#### TITLE

Lower slow wave sleep and rapid eye-movement sleep are associated with brain atrophy of AD-vulnerable regions

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# **ABSTRACT (250 words)**

# **Study objectives**

Sleep deficiency is associated with Alzheimer's disease (AD) pathogenesis. We examined the association of sleep architecture with anatomical features observed in AD: (1) atrophy of hippocampus, entorhinal, inferior parietal, parahippocampal, precuneus, and cuneus regions ("AD-vulnerable regions") and (2) cerebral microbleeds.

### Methods

In 271 participants of the Atherosclerosis Risk in the Communities Study, we examined the association of baseline sleep architecture with anatomical features identified on brain MRI 13~17 years later. Sleep architecture was quantified as the proportion of slow wave sleep (SWS), proportion of rapid eye-movement sleep (REM), and arousals index using polysomnography. Outcomes included (1) volumetric measurements of each AD-vulnerable region and (2) the presence of any cerebral microbleeds (CMBs) and that of lobar CMBs, which are more specifically associated with AD. We analyzed the association of each sleep predictor with each MRI outcome, adjusting for covariates.

### **Results**

Having less SWS was associated with smaller inferior parietal region ( $\beta$ =-44.19 mm<sup>3</sup> [95%CI=-76.63,-11.76]) and cuneus ( $\beta$ =-11.99 mm<sup>3</sup> [-20.93,-3.04]) after covariate adjustment. Having less REM was associated with smaller inferior parietal region ( $\beta$ =-75.52 mm<sup>3</sup> [-129.34, -21.70]) and precuneus ( $\beta$ =-31.93 mm<sup>3</sup> [-63.79,-0.07]). After FDR adjustments, lower SWS and REM,

respectively, were associated with smaller inferior parietal region. Arousal index was not associated with the volumes of AD-vulnerable regions. None of the sleep architecture variables were associated with CMBs or lobar CMBs.

# **Conclusions**

Sleep deficiency is associated with the atrophy of the inferior parietal region, which is observed in early AD. Sleep architecture may be a modifiable risk factor for AD.

# **Key words**

sleep architecture, brain atrophy, inferior parietal region, Alzheimer's disease, cerebral microbleeds

# **Brief summary**

a. Current Knowledge/Study Rationale: two sentences summarizing why the study was done

While impaired sleep architecture has been associated with Alzheimer's disease [AD] diagnosis and cognitive decline. To better understand the impact of sleep on AD pathogenesis, this study examined the association of sleep architecture with anatomical features observed in AD, including the atrophy of AD-vulnerable regions and CMBs.

b. Study Impact: two sentences summarizing how the study impacts the field.

Our study shows that lower slow wave sleep and rapid eye movement sleep may be precipitating factors of inferior parietal region atrophy, which is associated with AD risk. Importantly, the current study's findings can help characterize underlying mechanisms of how sleep deficiency, a prevalent disturbance among middle-aged and older adults, may facilitate AD pathogenesis and cognitive impairment.

### **INTRODUCTION**

Alzheimer's disease (AD) is a progressive condition affecting over 6 million U.S. older adults. 1 It is characterized by distinct anatomical features, one of which is brain atrophy, a structural correlate of neurodegeneration. <sup>2-5</sup> During the preclinical and clinical phases of AD, brain regions have been observed to show different degrees of atrophy, which is often pronounced in the hippocampus, parahippocampal, entorhinal, inferior parietal, precuneus and cuneus regions (henceforth referred to as AD-vulnerable regions; see Figures 1a and 1b). <sup>2-6</sup> Prior research has shown that brain atrophy specific to these regions has been associated with increased conversion to AD and related dementias (from normal cognition and mild cognitive impairment), <sup>6-8</sup> as well as having severe neurocognitive impairment in AD. <sup>3,5</sup> Moreover, cerebral microbleeds (CMBs), which signify the presence of cerebral small vessel disease, <sup>9</sup> are another anatomical feature associated with AD (see Figure 1c). 10 The presence of CMBs has been shown to predict subsequent cognitive impairment and the onset of AD and related dementias. 11-16 Among various types of CMBs, lobar microbleeds were most frequently observed in preclinical and early AD. 15-17 Lobar microbleeds have also been robustly associated with cortical amyloid-β load, a hallmark of AD pathology. <sup>15-17</sup> Identifying precipitating factors of these anatomical correlates of AD is necessary to gain a better understanding of potential modifiable risk factors.

Sleep deficiency, defined as having inappropriate quality, quantity, or timing of sleep, <sup>18</sup> is a robust risk factor associated with cognitive impairment and AD incidence. <sup>19-22</sup> Sleep architecture – the structural organization of sleep into rapid eye movement (REM) and non-

REM sleep stages (N1, N2, N3 or slow wave sleep [SWS], and arousals) <sup>23</sup> – is an objective measure of sleep deficiency based on polysomnography, the gold standard for assessing sleep. While impaired sleep architecture (i.e., less SWS, less REM, and/or increased arousals) has been associated with AD diagnosis and cognitive decline, <sup>24,25</sup> little is known about the its association with the atrophy of AD-vulnerable regions and CMBs. Previously, exploratory whole-brain region of interest (ROI) analysis in young and middle-aged adults found less SWS and less non-REM sleep to be associated with lower gray matter thickness of some AD-vulnerable regions, including inferior-parietal lobule, precuneus, and cuneus. <sup>26,27</sup> In another study in middle-aged adults, lower density, amplitude, and duration of SWS and REM sleep were associated with smaller total gray matter volume. <sup>28</sup> However, these studies did not focus on AD-vulnerable regions or older adults, who have the highest risk of AD. Furthermore, none of the prior studies examined the association of sleep architecture with CMBs, although sleep apnea (i.e., a disorder where a person's breathing stops multiple times during sleep and a cause of impaired sleep architecture) has been associated with increased risks of CMBs. <sup>29</sup> Finally, little is known about how sleep architecture synergizes with Apolipoprotein E4 (APOE4), the strongest known genetic risk factor of AD, 30,31 to affect the risk of brain atrophy and CMBs. Previously, APOE4 carrying mice who were sleep deprived had higher levels of AB and tau pathologies than noncarrier sleep deprived mice, <sup>32</sup> suggesting that the neurological impact of impaired sleep architecture may be exacerbated in APOE4 carriers.

This study examined the association of sleep architecture with brain atrophy in AD-vulnerable regions and the presence of CMBs in a sample with a high representation of older adults using

the Atherosclerosis Risk in the Community Study (ARIC). In addition, we examined whether individuals with one or more *APOE4* alleles had increased brain atrophy and CMBs associated with impaired sleep architecture than non-carriers. Evidence generated by the current study will help characterize modifiable risk factors for AD and neurocognitive impairment by helping elucidate the contributions of sleep deficiency to distinct anatomical features that are associated with AD.

#### **METHODS**

### **Study Procedures and Analytic Sample**

The Atherosclerosis Risk in the Communities Study (ARIC) is an ongoing investigation of health in community-living U.S. adults. Procedures for recruitment and data collection have been described in detail elsewhere. <sup>33</sup> Briefly, a subsample of ARIC participants took part in a sleep study between 1996-1998 as a part of the Sleep Heart Health Study, where they completed one night of unattended, in-home, overnight polysomnography. <sup>34</sup> Subsequently, a subset of surviving individuals who completed the polysomnography participated in a structural brain MRI protocol between 2011 and 2013. The protocols of ARIC have been approved by Institutional Review Boards (IRB) at all participating institutions: University of North Carolina at Chapel Hill IRB, Johns Hopkins University IRB, University of Minnesota IRB, and University of Mississippi Medical Center IRB.

The current study analyzed all ARIC participants who completed polysomnography and the structural brain MRI protocol. Exclusion criteria included having a stroke or probable dementia

(defined as in prior ARIC studies by Mini Mental Status Examination score <21 if White and <19 if African-American). <sup>4</sup> Among 1,723 participants who had complete polysomnography data at baseline (i.e., the time when polysomnography was conducted), 294 participated in a substudy involving a structural MRI protocol. Of these individuals, two individuals were excluded due to previously having a stroke. None of the remaining participants had probable dementia.

Subsequently, we excluded 14 participants without information on *APOE* genotype and 8 individuals with missing data on other covariates, making the final analytic sample size 270.

Please see Supplementary Figure 1 for processes used to define the analytic sample. The current analyses have been approved by the Institutional Review Board at Yale University.

#### **Outcomes**

Outcomes included (1) volumetric measurements of each AD-vulnerable region and (2) the presence of CMBs. Volumetric measurements were calculated based on images obtained using a T1-weighted magnetization-prepared rapid gradient-echo sequence (MPRAGE; 1.2-mm slices) on 3 Tesla Siemens scanners. <sup>4,35</sup> Detailed descriptions of MRI protocols are available from prior research. <sup>4,6,36-38</sup> Freesurfer was used to preprocess, reconstruct cortical surfaces, and conduct volumetric segmentation of the T1-weighted images. Each AD vulnerable region (i.e., hippocampus, parahippocampal, entorhinal, inferior parietal, precuneus and cuneus regions), selected based on prior evidence, which showed that atrophy specific to these regions was associated with an increased AD risk, <sup>2-6</sup> was defined based on the Freesurfer atlas. <sup>36,39,40</sup> The volume of each AD-vulnerable region equaled the sum of the respective region's volume in the left and right hemispheres (in mm³). <sup>3-5</sup> These values were not corrected for intracranial volume.

Presence of CMBs was defined as having any hypointense regions in white or gray matter ≤10 mm in diameter. <sup>11,13</sup> CMBs were identified from images obtained using an axial T2\* gradient recalled echo sequence (T2\*GRE; 4-mm slices), also on 3 Tesla Siemens scanners. <sup>4,35</sup> All CMBs were identified by professional analysts and reviewed by a radiologist (interrater agreement=85%). <sup>41</sup> For more details on the T2\*GRE sequence and the identification of CMBs, please refer to prior research. <sup>4,6,36-38</sup> For the current research, we classified all participants dichotomously into those with at least one CMB and those without any CMBs. In addition, we examined the presence of lobar CMBs, which have been more closely associated with AD risk. <sup>15-17</sup> For this outcome, we classified individuals dichotomously into those with one or more lobar CMBs vs. those without any CMBs regardless of the type, excluding all individuals with any non-lobar [subcortical, deep cerebral, infratentorial] CMBs.

### **Exposure**

Compumedics PS polysomnography (Abbotsford, Victoria, Australia) was used to conduct full montage sleep monitoring according to published guidelines, based on comprehensive data obtained by electroencephalography (EEG), electrooculography, chin electromyography, thoracic and abdominal plethysmography, airflow, pulse oximetry, electrocardiography, and body position. <sup>34</sup> Using polysomnography data, sleep stages and arousals were manually scored on an epoch-by-epoch basis using standard criteria. <sup>34,42,43</sup> Please see prior research for detailed information on the sleep study's procedures, data processing, and the scoring of sleep stages. <sup>34</sup> For the current study, exposures included the proportion of night-time sleep spent in SWS

(henceforth referred to as SWS), the proportion of night-time sleep spent in REM sleep (henceforth referred to as REM), and arousal index (i.e., average number of arousals per hour) quantified from polysomnography. Proportions of time spent in SWS and REM were calculated by dividing time spent in the respective sleep stages with the total duration of night-time sleep. Arousal index was calculated by dividing the total number of arousals by total number of hours of night-time sleep (arousals per hour). Proportions of time spent in SWS and REM, rather than absolute durations of SWS or REM, were used to account for total sleep time.

### **Covariates**

Covariates included sex, age, years of education, apnea-hypopnea index (AHI; continuous), number of *APOE4* alleles, smoking status (never smoker, past smoker, current smoker), diabetes, body mass index, alcoholic drinks per week, hypertension, and baseline global cognitive performance. Global cognitive performance was quantified by taking an average of the standardized scores from the Delayed Word Recall, Digit Symbol Substitution, and Word Fluency tests, following prior research. 44-46 In addition to these baseline characteristics, we controlled for intracranial volume (estimated based on the T1 image using Freesurfer) 47 and whether a person had any heart failure events since the baseline polysomnography. We did not control for race-ethnicity as all participants in the analytic sample were White. Also, we did not adjust for depression as none of the participants had depression based on the Center for Epidemiologic Studies Depression Scale. 48

## Statistical analysis

Linear regression models examined the association of SWS, REM, and arousal index with the volume of each AD-vulnerable region, adjusting for all covariates. Separate models were used to examine each region as an outcome. We used false discovery rate to account for multiple comparisons as we investigated the volumes of six distinct brain regions as outcomes. The association of SWS, REM, and arousal index with the presence of CMBs was examined using logistic regression models. Separate models examined the presence of any CMB and that of lobar CMBs, respectively, as outcomes. If a measure of sleep architecture (i.e., SWS, REM, or arousal index) was significantly associated with an outcome, we additionally examined whether the association varied by *APOE* genotype using an interaction term. In addition, we examined the association of the following alternative measures of sleep with the anatomical features related to AD: absolute duration of slow wave sleep, absolute duration of rapid eye movement sleep, and wake after sleep onset (WASO), additionally accounting for time in bed.

For all analyses, statistical significance was determined based on a *p*-value of 0.05. All analysis included complete cases and were conducted using STATA (MP, version 17, College Station, TX).

#### **RESULTS**

Table 1 shows sample characteristics, including demographics, AHI, *APOE* genotype, clinical characteristics, lifestyle choices, sleep architecture, and CMBs. Fifty-three percent were women, the median age was 61 (interquartile range [IQR]=57,66), all participants identified as White, and 47.0 % had 16 or more years of education. *APOE4* carriers (hetero- and homozygotes) accounted for 26.3%. The median proportions of time spent in SWS and REM

sleep, respectively, were 17.4% and 21.5%. The median number of arousals was 17.1 per hour.

Approximately one fourth of the participants had one or more CMBs. Individuals with lobar

CMBs accounted for 8.2% of the full sample.

Figure 2 depicts the association of sleep architecture (SWS, REM, arousal index) with the volume of each AD-vulnerable region, after adjusting for all covariates (see Supplementary Table 1 for minimally adjusted models). Having lower SWS was associated with smaller volumes of the inferior parietal region ( $\beta$ =-44.19 mm³ per percentage point [PP], 95%Cl=-76.63, -11.76) and cuneus ( $\beta$ =-11.99 mm³ per PP, 95%Cl=-20.93, -3.04). Having lower REM was associated with smaller volumes of the inferior parietal region ( $\beta$ =-75.52 mm³ per PP, 95%Cl=-129.34, -21.70) and precuneus ( $\beta$ =-31.93 mm³ per PP, 95%Cl=-63.79,-0.07). Having one more arousal per hour was associated with a slightly larger precuneus,  $\beta$ =25.62 mm³, 95%Cl=0.85, 48.79. After an additional adjustment for multiple comparisons, inferior parietal volume remained significantly associated with both SWS and REM, respectively (false discovery rate-adjusted ps<0.05). The association between sleep architecture and inferior parietal volume did not vary by APOE genotype (Supplementary Table 2). Sensitivity analyses using alternative measures of sleep (i.e., absolute durations of SWS, REM, and WASO) showed comparable results, although statistical significance was attenuated (see Supplementary Table 3).

Table 2 shows the association of sleep architecture with the presence of CMBs, after adjustments for all covariates (see Supplementary Table 4 for unadjusted estimates). SWS, REM, and arousal index were not associated with the presence of any CMBs or that of lobar

CMBs. Additional analyses using alternative measures of sleep (i.e., absolute durations of SWS and REM and WASO) yielded virtually identical estimates as the main analyses (Supplementary Table 5).

# **DISCUSSION**

In our sample of community-dwelling older adults, having a low proportion of SWS was associated with smaller inferior parietal and cuneus regions, even after adjusting for a large number of confounders. Having a lower proportion of REM sleep was also associated with smaller inferior parietal and precuneus regions. After additional adjustments for multiple comparisons, lower proportions of SWS and REM remained significantly associated with smaller volumes of the inferior parietal region. To the best of our knowledge, the current study is the first to examine the relationship of sleep architecture with the atrophy of AD-vulnerable regions in older adults. Previously, cortical thinning in parietal and temporal lobes has been observed during early, preclinical phases of AD, <sup>49,50</sup> but factors that precipitate the atrophy of these regions have remained obscure. Recent research has found shorter SWS and REM to be associated with lower total gray matter volume, <sup>28</sup> but did not examine distinct regions that had been shown to undergo increased neurodegeneration in preclinical and early AD. 2-5 Our research adds to this line of literature on sleep and AD by showing that lower SWS and REM may be precipitating factors of inferior parietal region atrophy, 3,6 although it should be noted that at least some of the observed atrophy may be unrelated to AD. Importantly, the current study's findings can help characterize underlying mechanisms of how sleep deficiency, a prevalent disturbance among middle-aged and older adults, 51-54 may facilitate AD pathogenesis and cognitive impairment. Future studies need to investigate whether declines in cognitive domains associated with inferior parietal regions are associated with impairments in SWS and REM.

Furthermore, this research is the first to examine the association of sleep architecture with the presence of cerebral microbleeds. Contrary to our expectations, SWS, REM, arousals, and the alternative measures of sleep (i.e., absolute duration of SWS, absolute duration of REM, WASO) were not associated with the risk of CMBs or lobar CMBs. Our findings are at odds with prior research on sleep disordered breathing, which found sleep apnea (as defined by an AHI>=15), a known cause of impaired sleep architecture, to be associated with increased risks of CMBs. <sup>29</sup> Thus, it is possible that pathogenic features specific to sleep apnea that cannot sufficiently be captured by sleep architecture may significantly increase the risk of CMBs. 55 Previously, sleep apnea has been shown to be associated with cerebral desaturation, which can increase the risks of brain bleeding. 56,57 Also, sleep apnea is a risk factor for cerebral hypertension, which can lead to cerebral aneurysm enlargement, another risk factor for brain bleeding. 58-60 In comparison, impaired sleep architecture (i.e., shorter SWS and REM and higher arousals) is not directly related to cerebral desaturation or cerebral hypertension, and may have limited impact on brain bleeding independently from sleep disordered breathing. Further research in a sample enriched for CMBs is necessary as the rate of CMBs in our sample was relatively low, which may have reduced analytic power.

In addition, we examined whether the association of sleep architecture and the volumetric measurements of AD-vulnerable regions vary by APOE genotype. Previously, APOE4 genotype was found to synergize with sleep disruption to facilitate AB accumulation and AB-associated tau seeding in mice. 61 A study of human adults also showed that not having consolidated sleep, defined as having increased number of arousals, was associated with higher risks of incident AD and tau pathology among APOE4 carriers relative to non-carriers. <sup>22</sup> However, we did not find the association of sleep architecture with the volume of AD-vulnerable regions to vary by APOE genotype. Thus, APOE4 carriers may not experience a heavier burden of brain atrophy associated with impaired sleep architecture. However, another possibility is that we did not find variations by APOE4 because all individuals in our sample were White, which may introduce a bias as the participants may have had better access to preventive care for AD than underrepresented racial groups. 62 This possibility is supported by prior research that found Black APOE4 carriers to experience heavier neurocognitive consequences of sleep apnea than Black non-carriers, <sup>63</sup> whereas among White adults, *APOE4* carriers experienced comparable neurocognitive consequences of sleep apnea as non-carriers. <sup>63</sup> Further research is warranted to examine racially diverse samples.

Finally, it remains unclear why cortical volume of the inferior parietal region was more strongly associated with SWS and REM than other AD-vulnerable brain regions. Prior research has shown that acute sleep deprivation alters metabolic activity in the inferior parietal region<sup>64</sup> and cognitive performance requiring parietal activation. <sup>65</sup> Continued sleep deprivation may lead to impaired sleep architecture, which can, in turn, induce prolonged reduction in parietal activity,

which has been associated with neurodegeneration. <sup>66</sup> It should also be noted that the association may be bidirectional, as cortical thinning of the parietal region has been associated with disturbances in SWS and REM. <sup>26</sup> Future research is needed to elucidate these associations.

The current study has several strengths. First, this study measured sleep deficiency using polysomnography, the gold-standard, and its association with the atrophy of regions that have been found to undergo early neurodegeneration in preclinical AD, thereby generating evidence on how sleep may be involved in AD pathogenesis. Second, this study is the first to examine the association of sleep architecture with cerebral microhemorrhages, an important predictor of stroke and dementia. Third, this study prospectively examined the association of baseline sleep architecture with brain atrophy and cerebral microbleeds, while controlling for baseline cognitive function. While this evidence is not causal, our findings provide preliminary evidence that short SWS and REM sleep may be observed in individuals prior to developing brain atrophy. Fourth, the current analyses adjusted for intracranial volume, *APOE* genotype, and a large number of demographic and clinical characteristics that are associated with both sleep deficiency and AD, thereby reducing the risks of confounding. Fifth, this study examined community-dwelling adults and its findings may be generalizable to non-institutionalized U.S. adults.

However, this study is not without its limitations. First, all individuals in our sample were White. Studies involving an assessment of overnight sleep architecture and MRI in underrepresented individuals are rare, despite evidence of their increased susceptibility to both AD and sleep

disturbance. <sup>63,67</sup> Second, we used regional volumetric measures, but brain volume is associated with head size, which may have confounded our estimates. However, we adjusted our models for head size using total intracranial volume obtained from the structural MRI images. Third, as discussed previously, our analysis of CMBs may not have reached statistical significance due to limited power to analyze a dichotomous outcome, although this possibility is unlikely given that our point estimates are very close to the null. Fourth, our findings do not provide causal evidence, and research is necessary to examine whether changes in sleep deficiency may facilitate AD pathogenesis through contributing to the atrophy of the AD-vulnerable regions. To address these limitations, future research is needed to validate the current findings using a longitudinal study in a larger sample, which has a better representation of *APOE4* carriers and race-ethnically diverse populations.

#### **Conclusions**

In a cohort of community-dwelling middle-aged and older adults, reduced SWS and REM, were associated with smaller inferior parietal region after adjusting for a large number of demographics, clinical characteristics, lifestyle choices, and multiple comparisons. Our findings provide preliminary evidence that reduced SWS and REM may contribute to brain atrophy, thereby increasing the risk of AD. Thus, sleep architecture may be a modifiable risk factor of AD and related dementias, warranting future research on underlying pathophysiological mechanisms.

#### **Abbreviations**

APOE=Apolipoprotein ε
ARIC= Atherosclerosis Risk in the Communities Study
IQR=interquartile range
REM=Rapid Eye Movement sleep
SHHS=Sleep Heart Health STudy
SWS=slow wave sleep

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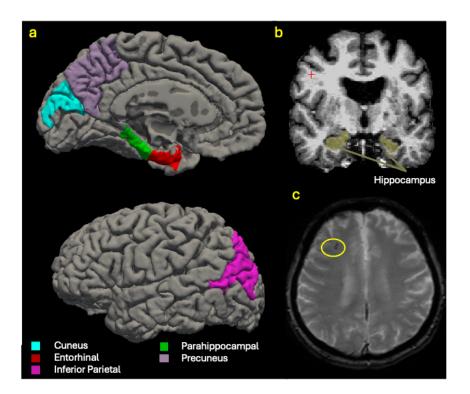
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Figure 1. Anatomical features associated with Alzheimer's disease



- a. Alzheimer's disease vulnerable regions mapped on the left hemisphere of a standard brain using a Freesurfer atlas
- b. Hippocampus, one of the six Alzheimer's disease-vulnerable regions
- c. Cerebral microbleeds circled in yellow

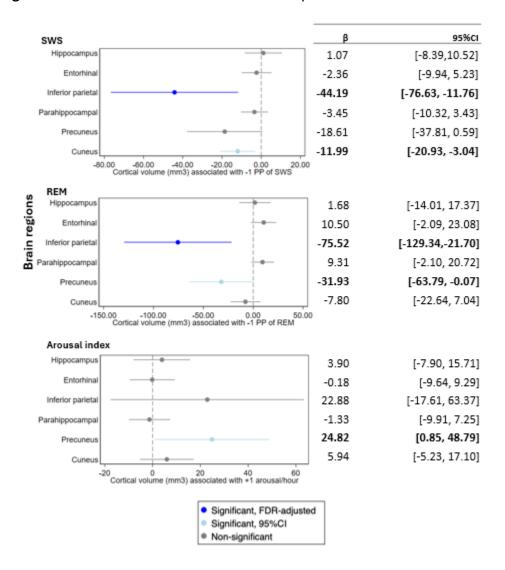


Figure 2. Cortical volume associated with sleep architecture for AD-vulnerable regions <sup>a</sup>

**Abbreviations:** PP=percentage point; REM=Rapid Eye movement sleep; SWS=slow wave sleep Bold face indicates statistical significance based on 95% confidence intervals.

<sup>\*</sup> signifies statistical significance at the family-wise p<.05 after adjustments for false discovery rate.

<sup>&</sup>lt;sup>a</sup> All estimates are adjusted for sex, age, the number of *APOE4* alleles, years of education, apnea-hypopnea index, intracranial volume, global cognition, alcohol use, cigarette pack-years, hypertension, coronary heart disease (including myocardial infarction, heart failure, and percutaneous having done a revascularization procedure), diabetes, obesity, total sleep time, and multiple comparisons.

**Table 1.** Sample characteristics

Characteristics	N=270 <sup>a</sup>	% or median [IQR]			
Females	144	53.33			
Baseline age		61 [57 <i>,</i> 66]			
Race, White	270	100			
Years of education					
Did not attend college (≤15 years)	143	52.96			
Attended college (≥ 16 years)	127	47.04			
Apnea-Hypopnea Index		4.64 [1.59, 11.31]			
APOE genotype					
Non-carriers	199	73.70			
Homozygotes	64	23.70			
Heterozygotes	7	2.59			
Lifetime Cigarette Pack-Years		1 [0, 19.2]			
Diabetes	8	2.96			
Obesity	93	34.44			
Heavy drinker <sup>a</sup>	73	27.04			
Hypertension	72	26.67			
Global cognitive performance b		-0.01 [-0.49, 0.47]			
Intracranial volume, cm <sup>3</sup>		1461.94 [1362.89, 1583.15]			
% of SWS, median [IQR]		17.40 [8.32, 25.00]			
% of REM, median [IQR]		21.54 [16.94, 24.70]			
Arousals per hour		17.06 [12.17, 23.64]			
Cerebral microbleeds <sup>c</sup>					
None	204	75.56			
Lobar	22	8.15			
Non-lobar	40	14.81			
Unknown type of cerebral microbleed	2	0.74			
Diagnosed with dementia at the time of MRI	17	6.30			

**Abbreviations:** APOE=Apolipoprotein  $\epsilon$ ; IQR=interquartile range; REM=Rapid Eye Movement sleep; SWS=slow wave sleep

<sup>&</sup>lt;sup>a</sup> Defined as consuming 5 or more drinks as usual daily alcohol intake for men and consuming 4 or more drinks as usual daily alcohol intake for women

<sup>&</sup>lt;sup>b</sup> Average of standardized scores of the Delayed Word Recall, Digit Symbol Substitution, and Word Recall tests based on prior research (please see the Covariates section for more information

<sup>&</sup>lt;sup>c</sup> 2 participants had missing data on the presence of microbleeds

Table 2. Association between deficits in sleep architecture and the risk of cerebral microbleeds<sup>a</sup>

Outcomes	-1 PP of Slow wave sleep		-1 PP of REM sleep		+1/hour of Arousal index	
	OR	95%CI	OR	95%CI	OR	95%CI
Cerebral microbleeds b	0.99	[0.96, 1.03]	1.01	[0.96, 1.06]	1.01	[0.97, 1.05]
Lobar microbleeds <sup>c</sup>	0.99	[0.94, 1.05]	1.04	[0.96, 1.13]	1.02	[0.95, 1.09]

Abbreviations: PP=percentage point; REM=Rapid Eye movement sleep

<sup>&</sup>lt;sup>a</sup> All estimates are adjusted for sex, age, the number of *APOE4* alleles, years of education, apnea-hypopnea index, intracranial volume, global cognition, alcohol use, cigarette pack-years, hypertension, coronary heart disease (including myocardial infarction, heart failure, and percutaneous having done a revascularization procedure), diabetes, obesity, and total sleep time. Reference group for all models was 204 persons without CMBs.

<sup>&</sup>lt;sup>b</sup> N=268. Two people were excluded due to missing data on the presence of CMBs.

<sup>&</sup>lt;sup>c</sup> This model additionally excludes 40 individuals with non-lobar cerebral microbleeds, 2 individuals with missing data on cerebral microbleeds, and 5 individuals due to collinearity, resulting in N=221.