# **CONDENSED MATTER PHYSICS**

# Decoupled ultrafast electronic and structural phase transitions in photoexcited monoclinic VO<sub>2</sub>

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Photoexcitation has emerged as an efficient way to trigger phase transitions in strongly correlated materials. There are great controversies about the atomistic mechanisms of structural phase transitions (SPTs) from monoclinic ( $M_1$ -) to rutile (R-) VO<sub>2</sub> and its association with electronic insulator-metal transitions (IMTs). Here, we illustrate the underlying atomistic processes and decoupling nature of photoinduced SPT and IMT in nonequilibrium states. The photoinduced SPT proceeds in the order of dilation of V-V pairs and increase of twisting angles after a small delay of ~40 fs. Dynamic simulations with hybrid functionals confirm the existence of isostructural IMT. The photo-induced SPT and IMT exhibit the same thresholds of electronic excitations, indicating similar fluence thresholds in experiments. The IMT is quasi-instantaneously (<10 fs) generated, while the SPT takes place with time a constant of 100 to 300 fs. These findings clarify some key controversies in the literature and provide insights into nonequilibrium phase transitions in correlated materials.

#### **INTRODUCTION**

Vanadium dioxide (VO<sub>2</sub>) is one of the archetypal strongly correlated materials (1, 2). It undergoes a first-order phase transition from the monoclinic  $(M_1)$  insulating phase to the rutile (R) metallic phase at  $T_{\rm c} \approx 340$  K (3). The charge, lattice, orbital, and spin degrees of freedom are strongly coupled together. The complex interactions of multiple degrees of freedom lead to various phase transition pathways of insulator-metal transition (IMT) in VO<sub>2</sub> (4-7), obscuring their underlying mechanisms. Photoexcitation via ultrafast laser pulses has emerged as an efficient route to trigger the phase transitions of strongly correlated materials (8-10). The intense laser pulses can suddenly change the potential energy surface (PES) of lattice via electronic excitations (11, 12), which enables the nonthermal pathways for structural phase transition (SPT) of  $M_1$ -VO<sub>2</sub> within 500 fs (13, 14). The ultrafast SPT from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub> is first demonstrated in ultrafast optical and x-ray diffraction measurements (13). Baum et al. (14) proposed the atomistic mechanisms of photoinduced SPTs from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub>, including bond dilation, atomic displacements, and sound wave shear motions. However, the critical role of atomic disordering was also identified during photoinduced SPTs (15), and other complexities-e.g., doping, defects, and strain effects-lead to rather inhomogeneous behaviors (16, 17). The atomistic mechanisms of SPT/IMT following photoexcitation commonly escape the direct experimental detections.

It remains highly controversial whether laser pulses can induce decoupled SPT and IMT in photoexcited nonequilibrium states (5, 9, 11, 18–23). Early experiments emphasized the importance of lattice distortions in photoinduced IMT in VO<sub>2</sub> (13), while recent time-resolved experimental studies revealed the different time scales for IMTs and structural transformations following photoexcitation (14, 23). Wegkamp *et al.* (24) observed the quasi-instantaneous transformation of  $M_1$ -VO<sub>2</sub> into a metal, while time-resolved electron

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diffraction usually shows the time scale of 100 to 500 fs for the dilation of V-V dimers of  $M_1$ -VO<sub>2</sub> (15, 17, 25). Morrison *et al.* (25) proposed the metal-like metastable state within the  $M_1$  configuration, according to the fluence threshold difference in ultrafast electron diffraction and infrared transmissivity experiments. However, Vidas *et al.* (26) argued that the threshold difference can be attributed to heat accumulation in multimodal experiments; therefore, SPT and IMT is a single process. Key to these debates is accurate characterization of the nature and features (thresholds, time scales, etc.) for the photoinduced ultrafast SPT and IMT.

In this work, we perform extensive, accurate, real-time timedependent density functional theory (rt-TDDFT) simulations to reveal the underlying mechanisms of photoinduced SPT and IMT in  $M_1$ -VO<sub>2</sub>. The photoexcitation was found to trigger decoupled ultrafast SPT and IMT. Photoexcitation produces holes in the  $d_{\parallel}$ valence band, which initiates the dilation of V-V pairs and the increase of twisting angles, driving SPT from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub>. The calculated SPT rates and thresholds are in good agreement with the available experimental data. The dynamic hybrid functional calculations confirm the emergence of isostructural IMT in  $M_1$ -VO<sub>2</sub> and the decoupled IMT and SPT dynamics. The hole-induced gap collapse in  $M_1$ -VO<sub>2</sub> occurs with the filling of interval energy levels and the upshift of  $d_{||}$  band. The calculated thresholds of electronic excitations are nearly identical for SPT and IMT, indicating the same fluence thresholds in experiments. The transition times of SPT and IMT differ by hundreds of femtoseconds, confirming the existence of photoinduced short-lived isostructural metallic M1-VO2 transient.

# **RESULTS AND DISCUSSION**

# Atomic structures of VO<sub>2</sub>

The low-temperature  $M_1$ -VO<sub>2</sub> phase (Fig. 1A) is characterized by the dimerization of V chains along the *c* axis of high-temperature *R*-VO<sub>2</sub> phase (Fig. 1B), leading to the doubling of unit cell volume. Compared to the V-V bond length  $d_R = 2.88$  Å in *R*-VO<sub>2</sub>, the dimerization leads to shorter and longer bond lengths  $d_S = 2.47$  Å and  $d_L = 3.17$  Å, while the zigzag patterns give rise to V-V-V twisting angle  $\theta \approx 165^\circ$  in  $M_1$ -VO<sub>2</sub>. The calculated bandgap of ~0.7 eV

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**Fig. 1. The atomistic structure and photoinduced electronic excitation.** The atomic configuration of low-temperature insulator  $M_1$ -VO<sub>2</sub> phase (**A**) and high-temperature metallic R-VO<sub>2</sub> phase (**B**). The vanadium and oxygen atoms are shown in green and orange, respectively. (**C**) Envelope of 800-nm laser pulses with the maximum electric field  $E_0$  of 0.20 V/Å and the evolution of density of photoexcited holes  $\rho_h$  in  $M_1$ -VO<sub>2</sub>. e/f.u., electrons per formula unit. (**D**) Final hole density  $\rho_h$  after photoexcitation as a function of maximum electric field strength  $E_0$  of laser pulse.

(fig. S1A) for  $M_1$ -VO<sub>2</sub> is close to the experimental value of 0.6 eV (2), and the metallic *R*-VO<sub>2</sub> band structure is also reproduced (fig. S1B). The dimerization of V-V pairs and the Hubbard correction (*U*) jointly affect the IMT. The *d*-orbital bonding states of V-V pairs ( $d_{\parallel}$ ) lie right below the Fermi level, and the shift of  $d_{\parallel}$  band is strongly correlated with the IMT. The projected density of states analysis shows the dominant contributions of V  $d_{x2-y2}$  state to the  $d_{\parallel}$  band (fig. S1A).

#### Photoinduced ultrafast SPT

The photoinduced structural dynamics of  $M_1$ -VO<sub>2</sub> were simulated with nonadiabatic rt-TDDFT (27, 28). After adequate equilibration to include disorder effects, the  $2 \times 2 \times 2$  supercell of  $M_1$ -VO<sub>2</sub> was irradiated with the laser pulse of  $E(t) = E_0 \cos[2\pi (c/\lambda)t] \exp[-(t-t_0)^2/2\sigma^2]$ (Fig. 1C). We used the laser pulse with the wavelength  $\lambda = 800$  nm and the width  $\sigma = 6$  fs centered at  $t_0 = 20$  fs, and the laser wavelength of 800 nm is consistent with experimental setups (13-15, 17, 25). The electric field E(t) is along the *a* direction, and the maximum electric field strength  $E_0$  ranges from 0.05 to 0.35 V/Å. The laser pulses (800 nm) excite the valence electrons at the  $d_{\parallel}$  band to the conduction band, inducing equivalent electron and hole doping in  $M_1$ -VO<sub>2</sub>. The hole doping weakens the V-V short bonds (29), dominating the dilation of V-V pairs and the succeeding SPT processes (fig. S2, A to E). The effective electronic excitations were then defined by the density of photoexcited holes ( $\rho_h$ ) at t = 40 fs (Fig. 1C). Figure 1D shows that  $\rho_h$  ranges from 0.06 to 1.54 electrons per formula unit (e/f.u.) for simulations with  $E_0$  changing from 0.05 to 0.35 V/Å. For

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convenience, below, we use the density of excited holes instead of electric field strength of laser pulses to characterize the intensity of photoexcitation.

Both bond lengths and twisting angles can be used as the order parameters for the SPT from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub>. Figure 2A shows the temporal evolution of average length of short bonds  $(d_S)$  and long bonds ( $d_{\rm L}$ ). The mean responses reflect well the ultrafast lattice dynamics responding to sudden change of PES (fig. S2), and the spreads from mean evolutions indicate the disorder effects from thermal fluctuations. For  $\rho_h > 0.5$  e/f.u., the length of long bonds  $(d_{\rm L})$  and short ones  $(d_{\rm S})$  quickly transforms to  $d_{\rm R}$  within the 260-fs-long simulations, indicating the ultrafast SPT from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub>. Consistently, the twisting angle  $\theta$  also undergoes the accompanying increase during corresponding electronic excitations (Fig. 2B), and the initiation of bond dilations (at  $t \approx 30$  fs) is ~40 fs earlier than that of the increase of twisting angles (at  $t \approx 70$  fs). The delay between dimer dilation and twisting angle increase is seemingly in accord with the two-step SPT mechanism (14), but the delay time is much smaller here. This small delay may be washed out in measurements considering current experimental accuracy. Figure 2C exhibits the decrease of charge density between V-V pairs at t = 40 fs, reflecting the excitations of  $d_{\parallel}$  band and the driving forces for the bond dilation. The photoexcited electrons are primarily located around individual V atoms.

The oscillations in bond lengths and twisting angles were observed at  $\rho_h = 0.90$  e/f.u. (Fig. 2, A and B). The oscillations of



**Fig. 2. The photoinduced ultrafast SPT from**  $M_1$ -**VO**<sub>2</sub> to R-**VO**<sub>2</sub> in **rt-TDDFT**+U simulations. (**A**) Temporal evolution of average long bond lengths ( $d_L$ ) and short ones ( $d_S$ ) at different excitation densities. (**B**) Temporal evolution of average twisting angles  $\theta$ . The light envelope is also shown in (A) and (B). (**C**) Photoinduced charge density difference at t = 40 fs after the photoexcitation of 0.64 e/f.u. The yellow region corresponds to electron increase, and the cyan region corresponds to electron decrease. (**D**) Time constant of SPT upon photoexcitation as compared with the available experimental data.

bond lengths are greatly amplified with intense photohole doping  $\rho_h > 0.90$  e/f.u. (fig. S3A). Moreover, the twisting angle  $\theta$  undergoes a rapid decrease after the initial increase (fig. S3B), and the effective atomic temperature increases by ~1000 K (fig. S3C). Therefore, the violent excitations destroy the lattice orders differing from both  $M_1$ -VO<sub>2</sub> and R-VO<sub>2</sub> phases and trigger the nonthermal melting to produce amorphous or damaged states (fig. S3D). The strong oscillations are attributed to laser-induced vibrations and the finite size effects. The vibration energies can be transferred to other degree of freedoms in realistic conditions. We note that the oscillations are different from coherent phonons observed in experiments, as coherent phonons are generated by laser pulses with fluences around threshold of phase transition (11, 12). Previous experimental (13) and theoretical (29) works have demonstrated that the ultrafast SPT can be triggered with  $\rho_h > 0.15$  e/f.u. In this case, the damage threshold of 0.90 e/f.u. is six times of the SPT threshold of 0.15 e/f.u., which is consistent with the fact that the damage thresholds are almost five to six times of that for SPT (13, 17).

As the evolutions of bonds and twisting angles are nearly linear with time, we conducted linear extrapolations to obtain the finishing times of SPT for  $\rho_h < 0.5 \text{ e/f.u.}$  The time constant of phase transition is then defined as half of the finishing times  $[(t - t_0)/2]$ . Figure 2D shows the simulated time constants of SPT with photohole doping. Electronic excitation of  $\rho_h = 0.06 \text{ e/f.u.}$  can only slightly distort atomic configurations of  $M_1$ -VO<sub>2</sub> by modifying the PES (29). The time constants range from 300 to 100 fs for  $\rho_h = 0.21$  to 0.90 e/f.u., and the severe excitations of  $d_{\parallel}$  band lead to the ultrafast SPT. To

directly compare with experiments, here, we estimate the experimental  $\rho_h$  in available experiments by linear scaling with the electronic excitation for SPT threshold set to 0.15 e/f.u. (13-15, 25). As shown in Fig. 2D, the simulated time constants show an excellent agreement with the available experimental data despite the slightly faster SPT rates at low electronic excitations. We note that experimental results suffer from limited time and structural resolutions due to instrumental responses, defects in samples, etc. The exact SPT rates are also affected by the random velocities of atoms (thermal fluctuations) besides the driving forces of laser pulses acting on atoms (30). Compared with ideal crystals studied in simulations, the complexities in real experiments may lead to nonmonotonic SPT rates (16, 17). Theoretically, the SPT from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub> can be triggered by photohole doping  $\rho_{\rm h}$  = 0.15 to 0.90 e/f.u., and the corresponding time constants of SPT range from 300 to 100 fs. The nonadiabatic ab initio simulations with TDDFT+U give a reasonable description of ultrafast SPT from  $M_1$ -VO<sub>2</sub> to R-VO<sub>2</sub>.

Born-Oppenheimer molecular dynamics simulations with a fixed high electron temperature ( $T_e$ ) were previously used to study the ultrafast SPT/IMT processes (15), and fixed  $T_e$  method combined with generalized Langevin dynamics was shown to describe well nonequilibrium evolutions of phonon modes in metallic systems (31). However, the constant electronic temperature  $T_e$  artificially introduces electronic excitations due to the gap closure along phase transition pathways (fig. S4) and accelerates SPT processes. The initiation of dimer dilation is subject to structural fluctuations, and the start times of dilation are more scattered in the early stage

(within ~100 fs). The broad distributions of bond lengths of the products may reflect the average of two phases (15) and are in notable contrast with those of stable *R*-VO<sub>2</sub> obtained at  $\rho_h = 0.64$  e/f.u. in rt-TDDFT+U simulations (fig. S2A). The rt-TDDFT+U simulations demonstrate the direct structural transformations. Although the Ehrenfest dynamics simulation based on rt-TDDFT is a mean field approach, which uses the averaged PES of excited states, the electronlattice coupling can be adequately treated because the photoexcited states here involve many electrons and many similar PESs in a small energy range. Thus, it is reasonable to average over all these excitedstate PESs, which produce a driving force for the generation of specific phonon modes together. These simulations of coupled electron-lattice dynamics yield very good agreement with band structure evolution observed in angle-resolved photoelectron spectroscopy (27) and lattice structure evolution in ultrafast x-ray diffraction experiments (32). On the other hand, nonequilibrium electronic occupations are the intrinsic feature upon photoexcitation, and electronic thermalization times are close to the time constants of ultrafast SPT (fig. S5). Although it can be argued that rt-TDDFT simulations might not describe electronic thermalization processes accurately, we note that the obtained electron relaxation times are consistent with experimental measurements (33-35).

#### Photoinduced isostructural IMT

Because of the underlying Mott physics, the electronic occupation plays a critical role in the conductivity of  $M_1$ -VO<sub>2</sub>, which cannot be accurately described by TDDFT+U (36). Hubbard U-independent methods are desirable to properly account for instantaneous electronic behaviors (24), and the Heyd-Scuseria-Ernzerhof (HSE06) (37, 38) hybrid functional is used in our dynamic simulations. We first considered the hole doping effects by adjusting the  $T_e$  in  $M_1$ -VO<sub>2</sub> configurations, because modulating  $T_e$  naturally includes the hole doping effects (fig. S6A). Figure 3A shows the density of states (DOS) of  $M_1$ -VO<sub>2</sub> with hole doping, and the bandgap is ~1.4 eV for  $M_1$ -VO<sub>2</sub> without electronic excitations, consistent with previous values (39). The hole doping result in broadening and up-shift of  $d_{\parallel}$ band. The peak of  $d_{\parallel}$  band shift to -0.25 eV for  $\rho_{\rm h} = 0.51$  e/f.u. (fig. S6B), while the conduction bands exhibit minor shifts (Fig. 3A). Meanwhile, the bandgap collapses with hole doping. The obvious isostructural IMT is obtained with  $\rho_{\rm h} > 0.2$  e/f.u. (Fig. 3A), while the zoom-in view shows the IMT threshold of ~0.1 e/f.u. (Fig. 3A, inset). A similar threshold of ~0.08 e/f.u. was reported for IMT in GW calculations (24). In general, the threshold of IMT is very close to that of the SPT for VO<sub>2</sub>. We note that the hole-induced up-shift of  $d_{\parallel}$  band is also predicted with DFT+U calculations (fig. S7), but the large



**Fig. 3. The photoinduced isostructural IMT of**  $M_1$ -**VO**<sub>2</sub> **in rt-TDDFT simulations with hybrid functional.** (**A**) DOS of  $M_1$ -**VO**<sub>2</sub> with electronic excitations in density functional theory (DFT) calculations with hybrid functional. The inset shows the zoom-in view of the dashed box in (A). a.u., arbitrary units. (**B**) Temporal evolutions of electronic excitations with maximum electric field strength  $E_0$  of laser pulses in nonadiabatic hybrid functional simulations. The electronic occupations at t = 20 fs (**C**) and t = 40 fs (**D**) in the rt-TDDFT simulation with  $E_0$  of 0.14 V/Å. The instantaneous DOS was also shown in (C) and (D).

threshold of ~0.4 e/f.u. calls for using a proper laser-modulated effective U(36).

Beyond the equilibrium simulations, the rt-TDDFT simulations with HSE06 functional were performed to directly track the photoinduced IMT and the decoupling between IMT and SPT at low electronic excitations. The conventional cell of  $M_1$ -VO<sub>2</sub> and the same laser pulses were used. Because there are no notable atomic motions during the first 40 fs at low electronic excitations (Fig. 2, A and B), all atoms were fixed during the 40-fs-long simulations with the hybrid functional. Figure 3B exhibits the temporal evolutions of electronic excitations with the maximum electric field  $E_0$  in dynamic hybrid functional simulations. The intensity of electronic excitation is lower than those in TDDFT+U simulations because of the larger bandgap (fig. S8). Figure 3 (C and D) shows the electronic occupations at t = 20 fs and t = 40 fs in the simulations with  $E_0 = 0.14$  V/Å, and the corresponding instantaneous hole doping is 0.05 and 0.10 e/f.u., respectively. The occupation variations demonstrate that the bandgap gradually collapses with the filling of interval energy levels at the threshold, and the peak of  $d_{||}$  band exhibits small shifts in this regime (<0.2 e/f.u.) (fig. S6B). The filling effect is more prominent than that in equilibrium descriptions (Fig. 3A), reflecting the excitation and relaxation dynamics of nonequilibrium electronic subsystem. The obvious shifts of  $d_{\parallel}$  band peak dominate the gap closure at larger electronic excitation, and the critical role of hole doping was identified to reduce the electronic correlations (18). Nevertheless, the threshold is ~0.1 e/f.u. for isostructural IMT in dynamic hybrid functional simulations despite nonequilibrium distributions in electronic subsystems (Fig. 3D). The threshold for isostructural IMT is similar to that in  $T_{e}$ -dependent hybrid functional calculations (Fig. 3A).

The similar thresholds of electronic excitation for both SPT and IMT revealed here are consistent with the experimental measurements carefully characterizing the heating effects where a single threshold is observed for both SPT and IMT (26). A recent experimental work also demonstrated only one threshold for both the super-structure and nonsuperstructure peaks via well-separated diffraction spots of quasi–single-crystal VO<sub>2</sub> (40). Thus, both ultrafast SPT and IMT of VO<sub>2</sub> can be triggered with the same laser pulses, indicating the same fluence thresholds in experiments. However, the photo-induced SPT and IMT are characterized by different phase transition rates (Fig. 4). The IMT is quasi-instantaneously generated when the electronic excitation reaches the threshold (within 10 fs with  $E_0$  of 0.14 V/Å), while the SPT occurs with the time constant of 100 to 300 fs. Consequently, the decoupling of IMT and SPT takes



**Fig. 4.** The schematic for the photoinduced ultrafast electronic and structural dynamics in  $M_1$ -VO<sub>2</sub>. The initial insulating  $M_1$ -VO<sub>2</sub> (t = -100 fs) is excited with the laser pulses centered at t = 0 fs. The photoexcitation induces the quasi-instantaneous IMT (within 10 fs) in  $M_1$ -VO<sub>2</sub> configuration, and the SPT occurs with the time delay of 100 to 300 fs. The blue and orange backgrounds correspond to the insulating and metallic states, respectively.

place following the photoexcitation of  $M_1$ -VO<sub>2</sub>. The generated transient metallic states cannot be maintained within ideal  $M_1$ -VO<sub>2</sub> configurations and are limited to the time range of subpicoseconds. The time scales are consistent with the experimental observations that both IMT and SPT are finished within 1 ps (26), and the decoupling explains well the photoinduced constant electronic conductivity together with the obvious coherent phonon motions (11).

Previous studies proposed the photoinduced long-lived metallic M-VO<sub>2</sub> phase based on differences of fluence thresholds for SPT and IMT in multimodal experiments and the emergence of unique picosecond dynamics (17, 25). The picosecond dynamics were explained as the reorganization of valence charge density and electrostatic potential, and the proposed metallic M-VO<sub>2</sub> exhibits antiferroelectric charge order without structural rearrangements (17). Here, we show that there is nearly no difference of excitation thresholds for photoinduced SPT and IMT of VO<sub>2</sub>. Furthermore, our rt-TDDFT simulations demonstrate that the transient metallic states cannot be maintained to picosecond time scale within the ideal periodic  $M_1$ -VO<sub>2</sub> configurations. The possible picosecond dynamics may be attributed to the distinct excitation and relaxation dynamics originating from inhomogeneous behaviors of polycrystalline samples (16). For example, the heterogeneities of polycrystalline samples could result in the spatially varying  $T_c$  and fluence thresholds at the nanoscale (21), which may lead to the spatial heterogeneities of transition time at low excitation intensity (41). Furthermore, the metallic M phase was shown to be stabilized by local heterogeneities and interfacial interactions between equilibrium phases (21). It was also demonstrated that interfacial interactions stabilize a nonequilibrium metallic phase and lead to the isostructural IMT in epitaxial heterostructures of VO<sub>2</sub> (18). The excitation and relaxation of heterogeneous systems at longer time scales are beyond the scope of this work.

In conclusion, we unraveled the nature of photoinduced ultrafast SPT and IMT and demonstrated the decoupling of SPT and IMT at the subpicosecond time scale on the basis of extensive rt-TDDFT simulations. The ultrafast SPT is initiated by the excitation of valence electrons of the  $d_{\parallel}$  band and proceeds in the order of V-V pair dilations and twisting angle increases and with a small delay of ~40 fs. The simulated SPT rates and thresholds are well consistent with the available experimental data. The rt-TDDFT simulations with hybrid functional directly demonstrated the photoinduced isostructural IMT and the decoupling with SPT. The filling effects of interval energy levels lead to the IMT at the threshold, while the shifts of  $d_{\parallel}$  band peak dominate the gap closure at larger electronic excitation. The ultrafast SPT and IMT exhibit the same thresholds of electronic excitation, reflecting the same fluence thresholds in experiments. The decoupling of SPT and IMT features different phase transition rates and induces the generation of shortlived isostructural metallic  $M_1$ -VO<sub>2</sub> transient. This work exemplifies a new way to understand photoinduced nonequilibrium states in strongly correlated materials.

#### **MATERIALS AND METHODS**

#### Density functional theory calculations

The density functional theory (DFT) calculations including the Hubbard U corrections (DFT+U) were carried out using the Quantum ESPRESSO (42). The projector augmented wave (43) method and Perdew-Burke-Ernzerhof (44) exchange-correlation functional were used in the calculations. The wave functions and charge density

were represented by plane wave basis sets with energy cutoffs of 48 and 645 rydberg (Ry), respectively. The nonspin-polarized calculations are shown to describe well the nonmagnetic  $M_1$ -VO<sub>2</sub> (45, 46) and paramagnetic R-VO2 (47). The Hubbard U of 3.5 eV (45, 48) for V 3d states was used, which gives the bandgap of  $\sim 0.7$  eV for  $M_1$ -VO<sub>2</sub> (fig. S1A), consistent with the experimental value of 0.6 eV (2). The Brillouin zone was sampled with the  $8 \times 8 \times 8$  and  $4 \times 4 \times 8$  k-point meshes for  $M_1$ -VO<sub>2</sub> and R-VO<sub>2</sub>, respectively. The convergent criterion of geometrical optimization was set to 3 meV/Å in structural optimizations. The obtained lattice constants of  $M_1$ -VO<sub>2</sub> are a = 5.60 Å, b = 4.61 Å, and c = 5.45 Å as well as  $\beta = 121.70^{\circ}$ , and the obtained lattice constants of *R*-VO<sub>2</sub> are a = 4.57 Å and c = 2.88 Å. Both agree well with the experimental lattice constants (49, 50). Besides DFT+U calculations, we performed the hybrid functional calculations of  $M_1$ -VO<sub>2</sub> with Heyd-Scuseria-Ernzerhof (HSE06) (37, 38) hybrid functional. We considered the photodoping effects of  $M_1$ -VO<sub>2</sub> by a convenient way of modulating  $T_e$  and calculated the corresponding DOS with a  $15 \times 15 \times 15 k$ -point mesh. The input structure of  $M_1$ -VO<sub>2</sub> is from the DFT+U calculations. The optimized norm-conserving Vanderbilt pseudopotentials (51) and the plane wave energy cutoff of 85 Ry were used.

### rt-TDDFT simulations

The rt-TDDFT simulations were performed via the Time Dependent Ab-initio Package (TDAP) implementations (27, 28) in Quantum ESPRESSO (42). We simulated the photoinduced structural dynamics of  $M_1$ -VO<sub>2</sub> within the TDDFT+U protocols. A 2 × 2 × 2 supercell of  $M_1$ -VO<sub>2</sub> was used, and the atomic configuration was equilibrated for 3 ps at 300 K in NVT ensemble. The Brillouin zone was sampled with a  $2 \times 2 \times 2$  k-point mesh, and 160 unoccupied electronic states were considered. The time step for nuclei is 0.2 fs, and the time step for electrons is 0.2 as. The reliable description of electronic dynamics requires high-level calculations (24, 39, 52, 53). Beyond TDDFT+U protocols, we also performed the rt-TDDFT simulations with the HSE06 (37, 38) hybrid functional to accurately describe the electronic dynamics. The conventional cell of  $M_1$ -VO<sub>2</sub> was used, and all atoms are fixed during the simulations. The Brillouin zone was sampled with a  $6 \times 6 \times 6 k$ -point mesh, and 20 unoccupied electronic states were considered. The other setups are consistent with the ground-state calculations. We used the laser pulse of E(t) =  $E_0 \cos[2\pi (c/\lambda)t] \exp[-(t-t_0)^2/2\sigma^2]$  with the wavelength  $\lambda = 800$  nm and the width  $\sigma = 6$  fs centered at  $t_0 = 20$  fs. The electric field E(t) is along *a*-axis direction, and the maximum electric field  $E_0$  ranges from 0.04 to 0.35 V/Å for the nonadiabatic simulations with TDDFT+U and HSE06 hybrid functional. The velocity gauge is used to enable the periodic electric fields in nonadiabatic simulations (54).

#### SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at https://science.org/doi/10.1126/ sciadv.add2392

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