



Early Changes of Serum Interleukin 14 α Levels Predicts the Response to Anti-PD-1 Therapy in Cancer

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ABSTRACT

BACKGROUND: Programmed cell death-1 (PD-1) blockade has been shown to confer clinical benefit in cancer patients. Here, we assessed the level of serum interleukin 14 α (IL14 α) in patients receiving anti-PD-1 treatment.

METHODS: This prospective study recruited 30 patients with advanced solid cancer who received pembrolizumab treatment in Northern Jiangsu People's Hospital between April 2016 and June 2018. The western blot analysis was used to assess the expression level of serum IL14 α in patients at baseline and after 2 cycles of treatment. Interleukin 14 α was performed using the unpaired 2-tailed Student test. The progression-free survival (PFS) and overall survival (OS) were calculated using the Kaplan-Meier method and compared by the log-rank test.

RESULTS: The early change of IL14 α after 2 cycles of anti-PD-1 therapy was calculated as $\Delta \text{IL14}\alpha \text{ \% change} = (\text{IL14}\alpha \text{ level after 2 cycles} - \text{IL14}\alpha \text{ level before treatment}) / \text{IL14}\alpha \text{ level before treatment} \times 100\%$. Receiver operating characteristic (ROC) was analyzed to get a cutoff point of $\Delta \text{IL14}\alpha \text{ \% change}$ as 2.46% (sensitivity = 85.71%, specificity = 62.5%; area under the ROC curve [AUC] = 0.7277, $P = .034$). Using this cutoff to subgroup the patients, an improved objective response rate was observed in patients with a $\Delta \text{IL14}\alpha \text{ change}$ higher than 2.46% ($P = .0072$). A $\Delta \text{IL14}\alpha \text{ change}$ over 2.46% was associated with a superior PFS ($P = .0039$).

CONCLUSIONS: Early changes of serum IL14 α levels may be a promising biomarker to predict outcomes in patients with solid cancer following anti-PD-1 treatment.

KEYWORDS: Interleukin 14 α , programmed cell death-1 inhibitor, prognosis, cancer

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Immune checkpoint inhibitors (ICIs) targeting programmed cell death-1 (PD-1)/programmed cell death-ligand 1 (PD-L1) have been shown to confer impressive benefit in cancer and have shifted the treatment paradigm across various cancer types.¹⁻⁵ Despite the tremendous advantage of ICIs in cancer patients, some of them experienced accelerated progression and a majority of them ultimately failed treatment.⁶ Considering limitations of the interval of radiological assessment, investigations have been conducted to identify optimal biomarkers to predict the efficacy of ICIs. Recently, PD-L1 expression level measured by immunohistochemistry of the tumor tissue, T-cell infiltration in the tumor microenvironment, and tumor mutation burden have been proposed to be predictive of ICIs treatment.⁷⁻¹⁰ Unfortunately, with the inconsistent results of different studies, the variety of antibodies, the inaccessible tumor tissue, and the difficulty in serial tissue sampling, it is an

urgent need to identify a reliable and accessible biomarker to monitor and predict patient response to ICIs.

Interleukin 14 α (IL14 α) is a B-cell growth factor, initially known as high molecular weight B-cell growth factor and in recent also known as taxilin. It was first discovered by Ambrus et al from the body cavity effusion of a patient with Burkitt lymphoma.¹¹⁻¹³ B-cell has various functions in antitumor immune response tumor-infiltrating B lymphocytes (TIBs), and tumor tertiary lymphoid structures (TLSs) were found to play an important role in antitumor therapy.^{14,15} The activation of B-cells and its antitumor immune response are influenced by the tumor immune microenvironment.¹⁶ In immune checkpoint therapy, B-cells are activated by T follicular helper cells that subsequently promote T-cells and generate antibodies which become a key to immunotherapy response. The activities of both B-cells and T-cells are altered by ICIs therapy.¹⁷ Therefore, monitoring B-cell activity by IL14 α levels may give us a new window to evaluate the efficacy of ICI treatments.

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At present, there are no data to study the relationship between IL14 α and prognostic factors after PD-1/PD-L1 inhibitor treatment. To further elucidate the role of IL14 α as a biomarker in antitumor response, we investigated the expression of IL14 α in the serum from various types of cancer patients (lung cancer, gynecological cancer, lymphoma, neuroendocrine tumor, sarcoma, breast cancer, kidney cancer, and digestive cancer) after exposure to anti-PD-1/PD-L1 inhibitors, followed by analysis of the relationship of IL14 α levels with progression-free survival (PFS), overall survival (OS), and prognosis of various tumors. This will provide us with a new tool to predict the response of ICIs therapy.

Methods

Patients

A total of 30 cancer patients treated with pembrolizumab admitted to the Northern Jiangsu People's Hospital between April 2016 and June 2018 were recruited in this prospective study. Serum was obtained at baseline and after 2 cycles. The study was approved by the Ethics Committee of the Northern Jiangsu People's Hospital (approval no. ID2016008). All enrolled patients had signed informed consents.

Sample size determination

This study is a single-arm single-center phase II study. The maximum sample size enrollment for this single-arm phase II trial is approximately 31 patients with stage II patient accrual capped at no more than 8 patients. Enrolled patients who withdraw consent prior to receiving study therapy or who are unable to begin study therapy will be replaced.

Western blot assays

The western blot was run according to the instructions. The patient's serum was diluted by 4 μ L of serum into 20 μ L phosphate-buffered saline (PBS) and 6 μ L 5 \times loading buffer (Beyotime, Shanghai, China) (1:100 dilution). The sample was denatured in boiling water for 8 min, loaded onto 10% sodium dodecyl-sulfate polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to PVDF (polyvinylidene fluoride) membranes. The membrane was immersed in blocking buffer (5% skimmed milk with Tris-buffered saline plus 0.1% Tween [TBST]) and incubated at room temperature for 2 h. After washing with TBST (TBST: 50 mL 20 \times TBST, 950 mL ultrapure water, 50 μ L Tween) for 5 min, the membrane was incubated with IL14 α primary antibody (1:4000) in blocking buffer at 4 $^{\circ}$ C overnight. After washing with TBST 3 times for 5 min, the membrane was incubated with horseradish peroxidase-labeled goat antimouse immunoglobulin G (IgG) secondary antibody (diluted 1:5000 in blocking buffer) at room temperature for 2 h. Before visualizing the results in the

machine (LI-COR, Lincoln, NE, USA), the membrane was washed 3 times with TBST for 5 min each time.

The relative intensity ratio of serum IL14 α level was determined after the western blot. For comparison, the same internal positive control was used throughout the study to reflect the actual relative expression level of IL14 α .

Statistical analysis

Continuous variables were presented as mean value \pm standard deviation. To compare the difference between 2 groups, we performed the unpaired 2-tailed Student test. We used the Pearson χ^2 test or the Fisher exact test to compare the clinical pathologic features between the groups. Response was evaluated per RECIST 1.1. Progression-free survival was the interval from the initiation of anti-PD-1 treatment to the first disease progression or death from any cause. Receiver operating characteristic (ROC) analysis was employed to determine the optimal cutoff point for continuous variables. Overall survival was defined as the time from the initiation of anti-PD-1 treatment to death from any cause or the date of the last follow-up information of a living patient. Overall survival and PFS were calculated using the Kaplan-Meier method and compared by the log-rank test. A 2-sided *P*-value of .05 or less was considered significant. Data were statistically analyzed with Prism (version 8.0, GraphPad software).

Data availability

The data set analyzed during this study is available from the corresponding author on a reasonable request.

Results

Patient characteristics

Overall, 18 male and 12 female patients were included. Median age of the 30 patients was 59.5 years (range = 27-80 years). Of the 30 patients, 12 of them had lung cancer ($n = 12$; 40%), followed by digestive cancer ($n = 7$; 23.3%), breast cancer ($n = 4$; 13.3%), and lymphoma ($n = 3$; 10%). Six patients (20%) underwent prior radiation therapy. Nine patients responded to anti-PD-1 treatment, and all of them achieved partial response (PR). Stable disease (SD) was observed in 8 patients (26.7%). Disease control was achieved in 17 (56.7%) patients. With a median follow-up of 703 days, 8 patients died (Table 1).

The correlation between levels of IL14 α and clinical outcomes following PD-1 treatment

The level of IL14 α at baseline and after 2 cycles of treatment was 2.1 ± 1.21 and 1.99 ± 0.82 , respectively. Using mean level of baseline IL14 α as a cutoff, we divided patients Group A (\leq mean IL14 α before treatment) and Group B ($>$ mean

Table 1. Clinicopathological characteristics of patient samples.

CHARACTERISTICS, N (%)	
Age, median (range)	59.5 (27-80)
Sex (male)	18 (60.0)
Type of tumor	
Lung cancer	12 (40.0)
Gynecological cancer	1 (3.3)
Lymphoma	3 (10.0)
Neuroendocrine tumor	1 (3.3)
Sarcoma	1 (3.3)
Breast cancer	4 (13.3)
Kidney cancer	1 (3.3)
Digestive cancer	7 (23.3)
Prior radiation therapy	
Yes	6 (20.0)
No	24 (80.0)
ORR	
CR	-
PR	9 (30.0)
SD	8 (26.7)
PD	13 (43.3)
Response	
Yes	17 (56.7)
No	13 (43.3)
Death	
Yes	22 (73.3)
No	8 (26.6)

Abbreviations: CR, complete response; ORR, objective response rate; PD, progressive disease; PR, partial response; SD, stable disease.

IL14 α before treatment). No significant difference was identified in patient clinical characteristics or responses between the 2 groups (Table 2). There is no significant correlation between the baseline levels of IL14 α and patient PFS (hazard ratio [HR]=2.93, 95% confidence interval [CI]=0.44-19.15, $P=.4920$) or OS (HR=1.70, 95% CI=0.50-5.70, $P=.6339$) (Figure 1A and B).

With mean level of IL14 α post 2 cycles as a cutoff, we divided patients Group C (\leq mean IL14 α post 2 cycles) and Group D ($>$ mean IL14 α post 2 cycles). There were no significant differences between Groups C and D in the patient characteristics (Table 2). No significant difference was identified in patient PFS (HR=1.076, 95% CI=0.375-3.087,

$P=.891$) between Group C and Group D. A worse OS (HR=3.136, 95% CI=1.137-8.647, $P=.0176$) was observed in low expression groups after 2 cycles of anti-PD-1 therapy (Figure 1C and D).

The correlation between early change of IL14 α and clinical outcomes following anti-PD-1 treatment

A total of 14 patients had elevated IL14 α levels after 2 cycles of anti-PD-1 treatment. Of them, 10 patients (71.4%) had their disease controlled. Although 16 patients had a decrease in IL14 α levels after treatment, only 7 patients (43.8%) achieved disease control. To better explore the predictive role of early changes in IL14 α levels, we defined delta IL14 α change as (IL14 α level post 2 cycles - baseline IL14 α level/baseline IL14 α level \times 100%). Receiver operating characteristic was applied to get an optimal cutoff based on the response to anti-PD-1 treatment. The cutoff of delta IL14 α change is 2.46%, with an area under the ROC curve [AUC] of 0.7277 (sensitivity=85.71%, specificity=62.5%; $P=.034$; Figure 2). Delta IL14 α change was significantly correlated to patient response to the anti-PD-1 treatment (Table 3). An improved objective response rate was observed in patients with a delta IL14 α change over 2.46% (83.3% vs 33.3%, $P=.0072$) (Table 3 and Figure 3). In addition, univariate analysis suggested patients with a delta IL14 α change over 2.46% had superior PFS (HR=6.132, 95% CI=2.151-17.48, $P=.0039$) compared with those had a lower delta IL14 α change (Figure 4A). No difference was identified in OS between patients with different delta IL14 α change levels (HR=1.336, 95% CI=0.577-3.095, $P=.499$) (Figure 4B).

Discussion

In the last decade, anti-PD-1/PD-L1 agents have revolutionized the clinical management of various cancers. Despite of the tremendous benefit, hyper progressive disease has been reported following anti-PD-1/PD-L1 treatment and pseudoprogression has remained an unsolved challenge. Several biomarkers have been investigated, and PD-L1 expression has been approved as a determinative biomarker in many indications. However, the predictive role of response is overall limited.^{18,19} Within tumor tissue sampling, the variety in antibodies, pathological reports, and the heterogeneity in cancer type are obstacles for PD-L1 expression to be a pan-cancer biomarker for anti-PD-1/PD-L1 treatment. Therefore, serial liquid biomarkers hold the promise for predicting response to anti-PD-1/PD-L1 treatment and optimizing the treatment approach.

Interleukin 14 α , also defined as taxilin, was initially identified as a high molecular weight B-cell growth factor that can promote B-cell proliferation, especially of B-cells within the germinal center.²⁰ Previous studies have shown that IL14 α can selectively act on memory B-cells to enhance memory B-cell function.^{12,14,21} IL14 α has also been correlated with

Table 2. Correlation between serum levels of IL14 α and clinical features.

CHARACTERISTICS	IL14 α MEAN BEFORE TREATMENT		P	IL14 α MEAN AFTER 2 CYCLES		P
	GROUP A (N=17)	GROUP B (N=13)		GROUP C (N=16)	GROUP D (N=14)	
Age median (range)	63 (36-72)	56 (27-80)	.740	63.5 (36-76)	58 (27-80)	.556
Sex, n (%)						
Male	12 (29.4)	6 (53.9)	.175	11 (68.8)	7 (50.0)	.295
Female	5 (70.6)	7 (46.2)		5 (31.3)	7 (50.0)	
Type of tumor, n (%)						
Lung cancer	7 (41.2)	5 (38.5)	.478	8 (50.0)	4 (28.6)	.226
Gynecological cancer	-	1 (7.7)		1 (6.3)	-	
Lymphoma	1 (5.9)	2 (15.4)		-	3 (21.4)	
Neuroendocrine tumor	1 (5.9)	-		1 (6.3)	-	
Sarcoma	1 (5.9)	-		1 (6.3)	-	
Breast cancer	1 (5.9)	3 (23.09)		1 (6.3)	3 (21.4)	
Kidney cancer	1 (5.9)	-		-	1 (7.1)	
Digestive cancer	5 (29.4)	2 (15.38)		4 (25.0)	3 (21.4)	
Radiotherapy						
RT	3 (17.7)	3 (23.1)	.712	4 (25.0)	2 (14.3)	.464
No RT	14 (82.4)	10 (76.9)		12 (75.0)	12 (85.7)	
Response						
Response	10 (58.8)	7 (53.9)	.785	9 (56.3)	8 (57.1)	.960
Non-response	7 (41.2)	6 (46.2)		7 (43.8)	6 (42.9)	
IL14 α level by intensity ratio (mean \pm SD)	1.411 \pm 0.4486	3.012 \pm 1.313	<.001	1.373 \pm 0.4027	2.704 \pm 0.555	<.001

Abbreviations: Group A, \leq mean IL14 α before treatment; Group B, $>$ mean IL14 α mean before treatment; Group C, \leq mean IL14 α mean after 2 cycles; Group D, $>$ mean IL14 α mean after 2 cycles; IL14 α , interleukin 14 α ; RT, radiotherapy; SD, standard deviation.

the presence of autoantibodies [anti-Sjogren's syndrome A (anti-SSA)/Ro and novel tissue-specific autoantibodies (TSA)] in the non-Hodgkin lymphoma patients.²² Despite of the evidence of the active role of B-cell in anti-PD1 treatment, the association between IL14 α and the response to ICIs has not been reported.²³ Abundant in many human tumors, B-cells may play an active role in regulating antitumor response.^{13,18,24,25} In this report, we retrospectively included solid cancer patients treated with anti-PD-1 inhibitors in multiple trials and assessed the level of IL14 α dynamically. Although no correlations were identified between patient survival and IL14 α levels at baseline or post 2 cycles, the early changes of IL14 α (presented as the increase % of IL14 α post 2 cycles) was found to be associated with patient response and PFS following anti-PD-1 treatment. This study indicated IL14 α changes as a biomarker to monitor response to anti-PD-1 treatment and predict patient survival across different tumor types.

This study has several limitations. First, we lack detailed information regarding prior treatment, PD-L1 expression, and tumor mutation burden in this study. Second, small sample size has limitations. However, in this study, we observed the serum change level of IL14 associated with response to anti-PD-1 therapy, even it is slightly weak in statistical power. The major limitation of a low n (number of patients/samples) was that it cannot be further analyzed by stratification. Stratified analysis can reduce the influence of confounding factors in the research results. Therefore, in this study, it cannot be achieved. Further studies conducted in large prospective cohorts of patients are warranted to confirm the prognostic role of early changes of IL14 α in patients under anti-PD-1 treatment.

Conclusions

The early changes of serum IL14 α level may be a promising biomarker for predicting the prognosis of solid cancer patients following

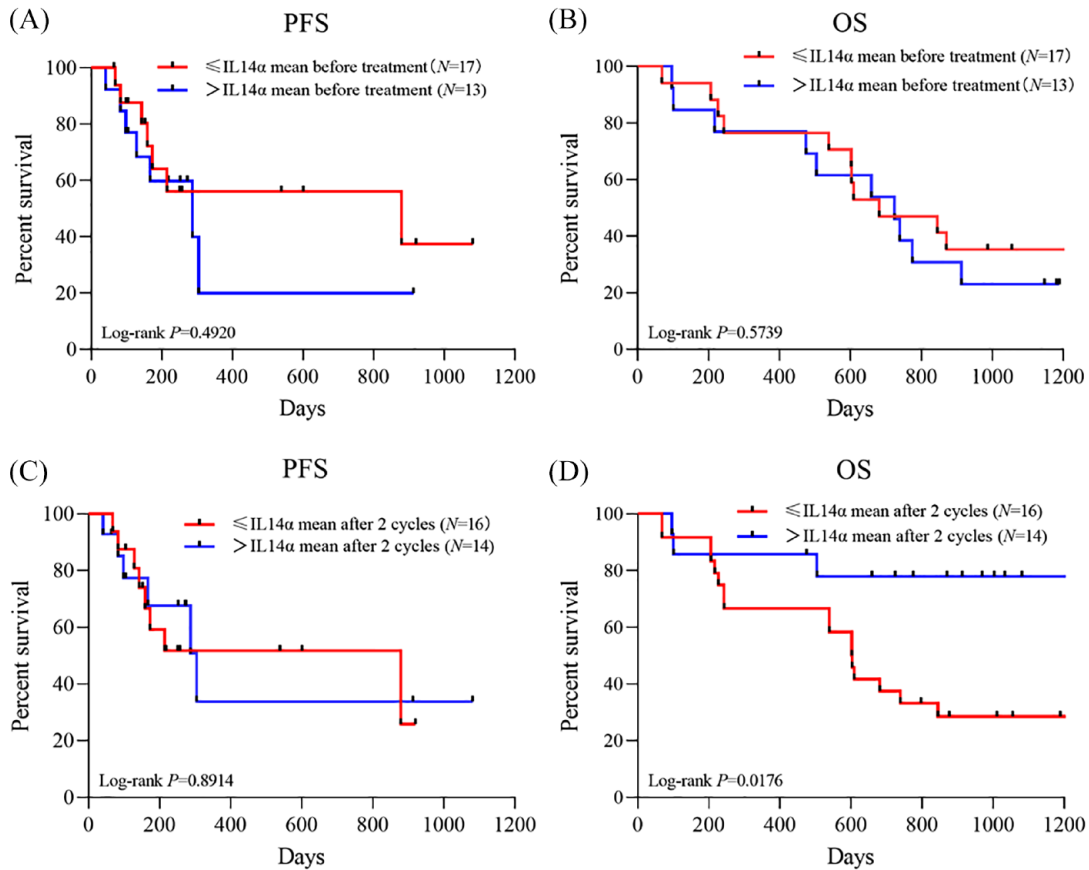
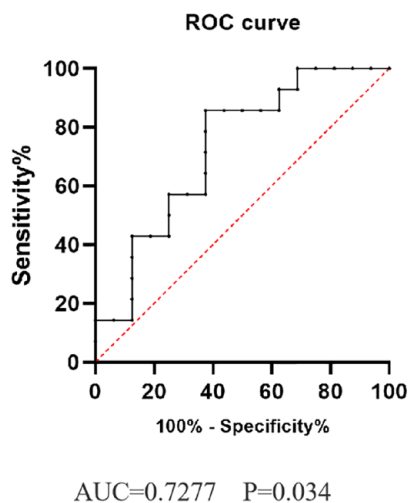


Figure 1. PFS and OS stratified by mean levels of IL14 α at (A and B) baseline and (C and D) after 2 cycles of anti-PD-1 therapy. IL14 α indicates interleukin 14 α ; OS, overall survival; PD-1, programmed cell death-1; PFS, progression-free survival.



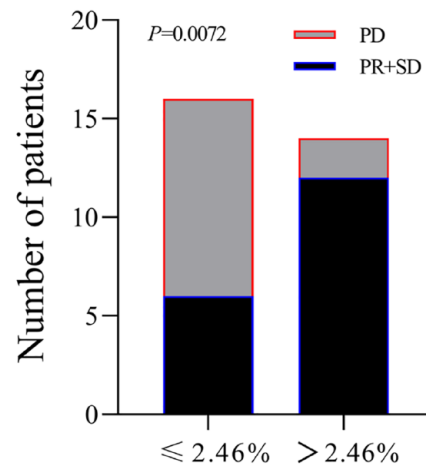
Delta IL14 %change Sensitivity & Specificity			
Cutoff	Sensitivity	Specificity	Youden's index
< -45.98	7.143	100	7.143
< -39.38	14.29	100	14.29
< -33.76	14.29	93.75	8.04
< -30.38	14.29	87.5	1.79
< -24.83	21.43	87.5	8.93
< -18.20	28.57	87.5	16.07
< -13.68	35.71	87.5	23.21
< -12.61	42.86	87.5	30.36
< -11.40	42.86	81.25	24.11
< -9.861	42.86	75	17.86
< -8.673	50	75	25
< -7.871	57.14	75	32.14
< -5.036	57.14	68.75	25.89
< -2.377	57.14	62.5	19.64
< -1.699	64.29	62.5	26.79
< -0.4524	71.43	62.5	33.93
< 0.7271	78.57	62.5	41.07
< 2.468	85.71	62.5	48.21
< 9.310	85.71	56.25	41.96
< 16.38	85.71	50	35.71
< 18.06	85.71	43.75	29.46
< 19.36	85.71	37.5	23.21
< 21.23	92.86	37.5	30.36
< 21.89	92.86	31.25	24.11
< 23.25	100	31.25	31.25
< 27.93	100	25	25
< 37.63	100	18.75	18.75
< 50.72	100	12.5	12.5
< 79.25	100	6.25	6.25

Figure 2. Receiver operating characteristic (ROC) analysis predicting therapeutic response after treatments. The crease line indicates AUC of the reference variable (delta IL14 α change post 2 cycles); the dotted line indicates the area under the ROC curve (AUC) where the null hypothesis ($P < .05$) is not rejected, and the variable would have no predictive value. AUC indicates area under the ROC curve; IL14 α , interleukin 14 α .

Table 3. Correlation between dynamic change of IL14 α and clinical features.

CHARACTERISTICS	DELTA IL14 α CHANGE AFTER 2 CYCLES		P
	GROUP E (N=18)	GROUP F (N=12)	
Age, median (range)	58 (27-80)	60.5 (45-72)	.785
Sex, n (%)			
Male	10 (55.6)	8 (66.7)	.542
Female	8 (44.4)	4 (33.3)	
Type of tumor, n (%)			
Lung cancer	9 (50.0)	3 (25.0)	
Gynecological cancer	1 (5.6)	-	
Lymphoma	2 (11.1)	1 (8.3)	
Neuroendocrine tumor	1 (5.6)	-	
Sarcoma	1 (5.6)	-	.357
Breast cancer	2 (11.1)	2 (16.7)	
Kidney cancer	-	1 (8.3)	
Digestive cancer	2 (11.1)	5 (41.7)	
Radiotherapy, n (%)			
RT	5 (27.8)	1 (8.3)	.192
n-RT	13 (72.2)	11 (91.7)	
Response, n (%)			
Response	6 (33.3)	10 (83.3)	.0072
Non-response	12 (66.7)	2 (16.7)	
IL14 α % increase after 2 cycles (mean \pm SD)	-16.04 \pm 15.31	31.46 \pm 25.98	<.0001

Abbreviations: Group E, \leq delta IL14 α change (2.46%) after 2 cycles; Group F, $>$ delta IL14 α change (2.46%) after 2 cycles; IL14 α , interleukin 14 α ; RT, radiotherapy.

**Figure 3.** Overall response distribution of patients with cutoff as 2.46% of delta IL14 α change. IL14 α indicates interleukin 14 α ; PD, progressive disease; PR, partial response; SD, stable disease.

anti-PD-1 treatment. It will help further optimize the ICIs paradigm of personalized medicine for patients with solid cancer.

Author Contributions

JJG, LS, and BW contributed to conception and design of the study. JJG, CC, SJ, YL, BW, YH, YZ, LL, MW, JG, QJ, and JC helped with the acquisition of data. JJG, XZ, and YL analyzed and interpreted the retrospective clinical study and data. JJG, SJ, CC, and XZ wrote sections of the manuscript. JJG, YL, and BHW reviewed the article. All authors contributed to the article and approved the submitted version.

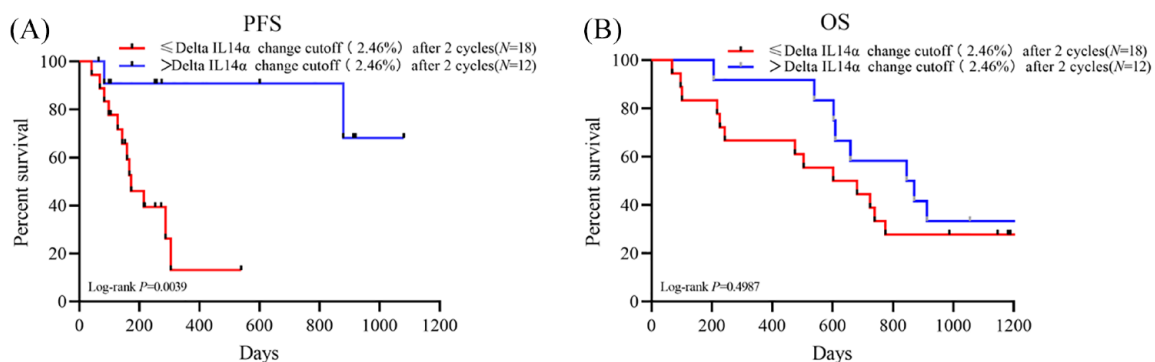
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**Figure 4.** (A) PFS and (B) OS stratified by delta IL14 α change cutoff (2.46%) after 2 cycles anti-PD-1 therapy. IL14 α indicates interleukin 14 α ; OS, overall survival; PFS, progression-free survival.

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