1 HIV-SEQ REVEALS GLOBAL HOST GENE EXPRESSION DIFFERENCES BETWEEN HIV-

2 TRANSCRIBING CELLS FROM VIREMIC AND SUPPRESSED PEOPLE WITH HIV

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25 Abstract

26 "Active" reservoir cells transcribing HIV can perpetuate chronic inflammation in virally 27 suppressed people with HIV (PWH) and likely contribute to viral rebound after antiretroviral 28 therapy (ART) interruption, so they represent an important target for new therapies. These cells, 29 however, are difficult to study using single-cell RNA-seq (scRNA-seq) due to their low frequency and low levels of HIV transcripts, which are usually not polyadenylated. Here, we developed 30 31 "HIV-seq" to enable more efficient capture of HIV transcripts – including non-polyadenylated ones - for scRNA-seg analysis of cells from PWH. By spiking in a set of custom-designed 32 capture sequences targeting conserved regions of the HIV genome during scRNA-seq, we 33 34 increased our ability to find HIV RNA+ cells from PWH by up to 44%. Implementing HIV-seg in 35 conjunction with surface phenotyping by CITE-seg on paired blood specimens from PWH before 36 vs. after ART suppression, we found that HIV RNA+ cells were enriched among T effector 37 memory (Tem) cells during both viremia and ART suppression, but exhibited a cytotoxic 38 signature during viremia only. By contrast, HIV RNA+ cells from the ART-suppressed timepoints 39 exhibited a distinct anti-inflammatory signature involving elevated TGF-β and diminished IFN 40 signaling. Overall, these findings demonstrate that active reservoir cells exhibit transcriptional features distinct from HIV RNA+ cells during viremia, and underscore HIV-seg as a useful tool 41 to better understand the mechanisms by which HIV-transcribing cells can persist during ART. 42

43 INTRODUCTION

44 Most people with HIV (PWH) experience rebound of HIV in plasma within several weeks after stopping antiretroviral therapy (ART), indicating the persistence of a "rebound-competent" 45 46 viral reservoir that prevents HIV cure. The prevailing model has been that the rebound virus arises from a small fraction of HIV-infected cells that contain an infectious provirus in a latent 47 state, where the infected cell does not constitutively produce virions but can be induced to do so 48 after activation^{1–3}. However, the rebound virus is often different from that which grows out ex49 *vivo* following stimulation in quantitative viral outgrowth assays (QVOA)^{4,5}, suggesting that 50 additional studies are needed to understand the reservoirs which can be reactivated in vivo. A 51 52 smaller body of research has focused on the cells actively transcribing HIV RNA in vivo, also known as the "active" reservoir. While HIV latency and expression are often viewed as a 53 54 dichotomy (off/on), studies using multiple round QVOAs demonstrate varying degrees of inducibility ex vivo⁶, and blood and tissue cells from ART-suppressed individuals in fact show a 55 56 continuum of HIV expressing cells in vivo, with variable degrees of progression through different blocks to HIV expression^{7–9}. 57

58 Importantly, multiple lines of evidence suggest that HIV-infected cells which spontaneously express HIV RNA or protein in vivo may be just as important for pathogenesis 59 and cure as the transcriptionally-silent reservoir. First, viral products expressed by active 60 reservoir cells are likely to contribute to the immune activation and inflammation^{10,11} that are 61 thought to underlie the sequelae of ART-treated infection, including organ damage and reduced 62 life expectancy^{12–16}. Second, the active reservoir seems better poised than the silent reservoir to 63 immediately infect new cells after interruption of ART because it does not need to revert the 64 mechanisms (e.g. epigenetic modifications) that prevent viral expression in the silent reservoir. 65 66 Indeed, at least four studies have shown that different forms of cell-associated HIV RNA negatively correlate with time to rebound after ART treatment interruption (ATI)^{17–20}. Moreover, 67 one small study showed that in about half of people who interrupted ART, Pol sequences from 68

the rebound virus matched those from cell-associated HIV RNA prior to ART interruption²¹.
These findings support the hypothesis that the active reservoir is rebound-competent. Finally,
active reservoir cells, by expressing HIV RNA and/or protein, are likely more susceptible than
quiescent latent cells to new immune-based therapies aimed at an HIV cure (e.g. therapeutic
vaccines, TLR agonists, adoptive immune therapies, and broadly neutralizing antibodies).

74 Despite all this evidence pointing towards the active reservoir as an important target for 75 HIV cure, our understanding of these cells is still rudimentary, and it is unclear whether these 76 cells exhibit similar phenotypic features as HIV RNA+ cells present during viremia. Cells transcribing or translating HIV genes from viremic individuals have been characterized by flow 77 cytometry, CyTOF, and single-cell sequencing^{22–25} to a certain extent, but HIV-transcribing cells 78 79 from ART-suppressed PWH have been harder to study with such single-cell technologies. For 80 instance, conventional sequencing-based approaches identify active reservoir cells at such low numbers as to preclude meaningful analysis²⁵. One alternative has been to activate cells from 81 ART-suppressed individuals ex vivo to characterize reactivated HIV RNA+ cells^{26,27}, but this 82 83 approach characterizes ex vivo stimulated and not spontaneously HIV-transcribing cells. Of 84 note, sequencing-based approaches for detection of HIV RNA+ cells from virally-suppressed PWH are not only limited by the low throughput and high costs of droplet-based encapsulation 85 technologies (e.g. 10X Genomics), but also the reliance on RNA capture through poly-dT. 86 87 Because most HIV-infected cells from ART-suppressed individuals do not contain polyadenylated HIV RNA due to blocks to transcriptional elongation and completion⁷, poly-dT-88 89 based methods of RNA capture will theoretically fail to recognize many HIV-transcribing cells. To increase the ability to identify rare, HIV RNA+ cells from PWH, including in the 90 context of ART suppression, we created a custom-modified, 10x Genomics-compatible, 5' 91 92 sequencing-based scRNA-seq workflow in which the poly-dT primer is supplemented with 93 multiple HIV-specific reverse primers targeting different regions of the genome. This approach captures HIV-infected cells with non-polyadenylated HIV transcripts, including 5' elongated as 94

95	well as more distal HIV transcripts. In addition, we included DNA-barcoded antibodies (CITE-
96	seq ²⁸) in our protocol to enable in-depth phenotyping of the HIV RNA+ cells for which we have
97	transcriptome data. Compared to the standard 5' sequencing approach, the inclusion of HIV-
98	specific reverse primers allowed the detection of more HIV RNA+ cells from PWH, and enabled
99	for the first time a meaningful analysis of HIV RNA+ cells from ART-suppressed PWH. Using
100	this advantage, we performed an in-depth analysis of the transcriptomes and phenotypes of HIV
101	RNA+ cells from longitudinal samples of PWH during active viremia and after ART suppression.
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104 **RESULTS**:

Development of HIV-seq as a method to increase capture and detection of HIV transcripts by single-cell sequencing

107 The standard 10X Genomics' 5' scRNA-seq workflow entails droplet encapsulation of 108 individual cells, followed by capture and reverse transcription of polyadenylated transcripts using poly(dT) oligos. This approach, theoretically, does not capture non-polyadenylated 109 transcripts. To efficiently capture HIV transcripts, including those that are not poly-adenylated, 110 111 we designed capture sequences targeting multiple conserved regions of the HIV genome (Fig. 112 1A): the R-U5-pre-Gag region (for 5' elongated transcripts), the Pol gene (for mid-transcribed, 113 unspliced transcripts), the second exon of Tat-Rev (for distal transcripts, including spliced), and two regions known to be enriched among intact proviruses: the packaging signal²⁹ (Psi; for 114 elongated, unspliced transcripts) and the HIV Rev response element²⁹ (RRE, for distal and 115 unspliced/single-spliced transcripts). Our capture sequences match the consensus sequence of 116 117 subtype B HIV-1, and are known to be efficient reverse primers for established ddPCR assays⁷. 118 The HIV capture oligos were spiked into the poly-dT primer mix and used in the reverse 119 transcription (RT) following cell encapsulation (Fig. 1B). After RT, gene expression and CITE-

120 seq libraries were prepared and sequenced. We aligned all sequences to the GRCh38 human 121 genome, to which we had appended a subtype B consensus sequence we had generated from 122 the Los Alamos database (see Methods). We named our overall pipeline "HIV-seq" due to its 123 specific targeting of HIV transcripts for RT, library generation, and alignment. 124 To assess the utility of HIV-seq, we compared it head-to-head to the original 10X 125 Genomics' 5' single cell RNA-seg pipeline (without HIV primers). To obtain sufficient numbers of 126 HIV RNA+ cells for this comparative analysis, we selected samples from two viremic donors 127 (PID1052 and PID8027) not on ART. Similar numbers of cells were processed using the 128 conventional vs. the HIV-seq pipeline and then analyzed by scRNA-seq. We first confirmed that in both donors, there was no difference in global gene expression between the two experimental 129 conditions (Fig. 2A), thereby demonstrating that HIV-seq does not perturb the capture and 130 131 sequencing of the host transcriptome. It also does not result in spurious detection of HIV RNA 132 transcripts in non-permissive CD8+ T cells (Fig. S1). Quantitative analyses showed that HIV-seq identified a higher percentage of HIV RNA+ cells from both donors than did traditional 5' scRNA-133 134 seq (Fig. 2B). For PID1052, HIV-seq increased the identification of HIV RNA+ cells from 0.047% to 0.068% of the CD4+ T cell population, corresponding to a 44.7% increase. For PID8027, who 135 had more HIV RNA+ cells, HIV-seq increased the capture of HIV RNA+ cells from 0.82% to 136 0.97%, corresponding to an 18.3% increase. The numbers of HIV transcripts detected per 137

138 infected cell also increased significantly with HIV-seq, from a mean of 22 to 44 reads/cell (Fig.

139 2B, 2C).

To assess whether the additional HIV transcripts captured by HIV-seq preferentially mapped to certain regions of the HIV genome, we compared the distribution of the HIV reads from the two viremic individuals in the absence vs. presence of HIV-capture oligos. HIV-seq increased the detection of HIV transcripts from across the entire proviral genome, without changing the representation of the HIV regions detected relative to traditional 5' scRNA-seq (Fig. 2D). Under both conditions, most HIV transcripts aligned to the *pol* and early *gag* regions

of HIV. Application of HIV-seq in the context of ART suppression also resulted in detection of
transcripts primarily mapping to the *gag* and *pol* regions (Fig. S2). Overall, these results
demonstrate that HIV-seq enables more efficient detection of HIV RNA+ cells and of HIV
transcripts per infected cell than does conventional 5' scRNA-seq.

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HIV RNA+ cells from viremic PWH are preferentially cytolytic Tem cells and exhibit an intracellular state promoting HIV replication.

153 Leveraging the ability of HIV-seg to increase the numbers of HIV RNA+ cells we can analyze by scRNA-seq, we implemented it on cells from 4 viremic, ART-naïve PWH (PID1052, 154 155 PID8026, PID8027, and PID0145). In total, 1,072 HIV RNA+ CD4+ T cells were identified, and infected cell frequencies ranged from 0.061% to 2.42% of the CD4+ T cell population (Fig. 3A). 156 157 UMAP visualization of the transcriptomes of the HIV RNA+ cells revealed heterogeneity, in that infected cells were distributed in multiple regions of the UMAP space, yet enrichment was 158 159 observed in some regions, suggesting non-random distribution of infected cells among CD4+ T 160 cell subsets (Fig. 3B). Considerable variability in the degree of HIV transcription was observed among infected cells, with HIV transcript levels ranging from 1 to 1063 HIV reads per cell. When 161 we separated the HIV RNA+ cells into those with low numbers of HIV reads (HIV_{low}: 1 to 50 HIV 162 163 reads) and those with high numbers of HIV reads (HIV_{high}: > 50 HIV reads), we found that participants with lower numbers of HIV RNA+ cells (PID1052 and PID0145) only harbored 164 HIV_{low} cells, while those with higher numbers (PID8026 and PID8027) had both populations (Fig. 165 S3A). Of note, HIV-seq did not affect whether HIV_{high} could be detected (Fig. S3B). The 166 167 distribution of HIV_{high} and HIV_{low} cells across the UMAP was similar (Fig. S3C) and the only significantly differentially expressed transcript/protein between these populations - apart from 168 169 HIV RNAs – was the CD4 protein, which was decreased among the HIV_{high} cells (Fig. S3D). This finding likely reflects higher expression of Nef, which downregulates cell-surface CD4³⁰, in 170

the HIV_{high} cells. Because the HIV_{high} and HIV_{low} cells exhibited overall similar gene expression
profiles, all remaining analyses combined these two populations together.

We then assessed whether the HIV RNA+ cells were enriched in specific cellular 173 subsets. We first assessed the distribution of classical CD4+ T cell subsets (Fig. S4) among 174 175 uninfected and HIV RNA+ CD4+ T cells. Relative to their uninfected CD4+ T cell counterparts, HIV RNA+ cells were under-represented among naïve cells and over-represented among 176 memory cells (Fig. 3C), as expected³¹. Within memory T cells, HIV RNA+ cells were under-177 represented among central memory (Tcm) cells and those of the CCR7+CD27- phenotype, and 178 179 were over-represented among effector memory (Tem) cells (Fig. 3C), consistent with prior reports of over-representation of Tem among HIV RNA+ cells from viremic individuals^{25,32}. By 180 contrast, transitional memory (Ttm), regulatory T cells (Treg), and T follicular helper (Tfh) cells 181 were equally represented among uninfected and HIV RNA+ CD4+ T cells (Fig. 3C). 182

Next, we compared differentially expressed transcripts between HIV RNA+ and HIV 183 RNA- cells from the viremic PWH. Almost 300 genes were differentially expressed (Fig. 3D, 184 Table S3). Consistent with disenrichment of Tcm among HIV RNA+ cells (Fig. 3C), transcript 185 levels of the CCR7, SELL (encoding the protein CD62L), and CD27 – markers of Tcm cells^{33,34} 186 - were downregulated in the HIV RNA+ cells (Fig. 3D). HIV RNA+ cells also had low transcript 187 levels of the alarmins S100A8 and S100A9, which encode for S100 calcium binding protein A8 188 189 and A9, respectively. These proteins are released in response to environmental triggers and cellular damage³⁵. Antiviral factors, including SERPINA1^{36,37} and APOBEC3A³⁸, were also 190 191 decreased among HIV RNA+ cells, suggesting that the intracellular state of HIV RNA+ cells in 192 viremic individuals may favor HIV replication. In line with this finding, HIV RNA+ cells also 193 exhibited downregulation of CST3, which encodes cystatin C, a cysteine protease inhibitor that interacts with the HIV proteins gp160, gp120, p31 and p24, and inhibits HIV protease function³⁹. 194 With regards to transcripts upregulated among HIV RNA+ cells, HIV transcripts were the top hit, 195

as expected. In addition, HIV RNA+ cells expressed higher levels of the activation marker CD70. Interestingly, CD4+CD70+ cells are increased in PWH with high levels of viremia and associate with immune activation⁴⁰, suggesting that this subset of infected cells may contribute to disease progression during untreated infection. HIV RNA+ cells also expressed elevated levels of *CXCR6*, which encodes a chemokine receptor that is an alternative co-receptor for HIV⁴¹.

Pathway analysis of the DEGs revealed elevated upregulation of the NFAT pathway
(*MAF*, *CLTA4*) in HIV RNA+ cells (Fig. 3D, 3E), consistent with NFAT as a driver of HIV
transcription^{42,43}. Similarly, there was an upregulation of the PKC pathway, known for its
involvement in HIV gene expression and latency reversal⁴⁴. Finally, and consistent with the DEG
analysis, chemokine signaling pathways – featuring genes such as *CXCR6*, the CXCR6 ligand *CXCL13*, and *PLCB1* – were also elevated among HIV RNA+ cells.

While DEG analysis identified both known and novel shared features among all HIV 208 RNA+ cells, it was clear that the HIV RNA+ cells were heterogeneous (Fig. 3B). We therefore 209 210 implemented clustering to assess for transcriptomic or phenotypic features that may not be 211 shared by the entire population of infected cells. Louvain clustering identified six clusters of CD4+ T cells (Fig. 3F). The classical CD4+ T cell subsets (Fig. S4) did not define the clusters, 212 213 and in fact all the classical memory subsets were represented among the 6 clusters, albeit in 214 different proportions (Fig. S5). The HIV RNA+ cells were differentially distributed among the six 215 clusters as compared to their uninfected counterparts, with enrichment of HIV RNA+ cells 216 among cluster 2 (Fig. 3F). This cluster was characterized by high expression of cytotoxic and 217 cytolytic genes, including GZMA, GZMB, GZMH, GZMM, PRF1, GNLY, NKG7, as well as the Th1-defining factors *IFNG* and *TBX21* (Fig. 3G). This finding suggests that cluster 2 cells are of 218 219 a cytolytic Th1 phenotype, and is consistent with prior reports that HIV RNA+ cells from viremic PWH exhibit Th1 cytolytic signatures^{25,32}. 220

Overall, our data demonstrate that HIV RNA+ cells from viremic PWH are heterogeneous but exhibit shared features, including being more likely to be Tem, and notably, displaying a previously undescribed state more conducive to viral replication, with low expression of restriction factors and increased activation of cellular pathways promoting HIV gene expression. Additionally, relative to their uninfected counterparts, they preferentially exhibit a cytolytic signature characterized by higher expression of granzymes, perforin, and granulysin and a Th1 signature.

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HIV RNA+ cells from suppressed PWH are preferentially Tem cells but do not exhibit a cytolytic signature.

Three of the individuals we had analyzed in the context of active viremia (PID1052, 231 232 PID8026, and PID8027) had specimens collected after > 24 weeks of ART suppression. 233 Therefore, we next implemented a similar HIV-seg analysis pipeline to characterize these 234 participant-matched HIV RNA+ cells in the context of ART suppression. A total of 26 HIV RNA+ cells were detected, corresponding to an infected cell frequency of 0.016% to 0.091% (Fig. 4A). 235 As for the viremic specimens, HIV RNA+ cells were broadly distributed among CD4+ T cells, 236 237 demonstrating heterogeneity (Fig. 4B), and enriched among Tem cells (Fig. 4C); in fact, in two 238 individuals (PID8026 and PID8027), the entire HIV RNA+ cell population was exclusively found within this subset. Few DEGs were observed between the HIV RNA+ and HIV RNA- CD4+ T 239 240 cells, with the notable exception of a handful of downregulated genes (Fig. 4D and Table S4). These included the Tcm marker SELL, the alarmin S100A9, and the cysteine protease inhibitor 241 242 CST3, all of which were also preferentially downregulated among HIV RNA+ cells during active 243 viremia (Fig. 3).

244 Louvain clustering analysis revealed that unlike HIV RNA+ cells collected during viremia, 245 those collected during suppression were not enriched among cytolytic cluster 2. Instead, the cluster distribution of the infected cells mirrored that of the overall CD4+ T cell population (Fig. 246 247 4E). Hence, the HIV RNA+ cells were primarily distributed among cluster 1, the most abundant cluster. Cluster 1 primarily consists of memory CD4+ T cells expressing high levels of IL7R, the 248 α chain of the IL7 receptor (also known as CD127), suggesting they are long-lived cells with 249 250 stem-like proliferative capacity (Fig. 4F). Further supporting the notion of their being long-lived memory cells is their preferential expression of BCL-2 (Fig. 4F), a pro-survival, anti-apoptotic 251 gene implicated in HIV persistence⁴⁵⁻⁴⁸. Taken together, these findings suggest that in blood, 252 the majority of HIV RNA+ cells during ART suppression reside not within cytolytic CD4+ T cells 253 as was observed during viremia – but rather within a long-lived population of memory CD4+ T 254 255 cells.

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257 CD4+ T cells exhibit stronger antiviral immunity and upregulate the integrated stress

response pathway during viremia as compared to after ART suppression.

259 Leveraging the fact that HIV-seq was performed on paired specimens from before vs. 260 after ART suppression, we then compared the transcriptomes of total CD4+ T cells across these 261 two conditions. Total CD4+ T cells coming from viremic vs. suppressed specimens were transcriptionally divergent, as reflected by distinct UMAP localizations (Fig. 5A, Fig. S6), even 262 263 though their distribution among classical CD4+ T cell subsets was similar (Fig. 5B). Although DEGs were identified (Fig. 5C, Table S5), none retained statistical significance following 264 265 correction for multiple comparisons. As an exploratory analysis, however, we assessed the 266 DEGs with the lowest raw p-values to gain insights into potential cellular pathways 267 distinguishing CD4+ T cells during active viremia from those following ART suppression. This 268 revealed CD4+ T cells during viremia to preferentially express higher levels of cytotoxic and

269 proinflammatory genes (SP100, IL6), and genes related to interferon α , β and γ signaling (IFI27, 270 IFI44L, IFIT3, IFI6, IFI44, ISG15, IFITM10, IFI30, IFI27L1, MX1, IFIH1, IFNLR1, IFIT5, NEAT1, TYMP). Some of these (IFI44L, ISG15, NEAT1, TYMP) have previously been reported to be 271 272 higher among CD4+ T cells during active viremia as compared to ART treatment⁴⁹. This 273 upregulation may reflect an antiviral host response triggered by high levels of viral transcripts and proteins during viremia. Other highly expressed genes during viremia included cytolytic 274 genes (GZMB, GZMK) whose expression can be induced by cytokines such as IL2 and IL15⁵⁰, 275 and during inflammation and viral infections⁵¹. 276

Also of interest was that that during viremia, CD4+ T cells increased expression of 277 EIF2AK2 (Fig. 5C, Table S5), a key gene involved in integrated stress response (ISR), a 278 pathway previously reported to be induced during acute HIV infection^{52,53}. During ISR, *EIF2AK2* 279 280 expression is induced by T1IFNs, which then upon binding to viral dsRNA can initiate a cascade 281 of events culminating in diminished protein translation. Indeed, when we performed DEG analysis of all donors combined using an approach previously implemented to identify DEGs 282 between total CD4+ T cells during active HIV viremia vs. after ART suppression^{25,49} (see 283 Methods), we observed downregulation of numerous ribosomal transcripts (RPL and RPS 284 transcripts) during viremia (Fig. S7 and Table S6). The diminished ribosomal transcript levels 285 286 were accompanied by diminished expression of *EEF2*, whose downregulation has been shown to reduce active ribosomes⁵³ and which shuts down mRNA translation resulting in overall 287 diminished viral protein synthesis⁵⁴. Hence, the ISR pathway, which serves to coordinate 288 289 cellular responses to various stressors by regulating protein synthesis and gene expression and is a host response to limit viral spread, appears to be a characteristic feature of acute untreated 290 HIV infection that is turned off upon ART suppression. 291

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Relative to HIV RNA+ cells during viremia, those during ART suppression upregulate TGFβ signaling pathways and exhibit diminished activation of host responses.

295 We then compared the HIV RNA+ CD4+ T cells from the paired specimens. Classical CD4+ T cell subset distributions of HIV RNA+ cells between the two timepoints were similar. 296 with preferential distribution among Tem cells for both (Fig. 5D). Here again, multiple DEGs 297 298 were identified between the timepoints, but none retained statistical significance after correction 299 for multiple comparisons. As an exploratory analysis, we assessed the identities of the DEGs 300 based on the raw p-values (Fig. 5E and Table S7). This analysis revealed upregulation of multiple ISGs and proinflammatory genes in HIV RNA+ cells during viremia compared to 301 suppression, including IFI27, MX1, ISG15, DUSP2, and SP100. These genes were also 302 303 upregulated among total CD4+ T cells during viremia (Fig 5C and Table S5). IFI27 in particular 304 was increased by more than 10-fold in HIV RNA+ CD4+ T cells during viremia as compared to those during ART. Given that HIV-1 Vpr and Tat can directly induce *IFI27* production^{55–57}, and 305 306 that expression of these viral proteins may be diminished in the context of ART suppression due to multiple blocks to HIV transcription^{7–9}, it is possible that elevated expression of Vpr and Tat is 307 308 driving IFI27 expression prior to ART initiation. Interestingly, IFI27 levels correlate with inflammation and disease progression during both HIV-1 and HIV-2 infection^{58,59}, and may 309 310 contribute to HIV pathogenesis^{58,59}.

DEGs associated with HIV transcription were also upregulated in HIV RNA+ cells during viremia as compared to after ART suppression. HIV RNA+ cells during viremia expressed higher levels of *SRRM1*, a modulator of HIV-1 splicing that is involved in the regulation of Tat and Nef expression⁶⁰, and lower levels of two genes implicated in silencing HIV transcription and translation: *RBL2*, which recruits and targets histone methyltransferases, leading to epigenetic transcriptional repression; and *RPS10*, which binds HIV Nef to form a complex that decreases viral protein synthesis⁶¹. Together, these findings suggest that during viremia, HIV

RNA+ cells exhibit a transcriptional profile that favors the production of more HIV transcripts.
This finding accords with our having observed elevated HIV transcript levels among HIV RNA+
cells during viremia as compared to during suppression in two out of our three participants (11and 14-fold increase, respectively, for PID8026 and PID8027).

322 Pathway analysis of the DEGs in HIV RNA+ cells before versus after ART suppression 323 supported the notion that HIV RNA+ cells during viremia are preferentially in an activated, antiviral state, characterized by upregulation of multiple interferon pathways (IFN I and IFN II) 324 325 (Fig. 5F, top). Conversely, HIV RNA+ cells during ART suppression preferentially upregulated 326 TGF- β signaling (Fig. 5F, bottom). This entailed upregulation of *RBL2* and *ITGB1* (Table S5), genes associated with the TGF- β signaling pathway. *ITGB1*- and *RBL2*-associated TGF- β 327 activation has been implicated in tumor suppression and cancer growth arrest^{62,63}. Although 328 329 ITGB1 and RBL2 have not been directly implicated in HIV infection, TGF- β signaling has been recently implicated in promoting HIV latency^{64,65}. Our data suggest that this TGF-β-associated 330 signature is a phenomenon that only emerges in the context of ART suppression, as HIV RNA+ 331 332 cells during viremia do not exhibit such a signature. Overall, these results indicate that the 333 transcriptional profiles of HIV RNA+ cells differ depending on whether or not ART is present, 334 and that the features of HIV RNA+ cells during viremia cannot be assumed to be the same as those during ART suppression. 335

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338 **DISCUSSION**

In this study, we introduce HIV-seq as a method to improve the efficiency by which
 individual HIV RNA+ cells can be identified by scRNA-seq. Applying HIV-seq to blood samples
 collected at viremic and suppressed timepoints from the same set of individuals allowed us to

discover features of infected cells in viremic individuals, and discern differences between these
 cells and active reservoir cells that persist during stable ART suppression.

HIV-seq increased detection of HIV RNA+ cells from PWH by up to 44%, and enabled 344 in-depth scRNA-seg of the highest numbers of HIV RNA+ cells reported to date, in the context 345 346 of both viremia and ART suppression. We recovered and analyzed 1,072 HIV RNA+ cells from four viremic donors, in comparison to prior methods using classic scRNA-seg³², ECCITEseg²⁵, 347 and DOGMAseq⁴⁹, which had identified 164 total cells from 14 donors (with one highly viremic 348 donor further sequenced to gain an additional 223 HIV RNA+ cells), 81 total cells from 6 donors, 349 and 256 total cells from 6 donors, respectively. Our identification of 26 HIV RNA+ cells from 3 350 donors on ART is also substantially higher than prior studies, which had reported 2 total cells 351 from 14 donors³², 9 total cells from 6 donors²⁵, and 14 total cells from 6 donors⁴⁹. 352

353 The elevated numbers of HIV reads identified by HIV-seq also enabled an in-depth 354 analysis of the distribution HIV transcripts among infected cells. We found reads spanning the entire HIV genome, regardless of whether we included HIV capture oligos. During viremia, the 355 most frequently detected transcript was pol, followed by gag, vif, env, and tat. Likewise, gag 356 357 and *pol* were most common during ART suppression. This distribution likely reflects three key factors. First, the technology used for scRNA-seg can introduce biases at the stages of RNA 358 capture (use of poly-dT +/- specific sequences), reverse transcription, binding of template switch 359 360 oligo (to CCC trinucleotides), second strand synthesis, amplification (amplicon size), fragmentation, ligation, sequencing, and analysis (location of cell/transcript barcodes). Second, 361 362 alignment efficiency is impacted by the extent to which a sequenced read matches our subtype B consensus sequence. Gag and pol genes are the most conserved among subtype B HIV-1 363 isolates^{66,67}, which may have contributed to their being the most frequently identified HIV 364 365 transcripts in our scRNA-seq analysis. Lastly, read distribution can be affected by the relative 366 proportions of each transcript type. In particular, blocks to HIV transcription in active reservoir cells primarily occur before the *env/nef* regions⁷, resulting in a higher presence of 5' reads in the 367

samples. All these factors together may have accounted for our preferential identification of *gag* and *pol* transcripts, irrespective of HIV-seq.

We identified over a thousand HIV RNA+ cells by HIV-seq in the absence of ART. 370 enabling an in-depth analysis of the features of HIV-infected cells during viremia. This analysis 371 372 both confirmed prior studies analyzing fewer cells and also revealed new insights not previously reported. HIV RNA+ cells during viremia were predominantly of the Tem phenotype, consistent 373 374 with previous reports^{22,25}. One likely explanation is the increased susceptibility of Tem cells to HIV infection relative to their Tcm counterparts²⁴. Also consistent with prior studies^{25,32,49} is our 375 observation that HIV RNA+ cells preferentially reside in a cluster of cells exhibiting a 376 Th1/cytotoxic phenotype, defined by preferential expression of Th1-defining markers along with 377 cytolytic markers including granzymes, perforin, and granulysin. Active viral replication may 378 379 promote the acquisition and maintenance of cytotoxic functions by CD4+ T cells by eliciting a sustained pro-inflammatory environment, which has been shown in the context of cancer⁶⁸ as 380 well as during influenza infection⁶⁹. 381

We also discovered that during active viremia, HIV RNA+ cells expressed lower levels of 382 the restriction factors APOBEC3A and SERPINA1 compared to their HIV RNA- counterparts, 383 384 which to our knowledge has not previously been reported and may reflect an immune evasion mechanism mediated by the virus. APOBEC3A is a DNA cytidine deaminase that exhibits 385 antiviral activity, including against HIV^{70,71}, and can also help maintain HIV latency in CD4+ T 386 cells through recruitment of epigenetic silencing machinery to the LTR⁷². The HIV accessory 387 gene Vif, however, can target APOBEC3A for proteasome-mediated degradation⁷³. Our finding 388 of decreased APOBEC3A expression at the transcript level suggests that there may be 389 mechanisms beyond proteasome-mediated degradation to suppress APOBEC3A activity. 390 391 SERPINA1 is a restriction factor that is induced during inflammation and inhibits HIV LTR-driven transcription⁷⁴, and whose expression can be regulated by methylation, independently of 392 inflammation⁷⁵. The extent to which HIV RNA+ cells downregulate SERPINA1 expression 393

through methylation is unknown, but this mechanism is conceivable given the profound
epigenetic changes induced by HIV infection⁷⁶. Hence, diminished expression of both *APOBEC3A* and *SERPINA1* in HIV RNA+ cells during acute viremia may promote rapid
production of new virions by promoting HIV transcription.

398 In addition to analyzing HIV RNA+ cells, we also leveraged our in-depth sequencing 399 datasets to assess the extent to which active HIV viremia affects the transcriptomes of total 400 CD4+ T cells. Comparing the scRNA-seg profiles of total CD4+ T cells before and after ART 401 suppression revealed an upregulation of proinflammatory genes and genes related to interferon α , β , and γ signaling during viremia. This finding aligns with a recent demonstration of elevated 402 type I IFN gene expression (IFI44L, ISG15, XAF1, NEAT1, TYMP, TRIM22) in total CD4+ T 403 cells during untreated HIV infection⁴⁹, and is consistent with the notion of a pro-inflammatory 404 405 response induced by active viral replication.

406 Unexpectedly, we also discovered that total CD4+ T cells during viremia upregulated the 407 ISR pathway relative to their counterparts during ART suppression. In general, ISR serves as a protective host response against viruses by reducing global protein synthesis to inhibit viral 408 replication, and in some cases can further induce apoptosis of infected cells⁵². However, some 409 viruses – including HIV – seem to have evolved mechanisms to hijack or benefit from ISR^{52,77}. 410 For example, the ISR-associated transcription factor ATF4 can bind to the HIV promoter to 411 stimulate HIV transcription⁷⁷. It is thus possible that global upregulation of ISR among CD4+ T 412 cells creates an intracellular environment favorable for HIV gene expression, thereby facilitating 413 414 rapid systemic spread of the virus during untreated infection.

In addition to revealing insights into HIV pathogenesis and spread during untreated
infection, HIV-seq also enabled in-depth analysis of active reservoir cells in aviremic individuals.
To date, no study has conducted a comprehensive scRNA-seq analysis specifically on HIV
RNA+ cells in the context of ART suppression, as prior studies have either combined HIV RNA+
cells from viremic and suppressed samples for analysis (due to low numbers of HIV RNA+ cells

identified during ART)^{25,32} or only performed primary analysis on HIV RNA+ cells during 420 421 viremia⁴⁹. Importantly but perhaps not surprisingly, we found that HIV RNA+ cells during ART suppression differ from those during active viremia, suggesting that HIV RNA+ cells during 422 423 viremia should not be used as a proxy for understanding how HIV persists in ART-suppressed 424 PWH. Although HIV RNA+ cells in both instances were predominantly Tem, those during ART suppression did *not* preferentially harbor a cytotoxic phenotype. This finding can be explained 425 426 by the general short-lived nature of effector/cytotoxic lymphocytes, which has been described for CD8+ CTLs⁷⁸. By contrast, HIV reservoir cells are long-lived, and recent studies of total and 427 genome-intact HIV reservoir cells have suggested preferential expression of markers of cell 428 survival ^{79,80}. Although it may seem perplexing that we observed active reservoir cells to 429 preferentially reside among Tem, which are generally considered short-lived⁸¹, it is worth noting 430 that long-lived Tem cells have been described in the context of viral infections^{82–84}. 431

Supporting the notion that active reservoir cells, like the total reservoir cells, exhibit 432 433 features of longevity, we found that on-ART HIV RNA+ cells preferentially resided in a cluster of cells expressing high levels of CD127, the alpha chain of the IL7 receptor, which is a major 434 435 driver of homeostatic proliferation. IL7 can promote stabilization of a long-lived reservoir of HIVinfected cells⁸⁵, and is associated with a slower contraction of the total HIV reservoir (as defined 436 by HIV DNA levels) over time⁸⁶. Our data suggest that IL7 may also drive persistence of the 437 438 active reservoir. We also found this dominant cluster of HIV RNA+ cells to preferentially express BCL-2, an anti-apoptotic protein that inhibits apoptosis by regulating mitochondrial membrane 439 permeability and preventing the release of pro-apoptotic factors⁸⁷. Interestingly, ex vivo 440 treatment of cells from ART-suppressed PWH with different BCL-2 inhibitors such as 441 venetoclax⁴⁵ or obatoclax⁴⁸ decreases the pool of genome-intact HIV-infected cells. 442 443 Furthermore, venetoclax delays viral rebound upon ART interruption in a humanized mouse model of HIV persistence⁴⁵. Our data suggest that the active reservoir, like genome-intact 444 reservoir cells, should also be sensitive to BCL-2 inhibitors. The outcome of a recently initiated 445

446 clinical trial testing venetoclax as a therapeutic approach to achieve HIV remission in ART-

suppressed PWH ⁸⁸ will be interesting in that regard.

Besides exhibiting stem-like properties, active reservoir cells also exhibited increased 448 activation of the TGF- β pathway. Recent studies in a non-human primate model of SIV infection 449 450 implicated TGF- β in promoting HIV persistence, through mechanisms related to both the cytokine's immunosuppressive effects as well as its ability to suppress viral gene 451 452 expression^{64,65}. Our finding that active reservoir cells preferentially activate the TGF- β pathway – in particular by upregulating ITGB1, which mediates release of the active form of TGF- β^{62} , and 453 RBL2, which in response to TGF- β activation mediates changes cell cvcle progression⁶³ – 454 suggests that persistent HIV may utilize the TGF- β pathway to achieve immune evasion. 455 456 Immune evasion may be particularly important for active reservoir cells, as these cells can 457 express HIV proteins that can then be processed for recognition by HIV-specific CD8+ T cells^{89–} ⁹¹. Our finding that active reservoir cells utilize the TGF- β pathway, alongside evidence that 458 459 galunisertib (a TGF-β1 receptor inhibitor) promotes ex vivo reactivation of HIV from cells of ART-suppressed PWH⁶⁴, favors the notion that targeting the TGF-β pathway may be a viable 460 461 approach for the "kick and kill" strategy for eliminating HIV reservoir cells. Together, these results demonstrate that HIV RNA+ cells during active viremia are 462 primarily cytotoxic CD4+ T cells with diminished expression of restriction factors targeting HIV 463 464 transcription, while those during ART suppression exhibit features enabling long-term survival through anti-apoptotic and homeostatic proliferation mechanisms along with exploitation of TGF-465 466 β signaling pathways to achieve immune evasion. Future studies should apply HIV-seg to more broadly characterize the active reservoir, for example in the context of tissues where HIV 467 primarily persists. In addition, applying HIV-seq in the context of clonal expansion analysis using 468

single-cell VDJ analysis, and further developing this technique to allow for multiplexing with

470 other platforms (e.g. single-cell ATACseq) will have utility in furthering our understanding of the

471 mechanisms by which HIV can persist long-term despite ART suppression of viremia.

472 METHODS:

473 Ethics statement

The study was approved by the Committee on Human Research (CHR), the Institutional Review Board for the University of California, San Francisco (approval #11–07551 and #10-

- 476 01561). All study participants provided written informed consent.
- 477

478 Study Population

479 The study participants were HIV-infected adults on suppressive ART (median age =

480 38.5; median CD4 count = 490 cells/mm³; Table 1). Paired and longitudinal, archived PBMC

481 samples were obtained from the UCSF Treat Acute Study and the SCOPE cohort. Samples

482 were collected prior to ART initiation (Week 0) and following ART suppression (Week 24:

483 PID8026; Week 45: PID8027; and Week 70: PID1052). A total of two aliquots of 10^7 cells each

484 were obtained for each time point (viremic and suppressed), with one aliquot preserved for HIV

485 DNA/RNA measurements. An additional participant (PID0145), for whom only the viremic (Week

486 0) time point was available, was recruited from the San Francisco VA Medical Center.

487

488 HIV DNA and RNA measurements

For each participant, levels of HIV DNA and RNA were evaluated to ascertain whether 489 490 their reservoir was sufficiently high for detection with limited cell inputs (up to 10,000 cells per well). An aliquot of 10^7 cryopreserved cells was tested from each time point (viremic and 491 suppressed) for each donor. Each aliquot was thawed, cryopreservation medium was removed, 492 and total RNA and DNA were extracted using TRI Reagent (Molecular Research Center, Inc., 493 Cincinnati, OH) as per manufacturer's instructions, with the following modifications: polyacryl 494 495 carrier (Molecular Research Center, Inc., Cincinnati, OH) was added to TRI reagent prior to 496 lysis, RNA was resuspended in RNase free-water, DNA was extracted using back extraction

497 buffer (4M quanidine thiocyanate, 50mM sodium citrate, 1M Tris), polyacryl carrier was added to 498 the aqueous phase containing the DNA, and DNA was resuspended in QIAGEN buffer EB⁸. Reverse transcription and droplet digital PCR were performed as previously described⁷. 499 500 HIV DNA (R-U5-pre-Gag region) and copies of the housekeeping gene TERT (telomere reverse transcriptase) were measured in duplicate by droplet digital (dd)PCR (Bio-Rad QX100). A 501 threshold of 3 HIV DNA copies/10,000 cells at the suppressed time point was set as a threshold 502 503 for study inclusion, as such levels yielded a reasonable likelihood of detecting HIV transcripts at 504 the single cell level using the 10X Genomics scRNA-seq platform. All samples subjected to 505 single-cell sequencing in this study met this threshold. 506 scRNA-seq sample preparation 507 508 PBMC A total of 10⁷ PBMCs were thawed at 37°C and washed once with warm media (RPMI 509 [Corning Inc., Corning, NY] supplemented with 10% FBS [VWR, Radnor, PA]) and then 510 resuspended in FACS buffer (RPMI, supplemented with 2% FBS and 2 mM EDTA [Thermo 511 512 Fisher Scientific]) prior to counting. After setting aside 10^5 cells from each sample for downstream PBMC spike-in, CD4+ T cells were purified from the remaining cells by negative 513 selection using the EasySep Human CD4 T cell enrichment kit (StemCell). The PBMCs from the 514 515 same donor that were set aside were then spiked back in at a ratio of 5:100 in order have non-CD4+ T cells to help establish gates for defining HIV RNA+ cells. 516 517 TotalSeq-C Antibody Staining 518 519 TotalSeq-C pooled antibody mix (Biolegend) was prepared so as to contain 0.4 µg of 520 each antibody in a final volume of 100 μ L/sample, in PBS (Ca++ and Mg+ free, Corning Inc.) 521 containing 3% FBS, as per devised panels (Table S1). A million cells were pelleted.

resuspended in RPMI supplemented with 3% FBS, and incubated at 4°C for 10 min with Fc block (Miltenyi) at a 1:10 dilution. 100 μ L/sample of TotalSeq pooled antibody mix was then added to the cells, which were incubated for 30 min at 4°C. Cells were then washed three times in RPMI containing 0.04% BSA, strained using a 40 μ m cell filter (BD Falcon), and resuspended at a concentration of 1000 cells/ μ L.

527

528 Custom HIV primers

529 Custom HIV-seg primers were designed by appending HIV-specific capture sequences 530 (Table S2) to a non-poly(dT) PCR handle (Fig. 1). The concentration of 10X Poly(dT) oligo (poly-dT RT Primer PN 2000007) is estimated to be 1.33 µM in each 10X reverse transcription 531 (RT) reaction⁹². Initial experiments spiking in different concentrations of PreGag primers 532 revealed that 0.67 µM of primer resulted in lower UMIs mapped across the HIV genome relative 533 to 0.33 μ M primer. Furthermore, the 0.67 μ M concentration resulted in a lower frequency of 534 detected HIV transcripts. When we iteratively lowered primer concentrations, we found the 535 536 optimal HIV primer concentration to be 41.6 nM. The primer pool (containing 41.6 nM of each primer) was added to the 10X Genomics reverse transcriptase (RT) reaction mix containing RT 537 Reagent B, Poly-dT RT Primer, Reducing Agent B, and RT Enzyme C (HIV-Seg RT Mix), and 538 used for reverse transcription of encapsulated cells as described below. 539

540

541 Reverse transcription, library preparation and sequencing

Chromium Next GEM Single Cell 5' Reagent Kits v2 (Dual Index) with Feature Barcode
technology for Cell Surface Protein & Immune Receptor Mapping (10X Genomics, PN1000263)
and the Chromium[™] Controller (10X Genomics, PN120223) were used for gene expression
library and cell-surface protein library generation. Briefly, 20 µl of a TotalSeqC-stained singlecell suspension (~1000 cells/µl) was mixed with the HIV-Seq RT Mix, barcoded using Single

547 Cell VDJ 5' Gel Beads, and partitioned with oil onto a Chromium Next GEM Chip K. The chip 548 was then loaded onto a Chromium Controller for single-cell GEM generation and barcoding. Reverse transcription reactions were performed according to the Chromium Single Cell 5' 549 Reagent Kits v2 (Dual Index) User Guide (10x Genomics CG000330, Rev A). Sequencing 550 551 libraries were constructed with 13 cycles of PCR during cDNA amplification and 14 cycles of 552 Sample Index PCR. Gene expression and cell surface protein libraries were pooled and sequenced on a the NovaSeg 6000 lane (S4 flow cell) and sequenced at a minimum of 50,000 553 554 reads / cell. 555 Data processing, statistics, and analysis 556

Sequencing libraries from n=4 independent experiments were analyzed. A custom 557 558 Human/HIV consensus subtype B reference sequence was purpose-built for this study by 559 downloading a Group M alignment from the Los Alamos National Laboratory's HIV sequence database (2018 version), filtering for subtype B sequences, realigning using MAFFT⁹³ with 560 561 default settings, and generating a majority consensus sequence using Geneious 562 (http://www.geneious.com/). The consensus HIV sequence was appended to the human reference genome (GRCh38-2020) and annotated as a single exon. Alignment of scRNA-seq 563 reads, collapsing of reads to unique molecular identifier (UMI) counts, and cell calling was 564 performed using CellRanger 6.0.2 (10X Genomics). Filtered count matrices of features 565 generated using the CellRanger count function were then subjected to multiple cleanup steps. 566 Cells with high mitochondrial genes expression (mtDNA% > 15%) and low features (<500) were 567 removed, along with doublet cells (identified using the DoubletFinder package⁹⁴), in R. All 568 samples were normalized for their transcriptome library depth and batch-corrected between 569 donors using the Seurat⁹⁵ NormalizeData and integration function from Seurat package, in R. 570 571 Downstream analysis was performed in SegGeg (mostly for visualization) (FlowJo, LLC) and Seurat v4.3.0. In all analyses, genes are depicted in italics and proteins in bold. 572

573

574 Clustering

575	Graph-based clustering was performed using the Louvain algorithm implementation ⁹⁶ in
576	the FindClusters Seurat function. The optimal clustering resolution parameters were determined
577	using Random Forests ⁹⁷ and a silhouette score-based assessment of clustering validity and
578	subject-wise cross-validation ⁹⁸ . T cell Receptor Alpha Variable (<i>TRAV</i>) and T cell Receptor Beta
579	Variable (TRBV) genes were removed from the variable features used for clustering as they
580	were driving the clustering in a donor-specific manner, as to be expected with randomly-
581	generated VDJ sequences. Six distinct biologically relevant clusters (clusters 1–6) were
582	identified, which were used for further analyses.
583	
584	Manual gating
585	Manual gating was conducted using SeqGeq software to delineate classic CD4+ T cell
586	subsets and to identify HIV-expressing cells. Both gene and protein expressions were used for
587	gating.
588	
589	Statistical Analysis of CD4+ T Cell Subsets and HIV RNA+ Cell Distribution
590	For establishing associations between CD4+ T-cell subset proportions among the HIV
591	RNA- and HIV RNA+ cells, and HIV RNA+ cell proportions among the different clusters, a
592	generalized linear mixed model (GLMM) with a binomial probability distribution implemented in
593	the Ime4 ⁹⁹ package in R was used. In the model, CD4 subset or cluster membership was
594	treated as the outcome being studied. This was represented by comparing the number of cells
595	within the subset/cluster to the number of cells outside of it. The change in subset/cluster
596	membership between HIV RNA status and timing of measurements (viremic vs suppressed)
597	was estimated as a log odds ratio, defined as the change in the log odds of subset/cluster
598	membership. This was estimated with the emmeans ¹⁰⁰ R package using the GLMM model fit.

599

600 Differential expressed gene (DEG) analysis

Pseudobulk DEG analysis was performed to identify genes or proteins that were 601 602 significantly upregulated or downregulated between different cell populations or experimental conditions. DEG analysis at the RNA level was carried out using the R package muscat¹⁰¹, and 603 604 counts were summed across clusters and samples. The pbDS function to estimate associations with disease, was run with the edgeR¹⁰²method, minimum cells set to 3, filter set to gene, and 605 donor was included as a confounder in the model. In the exploratory analysis comparing total 606 CD4+ T cells during viremia vs. suppression, all donors within a group (viremic or ART-607 608 suppressed) were combined, and then gene expression levels were compared across individual 609 cells within each group, followed by implementation of the Wilcoxon Rank-sum test to assess for DEGs and proteins, similar to analytical approaches recently described^{25,49}. Of note, this 610 analytical approach should be considered exploratory as it does not fully account for 611 correlations between cells from the same subject or paired study designs^{103,104}. Results 612 visualized as volcano plots were plotted using EnhancedVolcano¹⁰⁵. Select genes and proteins 613 614 of interest among parameters that passed the indicated adjusted p-value (or raw p-value where 615 indicated) thresholds of 0.05 and a log₂ fold-change greater than 0.25 are highlighted in the volcano plots. All genes are depicted in italics, and proteins in bold. T cell receptor (TCR) genes 616 617 (TRAV and TRBV) were deliberately omitted from the analysis, since private TCR sequences drove donor-specific effects, and the primary focus of our study was to identify gene expression 618 profiles shared across donors. 619

620

621 Pathway Enrichment Analysis

The differential gene expression analysis results filtered by adjusted p-value < 0.05 and log₂ fold-change > 0.25 were subjected to over-representation analysis (ORA) using the Enrichr^{106–108} web-based tool. Pathway analysis was performed against two curated databases:

BioPlanet 2019 and MSigDB Hallmark 2020. This identified significantly enriched pathways and
 functional categories along with statistical metrics and p-values.

627

628 Distribution of HIV reads

629 An additional analysis assessing distribution of reads across the HIV genome was

630 performed to determine where HIV transcripts most frequently align. We selected PID8027 and

PID1052, for which we had data on the absence versus presence of HIV-capture oligos. Gene

632 expression reads from the week 0 (viremic) timepoint were aligned to a custom, annotated

HXB2 reference sequence, which defined discrete HIV coding regions ('5'LTR U3', '5'LTR R',

634 '5'LTR U5', 'Start of Gag', 'Gag P1', 'Pol', 'Vif', 'Vpr', 'Rev', 'Vpu', 'Env', 'Tat', 'Nef', '3' LTR U3',

635 '3'LTR R') using CellRanger (10X Genomics, version 6.0.2). The distribution of HIV reads

aligning to these specific regions was then filtered, quantitated using an R script and compared

637 between samples subjected to the conventional 10X Genomics' 5' scRNA-seq pipeline vs. HIV-

638 Seq.

639

640

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656

657 Author Contributions:

658 J.F and S.T. designed the experiments, performed scRNA-seg experiments, conducted 659 analyses, interpreted data, and prepared figures and tables. X.L. developed pipeline for quality control analysis of scRNA-seq datasets. N.E., R.T., and D.A. performed scRNA-seq analyses, 660 and P.R. created the HIV consensus genome used for scRNA-seg data alignment. R.H. and 661 662 J.K.W. recruited participants, collected clinical data, and collected biospecimens. S.G.D. oversaw the SCOPE cohort procedures and S.A.L. managed specimen collection. A.J.B., 663 664 N.R.R. and S.Y. performed supervision. J.F, S.T, N.R.R., and S.Y. conceived the study, interpreted data, and wrote the manuscript. All authors have read and approved this manuscript. 665

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922 FIGURES AND TABLES

923 Table 1. Characteristics of study participants living with HIV.

Participant ID	Cohort	Gender	Race/ Ethnicity	Age	CD4 count week 0 (cells/mm ³)	VL at Week 0	VL at Week 24 or 45	Drug Regimen	Samples
8026	SCOPE	Male	Mixed - Latino, Native American	44	366	>1,000, 000	<40	FTC/TAF, TCV	PBMC (20x10 ⁶)
1052	Treat Acute	Female	White/European American	29	933	<500	<40	3TC, TDF, ATV, RTV ABC/3TC, ATV, RTV	PBMC (20x10 ⁶)
8027	SCOPE	Male	White	37	590	>1,000, 000	<40	FTC/TDF, TCV	PBMC (20x10 ⁶)
0145	SFVA	Male	Latino	40	390	<850,00 0	N/A	N/A	PBMC (15x10 ⁶)



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Figure 2





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934 **FIGURE LEGENDS**:

935 Figure 1. HIV-seq method to increase detection of HIV transcripts by single-cell

936 sequencing.

A. Schematic illustrating the genomic location of HIV-specific capture sequences of HIV-seq. 937 938 The name and nucleotide position (based off HXB2 annotation) of each of capture sequence are 939 labeled in green, B. Schematic of HIV-seg protocol, PBMCs from PWH are enriched for CD4+ T 940 cells and then labeled with CITE-seq antibodies to enable subsequent surface phenotyping. After cell encapsulation using a 10X Chromium instrument, custom-designed HIV-specific 941 942 capture sequences described in panel A that have been appended to a non-poly(dT) PCR 943 handle are spiked in with the poly(dT) oligos and incorporated into the 10X Genomics' Chromium Next GEM Single Cell 5' workflow. Each 'Single Cell 5' Gel Bead' features an 944 945 Illumina R1 sequence ('read 1' sequencing primer), a 16 nucleotide (nt) 10X Barcode (BC), a 10 nt unique molecular identifier (UMI), and a 13 nt template switch oligo (TSO), Reverse 946 transcription is primed off both poly(dT) as well as the HIV capture sequences (i). Following 947 948 template switching and transcript extension (ii, iii), barcoded cDNA libraries corresponding to 949 both gene expression (GEX) and antibody-derived tags (ADT, for CITE-seg) are processed 950 through the standard 10X workflow, and then sequenced. Data analysis was performed using 951 the Seurat pipeline, SegGeg software, and custom scripts.

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Figure 2. HIV-seq increases detection of HIV RNA+ cells from PWH without affecting host
 transcriptome.

A. HIV-seq does not affect host transcriptome analysis by scRNAseq. Shown are UMAP plots of
CD4+ T cells from two ART-naïve viremic donors (PID1052 and PID8027), processed in the
absence (blue) vs. presence (yellow) of HIV capture sequences. B. HIV-seq increases numbers

958 of HIV RNA+ cells identified from viremic PWH. UMAP plots of scRNAseg analysis of pre-ART 959 CD4+ T cells from PID1052 and PID8027, showing HIV RNA+ cells as colored dots among total CD4+ T cells represented in gray, with vs. without the addition of HIV capture sequences. The 960 percentages of HIV RNA+ cells among CD4+ T cells are indicated in the lower right of each plot. 961 962 Colors represent the number of HIV reads, from low (blue) to high (red). C. HIV-seg increases the numbers of HIV RNA reads detected per infected cell. Normalized numbers of HIV reads in 963 964 the absence vs. presence of HIV capture sequences, for each HIV RNA+ cell identified from PID1052 and PID8027 in *panel A*. Horizontal lines correspond to median values. **P \leq 0.01 as 965 determined using a Mann-Whitney test. D. HIV-seq increases detection of HIV reads throughout 966 967 the HIV genome. HIV reads from PID1052 and PID8027 were aligned to the HIV-1 subtype B consensus reference genome. The y-axis depicts individual HIV genes, and the x-axis shows 968 969 the number of detected HIV transcripts, in the absence (blue) vs. presence (yellow) of the HIV 970 capture sequences.

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Figure 3. HIV RNA+ cells from viremic PWH are preferentially Tem cells and exhibit transcriptional signatures of cytolysis and cellular activation.

974 A. CD3+CD4+ T cells transcribing HIV RNA were identified by HIV-seq from 4 viremic PWH 975 (PID1052, PID8026, PID8027 and PID145) based on HIV RNA levels. HIV RNA+ cells are depicted in red and HIV RNA- cells are depicted in gray. Percentages of HIV RNA+ cells are 976 977 indicated in the upper right of each plot. B. A diverse array of HIV RNA+ cells exists among CD4+ T cells from viremic PWH. Shown are UMAP plots depicting HIV RNA+ cells as red dots 978 979 (left) or contours (right), against a background of HIV RNA- CD4+ T cells depicted in gray, for all 980 4 donors listed in panel A combined. C. HIV RNA+ cells from viremic PWH are enriched in T effector memory (Tem) cells and disenriched in T central memory (Tcm) and naïve T (Tn) cells 981 982 relative to HIV RNA- CD4+ T cells (top panel). The proportion of Treg vs non-Treg (middle

983 panel) and Tfh vs non-Tfh cells (bottom panel) is not significantly different in HIV RNA+ vs HIV 984 RNA- memory CD4+ T cells. **P ≤ 0.01, ***P≤ 0.001, ****P≤ 0.0001 as determined by generalized linear mixed model (GLMM). D. HIV RNA+ cells differentially express host 985 transcripts involved in immune responses. Shown is a volcano plot displaying upregulated and 986 987 downregulated transcripts in HIV RNA+ vs. HIV RNA- CD4+ T cells, with select transcripts 988 annotated. Red dots correspond to transcripts with 0.25log₂ fold-change expression and with an 989 adjusted p value ≤ 0.05 , as determined by genewise guasi F-tests. **E.** HIV RNA+ cells exhibit 990 gene expression signatures of cellular activation, inflammation, and chemokine signaling. 991 Shown is a pathway analysis comparing HIV RNA+ HIV RNA- cells, using the Bioplanet 2019 992 database. The p-value representing the statistical significance of enrichment of the gene set within the pathway is indicated on the right. F. HIV RNA+ cells are enriched in cluster 2 of CD4+ 993 994 T cells. *Left:* UMAP depicting the 6 clusters of CD4+ T cells identified by Louvain clustering. 995 *Right:* Pie graphs showing the distribution of total CD4+ T cells (*top*), HIV RNA- CD4+ T cells 996 (middle) or HIV RNA+ CD4+ T cells (bottom) among the different clusters. G. Cluster 2 cells, 997 concentrated on the right of the UMAP, are defined by high expression of cytotoxic markers 998 GZMA, GZMB, GZMH, GZMM, PRF1, GNLY, NKG7, and Th1-defining factors IFNG and 999 TBX21. Heatmaps depict relative expression of the indicated transcripts.

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Figure 4. Most HIV RNA+ cells from ART-suppressed PWH exhibit stem-like rather than cytolytic features.

A. CD3+CD4+ T cells expressing HIV RNA were identified by HIV-seq from 3 ART-suppressed
PWH (PID1052, PID8026, and PID8027) based on HIV RNA levels. HIV RNA+ cells are
depicted in blue and HIV RNA- cells are depicted in gray. Percentages of HIV RNA+ cells are
indicated in the upper right of each plot. **B.** A diverse array of HIV RNA+ cells exists among
CD4+ T cells from ART-suppressed PWH. Shown are UMAP plots depicting HIV RNA+ cells as

1008 blue dots (left) or contours (right), against a background of HIV RNA- CD4+ T cells depicted in 1009 gray, for all 3 donors listed in panel A combined. C. HIV RNA+ cells from ART-suppressed PWH 1010 are enriched among Tem cells. Shown in the top panel are bar graphs depicting distribution of 1011 Tn, Tcm, Tem, Ttm, memory CCR7+CD27- cells among HIV RNA- and HIV RNA+ CD4+ T 1012 cells. The remaining panels depict the proportion of Treg and non-Tregs, and Tfh and non-Tfh, among HIV RNA- and HIV RNA+ memory CD4+ T cells. **P ≤ 0.01, ***P≤ 0.001, ****P≤ 0.0001 1013 1014 as determined by generalized linear mixed model (GLMM). D. HIV RNA+ cells from ARTsuppressed PWH express low levels of Tcm marker SELL, alarmin S100A9, and cvsteine 1015 protease inhibitor CST3. Shown is a volcano plot displaying differentially expressed transcripts 1016 1017 in HIV RNA+ vs. HIV RNA- CD4+ T cells, with select transcripts annotated. Red dots correspond to transcripts with $0.25\log_2$ fold-change expression and with adjusted p value ≤ 0.05 , 1018 1019 as determined by genewise quasi F-tests. E. HIV RNA+ cells are not enriched among cytolytic 1020 cluster 2. Left: UMAP depicting the 6 clusters of CD4+ T cells identified by Louvain clustering. 1021 *Right:* Pie graphs showing the distribution of total CD4+ T cells (*top*), HIV RNA- CD4+ T cells 1022 (middle) or HIV RNA+ CD4+ T cells (bottom) among the different clusters. F. Cluster 1, which 1023 contains the biggest proportion of HIV RNA+ cells, is enriched for *IL7R* and *BCL2* transcripts, as 1024 well as IL7R protein, which are characteristic of long-lived and stem-like T cells. Heatmaps 1025 depict relative expression of the indicated transcripts and protein.

1026

Figure 5. HIV RNA+ cells from viremic PWH exhibit a pro-inflammatory and anti-viral state
 while those from ART-suppressed PWH exhibit properties that favor senescence and HIV
 restriction.

A. ART suppression elicits global changes in host transcriptome. Shown are UMAP plots of
 total CD4+ T cells from viremic (red) vs. suppressed (blue) timepoints from PID1052, PID8026
 and PID8027 combined. B. Distribution among classic CD4+ T cell subsets is not altered during

1033 ART suppression. Shown are bar graphs depicting distribution of Tn. Tcm. Tem. Ttm. memory 1034 CCR7+CD27- cells as well as the distribution of Treg, non-Treg, Tfh, and non-Tfh among total 1035 and memory CD4+ T cells as indicated during viremia (vir) and upon ART suppression (sup). 1036 Results from all 3 donors are combined. n.s. as determined by generalized linear mixed model 1037 (GLMM). C. Compared to CD4+ T cells during ART, CD4+ T cells during viremia express higher levels of host transcripts involved in immune responses and cytotoxicity. Shown is a volcano 1038 1039 plot displaying differentially expressed transcripts in CD4+ T cells from viremic vs. suppressed 1040 time points, with select transcripts annotated. Red dots correspond to transcripts with 0.25log₂ 1041 fold-change expression and with p value ≤ 0.05 , as determined by gene-wise quasi F-tests. **D**. 1042 Distribution of HIV RNA+ cells among classic CD4+ T cell subsets is not altered during ART suppression. Shown are bar graphs depicting distribution of Tn, Tcm, Tem, Ttm, memory 1043 1044 CCR7+CD27- cells as well as the distribution of Treg, non-Treg, Tfh, and non-Tfh among HIV 1045 RNA+ memory CD4+ T cells, during viremia (vir) and upon ART suppression (sup). Results 1046 from all 3 donors are combined. n.s. as determined by generalized linear mixed model (GLMM). 1047 E. HIV RNA+ cells during viremia express high levels of Interferon Stimulated Genes (ISGs) and 1048 low levels of transcripts that restrict HIV. Shown is a volcano plot displaying up- and downregulated transcripts in HIV RNA+ cells during viremia vs. ART suppression, with select 1049 1050 transcripts annotated. Red dots correspond to transcripts with 0.25log₂ fold-change expression 1051 and with a non-adjusted p value ≤ 0.05 , as determined by gene-wise guasi F-tests. F. HIV 1052 RNA+ cells preferentially exhibit gene expression signatures of interferon signaling, antiviral and 1053 immune responses during viremia, and of TGF- β signaling during ART suppression. Shown is 1054 pathway analysis comparing HIV RNA+ cells in the context of viremia vs. suppression, using the 1055 Bioplanet 2019 and MSigDB hallmark databases. The p-value representing the statistical 1056 significance of enrichment of the gene set withing the pathway is indicated next to each 1057 pathway.