#### **RESEARCH ARTICLE**



# An explainable machine learning model of cognitive decline derived from speech

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## Abstract

**INTRODUCTION:** Traditional Alzheimer's disease (AD) and mild cognitive impairment (MCI) screening lacks the sensitivity and timeliness required to detect subtle indicators of cognitive decline. Multimodal artificial intelligence technologies using only speech data promise improved detection of neurodegenerative disorders.

**METHODS:** Speech collected over the telephone from 91 older participants who were cognitively healthy (n = 29) or had diagnoses of AD (n = 30) or amnestic MCI (aMCI; n = 32) was analyzed with multimodal natural language and speech processing methods. An explainable ensemble decision tree classifier for the multiclass prediction of cognitive decline was created.

**RESULTS:** This approach was 75% accurate overall—an improvement over traditional speech-based screening tools and a unimodal language-based model. We include a dashboard for the examination of the results, allowing for novel ways of interpreting such data.

**DISCUSSION:** This work provides a foundation for a meaningful change in medicine as clinical translation, scalability, and user friendliness were core to the methodologies.

#### KEYWORDS

Alzheimer's disease, cognitive screening, MCI, multimodal machine learning, NLP

#### Highlights

- Remote assessments and artificial intelligence (AI) models allow greater access to cognitive decline screening.
- Speech impairments differ significantly between mild AD, amnestic mild cognitive impairment (aMCI), and healthy controls.
- Al predictions of cognitive decline are more accurate than experts and standard tools.
- The AI model was 75% accurate in classifying mild AD, aMCI, and healthy controls.

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#### 1 | BACKGROUND

Early detection of cognitive decline in neurodegenerative disorders is urgently needed, with an estimated 153 million people worldwide affected by 2050.<sup>1</sup> Techniques requiring skilled medical professionals and well-resourced centers enable early detection (e.g., positron emission tomography [PET] scans, cerebrospinal fluid [CSF] markers, and structural magnetic resonance imaging [MRI]); however these methods are expensive, rarely available outside of large centers in wealthy countries, and require in-person attendance. Indeed, expanding access to diagnostics can ensure heightened equity in health care. A low-cost and remote diagnostic assay based on everyday speech collected over the telephone could provide a highly accessible screening method for neurodegenerative disorders.

Speech expression and content reflect the functioning of numerous cognitive processes including attention and memory.<sup>2</sup> Verbal communication involves various nuanced tasks: the formation of ideas, translation of thoughts into language, and articulation of utterances.<sup>3</sup> Advances in computational linguistics, acoustic processing, and machine learning (ML) enable the assessment of speech in a precise and reproducible manner<sup>4-8</sup> that may uncover new mechanistic knowledge regarding cognitive decline.<sup>9-11</sup> Loss of discourse complexity and connected speech are primary symptoms of cognitive decline associated with Alzheimer's disease (AD).<sup>12-16</sup> Decreased continuity of acoustic features, greater percentages of voiceless segments, and perturbations in speech amplitude are characteristic of patients diagnosed with AD.<sup>17–20</sup> There are also differences in features such as pause frequency, duration, and linguistic complexity between patients with mild cognitive impairment (MCI) and healthy controls.<sup>21</sup> More recently, the temporal integration of acoustics and language from spontaneous speech has been shown to be predictive of AD.<sup>22</sup>

Given the nuance of multimodal data, it was our goal to classify cognitive decline with transparency and explainability—an important aspect of AI in clinical settings.<sup>23</sup> Modalities are characterized by unique statistical properties, noise levels, and correlations to prediction variables, which necessitate consideration when combined in a model. It remains an open question which ML architectures can best represent nuanced multimodal human behavioral data.<sup>24</sup> One approach is to model all modalities together as one input, but this requires at least five training examples per feature dimension.<sup>25</sup> Alternatively, modalities can be accounted for with separate models and later combined with appropriate weightings.

This research aimed to pilot a remote and easily accessible telephone-based interview that requires no specialized equipment, is well-tolerated, and accessible at home,<sup>26</sup> and build a multimodal ML model for detecting early cognitive decline. Cognitively healthy individuals and those diagnosed with amnestic MCI (aMCI; MCI that primarily affects memory) or AD were administered short cognitive interviews, and multimodal features were extracted from responses (acoustic and language measurements from childhood memory recollections and animal fluency tasks). Improving on our earlier work,<sup>9</sup> we utilized language *and acoustic* processing methods and explainable ML models to create an accurate multiclass cognitive decline classifier. We explored vari-

#### **RESEARCH IN CONTEXT**

- Systematic review: We reviewed traditional peerreviewed articles and meeting abstracts. Although numerous studies have examined natural language processing for predicting cognitive decline, most have been conducted in highly controlled in-person environments, focusing mainly on language. Many studies lack machine learning model interpretability analyses or steps toward clinical implementation. Relevant citations are cited.
- Interpretation: We demonstrate proof of principle that speech captured in naturalistic settings can be subjected to automated analyses and produce multimodal metrics that are more accurate and interpretable than expert humans and traditional dementia screening tests. The creation of a dashboard for interpreting model predictions promotes clinical translation.
- 3. Future directions: The current approach to collecting, analyzing, and interpreting data can potentially reach many more people at risk for cognitive decline than are currently assessed. However, model generalizability to demographically diverse populations and reliability of the models over time remain to be tested.

ous approaches for detecting cognitive decline with multimodal data and simple models. We designed a dashboard for researchers and clinicians to interpret the models and data, allowing new interpretations of experimental speech analysis in a detailed, yet understandable and meaningful manner.

#### 2 | METHODS

#### 2.1 | Data

Participants comprised 91 older individuals who were cognitively unimpaired (n = 29, mean age = 72.48 [SD = 1.47], mean years of education = 18.00 [SD = 0.37], 41% female) or diagnosed with aMCI (n = 32, mean age = 74.03 [SD = 1.01], mean years of education = 17.34 [SD = 0.30], 41% female) or mild AD (n = 30, mean age = 74.93 [SD = 1.40], mean years of education = 16.68 [SD = 0.43], 52% female).<sup>9.26</sup> The group demographics did not differ significantly (p-values > 0.01). Clinical diagnoses followed established criteria separate from data collection. Participants completed a telephone interview, which included recalling a favorite childhood memory and naming as many animals as possible in 1 minute (animal fluency). The speech was audio-recorded and manually transcribed. The childhood memory task resulted in an average of 326.76 words spoken per participant (SD = 166.27, min = 44, max = 1110), and an average of 16.33 words (SD = 7.44, min = 0, max = 36) for the animal fluency task. Participants also completed the Mini-Mental State Exam (MMSE<sup>27</sup>) and the modified Telephone Interview for Cognitive Status (TICS-M<sup>28</sup>). The three diagnostic groups differed significantly on both the MMSE and TICS-M, with the cognitively unimpaired participants performing the best (MMSE mean = 29.56 [SD = 0.12]; TICS-M mean = 39.44 [SD = 0.63]), the AD participants performing the worst (MMSE mean = 23.75 [SD = 0.51]; TICS-M mean = 30.32 [SD = 1.31]), and the aMCI participants performing intermediate between the other two (MMSE mean = 28.22 [SD = 0.30]; TICS-M mean = 36.03 [SD = 0.69]). The study was approved by Marymount University and Georgetown University institutional review boards (MU IRB #260).

# 2.2 | Feature extraction

Lexeme-level features were first extracted from free speech responses. Token and type counts measured poverty of speech. Part of speech counts and frequencies; type token ratio  $(\frac{count(word types)}{count(word tokens)})$  (moving average type token ratio (averaged moving windows of ( <u>count(word types)</u> ); Brunét's index (count(wordtokens)<sup>count(wordtypes)<sup>-0.165</sup>)</sup> ); and content density <u>count(verbs+nouns+adjectives+adverbs)</u> measured poverty of content. count(wordtokens) Assays of verbigeration (uncontrollable word repetition) such as phrase- and word-level repetitions were counted. Counts of um's, ah's, and filler words derived indices of language fluency. Next, syntactic complexity features were computed, including statistics derived from sentence parse trees and speech graphs. Finally, semantic features were extracted. Measuring coherence, cosine distances between adjacent text windows (size  $\in^{2,8}$ ; with LSA,<sup>29</sup> word2vec,<sup>30</sup> GloVe,<sup>31</sup> USE,<sup>32</sup> and BERT<sup>33</sup> embeddings) were calculated. Tangentiality was operationalized as the slope of cosine distances between the first and consecutive text windows, with the same parameters. With measuring of coherence, illogicality, and semantic paraphasia, a novel feature was created and implemented: statistics derived from BERT word probabilities in the context of a full response. Finally, sentence perplexities were extracted from BERT.

Traditionally, animal fluency is scored by counting the unique animals produced, ignoring significant structural and temporal information. Moving beyond this, objective quantifications of relationships between exemplars and categories of exemplars were explored. Troyer et al. proposed two metrics for measuring components of this task– clustering (producing words within one category, like *safari* or *pets*) and switching (changing between clusters).<sup>34</sup> This was implemented with hand-coded categories (supplied by the authors) and semantic word embedding distances. The semantic approach entails computing cosine distances of one animal's embedding to the next, and setting thresholds to determine whether the next animal belongs to a new category (when it falls below the threshold). The following is a segment of a response showing the Troyer categories (square brackets) and word embedding categories (BERT embeddings, threshold = 0.80; parentheses):

[(dog cat)] [(giraffes elephants lions tigers) (chimpanzees)]

Both approaches place *dog* and *cat* into one category (Pets; cosine distance > 0.8). The Troyer approach considers the subsequent ani-

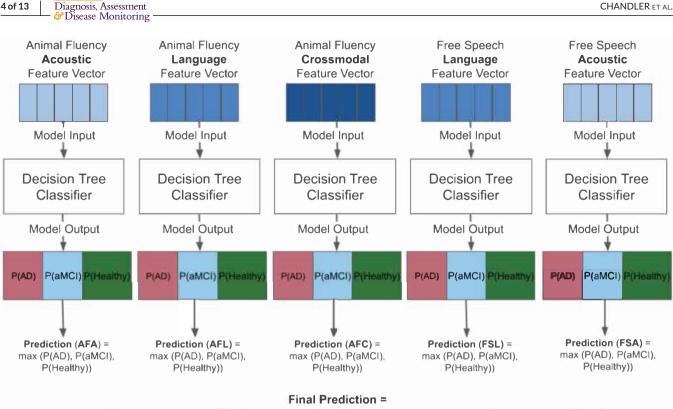
mals all within the African category; however, the embedding approach splits *chimpanzees* into a new category as the cosine distance between *tigers* and *chimpanzees* falls below the threshold (0.52; the others having distances > 0.8). Finally, animal embedding magnitudes were computed, a measurement of typical word usage/familiarity (uncommon animals have larger magnitudes than common animals).

Acoustic features were extracted from both speech tasks. The Compare 2016 acoustic feature set<sup>35</sup>—including the Geneva Minimalistic Acoustic Parameter Set (GeMAPS<sup>36</sup>)—was computed using openSMILE.<sup>37</sup> The Compare 2016 feature set aggregates frame-level acoustic properties over the entire file. Because there is reason to analyze acoustics at a frame-level (to measure how acoustics change with each other or with language), the files were segmented and acoustic features were computed for individual frames using a Pratt<sup>38</sup> script. Aggregate statistics, moving window averages, and feature correlations were computed over all frames.

Finally, cross-modal features were extracted from only the animal fluency task (due to poor automated word segmentation in free speech). Relationships between language and acoustics were computed to uncover interactions between these processes. One approach involved time-aligning modalities and correlating acoustic and language features. Another investigated frame acoustics for repeated versus non-repeated animals, and animals that begin new categories versus within-categories, motivated by exploring fluctuations in acoustics when important cognitive processes are occurring. We computed absolute differences of features binned by their cosine distance being greater than or less than a threshold. Moving averages and correlations were also computed.

#### 2.3 | Multimodal modeling

Three approaches were explored for modeling multimodal, multitask data (Approaches A, B, C). We used Decision Tree Classifiers to retain simplicity and explainability. All models utilized only significant features (p values < 0.01) as computed by the F-statistic, a valuable univariate feature selection approach for small data sets that assesses the statistical significance of features in explaining class variability. This selection was carried out using the Python library sklearn, employing the feature\_selection.SelectKBest and feature\_selection.f\_classif methods. Approach A involved creating multiple base models, each consisting of features from one modality and task (e.g., free speech language and acoustics, and animal fluency language, acoustics, and crossmodal), and using simple ensemble methods like voting, most confidence, and summation (Figure 1). Voting uses the majority vote of contributing model predictions. Most confidence uses the prediction of the base model with the highest probability (i.e., confidence). Summation sums the class probabilities among the base models, and uses the class with the largest summation. Approach B was similar, but with a meta-learner to learn weights for the submodels' predictions and generate the final prediction accordingly. Finaly, Approach C included all features in one input vector and used one model for prediction.



Majority Vote ([Prediction(AFA), Prediction(AFL), Prediction(AFC), Prediction(FSL), Prediction(FSA)])

**FIGURE 1** A visual representation of the ensemble model for cognitive decline. Five base models are created on unitask, unimodal data and the predictions from the five base models are combined with simple ensembling techniques.

# 3 | RESULTS

Highly predictive features for cognitive decline detection are provided. We examined whether acoustics would improve language-based cognitive decline models, so we present language-only models and explore approaches to creating explainable, multimodal models. Finally, we present an interface for interpreting the output.

# 3.1 Animal fluency and free speech features

Language, acoustic, and crossmodal animal fluency features, and language and acoustic free speech features were predictive of diagnosis, with varied levels of discriminability. Table 1 lists the top features from each modality based on the F-statistic for differentiating classes. The highest-ranked animal fluency language features were variations of the maximum number of animals per category created with BERT embeddings. From free speech, the Mel Frequency Cepstral Coefficient (MFCC) acoustic features, BERT and USE coherence measures, and individual word probabilities from BERT were highly predictive. Principal component analysis (PCA) was used to reduce the set of most significant (p < 0.01) features from each modality per task for visualization purposes (Figure 2). From animal fluency, language separated healthy controls from cognitive decline in general, but was less suited

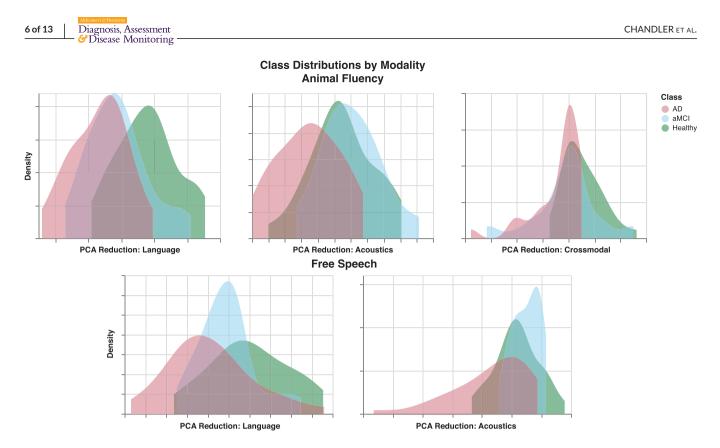
to separate aMCI from AD. Acoustic differences were observed, with healthy participants distributing evenly over the peaks of the AD and aMCI classes. Crossmodal features differentiated the three classes well, with an expected ordering of healthy controls, aMCI, and AD. The free speech language modality differentiated the classes well with an expected ordering of healthy, aMCI, then AD. Acoustics show the three classes aligning, with a long tail extending out from the AD class distribution.

#### 3.2 Unimodal machine learning modeling

We previously presented five unimodal language-based prediction scenarios: (1) cognitively healthy versus aMCI versus AD, (2) cognitively healthy versus cognitive decline (aMCI and AD combined), (3) cognitively healthy versus aMCI, (4) cognitively healthy versus AD, and (5) aMCI versus AD.<sup>3</sup> The comparable scenario to the current study (Scenario 1) achieved 62% accuracy on our data set, compared to traditional screening tools TICS-M and MMSE (utilizing the best performing thresholds to differentiate groups<sup>39–40</sup> and scaling for education), which achieved 45% and 55% accuracy, respectively. Table 2 shows the confusion matrices for the ML model, TICS-M, and MMSE, with the ML approach showing an even spread of predictions compared to the overwhelming classification of healthy controls by TICS-M and MMSE.

Animal fluency       Max animals per category (threshold = 0.8 and BERT)*         Number animals spoken without repetitions         Number categories (Troyer categories)*         SD animals per category (threshold = 0.8 and BERT)*         Number categories (number animals (threshold = 0.8 and BERT)*         Number categories (number animals (threshold = 0.8 and BERT)*         Number categories (threshold = 0.8 and BERT)*         State 2.1*         Noportion of words with BERT word <t< th=""><th>16.41, 000001 0 16.31, 000001 0 14.29, 00001 0 12.38, 00001 0 12.27, 00002 0 12.27, 00002 0</th><th>Correlation of mean F0 slope octaves &amp; 10.77, 00006 max intensity Correlation of mean F0 slope &amp; max 9.41, 0002 intensity Correlation of F0 SD &amp; max intensity 6.97, 002 Correlation of max intensity &amp; average 5.94, 003 MFCC1 5.86, 004 Max amplitude 5.86, 004</th><th>10.77, 00006 9.41, 0002 6.97, 002 5.94, 003</th><th><i>Max spectral slope</i> when cosine &lt; .91 (BERT)* <i>Min F2</i> when cosine &lt; .95 (BERT)*</th><th>7.34,.001</th></t<>	16.41, 000001 0 16.31, 000001 0 14.29, 00001 0 12.38, 00001 0 12.27, 00002 0 12.27, 00002 0	Correlation of mean F0 slope octaves & 10.77, 00006 max intensity Correlation of mean F0 slope & max 9.41, 0002 intensity Correlation of F0 SD & max intensity 6.97, 002 Correlation of max intensity & average 5.94, 003 MFCC1 5.86, 004 Max amplitude 5.86, 004	10.77, 00006 9.41, 0002 6.97, 002 5.94, 003	<i>Max spectral slope</i> when cosine < .91 (BERT)* <i>Min F2</i> when cosine < .95 (BERT)*	7.34,.001
	Ţ	orrelation of mean F0 slope & max intensity orrelation of F0 SD & max intensity correlation of max intensity & average MFCC1 Aax amplitude	9.41, .002 6.97, .002 5.94, .003	<i>Min</i> F2 when cosine<.95 (BERT)*	
		correlation of F0 SD & max intensity correlation of max intensity & average MFCC1 Aax amplitude	6. <i>9</i> 7,.002 5.94,.003		6.80, .001
		correlation of max intensity & average MFCC1 Aax amplitude	5.94, .003	Absolute difference between average alpha energy ratio of cosines < .89 & > = .89 (BERT)	6.39,.002
		1ax amplitude		Absolute difference between average alpha density diff of cosines < .89 & > = .89 (BERT)	6.31,.003
			5.86, .004	Absolute difference between average MFCC2 of cosines <.89 & > =.89 (BERT)	6.31,.003
		Min F1	4.97,.008	SD harmonics to noise ratio when cosine < .91 (BERT)*	5.87, .004
	9.17, 0004	Average amplitude	4.96, .009	Absolute difference between average MFCC4 cosines < .95 & > = .95 (BERT)	5.17,.007
Minimum coherence (BERT embedding size = 7)* Proportion of words with BERT word probability < 0.001*	11.13, .00004	MFCC range	14.50, 0.000003		
Proportion of words with BERT word probability < 0.001*	7.66, 0.0008 F	Percentage of time MFCC is above a 50% threshold	10.85, 0.00006		
	4.88, 0.009	Spectral slope left curvature time	9.28, 0.0002		
SD of coherence (USE embeddings, window size $= 3$ )*	4.33, 0.01	Spectral slope third quartile	8.09, 0.0005		
Count of nouns	3.91, 0.01	MFCC standard deviation	7.98, 0.0006		
BERT word probability moving average (window size = 2)	3.65, 0.01	MFCC maximum	7.92, 0.0006		
Count of determiners	3.62, 0.01	Voicing probability of the final fundate	7.82, 0.0007		

Top seven most predictive language, acoustic, and crossmodal features from the two tasks, sorted by F statistic. **TABLE 1**  Acoustic features were smoothed over the entire response using moving average filters. If multiple feature variations were in the top features, the feature with the highest F-statistic is retained and subsequent variations are skipped to avoid redundancy (denoted with an asterisk).



**FIGURE 2** Density plots of the significant features (*p* values < 0.01) reduced with PCA reductions. Top row: language (left), acoustics (middle), and crossmodal (right) modalities from animal fluency. Bottom row: language (left) and acoustic (right) modalities from free speech. PCA, principal component analysis.

**TABLE 2** Confusion matrix of the (1) unimodal machine learning-based classifier, (2) TICS-M test, and (3) MMSE test for classifications of cognitively healthy, aMCI, and AD participants.

		True		
		AD (n = 30)	aMCI (n = 32)	Healthy ( <i>n</i> = 29)
Unimodal machine learning	g classifier			
Predicted	AD	13	4	3
	aMCI	5	21	4
	Healthy	12	7	22
TICS-M Test				
Predicted	AD	10	0	0
	aMCI	8	2	0
	Healthy	12	30	29
MMSE Test				
Predicted	AD	15	1	0
	aMCI	10	6	0
	Healthy	5	25	29

Abbreviations: AD, Alzheimer's disease; aMCI, amnestic Mild Cognitive Impairment; MMSE, Mini-Mental State Exam; TICS-M, Telephone Interview for Cognitive Status.

#### 3.3 | Multimodal machine learning modeling

Five Decision Tree Classifiers were created using the most diagnostically salient features from language, acoustics, and crossmodal animal fluency modalities, and language and acoustics free speech modalities. Parameters were learned using leave-one-out cross-validation due to limited data set size. Animal fluency models achieved accuracies of 58% (language), 65% (acoustics), and#dad212516-tbl-0003.tab 61% (cross-modal), whereas free speech models achieved accuracies of 56% (language) and 63% (acoustics). Precision, recall, and F1 scores for each **TABLE 3** (1) Precision, recall, and F1score broken down by participant class and macro averaged (computes the statistic individually per class and then averages the three together) and (2) confusion matrix for the best unimodal unitask ensemble model with voting (Approach A).

<b>Classification metrics</b>				
	Precision	Recall	F1 score	Support
Healthy	0.74	0.79	0.77	n = 29
aMCI	0.69	0.75	0.72	n = 32
AD	0.84	0.70	0.76	<i>n</i> = 30
Macro avg	0.76	0.75	0.75	N = 91
Confusion matrix				
		True		
		AD (n = 30)	aMCI (n = 32)	Healthy ( $n = 29$ )
Predicted	AD	21	3	1
	aMCI	6	24	5
	Healthy	3	5	23

model are supplied in Appendix A (Tables A1–A5). Results indicated that acoustics were more predictive than language. Different techniques for base model creation and their implications for accuracy are also detailed in Appendix A (e.g., creating the base models, aggregating by mode, then ensembling [Table A6]; and creating base models, aggregating by task, then ensembling [Table A7]).

Within each task, agreement between modality-specific predictions was assessed. Larger variations motivate an ensemble approach as each mode contributes different information. Had all models correlated highly with one another, limited gains would arise from ensembling (vs using one). For animal fluency, predictions for the healthy participants had moderate correlations across the three modes: language and acoustic (Pearson's r = 0.28, p < 0.01), language and crossmodal (r = 0.39, p < 0.01), acoustic and crossmodal (r = 0.25, p = 0.01). Correlations were higher within the AD class: language and acoustic (r = 0.43, p < 0.01), language and crossmodal (r = 0.57, p < 0.01), and acoustic and cross-modal (r = 0.43, p < 0.01). This was not the case for the aMCI class where there was no correlation in some cases: language and acoustic (r = -0.02, p = 0.84), language and crossmodal (r = 0.09, p = 0.37), acoustic and crossmodal (r = 0.32, p < 0.01). Higher correlations were expected with the crossmodal modality as it captures aspects of both acoustics and language. In free speech, correlations between acoustic and language modalities were lowest in the healthy class (r = 0.25, p = 0.01), higher in the aMCI class (r = 0.35, p < 0.01), and highest in the AD class (r = 0.42, p < 0.01). Model ensembling was motivated due to variance in predictions.

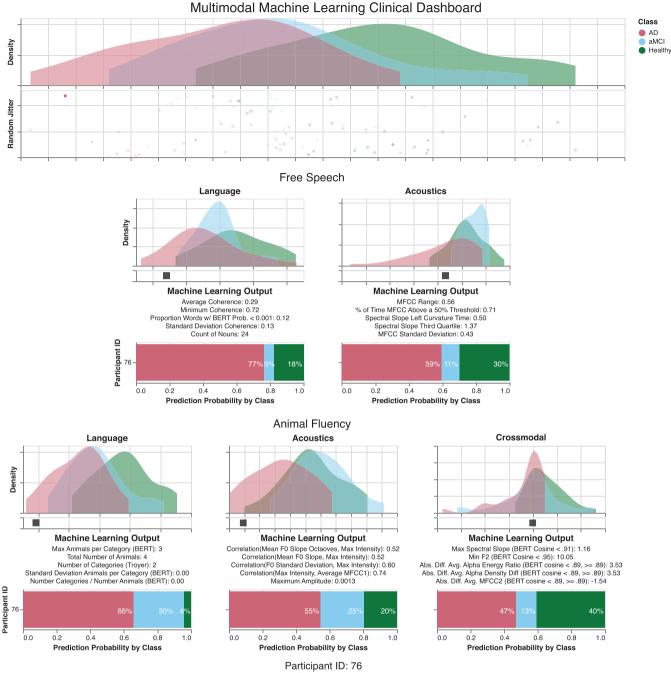
The highest accuracy model was both the voting ensemble (Approach A) and the Decision Tree Classifier meta-learner (Approach B), with 75% accuracy overall. However, the voting method was preferred as it avoids unnecessary overfitting to small data sets (Table 3).

Finally, one multimodal multitask cognitive decline model was explored (Approach C). ML models necessitate large data sets to learn the complexities of multidimensional data, and a sample size of 91 participants may be insufficient. Furthermore, the density plot of the multimodal, multitask data set reduced with PCA showed that the distribution resembled the animal fluency language modality and thus explained much of the variability in the data set, potentially overwhelming other modalities in one model. Feature importance extracted from the classifier showed that four of the top five features were from animal fluency language and one was from animal fluency acoustics. The accuracy of the classifier evaluated on the entire data set with leave-one-out cross-validation was 66%. Appendix A (Table A8) supplies precision, recall, and F1 scores.

Ensembling five unimodal unitask base models with voting generated the most accurate and explainable predictions. This approach was built from different data sources and harnessed the knowledge of multiple expert learners. Decision trees provided feature importances, and voting identified which modalities contributed to the prediction and how strongly they agreed. Model disagreement entails less algorithmic certainty, which is critical knowledge in clinical implementation as this may warrant human review. In terms of sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV),<sup>41</sup> the voting classifier (AD sensitivity = 87.50%, specificity = 95.83%, PPV = 95.46%, NPV = 88.46%; aMCI sensitivity = 82.76%, specificity = 85.71%. PPV = 82.76%. NPV = 85.71%) had significantly more balanced outcomes overall than the TICS-M (AD sensitivity = 45.45%, specificity = 100%. PPV = 100%. NPV = 29.27%; aMCI sensitivity = 6.25%, specificity = 100%, PPV = 100%, NPV = 49.15%) and MMSE (AD sensitivity = 75%, specificity = 100%, PPV = 100%, NPV = 85.29%; aMCI sensitivity = 19.36%, specificity = 100%, PPV = 100%, NPV = 53.70%).

## 3.4 Explainability

We created an interpretable user interface (Figure 3) for displaying model outputs, incorporating AI explainability, information visualization theory, and human-centered computing. The top section



AD: 5 votes, aMCI: 0 votes, Healthy: 0 votes

**FIGURE 3** Machine learning interface displaying the selection of an individual with AD. The selected participant falls at the peak for free speech acoustics and animal fluency crossmodal (i.e., typical of those with AD), and at the extreme of the AD plot in the other modes (i.e., typical of the AD class and atypical of the others). The models were generally confident that the selected individual belonged to the AD class, with less confidence in the two modes where the participant was closer to the other classes. AD, Alzheimer's disease.

contains density and scatter plots of the first component of the full PCA-reduced data set. Hovering over points displays Participant's IDs. Selecting a point populates the interface with information from that individual. The selected point remains opaque, while others become transparent, allowing users to view an individual compared to the other participants and classes. Next are animal fluency and free speech sections with density plots and an indicator

of where the selected participant falls compared to others within that task and modality. Values of the top features as determined by feature importance are shown, along with a stacked bar chart of the probabilities the model gave for each of the classes. Wider bars imply higher confidence. Explanations as multiclass probabilities were shown to be a superior technique for AI-based clinical decision-making support.<sup>42</sup> Finally, a breakdown of the model votes

is given. Five votes for one class implies higher certainty than an even spread.

This interface harnesses several interaction categories from information visualization theory<sup>43</sup>: *Select* (clicking data in the scatterplot), *Reconfigure* (multiple view of data), *Elaborate* (showing more details, e.g., Participant ID, feature values, ML results), *Filter* (emphasizing selected individuals and filling graphs with corresponding data), and *Connect* (interactions with one plot affect others). This interface avoids the desert fog issue (zooming and panning causing the context to be unclear) by keeping non-selected data in scope to understand context. Colors were selected to be discernible to those with color blindness using the Coloring for Colorblindness tool.<sup>44</sup> A human-centered codesign process<sup>45</sup> brought together, clinicians, neurocognitive assessment experts, and AI researchers, satisfying diverse expectations and constraints from clinical and AI perspectives.

# 4 DISCUSSION

A speech-based AI model may be more sensitive than traditional cognitive screeners to early dementia detection, yet an estimation of cost efficacy is crucial to promote uptake in clinical settings. Such analyses are complicated, and the resulting economic value frameworks will be dependent upon relevant jurisdictions. Previous studies suggest that AI-based cognitive impairment diagnostic tools can be cost saving (e.g., estimated net monetary benefits per person to the UK National Health Service of £154/\$193USD in primary care and £281/\$352USD in memory clinic settings<sup>46</sup>). To assess the viability and impact of the proposed speech-based assessment tool, our future work underscores the need for a cost-effectiveness analysis, which is essential for informed decision-making and integration into clinical practice.

Speech-based biomarkers will contribute to the early detection of neurodegenerative diseases, complementing established diagnostic methods (e.g., blood-based, brain scans), with the added advantage of more accessible, widespread, and remote administrations. Early diagnosis confers important benefits to diagnosed individuals, caregivers, loved ones, and society. In addition to providing significant medical, emotional, and social benefits and facilitating participation in clinical trials, early diagnosis enables individuals to prepare legal, financial, and end-of-life plans while they are still cognitively able to make decisions and share their wishes.

In this research, clinical relevance of model features was a priority. To maximize language output, our interviewer elicited more speech in the event of short responses. Thus word count was not a highly predictive indicator of cognitive decline (as it often is<sup>47,48</sup>). Rather than considering this a confound, collecting speech in this manner allowed features like coherence to be indicators of cognitive decline without being impacted by word count.<sup>49</sup> For fluency tasks, neurodegenerative disorders can impair the amount, usualness, manner, and ordering of items retrieved.<sup>50</sup> Thus our animal fluency language features sought to measure these aspects of retrieval.

Acoustic findings aligned with literature, showing greater similarity between the healthy and aMCI classes than with AD. Healthy and aMCI classes had larger MFCC ranges and higher maximum amplitudes compared to the AD class, indicating less restriction in speech power. Correlations between FO slope and maximum intensity were approximately zero for the healthy and aMCI classes, but positive for the AD class, revealing unique speech patterns within the AD class. Despite imperfect audio recordings, our research was successful in extracting meaningful signals (detailed in Appendix B). Although acoustic features can be influenced by demographics, medications, and medical conditions, research has shown that certain acoustic features may generalize beyond these factors and thus were retained as part of our framework.

This research was limited by a small and homogenous data set, which increases the risk of overfitting, given the inability to evaluate using a separate data set. Mitigation efforts included adopting simple model architectures that promote balanced and generalized predictions. For instance, harnessing a decision tree (as opposed to voting) as a metalearner involves hyperparameter choices and learned weights, possibly resulting in overfitting and capturing irrelevant noise. Despite these limitations, we demonstrated improvements in constrained prediction scenarios through thoughtful multimodal feature aggregation. With a small data set, we found little difference between varied ML architectures. This is beneficial-showing that features were impervious to modeling choices and were themselves predictive independent of particular algorithms, and a weakness-as various architectures are more well suited for different data types; however, this variation could not be utilized. Further testing on larger, more diverse data sets is necessary to test for generalization.

#### AUTHOR CONTRIBUTIONS

Chelsea Chandler: Data Curation; Software; Methodology; Formal Analysis; Visualization; Writing-Original Draft; Reviewing and Editing. Catherine Diaz-Asper: Conceptualization; Methodology; Visualization; Writing-Original Draft; Reviewing and Editing; Project Administration; Funding Acquisition. Raymond S. Turner: Resources. Brigid Reynolds: Resources. **Brita Elvevåg**: Supervision; Writing-Original Draft; Reviewing and Editing.

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#### CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest. Author disclosures are available in the supporting information.

#### CONSENT STATEMENT

All human subjects provided informed consent.

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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# APPENDIX A: DETAILED MULTIMODAL MODELING RESULTS

The animal fluency language model was 58% accurate overall. Precision, recall, and F1-score by class are given in Table A1.

**TABLE A1**Precision, recall, and F1 score broken down byparticipant class and macro averaged (computes the statisticindividually per class and then averages the three together) for theanimal fluency language base model.

	Precision	Recall	F1 score	Support
Healthy	0.61	0.76	0.68	N = 29
aMCI	0.51	0.56	0.54	N = 32
AD	0.63	0.41	0.50	N = 29*
Macro avg	0.59	0.58	0.57	N = 90

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

The animal fluency acoustic model was 65% accurate overall. Precision, recall, and F1-score by class are given in Table A2.

**TABLE A2**Precision, recall, and F1 score broken down by<br/>participant class and macro averaged (computes the statistic<br/>individually per class and then averages the three together) for the<br/>animal fluency acoustic base model.

	Precision	Recall	F1 score	Support
Healthy	0.68	0.68	0.68	N = 29
aMCI	0.55	0.66	0.60	N = 32
AD	0.78	0.62	0.69	N = 29*
Macro avg	0.67	0.65	0.66	N = 90

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

The animal fluency crossmodal model was 61% accurate overall. Precision, recall, and F1 score by class are given in Table A3.

**TABLEA3** Precision, recall, and F1 score broken down by participant class and macro averaged (computes the statistic individually per class and then averages the three together) for the animal fluency crossmodal base model.

	Precision	Recall	F1-score	Support
Healthy	0.61	0.61	0.61	N = 29
aMCI	0.53	0.59	0.56	N = 32
AD	0.72	0.62	0.67	N = 29*
Macro avg	0.62	0.61	0.61	N = 90

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

\* A participant from the AD class did not produce any animals in the animal fluency task and thus was excluded from this portion of the analyses.

The free speech language model was 56% accurate overall. Precision, recall, and F1 score by class are given in Table A4.

**TABLE A4**Precision, recall, and F1 score broken down byparticipant class and macro averaged (computes the statisticindividually per class and then averages the three together) for thefree speech language base model.

	Precision	Recall	F1 score	Support
Healthy	0.62	0.69	0.66	N = 29
aMCI	0.50	0.44	0.47	N = 32
AD	0.55	0.57	0.56	N = 30
Macro avg	0.56	0.56	0.56	N = 91

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

The free speech acoustic model was 63% accurate overall. Precision, recall, and F1 score by class are given in Table A5.

**TABLE A5**Precision, recall, and F1 score broken down byparticipant class and macro averaged (computes the statisticindividually per class and then averages the three together) for thefree speech acoustic base model.

	Precision	Recall	F1 score	Support
Healthy	0.53	0.55	0.54	N = 29
aMCI	0.68	0.72	0.70	N = 32
AD	0.67	0.60	0.63	N = 30
Macro avg	0.63	0.62	0.62	N = 91

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

Details on the multimodal unitask split of the base models are given here. This technique is first explored with a three-tiered ensemble approach. First, a multimodal animal fluency ensemble model and a multimodal free-speech ensemble model were created by harnessing the aforementioned base models. The best animal fluency model was 66% accurate overall using the voting mechanism with the three unimodal base models, and the best free-speech model was 65% accurate overall using a scaled (by overall class accuracy) summation mechanism. Alternatively, one could build one multimodal base model for the animal fluency task and one multimodal base model for the free speech task (without first learning within modalities) and combine them. Similar results occurred in these variations of the unitask models. The animal fluency model was the same at 66% accurate overall and the free-speech model was 66% overall. The best final prediction model based on two multimodal unitask models was 66% accurate overall ensemble with the summation technique (with no additional scaling for accuracy). Surprisingly, there was no boost in accuracy when combining models in this manner. Precision, recall, and F1 score by class for each model are supplied in Table A6.

The multimodal unitask ensemble model was 66% overall. Precision, recall, and F1-score by class are given in Table A6.

**TABLEA6**Precision, recall, and F1 score broken down byparticipant class and macro averaged (computes the statisticindividually per class and then averages the three together) for themultimodal unitask ensemble model.

	Precision	Recall	F1 score	Support
Healthy	0.59	0.68	0.63	N = 29
aMCI	0.64	0.66	0.65	N = 32
AD	0.79	0.66	0.72	N = 30
Macro avg	0.67	0.66	0.67	N = 91

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

In building a unimodal multitask ensemble model, all features from each modality, regardless of the task they were extracted from were first combined into a single model and the three base models were ensembled. As in the last scenario, two approaches can be taken. The first approach is by harnessing the unimodal unitask models to first create the base ensemble models. The language model ensembled the animal fluency language model and the free-speech language model, the acoustic model ensembled the animal fluency acoustic model and the free-speech acoustic model, and the crossmodal model remains the same regardless of approach as this modality only exists for the animal fluency data. Ensembling the three models created in this manner results in a best overall accuracy of 65% using summation (both scaled by overall accuracy and non-scaled) and voting. The second approach is to build three base models directly from the features: a language model that combines all language features from both tasks, an acoustic model that combines all acoustic features from both tasks, and finally the crossmodal model. Ensembling the three models created in this manner results in a best overall accuracy of 65% again using summation (both scaled by overall accuracy and non-scaled) and voting. As this approach could be deemed as more explainable, since there is not an obscuring with an additional round of ensembling, only this model's results are supplied in Table A7.

The unimodal multitask ensemble model was 65% overall. Precision, recall, and F1nscore by class are given in Table A7.

**TABLE A7**Precision, recall, and F1 score broken down by<br/>participant class and macro averaged (computes the statistic<br/>individually per class and then averages the three together) for the<br/>unimodal multitask ensemble model.

	Precision	Recall	F1 score	Support
Healthy	0.68	0.61	0.64	N = 29
aMCI	0.63	0.65	0.64	N = 32
AD	0.65	0.69	0.67	N = 30
Macro avg	0.65	0.65	0.65	N = 91

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

The multimodal model with no special architectural considerations was 66% overall. Precision recall, and F1 score by class are given in Table A8.

**TABLE A8**Precision, recall, and F1 score broken down byparticipant class and macro averaged (computes the statisticindividually per class and then averages the three together) for themultimodal model with no special architectural considerations.

	Precision	Recall	F1 score	Support
Healthy	0.61	0.76	0.68	N = 29
aMCI	0.61	0.53	0.47	N = 32
AD	0.70	0.63	0.67	N = 30
Macro avg	0.65	0.65	0.65	N = 91

Abbreviations: AD, Alzheimer's Disease; aMCI, amnestic Mild Cognitive Impairment.

#### APPENDIX B: ACOUSTIC QUALITY

An impressive aspect of this work is that predictive acoustic information could be extracted given the recording quality. As many

dementia studies harness the DementiaBank speech data set [B1], we regarded this as baseline audio guality and sought to determine how the current data set-collected out of the laboratory and over the telephone-compared. We compared our recordings to a demographically matched subset of the relatively older DementiaBank data set using the MOSQITO sound quality software [B2]. The DementiaBank cookie theft task was matched to our free speech task: 30 individuals with AD, 11 with MCI, and 28 healthy individuals from DementiaBank were age-matched within 4 years (with three exceptions from 89+year-old participants) and on gender and ethnicity. The DementiaBank animal fluency task was matched in the same manner resulting in 28 individuals with AD, 12 with MCI, and 3 healthy individuals. For the free speech comparison, random 10-second segments of fluid speech were extracted from each file. All animal fluency audio was analyzed in both data sets. Average Tone-to-Noise Ratios and Prominence Ratios were computed for each sample and the average, minimum, maximum, and variance of these measures were compared. These metrics measure the level of tone relative to background sound level to determine how prominent the tone is to listeners. It has been shown that for Tone-to-Noise Ratio, the tone must be at least 8 dB above the level of noise to be audible, and discrete tones are said to be prominent if it is greater than or equal to 9 dB. Results of the audio guality analysis are given in Table B1.<sup>51,52</sup> In general, DementiaBank recordings had higher averages, minimums, maximums, and standard deviations than our data, implying that the audio guality of our data set was lower than that of a commonly analyzed data set in dementia research. Nevertheless, we found that meaningful acoustic features could be extracted from imperfect recordings, implying that elderly people can harness easily-available and low-cost equipment from home to generate sensitive predictions of cognitive decline rather than needing to visit a facility for assessment.

 TABLE B1
 Comparison of the audio quality of the current dataset with the DementiaBank data set.

Free speech (current data set)				Cookie theft (DementiaBank data set)				
Average tone-to-noise ratio	Average pron	ninence ratio		Average to	one-to-noise ratio	Average	prominence ratio	
Avg:	10.30 dB	Avg:	12.83 dB	Avg:	11.19 dB*	Avg:	15.01 dB*	
Min:	8.38 dB	Min:	9.21 dB	Min:	10.42 dB*	Min:	10.31 dB*	
Max:	12.71 dB*	Max:	20.54 dB	Max:	12.00 dB	Max:	21.02 dB*	
SD:	3.47 dB*	SD:	3.01 dB	SD:	0.63 dB	SD:	3.47 dB*	
Animal fluency (current data set)				Animal flu	Animal fluency (DementiaBank data set)			
Average tone-to-noise ratio	Average pron	ninence ratio		Average to	Average tone-to-noise ratio		Average prominence ratio	
Avg:	8.38 dB	Avg:	13.02 dB	Avg:	8.60 dB*	Avg:	16.34 dB*	
Min:	7.56 dB*	Min:	9.59 dB	Min:	7.30 dB	Min:	10.34 dB*	
Max:	9.46 dB	Max:	18.20 dB	Max:	10.01 dB*	Max:	24.25 dB*	
SD:	0.73 dB	SD:	2.85 dB	SD:	1.03 dB*	SD:	4.09 dB*	

Notes: See references [51, 52].

\*denotes the greatest value per comparison.