Clinical characteristics of COVID-19 infection in chronic obstructive pulmonary disease: a multicenter, retrospective, observational study

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Background: Coronavirus disease 2019 (COVID-19) has been a global pandemic disease, with more than 4 million cases and nearly 300,000 deaths. Little is known about COVID-19 in patients with chronic obstructive pulmonary disease (COPD). We aimed to evaluate the influence of preexisting COPD on the progress and outcomes of COVID-19.

Methods: This was a multicenter, retrospective, observational study. We enrolled 1,048 patients aged 40 years and above, including 50 patients with COPD and 998 patients without COPD, and with COVID-19 confirmed via high-throughput sequencing or real-time reverse transcription-polymerase chain reaction, between December 11, 2019 and February 20, 2020. We collected data of demographics, pathologic test results, radiologic imaging, and treatments. The primary outcomes were composite endpoints determined by admission to an intensive care unit, the use of mechanical ventilation, or death.

Results: Compared with patients who had COVID-19 but not COPD, those with COPD had higher rates

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of fatigue (56.0% *vs.* 40.2%), dyspnea (66.0% *vs.* 26.3%), diarrhea (16.0% *vs.* 3.6%), and unconsciousness (8.0% *vs.* 1.7%) and a significantly higher proportion of increased activated partial thromboplastin time (23.5% *vs.* 5.2%) and D-dimer (65.9% *vs.* 29.3%), as well as ground-glass opacities (77.6% *vs.* 60.3%), local patchy shadowing (61.2% *vs.* 41.4%), and interstitial abnormalities (51.0% *vs.* 19.8%) on chest computed tomography. Patients with COPD were more likely to develop bacterial or fungal coinfection (20.0% *vs.* 5.9%), acute respiratory distress syndrome (ARDS) (20.0% *vs.* 7.3%), septic shock (14.0% *vs.* 2.3%), or acute renal failure (12.0% *vs.* 1.3%). Patients with COPD and COVID-19 had a higher risk of reaching the composite endpoints [hazard ratio (HR): 2.17, 95% confidence interval (CI): 1.40–3.38; P=0.001] or death (HR: 2.28, 95% CI: 1.15–4.51; P=0.019), after adjustment.

Conclusions: In this study, patients with COPD who developed COVID-19 showed a higher risk of admission to the intensive care unit, mechanical ventilation, or death.

Keywords: Clinical characteristics; chronic obstructive pulmonary disease (COPD); coronavirus disease 2019 (COVID-19)

Introduction

Coronavirus disease 2019 (COVID-19) is a global pandemic and led to more than 4 million infections and nearly 300,000 deaths worldwide, according to data released by Johns Hopkins University on May 11, 2020 (1-3). A large study from China showed that 23.7% of patients with COVID-19 had at least one preexisting chronic underlying disease or comorbidity; among severe cases, this rate increased to 40% (4).

Chronic obstructive pulmonary disease (COPD) is the most common chronic respiratory disease in China, with a prevalence of 13.7% among people 40 years of age or older (5). It has been reported that older people and male individuals are more susceptible to developing COVID-19, which is a demographic pattern similar to that of COPD. Therefore, it is important to evaluate the influence of preexisting COPD on the progression and outcomes of COVID-19. A previous study showed that the percentage of patients with COVID-19 and comorbidity of COPD was 1.5%; severe cases with underlying chronic pulmonary diseases accounted for 5.9% in the studied populations (6). Notably, mortality among patients with COVID-19 and chronic pulmonary diseases is 50%, much higher than the 25% in other patients with COVID-19 (7). However, considering the cohort size, a more detailed description of the clinical characteristics of patients with COPD and COVID-19 is necessary and fundamental to understanding the risk of COPD in COVID-19. Such evidence will be

useful for future prevention and therapy.

In this study, we collected clinical information from 1,048 patients aged 40 years and older with confirmed COVID-19, and we compared patients with and without COPD in terms of epidemiology, demographics, pathologic test results, radiologic imaging, and treatments. In the above comparison, we demonstrated that patients with preexisting COPD who develop COVID-19 have a greater risk of poorer outcomes. We address the importance of improving self-care as well as diagnosis and treatment in these patients. We present the following article/case in accordance with the STROBE reporting checklist (available at http://dx.doi.org/10.21037/jtd-20-1914) .

Methods

Study design and participants

In this retrospective case study, we collected data from the medical records of 50 patients with laboratory-confirmed COVID-19 and COPD. We compiled data for hospitalized patients and outpatients in hospitals throughout Hunan, Hubei, and Guangdong provinces as well as cases reported to the National Health Commission of the People's Republic of China. Detailed information about the source of participants and hospitals is shown in the online Supplementary file. Patients with laboratory-confirmed COVID-19 without COPD were included as controls. Among patients with COPD and COVID-19, the

youngest was 48 years old. To avoid selection bias, we only analyzed individuals aged 40 years or above. COVID-19 was confirmed via a positive result on high-throughput sequencing or real-time reverse transcription-polymerase-chain-reaction (RT-PCR) assay using nasal or pharyngeal swab specimens (8,9). All patients with COPD were previously diagnosed by a respiratory physician based on spirometry [post-bronchodilation forced expiratory volume in 1 second (FEV_1)/forced vital capacity (FVC) <0.7] and respiratory symptoms (i.e., cough, expectoration or shortness of breath, and so on) (10). Among 50 patients with COPD, 12 were previously described by Guan *et al.* (4,6).

This was a retrospective case study. The Ethics Commission of the First Affiliated Hospital of Guangzhou Medical University approved the study (No. 2020-51). Because of the urgent need to collect data on this emerging infectious disease, the requirement for written informed consent was waived.

Data collection

The collected information included demographic data (sex, age, height, weight, and smoking history), symptoms (fever, nasal congestion, cough, expectoration, shortness of breath, headache, muscle and joint pain, general weakness, nausea and vomiting, diarrhea, tonsillar enlargement, lymphadenopathy, rash, and unconsciousness), results of laboratory tests on admission (blood cell counts, blood biochemistry, hepatorenal function, coagulation function, D-dimer, C-reactive protein, procalcitonin, arterial blood gas analysis), chest X-ray or computed tomography (CT) imaging findings on admission (ground-glass opacities, local patchy shadowing, bilateral patchy shadowing, and interstitial abnormalities), comorbidities (hypertension, coronary heart disease, diabetes, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal disease, and immunodeficiency), treatments [nasal catheter oxygen therapy, noninvasive ventilation, mechanical ventilation, extracorporeal membrane oxygenation (ECMO), intravenous antibiotics/antifungal drugs, antiviral drugs, systemic glucocorticoid therapy, gamma globulin, and continuous renal replacement therapy (CRRT)], and clinical outcomes [length of hospital stay, intensive care unit (ICU) admission, discharge, or death].

Acute respiratory distress syndrome (ARDS) and septic shock were defined based on World Health Organization interim guidance for COVID-19 (11). Acute renal injury was determined according to serum creatinine level. Evaluation of the degree of illness severity was judged according to the Chinese management guideline for COVID-19 version 6.0 (12). In brief, the disease is classified as severe if one of the following conditions is met: (I) respiratory distress, respiratory rate ≥30 per min; (II) oxygen saturation on room air at rest ≤93%; (III) partial pressure of oxygen in arterial blood/fraction of inspired oxygen ≤300 mmHg. The disease is classified as critical illness if one of the following conditions is met: (I) respiratory failure occurs and mechanical ventilation is required; (II) shock occurs; (III) patients with other organ dysfunction require ICU monitoring and treatment. The criteria for discharge were the absence of fever for a period of more than 3 days, obvious improvement of respiratory symptoms, absorption of lesions in the lungs as observed on chest CT, and two consecutive negative nucleic acid test results for severe acute respiratory syndrome coronavirus 2 at least 1 day apart, as well as patients who were released from the hospital.

Primary outcomes of study

The primary outcomes were composite endpoints determined by admission to an ICU, the use of mechanical ventilation, or death. These outcomes were used in our previous study (4).

Statistical analysis

We used frequency and percent to present the results of comparisons between groups. Data showing a normal distribution are presented as mean ± standard deviation. Data without a normal distribution are presented as median [interquartile range (IQR)]. We assessed differences between groups using a two-sample *t*-test, Wilcoxon rank-sum test, and chi-square test. Considering the imbalance in the baseline data, we used logistic regression models adjusted for age, sex, smoking status, and other comorbidities at baseline to assess the difference between the COPD and non-COPD groups. Cox proportional hazards regression models were applied to determine risk factors associated with the endpoints, using backward elimination: the LR method was used, with correction factors including age, sex, smoking status, and other comorbidities (hypertension, coronary heart disease, diabetes, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal disease, and immunodeficiency). We report hazard ratios (HRs) and 95% confidence intervals (CIs). Statistical analyses were performed using IBM SPSS 24.0 software (IBM Corp., Armonk, NY, USA). P<0.05 indicated statistical significance.
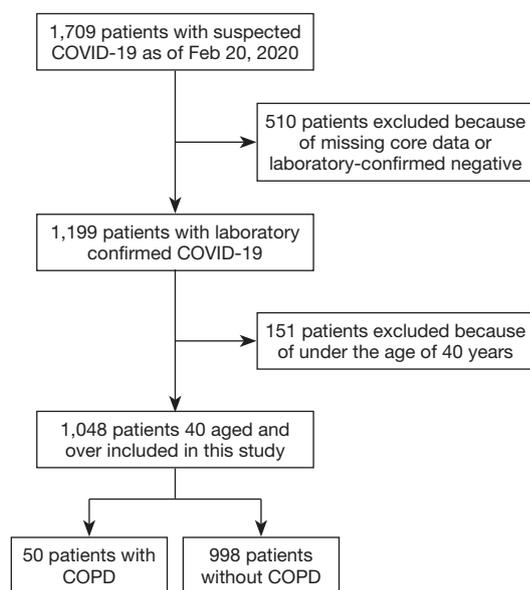
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Figure 1 Flow chart of study participants. COVID-19, coronavirus disease 2019; COPD, chronic obstructive pulmonary disease.

Patient and public involvement

This was a retrospective study and no patients were directly involved in our study design, setting the research questions, or the outcome measures. No patients were asked to advise on the interpretation or writing up of the results.

Results

Demographic and epidemiologic characteristics

As of February 20, 2020, we had collected clinical data from 1,709 patients with COVID-19. We ruled out 661 cases owing to incompleteness of some key clinical data, laboratory-confirmed negative samples, or age under 40 years. A flowchart outlining the study participant selection is shown in *Figure 1*.

Based on these guidelines, we included 1,048 patients and 50 (4.8%) had a comorbidity of COPD. Patients with COPD were older than their non-COPD counterparts [71 (IQR, 65.8–77.9) *vs.* 56.0 (IQR, 48.0–64.9) years], tended to be men (83.0% *vs.* 55.0%) and smokers (49.0% *vs.* 9.5%), and were more likely to have comorbidities (100.0% *vs.* 33.3%) including hypertension, coronary heart disease, cerebrovascular disease, and chronic kidney disease (*Table 1*).

Clinical features

There were no significant differences in symptoms between patients with and without COPD, including fever (90.0% *vs.* 87.0%), cough (66.0% *vs.* 69.5%), and sputum production (30.0% *vs.* 34.8%). However, patients with COPD were more likely to develop fatigue (56.0% *vs.* 40.2%), shortness of breath (66.0% *vs.* 26.3%), diarrhea (16.0% *vs.* 3.6%), and unconsciousness (8.0% *vs.* 1.7%;); there were still significant differences between the groups after adjustment for age, sex, smoking status, and other comorbidities at baseline (*Table 1*).

Laboratory pathologic and radiologic findings

Compared with patients who had COVID-19 but not COPD, whether crude or adjusted differences, the COPD group had a higher proportion of increased D-dimer (65.9% *vs.* 29.3%) and prolonged activated partial thromboplastin time (23.5% *vs.* 5.2%), with significant differences (*Table 2*).

Compared with their counterparts, a greater proportion of patients with COVID-19 and COPD had ground-glass opacities (77.6% *vs.* 60.3%), local patchy shadowing (61.2% *vs.* 41.4%), and interstitial abnormalities (51.0% *vs.* 19.8%) (P<0.05). However, the proportion of patients with bilateral patchy shadowing showed no significant difference between the two groups (63.3% *vs.* 55.7%) (*Table 2*).

Treatment and complications

A significantly higher proportion of patients with COPD and COVID-19 were treated with antifungal medication (22.0% *vs.* 3.9%), systemic corticosteroids (56.0% *vs.* 20.6%), oxygen therapy (76.0% *vs.* 49.9%), noninvasive ventilation (40.0% *vs.* 11.2%), invasive mechanical ventilation (24.0% *vs.* 4.9%), CRRT (6.0% *vs.* 1.8%), and intravenous immunoglobulin (40.5% *vs.* 25.2%) than those without COPD, after adjustment (all P<0.05) (*Table 3*).

Patients with COPD who developed COVID-19 were more likely to develop complications, including bacterial or fungal coinfection (20.0% *vs.* 5.9%), ARDS (20.0% *vs.* 7.3%), septic shock (14.0% *vs.* 2.3%), and acute renal failure (12.0% *vs.* 1.3%), after adjustment (*Table 3*).

Clinical outcomes

As of February 20, 2020, in the group with COPD and

Table 1 Clinical characteristics of the study patients

Characteristics	COPD (n=50)	Non-COPD (n=998)	P values	Adjusted OR (95% CI) (COPD *vs.* non-COPD)*	P values for adjustment*
Age, median (IQR) (years)	71.0 (65.8–77.9)	56.0 (48.0–64.9)	<0.001	–	–
Age groups, No./total No. (%)			<0.001	–	–
40–49 years	2/50 (4.0)	300/998 (30.1)			
50–59 years	2/50 (4.0)	309/998 (31.0)			
60–69 years	18/50 (36.0)	264/998 (26.5)			
70–79 years	20/50 (40.0)	102/998 (10.2)			
≥80 years	8/50 (16.0)	23/998 (2.3)			
Male, No./total No. (%)	42/50 (83.0)	549/998 (55.0)	<0.001	–	–
Smoking history, No./total No. (%)			<0.001	–	–
Never smokers	25/49 (51.0)	892/986 (90.5)			
Smoking	24/49 (49.0)	94/986 (9.5)			
Ex-smokers	20/24 (83.3)	75/94 (79.8)	0.70		
Current smokers	4/24 (16.7)	19/94 (20.2)			
Coexisting disorders, No./total No. (%)				–	–
Any	50/50 (100.0)	332/998 (33.3)	<0.001		
Diabetes	8/50 (16.0)	118/998 (11.8)	0.380		
Hypertension	19/50 (38.0)	233/998 (23.3)	0.018		
Coronary heart disease	8/50 (16.0)	48/998 (4.8)	0.001		
Cerebrovascular diseases	10/50 (20.0)	21/998 (2.1)	<0.001		
Hepatitis B infection	1/50 (2.0)	19/998 (1.9)	0.96		
Cancer	1/50 (2.0)	19/998 (1.9)	0.96		
Chronic renal diseases	3/50 (6.0)	12/998 (1.2)	0.005		
Immunodeficiency	0/50 (0.0)	2/998 (0.2)	0.75		
Symptoms, No./total No. (%)				–	–
Conjunctival congestion	0/50 (0.0)	6/998 (0.6)	0.58		
Nasal congestion	1/50 (2.0)	37/998 (3.7)	0.53		
Headache	4/50 (8.0)	122/998 (12.2)	0.37		
Cough	33/50 (66.0)	694/998 (69.5)	0.60		
Sore throat	7/50 (14.0)	99/998 (9.9)	0.35		
Sputum production	15/50 (30.0)	347/998 (34.8)	0.49		
Fatigue	28/50 (56.0)	401/998 (40.2)	0.026	2.29 (1.20–4.36)	0.012
Hemoptysis	1/50 (2.0)	12/998 (1.2)	0.62		
Shortness of breath	33/50 (66.0)	262/998 (26.3)	<0.001	3.40 (1.73–6.69)	<0.001
Nausea or vomiting	4/50 (8.0)	54/998 (5.4)	0.44		

Table 1 (*Continued*)

Table 1 (*Continued*)

Characteristics	COPD (n=50)	Non-COPD (n=998)	P values	Adjusted OR (95% CI) (COPD *vs.* non-COPD)*	P values for adjustment*
Diarrhea	8/50 (16.0)	36/998 (3.6)	<0.001	3.76 (1.39–10.23)	0.009
Myalgia or arthralgia	8/50 (16.0)	158/998 (15.8)	0.98		
Chill	5/50 (10.0)	109/998 (10.9)	0.84		
Signs, No./total No. (%)				–	–
Temperature				–	–
Fever during hospitalization, No./total No. (%)	45/50 (90.0)	868/998 (87.0)	0.53		
Median temperature on admission (IQR), ℃	37.0 (36.5–38.0)	37.1 (36.6–37.9)	0.56		
Distribution of temperature on admission, No./total No. (%)			0.70		
<37.5	33/49 (67.3)	591/964 (61.3)			
37.5–38.5	11/49 (22.4)	259/964 (26.9)			
>39	5/49 (10.2)	114/964 (11.8)			
Respiratory rate on admission (IQR), breaths/min	21 [20–25]	20 [20–21]	0.016	–	–
Throat congestion	0/50 (0.0)	14/998 (1.4)	0.40		
Tonsil swelling	0/50 (0.0)	21/998 (2.1)	0.30		
Enlargement of lymph nodes	0/50 (0.0)	1/998 (0.1)	0.82		
Rash	0/50 (0.0)	2/997 (0.2)	0.75		
Unconscious	4/50 (8.0)	17/998 (1.7)	0.002		

Data are mean ± standard deviation, n (%), or median (interquartile range). P values for continuous variables were calculated by Student's *t*-test or the Wilcoxon rank-sum test, and P values for categorical variables were calculated by the chi-square test or Fisher's exact test. *, adjusted for age, sex, smoking status and other comorbidities (including diabetes, hypertension, coronary heart disease, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal diseases, immunodeficiency), no significance (P≥0.05) was not shown in the table. Odds ratio >1 means that more people in COPD than Non-COPD in variables.

COVID-19, 10 (20.0%) patients were discharged, 28 (56.0%) were still in the hospital, and 12 (24.0%) died; the corresponding rates in the group without COPD were 14.6%, 81.4%, and 4.0%, respectively. The proportion of patients with severe pneumonia (54.0% *vs.* 18.8%) and critical illness (38.0% *vs.* 10.3%) in the group with COPD and COVID-19 was higher than that in the group without COPD (*Table 3*). The risk of composite endpoints was increased in patients with COPD (HR, 2.17, 95% CI: 1.40–3.38; P=0.001), with greater likelihood of death (HR, 2.28, 95% CI: 1.15–4.51; P=0.019), after adjustment (*Figure 2*).

Post-hoc analysis

This study included the patients with COVID-19 as of January 29, 2020 in the database of the National Health Commission of the People's Republic of China, of which 12 patients with COPD and 593 patients without COPD (4,6). In order to improve credibility and integrity of this study, we excluded those patients who overlapped with Guan *et al.* and performed the post-hoc analysis. In post-hoc analysis, there were similar to the results of the overall analysis in clinical characteristics (*Table S1*), laboratory findings, radiological findings (*Table S2*), complications,

Table 2 Laboratory and radiographic findings of COVID-19 patients with COPD or without COPD on admission

Variables	COPD (n=50)	Non-COPD (n=998)	P values	Adjusted OR (95% CI) (COPD vs. non-COPD)*	P values for adjustment*
Laboratory findings					
Median SpO$_2$ (IQR), %	95 [91–97]	96 [93–98]	0.19		
Blood leukocyte count (10^9/L)			0.28		
<4	9/45 (20.0)	265/875 (30.3)			
4–10	30/45 (66.7)	530/875 (60.6)			
>10	6/45 (13.3)	80/875 (9.1)			
Platelet count (10^9/L)			0.62		
<100	5/45 (11.1)	70/783 (8.9)			
≥100	40/45 (88.9)	713/783 (91.1)			
Lymphocyte count (10^9/L)			0.20		
<0.8	20/43 (46.5)	324/819 (39.6)			
0.8–1.1	15/43 (34.9)	237/819 (28.9)			
≥1.1	8/43 (18.6)	258/819 (31.5)			
APTT >45 (s)	8/34 (23.5)	31/595 (5.2)	<0.001	3.52 (1.17–10.60)	0.025
Prothrombin time (s)			0.027		
<11	1/34 (2.9)	131/599 (21.9)			
11–15	31/34 (91.2)	429/599 (71.6)			
>15	2/34 (5.9)	39/599 (6.5)			
Anemia (<120 in male and <110 g/dL in female)	14/41 (24.1)	220/791 (27.8)	0.379		
C-reactive protein level ≥10 mg/L	41/43 (95.3)	596/723 (82.4)	0.028		
Procalcitonin level ≥0.5 ng/mL	28/37 (75.7)	384/569 (67.5)	0.30		
Lactose dehydrogenase ≥250 U/L	26/41 (63.4)	339/642 (52.8)	0.19		
Aspartate aminotransferase >40 U/L	14/43 (32.6)	197/696 (28.3)	0.55		
Alanine aminotransferase >40 U/L	5/42 (11.9)	117/674 (17.4)	0.36		
Creatinine (μmol/L)	128.5±174.3	77.4±78.1	0.06		
Total bilirubin >17.1 μmol/L	1/41 (2.4)	31/656 (4.7)	0.50		
Creatinine kinase ≥200 U/L	8/35 (22.9)	99/631 (15.7)	0.26		
D-dimer ≥0.5 mg/L	27/41 (65.9)	168/573 (29.3)	<0.001	3.22 (1.48–7.02)	0.003
Sodium (mmol/L)	137.7±4.9	141.4±61.4	0.73		
Potassium (mmol/L)	3.9±0.6	4.4±0.7	0.69		
Chloride (mmol/L)	103.6±6.5	103.3±6.9	0.81		
Albumin (g/L)	34.4±6.7	37.1±8.6	0.038		

Table 2 (*Continued*)

Table 2 (*Continued*)

Variables	COPD (n=50)	Non-COPD (n=998)	P values	Adjusted OR (95% CI) (COPD *vs.* non-COPD)*	P values for adjustment*
Radiographic findings					
Abnormalities on chest X-ray, No./total No. (%)					
Any abnormalities	12/16 (75.0)	197/271 (72.7)	0.84		
Ground-glass opacity	7/16 (43.8)	75/271 (27.7)	0.17		
Local patchy shadowing	10/16 (62.5)	94/271 (34.7)	0.025		
Bilateral patchy shadowing	9/16 (56.3)	149/271 (55.0)	0.92		
Interstitial abnormalities	2/16 (12.5)	21/271 (7.7)	0.50		
Abnormalities on chest CT, No./total No. (%)					
Any abnormalities	44/49 (89.8)	759/875 (86.7)	0.54		
Ground-glass opacity	38/49 (77.6)	528/875 (60.3)	0.016	4.00 (1.85–8.62)	<0.001
Local patchy shadowing	30/49 (61.2)	362/875 (41.4)	0.006	2.29 (1.18–4.46)	0.014
Bilateral patchy shadowing	31/49 (63.3)	487/875 (55.7)	0.30		
Interstitial abnormalities	25/49 (51.0)	173/875 (19.8)	<0.001	4.06 (2.01–8.19)	<0.001

P values for continuous variables were calculated by Student's *t*-test or the Wilcoxon rank-sum test, and P values for categorical variables were calculated by the chi-square test or Fisher's exact test. *, adjusted for age, sex, smoking status and other comorbidities (including diabetes, hypertension, coronary heart disease, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal diseases, immunodeficiency), no significance (P≥0.05) was not shown in the table. Odds ratio >1 means that more people in COPD than Non-COPD in variables. Abbreviations: COVID-19, coronavirus disease 2019; COPD, chronic obstructive pulmonary; IQR, interquartile range; SpO_2, saturation of pulse oxygen; APTT, activated partial thromboplastin time; CT, computed tomography.

and treatments (*Table S3*). The risk of composite endpoints was also increased in patients with COPD (HR: 2.18, 95% CI: 1.22–3.90; P=0.008) after adjustment. There was a numerical increase in the risk of death in patients with COPD, with a close to statistically significance (HR: 2.28, 95% CI: 0.93–5.59; P=0.072), after adjustment (*Figure S1*).

Discussion

In this study, we analyzed the clinical characteristics and prognosis of 50 patients with COPD complicated with novel coronavirus pneumonia. Compared with patients who had COVID-19 but not COPD, those with COPD had more obvious shortness of breath and hypoxemia; more abnormal laboratory and imaging findings; and greater risk for admission to the ICU, mechanical ventilation, or death, providing evidence of poor prognosis.

Although all age groups are susceptible to infection with COVID-19, older patients generally have more severe

illness and poorer prognosis than younger ones (7,13,14). In our study, no patients with COPD and COVID-19 were under 40 years old, which is consistent with previous reports that higher incidence of COPD occurs in people aged 40 and older (5,15). To avoid participant selection bias, we only included patients who had COVID-19 but not COPD and who were aged 40 and older in this retrospective study. By limiting the age range of participants and adjusting the statistical analysis according to multiple factors, the two groups (COPD *vs.* non-COPD) could be more accurately compared.

To our best knowledge, this is the first report using a large sample size to analyze the clinical characteristics and prognosis of COVID-19 with COPD. Among 50 patients with COPD and COVID-19, 27 (54%) were admitted to the ICU and required mechanical ventilation, and 10 (20%) patients died, which is significantly higher than the overall mortality rate published in the literature (3,4).

Poor prognosis in patients with COPD and COVID-19

Table 3 Complications, treatments, and clinical outcomes of COVID-19 patients with COPD or without COPD

Variables	COPD (n=50)	Non-COPD (n=998)	P values	Adjusted OR (95% CI) (COPD *vs.* non-COPD)*	P values for adjustment*
Complications, No. (%)					
Septic shock	7 (14.0)	23 (2.3)	<0.001	5.05 (1.65–15.5)	0.005
Acute respiratory distress syndrome	10 (20.0)	73 (7.3)	0.001		
Acute kidney injury	6 (12.0)	13 (1.3)	<0.001	5.31 (1.46–19.27)	0.011
Disseminated intravascular coagulation	1 (2.0)	6 (0.6)	0.240		
Bacterial or fungal coinfection	10 (20.0)	59 (5.9)	<0.001		
Treatments, No. (%)					
Administration of intravenous antibiotics	43 (86.0)	680 (68.1)	0.008		
Antifungal medication	11 (22.0)	39 (3.9)	<0.001	6.73 (2.63–17.17)	<0.001
Antiviral drugs	32 (64.0)	709 (71.0)	0.290		
Administration of systemic corticosteroids	28 (56.0)	206 (20.6)	<0.001	3.58 (1.85–6.92)	<0.001
Oxygen therapy	38 (76.0)	498 (49.9)	<0.001		
Mechanical ventilation	23 (46.0)	128 (12.8)	<0.001	2.32 (1.16–4.63)	0.017
Invasive	12 (24.0)	49 (4.9)	<0.001	3.75 (1.56–8.99)	0.003
Non-invasive	20 (40.0)	112 (11.2)	<0.001	2.05 (1.00–4.20)	0.049
Use of ECMO	1 (2.0)	9 (0.9)	0.44		
Use of CRRT	3 (6.0)	18 (1.8)	0.039		
Use of intravenous immunoglobulin	15 (40.5)	165 (25.2)	0.038		
Hospitalization days, median (IQR)	11 [8–20]	10 [8–14]	0.050		
Severity	27 (54.0)	188 (18.8)	<0.001	2.69 (1.37–5.29)	0.004
Critical illness	19 (38.0)	103 (10.3)	<0.001	2.78 (1.35–5.73)	0.006
Composite end point at data cutoff, No. (%)			<0.001	2.69 (1.37–5.29)	0.004
Not Reach composite end point	23 (46.0)	810 (81.2)			
Reach composite end point	27 (54.0)	188 (18.8)			
Clinical outcomes at data cutoff, No. (%)			<0.001		
Staying in hospital	28 (56.0)	812 (81.4)			
Discharge from hospital	10 (20.0)	146 (14.6)			
Death	12 (24.0)	40 (4.0)			

P values for categorical variables were calculated by the chi-square test or Fisher's exact test. *, adjusted for age, sex, smoking status and other comorbidities (including diabetes, hypertension, coronary heart disease, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal diseases, immunodeficiency), no significance (P ≥0.05) was not shown in the table. Odds ratio >1 means that more people in COPD than Non-COPD in variables. Composite end point determined by the admission to an ICU, the use of mechanical ventilation, or death. COVID-19, coronavirus disease 2019; COPD, chronic obstructive pulmonary disease; ECMO, extracorporeal membrane oxygenation; CRRT, continuous renal replacement therapy; IQR, interquartile range.
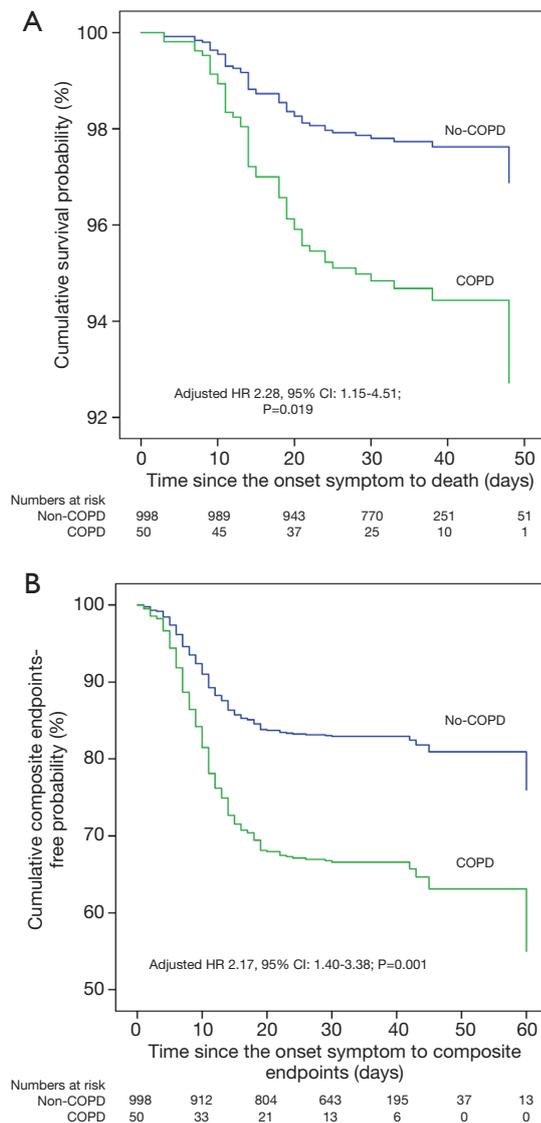
Figure 2 Comparison of the time-dependent risk of clinical outcomes. Compared with patients who had COVID-19 but not COPD, those with COPD had greater likelihood of death (A) and reaching the composite endpoints (B), with an HR of 2.28 (95% CI: 1.15 to 4.51, P=0.019) and 2.17 (95% CI: 1.40 to 3.38, P=0.001), respectively, adjusted by confounding factors including age, sex, smoking status, and other comorbidities.

and chronic inflammation of the airways, it is well known that patients with COPD have lower basal lung function, abnormal lung structure, and dysfunctional immunity (16-19). In COPD combined with COVID-19, the risk for severe acute exacerbation of COPD caused by viral infection is likely to be higher, resulting in a poor prognosis.

A Chinese nationwide study showed that the proportion of patients with COPD and COVID-19 was 2.4%. A study in the United States revealed that the percentage of patients with COVID-19 as an underlying chronic lung disease was 9.2%; these rates were lower than the proportion with chronic lung disease reported in previous epidemiologic studies in those countries, possibly owing to missing data, underdiagnosis or poor recognition of chronic respiratory diseases in patients with COVID-19 (5,6,20). Regardless of the cause, given the large population with chronic lung disease and the poor prognosis in those patients who develop COVID-19, greater attention is needed for people with chronic lung disease, including patients with COPD (21).

Many studies have reported an increased risk of cardiovascular events in patients with acute exacerbation of COPD, especially within the first 30 days after acute exacerbation (22-24). Therefore, in addition to paying greater attention to the treatment of patients with COPD and COVID-19 during hospitalization, it is also important to follow up these patients for some time after rehabilitation, to further reduce mortality (25).

This large cohort study provides an important reference for the clinical diagnosis and treatment of patients with COPD and COVID-19. Considering the severity and poor prognosis of these patients, it is necessary to strengthen monitoring of their condition and to provide more active multidisciplinary treatments. For patients with COPD who do not have COVID-19, especially those living in endemic areas, personal protection is strongly recommended, even if they are in a stable COPD phase. Once symptoms such as cough, sputum production, or shortness of breath appear in patients with COPD, nucleic acid testing for severe acute respiratory syndrome coronavirus 2 is required to identify novel coronavirus pneumonia, in addition to conventional treatment for acute exacerbation of COPD.

This study has some limitations. First, this was a retrospective study and some patients with COPD and COVID-19 were still in the hospital. Although our data can serve as reference regarding the clinical characteristics and prognosis of patients with COPD and COVID-19, a prospective large-sample cohort study is still needed.

is consistent with our observations that these patients have more significant differences in their blood test results, more comorbidities, more profound imaging changes, and more rapid disease progression than their counterparts without COPD. Because the main pathologic changes in COPD are characterized by small airway disease, emphysema,

Second, the baseline characteristics of the two patient groups were not equal. The COPD group was older and had more comorbidities than the non-COPD group, which may affect comparisons of the study endpoints. However, this is unlikely to influence our conclusions as the data were analyzed using multifactor adjustment in Cox proportional hazards analysis, which included adjustment for sex, age, smoking status, and other underlying diseases. Finally, owing to the contagiousness of COVID-19, no lung function testing was conducted on admission to the hospital; therefore, patient's baseline lung function data were unavailable. Furthermore, only some patients with COVID-19 underwent imaging investigation for COPD diagnosis owing to rapid progression of their disease. However, our diagnosis of COPD should be reliable as it was based on the medical history including records of lung function tests (post-bronchodilation $FEV_1/FVC <0.7$) and previous symptoms (cough, expectoration, shortness of breath, and so on).

In summary, we performed a systematic analysis of the clinical characteristics and prognosis of patients with COPD and COVID-19 and found that these patients showed more severe clinical manifestations, a higher rate of ICU admission, had greater mechanical ventilation requirements and higher mortality than their counterparts without COPD, leading to a poor prognosis in the former patient group. These results suggest that greater attention is needed for patients with COPD who develop COVID-19. Our findings highlight the importance of early detection, isolation and treatment, and multidisciplinary intervention for patients with COPD and COVID-19.

Footnote

Provenance and Peer Review: This article was submitted to *JTD* as a revised version along with the incisive peer review comments after rejection from another esteemed journal. Given the revisions and the wide concern and pressing importance of research relating to COVID-19, the article was managed via the rapid communication pathway and underwent internal review within 6 days.

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at http://dx. doi. org/10. 21037/jtd-20-1914

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at http://dx.doi. org/10.21037/jtd-20-1914). NZ serves as the unpaid Editor-in Chief of *Journal of Thoracic Disease*. The other authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This was a retrospective case study. The Ethics Commission of the First Affiliated Hospital of Guangzhou Medical University approved the study (No. 2020-51). Because of the urgent need to collect data on this emerging infectious disease, the requirement for written informed consent was waived.

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The source of subjects and hospitals

In this study, the patients were recruited from two different sources list as follows: (I) Before Jan 29th, we recruited 12 chronic obstructive pulmonary disease (COPD) patients with coronavirus disease 2019 (COVID-19), and 593 COVID-19 patients without COPD at the age of 40 years and above from the data processing center of the National Health Commission of the People's Republic of China in Guangzhou. (II) from Jan 30th to Feb 20th the other patients, including 38 COVID-19 patients with COPD and 405 COVID-19 patients without COPD at the age of 40 years and above, were recruited from some designated hospitals for COVID-19 in Hubei, Hunan and Guangdong Province. The COPD patients in this study were recruited respectively from the data processing center of the National Health Commission of the People's Republic of China in Guangzhou (12 patients), Tongji Hospital of Huazhong University of Science and Technology (11 patients), Union Hospital of Huazhong University of Science and Technology (7 patients), The First Affiliated Hospital of Guangzhou Medical University (4 patients), Shenzhen Third People's Hospital of Guangdong Province (4 patients), Renmin Hospital of Wuhan University (3 patients), Guangzhou Eighth People's Hospital of Guangzhou Medical University (3 patients), The First People's Hospital of Yueyang of Hunan Province (3 patients), The Second Peoples Hospital of Changde City of Hunan Province (2 patients) and Jiangling County People's Hospital of Hubei Province (1 patient).

Table S1 Clinical characteristics of the study population

Characteristics	COPD (N=38)	Non-COPD (N=405)	P values	Adjusted OR (95% CI) (COPD vs. non-COPD)*	P values for adjustment*
Age, median (IQR) (years)	69.5 (65.8–76.5)	55.0 (47.0–64.9)	<0.001	–	–
Age groups, No./total No. (%)			<0.001	–	–
40–49 years	0/38 (0.0)	130/405 (32.1)			
50–59 years	2/38 (5.3)	117/405 (28.9)			
60–69 years	17/38 (44.7)	107/405 (26.4)			
70–79 years	13/38 (34.2)	43/405 (10.6)			
≥80 years	6/38 (15.8)	8/405 (2.0)			
Male, No./total No. (%)	34/38 (89.5)	233/405 (57.5)	<0.001	–	–
Smoking history, No./total No. (%)			<0.001	–	–
Never smokers	17/38 (44.7)	355/405 (87.7)			
Smoking	20/38 (52.6)	47/405 (11.6)			
Ex-smokers	17/20 (85.0)	37/47 (78.7)			
Current smokers	3/20 (15.0)	10/47 (21.3)			
Coexisting disorders, No./total No. (%)				–	–
Any	38/38 (100.0)	135/405 (33.3)	<0.001		
Diabetes	6/38 (15.8)	46/405 (11.4)	0.42		
Hypertension	13/38 (38.0)	91/405 (22.5)	0.10		
Coronary heart disease	6/38 (15.8)	20/405 (4.9)	0.017		
Cerebrovascular diseases	7/38 (18.4)	8/405 (2.0)	<0.001		
Hepatitis B infection	1/38 (2.6)	11/405 (2.7)	0.98		
Cancer	1/38 (2.6)	6/405 (1.5)	0.47		
Chronic renal diseases	3/38 (7.9)	7/405 (1.7)	0.046		
Immunodeficiency	0/38 (0.0)	0/405 (0.2)			
Symptoms, No./total No. (%)					
Conjunctival congestion	0/38 (0.0)	0/405 (0.0)			
Nasal congestion	1/38 (2.0)	20/405 (4.9)	0.52		
Headache	2/38 (5.3)	55/405 (13.6)	0.20		
Cough	23/38 (60.5)	278/405 (68.6)	0.31		
Sore throat	4/38 (10.5)	38/405 (9.4)	0.77		
Sputum production	8/38 (21.1)	137/405 (33.8)	0.11		
Fatigue	23/38 (60.5)	171/405 (42.2)	0.030	3.44 (1.51–7.83)	0.003
Hemoptysis	1/38 (2.6)	5/405 (1.2)	0.42		
Shortness of breath	27/38 (71.1)	108/405 (26.7)	<0.001	5.46 (2.22–13.41)	<0.001
Nausea or vomiting	2/38 (5.3)	21/405 (5.2)	0.98		
Diarrhea	8/38 (21.1)	13/405 (3.2)	<0.001	5.45 (1.51–19.66)	0.010
Myalgia or arthralgia	6/38 (15.8)	58/405 (14.3)	0.81		
Chill	3/38 (7.9)	57/405 (14.1)	0.29		
Signs, No./total No. (%)				–	–
Temperature				–	–
Fever during hospitalization, No./total No. (%)	34/38 (89.5)	352/405 (86.9)	0.80		
Median temperature on admission (IQR), ℃	37.0 (36.5-37.7)	37.2 (36.6-38.0)	0.42		
Distribution of temperature on admission, No./total No. (%)			0.55		
<37.5	26/37 (70.3)	239/390 (61.3)			
37.5–38.5	8/37 (21.6)	105/390 (26.9)			
>39	3/37 (8.1)	46/390 (11.8)			
Respiratory rate on admission (IQR), breaths/min	21 [20–28]	20 [20–21]	0.011		
Throat congestion	0/38 (0.0)	6/405 (1.5)	0.45		
Tonsil swelling	0/38 (0.0)	8/405 (2.0)	0.38		
Enlargement of lymph nodes	0/38 (0.0)	0/405 (0.1)			
Rash	0/38 (0.0)	1/405 (0.2)	0.76		
Unconscious	3/38 (7.9)	6/405 (1.5)	0.034	7.13 (1.11–46.02)	0.039

Data are mean ± standard deviation, n (%), or median (interquartile range). P values for continuous variables were calculated by Student's t-test or the Wilcoxon rank-sum test, and P values for categorical variables were calculated by the chi-square test or Fisher's exact test. *, adjusted for age, sex, smoking status and other comorbidities (including diabetes, hypertension, coronary heart disease, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal diseases, immunodeficiency), no significance (P≥0.05) was not shown in the table. Odds ratio >1 means that more people in COPD than non-COPD in variables.

Table S2 Laboratory and radiographic findings among patients who had COVID-19, with or without COPD on admission

Variables	COPD (n=38)	Non-COPD (n=405)	P values	Adjusted OR (95% CI) (COPD vs. non-COPD)*	P values for adjustment*
Laboratory findings					
Median SpO$_2$ (IQR), %	95 [82–98]	96 [94–98]	0.23		
Blood leukocyte count (10^9/L)			0.11		
<4	5/34 (14.7)	110/353 (31.2)			
4–10	24/34 (70.6)	211/353 (59.8)			
>10	5/34 (14.7)	32/353 (9.1)			
Platelet count (10^9/L)			0.58		
<100	5/35 (14.3)	36/319 (11.3)			
≥100	30/35 (85.7)	283/319 (88.7)			
Lymphocyte count (10^9/L)			0.47		
<0.8	13/34 (38.2)	93/330 (28.2)			
0.8–1.1	9/34 (26.5)	106/330 (32.1)			
≥1.1	12/34 (35.3)	131/330 (39.7)			
APTT >45 (s)	7/26 (26.9)	13/241 (5.4)	<0.001		
Prothrombin time (s)			0.07		
<11	1/27 (3.7)	55/242 (2.7)			
11–15	24/27 (88.9)	172/242 (71.1)			
>15	2/27 (7.4)	15/242 (6.2)			
Anemia (<120 in male and <110 g/dL in female)	9/30 (30.0)	81/321 (25.2)	0.57		
C-reactive protein level ≥10 mg/L	31/33 (93.9)	236/295 (80.0)	0.05		
Procalcitonin level ≥0.5 ng/mL	24/32 (75.0)	150/248 (60.5)	0.11		
Lactose dehydrogenase ≥250 U/L	21/32 (65.6)	130/262 (49.6)	0.09		
Aspartate aminotransferase >40 U/L	10/33 (30.3)	86/286 (30.1)	0.98		
Alanine aminotransferase >40 U/L	5/33 (15.2)	47/277 (17.0)	0.79		
Creatinine (μmol/L)	137.1±193.2	74.3±45.1	<0.001		
Total bilirubin >17.1 μmol/L	1/33 (3.0)	12/267 (4.5)	0.70		
Creatinine kinase ≥200 U/L	5/26 (19.2)	39/256 (15.2)	0.57		
D-dimer ≥0.5 mg/L	23/34 (67.6)	66/234 (28.2)	<0.001	3.69 (1.38–9.80)	0.009
Sodium (mmol/L)	137.6±5.3	141.2±61.3	0.77		
Potassium (mmol/L)	4.0±0.7	3.8±0.6	0.20		
Chloride (mmol/L)	103.6±6.9	103.7±4.8	0.96		
Albumin (g/L)	34.1±7.4	37.5±8.0	0.018		
Radiographic findings					
Abnormalities on chest X-ray, No./total No. (%)					
Any abnormalities	9/13 (69.2)	85/117 (72.6)	0.75		
Ground-glass opacity	6/13 (46.2)	34/117 (29.1)	0.21		
Local patchy shadowing	7/13 (53.8)	40/117 (34.2)	0.22		
Bilateral patchy shadowing	7/13 (53.8)	65/117 (55.6)	0.91		
Interstitial abnormalities	2/13 (15.4)	10/117 (8.5)	0.34		
Abnormalities on chest CT, No./total No. (%)					
Any abnormalities	34/37 (91.9)	310/356 (87.1)	0.60		
Ground-glass opacity	32/37 (86.5)	218/356 (61.2)	0.002	6.19 (1.99–19.19)	0.002
Local patchy shadowing	26/37 (70.3)	164/356 (46.1)	0.005	3.22 (1.30–7.95)	0.011
Bilateral patchy shadowing	26/37 (70.3)	198/356 (55.6)	0.09		
Interstitial abnormalities	20/37 (54.1)	76/356 (21.3)	<0.001	4.96 (2.02–12.13)	<0.001

P values for continuous variables were calculated by Student's t-test or the Wilcoxon rank-sum test, and P values for categorical variables were calculated by the chi-square test or Fisher's exact test. *, adjusted for age, sex, smoking status and other comorbidities (including diabetes, hypertension, coronary heart disease, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal diseases, immunodeficiency), no significance (P≥0.05) was not shown in the table. Odds ratio >1 means that more people in COPD than non-COPD in variables. COVID-19, coronavirus disease 2019; COPD, chronic obstructive pulmonary; IQR, interquartile range; SpO$_2$, saturation of pulse oxygen; APTT, activated partial thromboplastin time; CT, computed tomography.

Table S3 Complications, treatments, and clinical outcomes of patients who had COVID-19, with COPD or without COPD

Variables	COPD (n=38)	Non-COPD (n=405)	P values	Adjusted OR (95% CI) (COPD vs. non-COPD)*	P values for adjustment*
Complications, No. (%)					
Septic shock	5 (13.2)	11 (2.7)	0.008	4.48 (1.01–19.84)	0.048
Acute respiratory distress syndrome	7 (18.4)	29 (7.2)	0.025		
Acute kidney injury	5 (13.2)	4 (1.0)	<0.001	13.50 (1.54–1,118.28)	0.019
Disseminated intravascular coagulation	1 (2.6)	3 (0.7)	0.30		
Bacterial or fungal coinfection	7 (18.4)	21 (5.2)	0.006		
Treatments, No. (%)					
Administration of intravenous antibiotics	32 (84.2)	279 (68.9)	0.048		
Antifungal medication	9 (23.7)	12 (3.0)	<0.001	18.37 (4.13–81.65)	<0.001
Antiviral drugs	25 (65.8)	285 (70.4)	0.56		
Administration of systemic corticosteroids	23 (60.5)	88 (21.7)	<0.001	4.77 (2.02–11.29)	<0.001
Oxygen therapy	27 (71.1)	199 (49.1)	0.010		
Mechanical ventilation	18 (47.4)	55 (13.6)	<0.001	3.07 (1.29–7.33)	0.012
Invasive	10 (26.3)	22 (5.4)	<0.001	3.13 (1.29–7.62)	0.012
Non-invasive	16 (42.1)	46 (11.4)	<0.001	4.95 (2.45–10.00)	<0.001
Use of ECMO	1 (2.6)	8 (2.0)	0.56		
Use of CRRT	3 (7.9)	8 (2.0)	0.025		
Use of intravenous immunoglobulin	9 (32.1)	69 (25.7)	0.47		
Hospitalization days, median (IQR)	11 [8–20]	10 [8–14]	0.15		
Severity	18 (47.4)	80 (19.8)	<0.001	2.78 (1.09–7.10)	0.033
Critical illness	12 (31.6)	47 (11.6)	0.001	2.71 (1.02–7.27)	0.046
Composite end point at data cutoff, No. (%)			<0.001	2.66 (1.09–6.47)	0.031
Not Reach composite end point	20 (52.6)	325 (80.2)			
Reach composite end point	18 (47.4)	80 (19.8)			
Clinical outcomes at data cutoff, No. (%)			<0.001		
Staying in hospital	20 (52.6)	333 (82.2)			
Discharge from hospital	9 (23.7)	57 (14.1)			
Death	9 (23.7)	15 (3.7)			

P values for categorical variables were calculated by the chi-square test or Fisher's exact test. *, adjusted for age, sex, smoking status and other comorbidities (including diabetes, hypertension, coronary heart disease, cerebrovascular diseases, hepatitis B infection, cancer, chronic renal diseases, immunodeficiency), no significance (P≥0.05) was not shown in the table. Odds ratio >1 means that more people in COPD than non-COPD in variables. Composite end point determined by the admission to an ICU, the use of mechanical ventilation, or death. COVID-19, coronavirus disease 2019; COPD, chronic obstructive pulmonary disease; ECMO, extracorporeal membrane oxygenation; CRRT, continuous renal replacement therapy; IQR, interquartile range.
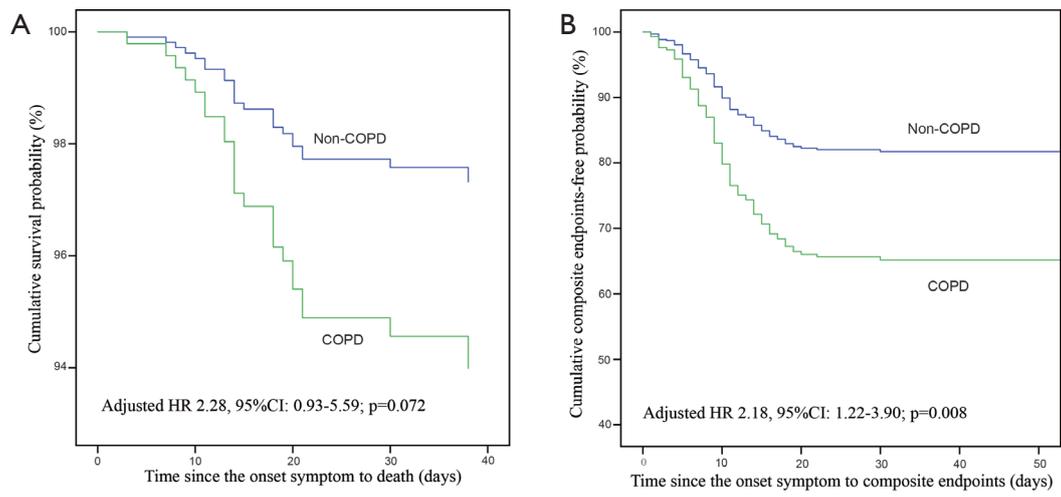
Figure S1 Comparison of the time-dependent risk of clinical outcomes.