

# Obstructive sleep apnea, prediabetes and progression of type 2 diabetes: A systematic review and meta-analysis

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## Keywords

Diabetes, Obstructive sleep apnea, Prediabetes

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## ABSTRACT

**Aims/Introduction:** Obstructive sleep apnea (OSA) is related to prediabetes and diabetes. Whether patients with OSA have a higher risk of prediabetes/diabetes remains unclear. We aimed to carry out a meta-analysis of published studies to evaluate the relationships between OSA and prediabetes and diabetes, and the impact of the severity of OSA on diabetes.

**Materials and Methods:** The PubMed, EMBASE and Cochrane databases were searched from January 2011 to July 2021. The associations between OSA and impaired fasting glucose, impaired glucose tolerance, impaired glucose regulation and diabetes mellitus were analyzed. We estimated the pooled odds ratios using fixed or random effects models. We included 25 studies comprising a total of 154,948 patients with OSA and risk factors for prediabetes/diabetes (20 and 16, respectively) in the analysis.

**Results:** OSA was associated with a higher risk of impaired fasting glucose, impaired glucose tolerance, impaired glucose regulation and diabetes mellitus in the cohort studies and cross-sectional studies. The pooled odds ratios were 2.34 (95% confidence interval [CI] 1.16–4.72), 1.58 (95% CI 1.15–2.15), 1.65 (95% CI 1.12–2.42), 2.15 (95% CI 1.68–2.75) and 3.62 (95% CI 2.75–4.75), respectively. Subgroup analyses were based on the proportions of men and women. The results showed that OSA was a risk factor, and there was no significant difference between the two groups. The risk of diabetes increased with the severity of OSA.

**Conclusions:** The risk of developing prediabetes and diabetes was higher in patients with OSA.

## INTRODUCTION

People spend nearly one-third of their lives sleeping. Physiological functions and energy are restored through sleep. Therefore, adequate quality sleep is essential for physical and mental health.

Obstructive sleep apnea (OSA), a common and treatable form of sleep-disordered breathing, is characterized by repetitive episodes of airway closure or partial upper airway collapse during sleep, resulting in chronic intermittent hypoxia and sleep fragmentation<sup>1</sup>. There is increasing evidence that sleep-disordered breathing is linked to an elevated risk of prediabetes

(including impaired fasting glucose [IFG], impaired glucose tolerance [IGT], and IFG plus IGT and diabetes).

Patients with IFG with or without IGT are considered ‘prediabetics.’ A prospective cohort study showed that prediabetes was strongly associated with the development of type 2 diabetes mellitus<sup>2</sup>. Many previous studies have shown an association between OSA and prediabetes. Previous studies have found that the prevalence of prediabetes was significantly higher in patients with OSA, especially in the moderate and severe OSA groups (estimated at 20–59.4%)<sup>3–5</sup>. In contrast, a few studies have shown that not all patients with OSA have a higher prediabetes risk<sup>6</sup>. The results have been inconsistent, warranting further studies.

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Currently, the association of OSA and the development of diabetes is gaining increased attention compared with traditional risk factors, such as obesity and family history. Longitudinal studies have shown that the presence of OSA is associated with an increased risk of developing diabetes, even after adjusting for adiposity<sup>7,8</sup>. However, contradictory results have been reported on the associations of OSA with prediabetes risk in previous studies, and a meta-analysis on this topic has not been published yet. Therefore, we carried out a meta-analysis of all studies that reported a relationship between OSA and prediabetes/diabetes, and compared the prevalence rates among people with and without OSA.

## MATERIALS AND METHODS

### Study selection

We identified all published studies that evaluated the association between OSA and the incidence and prevalence rates of prediabetes and diabetes. Two investigators independently selected studies published from 2011 to July 2021. Disagreements between the two reviewers were resolved by consensus and discussion with a third person. We searched electronic databases, including PubMed, EMBASE and Cochrane, using the following key terms: 'sleep apnea' or 'obstructive sleep apnea' or 'obstructive sleep apnea syndrome' or 'OSA' or 'sleep disordered breathing' or 'SDB' and 'diabetes' or 'diabetes mellitus' or 'DM' or 'prediabetes' or 'fasting glucose' or 'impaired fasting glucose' or 'IFG' or 'impaired glucose tolerance' or 'IGT' or 'impaired glucose regulation' or 'IGR' and MeSH terms [Sleep Apnea, Obstructive], [Sleep Apnea Syndromes], [Sleep Wake Disorders], [Respiration], [Glucose Metabolism Disorders], [Diabetes Mellitus, Type 2], [Diabetes Mellitus], [Glucose Intolerance] and [Prediabetic State]. Only studies carried out with human participants were included. No language restriction was imposed.

### Inclusion and exclusion criteria

We included studies in this meta-analysis that met the following inclusion criteria: (i) included patients that were divided into OSA and non-OSA (as a control) groups, and all patients were aged at least 18 years; (ii) the outcome of interest included the incidence or prevalence of prediabetes with or without type 2 diabetes mellitus; (iii) studies that presented corresponding data for calculations; (iv) the diagnosis of OSA was evaluated by a portable recorder, polysomnography or International Classification of Diseases codes; and (v) the diagnosis of prediabetes and type 2 diabetes mellitus was based either on a 75-g oral glucose tolerance test or a physician diagnosis with the use of antidiabetic medications.

We excluded articles that: (i) only used self-reported parameters, such as snoring or Epworth Sleepiness Scale to assess OSA; (ii) only used questionnaires or self-reported events to define prediabetes or type 2 diabetes mellitus; (iii) lacked data crucial to our analysis; (iv) were reviews, commentaries or letters; and (v) included pregnant or lactating women.

### OSA assessment

The presence of OSA was classified according to included studies, except for the self-reported diagnosis of OSA. The major indicator for OSA severity was the apnea-hypopnea index (AHI). The AHI was defined as the number of apneas and hypopneas per hour of sleep. Patients were classified as no (AHI <5), mild (AHI of 5–14.9), moderate (AHI of 15–30) or severe (AHI  $\geq$ 30) OSA<sup>9</sup>. Therefore, we further analyzed the association of OSA with type 2 diabetes mellitus according to AHI severity.

### Diabetes mellitus assessment

The presence of prediabetes and diabetes was also based on included studies according to the American Diabetes Association or World Health Organization criteria. Diagnostic methods include 75-g oral glucose tolerance test, fasting plasma glucose, hospital admissions records and with or without the use of oral medications/insulin.

### Data extraction

Data extraction was carried out by two investigators. Disagreement was resolved with a third investigator (Jin Tan). We collected the following factors using a standardized data extraction method: leading authors, publication year, country of origin, sample size, mean age, mean body mass index, number of men and women, assessment of OSA, assessment of diabetes and/or prediabetes, duration of follow up, amount of case/total, quality assessment, and adjustment factors.

### Quality assessment

We used the Newcastle–Ottawa Scale<sup>10</sup> for methodological quality assessment of the cohort studies. Two investigators evaluated each study independently and a consensus was reached with an involvement of the third investigator. We appraised three characteristics for the cohort studies: four items for the selection, one item for the comparability of study groups and three items for the outcome of interest. Each numbered item could be awarded a maximum of one star within the selection combined with outcome categories. A maximum of two stars could be given for comparability. A total score greater than six stars was considered a high-quality paper, with a maximum of nine stars.

Quality assessment of the cross-sectional and case-control studies was assessed using the Agency for Healthcare Research and Quality<sup>11</sup>. The tool evaluates the risk of bias of individual studies from selection bias, performance bias, attrition bias, detection bias and reporting bias. Each of the bias domains contains different items. Nine of these items apply to the assessment of cross-sectional and case-control studies. Each item was judged as 'yes,' 'no' or 'unclear.'

### Statistical analysis

We used statistical software (Stata 12.0; StataCorp, College Station, TX, USA) to pool data. We extracted data (events/total



**Figure 1** | Preferred Reporting Items of Systematic Reviews and Meta-Analysis (PRISMA) flow diagram showing the process of study selection. The literature search of databases yielded 1,839 records. After title/abstract and full-text screening, 25 articles were included in the systematic review and meta-analysis. OSA, obstructive sleep apnea.

from the OSA group and non-OSA group, and input into a data matrix. The odds ratio (OR) was calculated to assess the relationship between OSA and the presence of prediabetes/

diabetes. A 95% confidence interval (CI) was used to estimate the scope of the overall parameters. Heterogeneity in the included studies was evaluated using the Cochran's Q and

**Table 1** | Baseline characteristics of all studies included in the review

Author (year)	Country	n	OSA cases/ total	Non-OSA cases/total	Mean age (years)	Mean BMI (kg/m <sup>2</sup> )	Male/female	Sleep assessment	DM assessment	DM criteria	Prediabetes/ diabetes	Follow up (years)	NOS	Adjustment factors
Cohort studies n = 8														
KIM <sup>6</sup> 2013	Korea	1,344	246/625	150/719	57.7	24.8	706/638	PSG	OGTT	ADA	IFG, IGT, IGR, DM	4	9	Age, sex, alcohol use, smoking exercise, HTN
Appleton <sup>22</sup> 2015	Australia	736	41/374	25/362	59.7	28.4	736/0	PSG	FGF ≥7.0 mmol/L or HbA1c ≥6.5% or physician diagnosis or national Pharmaceutical Benefits Scheme data record	NR	T2DM	4.7	8	Age, ESS, body fat percentage, education, income, marital status, medication use, shift work, change in WC ≥ 5 cm
Kendzierska <sup>23</sup> 2014	Canada	8,678	836/6,719	1,667/1,959	CG 42.0 Mild 47.0 Mod 50.0 Severe 51.0	25.8 27.8 28.8 31.1	5,377/3,301	PSG	Ontario Diabetes Database (ODD)	ICD9-CM250	DM	5.58	9	Age, sex, BMI, WC, smoking, comorbidities, income
Liu <sup>25</sup> 2017	Taiwan	100,914	653/91,74	4,203/91,740	≥18	†	64,834/ 36,080	PSG	Inpatient diagnosis or at least three outpatient diagnoses within 1 year	ICD9-CM250	T2DM	12	7	Age, sex, metabolic factors
Negaf <sup>26</sup> 2016	USA	1,453	155/688	130/765	63	28.3	675/778	Respiratory monitoring	Physician-diagnosed diabetes or diabetes medication use	FRG ≥126 mg/dL or non-FRG of ≥200 mg/dL	DM	13	8	Age, sex, education, income, occupation, marital status, smoking, alcohol use, exercise, BMI, WC
Strausz <sup>27</sup> 2018 FINRISK	Finland	28,953	250/1,214	2,231/27,739	48.01	26.74	13,792/ 15,161	KCD codes	Hospital discharges, causes-of-deaths register or entitlement to a reimbursed diabetes medication	NR	T2DM	22	9	Age, sex, geographical area, cohort year, BMI
Strausz <sup>27</sup> 2018 H2000	Finland	6,605	45/235	411/6,370	53.8	26.9	2,940/3,665	ICD codes	Hospital discharges, causes-of-deaths register or entitlement to a reimbursed diabetes medication	NR	T2DM	14.5	9	Age, sex, geographical area, cohort year, BMI
Xu <sup>28</sup> 2019	Hong Kong	1,206	136/893	16/313	51	26.9	832/374	PSG	Physician diagnosis or glyceric indices	ADA	T2DM	7.34	9	Age, sex, BMI, bodyweight change, WC, smoking, alcohol use, family history of T2DM, ESS, comorbidities
Lindberg <sup>24</sup> 2012	Sweden	141	16/71	7/70	57.5	26.9	141/0	Respiratory monitoring	OGTT	WHO	DM	11.3	8	Age, BMI, hypertension

**Table 1.** (Continued)

Author (year)	Country	n	OSA cases/total	Non-OSA cases/total	Mean age (years)	Mean BMI (kg/m <sup>2</sup> )	Male/female	Sleep assessment	DM assessment	DM criteria	Prediabetes/diabetes	AHRQ	Adjustment factors
Cross sectional studies n = 14													
Bikoy <sup>29</sup>	Hungary	394	60/282	12/112	58	32.5	288/106	PSG	Blood samples	NR	DM	7	Age, sex, BMI, ESS
2020													
Bozkurt <sup>30</sup>	Turkey	47	23/143	1/47	CG 42.79 ± 9.55 Mild 47.78 ± 10.35 MCD 49.79 ± 10.62 severe 49.66 ± 10.38	29.24 ± 9.55 29.03 ± 4.12 30.76 ± 5.09 33.41 ± 4.64	141/96	PSG	OGTT	ADA	IGR, T2DM	6	Sex, AHI
2012													
Bulcun <sup>31</sup>	Turkey	131	16/112	0/19	CG 45.89 ± 9.6 OSA 48.0 ± 10.1	28.8 ± 4.1 30.9 ± 4.6	101/30	PSG	OGTT	WHO	IFG, IGT, T2DM	6	Age, sex, BMI, severity of OSA, arousal index, drowsiness
2012													
El <sup>32</sup>	Italy	163	83/126	17/37	CG 41.3 OSA 48.2	40 45.4	NR	PSG	OGTT	NR	IGT	5	NR
2016													
Feng <sup>33</sup>	China	180	78/140	12/40	45.4 ± 10.5	27.5 ± 4.1	162/18	PSG	OGTT	WHO	IGR, T2DM	7	Neck circumference
2015													
Fredheim <sup>34</sup>	Norway	137	71/84	27/53	43	46.9	36/101	Portable monitors	OGTT	ADA	IGR, T2DM	8	Age, sex, BMI, WC, neck circumference, WHR, HOMA1R, hs-CRP
2011													
Gasa <sup>35</sup>	Spain	159	52/115	14/44	43 ± 10	46.1 ± 5.8	44/115	PSG	OGTT	DM: FPG ≥11.1 mmol/L IGT: FPG 7.8–11.1 mmol/L	IGT, T2DM	8	Age, sex, smoking, BMI, WC
2011													
Giordini <sup>36</sup>	Italy	98	7/54	7/44	PM CG 37.5 ± 9.5 PM OSA 41.7 ± 8.0 M CG 58.5 ± 8.4 M OSA 62.9 ± 6.1	36.0 ± 3.4 39.5 ± 4.8 33.5 ± 3.3 36.8 ± 3.7	0/98	PSG	OGTT	ADA	IFG, IGT	6	Age, BMI, WHR, NHR, FM/FFM
2013													
Gu <sup>37</sup>	China	179	59/120	15/59	CG 26.25 ± 10.98 mild to MOD 35.17 ± 14.68 severe 40.56 ± 13.52	34.19 ± 5.65 31.92 ± 5.42 33.89 ± 6.55	138/41	PSG	OGTT	WHO	IFG, IGT, DM	8	Age, sex, BMI, smoking, alcohol use
2013													
Gu <sup>38</sup>	China	106	13/59	2/47	CG 23.1 ± 6.2 OSA 26.0 ± 7.1	36.0 ± 4.7 37.2 ± 5.3	74/32	PSG	OGTT	WHO	T2DM	7	Age, sex, BMI, hypertension
2016													
Hasan <sup>39</sup>	India	290	138/234	13/56	CG 52 ± 8 OSA 54 ± 11	29 ± 4 36 ± 6	225/65	PSG	medical questionnaire or physician	NR	DM	7	BMI, hypertension, smoking, alcohol use
2012													
Li <sup>40</sup>	China	422	107/257	40/165	27.77 ± 7.51	34.84 ± 5.69	261/161	PSG	OGTT	IFG: FPG ≥6.1 mmol/L and <7.0 mmol/L, and 2hPG >7.8 mmol/L; IGT: FPG <6.1 mmol/L and 2hPG ≥7.8 mmol/L and <11.1 mmol/L; IGR: FPG ≥6.1 mmol/L and <7.0 mmol/L, and 2hPG ≥7.8 mmol/L and <11.1 mmol/L	IFG, IGT, IGR	7	Age, sex, BMI, neck circumference, smoking
2020													
Michalek <sup>41</sup>	Poland	102	18/85	4/17	53.02 ± 12.37	30.50 ± 6.29	71/31	PSG	Blood samples	NR	IFG, IGT	6	Age, AHI, ODI, BMI
2021													
Togeiros <sup>42</sup>	Brazil	1042	173/396	139/646	CG 37.3 ± 12.3 Mild 48.3 ± 13.6 MOD 53.3 ± 13.3	25.3 ± 4.4 28.3 ± 5.2 30.3 ± 6.0	466/576	PSG	Blood samples	DM: FPG ≥126 mg/dL, use of diabetes medications or a previous diagnosis of diabetes; IFG: glucose serum value was ≥100 mg/dL	IFG, T2DM	8	Age, sex, abdominal obesity (WC ≥88 cm for women and ≥102 cm for men), total sleep time
2013													

**Table 1.** (Continued)

Author (year)	Country	n	OSA cases/total	Non-OSA cases/total	Mean age (years)	Mean BMI (kg/m <sup>3</sup> )	Male/female	Sleep assessment	DM assessment	DM criteria	Prediabetes/diabetes	AHRQ	Adjustment factors
Case-control studies n = 3													
Bazic <sup>3</sup>	Croatia	76	24/56	3/20	CG 52.5 ± 9.0 MOD 54.1 ± 10.9	28.3 ± 3.1 28.8 ± 2.6	76/0	PSG	OGTT	ADA	IFG, IGT	8	Subjects in both groups have similar BMI and abdominal circumference.
2016					Severe 51.2 ± 11.8	29.8 ± 3.1							
Papaioannou <sup>43</sup>	UK	105	37/68	12/37	CG 45	28	NR	PSG	OGTT	ADA	IGT	7	Age, BMI, AHI
2011					OSA 49	30							
Silva <sup>44</sup>	Brazil	120	12/85	2/35	CG 36 ± 9	24 ± 3	59/61	PSG	Blood samples	FPG ≥ 126 mg/dL, use of DM medications.	DM	8	Age, sex, BMI, WC
2018					OSA 47 ± 9	28 ± 4							

Baseline characteristics of the included studies. <sup>†</sup>BMI not measured in the original text, obesity-related cardiometabolic variables were used. 2hPG, 2-h plasma glucose; ADA, American Diabetes Association; AHI, apnea hypopnea index; BMI, body mass index; CG, control group; CVD, cardiovascular disease; DM, diabetes; ESS, Epworth Sleepiness Scale; FM/FM, fat mass/fat free mass ratio; FPG, fasting glucose; HbA1c, glycated hemoglobin; HOMA-IR, homeostasis model assessment of insulin resistance; hs-CRP, high sensitivity CRP; HTN, hypertension; ICD codes, International Classification of Diseases; IFG, impaired fasting glucose; IGT, impaired glucose regulation; IGT, impaired glucose tolerance; ISI, insulin sensitivity index; M, menopausal women; MOD, moderate; NHR, neck/height ratio; NOS, Newcastle-Ottawa Scale; NR, not reported; ODI, oxygen de-saturation index; OGTT, oral glucose tolerance test; OSA, obstructive sleep apnea; PBG, post-load glucose; PM, premenopausal women; PSG, polysomnography; T2DM, type 2 diabetes; VFA, visceral fat area; WC, waist circumference; WHO, World Health Organization; WHR, waist-to-hip ratio.

corresponding *P*-value, and a substantial level of heterogeneity was evaluated by the *I*<sup>2</sup> statistic. The studies were homogeneous if *I*<sup>2</sup> was <50% and *P* > 0.05, so a fixed effects model was reported. In contrast, if *I*<sup>2</sup> was ≥50% and *P* < 0.05, a random effects model was reported<sup>12</sup>.

Sensitivity analysis was carried out by removing each study in turn to re-estimate the effect size and its contribution. General funnel plots and Egger tests<sup>13,14</sup> were used to evaluate publication bias. A *P*-value ≤0.05 was considered statistically significant.

**RESULTS**

**Study characteristics**

We identified 3,436 studies from the PubMed, EMBASE and Cochrane databases. A total of 1,701 studies were excluded after reviewing titles/abstracts or because they were duplicates. The remaining 1,735 studies were further screened, and 32 were selected for full-text review. In the full-text assessment for final inclusion, seven studies were excluded because: (i) data could not be extracted (*n* = 1)<sup>15</sup>; (ii) the outcome was accessed only by self-reports or questionnaires (*n* = 2)<sup>16,17</sup>; and (iii) different definition criteria (*n* = 4)<sup>18–21</sup>. Finally, 25 studies with a total of 154,948 participants met our inclusion criteria (Figure 1). The summary characteristics of all included studies in the present meta-analysis are listed in Table 1. Eight were cohort studies<sup>6,22–28</sup>, 14 were cross-sectional studies<sup>29–42</sup> and three were case-control studies<sup>3,43,44</sup>. A meta-analysis of each study design was carried out separately. The range of enrollment periods for the included studies was 2011–2021. The sample size ranged widely from 76 to 100,914. Regarding study classifications, most of the studies included both female and male participants, except for three studies that included only men<sup>3,22,24</sup> and one study that included only women<sup>36</sup>. Eight studies reported OSA and IFG risk<sup>3,6,31,36,37,40–42</sup>, 10 reported OSA and IGT risk<sup>3,6,31,32,35–37,40,41,43</sup>; five reported IFG and/or IGT risk<sup>6,30,33,34,40</sup>; and 19 reported OSA and type 2 diabetes mellitus risk<sup>6,22–31,33–35,37–39,42,44</sup>. Eight studies reported an association of OSA with type 2 diabetes mellitus according to AHI severity<sup>23,26,28–30,33,34,39</sup>.

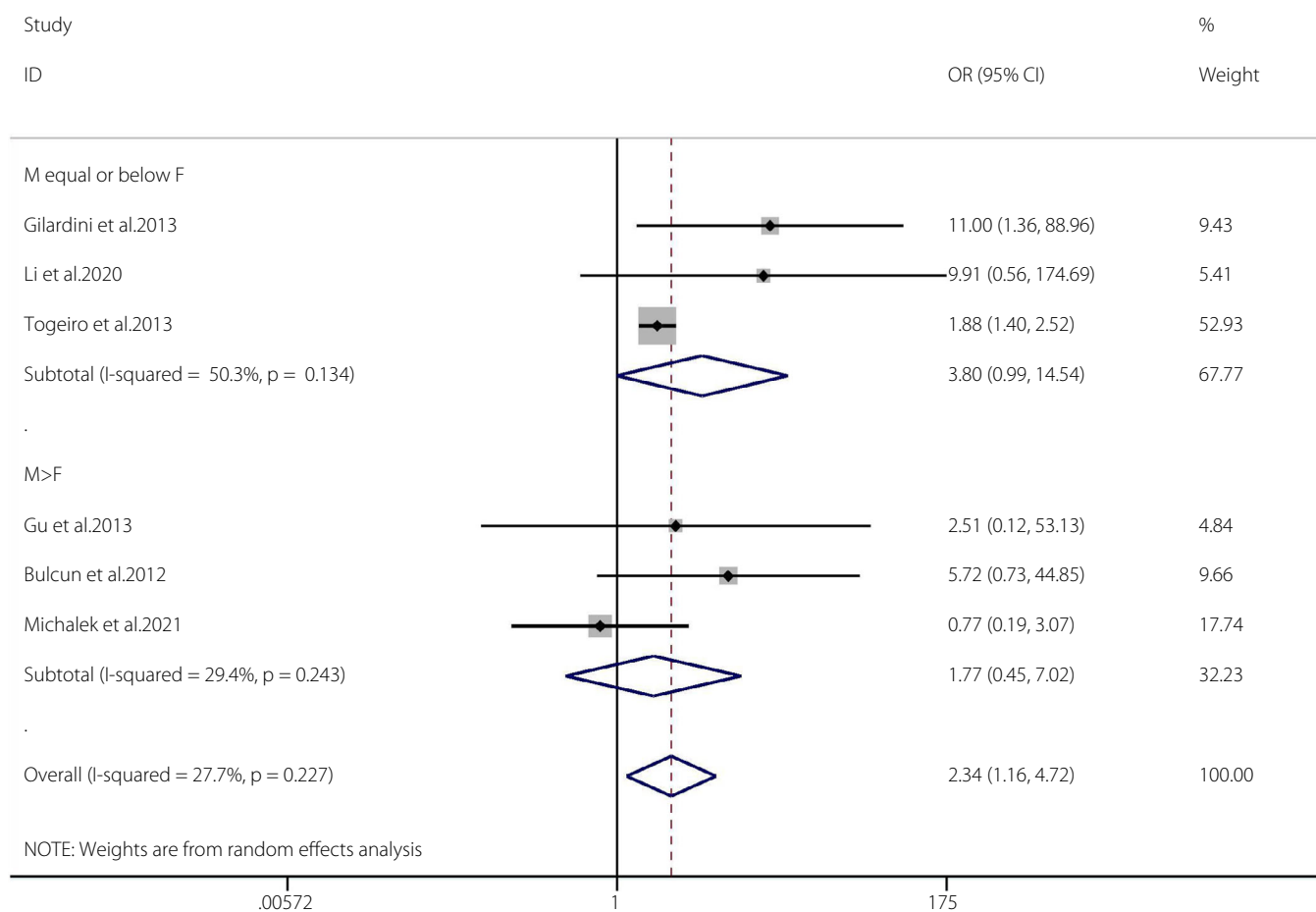
**Association of obstructive sleep apnea with prediabetes**

A meta-analysis for each category (IFG, IGT, IFG + IGT) was carried out separately.

*Obstructive sleep apnea with impaired fasting glucose*

Eight articles<sup>3,6,31,36,37,40–42</sup> met the required criteria (OSA *n* = 1,705; non-OSA *n* = 1,689). Because there was only one cohort and one case-control study, a meta-analysis was carried out for the six cross-sectional studies<sup>31,36,37,40–42</sup>.

The pooled OR of OSA and the prevalence of IFG was 2.34 (95% CI 1.16–4.72, *I*<sup>2</sup> = 27.7%, *P* = 0.227). There was low heterogeneity in the meta-analysis of overall events, suggesting a consistent disease effect (Figure 2). The six studies were further divided into two groups according to the proportions of men



**Figure 2** | Obstructive sleep apnea and the prevalence of impaired fasting glucose. A forest plot illustrating the meta-analysis results of the prevalence of impaired fasting glucose in people with obstructive sleep apnea and non-obstructive sleep apnea. CI, confidence interval; F, female; M, male.

and women (male  $\leq$  female groups and male  $>$  female groups). The pooled OR of male  $\leq$  female groups ( $n = 3$ ) and the prevalence of IFG was 3.80 (95% CI 0.99–14.54,  $I^2 = 50.3\%$ ,  $P = 0.134$ ). The pooled OR of male  $>$  female groups ( $n = 3$ ) and the prevalence of IFG was 1.77 (95% CI 0.45–7.02,  $I^2 = 29.4\%$ ,  $P = 0.243$ ). Although there were some signs of asymmetry in the funnel plot<sup>45</sup> (Figure S1), the Egger ( $P = 0.314$ ) tests showed no publication bias<sup>45</sup> (Figure S2).

#### OSA with IGT

A total of 10 articles<sup>3,6,31,32,35–37,40,41,43</sup> met the required criteria (OSA  $n = 1618$ ; non-OSA  $n = 1,161$ ). Because there was only one cohort and two case–control studies, a meta-analysis was carried out for the seven cross-sectional studies<sup>31,32,35–37,40,41</sup>.

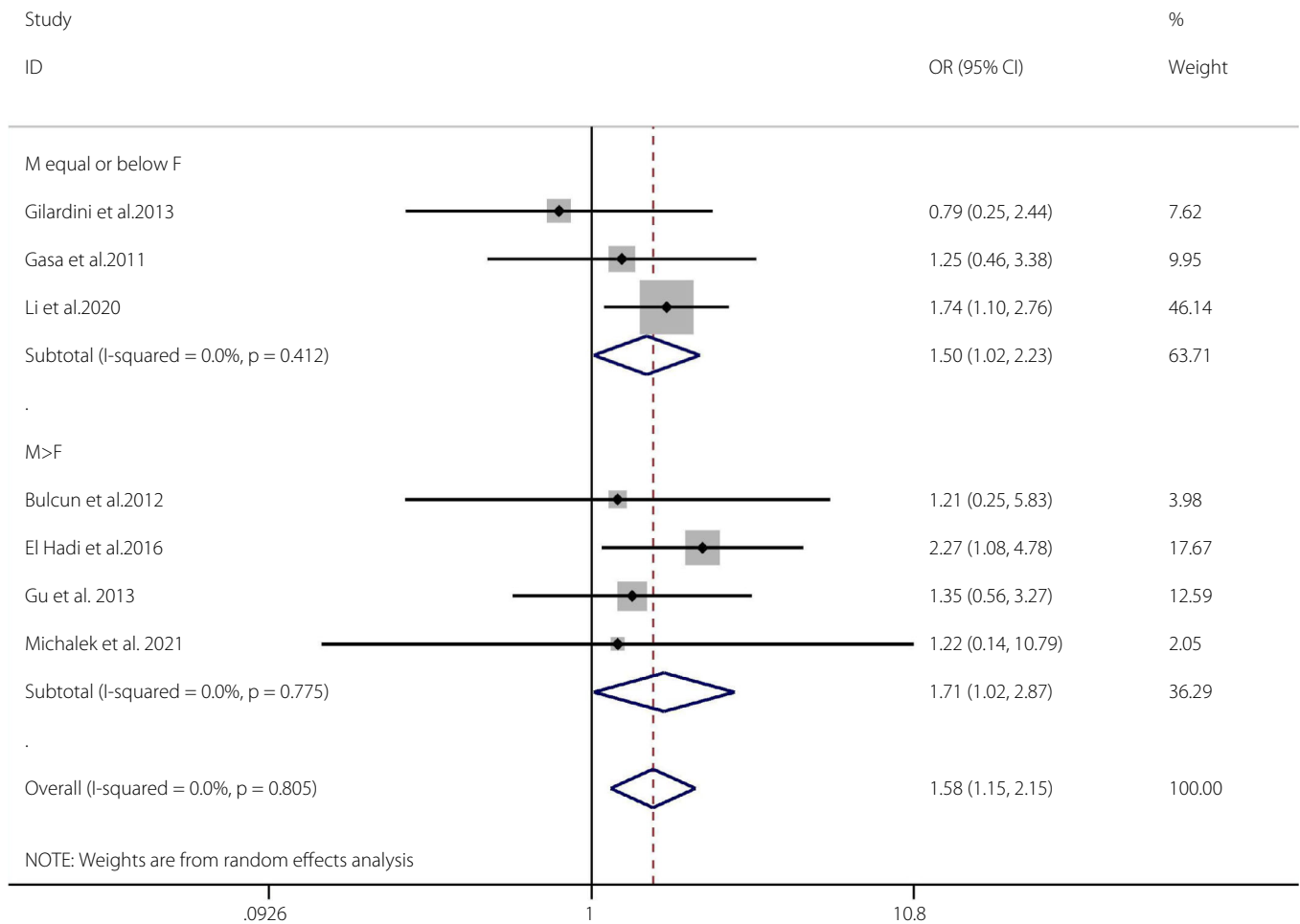
The pooled OR of OSA and the prevalence of IGT was 1.58 (95% CI 1.15–2.15,  $I^2 = 0\%$ ,  $P = 0.805$ ). There was no heterogeneity in the meta-analysis of overall events, suggesting a consistent disease effect (Figure 3). The seven studies were further divided into two groups according to the proportions of men

and women (male  $\leq$  female groups and male  $>$  female groups). The pooled OR of male  $\leq$  female groups ( $n = 3$ ) and the prevalence of IGT was 1.50 (95% CI 1.02–2.23,  $I^2 = 0\%$ ,  $P = 0.412$ ). The pooled OR of male  $>$  female groups ( $n = 4$ ) and the prevalence of IGT was 1.71 (95% CI 1.02–2.87,  $I^2 = 0\%$ ,  $P = 0.775$ ). The funnel plot<sup>45</sup> (Figure S3) and the Egger ( $P = 0.165$ ) tests showed no publication bias<sup>45</sup> (Figure S4).

#### OSA with impaired glucose regulation

Five articles<sup>6,30,33,34,40</sup> met the required criteria (OSA:  $n = 1,249$ ; non-OSA:  $n = 1,024$ ). Because there was only one cohort, a meta-analysis was carried out for the four cross-sectional studies<sup>30,33,34,40</sup>.

The OR of OSA and the prevalence of impaired glucose regulation (IGR) was 1.65 (95% CI 1.12–2.42,  $I^2 = 0\%$ ,  $P = 0.859$ ). There was no heterogeneity in the meta-analysis of overall events, suggesting a consistent disease effect (Figure 4). The four studies were further divided into two groups according to



**Figure 3** | Obstructive sleep apnea and the prevalence of impaired glucose tolerance. A forest plot illustrating the meta-analysis results of the prevalence of impaired glucose tolerance in people with obstructive sleep apnea and non-obstructive sleep apnea. CI, confidence interval; F, female; M, male.

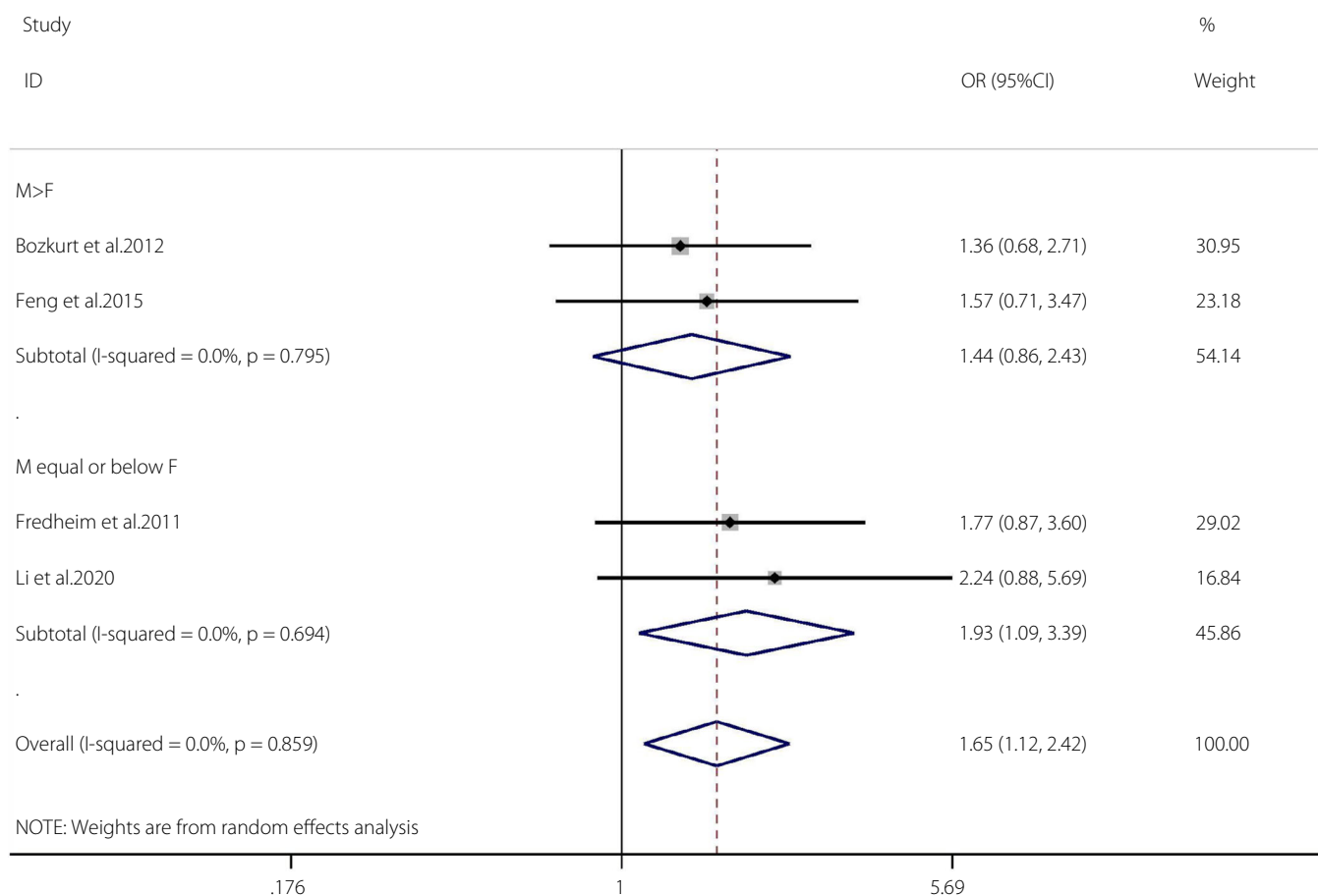
the proportions of men and women (male ≤ female groups and male > female groups). The pooled OR of male ≤ female groups ( $n = 2$ ) and the prevalence of IGR was 1.93 (95% CI 1.09–3.39,  $I^2 = 0\%$ ,  $P = 0.694$ ). The pooled OR of male > female groups ( $n = 2$ ) and the prevalence of IGR was 1.44 (95% CI 0.86–2.43,  $I^2 = 0\%$ ,  $P = 0.795$ ). The funnel plot<sup>45</sup> (Figure S5) and the Egger ( $P = 0.213$ ) tests showed no publication bias<sup>45</sup> (Figure S6).

**OSA and diabetes mellitus risk**

A total of 19 studies<sup>6,22–31,33–35,37–39,42,44</sup> that evaluated OSA and the incidence of diabetes were included in the present meta-analyses (OSA  $n = 49,925$ ; non-OSA  $n = 417,175$ ). Because eight were cohort studies<sup>6,22–28</sup>, 10 were cross-sectional studies<sup>29–31,33–35,37–39,42</sup> and one was a case–control<sup>44</sup> study, the meta-analysis was mainly carried out using the eight cohort and 10 cross-sectional studies.

The pooled OR of OSA and the incidence of type 2 diabetes mellitus in the cohort studies was 2.15 (95% CI 1.68–2.75,  $I^2 = 90.4\%$ ,  $P < 0.001$ ; Figure 5). We carried out a sensitivity analysis, and the outcome was unchanged when any study was excluded from the meta-analysis. Then, we checked the design of each study and found that the assessment of OSA was carried out in participants’ homes rather than at a sleep laboratory in the study by Naga *et al.*<sup>26</sup>; patients were selected from a medical center rather than a community in the study by Kend *et al.*<sup>23</sup>, and individuals matched by sex and year were chosen as a control group in the study by Liu *et al.*<sup>25</sup>. The pooled results become homogeneous after removing these studies (OR 2.77, 95% CI 2.35–3.27; random effects model). Thus, we considered this the source of heterogeneity. Eight studies were further divided into two groups according to the proportions of men and women (male ≤ female groups and male > female groups). The pooled OR of male ≤ female groups ( $n = 3$ ) and





**Figure 4** | Obstructive sleep apnea and the prevalence of impaired glucose regulation. A forest plot illustrating the meta-analysis results of the prevalence of impaired glucose regulation in people with obstructive sleep apnea and non-obstructive sleep apnea. CI, confidence interval; F, female; M, male.

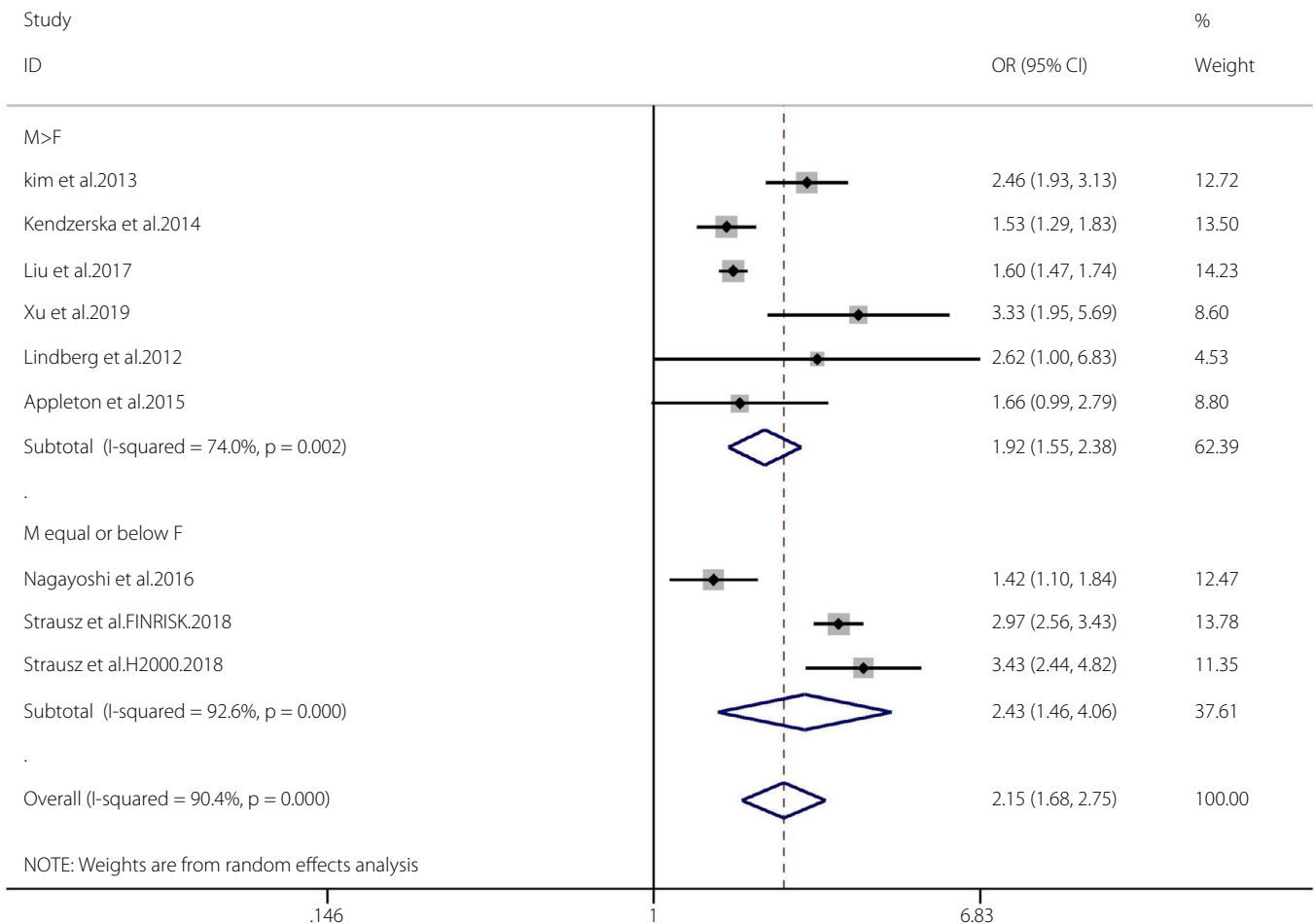
the incidence of diabetes mellitus was 2.43 (95% CI 1.46–4.06,  $I^2 = 92.6\%$ ,  $P < 0.001$ ). The pooled OR of male > female groups ( $n = 6$ ) and the incidence of diabetes mellitus was 1.92 (95% CI 1.55–2.38,  $I^2 = 74\%$ ,  $P = 0.002$ ). The Egger tests ( $P = 0.213$ ) showed no publication bias<sup>45</sup> (Figures S7, S8).

The pooled OR of OSA and the prevalence of diabetes mellitus in the cross-sectional studies was 3.62 (95% CI 2.75–4.75,  $I^2 = 0\%$ ,  $P = 0.472$ ). There was no heterogeneity in the meta-analysis of overall events, suggesting a consistent disease effect (Figure 6). A total of 10 studies were further divided into two groups according to the proportions of men and women (male  $\leq$  female groups and male > female groups). The pooled OR of male  $\leq$  female groups ( $n = 3$ ) and the prevalence of diabetes mellitus was 3.21 (95% CI 1.73–5.96,  $I^2 = 53.2\%$ ,  $P = 0.118$ ). The pooled OR of male > female groups ( $n = 7$ ) and the prevalence of DM was 3.65 (95% CI 2.50–5.32,  $I^2 = 0\%$ ,  $P = 0.627$ ). The Egger tests ( $P = 0.314$ ) showed no publication bias<sup>45</sup> (Figures S9, S10).

#### Subgroup analyses

Subgroup analyses were carried out to assess the relationships between OSA severity and the prevalence or incidence of diabetes mellitus. Eight studies divided OSA participants into mild (AHI of 5–14.9), moderate (AHI of 15–30) and severe (AHI  $\geq 30$ ) groups<sup>23,26,28–30,33,34,39</sup>. Three were cohort studies<sup>23,26,28</sup>, and five were cross-sectional studies<sup>29,30,33,34,39</sup>; a meta-analysis for each study type was carried out separately.

In the cohort studies, the pooled OR of the mild OSA group versus the control group and the incidence of type 2 diabetes mellitus was 1.25 (95% CI 1.06–1.48,  $I^2 = 0\%$ ,  $P = 0.416$ ). The pooled OR of the moderate OSA group versus the control group and the incidence of type 2 diabetes mellitus was 1.76 (95% CI 1.16–2.67,  $I^2 = 72.3\%$ ,  $P = 0.027$ ). The pooled OR of the severe OSA group versus the control group and the incidence of type 2 diabetes mellitus was 2.73 (95% CI 1.72–4.33,  $I^2 = 74.2\%$ ,  $P = 0.021$ ; Figure 7). The Egger tests ( $P = 0.136$ ) showed no publication bias<sup>45</sup> (Figures S11, S12).



**Figure 5** | Obstructive sleep apnea and the incidence of diabetes mellitus in cohort studies. A forest plot illustrating the meta-analysis results of the incidence of diabetes mellitus in people with obstructive sleep apnea and non-obstructive sleep apnea. CI, confidence interval; F, female; M, male.

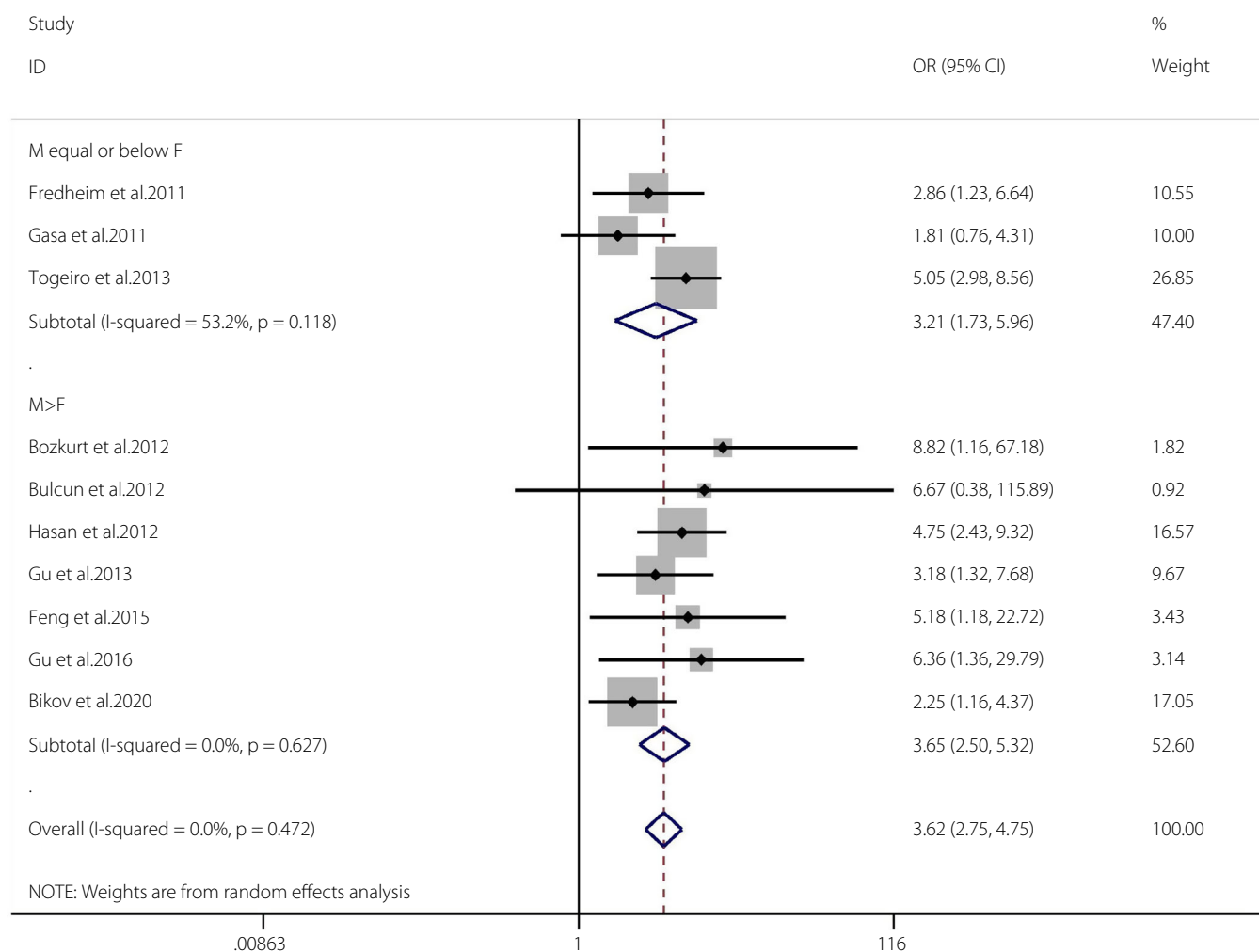
In the cross-sectional studies, the pooled OR of the mild OSA group versus the control group and the prevalence of type 2 diabetes mellitus was 2.88 (95% CI 1.55–5.36,  $I^2 = 38.3\%$ ,  $P = 0.166$ ). The pooled OR of the moderate OSA group versus the control group and the prevalence of type 2 diabetes mellitus was 3.08 (95% CI 1.90–5.01,  $I^2 = 0\%$ ,  $P = 0.792$ ). The pooled OR of the severe OSA group versus the control group and the prevalence of type 2 diabetes mellitus was 4.12 (95% CI 2.56–6.65,  $I^2 = 0\%$ ,  $P = 0.478$ ; Figure 8). The Egger tests ( $P = 0.241$ ) showed no publication bias<sup>45</sup> (Figures S13, S14).

## DISCUSSION

This is the first meta-analysis of the association between OSA and prediabetes/diabetes risk to date. We collected data from 25 studies, including >100,000 participants. All included studies used gold standards for diagnosing OSA and prediabetes/diabetes. Furthermore, all of the included studies used AHI as the

major indicator of OSA severity; therefore, the cut-off values for the definitions of OSA severity were consistent. For prediabetes, a significant association was identified between OSA and IFG, IGT, and IGR, with low or no heterogeneity. For diabetes, the current meta-analysis results showed that OSA was associated with increased diabetes risk in the cross-sectional or cohort studies. Advanced studies that included subgroup analyses showed that differences remained significant when unified by sex. Thus, the main results of the present analysis show that OSA is associated with an increased prevalence of prediabetes/diabetes. Among the patients with OSA, the prevalence of diabetes seemed to increase with increased AHI.

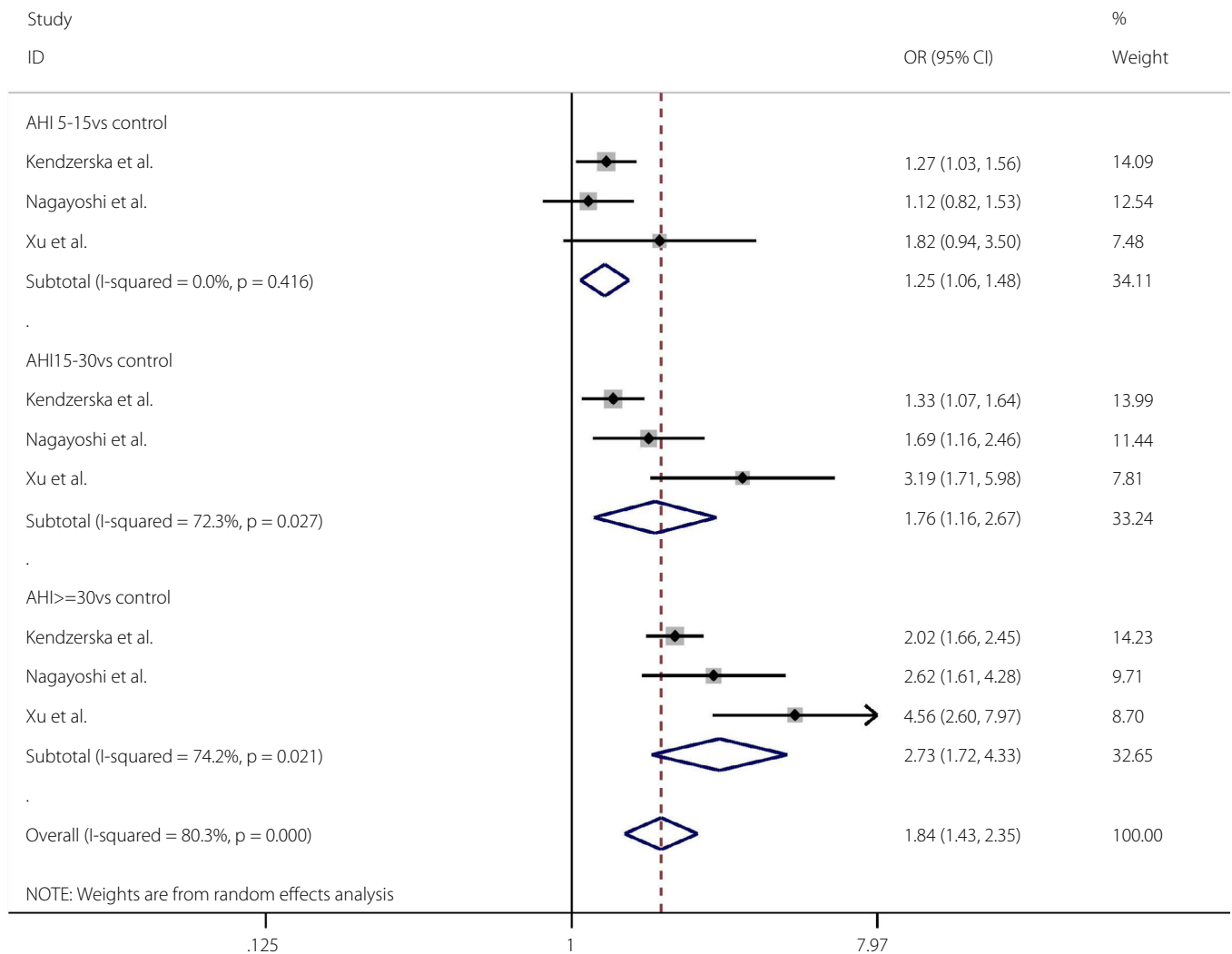
The novelty and strength of the current study were that we focused on the progression of diabetes, emphasized the importance of prediabetes, and first identified the links between OSA and prediabetes. Compared with previous meta-analyses<sup>46</sup>, the current study analyzed articles from the past 10 years (2011–2021); therefore, the results are relatively new. Furthermore, our



**Figure 6** | Obstructive sleep apnea and the incidence of diabetes mellitus in cross-sectional studies. A forest plot illustrating the meta-analysis results of the prevalence of diabetes mellitus in people with obstructive sleep apnea and non-obstructive sleep apnea. CI, confidence interval; F, female; M, male.

inclusion criteria were relatively strict to prevent interference from different diagnostic criteria or methods. For example, the study excluded patients diagnosed by 75-g oral glucose tolerance test or blood sampling only. OSA had to be diagnosed by polysomnography or International Classification of Diseases codes, and OSA severity had to be measured by AHI, which makes the results more reliable than studies that used only self-reported diagnostic methods. In addition, sources of heterogeneity were assessed by sensitivity analysis, and the publication bias was also evaluated. Furthermore, all types of observational studies were included, and the meta-analysis for each study design was carried out separately. Sex was a major confounding factor both in the development of diabetes and evaluation of OSA; therefore, we carried out subgroup analyses. Finally, the association of OSA severity with diabetes risk was further analyzed according to AHI severity.

The limitations of the current meta-analysis must be considered. First, the cross-sectional nature of the included studies might have prevented any definitive causal inferences between OSA and prediabetes/diabetes. However, the present results suggest that prediabetes/diabetes are more frequent in patients with OSA, and that these patients should be routinely screened. Second, most of the cross-sectional studies recruited participants from specialized clinics, which limits the generalizability of their findings. Third, there were not enough cohort studies regarding OSA and different categories of prediabetes to assess whether OSA confers a greater future risk of developing prediabetes. In addition, no studies evaluated whether OSA affected the rate of progression of prediabetes to type 2 diabetes mellitus, which also provides the basis for future research. Fifth, because some articles are grouped by age range, and some use the average value, subgroup analyses

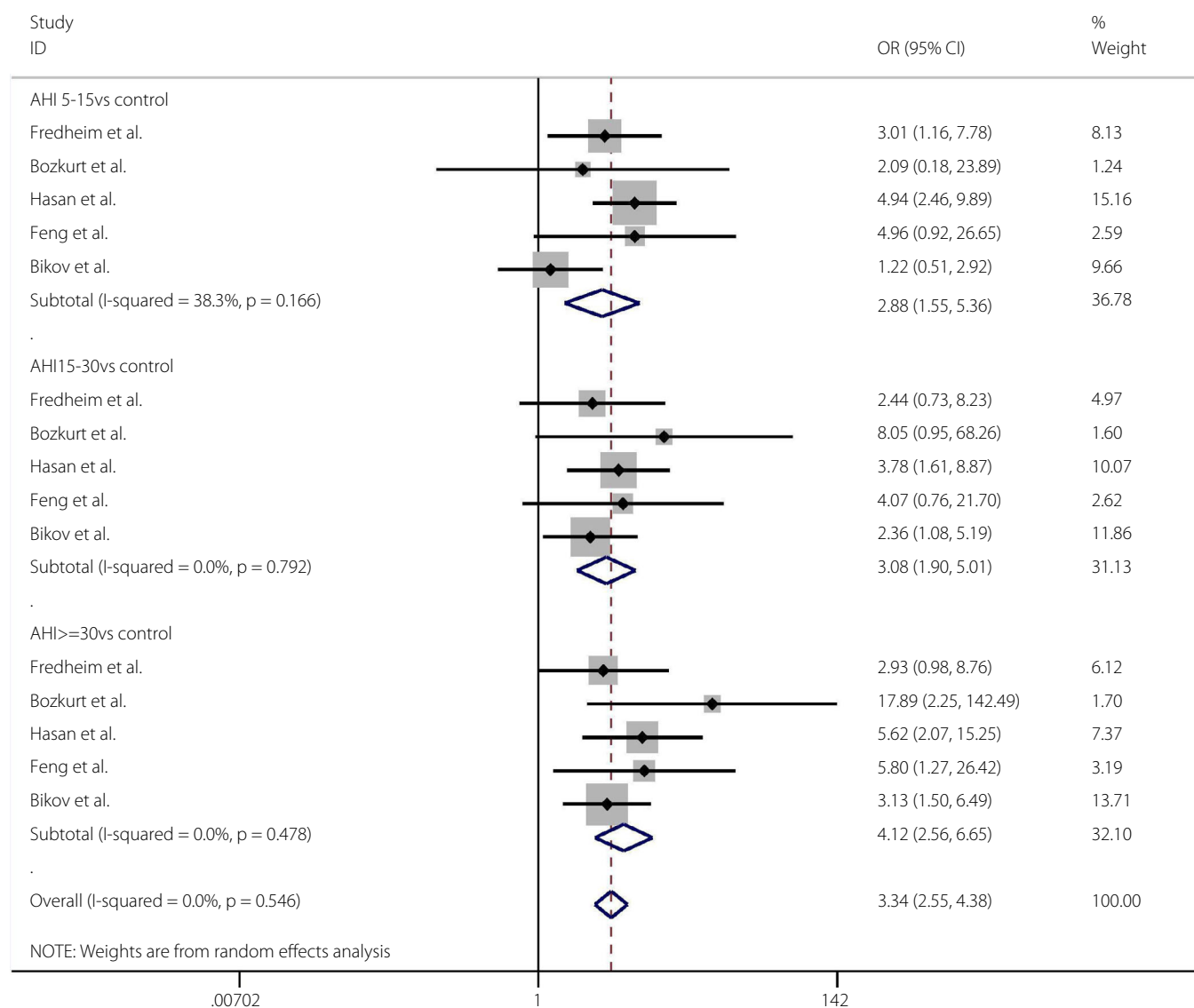


**Figure 7** | Obstructive sleep apnea severity and the incidence of diabetes mellitus. A forest plot showing the meta-analysis results of the incidence of diabetes mellitus in people with the severity of obstructive sleep apnea. AHI, apnea-hypopnea index; CI, confidence interval; F, female; M, male.

were not carried out. Similarly, the weight range of the participants was inconsistent, including those for obese and normal-weight people, and the distribution standards of obesity were inconsistent. Therefore, we were unable to carry out subgroup analyses of obesity-related factors. However, most studies adjusted for obesity-related factors, such as body mass index and waist circumference. The results showed that OSA remained a high-risk factor for prediabetes/diabetes after adjusting for obesity.

Given that the incidence of prediabetes is increasing at an alarming rate<sup>47</sup>, it is crucial to halt the progression from prediabetes to diabetes and focus on patients with prediabetes as the key to preventing diabetes. Early screening and interventions can significantly reduce the incidence of diabetes. Insulin resistance and impaired pancreatic  $\beta$ -cell function are the two main

features involved in the pathogenesis of type 2 diabetes mellitus<sup>48</sup>. As the first-line treatment for symptomatic OSA, continuous positive airway pressure treatment could help improve this question<sup>49</sup>, as it has been showed that OSA has a causal relationship with abnormal glucose tolerance. Furthermore, OSA is an independent risk factor for cardiovascular disease<sup>50</sup>. Patients with multiple sleepiness-related symptoms and very high Epworth Sleepiness Scale scores are more likely to have cardiovascular consequences because of their OSA<sup>50</sup>. The present findings support an association of OSA with the presence of prediabetes/diabetes, and suggest that healthcare providers working in the fields of diabetes and OSA should screen patients presenting with one condition for the presence of the other. Early intervention can prevent both diabetic and cardiovascular events.



**Figure 8** | Obstructive sleep apnea severity and the prevalence of diabetes mellitus. A forest plot showing the meta-analysis results of the prevalence of diabetes mellitus in people with the severity of obstructive sleep apnea. AHI, apnea-hypopnea index; CI, confidence interval; F, female; M, male.

In conclusion, the present study provides further evidence that OSA is closely correlated with prediabetes and diabetes risk; the prevalence of prediabetes/diabetes in patients with OSA was higher than that of patients without OSA. Further studies are required to evaluate whether an early diagnosis of OSA in populations with prediabetes/diabetes and dual-disease management reduces morbidity in this growing segment of the population.

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#### DISCLOSURE

The authors declare no conflict of interest.

Approval of the research protocol: N/A.

Informed consent: N/A.

Approval date of registry and the registration no. of the study/trial: N/A.

Animal studies: N/A.

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## SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Figure S1** | Funnel plots of obstructive sleep apnea and impaired fasting glucose risk.

**Figure S2** | Egger's test of obstructive sleep apnea and impaired fasting glucose risk.

**Figure S3** | Funnel plots of obstructive sleep apnea and impaired glucose tolerance risk.

**Figure S4** | Egger's test of obstructive sleep apnea and impaired glucose tolerance risk.

**Figure S5** | Funnel plots of obstructive sleep apnea and impaired glucose regulation risk.

**Figure S6** | Egger's test of obstructive sleep apnea and impaired glucose regulation risk.

**Figure S7** | Funnel plots of obstructive sleep apnea and diabetes mellitus risk.

**Figure S8** | Egger's test of obstructive sleep apnea and diabetes mellitus risk.

**Figure S9** | Funnel plots of obstructive sleep apnea and diabetes mellitus risk.

**Figure S10** | Egger's test of obstructive sleep apnea and impaired fasting glucose risk.

**Figure S11** | Funnel plots of obstructive sleep apnea severity and the incidence of diabetes mellitus.

**Figure S12** | Egger's test of obstructive sleep apnea severity and the incidence of diabetes mellitus.

**Figure S13** | Funnel plots of obstructive sleep apnea severity and the prevalence of diabetes mellitus.

**Figure S14** | Egger's test of obstructive sleep apnea severity and the prevalence of diabetes mellitus.

**Table S1** | Methodological quality of the selected cohort studies according to the Newcastle–Ottawa Scale.

**Table S2** | Methodological quality of the selected cross-sectional and case control studies according to the Agency for Healthcare Research and Quality.