only virus over time, but WT did not completely
248 out-compete the ^{cap1G}-only mutant or its revertant (Fig.6C, D). Together these results
249 confirmed that ^{cap1G}-only virus is only slightly less fit than WT in the cell types studied here
250 and showed that its predominant revertant gained at most a minor amount of fitness.

251
252 **Revertants dominated cultures during spreading infection with ^{cap3G}-only viruses**
253 ^{cap3G}-only MT-4 cell cultures were rapidly dominated by revertants (Fig.7). High throughput
254 sequencing revealed that by day 3, the same TSS region revertant (^{cap3G-R1}; TCGGG to
255 TGGGG) (Fig.7A, C) was detectable in all three independent infections. The proportion of
256 this revertant increased over time while the original ^{cap3G}-only variant gradually decreased
257 (Fig.7A). Additional ^{cap3G}-only revertants emerged later, including TCGGA (^{cap3G-R2}) and a
258 one base deletion revertant, TCGG (^{cap3G-R3}) (Fig.7A, C).

259

260 Rarer variants were observed episodically, with the most prominent (GGGGG and TGGGA)
261 reaching about 5% of the population at intermediate time points but then largely
262 disappearing at later time points (Fig.7A). Some late timepoint subclones displayed
263 additional mutations outside the TSS region, but these did not become fixed in the
264 populations and most late timepoint proviruses carried single mutations in their TSS
265 regions only (Supplementary data). No changes in *gag* were observed.

266
267 All three major ^{cap}3G-only revertants that emerged in MT-4 cells were also observed during
268 infection of primary cells (Fig.7B). Population dynamics appeared less consistent in
269 primary cells than in MT-4 cells, including the emergence of several additional variants
270 (including one with TSS sequence TCAGG, which reached about 15% of the third replicate's
271 population) that were not observed in MT-4 cells (Fig.7B).

272
273 **^{cap}3G-only revertants generated multiple RNA isoforms, showed improved fitness, and**
274 **displayed restored levels of packaging and splicing**

275 The rapid dominance of revertants in ^{cap}3G-only cultures suggested that these variants had
276 acquired fitness advantages. To assess this, the revertants ^{cap}3G-R1, ^{cap}3G-R2 and ^{cap}3G-R3
277 were built into lentivirus vectors and infectious molecular clones to test replication
278 properties and investigate if the changes restored functions that had been rendered
279 defective by ^{cap}3G-only mutations. One prominent difference between WT and ^{cap}3G-only
280 virus is that replication of the former generates two RNA 5' isoforms and the latter only one.
281 Thus, the 5' ends of virion RNA were mapped at single base resolution (Fig.8A).

282

283 The results revealed that the ^{cap}3G-R1 revertant produced three RNA 5' end isotypes: ^{cap}4G,
284 ^{cap}3G, and ^{cap}1G RNAs, with ^{cap}4G the most abundant RNA in cells, followed by ^{cap}1G (Fig.8A,
285 B). In ^{cap}3G-R1 viral particles, the ^{cap}4G/^{cap}1G RNAs' ratio shifted toward the ^{cap}1G form,
286 although ^{cap}4G and ^{cap}3G RNAs were also detectably packaged (Fig.8A). The production of
287 ^{cap}1G RNA by ^{cap}3G-R1, which is identical in sequence to the isoform packaged by WT HIV-1,
288 may explain the rapid spread of this revertant in cell culture. The ^{cap}3G-R2 revertant
289 produced two RNA forms in the cells, ^{cap}GGA and ^{cap}A RNAs, which differed in sequence but
290 were equal in length to the ^{cap}3G and ^{cap}1G RNAs of WT, respectively (Fig.8A, B).
291 Surprisingly, the ^{cap}GGA RNA isotype was the predominant form in viral particles produced
292 by this revertant. The ^{cap}3G-R3 revertant produced two RNA forms, ^{cap}2G and ^{cap}1G RNAs,
293 with ^{cap}2G RNA being the major RNA in cells. ^{cap}3G-R3 packaged both of these RNA
294 isoforms, with their ratio shifted toward to ^{cap}1G RNA in virions (Fig.8A, B).
295
296 Because alternate 5' ends enable HIV-1 RNAs to adopt structures required for packaging,
297 the restoration of heterogeneous TSS usage by all ^{cap}3G-only revertants suggested that their
298 RNA packaging functions might be improved. Thus, packaging for each revertant was
299 tested in competition with WT HIV-1. As shown in Fig. 8C and D, all three revertants
300 displayed improved packaging compared to the parental ^{cap}3G-only virus. While WT vector
301 RNAs largely outcompeted ^{cap}3G-only virus RNA in packaging, all three revertant RNAs
302 showed an increased presence in viral particles. The revertant that generated the most
303 competitive RNA was ^{cap}3G-R3, while the ^{cap}3G-R1 RNAs were the least well packaged
304 among the revertants (Fig.8D). This higher efficiency of packaging by ^{cap}3G-R2 and ^{cap}3G-R3
305 may be part of why these revertants displaced the initial revertant, ^{cap}3G-R1, during
306 prolonged passage.

307

308 Next, splicing was addressed more quantitatively than in the experiment shown in Fig.2.

309 Previous work has shown the enrichment of ^{cap3G}- 5' ends among some classes of HIV-1

310 spliced RNAs [3]. Here, MT-4 cells were infected with ^{cap1G}- or ^{cap3G}-only mutants or with

311 the ^{cap3G}-R2 and ^{cap3G}-R3 revertants, and high-throughput analysis was performed on

312 cellular RNA to study the effects of single TSS mutations and their reversion on splicing

313 [21]. The data revealed that unspliced HIV-1 RNA was about 72% of the total viral RNA in

314 cells infected with WT NL4-3 virus, whereas the proportion of unspliced RNA in ^{cap1G}- and

315 ^{cap3G}-only viruses was about 75% and 65% correspondingly (Fig. 8E). Thus, ^{cap3G}-only had

316 about 90% as much unspliced RNA as WT and ^{cap1G}-only unspliced RNA levels were about

317 104% those of wild type (Fig.8E). For the ^{cap3G}-only revertants, splicing was reduced and

318 became more similar to WT, with unspliced RNA levels for ^{cap3G}-R2 and ^{cap3G}-R3 revertants

319 96% and 108% those of WT respectively (Fig.8E). Among RNAs that were spliced, the

320 distribution of 3' splice site usage was largely similar among variants (Supplementary Fig.

321 2).

322

323 Overall replication fitness of these revertants was tested in infection assays. HIV-1 NL4-3-

324 based infectious molecular clones were generated that contained TSS region sequences

325 from ^{cap3G}-R2 or ^{cap3G}-R3 in both LTRs, and these were used to generate virus stocks in

326 293T cells. Replication kinetics of these revertants were compared to WT and ^{cap3G}-only

327 viruses (Fig. 8F). The results indicated that both ^{cap3G}-R2 and ^{cap3G}-R3 replicated without

328 the delay associated with the original ^{cap3G}-only mutant and exhibited replication kinetics

329 similar to those of WT NL4-3 virus (Fig. 8F).

330

331 **Discussion**

332 Here we compared the abilities of the two primary isoforms of HIV-1 RNA to provide
333 specific replication functions. We confirmed that viruses with either one of the two RNA
334 forms alone were capable of completing a replication cycle, although ^{cap3G}-only virus was
335 much less replication-competent than ^{cap1G}-only virus. At least three crucial functions of
336 full-length viral RNA were affected in the single TSS mutants: packaging, translation and
337 splicing. Interestingly, virus encoded by the parental NL4-3, with its twinned TSS promoter,
338 had splicing, packaging and *gag* expression phenotypes intermediate to those of ^{cap1G}-only
339 and ^{cap3G}-only viruses, suggesting that the WT phenotype is specified by the presence of its
340 mixed RNA population.

341
342 Packaging may be the replication property most reliant on a specific RNA isoform, as WT
343 HIV-1 displays high packaging specificity for ^{cap1G} RNAs. Packaging of ^{cap3G} RNA was 2-fold
344 less efficient than ^{cap1G} RNA when the RNAs were expressed separately, and ^{cap3G} RNA was
345 excluded from packaging when ^{cap1G} RNA was present. Surprisingly, ^{cap1G}-only viruses
346 packaged slightly more RNA per unit virion protein than WT viruses did. Previous work has
347 shown that >90% of HIV-1 viral particles contain viral gRNAs, thus suggesting a small
348 fraction of particles may lack gRNA [6]. Here, packaging was measured both by
349 determining the amount of gRNA per virion and by single virion microscopic imaging. The
350 proportion of ‘empty’ particles that lacked gRNA, as visualized by microscopy, coincided
351 well with changes in the packaging efficiency as measured by virion RNA quantification.
352 The results suggest that about 10% of WT HIV-1 virions ordinarily lack gRNA, and that the
353 proportion of “empty” virions is even lower for ^{cap1G}-only viral particles.

354

355 Whereas ^{cap}1G RNAs were preferentially packaged, cells transfected with ^{cap}3G-only virus
356 contained more Gag polyprotein than ^{cap}1G-only-expressing cells. The 1.5-fold higher levels
357 of intracellular Gag and increased level of virus particle release observed with ^{cap}3G-only
358 virus is consistent with reports showing that ^{cap}3G RNA is translated more efficiently than
359 ^{cap}1G RNA [22, 23] and that ^{cap}3G RNA is enriched on polysomes [1]. The higher levels of
360 intracellular Gag occurs even though ^{cap}3G RNA undergoes a greater level of splicing (Fig.
361 8E) consistent with ^{cap}3G RNA being directed to translation and being less available for
362 encapsidation. Colony forming titers per unit gRNA were similar for WT and ^{cap}1G-only
363 viruses, but titer per encapsidated gRNA was about 3-fold lower for ^{cap}3G-only virus. This
364 may reflect defects described in previous work showing that ^{cap}1G RNA is more efficient as
365 a template for reverse transcription than ^{cap}3G RNA, both *in vitro* and during virus
366 replication [2, 10].

367
368 Revertants emerged rapidly during passage of both ^{cap}1G- and ^{cap}3G-only viruses. Only one
369 revertant was detected during ^{cap}1G-only replication and it appeared to confer at most a
370 very minor replication advantage. However, the ^{cap}3G-only revertants replicated much
371 better than parental ^{cap}3G-only virus. For both ^{cap}1G- and ^{cap}3G-only viruses, the first
372 revertants that emerged contained the same one-base substitution just upstream of the
373 TSS. This C to G substitution at the -1 position was observed in all independent infection
374 replicates, whether with ^{cap}1G- or ^{cap}3G-only viruses, and in both MT-4 and primary CD4+ T
375 cells. Similar reversion of a ^{cap}1G-only virus has been described previously [9]. The early
376 acquisition of identical substitutions during passage of both ^{cap}1G- or ^{cap} 3G-only viruses
377 suggests that the molecular mechanism involved in reversion was the same for both
378 viruses. It has been proposed that this reversion mutation arose during reverse

379 transcription by the insertion of a C residue opposite the N⁷-me-G 5'-cap structure,
380 followed by mismatch extension during later reverse transcription steps [9]. Other reports
381 support this assertion, including findings that AMV reverse transcriptase can read through
382 the cap *in vitro* and that C to G mutations at the -1 position are frequently observed during
383 murine leukemia virus replication [24-26]. Rapid emergence of the -1C to G mutation during
384 replication of both the ^{cap}1G- and the ^{cap}3G-only viruses suggests that HIV-1 reverse
385 transcriptase also can efficiently read through cap residues during reverse transcription.
386
387 If cap readthrough occurs readily as a part of minus strand transfer, it seems feasible that
388 the minor GGGGG ^{cap}3G-only revertant resulted from a stepwise process involving
389 mutations during two different rounds of replication. Specifically, this mutation, which was
390 observed in both MT-4 and primary CD4+ T cells, might have arisen via two sequential cap
391 read-through events: the first creating ^{cap}3G-R1 with TGGGG and the second resulting
392 during subsequent rounds of replication after the (relatively rare) packaging of a ^{cap}4G RNA,
393 followed by cap readthrough and mismatch extension. In light of this interpretation, it is
394 interesting that the ubiquitous ^{cap}1G-only virus revertant, ^{cap}1G-R1 with its TGG TSS, was not
395 observed to evolve into a WT GGG sequence through cap-copying in a subsequent
396 replication cycle. However, replication differences between ^{cap}1G-only, ^{cap}1G-R1, and WT
397 viruses are negligible, the TGG revertant principally packages ^{cap}1G RNA [9], and ^{cap}1G RNAs
398 are better reverse transcription templates [10]. Together, these observations may explain
399 why restoration of the WT TSS was not observed here or previously [9].
400
401 The rapid emergence and expansion of ^{cap}3G-only revertants suggested that they restored
402 at least some replication deficiencies of the ^{cap}3G-only virus. In fact, gRNA packaging was

403 significantly improved for all tested ^{cap}3G-only revertants. We observed a gradient of
404 packaging improvement in the revertants, such that packaging for the original ^{cap}3G-only
405 virus < ^{cap}3G-R1 < ^{cap}3G-R2 < ^{cap}3G-R3, with gRNA packaging in ^{cap}3G-R3 restored to WT
406 levels. These differences may explain why the rapidly appearing ^{cap}3G-R1 revertant was
407 displaced by ^{cap}3G-R2 and ^{cap}3G-R3 at later time points.

408

409 Splicing, which was increased relative to WT in the ^{cap}3G-only virus, was also restored to
410 near-WT levels in the revertants, with the highest proportion of unspliced RNA observed in
411 ^{cap}3G-R3. All HIV-1 RNA splicing initiates with the use of the same 5' splice site, termed D1,
412 which is regulated at least in part by local secondary structure [27, 28]. The alternative
413 secondary structures adopted by ^{cap}1G and ^{cap}3G RNA 5' leaders are predicted to differ in
414 D1 accessibility. Restored packaging and splicing levels, i.e. a shift of gRNA from the
415 splicing/translation pool to the packaging pool, accompanied and may explain rapid
416 spread of the revertants 3GR2 and 3GR3 in the infected culture. Moreover, when TSS
417 sequences of ^{cap}3G-R2 and ^{cap}3G-R3 were cloned into an infectious virus background, the
418 revertants were observed to replicate with kinetics similar to wild type.

419

420 Although none of the reversions restored the wild type TSS sequence, all identified ^{cap}3G-
421 only revertants acquired the use of multiple transcription start sites and displayed a
422 packaging bias for one or a subset of their RNA isoforms. However, these selection
423 preferences were not readily predictable. For example, the ^{cap}GGA and ^{cap}A RNAs produced
424 by the ^{cap}3G-R2 revertant correspond in size to ^{cap}3G and ^{cap}1G RNAs, but ^{cap}GGA RNA and
425 not ^{cap}A RNA was enriched in virions. This suggests that the single 5' A is deleterious to the
426 packaging function of this RNA and possibly its folded form. Recent findings have

427 underscored the importance of fine-tuning alternate 5' leader structure stability to the
428 functional roles of HIV-1 transcripts [22].
429
430 Heterogeneous TSS usage is a highly conserved innovation of the HIV-1 lineage [8, 9], and
431 the studies here confirmed that the 5' ends of HIV-1 RNAs dictate complementary RNA
432 replication functions. The fact that ^{cap3G}-only virus revertants evolved to acquire TSS use,
433 splicing, and packaging properties similar to WT suggests that optimizing these processes
434 is important to viral replication success. However, the benefits of expressing ^{cap3G} RNA
435 were less clear because ^{cap1G}-only viruses replicated at rates similar to WT, and the only
436 ^{cap1G}-only revertant detected did not enhance replication much if at all. Nonetheless, the
437 conservation of heterogeneous TSS use suggests that ^{cap3G} RNA is beneficial to virus
438 replication under conditions not captured by the experimental approaches here. One
439 possibility would be during the initial expression of proviral DNA when enhancing the level
440 of spliced RNAs might increase Tat and Rev expression and promote more robust
441 expression. Thus, this work leaves unresolved a complete understanding of the selective
442 advantages for the highly conserved function of expressing two isoforms of HIV-1 RNA.

443

444 **Materials and methods**

445 **Plasmids, HIV-1 vectors and helpers**

446 Previously published plasmids are as follows: the replication defective vector that included
447 the HIV-1 NL4-3 strain RNA leader plus *gag*, *gag-pol*, *tat* and *rev* genes with puromycin
448 resistance cassette has previously been referred to as HIV-1 GPP [5]; Minimal vector: NL4-3
449 based vector containing two LTRs, the 5' leader, RRE and puromycin cassette; previously
450 referred to as HIV-1 Native [5]; CMV Δ R8.2, a Ψ - HIV-1 helper [29]; and pNL4-3, infectious

451 NL4-3 molecular clone [30]. ^{cap}1G-only and ^{cap}3G-only variants of Minimal were described
452 previously [8] and used to template PCR fragments subcloned into HIV-1 GPP or pNL4-3 to
453 generate ^{cap}1G-only and ^{cap}3G-only variants . Revertant sequences PCR amplified from cell
454 DNA as described below were cloned into pCR4-TOPO (ThermoFisher) and subsequently
455 cloned into Minimal vectors or pNL4-3. Minimal Δ variants contained a 94 b deletion
456 upstream of the puromycin resistance gene and were created by near full-length plasmid
457 amplification and subsequent self-ligation.

458

459 Full-length self-tagging viruses for single viral particle florescent microscopy assay were
460 derived from a version of pNL4-3 [30] modified to carry inactivating mutations in *env*,
461 *vpr*, and *nef* (E-R-Luc). The mVenus reading frame was inserted into *gag* between the
462 sequences encoding for the Gag Matrix (MA) and Capsid (CA) domains [31]. Twenty-
463 four copies of the MS2 bacteriophage stem loop [32] were inserted into the *pol* open
464 reading frame downstream of the *gag* stop codon [33]. To detect the RNA through
465 binding to the MS2 stem loops, cDNA encoding an MS2-mCherry fusion protein and
466 harboring an SV40 nuclear localization signal was inserted into the *nef* open reading
467 frame replacing the luciferase reporter, using NotI and XhoI restriction sites. HIV-1
468 promoter variants [8] were introduced into pNL4-3 Gag-mVenus/24xMSL/MS2-
469 mCherry two-color self-tagging proviral plasmids using AatII and SpeI sites. All
470 plasmids were verified using diagnostic restriction digestion and sequencing.

471

472 **Cells, viruses, transfection, virus release assays and infections**

473 Human embryonic kidney 293T cells were purchased from the American Type Culture
474 Collection (ATCC, Manassas, VA, USA). MT-4-EGFP cells were kindly provided by P.

475 Bieniasz. To express HIV-1 vectors or produce infectious HIV-1 particles, freshly seeded
476 293T cells were grown in DMEM supplemented with 10% fetal bovine serum (FBS) and 50
477 $\mu\text{g}/\text{mL}$ gentamicin at 37°C with 5% CO_2 and transfected using polyethylenimine
478 (Polysciences) [34]. Minimal vectors were co-transfected with CMV Δ R8.2 at a 2:1 molar
479 ratio, and HIV-GPP derivatives at a 1:1 molar ratio (8 μg of plasmid DNA total). For single
480 cycle infectivity assays, HIV-GPP derivatives (4 μg) were co-transfected with 1 μg of
481 vesicular stomatitis virus (VSV) G protein expression plasmid (pHEF-VSVG) [35]. For
482 infectious HIV-1 derivatives, 5 μg of plasmid DNA was used for transfection.

483

484 Viral particle production was monitored by p24 enzyme-linked immunosorbent assay
485 (ELISA) and/or quantitative PCR-based RT assay [36]. HIV-1 containing medium with a
486 known concentration of CA-p24 was used as the standard. Viral infectivity was determined
487 by puromycin resistant colony forming units/ml as described previously [37].

488

489 MT-4-eGFP cells were grown in RPMI supplemented with 10% FBS, 50 $\mu\text{g}/\text{mL}$ gentamicin
490 and 1.25 $\mu\text{g}/\text{mL}$ puromycin at 37°C with 5% CO_2 in 25cm^2 culture flasks. To establish
491 chronically infected MT-4-eGFP cells, viral media containing 2.5 ng of CA-p24 were added
492 to 2×10^6 freshly seeded MT-4-eGFP cells. Aliquots of the media were taken every 2nd or
493 3rd day of the infected cell passaging and analyzed by quantitative RT assay [36].

494

495 **Primary T Cell Isolation and Infection**

496 Peripheral blood mononuclear cells (PBMCs) were isolated from fresh blood from
497 anonymous healthy donors provided by the New York Blood Center using Ficoll-Paque
498 PLUS (Cytiva) centrifugation and SepMate tubes (Stemcell Technologies) according to the

499 manufacturer's protocol. Total CD4⁺ T cells were isolated from PBMCs using a CD4⁺ T Cell
500 Isolation Kit, human (Miltenyi Biotec) according to the manufacturer's protocol. Isolated
501 CD4⁺ T cells were maintained in RPMI supplemented with 10% FBS, 0.33 ug/mL
502 amphotericin B, 50 ug/mL gentamicin, 1 mM sodium pyruvate, 1X GlutaMAX, 10 mM
503 HEPES, and 1X NEAA (Gibco). The cells were stimulated using 6 ug/mL Phytohemagglutinin
504 (PHA) (Thermo Scientific) in the presence of 10 ng/mL recombinant human IL-2 and 10
505 ng/mL of recombinant human IL-15 (BioLegend) for 3 days. On day 2 of activation, the cells
506 were infected with virus in 0.4 ug/mL polybrene by spinoculation at 2500 rpm for 2 hours at
507 room temperature in the 6-well culture plate (Corning Incorporated) with virus equivalent of
508 25ng of p24 per 3×10^6 CD4⁺ cells per well. After spinoculation cells were washed twice
509 with PBS and seeded into 25cm² culture flasks.

510

511 **Microscopy and image analysis**

512 To generate labeled virus-like particles, approximately 500,000 HEK293T cells were plated
513 in each well of a 6-well dish and transfected with plasmids encoding the wild-type, 1G-
514 only, or 3G-only two-color self-tagging viruses using polyethylenimine (PEI). The media was
515 exchanged at 24-hours post-transfection and virus particle-containing supernatants were
516 harvested at 48-hours post-transfection, filtered through a 0.45µm filter, and centrifuged
517 through 20% sucrose for 2 hours at 15,000 rpm. The medium was discarded after
518 centrifugation and concentrated viral particles were resuspended in 1xPBS, plated in a 24-
519 well glass-bottom dish (Cellvis, Mountain View, CA), and left overnight at 4°C to allow virus
520 particles to settle down on the glass wells. Microscopy was performed using a Nikon Ti-
521 Eclipse inverted wide-field microscope (Nikon Corp, Minato, Tokyo, Japan) using a 100x
522 Plan Apo oil objective lens (numerical aperture [NA] 1.45). Cell and virion images were

523 captured using an ORCA-Flash4.0 CMOS camera (Hamamatsu Photonics, Skokie, IL, USA)
524 and the following excitation/emission filter sets: 510/535nm (YFP) and 585/610nm
525 (mCherry). All images were processed and analyzed using FIJI/ImageJ2 [38].
526
527 The Cellpose TrackMate plugin [39] was used to measure mean fluorescent intensities
528 (MFIs). Spot IDs were created based on MS2-mCherry signal masks and applied to the Gag-
529 YFP channel to generate a per cell fluorescent profile. A custom FIJI/ImageJ2 workflow
530 (https://github.com/elevans/dbp-solutions/blob/main/scripts/sherer/sl_sva.py) was used
531 to threshold particles and create masks that encompassed the virion YFP fluorescence,
532 corresponding to the per virion signal from Gag-YFP structural protein. Using those masks,
533 the mCherry fluorescence corresponding to the US RNA (MS2-mCherry) signal was
534 measured. All signals (cell and virion) were background-subtracted using a negative
535 transfection control prior to quantitative analyses. Cell and virion background subtracted
536 MFIs for MS2-mCherry and Gag-YFP channels were plotted using GraphPad Prism (version
537 10.3.1). Outliers were identified and removed using the ROUT method and Q=1%
538 aggression. The cleaned data was plotted for MS2-mCherry MFI, Gag-YFP MFI, and, for
539 virions, MS2-mCherry/Gag-YFP MFI. A one-way analysis of variance (ANOVA) using multiple
540 comparisons was performed to determine statistically significant differences between the
541 means of MS2-mCherry MFIs and Gag-YFP MFIs, and MS2-mCherry/Gag-YFP ratios.

542

543 **RNA and DNA extraction and analysis.**

544 Viral particles were pelleted by ultracentrifugation of filtered viral media at 25000 RPM for
545 2h. RNA was extracted from pelleted virions and cells with TRIzol (Invitrogen) according to
546 manufacturer protocol. RNA samples were treated with RQ1 DNase (Promega) and re-

547 extracted with phenol/chloroform. RNase Protection Assays (RPA) were performed as
548 described [40]. Riboprobes used in this study: HIV*gag*/7SL, a chimeric riboprobe targeting
549 HIV-1 *gag* (200bp) and host 7SL RNA (100bp), HIV*gag*/CMV, targeting *gag* (200bp) in NL4-3
550 GPP derivatives and CMV promoter region in the Minimal vectors (289bp in the Minimal
551 vector and 195bp in the Minimal Δ vector); HIV unspliced/spliced, riboprobe targeting D1
552 region in the HIV-1 leader, protecting 130 bp in unspliced and 60 bp in spliced HIV-1 RNA.
553 Dried RPA gels were quantified by phosphorimaging with ImageQuant TL 10.2 software.

554
555 HIV-1 derivatives' RNAs' 5' ends were analyzed by CaDAL assay [8] using the TeloPrime Full-
556 Length cDNA Amplification Kit V2 (Lexogen) components as described previously [8].

557
558 For proviral DNA analysis, cellular genomic DNA was isolated using the DNeasy Tissue and
559 Blood kit (Qiagen, Valencia, CA) according to the manufacturer protocol. A portion of
560 proviral DNA including most of the 5'LTR and the entire *gag* gene (approximately 2.2 kb) was
561 amplified with primers GCTAATCACTCCCAAAGAAGACAAG (forward) and
562 CAAACCTGAAGCTCTCTTCTGGTG (reverse) and Phusion polymerase (NEB). PCR products
563 were extracted from agarose using the NEB Monarch DNA gel extraction kit and used for
564 Sanger sequencing. For individual molecular clones, PCR products were cloned using a
565 TOPO TA kit (Thermo Fisher) and then sequenced.

566

567 **High-throughput sequencing and data analysis.**

568 RNA samples were extracted from the cells 3 days post-infection. As a no-replication
569 control sample, RNA was extracted from cells infected with WT NL4-3 virus in the presence
570 of the two antiretroviral drugs (ARD) AZT and reltagravir. 2.2 kb PCR products obtained by

571 amplification of proviral DNA from infected cells (see RNA and DNA extraction and analysis
572 section) was used as a template for a secondary PCR with following primers:
573 ACACTCTTCCCTACACGACGCTCTCCGATCTGACATCGAGCTTGCTACAAGGGAC (forward,
574 specific to HIV-1 U3, 125 bp upstream of TSS) and
575 GACTGGAGTTCAGACGTGTGCTCTCCGATCTGAGGGATCTCTAGTTACCAGAGTCAC (reverse,
576 specific to HIV-1 U5 sequence 147bp downstream of TSS). Besides HIV-1 specific
577 sequences, both primers included Illumina partial adapters sequences. PCR products
578 were sent to GENEWIZ (South Plainsfield, NJ, USA) for sequencing (Amplicon EZ service)
579 using an Illumina MiSeq platform and 250-bp paired-end reads. TSS reversions were
580 analyzed using an in-house script, available upon request from the Telesnitsky lab.
581
582 Deep sequencing splicing analysis was done using a protocol from Emery *et al* 2017 [21]
583 with the following adaptations. In separate reactions, two cDNA primers were used.
584 GTGCTCTCCGATCTNNNNNNNNNNNNNNNN has 14 random bases that serve as a Unique
585 Molecular Identifier (UMI) as well as a universal primer.
586 GTGCTCTCCGATCTNNNNNNNNNNNTTTYCCACCCCC has a 10-base random UMI and a
587 sequence that primes at two regions of the HIV NL4-3 genome, 6257 and 8576,
588 downstream of splice sites D4 and A7 respectively. All of the bead purified cDNA product
589 was used as input to the first PCR step. The semi-nested first PCR step used a forward
590 primer upstream of D1 (ATCTCTCGACGCAGGAC) and this reverse primer
591 (TTCAGACGTGTGCTCTCCGATCT). 5 µl of this bead purified first PCR was used as input to
592 a second PCR, which used forward primer
593 (GCCTCCCTCGCGCCATCAGAGATGTGTATAAGAGACAGNNNNTGCTGAAGCGCGCACGGCA
594 AG) and reverse primer (TTCAGACGTGTGCTCTCCGATCT). 5 µl of this bead purified

595 second PCR was used as input to the final PCR, which used the primers previously
596 described [21] to add Illumina platform sequences. Thermocycler settings for all PCR
597 reactions were: 95 ° C initial denaturing for 5 min; then 3x cycles with 95 ° C 30 sec,
598 annealing for 15 sec at 72 ° C, extension for 2 min at 72 ° C; then 3x each with decreasing
599 annealing temps at 70, 68, 66, 64, and 62 ° C; ending with 12 (nested) or 17 (final PCR)
600 cycles with annealing temp at 60 ° C. A detailed and user-friendly protocol is available from
601 the Swanstrom lab. Sequencing was done using Illumina MiSeq 300 paired end reads and
602 this Illumina primer: GCCTCCCTCGCGCCATCAGAGATGTGTATAAGAGACAG.

603 The Illumina bcl2fastq pipeline was used (v.2.20.0) for initial processing of data. Splice site
604 quantification was done using in house programs available from the Swanstrom lab.

605

606 **HIV-1 protein analysis**

607 293T cells were lysed in RIPA buffer [150 mM NaCl, 50 mM Tris pH 7.5, 1% NP40, 0.5%
608 Deoxycholate, 0.1% SDS], samples were separated via SDS-PAGE and transferred to
609 Immun-Blot PVDF Membrane (Bio-Rad), blocked in 1% milk in 1x TBS and incubated with
610 Human (HIV-IG) (NIH-ARP, 3957) and anti- β -actin mouse (Invitrogen, AM4302) in 1X TBST.
611 After washing, the membrane was incubated with secondary antibodies: goat anti-mouse
612 IRDye 680RD (LI-COR, 926-68070) and goat anti-human IRDye 800cw (LI-COR, 925-32232).
613 Finally, the immunoblot was imaged using an Amersham Typhoon (Cytiva). Gag/ β -actin
614 ratios were quantified using ImageQuant TL 10.2 software.

615

616

617

618

619 **Acknowledgements**

620 We acknowledge Jordan Becker for engineering the prototype self-tagging genome, Edward
621 Evans III for assisting computational analysis for SVA, and Emily Schugardt for critically
622 reading the manuscript. We also acknowledge support from R01 AI50498 to AT, R56
623 AI110221 to NS, and from U54 AI170660 to AT, RS and NS. KG and SC received support for
624 this work from training grants T32 GM145470 and T32 AI007528, respectively.

625

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Figures for version 16

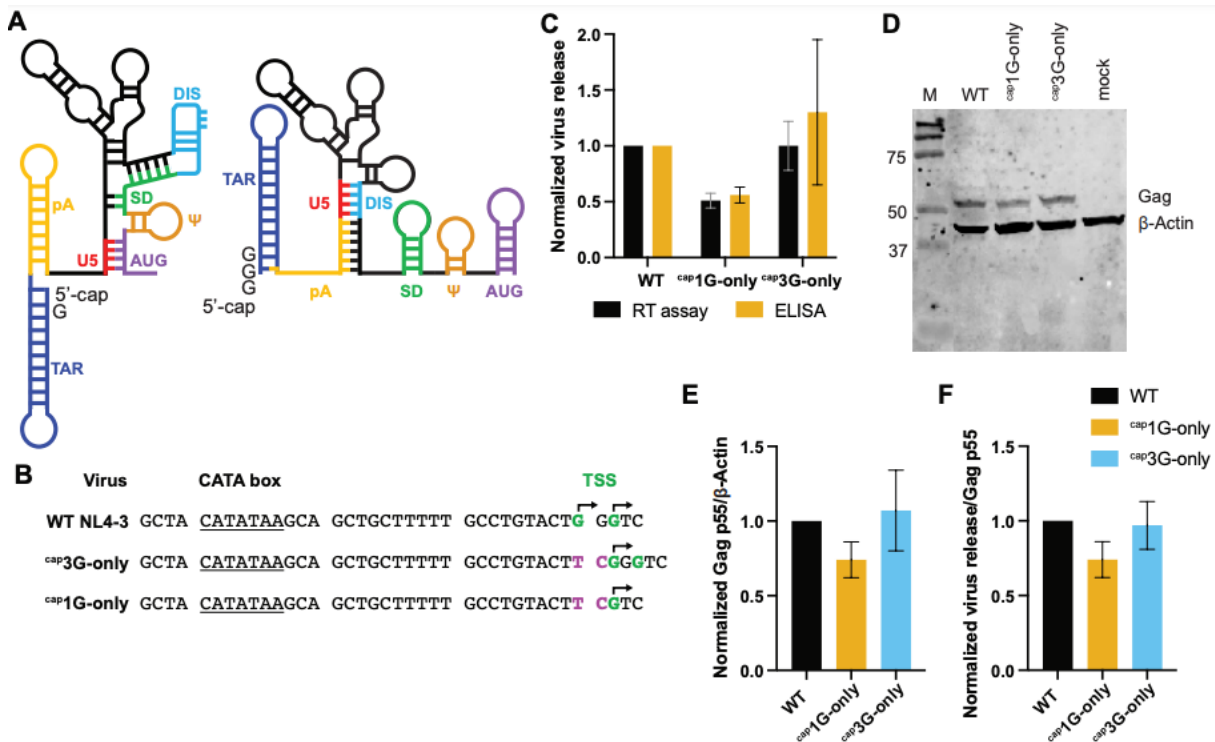


Fig. 1. Both HIV-1 RNA 5' end isoforms can serve as mRNA. (A) Predominant secondary structures ^{cap1G} (right) and ^{cap3G} (left) HIV-1 5'-leader RNAs. Sequence motifs (indicated by U5, DIS, SD, etc. [5]) are shown in separate colors that are the same in both conformers, to indicate that identical sequences form alternate structure elements. (B) Core promoter elements, including CATA box and TSS, in parental NL4-3 strain HIV-1 (WT) and in ^{cap3G}- and ^{cap1G}-only mutant promoters. WT start sites are indicated in green, insertions/substitutions in the single TSS mutants are indicated in purple, mapped TSS [8] are indicated with arrowheads (C) Virus release levels from transfected 293T cells quantified by RT activity or p24 ELISA, normalized to WT levels set to 1; (D) Gag examined by western blot analysis (E) Calculated p55 Gag/β-actin ratios (F) Virus release per unit Gag, based on data in panels C and E. Data in panels C, E, and F were from three independent experimental replicates.

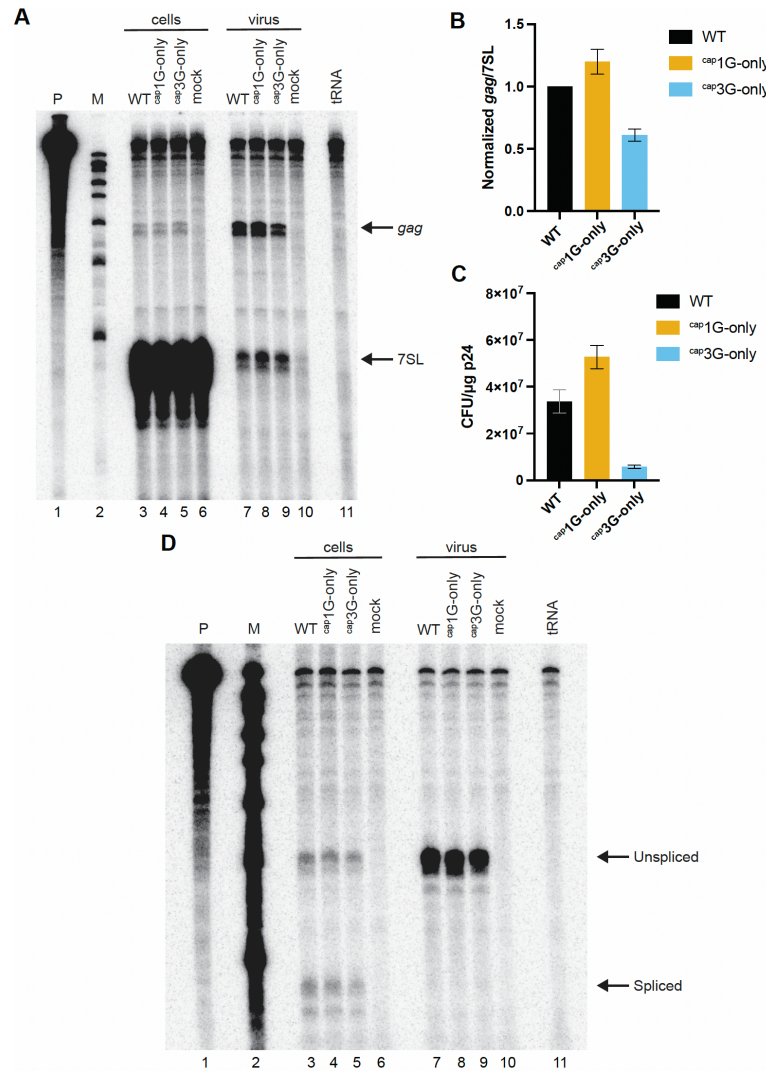


Fig. 2. Both 5' end isoforms can be packaged and serve as gRNA. (A) RNase protection assay (RPA) of viral RNA in transfected 293T cells and virions. Probe fragments protected by HIV-1 vector RNAs *gag* and the host normalization standard 7SL RNA (7SL) are indicated. Cell samples are at the left and virion RNAs are on the right. Lane designations indicate transfected vectors; Mock: mock-transfected cells; tRNA: yeast tRNA control; Ladder: molecular weights marker; Probe: undigested chimeric *gag*-7SL riboprobe. (B) RNA packaging efficiencies. Using RPA data quantified by phosphorimager analysis, RNA levels were first normalized to 7SL levels, then virion values were divided by cell RNA levels, with the WT sample assigned a value of 1. (C) Puromycin resistant colony forming titers. Titers were determined for WT NL4-3 GPP vector and single TSS NL4-3 GPP vectors pseudo-typed with VSV-G envelope (see Materials and Methods). The Y axis indicates cfu titers per 1 μg of HIV-1 p24 as determined by RT-activity levels on infections using virus from three independent transfections. (D) Spliced viral RNA production and packaging in the cells transfected with NL4-3 GPP derivative vectors determined by the RPA. Riboprobe HIV unspliced/spliced (see Materials and Methods) was used in this experiment. RNA samples extracted from cells are at the left and those from virus-containing media are on the right. Migration positions of protected fragments are indicated on the right.

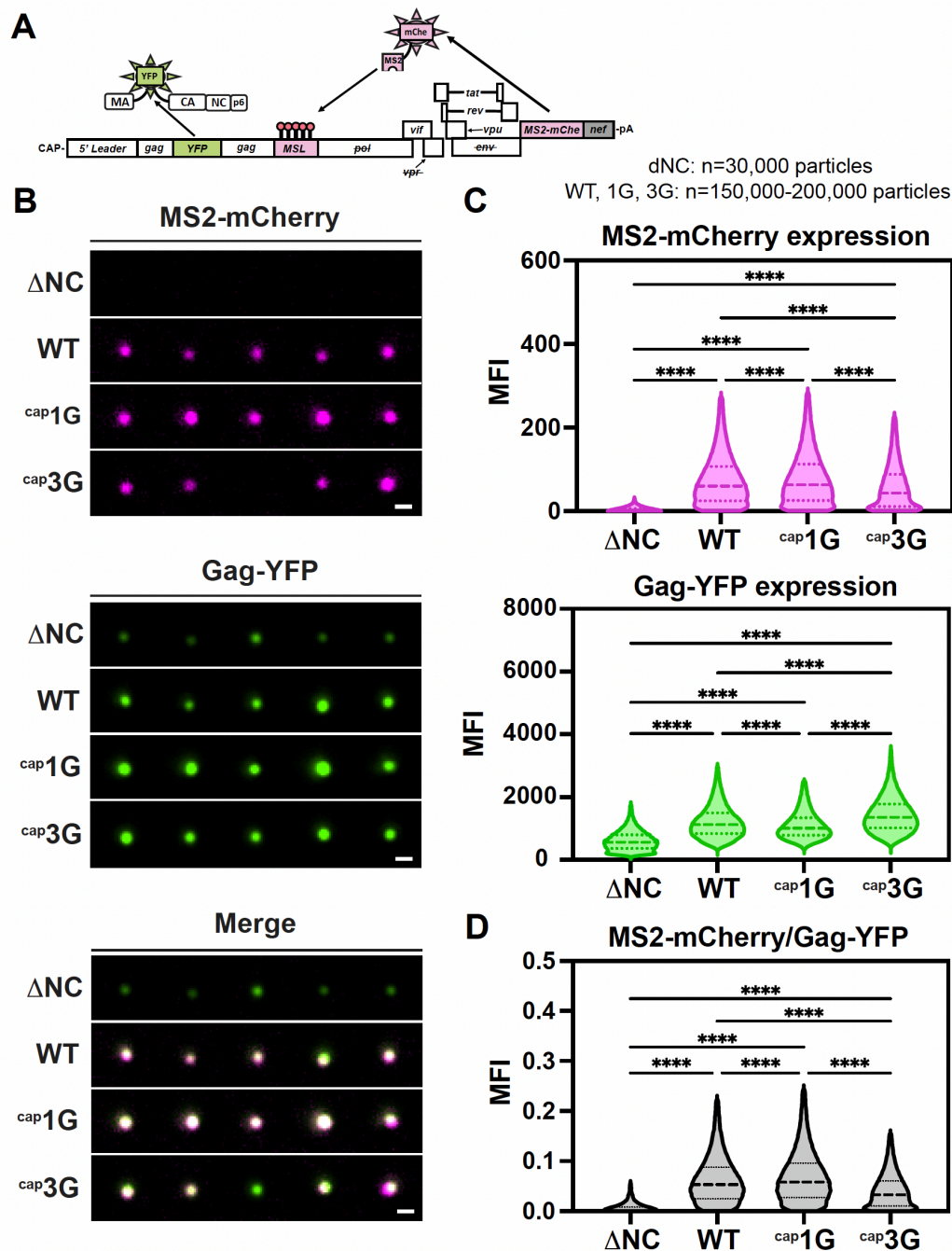


Fig. 3. Single-virion analysis shows virions from ^{cap1G}-only virus display a higher packaging efficiency than ^{cap3G} and WT. (A) Schematic representation of the two-color self-tagging reporter virus (pNL4-3 Gag-mVenus/24xMSL/MS2-mCherry). (B) Representative images of single fluorescent virions harvested from transfected HEK 293T cells. Scale bar = 0.5 μ m. Δ NC, reporter virus with WT promoter and deletion of the NC domain of the Gag; WT, virus with WT promoter, ^{cap1G}, and ^{cap3G}, reporter viruses with corresponding single TSS mutations. (C) Quantification of single virions for Δ NC, WT, ^{cap1G}, and ^{cap3G} viruses showing ratio of virions with MS2-mCherry and Gag-YFP mean fluorescent intensities (MFIs), as a ratio of WT. (D) MS2-mCherry signal per Gag-YFP MFI for Δ NC, WT, ^{cap1G}, and ^{cap3G} virions. For all violin plots (C and D), dashed lines indicate median and dotted lines indicate 25th and 75th quartiles. ****P<0.0001.

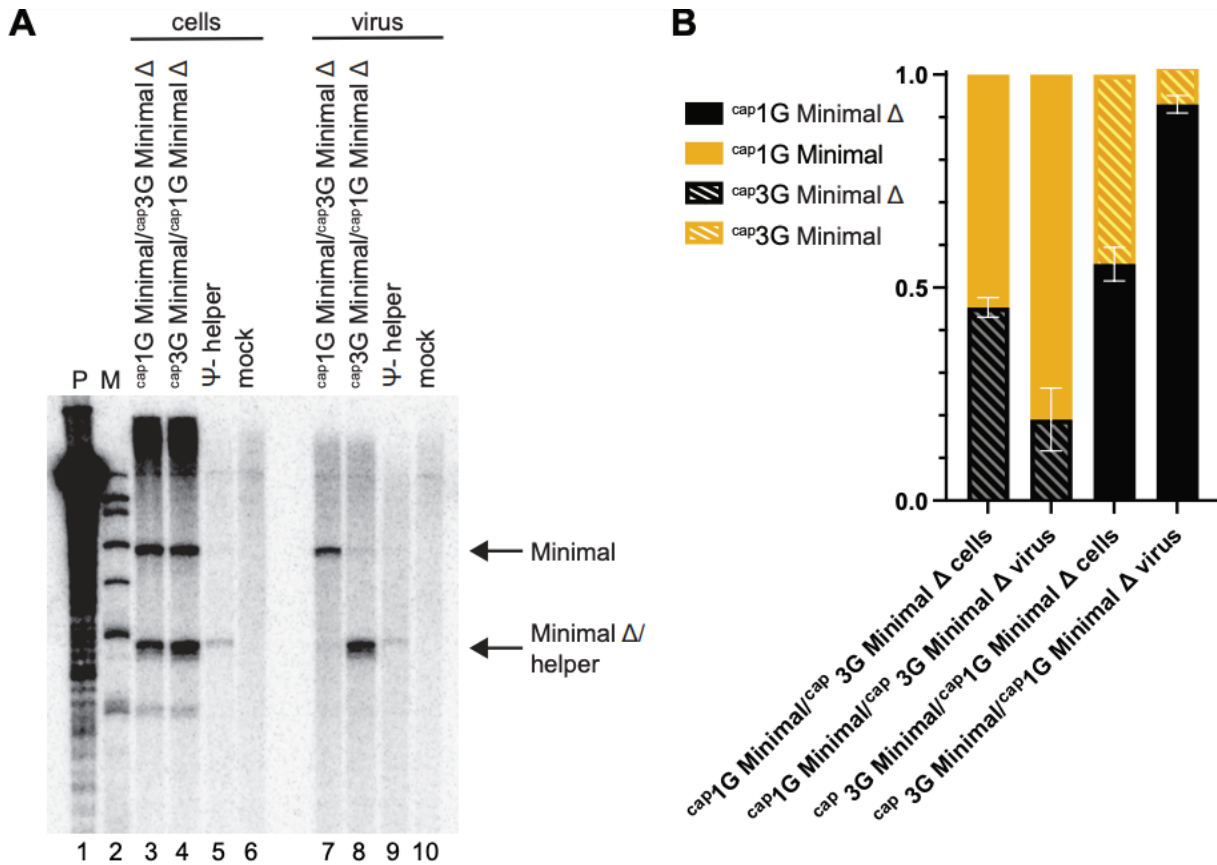


Fig. 4. ^{cap1G}-only RNAs outcompete ^{cap3G}-only RNAs for packaging. (A) Packaging efficiency in competitive conditions. RPA of cell and virus samples resulting from co-expression of Ψ^- helper with both ^{cap1G}-only and ^{cap3G}-only vectors. Protected probe fragments are indicated on the right. Lane designations indicate transfected vectors. P: undigested riboprobe; M: size markers; mock: mock-transfected cells. (B) Proportions of ^{cap1G} and ^{cap3G} RNAs in cells and virions, as determined by RPA using RNA samples from two independent experiments.

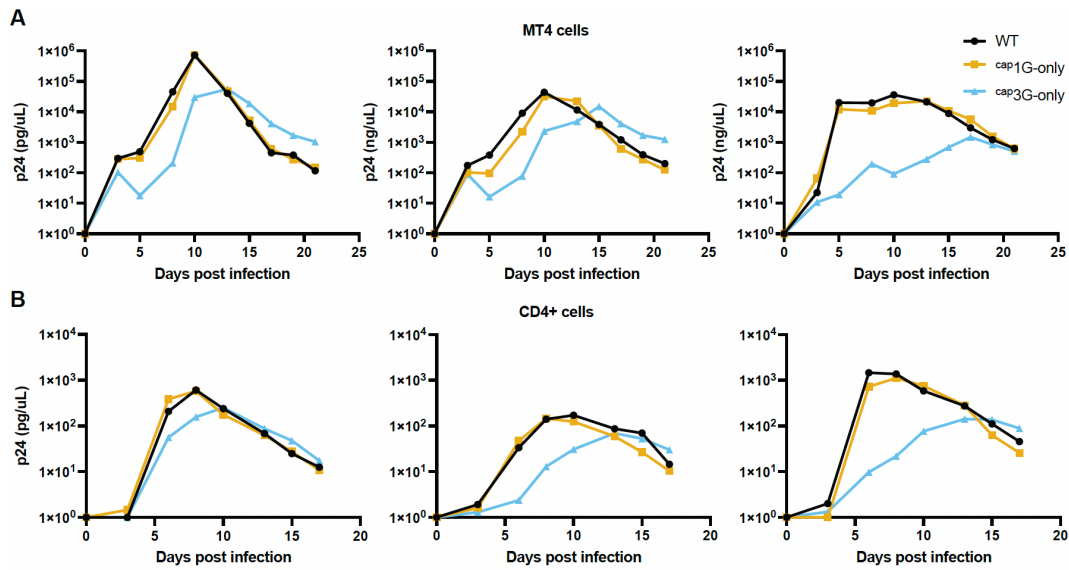


Fig. 5. Replication kinetics of the single TSS NL4-3 infectious viruses. Replication kinetics of the NL4-3 derivatives in MT4 cells (panel A) and in the primary blood CD4+ cells (B) as monitored by quantifying media RT levels and normalizing to p24. Each graph represents one independent experiment.

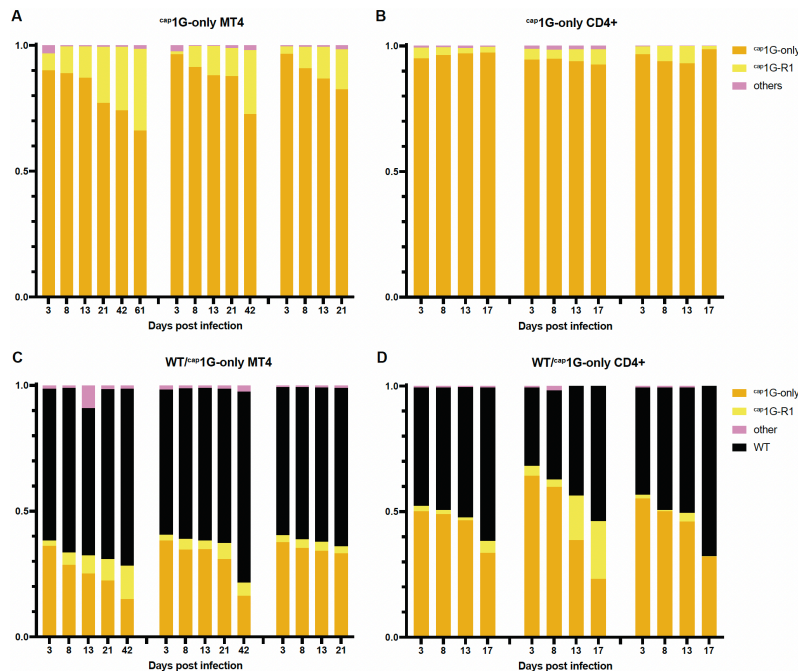


Fig.6. ^{cap1G}-only virus fitness and revertant selection. Proportions of TSS variants in ^{cap1G}-only virus infected MT4 (A) or primary CD4+ cells (B) at indicated timepoints, as observed by high throughput sequencing. (C) and (D) Changes in TSS variant proportions in MT4 (C) or primary CD4+ blood cells (D) co-infected with WT NL4-3 plus ^{cap1G}-only virus over time, as observed by high throughput sequencing. Each graph represents one independent experiment.

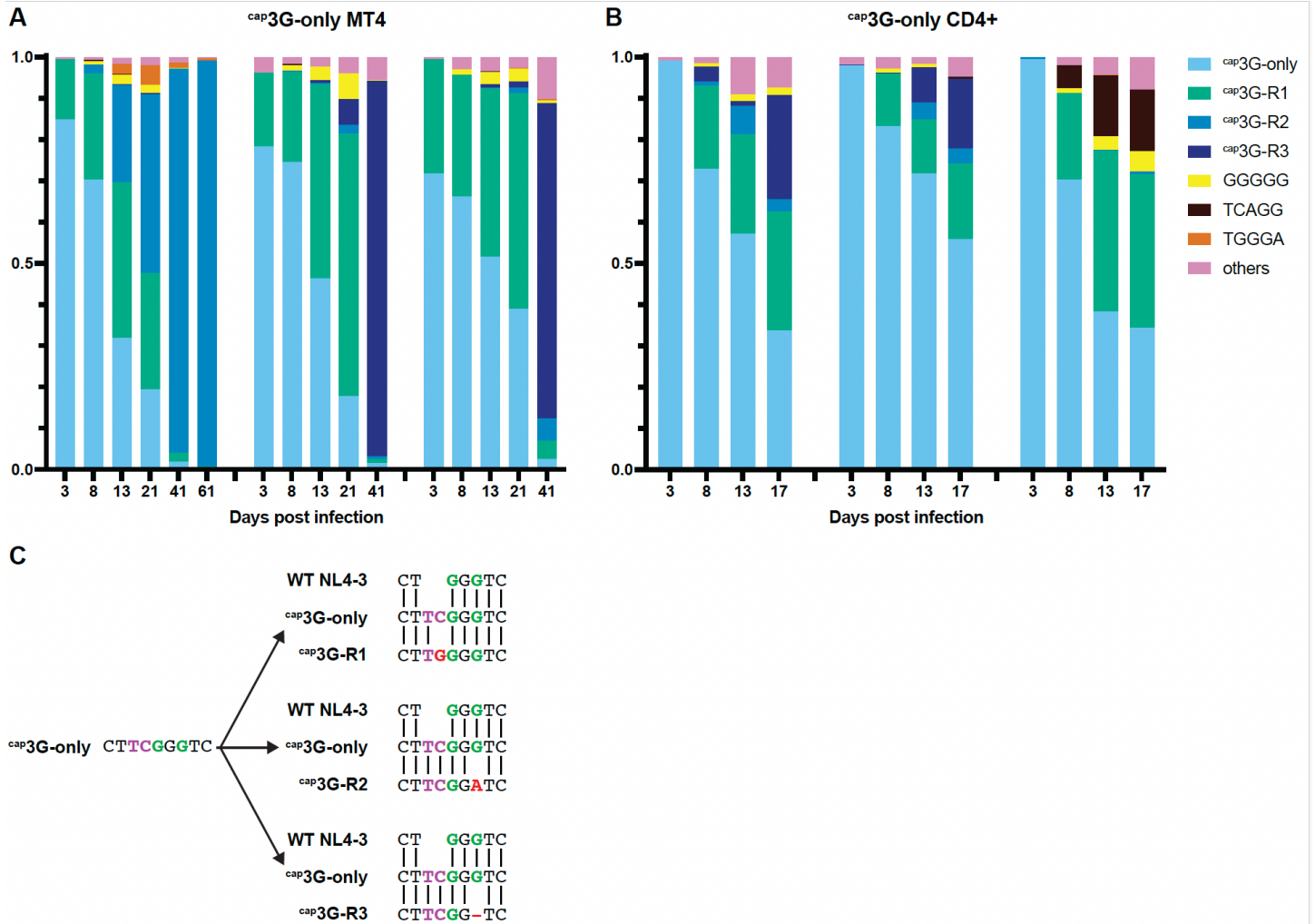


Fig. 7. Selection of ^{cap3G-only} virus revertants. Proportions of TSS variants in ^{cap3G-only} virus infected MT4 (A) and primary CD4+ cells (B) over time, as observed by high throughput sequencing. Each graph represents one independent experiment. (C) Alignment of the TSS sequences of the 3 most prominent ^{cap3G-only} virus revertants with the ancestral single TSS mutant and WT NL4-3.

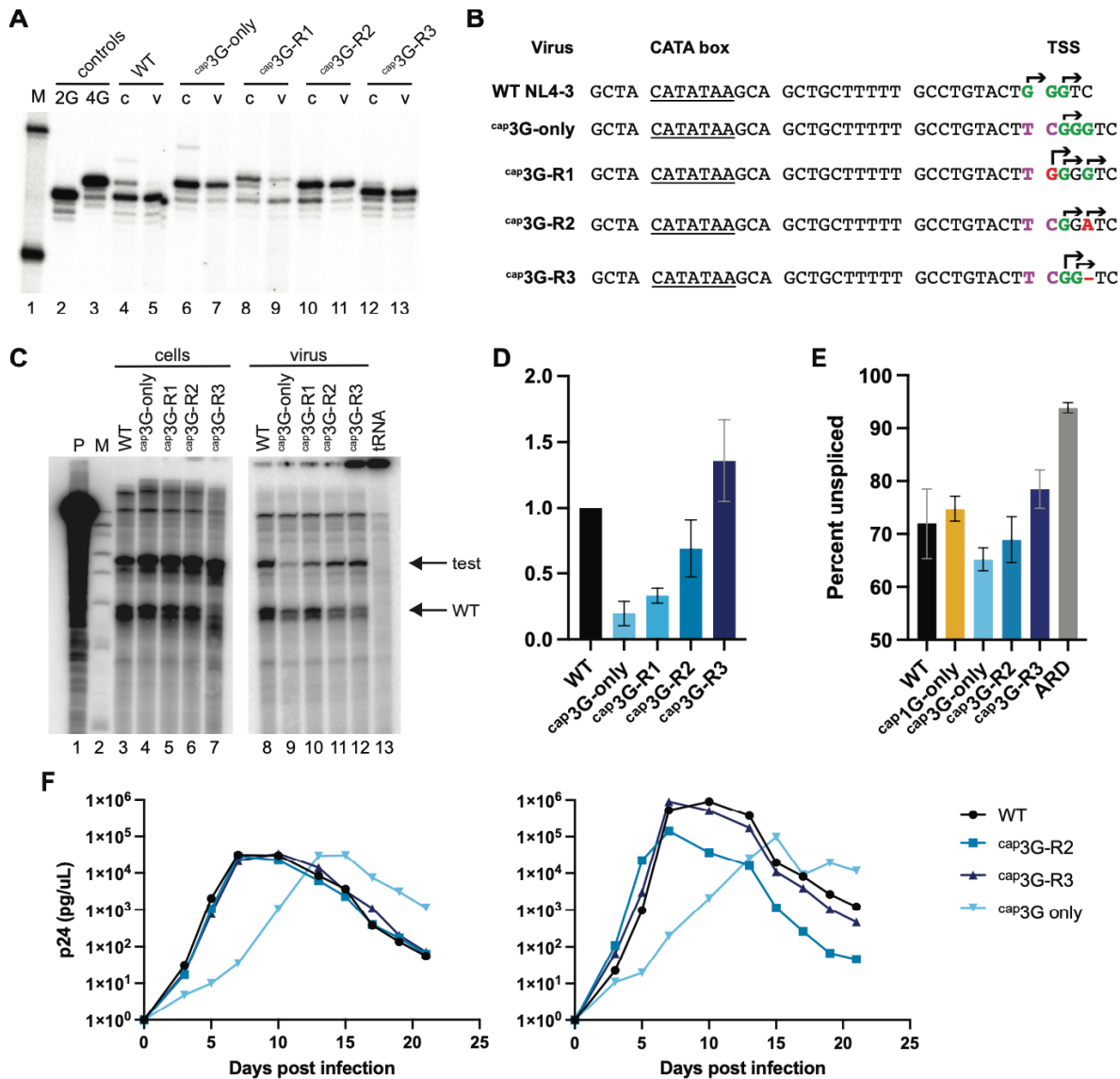


Fig. 8. ^{cap3G}-only revertants restore RNA functions and replication capacity. (A) Single base resolution assay RNA 5' ends produced by ^{cap3G}-only revertants. M: size markers; controls: 2G and 4G controls, which migrate at the positions of ^{cap1G} and ^{cap3G} products, respectively; RNA samples from cells (lanes indicated “c”) and virus (v). (B) Core promoter of NL4-3, ^{cap3G}-only mutant and revertants; CATA box underlined. The two major WT TSS are in green. Observed TSSs indicated with arrows. TC insertion responsible for the ^{cap3G}-only phenotype shown in purple. Mutations in the revertants shown in red. (C) Packaging of revertants in competition with Ψ^+ helper. Cell RPA samples p24 at left and virus at right. Lane designations indicate vectors co-transfected with Ψ^+ helper; P: undigested probe; M: size markers; tRNA: tRNA only control. (D) Packaging efficiency of the revertants. Calculated by dividing the ratio of vector to helper RNA in virions by the ratio in cells. Data from two independent transfection experiments. (E) Unspliced fraction of intracellular viral RNA, as assessed by high throughput sequencing (see Methods). ARD indicates cells infected in the presence of antiretroviral drugs and confirms predicted low-level unspliced background from input virions. Results from three independent infection replicates (F). Replication kinetics of infectious ^{cap3G}-only revertant clones in MT4 cells. Monitored by viral medium RT activity. Each graph represents one independent experiment.