

# Impact of Cytogenetic Abnormalities, Induction and Maintenance Regimens on Outcomes After High-Dose Chemotherapy and Autologous Stem Cell Transplantation in Patients With Newly Diagnosed Multiple Myeloma: A Decade-Long Real-World Experience

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# Abstract

**Background:** High-dose chemotherapy and autologous stem cell transplant (HDT-ASCT) has become a standard of care for transplant eligible newly diagnosed multiple myeloma (NDMM) patients. While cytogenetic abnormalities have been shown to affect outcomes after HDT-ASCT in clinical trials, these trials often exclude or underrepresent elderly patients with comorbidities and those belonging to ethnic minorities. We describe our institutional experience highlighting the impact of high-risk cytogenetic abnormalities (HRCAs) on outcomes after HDT-ASCT for NDMM patients.

**Methods:** A total of 449 patients with NDMM who underwent HDT-ASCT between February 2012 and August 2022 were included in this retrospective analysis. HRCAs included the presence of one or more of: deletion 17p, t(14;16), t(4;14), and amplification 1q. Survival analyses, including progression-free survival (PFS) and overall survival (OS), were performed using Kaplan-Meier estimator.

**Results:** With a median follow-up of 29 (1 - 128) months for the entire patient population, the best overall response rate for the patients with HRCAs was lower compared to those with standard risk cytogenetics (90% vs. 96%; P = 0.01). Patients with HRCAs had an inferior PFS compared to patients with standard-risk cytogenetics (29

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vs. 58 months; P < 0.001) without a difference in OS (70 months vs. not reached; P = 0.13).

**Conclusions:** In a multivariable analysis adjusting for factors including age, race, and comorbidities, HRCAs, non-lenalidomide-based maintenance, non-proteasome inhibitor-based maintenance, and age greater than 65 were associated with inferior PFS. Amongst these factors, only non-lenalidomide-based maintenance was associated with inferior OS.

Keywords: Myeloma; High-dose therapy; Transplant; High risk; Cytogenetics; Lenalidomide; Proteasome inhibitor

### Introduction

In transplant eligible newly diagnosed multiple myeloma (NDMM) patients, the combination of triplet or quadruplet induction chemotherapy followed by high-dose chemotherapy and autologous stem cell transplant (HDT-ASCT) with subsequent maintenance therapy has become the standard of care. The Intergroupe Francophone du Myelome (IFM) 2009 prospective study showed a significantly superior progression-free survival (PFS) with triplet induction, upfront ASCT followed by 1 year of maintenance lenalidomide (47.3 vs. 35 months) [1]. However, there was no overall survival (OS) benefit seen with upfront ASCT after a median follow-up of 8 years. The DETERMINATION trial, a phase III randomized controlled trial comparing triplet induction followed by early versus delayed ASCT for patients with NDMM revealed similar results with the upfront ASCT and prolonged maintenance lenalidomide (till progression), leading to a 21.3-month benefit in the median PFS but no improvement in OS [2]. Subsequent clinical trials have also shown a similar survival advantage with the addition of an anti-CD38 monoclonal antibody to a triplet backbone and use of second-generation proteasome inhibitors (PIs) leading to improved depth and duration of remission

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with upfront HDT-ASCT [3, 4]. The subgroup analyses of the GRIFFIN and FORTE trials indicated the presence of highrisk cytogenetic abnormalities (HRCAs) as one of the most important clinical factors determining the treatment outcomes in NDMM [5]. While Perrot et al developed the cytogenetic prognostic index based on the presence of certain cytogenetics, the definition of high-risk disease biology continues to evolve and varies amongst clinical trials [6]. These clinical trials underrepresent ethnic minorities and often exclude patients due to age, frailty, comorbidities, and organ dysfunction that otherwise could receive and benefit from ASCT. Hence, there remains a need to determine whether cytogenetic abnormalities impact efficacy outcomes after HDT-ASCT for NDMM in a real-world setting with many patients who would not have met eligibility criteria used in clinical trials. In this study, we describe a single-center 10-year experience highlighting the impact of cytogenetic risk on outcomes after upfront HDT-ASCT in a population enriched for the elderly (> 65 years), non-Hispanic Black, and renally impaired patients.

#### **Materials and Methods**

We conducted a retrospective analysis of all adult patients with NDMM who received induction chemotherapy followed by HDT-ASCT and maintenance chemotherapy between February 2012 and August 2022 at the Medical University of South Carolina. Institutional review board approval was obtained, and the study was conducted according to the Declaration of Helsinki. Patients were included if they had NDMM and had undergone induction chemotherapy followed by HDT-ASCT with day +100 response available at the time of data cutoff. Renal impairment was defined as serum creatinine > 2 mg/dL or creatinine clearance  $< 60 \text{ mL/min}/1.73 \text{ m}^2$ . Prior to ASCT, patients received one-time intravenous (IV) infusion of melphalan given at a dose of either 200 mg/m<sup>2</sup> or 140 mg/ m<sup>2</sup>. ASCT was performed either in the inpatient or outpatient setting based on physician discretion. Supportive care and infectious prophylaxis were administered as per institutional guidelines and standard operating procedures. Patients initiated maintenance chemotherapy at or around day +100 post ASCT. The choices of induction and maintenance regimens, transplant eligibility, and melphalan dosing (standard or reduced) were physician dependent. Relevant data pertaining to patient demographics, disease characteristics, treatment history, and safety and efficacy outcomes were extracted by investigators from the electronic health record. Based on the type of cytogenetic abnormalities, patients were stratified as having high-risk or standard-risk cytogenetics. HRCAs included the presence of one or more of: deletion 17p (del 17p), translocation 14;16 (t14;16), translocation 4;14 (t4;14), and amplification 1q (amp 1q) on fluorescent *in situ* hybridization (FISH) [7]. Gain of 1q (+1q) was considered as a standard risk cytogenetic abnormality. Adverse events were graded based on the Common Terminology Criteria for Adverse Events (CTCAE) v5.0 [8]. Responses were assessed based on International Myeloma Working Group (IMWG) response criteria at different time points including prior to ASCT, day +100, and yearly post

ASCT [9]. OS was calculated as the time from initiation of induction chemotherapy to death or last contact, and PFS was calculated as the time from initiation of induction chemotherapy to disease progression, death, or last contact.

Data were presented as counts with percentages for categorical variables and medians with ranges for continuous variables. Categorical variables were compared between cohorts using Chi-square or Fisher exact tests, with continuous variables compared using Mann-Whitney U tests. Kaplan-Meier survival curves and log-rank tests were used to examine OS and PFS by cytogenetic abnormalities and induction and maintenance regimens. Cox proportional hazards regression models were used to estimate hazard ratios (HR) and 95% confidence interval (CI) for the association of cytogenetics and type of induction and maintenance chemotherapy with OS, PFS while adjusting for pertinent patient and disease characteristics. A P value < 0.05 was considered statistically significant, all analyses were two-sided, and analyses were conducted using SPSS v28.

### Results

At the time of data cutoff, a total of 449 consecutive patients with NDMM had received induction chemotherapy followed by HDT-ASCT. The median patient age at the time of diagnosis was 60 (range 25 - 81) years with 35% (156/449) of the study population more than 65 years of age. Forty-two percent of the patients were non-Hispanic Black and 15% of the patients had impaired renal function at the time of ASCT. While 16% had HRCAs and 80% had standard risk cytogenetics, 24% of the patients in the standard-risk group and 44% of the patients in the high-risk group had gain of +1q abnormality. Patients had received a median of five (range 3 - 12) cycles of induction chemotherapy, and nearly 60% of the patients received lenalidomide-based induction. Among patients who received post-transplant maintenance therapy, 67% received lenalidomide-based maintenance, and a majority of these received single agent lenalidomide, while 14% of the patients received lenalidomide in combination with other agents for maintenance. Eleven percent of the study population did not receive post-transplant maintenance due to various reasons such as patient preference, progression of disease, or death. Baseline characteristics of these patients for each of the categories are presented in Table 1.

With a median follow-up of 29 months (range 1 - 128), patients with HRCAs had a lower overall response rate compared to patients with standard-risk cytogenetics (90% vs. 96%; P = 0.01). However, rates of very good partial response (VGPR) or better were not clinically or statistically different between the two groups (85% vs. 83%; P = 0.67). The overall response rate (ORR) at day +100 post ASCT were 95% and 88% for the patients with standard and HRCAs, respectively (P = 0.09) (Table 1). Patients with HRCAs had an inferior median PFS compared to patients with standard-risk cytogenetics (29 months vs. 58 months; P < 0.001) (Table 2, Fig. 1a). Similarly, the median OS was 70 months (range 1 - 101) in patients with HRCAs and was not reached (NR) (range 1 - 128) in the standard-risk group; however, this difference was not statisti-

Characteristics	High-risk cytogenetics (N = 73)	Standard-risk cytogenetics (N = 363)	P value
Median age, years (range)			
At diagnosis	60 (29 - 77)	60 (21 - 81)	0.86
At ASCT	62 (31 - 79)	62 (25 - 81)	0.71
Age > 65, n (%)	17 (23)	93 (26)	0.68
Sex, n (%)			
Male	39 (55)	203 (56)	0.70
Female	34 (45)	160 (44)	
Race/ethnicity, n (%)			
Non-Hispanic White	46 (61)	213 (82)	0.51
Non-Hispanic Black	27 (36)	161 (42)	
Other	2 (3)	6 (2)	
Body mass index, n (%)			
$< 30 \text{ kg/m}^2$	40 (55)	199 (55)	0.72
$\geq$ 30 kg/m <sup>2</sup>	33 (45)	164 (45)	
Subtype, n (%)			
IgG	39 (53)	230 (63)	
IgA	23 (32)	63 (18)	0.05
Light chain only	9 (12)	59 (163)	
Non-secretory	2 (3)	11 (3)	
Other			
Durie-Salmon Staging System (DSS), n (%)			
Ι	6 (8)	33 (9)	
II	10 (14)	63 (17)	
III	30 (41)	107 (30)	0.02*
Unknown	27 (37)	160 (44)	
International Staging System (ISS), n (%)			
Ι	8 (10)	104 (29)	
II	18 (25)	87 (24)	
III	18 (25)	86 (24)	0.10
Unknown	29 (40)	86 (23)	
Revised International Staging System (R-ISS), n (%)			
Ι	4 (5)	52 (14)	
II	12 (16)	51 (14)	< 0.001*
III	15 (21)	19 (6)	
Unknown	42 (58)	241 (66)	
ECOG performance status, n (%)			
0	10 (14)	63 (17)	
1	59 (81)	287 (79)	0.17
2+	4 (5)	7 (2)	
Missing	0	6 (2)	
Cytogenetic abnormalities <sup>a</sup> , n (%)			
Del 17p	36 (48)	0	
t4;14	34 (45)	0	
t14;16	6(1)	0	< 0.001*
t14;20	1 (1)	2 (1)	
Amp 1q	3 (4)	0	
Gain 1q	33 (44)	89 (24)	
HCT-CI, n (%)			
0 - 1	17 (35)	94 (40)	
2 - 3	15 (31)	92 (39)	0.097

Table 1. Patient, Disease, and Response Characteristics - (continued)

Characteristics	High-risk cytogenetics (N = 73)	Standard-risk cytogenetics (N = 363)	P value
4+	17 (35)	48 (21)	
sCr > 2 or CrCl < 60 mL/min/1.73 m <sup>2</sup> at ASCT, n (%)			
Yes	13 (18)	53 (14)	
No	44 (60)	264 (73)	
Missing	16 (22)	46 (13)	0.27
Melphalan dose, n (%)			
200 mg/m <sup>2</sup>	52 (71)	286 (79)	
$140 \text{ mg/m}^2$	21 (29)	77 (21)	0.16
Induction regimen, n (%) <sup>b</sup>			
RVd	29 (39)	207 (57)	
Dara-RVd	6 (8)	26 (7)	
CyBordD	1 (3)	76 (21)	0.01*
Carfilzomib-based	9 (11)	23 (6)	
Other	28 (39)	31 (9)	
Maintenance regimen, n (%) <sup>c</sup>	× - 2		
Lenalidomide	37 (51)	264 (72)	
Lenalidomide + PI	19 (26)	26 (7)	
Lenalidomide + daratumumab	4 (5)	13 (4)	< 0.001*
PI only	2 (4)	13 (4)	
Other	4 (5)	4(1)	
None	7 (9)	43 (12)	
Best overall response post ASCT, n (%)			
sCR/CR	33 (45)	161 (44)	
VGPR	29 (39)	140 (38)	
PR	4 (5)	47 (13)	0.01*
SD	4 (5)	3(1)	
PD	3 (5)	4(1)	
Response prior to ASCT, n (%)		. (-)	
sCR/CR	11 (15)	61 (17)	
VGPR	27 (37)	155 (44)	
PR	32 (44)	135 (37)	0.34
SD	3 (4)	5(1)	
PD	0(0)	4(1)	
Response at day +100 post ASCT, n (%)		. (-)	
scR/CR	29 (40)	115 (32)	
VGPR	34 (47)	161 (44)	
PR	3 (4)	59 (16)	
SD	1(1)	3(1)	0.09
PD	2(3)	7 (7)	0.09
Response at 2-years post ASCT, n (%)	- (- )		
sCR/CR	14 (19)	82 (23)	
VGPR	9(12)	61 (17)	
PR	1(1)	21 (6)	0.16
SD	2 (3)	11 (3)	0.10
PD	15 (21)	45 (12)	

<sup>a</sup>Percentages may total greater than 100% as patients may have more than one cytogenetic abnormality. <sup>b</sup>P value for RVd vs. other. <sup>c</sup>P value is for lenalidomide alone vs. other maintenance regimens. \*P < 0.05. RVD: lenalidomide - bortezomib - dexamethasone; Dara-RVD: daratumumab - lenalidomide - bortezomib - dexamethasone; CyBorD: cyclophosphamide - bortezomib - dexamethasone; Ig: immunoglobulin; ECOG: Eastern Cooperative Oncology Group; ISS: International Staging System; HCT-CI: hematopoietic cell transplantation comorbidity index; PI: proteasome inhibitor; sCR/CR: stringent complete response; CGPR: very good partial response; PR: partial response; SD: stable disease; PD: progressive disease.

	PFS, median months (range)	P value	OS, median months (range)	P value	Best ORR, %	P value	Overall VGPR, %	P value
Cytogenetics								
High-risk	29 (1 - 100)	< 0.001*	70 (1 - 101)	0.13	90	0.01	85	0.67
Standard-risk	58 (1 - 123)		NR (1 - 128)		96		83	
Standard-risk								
With +1q	38 (1 - 123)	0.01*	60 (1 - 123)	0.01*	98	0.64	82	0.84
Without +1q	76(1 - 122)		NR (1 - 128)		96		83	
Induction regimen								
Lenalidomide-based	53 (1 - 112)	0.05*	NR (1 - 112)	0.02*	96	0.41	84	0.09
Non-lenalidomide-based	39 (1 - 123)		78(1 - 123)		94		83	
Maintenance regimen								
Lenalidomide-based	53 (1 - 123)	0.003*	104	0.02*	98	< 0.001*	87	0.16
Non-lenalidomide-based	38 (2 - 91)		NR		91		86	
Maintenance regimen								
PI-based	54 (11 - 97)	0.43	NR	0.82	94	0.23	86	0.054
Non-PI-based	46 (37 - 55)		92 (NR)		89		76	

Table 2. Survival Outcomes and Response Rates by Factors of Cytogenetic Risk Profile, Induction, and Maintenance Regimen

cally significant (P = 0.13) (Fig. 1b). Compared to the patients without +1q abnormality, patients with this cytogenetic abnormality had inferior PFS (38 vs. 76 months, P = 0.01) as well as OS (60 vs. NR, P = 0.01) without a difference in best ORR (98% vs. 96%, P = 0.64) and VGPR or better (82% vs. 83%, P= 0.84) rates (Table 2, Fig. 2a, b). Patients who received lenalidomide-based induction therapy had a similar best ORR (96% vs. 94%, P = 0.41) and VGPR or better (84% vs. 83%, P = 0.09) when compared to those who did not receive lenalidomide as part of induction, respectively. However, patients who received lenalidomide-based induction therapy had a superior PFS (53 months, range 1 - 112) as compared to those who did not (39 months, range 1 - 123) (P = 0.05) (Fig. 3a). In addition, the OS was NR (1 - 112) in the lenalidomide-based induction group vs. 78 months (1 - 123) in non-lenalidomide-based induction group (P = 0.02) (Fig. 3b). Patients who received lenalidomide as part of maintenance therapy had a similar best ORR (98% vs. 91%, P < 0.001) and VGPR or better (87% vs. 86%, P = 0.16) when compared to those who did not receive lenalidomide as part of maintenance, respectively. However, patients who received lenalidomide-based maintenance had superior PFS (53 months, range 1 - 123) as compared to those who did not receive lenalidomide (38 months, range 2 - 91) as part of maintenance (P = 0.003) (Fig. 4a). On the contrary, the OS was 104 months in the lenalidomide maintenance group and was NR in the nonlenalidomide group (P = 0.02) (Fig. 4b). Patients who received PI-based maintenance therapy had a numerically better ORR (94% vs. 89%, P = 0.23) and VGPR or better (86% vs. 76%, P= 0.054) when compared to those who did not receive PI as part of maintenance, respectively, but statistical significance was not reached (Table 2). Patients who received PI-based maintenance had numerically better PFS (54 months, range 11 - 97) as compared to those who received non-PI-based maintenance (46 months, range 37 - 55) (P = 0.46) (Fig. 5a). The OS was NR in

the PI-based group and was 92 months (range NR) in non-PI group (P = 0.82) (Fig. 5b).

Individuals with +1q mutation were compared with standard and high-risk cytogenetic profiles. These two groups had no significant differences in baseline characteristics, apart from the cytogenetic risk profiles (Supplementary Material 1, www.thejh.org). The use of chemotherapy induction regimens favored a carfilzomib-based induction in the high-risk group compared to the standard-risk group (P = 0.045). There was an increased PFS (38 months, range 24 - 73) in the standard-risk group compared to the high-risk group (19 months, range 11 - 44) (P = 0.03) with no observed differences in OS (Supplementary Material 2, www.thejh.org).

In the univariate analysis for the entire population, several factors including high-risk cytogenetics, lenalidomide-based induction, and lenalidomide-based maintenance with inferior PFS and OS (Table 3). After adjusting for other factors including patient age, ethnicity, renal dysfunction, disease stage, cytogenetics, melphalan dose, lenalidomide-based induction, lenalidomide-based maintenance, and PI-based maintenance; a multivariate analysis showed high-risk cytogenetic profile was associated with superior PFS (HR 0.29, CI 0.14 - 0.57) but not OS. Age greater than 65 was associated with inferior PFS (HR 2.28, CI 1.16 - 4.47), but not OS. Lenalidomide-based maintenance was associated with both superior PFS (HR 0.27, CI 0.12 - 0.61) and OS (HR 0.16, CI 0.05 - 0.46). PI-based maintenance was associated with superior PFS (HR 0.19, CI 0.07 - 0.51), but not OS (Table 4).

#### Discussion

The role of upfront HDT-ASCT to achieve deep and durable



Figure 1. Progression-free survival (a) and overall survival (b) based on cytogenetic profile.

remission in patients with NDMM has remained a debatable topic, especially in those with HRCAs. The IFM 2009 study comparing upfront vs. delayed ASCT with limited duration maintenance demonstrated PFS benefit in standard-risk patients with upfront ASCT, but not in individuals with HRCAs. On the contrary, the DETERMINATION trial comparing upfront vs. delayed ASCT with ongoing maintenance revealed significant PFS benefit with upfront ASCT in the NDMM patients with HRCAs. Similarly, the FORTE study showed improvements in PFS with carfilzomib- and lenalidomide-based induction followed by ASCT including patients with one or more HRCAs. However, these landmark trials which have established the role of HDT-ASCT for NDMM utilized different induction and maintenance regimens and excluded certain patient populations including those greater than 65 years of age and patients with comorbidities like impaired renal function. Moreover, ethnic minorities have been underrepresented in these trials which were largely conducted in Europe and the United States. The definition of HRCAs and risk stratification also varied based on contemporary consensus and evolution-



Figure 2. Progression-free survival (a) and overall survival (b) based on presence of +1q abnormality among standard risk.

ary evidence. With analyses of patients with older age (> 65 years), ethnic minorities, and significant renal dysfunction, our study incorporates individuals seen in daily real-world clinical practice who would have otherwise been excluded from clinical trials. Like previously published evidence from randomized controlled trials, our study shows patients with HR-CAs remain at a high-risk of disease progression with an inferior PFS. While there was no difference in OS, this could be related to short follow-up, and a difference may emerge with longer follow-up.

In addition to the HRCAs currently incorporated into the Revised International Scoring System (R-ISS), gain of chro-

mosome 1q is a common cytogenetic abnormality (40% of all NDMM patients) which has a debatable impact on prognosis [10]. Earlier studies such as IFM99-02, IFM99-04, CMG2002 trial, and Total Therapy 2 trial (TT2) showed patients with +1q21 had inferior outcomes [11]. However, a large meta-analysis concluded when adjusted for other HRCAs, +1q was not found to be a significant prognostic factor [12]. Hence, as part of our study, we have analyzed the outcomes based on presence of +1q abnormality. Around one-fourth of our study population had +1q abnormality, and about one-third of these were associated with another HRCA. Our study demonstrated individuals with only +1q abnormality and no other HRCAs



Figure 3. Progression-free survival (a) and overall survival (b) based on lenalidomide-based induction.

still had an inferior PFS and OS when compared to individuals without +1q abnormality, thus highlighting the negative prognostic impact of this cytogenetic abnormality. However, in the multivariate analysis adjusting for factors affecting survival outcomes, presence of +1q abnormality was not associated with inferior PFS or OS.

Our study also shows patterns of utilization of induction and maintenance regimens with most patients receiving lenalidomide-based therapies in a real-world setting. Lenalidomide-based maintenance therapy was associated with superior PFS and OS in a multivariate analysis after adjusting for other factors. A majority of patients (about 70%) received lenalidomide for maintenance, either as a single agent or in combination with other agents. The study confirms that compared to no maintenance or observation (11% of the study patients), patients receiving maintenance therapy post ASCT had superior outcomes. Due to the small number of individuals who received lenalidomide in combination with other agents like PI or daratumumab, we do not have statistically significant data comparing single agent lenalidomide maintenance with combination therapies. Similarly, a relatively small proportion of patients (13%) received PI-based maintenance therapies in the



Figure 4. Progression-free survival (a) and overall survival (b) based on lenalidomide-based maintenance.

HRCAs group which did not show a statistically significant difference in outcomes.

One additional strength of this study is the strong presence of a non-Hispanic Black population which is usually underrepresented in clinical trials. The prevalence of multiple myeloma (MM) is higher in non-Hispanic Black patients when compared to other populations, but given their limited representation in clinical trials, it is difficult to generalize the results of these clinical trials. In our study, non-Hispanic Black patients comprised 42% of the patient population, were diagnosed at a younger age (59 vs. 62 years) and had a lower incidence of HRCAs compared to non-Hispanic White patients (16% vs. 23%), which is consistent with previously published studies [13]. In univariable and multivariable analyses, race/ethnicity was not found to be associated with a statistically significant difference in outcomes, highlighting that non-Hispanic Black patients derive a similar benefit from HDT-ASCT.

Despite multiple merits, there are also several limitations in our study. Although the depth and duration of response with HDT-ASCT as seen in our study are similar to the outcomes reported in clinical trials and other real-world experiences, an inherent limitation of this analysis is its retrospective nature, and hence, the results need to be interpreted with caution.



Figure 5. Progression-free survival (a) and overall survival (b) based on proteasome inhibitor vs. non-proteasome inhibitor-based maintenance.

While our study focused on most relevant HRCAs as agreed upon by the IMWG, other risk factors including presence of circulating plasma cells, extramedullary disease, and gene expression profiling that impact outcomes in NDMM were not studied in our analysis. We only included patients referred for transplant consultation and eventually underwent ASCT at our center. While there is a fair representation of the elderly, non-Hispanic Black, and renally impaired patient populations, the study does not include patients who were deemed ineligible for ASCT due to other barriers like frailty, poor performance status, and other comorbidities. Hence, there is some degree of selection bias for the physiologically fit patients undergoing ASCT. Moreover, response assessments were only performed at specific time points for Center for International Blood and Marrow Transplant Research (CIBMTR) reporting (pre-ASCT, day +100, and yearly after ASCT); hence, these response assessments are limited when compared with the prospective setting of a clinical trial. Many of the patients received induction

Univariate analysis	PFS HR (95% CI)	OS HR (95% CI)
Cytogenetic risk profile: high-risk	2.085 (1.483 - 2.931)	1.421 (0.897 - 2.252)
Presence of +1q abnormality	0.761 (0.417 - 1.387)	1.250 (0.618 - 2.532)
ISS II/III	1.183 (0.881 - 1.588)	1.197 (0.821 - 1.743)
Lenalidomide-based induction	0.740 (0.550 - 0.996)	0.628 (0.430 - 0.919)
Melphalan 200 mg/m <sup>2</sup>	0.797 (0.566 - 1.123)	0.786 (0.512 - 1.207)
Proteasome inhibitor-based maintenance	0.852 (0.572 - 1.271)	0.942 (0.571 - 1.554)
Lenalidomide-based maintenance	0.426 (0.318 - 0.570)	0.376 (0.263 - 0.538)
Age > 65	1.068 (0.801 - 1.423)	1.064 (0.738 - 1.535)
Race/ethnicity: White vs. African American	0.955 (0.718 - 1.269)	1.018 (0.711 - 1.456)
HCT-CI 3+	0.823 (0.478 - 1.416)	1.053 (0.481 - 2.306)

Table 3. Univariate Analysis of Factors Associated With PFS and OS

ISS: International Staging System; PFS: progression-free survival; OS: overall survival; HCT-CI: hematopoietic cell transplantation specific comorbidity index; HR: hazard ratio; CI: confidence interval.

and maintenance chemotherapy with community providers, hence, data on safety of these regimens were not available. The choices of induction and maintenance regimens, transplant eligibility, and melphalan dosing (standard or reduced) were physician dependent and the underlying rationale for physicians' decision could not be accurately determined due to the retrospective nature of the study. Minimal residual disease (MRD) measurement has become a surrogate for PFS and OS, regardless of cytogenetic abnormalities and has been reported as an important efficacy endpoint in clinical trials evaluating the role of HDT-ASCT [14, 15]. MRD status was not available for the majority of these patients, as routine measurement of MRD status was not an institutional practice. Nevertheless, our work is strengthened using data from a single center over a period of 10 years, reducing variability of practice patterns and improving reliability of our findings. More importantly, this data set incorporates collaboration of care between community physicians and an academic medical center for patients with NDMM and is a true reflection of the real-world management of these patients.

#### Conclusions

Cytogenetic abnormalities and lenalidomide-based induction and maintenance therapies had significant impact on efficacy outcomes after HDT-ASCT in a large cohort of patients with NDMM treated in a real-world setting. HRCAs were associated with inferior PFS but not OS when compared to standardrisk patients. Similarly, patients treated with lenalidomidebased maintenance regimens had superior PFS and OS when compared to non-lenalidomide-based therapies.

 $*P \le 0.05$ . NR: not reached; PFS: progression-free survival; OS: overall survival; CR: complete response; ORR: overall response rate, PI: proteasome inhibitor; VGPR: very good partial response.

#### **Supplementary Material**

**Suppl 1.** Patient, disease, and response characteristics for +1q standard-risk vs. +1q high-risk characteristics.

Multivariate analysis	PFS HR (95% CI)	OS HR (95% CI)
Cytogenetic risk profile: high-risk	0.285 (0.142 - 0.572)	0.699 (0.218 - 2.236)
Presence of +1q abnormality	1.682 (0.922 - 3.068)	1.916 (0.752 - 4.881)
ISS II/III	1.628 (0.854 - 3.102)	1.266 (0.467 - 3.433)
Lenalidomide-based induction	1.502 (0.681 - 3.310)	1.447 (0.427 - 4.910)
Melphalan 200 mg/m <sup>2</sup>	1.110 (0.493 - 2.500)	1.727 (0.464 - 6.428)
Proteasome inhibitor-based maintenance	0.191 (0.072 - 0.508)	0.306 (0.077 - 1.209)
Lenalidomide-based maintenance	0.267 (0.118 - 0.606)	0.158 (0.054 - 0.458)
Age > 65	2.280 (1.162 - 4.474)	1.848 (0.642 - 5.317)
Race/ethnicity: White vs. African American	0.815 (0.434 - 1.531)	1.123 (0.424 - 2.973)
HCT-CI 3+	0.653 (0.301 - 1.416)	1.169 (0.391 - 3.492)

Table 4. Multivariate Analysis of Factors Associated With PFS and OS

ISS: International Staging System; PFS: progression-free survival; OS: overall survival; HCT-CI: hematopoietic cell transplantation specific comorbidity index; HR: hazard ratio; CI: confidence interval. **Suppl 2.** Progression-free survival (a) and overall survival (b) based on +1q standard-risk vs. +1q high-risk cytogenetics.

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# **Conflict of Interest**

Hamza Hashmi is on advisory boards of Sanofi, BMS, and Janssen. No other authors have any conflict of interests to disclose.

## **Informed Consent**

Since no human participants were present, there was no need to obtain an informed consent.

# **Author Contributions**

AT, WW, and HH wrote the manuscript. All authors reviewed the final manuscript before submission.

# **Data Availability**

The authors declare that data supporting the findings of this study are available within the article.

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