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# Review Article

# **Genetics of Endometrial Cancers**

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Endometrial cancers exhibit a different mechanism of tumorigenesis and progression depending on histopathological and clinical types. The most frequently altered gene in estrogen-dependent endometrioid endometrial carcinoma tumors is PTEN. Microsatellite instability is another important genetic event in this type of tumor. In contrast, p53 mutations or Her2/neu overexpression are more frequent in non-endometrioid tumors. On the other hand, it is possible that the clear cell type may arise from a unique pathway which appears similar to the ovarian clear cell carcinoma. K-ras mutations are detected in approximately 15%–30% of endometrioid carcinomas, are unrelated to the existence of endometrial hyperplasia. A  $\beta$ -catenin mutation was detected in about 20% of endometrioid carcinomas, but is rare in serous carcinoma. Telomere shortening is another important type of genomic instability observed in endometrial cancer. Only non-endometrioid endometrial carcinoma tumors were significantly associated with critical telomere shortening in the adjacent morphologically normal epithelium. Lynch syndrome, which is an autosomal dominantly inherited disorder of cancer susceptibility and is characterized by a MSH2/MSH6 protein complex deficiency, is associated with the development of non-endometrioid carcinomas.

### 1. Introduction

Endometrial cancer is the most common cancer of the female reproductive tract with 150,000 new cases diagnosed annually worldwide. Approximately 90% of endometrial cancers are sporadic, and the remaining 10% are hereditary. Bokhman have generally categorized endometrial cancer into two broad groups of tumors using both clinical and histopathological variables: estrogen-dependent endometrioid endometrial carcinomas (EECs), or type I, and nonendometrioid endometrial carcinomas (NEECs), or type II tumors (Table 1) [1]. It should be noted that this model is not strict, and only a minority of endometrial cancer may exhibit shared characteristics. For example, mixed serous and endometrioid tumors are being increasingly recognized. Approximately 70% to 80% of new cases are classified as EECs, and other 10% to 20% are designated as NEEC tumors [1]. EECs are strongly associated with the estrogen-related

pathway and arise in association with unopposed estrogen stimulation [2]. In contrast, NEECs are unrelated to the estrogen pathways and arise in the background of atrophic endometrium [3]. EECs typically occur in premenopausal and younger postmenopausal women and are usually low-grade and have a favorable outcome, whereas NEECs occur in older postmenopausal women. In addition, NEECs tend to predict a high tumor grade and poor patient prognosis [4, 5]. The first pathway is associated with endometrioid histopathology, and the second is linked to the serous and clear cell subtypes. The precursors of these subtypes are known as atypical hyperplasia and endometrial intraepithelial carcinoma (EIC), respectively. Clear cell cancer, classified as an NEEC, is associated with atypical hyperplasia as well as EIC.

Recent reports suggest that histological differences may be associated with distinct molecular genetic alterations. Molecular genetic evidence indicates that endometrial carcinomas are likely to develop as the result of a multistep process of oncogenic activation and tumor suppressor inactivation (Table 2) [6].

### 2. Gain-of-Function Genetic Events

The genes implicated in the gain-of-function events are oncogenes. The important genes related to endometrial oncogenesis or progressions are the *K-ras,B-raf, Her2/neu*,  $\beta$ -catenin, AKT, and FGFR2 oncogenes.

2.1. K-ras and B-raf. K-ras proto-oncogene mutations are detected in approximately 10%-30% of endometrioid carcinomas [7]. K-ras mutations have been identified in endometrial hyperplasias, although at a lower frequency than in carcinomas [8-10]. According to these studies, the gain of the K-ras function may represent an early event in endometrioid-type tumorigenesis. During tumorigenesis, activated RAS is usually associated with enhanced proliferation, transformation, and cell survival. Conversely, K-ras mutations occur with equal frequency in tumors with and without hyperplasia, and the epidemiologic results seem to suggest that K-ras activation is associated with malignant progression of endometrial tumors without the need for transition via hyperplasia [11]. In contrast to endometrioid carcinomas, K-ras mutations are extremely rare among serous and clear cell carcinomas [12, 13].

A correlation between colon cancer development and Ras/Raf point mutations in the MAP kinase pathway drives the malignant transformation of colon cancer. In contrast, only a few reports have shown *B-raf* mutations in patients with endometrial cancer. Feng et al. identified a *B-raf* mutation in 21% of patients with endometrial cancers and suggest that the mutation correlated with decreased hMLH1 expression [14]. In contrast, Salevesen et al. described a *B-raf* mutation in only 2% of endometrial cancers; and Kawaguchi et al. and Mizumoto et al. reported no mutation in the patients with endometrial cancer [15–17]. Therefore, a consensus about the role of *B-raf* mutation in the development of endometrial cancer has not yet been developed.

- 2.2. Her2/neu. Her2/neu (erbB2) is an oncogene that encodes a transmembrane receptor tyrosine kinase involved in cell signaling. Either the overexpression or gene amplification of Her2/neu proto-oncogene activates receptor and soluble tyrosine kinases. Her2/neu overexpression is detected in about 10%–20% of Grades 2 and 3 endometrioid carcinoma [9, 18, 19]. These studies suggest that Her2/neu overexpression in endometrioid carcinoma characterizes late progression and differentiation events. Her2/neu overexpression is detected in approximately 9%–30% of serous carcinomas [20]. Elucidation of the role of Her2/neu in these pathogenic tumor types, therefore, requires further study.
- 2.3.  $\beta$ -Catenin.  $\beta$ -catenin, a component of the E-cadherin family of proteins, is essential for cell differentiation and maintenance of normal tissue architecture, and plays an important role in signal transduction.  $\beta$ -catenin also acts as

a downstream transcriptional activator in the Wnt signal transduction pathway. A  $\beta$ -catenin mutation results in the stabilization of proteins that are degradation resistant, thus resulting in cytoplasmic and nuclear  $\beta$ -catenin accumulation and constitutive target gene activity. The accumulation of  $\beta$ catenin is demonstrated by immunohistochemistry. Several studies have analyzed endometrial cancers, showing that nuclear accumulation of  $\beta$ -catenin is significantly more common in endometrioid lesions (31% to 47%) compared to nonendometrioid histologies (0% to 3%) [21]. In another report,  $\beta$ -catenin nuclear accumulation was more frequent in endometrial hyperplasias than in endometrial carcinoma samples, suggesting a  $\beta$ -catenin role in the early development of this tumor type [22]. In fact, alterations in  $\beta$ -catenin have been described in endometrial hyperplasia that contains squamous metaplasia or morules. Koul et al. found that all  $\beta$ -catenin mutated tumors were estrogen-receptor (ESR) positive and most were progesterone-receptor (PgR) positive, thus suggesting a dependence on estrogen stimulation during endometrial carcinogenesis [11]. In contrast, there is no correlation between  $\beta$ -catenin mutations and Microsatellite Instability (MI) or *K-ras* or *PTEN* mutations.

2.4. AKT. The phosphatidylinositol 3-kinase (PI3K) AKT pathway is activated in many human cancers and plays a key role in cell proliferation and survival. PIK3CA mutations frequently occur with other genetic alterations such as Her2/neu, K-ras, and PTEN in several types of tumors. Endometrial cancer is known to possess various genes alterations which activate the PI3K-AKT pathway. The frequency of mutations for PIK3CA in endometrial cancer is reported to be 28% [23]. However, Shoji et al. reported that AKT1 (E17K) mutations were detected in 2 out of 89 tissue samples and 0 out of 12 cell lines [24]. They suggested that AKT1 mutations might be mutually exclusive from other PI3K-AKT activating alterations, although PIK3CA mutations frequently coexist with other gene aberrations. Additional mutations in AKT family members in endometrial cancers were reported in AKT2 (D399N, 426T, and 141T) and in AKT3 (E438D) [25]. Taken together, studies found that 5 out of 41 endometrial cancers have mutations in AKT family members at a frequency of approximately 12%.

2.5. FGFR2. Alterations in the fibroblast growth factor receptor 2 (FGFR2) gene causes the receptors to become active, leading to cell proliferation. Byron et al. reported mutations in FGFR2 in 10% of primary uterine tumor samples [26]. Mutations were observed in 16% of the endometrioid histology subtype tumors. In primary endometrioid endometrial cancers, FGFR2 and K-ras mutations were mutually exclusive. Conversely, FGFR2 mutations were seen together with PTEN loss-of-function mutations. The authors also showed that endometrial cancer cell lines with activating FGFR2 mutations are selectively sensitive to the pan-FGFR inhibitor, PD173074 [27]. In addition, upregulation of FGF2 mRNA expression was observed in endometrial cancer specimens [28]. These data suggest that investigation of these

TABLE 1: Clinical and pathological features of endometrial carcinoma.

	Type I (EEC)	Type II (NEEC)
Age	Pre- and perimenopausal	Postmenopausal
Behavior	Stable	Progressive
Grade	Low	High
Hyperplasia-precursor	Present	Absent
Unopposed estrogen	Present	Absent
Myometrial invasion	Minimal	Deep
Specific Subtypes	Endometrioid carcinoma	Non-endometrioid carcinoma
Prevalence	70–80%	10–20%
Risk factors	Obesity, anovulation, nulliparity and exogenous estrogen exposure	In atropic endometrium

TABLE 2: Genetics features of endometrial carcinoma.

	EEC	NEEC
Gain-of Function		
K-ras	15–30%	0-5%
Her2/neu	10–20%	9-30%
$\beta$ -Catenin	31–47%	0-3%
Loss-of Function		
PTEN	35–50%	10%
P53	10–20%	90%
Genomic instability (microsatellite)	20–40%	0-5%

agents may be therapeutically beneficial for endometrial cancer patients.

#### 3. Loss-of-Function Genetic Events

3.1. PTEN. Endometrial carcinomas are characterized by a variety of genetic alterations, but the most frequent alteration is in the PTEN gene. PTEN, located at chromosome 10q23, encodes a protein and lipid phosphatase which behaves as a tumor suppressor gene. PTEN inactivation is induced by mutations that lead to a loss of expression and is induced to a lesser extent by a loss of heterozygosity. The PTEN protein has both lipid and protein phosphatase activities, with each serving different functions. The lipid phosphatase activity of PTEN induces cell cycle arrest at the G<sub>1</sub>/S checkpoint. In addition, the upregulation of proapoptotic mechanisms involving AKT-dependent mechanisms is mediated through PTEN, as is the downregulation of antiapoptotic mechanisms through Bcl-2 [29-31]. PTEN further acts in opposition to PI3K to control levels of phosphorylated AKT [23, 32]. A PI3K mutation is seen in 36% of endometrioid endometrial cancers and is common in tumors that also carry the *PTEN* mutation. The protein phosphatase activity of PTEN is involved in the inhibition of focal adhesion formation, cell spread, and migration, as well as the inhibition of growth-factor-stimulated MAPK signaling [33]. The PTEN gene, which acts as a tumor suppressor gene, is present in individuals and causes increased cancer susceptibility, including those with Cowden's syndrome. PTEN mutations are the most frequent genetic lesions in endometrial adenocarcinomas of the endometrioid subtype. PTEN mutations are reported in 25%-83% of tumors, more

frequently in endometrioid carcinomas and microsatellite unstable tumors, and are, thus, the most frequent genetic alteration reported in cancers [34]. PTEN gene alterations are associated with metastatic behavior and advanced stage in other cancer types. In contrast, the loss of PTEN function is an early event in endometrial tumorigenesis. Several groups have described a concordance between MI status and PTEN mutations; the mutations occur in 60%-86% of MI-positive endometrial carcinoma EEC cases, but only occur in 24%-35% of MI-negative tumors. Genetic alterations that account for PTEN protein inactivation include various mutations, a loss of heterozygosity (LOH), or promoter hypermethylation, with mutations occurring the most frequently [30]. PTEN promoter methylation is observed in 19% of cancers and is significantly associated with metastatic disease [35]. Kim et al. reported that PTEN and K-ras double-mutant mice (Pten<sup>d/d</sup>K-ras<sup>G12D</sup>) exhibited dramatically accelerated endometrial cancer development compared to cancers formed from a single PTEN or K-ras gene mutation [36]. These results suggest a synergistic effect of dysregulation of the PTEN and K-ras signaling pathways during endometrial tumorigenesis.

3.2. P53. The p53 gene is located on chromosome 17 and is important in preventing the propagation of cells with damaged DNA. p53 mutations or TP53 overexpression is twice as frequent in tumors without hyperplasia (estrogen unrelated) than in those with hyperplasia (estrogen related) [11, 37]. This is consistent with other data in which the most striking genetic alteration, present in about 90% of serous carcinomas (estrogen-unrelated NEEC), is a p53 mutation [38]. In other reports, statistically significant correlations were observed

between p53 alterations and non-endometrioid histology type, high-grade tumors, and the absence of the progesterone receptor [39]. On the other hand, p53 genetic alterations were observed in 17% of endometrioid carcinomas, which were primarily Grade 3 [40]. The exact mechanisms causing this mutation are still not well characterized. In response to DNA damage, nuclear P53 accumulates and causes cell cycle arrest by inhibiting Cyclin D1 phosphorylation of the Rb gene and thereby promotes apoptosis. Therefore, mutated P53 results in a nonfunctional protein that accumulates in the cell and acts as a dominant negative inhibitor of wild-type P53, leading to propagation of aberrant cells. p53 mutations in endometrioid carcinoma are a late event during progression or differentiation. P53 alterations play a relatively minor role in clear cell type endometrial carcinoma in comparison to the serous type [41]. p53 mutations are also rarely observed in ovarian clear cell adenocarcinomas in comparison to endometrioid adenocarcinomas [42]. As a result, it is possible that the pathogenesis of clear cell carcinoma in the female genital tract arises from a unique pathway [43].

# 4. Genomic Instability

The most important types of genomic instability in endometrial cancers are MI and chromosomal aneuploidy. DNA mismatch repair (MMR) deficiency, detected as MI, is the most common molecular phenotype in endometrioid cancer, as PTEN tumor suppressor gene mutations. MI is seen in cancers (colonic, endometrial, and others) of patients with hereditary nonpolyposis colon cancer (HNPCC) and is also present in 28% of sporadic endometrioid cancers but is not present in serous cancers [40]. MI is distributed almost equally among the three histopathological tumor grades of endometrioid cancers. However, MI is rare in the clear cell type [44]. HNPCC patients with endometrial cancers have an inherited germline mutation in MLH-1, MSH-2, MSH-6, or PMS-2, but endometrial cancer only develops after the instauration of a deletion or mutation in the contralateral MLH-1, MSH-2, MSH-6, or PMS-2 allele. Following this, the deficient MMR (MLH-1, MSH-2, MSH-6, or PMS-2) causes the acquisition of MI and the development of the tumor. Inactivation of the mismatch repair gene MLH1 by methylation of the promoter seems to be the most frequent cause of MI in sporadic endometrioid carcinomas, followed by a loss of the expression of other two mismatch repair genes, the MSH2 and MSH6 genes. The mechanism for the inactivation of MSH2 is still not clear, as promoter methylation and mutations are rare. MSH6 inactivation is usually caused by a mutation.

Aneuploidy is frequent in serous cancers, and is uncommon in endometrioid cancer. When present, aneuploidy is exhibited predominantly by Grade 3 tumors [45, 46]. These data suggest that a different type of genomic instability is associated with the different histopathological-type tumors. However, in some reports, no significant correlations were found to exist with either the *K-ras* or *p53* mutations [7, 11, 47].

Telomeric attrition triggers genomic instability in certain cancer types. Both EEC and NEEC cells have short telomeres in endometrial cancer. However, only NEECs are significantly associated with critical telomere shortening compared to adjacent morphologically normal epithelium, thus suggesting that telomere shortening contributes to the initiation of NEECs but not EECs [48]. The authors also proposed a model in which telomere attrition gives rise to the initiation of NEECs and the progression of EECs.

## 5. Genetics Events outside the Cancer Pathway

Genetic variation acting either within or outside of the cancer cell may determine the outcome of interaction with exogenous or endogenous carcinogens. Endometrial stimulation by estrogens without the differentiating effects of the progestins is a primary etiologic factor associated with the development of endometrial hyperplasia and carcinoma [3]. There is evidence that estrogens and some of their metabolites are involved in the endometrial cancer pathogenesis. Estrogens and some of their derivatives are genotoxic and induce DNA damage, which if not removed could, thus, contribute to an increased risk of malignancy. Defects in the estrogen metabolism can result in defective apoptosis, DNA repair, and proliferation [49, 50]. Estrogens mediate their effects via the estrogen receptors (ESRs), estrogen receptor alpha (ESR1) and estrogen receptor beta (ESR2), which activate its metabolic pathways. The polymorphisms of ESR1 and ESR2 suggest an association with an increasing risk of developing endometrial cancer [51]. Cytochrome P450 1B1 CYP1B1 is a constitutively expressed and inducible enzyme with a central role in the oxidative metabolism of a wide range of endogenous and exogenous compounds including many carcinogens [52, 53]. Saini et al. reported that CYP1B1 depletion in endometrial cancer cells leads to decreased cellular proliferation and induced G0-G1 cell cycle arrest, thus suggesting that CYP1B1 inhibition in endometrial cancer cells could be a useful therapeutic approach [54]. Progesterone or its synthetic form has been used as a primary treatment or palliative treatment of advanced and recurrence endometrial cancer, because progesterone inhibits estrogeninduced endometrial proliferation. In addition, the loss of progesterone-mediated Wnt signaling inhibition in the endometrium plays a rate-limiting role in tumor onset and progression [55].

## 6. Inherited Predisposition

6.1. Lynch Syndrome. Lynch syndrome, or hereditary non-polyposis colorectal cancer (HNPCC), is characterized by an increased risk for colorectal cancer. Endometrial cancer is the most common malignancy in patients with Lynch syndrome or HNPCC [56]. Lynch syndrome is caused by an inherited mutation in the MMR gene family, such as MLH1, MSH2, MSH6, PMS1, or PMS2 [57]. The age at diagnosis of Lynch syndrome associated endometrial cancer is approximately 2 decades younger than that for sporadic endometrial cancers [58]. Parc et al. demonstrated that 34% of young patients

with endometrial cancer (median age 46) were associated with MI, 57% of the MI positive group showed an absence of *hMLH1* expression, 19% showed an absence of *hMLH2* expression, and 23.8% demonstrated a normal expression of both proteins, while 9.5% of all patients were diagnosed with Lynch syndrome [59]. In another report, the development of the latter tumors of Lynch syndrome is significantly associated with MSH2/MSH6 protein complex deficiency [60].

6.2. Familial Site-Specific Endometrial Carcinoma. The clustering of endometrial carcinoma alone, termed as familial site-specific endometrial carcinoma, may constitute a separate entity. Eight percent of this group have been reported to have germline MMR mutations [61]. This mutation rate is lower than that of Lynch syndrome with endometrial cancer patients, of whom 15% show MMR mutations [62]. The difference in MMR, mutations, therefore suggests the existence of different genetic alteration pathways in familial site-specific endometrial carcinoma.

# 7. Malignant Mixed Mullerian Tumors (MMMTs)

Carcinosarcomas (malignant mixed mullerian tumors, or MMMTs) are currently excluded from uterine sarcoma and classified as metaplastic carcinoma, and many studies include these as NEECs [63]. However, endometrial carcinoma and MMMTs develop along distinctive molecular genetic pathways and exhibit different biological features. In MMMT, p53 alterations occur early, during progression, just prior to clonal expansion and acquisition of genetic diversity [64]. In addition, changes in the AKT/ $\beta$ -catenin pathway may be essential for both the establishment and maintenance of phenotypic characteristics of MMMTs, playing key roles in the regulation of E-cadherin through transactivation of the Slug E-cadherin repressor gene [65]. Vaidya et al. reported that according to the discrepancy in survival the patients of MMMT should not be included in studies of endometrial cancers [66]. From this viewpoint, future studies will identify factors to classify these diseases.

### 8. De-Differentiation of Endometrioid Tumors

Mixed serous and endometrioid tumors have serous components that may be related to the "de-differentiation" of endometrioid tumors. This concept would explain the presence of overlapping EEC and NEEC features, both morphological and molecular in some tumors [67].

## 9. Epigenetic Changes

Aberrant CpG island hypermethylation in promoter regions occurs in many cancer-related genes, including those associated with cell cycle control, apoptosis, and DNA repair. Usually, unmethylated CpG islands become methylated, causing transcriptional silencing in cancer cells. Banno et al. reported that the frequencies of aberrant hypermethylation

were 40.4% in hMLH1, 22% in APC, 14% in E-cadherin, and 2.3% in RAR- $\beta$  in endometrial cancer specimens [68]. However, no aberrant DNA methylation was found in the p16 gene. Other genes inactivated by promoter hypermethylation in endometrial cancer include PgR [69], the cell cycle control genes 14-3-3 sigma [70], homeobox gene HOXA11, thrombospondin-2 gene (THBS2) [71], paternally expressed gene 3 (PEG3) [72], as well as the detoxifying enzyme glutathione S-transferase P1 (GSTP1) [73]. The impact of methylation on these genes in endometrial cancer development has not been well established. In endometrial cancers, differential DNA methylation patterns are detected in EICs and NEECs, suggesting divergent epigenetic backgrounds and unique tumorigenic pathways [74]. Promoter hypermethylation is a frequent event in EIC but not NEECs [75]. Many of the tumor suppressor pathways that are mutated in EIC can also be inactivated by hypermethylation.

#### 10. The Future

The goal of screening endometrial cancers is to identify all patients who have a risk for developing this disease. Therefore clarification of the molecular and genetic mechanisms of development or progression of this disease is required. Understanding the genetic changes underlying cancer development or progression in the different histological subtypes is important for discovery of new targets for both diagnosis and therapy for individual patients.

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