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# Post-marketing surveillance of anticancer drugs using natural language processing of electronic medical records



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This study demonstrates that adverse events (AEs) extracted using natural language processing (NLP) from clinical texts reflect the known frequencies of AEs associated with anticancer drugs. Using data from 44,502 cancer patients at a single hospital, we identified cases prescribed anticancer drugs (platinum, PLT; taxane, TAX; pyrimidine, PYA) and compared them to non-treatment (NTx) group using propensity score matching. Over 365 days, AEs (peripheral neuropathy, PN; oral mucositis, OM; taste abnormality, TA; appetite loss, AL) were extracted from clinical text using an NLP tool. The hazard ratios (HRs) for the anticancer drugs were: PN, 1.15–1.95; OM, 3.11–3.85; TA, 3.48-4.71; and AL, 1.98–3.84; the HRs were significantly higher than that of the NTx group. Sensitivity analysis revealed that the HR for TA may have been underestimated; however, the remaining three types of AEs extracted from clinical text by NLP were consistently associated with the three anticancer drugs.

Post-marketing surveillance (PMS) is a method used for monitoring the safety of drugs after they are marketed. Long-term PMS is required to identify adverse events (AEs) that were not identified prior to marketing and to continuously monitor drug safety after marketing. However, PMS mainly relies on reports from medical professionals and patients; therefore, postmarketing AEs are considered to be underreported<sup>1-4</sup>. In 2008, the Food and Drug Administration launched the Sentinel Initiative in response to the Food and Drug Administration Amendments Act, which established a national electronic system for proactively monitoring drug safety<sup>5</sup>. The purpose of this project was to build a distributed database network using the electronic medical records (EMRs) and administrative data of each hospital facility as information sources, to rapidly identify AEs through database searches. Similarly, in Japan, the revised Good Post-marketing Study Practice, which was amended in 2018, approved PMS which uses an EMRbased database as an information source. Medical information database network (MID-NET)6, which was developed for this purpose, is a distributed database network that is similar to the Sentinel Initiative and, as of April 2024, its information sources are EMRs and administrative data from 33 hospitals in Japan.

Both Sentinel Initiative and MID-NET define a common data model (CDM) to apply a common analysis program to the databases of different facilities<sup>7,8</sup>. Although the CDMs are different in both cases, the main information types include patient demographic information (e.g., date of

birth, sex, race), prescription information (inpatient, outpatient), diagnostic codes (ICD-9, ICD-10, SNOMED-CT), medical examination information, admission and discharge information, and specimen test results. AE outcomes are mainly defined by a combination of diagnostic codes and specimen test results (such as blood and urine). However, diagnostic codes are primarily intended for insurance claims rather than clinical diagnosis; therefore, AE coverage is low<sup>9,10</sup>. Additionally, patient signs and symptoms suggesting AEs and the findings of medical practitioners are generally recorded as free text; therefore, the types of AEs that can be expressed by combining these structured data are limited. Nonetheless, EMR text, which includes a wealth of information on AEs, is an important information source for proactive PMS. Consequently, natural language processing (NLP) techniques for mining data from EMRs are becoming increasingly important <sup>11-16</sup>.

Accurate extraction of AEs from EMR text requires identifying symptoms and findings related to AEs, determining whether AEs occurred in the patient, and normalizing the extracted variable expressions. In the NLP field, these processes have been designated as named entity recognition (NER), factuality analysis (FA), and entity normalization (EN) tasks, respectively. The performance of these NLP tasks has considerably improved with the use of Bidirectional Encoder Representations from Transformers (BERT)<sup>17</sup>, which was released in 2017 and incorporates transformers<sup>18</sup>. Various BERT models<sup>19–21</sup> that were pre-trained on medical

text have emerged following this success and improved the performance of AE extraction from medical text<sup>22–27</sup>, which is expected to lead to more practical applications. However, utilizing the extracted AEs in PMS requires not only aggregating AEs or finding associations with drugs before and after their mention in the text, but also excluding the effects of the patient's illness and other prescribed drugs recorded outside the text. Previous studies on the extraction of AEs using NLP have focused on the accuracy of extracting expressions that suggest AEs. However, it remains unclear whether AEs that are extracted by NLP are useful information for PMS.

Therefore, we aimed to utilize longitudinal EMR data and conduct a statistical analysis of AEs extracted from clinical text contained in EMRs using NLP in combination with other structured data to develop a framework for detecting AEs as signals. In this study, we used this framework to retrospectively evaluate the association between three commonly used anticancer drug classes (Platinum compounds, Taxanes, and Pyrimidine analogues) and four AE groups (peripheral neuropathy, PN; oral mucositis, OM; taste abnormality, TA; appetite loss, AL) that are well known to be associated with these anticancer drugs. These AE groups lack established supportive, are clinically important and difficult to determine from blood test results or disease names when assessing their occurrence. Furthermore, clinical texts serve as an important source of information for these AEs. We conducted a total of 12 analyses on patients with cancer to show that AEs that were detected using clinical text reflect the known occurrence frequency of AEs and discuss the usefulness and limitations of clinical text in PMS. Furthermore, to demonstrate specific applicability, we aimed to examine the differential risks of AEs associated with two types of anticancer drugs under two distinct scenarios.

### Results

### Summary of the collected data

Table 1 shows a breakdown of the collected data. In total, 44,502 unique patients (male: 59.6%, female: 40.4%) were evaluated. The total number of Diagnosis Procedure Combination (DPC) data, and prescription and injection orders were 175,624, 3,911,157, and 11,259,143, respectively, with per patient values of 3.9, 87.9, and 253.0, respectively. The total number of progress records, nursing records, and discharge summaries was 4,856,533, 3,607,590, and 122,231, respectively, with per patient values of 109.1, 81.0, and 2.7, respectively. The median follow-up period for all patients was 1874 days.

### Characteristics of patients

Table 2 shows the descriptive statistics for the DPC data (N = 175,624). The majority of patients was aged  $\geq 65$  years (104,423 cases, 59.5%) and were men (102,717 cases, 58.5%), with an initial occurrence of cancer (63,118 cases, 63.1%) and a smoking index of <400 (101,942 cases, 80.0%). Regarding activities of daily living (ADL), the majority of patients were independent for meals (140,062 cases, 98.3%), walking (134,999 cases, 94.9%), and defecation (138,836 cases, 97.6%). The most common cancer sites were digestive organs (62,266 cases, 40.8%); ill-defined, secondary, and

Table 1 | Summary of collected data

Data type	Number of records/number of documents	Average number per patient
Structured data		
DPC registration data	175,624 (44,502 unique patients)	3.9
Prescription orders	3,911,157	87.9
Injection orders	11,259,143	253.0
Narrative data		
Progress records	4,856,533	109.1
Nursing records	3,607,590	81.0
Discharge summaries	122,231	2.7

Table 2 | Descriptive statistics of diagnosis procedure combination data

Characteristics	Number (%)	Missing (%)
Demographics		
Age (years) at hospital admission (mean: 65.3, median: 67)		0.0
<65	71,201 (40.5)	
65 ≤	104,423 (59.5)	
2. Sex		0.0
Male	102,717 (58.5)	
Female	72,907 (41.5)	
3. Recurrence		43.0
Primary	63,118 (63.1)	
Recurrence	36,987 (36.9)	
4. Smoking Index		27.5
<400	101,942 (80.0)	
400 ≤	25,479 (20.0)	
5. ADL Eating		18.8
Independent	140,062 (98.3)	
Needs assistance	2482 (1.7)	
6. ADL Walking	. ,	19.0
Independent	134,999 (94.9)	
Needs assistance	7330 (5.1)	
7. ADL Defecation	,	19.0
Independent	138,836 (97.6)	
Needs assistance	3463 (2.4)	,
Cancer site		
8. Lip, oral cavity and pharynx (C00-C14)	3068 (2.0)	_
9. Digestive organs (C15-C26)	62,266 (40.8)	_
10. Respiratory and intrathoracic organs (C30-C39)	12,600 (8.40	-
11. Bone and articular cartilage (C40-C41)	646 (0.4)	_
12. Melanoma and other malignant neoplasms of skin (C43-C44)	1984 (1.3)	_
13. Mesothelial and soft tissue (C45-C49)	2678 (1.8)	-
14. Breast (C50)	5438 (3.6)	-
15. Female genital organs (C51-C58)	15,854 (10.4)	-
16. Male genital organs (C60-C63)	9151 (6.0)	_
17. Urinary tract (C64-C68)	7971 (5.2)	_
18. Eye, brain and other parts of central nervous system (C69-C72)	1411 (0.9)	-
19. Thyroid and other endocrine glands (C73-C75)	2182 (1.4)	-
20. III-defined, secondary and unspecified sites (C76-C80)	16,165 (10.6)	-
21. Lymphoid, hematopoietic and related tissue (C81-C96)	11,163 (7.3)	-
Comorbidity		
<ol> <li>Diseases of the blood and blood-forming organs and certain disorders involving the immune mechanism (D50-D89)</li> </ol>	6625 (5.0)	-
23. Endocrine, nutritional and metabolic diseases (E00-E90)	24,680 (18.4)	_
24.Mental and behavioral disorders (F00-F99)	6625 (5.0)	_
25. Diseases of the nervous system (G00-G99)	6625 (5.0)	-
26. Diseases of the eye and adnexa (H00-H99)	4314 (3.2)	

Table 2 (continued) | Descriptive statistics of diagnosis procedure combination data

Characteristics	Number (%)	Missing (%)
27. Diseases of the ear and mastoid process (H60-H95)	403 (0.3)	-
28. Diseases of the circulatory system (I00-I99)	27,954 (20.9)	-
29. Diseases of the respiratory system (J00-J99)	10,629 (7.9)	_
30. Diseases of the digestive system (K00-K93)	28,744 (21.5)	_
31. Diseases of the skin and subcutaneous tissue (L00-L99)	2895 (2.2)	-
32. Diseases of the musculoskeletal system and connective tissue (M00-M99)	8629 (6.4)	-
33. Diseases of the genitourinary system (N00-N99)	10,930 (8.2)	-

unspecified sites (16,165 cases, 10.6%); female genital organs (15,854 cases, 10.4%); other sites (<10% of cases). The most common comorbidities were digestive system disease (28,744 cases, 21.5%), followed by circulatory system disease (27,954 cases, 20.9%) and endocrine, nutritional, and metabolic diseases (24,680 cases, 18.4%), and other comorbidities (<10% of cases).

### Hazard ratio for adverse events

For propensity score matching (PSM), the average absolute standardized difference (ASD) for the 33 variables in all analyses was 1.7% (maximum 2.1%), indicating good matching results. Additionally, the average area under the curve for multivariable logistic regression was 0.81 (minimum 0.77, maximum 0.86). Table 3 shows a summary of the hazard ratios (HRs) and confidence intervals (CI) for each analysis. Fig. 1 shows the cumulative incidence curves and log-rank test results after PSM. Comparisons between the platinum-based therapy (PLT) and non-treatment (NTx) groups showed significantly high HRs in the following descending order: TA (HR, 4.71 [95% CI: 4.14, 5.35]), OM (HR, 3.85 [95% CI: 3.47, 4.26]), AL (HR, 3.34 [95% CI: 3.11, 3.59]), and PN (HR, 1.63 [95% CI: 1.53, 1.74]). Comparisons between the taxane-based therapy (TAX) and NTx groups showed significantly high HRs in the following descending order: AL (HR, 3.84 [95% CI: 3.50, 4.22]), TA (HR, 3.67 [95% CI: 3.18, 4.24]), OM (HR, 3.11 [95% CI: 2.75, 3.50]), and PN (HR, 1.95 [95% CI: 1.80, 2.10]). Comparisons between the pyrimidine-based therapy (PYA) and NTx groups showed significantly high HRs in the following descending order: OM (HR, 3.70 [95% CI: 3.33, 4.11]), TA (HR, 3.48 [95% CI: 3.05, 3.97]), AL (HR, 1.98 [95% CI: 1.84, 2.13]), and PN (HR, 1.15 [95% CI: 1.07, 1.24]). Supplementary Tables 1-24 show the baseline characteristics and detailed results of the Cox proportional hazard (Cox PH) model analysis for each analysis.

The following anticancer drug classes were not included in the Cox PH model analysis because the correlation coefficient with the analyzed anticancer drug > 0.3: TAX and PYA were not included in in the analysis of all AEs in the PLT group, PLT and PYA were not included in the analysis of all AEs in the TAX group, and PLT was not included in the analysis of all AEs in the PYA group.

### Comparison of AEs between anticancer drugs

Supplementary Tables 25-26 show the baseline characteristics for each scenario. We excluded the three ADL variables from PSM in both scenarios as almost all cases in both groups had a score of 1 (indicating no need for assistance). In scenario 1, eight variables (Digestive organs, Ill-defined secondary and unspecified sites, Pyrimidine analogues, Taxanes, and Top I, HER2, EGFR, and VEGF/VEGFR inhibitors) had ASDs >10%. In scenario 2, nine variables (Digestive organs, Respiratory and intrathoracic organs, Breast, Female genital organs, Ill-defined secondary and unspecified sites, Nitrogen mustard and Pyrimidine analogues, Anthracyclines and related substances, and Platinum compounds) had ASDs >10%. Consequently, we

Table 3 | Summary of hazard ratios for adverse events by three classes of anticancer drugs

	Adjusted HR [95%CI] (p-value)			
	Nd	МО	TA	AL
PLT	PLT 1.63 [1.53, 1.74] (<0.001) (Tx = 2505, NTx = 1654) 3.85 [3.47, 4.26] (<0.001) (Tx = 1878, NTx = 502) 4.71 [4.14, 5.35] (<0.001) (Tx = 1434, NTx = 300) 3.34 [3.11, 3.59] (<0.001) (Tx = 2688, NTx = 11)	3.85 [3.47, 4.26] (<0.001) (Tx = 1878, NTx = 502)	4.71 [4.14, 5.35] (<0.001) ( $Tx = 1434$ , $NTx = 300$ )	3.34 [3.11, 3.59] (<0.001) (Tx = 2688, NTx = 11
TAX	1.95 [1.80, 2.10] ( $<0.001$ ) (Tx = 1848, NTx = 1127)	$1.95 \left[1.80, 2.10\right] \left(<0.001\right) (Tx = 1848, NTx = 1127) \\ 3.11 \left[2.75, 3.50\right] \left(<0.001\right) (Tx = 975, NTx = 377) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 802, NTx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right) (Tx = 248) \\ 3.67 \left[3.18, 4.24\right] \left(<0.001\right$	3.67 [3.18, 4.24] (<0.001) (Tx = 802, NTx = 248)	3.84 [3.50, 4.22] (<0.001) (Tx = 1417, NTx = 66)
PYA	$PYA \\ 1.15 [1.07, 1.24] (<0.001) (Tx = 2143, NTx = 1547) \\ 3.70 [3.33, 4.11] (<0.001) (Tx = 2046, NTx = 491) \\ 3.48 [3.05, 3.97] (<0.001) (Tx = 1333, NTx = 306) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 1291) \\ 1.98 [1.84, 2.13] (<0.001) (Tx = 2460, NTx = 2$	3.70 [3.33, 4.11] (<0.001) (Tx = 2046, NTx = 491)	3.48 [3.05, 3.97] (<0.001) (Tx = 1333, NTx = 306)	1.98 [1.84, 2.13] (<0.001) ( $Tx = 2460$ , $NTx = 12$
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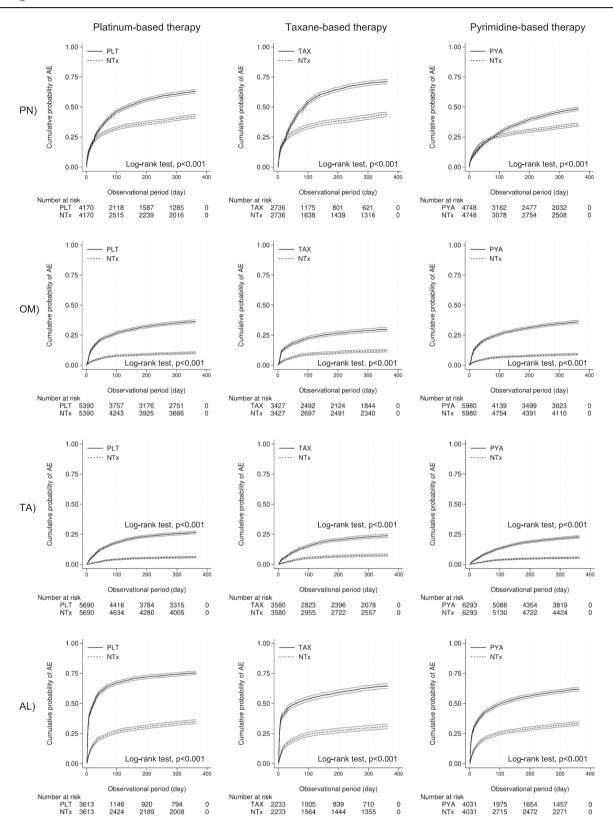


Fig. 1 | Cumulative incidence curves comparing the 12-month freedom outcomes between three anticancer drugs and AE groups after propensity score matching. The graphs show the freedom from peripheral neuropathy (PN), oral mucositis (OM), taste abnormality (TA), and appetite loss (AL) for platinum-based therapy (PLT), taxane-based therapy (TAX), and pyrimidine-based therapy (PYA)

compared to their respective non-treatment (NTx) groups. Each panel displays the cumulative incidence for a specific adverse event, with the *x*-axis representing the observational period in days and the y-axis showing the cumulative incidence. The number of patients at risk is provided below each graph at different time points.

Table 4 | Summary of HRs for the two scenarios

Scenario	Drugs to be compared (N) nur	mber of cases with event (%)	Adjusted HR [95% CI] (p-value)
1. Oxaliplatin vs cisplatin for PN	Oxaliplatin (N = 630)	Cisplatin (N = 630)	3.28 [2.79, 3.85] (<0.001)
	519 (82.4)	284 (45.1)	
2. Docetaxel vs paclitaxel for OM	Docetaxel (n = 704)	Paclitaxel (n = 704)	2.34 [1.91, 2.88] (<0.001)
	296 (42.0)	147 (20.9)	

### Cumulative incidence curve Cumulative incidence curve based on the number of prescriptions c) I -OHP vs CDDP for PN d) DTX vs PTX for OM a) L-OHP vs CDDP for PN b) DTX vs PTX for OM 1.00 1.00 1.00 L-OHF - DTX CDDP umulative incidence of PN incidence of OM 0.75 0.75 0.75 0.75 0.50 0.50 0.25 0.25 0.25 0.25 Log-rank test, p<0.001 Log-rank test, p<0.001 L-OHE CDDF Log-rank test, p<0.001 Log-rank test, p<0.001 0.00 0.00 0.00 300 Observational period (day) Observational period (day) Number at risk L-OPH CDDP DTX PTX Log-log transformed cumulative incidence curve Log-log transformed cumulative incidence curve based on the number of prescriptions e) L-OHP vs CDDP for PN f) DTX vs PTX for OM g) L-OHP vs CDDP for PN h) DTX vs PTX for OM DTX L-OHF CDDP -- PTX incidence of OM (In) Cumulative incidence of PN (In) probability of PN (In) probability of OM e<sup>2.3</sup>≒10 $e^{2.5}=12$ Log-rank test, p<0.001 Log-rank test, p<0.001 L-OHF DTX Log-rank test, p<0.001 Log-rank test, p<0.001 CDDP PTX 3

Fig. 2 | Cumulative incidence curves and log-log transformed cumulative incidence curves based on the days and the number of prescriptions for 12-month freedom outcomes. Left top: Cumulative incidence curve based on the days.

a Comparison between oxaliplatin and cisplatin for peripheral neuropathy.

b Comparison between docetaxel and paclitaxel for oral mucositis. Right top: Cumulative incidence curve based on the number of prescriptions. c Comparison between oxaliplatin and cisplatin for peripheral neuropathy. d Comparison between docetaxel and paclitaxel for oral mucositis. Left bottom: Log-log transformed cumulative incidence curve based on the days. e Comparison between oxaliplatin

and cisplatin for peripheral neuropathy. **f** Comparison between docetaxel and paclitaxel for oral mucositis. Right bottom: **g** Comparison between oxaliplatin and cisplatin for peripheral neuropathy. **h** Comparison between docetaxel and paclitaxel for oral mucositis. In the log-log transformed cumulative incidence curve, the horizontal axis represents the natural logarithm of the days or the number of prescriptions, whereas the vertical axis denotes the natural logarithm of the cumulative incidence of the adverse event. For the horizontal axis,  $e^1$  approximately corresponds to  $2.7 \ e^2$  to 7.4, and  $e^3$  to 20.1.

Number of prescriptions (In)

adjusted these variables using multivariate Cox PH model analysis in the subsequent analysis.

Table 4 summarizes the HRs for two scenarios. Scenario 1: The HR for PN with oxaliplatin compared to cisplatin was 3.28 [95% CI: 2.79, 3.85] (p < 0.001). Scenario 2: The HR for OM with docetaxel compared to paclitaxel was 2.34 [95% CI: 1.91, 2.88] (p < 0.001). Fig. 2 shows the cumulative incidence curves and log-log transformed cumulative incidence curves based on days and number of prescriptions. Significant differences were observed in all comparisons using the log-rank test. Cumulative incidence curves (Fig. 2a, b) and cumulative incidence curves based on the number of prescriptions (Fig. 2c, d) showed similar trends in all comparisons. The hazard of PN for oxaliplatin was higher than that for cisplatin from the initial administration day ( $e^0$ ) to day 12 ( $e^{2.5}$ ) in the log-log transformed cumulative incidence curves based on days, after which the HR between the two groups remained constant (Fig. 2e). In the comparison between docetaxel and paclitaxel, the HR for OM was initially constant after the first administration; however, the HR for docetaxel increased from day 4

 $(e^{1.4})$  to day  $10~(e^{2.3})$ , after which it became constant again (Fig. 2f). Log-log transformed incidence curves based on the number of prescriptions (Fig. 2g, h) maintained proportional hazards in both comparisons, suggesting that AEs were observed in proportion to the number of anticancer drug prescriptions. Supplementary Tables 27–28 provide a comprehensive overview of the Cox PH model analysis for each scenario.

### Sensitivity analysis

As for the first sensitivity analysis, Table 5 shows the results of the NLP performance evaluation. The Recall values were 0.74, 0.73, 0.46, and 0.62; Precision values were 0.92, 0.94, 0.95, and 0.97; Specificity was 1.00 for all; and F-values were 0.82, 0.82, 0.62, and 0.75 for PN, OM, TA, and AL, respectively. Table 6 presents the results of the NLP error analysis. Regarding false positives (FPs), five types of errors were observed, attributed to NER and FA tasks. "Determining absence as presence" refers to cases where negated AEs were affirmed, with paragraph counts of 12 for PN, 11 for OM, three for TA, and 10 for AL. "Past symptoms" denotes affirmation

of AEs as medical history, occurring in three paragraphs for PN, one for OM, 0 for TA, and six for AL. "Future possibilities" indicates affirmation of potential future AEs, found in four paragraphs for PN, two for OM, three for TA, and five for AL. "Upcoming observations" refers to affirmation of future observation plans, occurring in 10 paragraphs for PN, 0 for OM, one for TA, and two for AL. "Determining improvement as presence" denotes affirmation of improved adverse events, found in four paragraphs for PN, three for OM, one for TA, and one for AL. "Normalization errors," where NER and FA were successful but EN errors led to normalization to different adverse events, were observed in eight paragraphs for PN, four for OM, and 0 for both TA and AL. "Clearly different causes," where effects were clearly

### Table 5 | Results of NLP performance

	Number of patients	Number of paragraphs	Recall	Precision	Specificity	F-Value
PN	200	307,963	0.74	0.92	1.00	0.82
ОМ	200	291,727	0.73	0.94	1.00	0.82
TA	200	272,258	0.46	0.95	1.00	0.62
AL	200	277,473	0.62	0.97	1.00	0.75
Avera	age		0.64	0.95	1.00	0.75

due to surgery or radiotherapy, were found in seven paragraphs for PN, one for OM, and 0 for both TA and AL. "Clearly different symptoms" were extracted in 11 paragraphs for PN, two for OM, and 0 for both TA and AL. Regarding false negatives (FNs), the number of paragraphs where AEs were not extracted due to NER task errors was 124 for PN, 46 for OM, 122 for TA, and 427 for AL. Additionally, the number of paragraphs containing extracted entities that included the relevant adverse event but were not normalized to any adverse event due to reasons such as large entity granularity was 102 for PN, 96 for OM, 63 for TA, and 35 for AL.

Table 7 shows the impact of NLP errors on outcomes. Among 200 cases for each AE, the number of cases unaffected by outcome changes (Type: 1) was 163 (81.5%) for PN, 191 (95.5%) for OM, 176 (88.0%) for AT, and 152 (76.0%) for AL. Cases with shortened event occurrence dates due to FNs (Type: 2A) were 16 (8.0%) for PN, three (1.5%) for OM, 10 (5.0%) for AT, and 27 (13.5%) for AL. Similarly, cases that changed from non-occurrence to occurrence groups due to FNs (Type: 2B) were six (3.0%) for PN, one (0.5%) for OM, 10 (5.0%) for AT, and 19 (9.5%) for AL. Cases with extended event occurrence dates due to FPs (Type: 3A) were eight (4.0%) for PN, three (1.5%) for OM, one (0.5%) for AT, and one (0.5%) for AL. Cases that changed from occurrence to non-occurrence groups due to FPs (Type: 3B) were seven (3.5%) for PN, two (1.0%) for OM, three (1.5%) for AT, and one (0.5%) for AL. The HRs for PN, OM, TA, and AL based on outcomes identified from manually extracted AEs were 1.33 [0.82, 2.15] (p = 0.25), 4.14 [1.75, 8.81] (p < 0.01), 13.54 [3.73, 49.19] (p < 0.001), and 2.91 [1.91,

### Table 6 | Results of NLP error analysis

	Error type	PN	ОМ	TA	AL	Example paragraphs
TP	-	658	375	158	737	-
FP	Determining absence as presence	12	11	3	10	en: Oral ulcers have not yet appeared. jp: 口内炎はまだ大丈夫です。
	Past symptoms	3	1	0	6	en: There is concern about the severe numbness experienced during the previous chemotherapy session. jp: 前回化学療法時に痺れが強くでたことが心配。
	Future possibilities	4	2	3	5	en: I informed them of the possibility of experiencing taste disorders. jp: 味覚異常出現する可能性があることを伝えた
-	Upcoming observations	10	0	1	2	en: It is necessary to monitor whether the lack of appetite worsens. jp: 食思不振感が増強しないか観察が必要。
	Determining improvement as presence	4	3	1	1	en: A: Food intake is good. The lack of appetite is improving. jp: A:食事摂取良好。食思不振改善している。
	Normalization error	8	4	0	0	en: Attention should be paid to the decrease in food intake due to diminished taste. jp: 味覚低下による食事摂取量の低下に注意する。
	Clearly different causes	7	1	0	0	en: The leg pain and numbness that occurred after radiation therapy have improved. jp: 放射線治療後に生じていた下肢痛やしびれは改善したとのこと。
	Clearly different symptoms	11	2	0	0	en: The numbness was determined to be tetany. jp: 痺れはテタニーと判断
FN	Unable to extract entity	124	46	122	427	en: S) There is a complete loss of taste. jp: S) 味はまったくわからない。
	Normalization error	102	96	63	35	en: Peripheral neuropathy, stomatitis, nausea and vomiting, fatigue, loss of appetite. jp: 末梢神経障害、口内炎、悪心嘔吐、倦怠感、食欲不振

### Table 7 | Impact of NLP errors on patient outcomes

Туре	Impact on outcomes	PN N (%)	OM N (%)	TA N (%)	AL N (%)
1	No impact on outcomes	163 (81.5)	191 (95.5)	176 (88.0)	152 (76.0)
2A	The event date has been shortened due to false negatives.	16 (8.0)	3 (1.5)	10 (5.0)	27 (13.5)
2B	Changed from non-event occurrence group to event occurrence group due to false negatives.	6 (3.0)	1 (0.5)	10 (5.0)	19 (9.5)
ЗА	The event date has been extended due to false positives.	8 (4.0)	3 (1.5)	1 (0.5)	1 (0.5)
3B	Changed from event occurrence group to non-event occurrence group due to false positives.	7 (3.5)	2 (1.0)	3 (1.5)	1 (0.5)
Total		200	200	200	200

Table 8 | Results of the second sensitivity analysis

	Adjusted HR [9	95%Cl] (p-value)				
	0%	10%	20%	30%	40%	50%
PLT						
PN	1.63 (<0.001)	1.44 [1.43,1.45] (<0.001)	1.28 [1.28, 1.29] (<0.001)	1.15 [1.14, 1.16] (<0.001)	1.04 [1.03, 1.05] (0.400)	0.94 [0.93, 0.94] (0.070)
ОМ	3.85 (<0.001)	3.48 [3.45, 3.50] (<0.001)	3.17 [3.13, 3.20] (<0.001)	2.93 [2.89, 2.96] (<0.001)	2.70 [2.68, 2.72] (<0.001)	2.49 [2.45, 2.53] (<0.001)
TA	4.71 (<0.001)	4.27 [4.22, 4.32] (<0.001)	3.91 [3.86, 3.95] (<0.001)	3.60 [3.52, 3.67] (<0.001)	3.35 [3.31, 3.38] (<0.001)	3.12 [3.06, 3.18] (<0.001)
AL	3.34 (<0.001)	3.00 [2.98, 3.01] (<0.001)	2.68 [2.67, 2.70] (<0.001)	2.45 [2.44, 2.47] (<0.001)	2.22 [2.2, 2.23] (<0.001)	2.03 [2.02, 2.04] (<0.001)
TAX						
PN	1.95 (<0.001)	1.71 [1.70, 1.73] (<0.001)	1.52 [1.51, 1.53] (<0.001)	1.36 [1.34, 1.38] (<0.001)	1.22 [1.21, 1.23] (<0.001)	1.09 [1.08, 1.11] (0.050)
ОМ	3.11 (<0.001)	2.82 [2.79, 2.84] (<0.001)	2.55 [2.50, 2.60 (<0.001)	2.35 [2.30, 2.40] (<0.001)	2.15 [2.11, 2.18] (<0.001)	2.03 [2.00, 2.07] (<0.001)
TA	3.67 (<0.001)	3.32 [3.28, 3.36] (<0.001)	3.03 [2.97, 3.09] (<0.001)	2.82 [2.77, 2.88] (<0.001)	2.52 [2.49, 2.55] (<0.001)	2.39 [2.35, 2.44] (<0.001)
AL	3.84 (<0.001)	3.44 [3.4, 3.47] (<0.001)	3.10 [3.07, 3.14] (<0.001)	2.78 [2.75, 2.80] (<0.001)	2.57 [2.53, 2.61] (<0.001)	2.35 [2.31, 2.39] (0.001)
PYA						
PN	1.15 (<0.001)	1.02 [1.02, 1.03] (0.670)	0.92 [0.92, 0.93] (0.03)	0.83 [0.82, 0.83] (<0.001)	0.75 [0.74, 0.75] (<0.001)	0.68 [0.67, 0.69] (<0.001)
ОМ	3.70 (<0.001)	3.33 [3.29, 3.37] (<0.001)	3.05 [3.03, 3.08] (<0.001)	2.84 [2.81, 2.86] (<0.001)	2.59 [2.56, 2.62] (<0.001)	2.42 [2.38, 2.45] (<0.001)
TA	3.48 (<0.001)	3.15 [3.12, 3.18] (<0.001)	2.89 [2.86, 2.93] (<0.001)	2.70 [2.65, 2.75] (<0.01)	2.46 [2.41, 2.51] (<0.001)	2.27 [2.24, 2.30] (<0.001)
AL	1.98 (<0.001)	1.76 [1.75, 1.76] (<0.001)	1.58 [1.57, 1.59] (<0.001)	1.43 [1.42, 1.44] (<0.001)	1.31 [1.3, 1.32] (<0.001)	1.21 [1.20, 1.22] (<0.001)

4.44] (p < 0.001), respectively. The HR for TA presented in the main analysis was found to be underestimated due to NLP FNs. Although PN showed a similar trend to the main analysis, the significance disappeared due to the reduced number of cases. Other AEs showed results equivalent to the main analysis.

As for the second sensitivity analysis, over a total of 12 analyses, the average number of medical examination days in the PLT, TAX, and PYA groups was 64.6 days and 31.6 days in the NTx group, and this difference was significant (p < 0.001). Table 8 shows the HR when AEs were assumed to be observed in AE non-incident cases in the NTx group corresponding to 10–50% of the AE-incident cases in the same NTx group. Even if there was an increase in the number of cases equivalent to 50% of the AE-incident cases, significant differences in HRs were observed except for PN.

As for the third sensitivity analysis, Supplementary Tables 29 and 30 present summaries of HRs for observation periods of 30 and 180 days, respectively. The HRs for PN caused by PLT, TAX, and PYA in the 30-day observation period were 1.18 [95% CI: 1.07, 1.30] (*p* < 0.05), 1.26 [95% CI: 1.13, 1.41] (p < 0.001), and 0.80 [95% CI: 0.72, 0.89] (p < 0.001), respectively. These values tended to be lower compared to the main analysis results, with PYA showing a significant decrease in HR. Visual inspection of clinical texts revealed that each NTx group included surgical cases, and neurological symptoms within 30 days post-surgery (such as tetany symptoms after thyroidectomy or lower limb neurological symptoms after orthopedic surgery) were extracted as PN, likely resulting in lower HR estimates for each anticancer drug group. The HRs for TA and AL caused by PLT were 6.38 [95% CIL 4.99, 8.16] (*p* < 0.001) and 4.08 [95% CI: 3.71, 4.50] (*p* < 0.001), respectively, both showing higher tendencies compared to the main analysis results. Similarly, the HRs for TA and AL caused by TAX were 4.49 [95% CI:  $[95\% \text{ CI: } 4.23, 5.45] \ (p < 0.001), respectively,$ also showing higher tendencies compared to the main analysis results. These results were considered reasonable, as TA and AL are likely to be observed at high frequencies within 30 days after the initial administration of anticancer drugs. Other results were largely equivalent to the main analysis. The HRs for the 180-day observation period were largely equivalent to the main analysis results, with the exception of the HR of PN caused by PYA, which showed no significant difference.

### Discussion

The purpose of this study was to show that AEs that were extracted from text reflect known occurrence frequencies of AEs using EMRs. Although several studies have used NLP to extract AEs from medical text<sup>22–27</sup>, to the authors'

knowledge, no studies have evaluated extracted AEs as time-to-event outcomes. We found that AEs were significantly detected in all 12 analyses in this study, suggesting that AEs extracted by NLP may be useful for PMS. Since a combination of multiple anticancer drugs is administered for chemotherapy, estimating the risk of AEs due to a specific anticancer drug requires adjusting for the effects of concomitant anticancer drugs as well as anticancer drugs that cause delayed AEs. However, due to multicollinearity, anticancer drugs that show a certain correlation were not included in the explanatory variables in our analysis. Therefore, the effects of TAX and PYA for PLT, PLT and PYA for TAX, and PLT for PYA were not adjusted. Consequently, the HRs in the present study should be interpreted as signals of AEs rather than as values that quantitatively indicate risk. Ideally, patients should have no history of previous anticancer drug use and be treated with a single drug; however, the number of such cases in routine clinical data is limited, and this influences the detection power of AEs. Given these limitations, we examined whether the obtained AE HRs were consistent with the findings of existing studies.

All anticancer drug classes were associated with a low to moderate risk of PN. PLT (HR: 1.63) is known to cause PN which is strongly associated with oxaliplatin therapy<sup>28</sup>. In a randomized controlled trial (RCT) investigating patients with advanced gastric cancer, reported PN rates were 59.0% in the S-1 + oxaliplatin (SOX) group, and 34.8% in the S-1 + cisplatin (SP) group<sup>29</sup>. However, if only oxaliplatin had been evaluated, the values may have been higher. Similarly, TAX (HR: 1.95) is known to cause PN<sup>30</sup>. A phase 3 RCT of patients with non-small cell lung cancer reported an incidence of 13%–62% for taxane-induced PN, whereas another RCT of patients with advanced gastric cancer reported a paclitaxel-induced PN incidence of 57.4%<sup>31,32</sup>. Therefore, the results of the present study are consistent with these findings. Conversely, PYA (HR: 1.15) had a low HR and PN associated with this class of drugs was considered to be a rare event<sup>33,34</sup>. However, as the effect of PLT was not adjusted for, we concluded that this result was not inconsistent with the aforementioned studies.

All anticancer drug classes were associated with a high risk of OM. Anticancer drugs that cause OM include alkylating agents, anthracyclines, antimetabolites (including fluorouracil (5-FU)), taxanes, antineoplastic antibiotics, and vinca alkaloids<sup>35</sup>. For PLT (HR: 3.85), in an RCT of patients with advanced gastric cancer, the incidence of OM in SOX and SP groups was 17.9% and 29.9%, respectively<sup>29</sup>. Additionally, a systematic review revealed a 22% incidence of OM resulting from cisplatin-based chemotherapy in patients with head and neck cancer, reaching 89% when radiation was also administered<sup>36</sup>. Although the present study included head

and neck cancer, we did not adjust for the effects of radiation therapy due to limitations of the data used. Therefore, these results may indicate a higher risk than chemotherapy alone. Regarding TAX (HR: 3.11), in an RCT of patients with metastatic breast cancer, the incidence of OM in docetaxel and paclitaxel groups was 51.4% and 16.2%, respectively; furthermore, in an RCT of patients with metastatic soft tissue sarcoma, the incidence of OM in patients undergoing treatment with docetaxel + gemcitabine was 49.0%. Thus, docetaxel is recognized as a more likely cause of OM than paclitaxel<sup>37,38</sup>. However, the risk does not distinguish between docetaxel and paclitaxel in the present study, and the effects of PYA were not adjusted for; therefore, the risk may be higher than that with TAX alone. Additionally, we found that PYA (HR: 3.70) was associated with a relatively high risk of OM. Previous research found that approximately 40%-66% of patients treated with 5-FU developed OM<sup>39</sup>. Furthermore, a 4.39 [95% CI: 1.05, 18.37] odds ratio of OM for S-1 vs. non-fluoropyrimidine anticancer drugs has been reported<sup>40</sup>; the results of the present study are consistent with these results.

All anticancer drug classes were associated with a relatively high risk of TA. A notably high TA prevalence of 69.9% has been reported in patients undergoing chemotherapy<sup>41</sup>. For PLT (HR: 3.70), patients with cancer on cisplatin-based chemotherapy were reported as having more subjective changes in taste<sup>42</sup>. However, some studies have reported no significant difference in olfactory and gustatory function between patients undergoing platinum-based and non-platinum-based chemotherapy<sup>43</sup>. This discrepancy may be explained by the fact that the NTx group, which did not receive any anticancer drugs, was used as the comparison subject, and that PLT analysis did not adjust for TAX and PYA effects, thereby increasing the risk compared to that of PLT alone. However, a systematic review found a TA prevalence ranging from 17%–86% in patients undergoing chemotherapy, including docetaxel, paclitaxel, nab-paclitaxel, capecitabine, or oral 5-FU analogues<sup>44</sup>, which supports the results of the present study for TAX (HR: 3.67) and PYA (HR: 3.48).

All anticancer drug classes were associated with a low to high risk of AL. For PLT (HR: 3.33) and PYA (HR: 1.98), in an RCT in patients with biliary tract cancer, relatively high AL incidence rates of 40.9% and 39.5% were reported in the gemcitabine+cisplatin (GC) group and gemcitabine+S-1 (GS) group, respectively<sup>45</sup>. Similarly, the incidence values of 50.9% and 56.1% were reported for AL in the SOX and SP groups, respectively, in an RCT in patients with advanced gastric cancer<sup>29</sup>. Additionally, for TAX (HR: 3.84), the results of the present study showed a moderate risk of AL, although an RCT in patients with advanced gastric cancer, reported a 46.3% incidence of AL in the paclitaxel group<sup>32</sup>, which was not inconsistent with the results of the present study.

We investigated the differences in AE profiles of anticancer drugs under two scenarios. In the first scenario, using HRs, we demonstrated that oxaliplatin causes PN at a higher frequency than cisplatin. Furthermore, using log-transformed cumulative incidence curves, we showed that oxaliplatin has a higher hazard for PN immediately after administration (Fig. 2e). This result is consistent with the known characteristics of oxaliplatininduced acute PN, which typically occurs during or within hours after administration and presents transient, reversible symptoms 46. In the second scenario, we demonstrated that docetaxel causes OM at a higher frequency than paclitaxel, as shown by HRs. Our results also revealed a more detailed profile, indicating an increase in the hazard of docetaxel between days 4 and 10 post-administration (Fig. 2f). Although the exact cause is unclear, this pattern may be related to the typical onset of OM, occurring within several days to about 10 days post-administration, and the stronger myelosuppressive effects of docetaxel coinciding with this period, potentially leading to an increased frequency of infection-related OM. The log-transformed cumulative incidence curves based on the number of prescriptions (Fig. 2-g, h) suggested that proportional hazards were maintained for both scenarios, confirming that AEs occur in proportion to the number of prescriptions. The differences in proportional hazards between time-based and prescription count-based analyses may be attributed to the lack of regimen information in this study, which prevented adjustment for intervals between anticancer drug administrations. Therefore, in situations where regimen information is unavailable, comparing hazards based on the number of prescriptions may contribute to a more detailed understanding of toxicity profiles. In conclusion, the outcomes extracted from clinical texts using NLP demonstrated results consistent with temporally changing toxicity profiles in clinical practice. Consequently, this approach could also be applied to comprehensive evaluations of toxicity profiles for a wide range of anticancer drugs.

NLP is an important technology for extracting analyzable structured data from medical text. The BERT built into MedNERN that was used in the present study was pre-trained on Japanese-language Wikipedia, but fine-tuning it with medical text resulted in a highperformance NER in medical text. However, going beyond NLP, the use of a machine learning models is associated with FPs and FNs. For the first sensitivity analysis, we manually evaluated texts from a total of 800 cases in the PLT experiment at the paragraph level. The results showed a high average Precision of 0.95 for the four types of AEs; however, the average Recall of 0.64 was not sufficiently high, with TA in particular showing a relatively low Recall of 0.46. The decrease in Recall was attributed to FNs, caused by either NER errors failing to extract AE expressions or EN errors incorrectly normalizing extracted AEs. Notably, TA and AL showed several cases caused by NER errors, with numerous instances where colloquial expressions in patients' chief complaints suggesting AEs could not be extracted. This may be partly because the dataset used for fine-tuning MedNERN did not contain sufficient paragraphs with such colloquial patient expressions. Additionally, investigation of the impact of NLP errors on outcome occurrence and time to occurrence revealed that cases affected by FPs (Tables 7-3A, 3B) were limited, whereas cases affected by FNs (Tables 7-2A, 2B) for PN, TA, and AL ranged from 10% (PN) to 23% (AL). Re-estimation of HRs for PN, OM, TA, and AL showed that the HR for TA was 13.54 [3.73, 49.19] (p < 0.001), suggesting that the HR for TA presented in the main analysis was underestimated and likely has a higher actual HR. However, other AEs showed results similar to the main analysis, indicating that the main analysis results for PN, OM, and AL possess a certain robustness. This suggests that NLP errors do not directly influence outcome misidentification, aligning with the view of Zhou et al. 47 that the impact of NLP errors on downstream analyses in epidemiological studies using NLP-derived data is limited.

Recent generative language models such as Generative Pre-trained Transformer (GPT) significantly surpass the BERT model used in this study in terms of neural network parameter size and training data scale, potentially demonstrating higher performance in adverse event extraction. However, GPT models have certain limitations in these tasks. GPT models are designed to predict the next token, making them inherently less suitable for token classification tasks like NER. Additionally, GPT models employ unidirectional left-to-right learning, which may limit contextual understanding compared to BERT's bidirectional encoder structure. In fact, a study has shown that GPT models with prompt engineering underperform fine-tuned BERT models in medical NER tasks<sup>48</sup>. Furthermore, the EN task requires knowledge of the terminology set for normalization. If this terminology set is not learned by the GPT model, it may result in incorrect normalization or hallucinations. Consequently, GPT models have been reported to be unsuitable for medical terminology EN tasks<sup>49</sup>. Moreover, the AE dictionary used in this study was custom-made, likely not learned by GPT models, increasing such risks. Despite these limitations, if the dataset used for NER fine-tuning and the AE dictionary used for the EN task in this study could be fine-tuned to GPT models, high performance in NER and EN tasks could be expected due to their superior base model performance. However, security requirements for medical data often preclude the use of cloud-based GPT models, and even when available, fine-tuning GPT models requires enormous computational resources. Therefore, for the specific task of extracting AEs from medical texts, the BERT model adopted in this study is considered a solution that balances computational efficiency and task suitability. In contrast, the use of GPT models with prompts including few-shot examples, which can be expected to perform comparably

to fine-tuned BERT, may reduce the need for annotated corpora. In this regard, GPT models hold great potential for clinical NER tasks and are a solution expected to develop further in the future.

With regard to the second sensitivity analysis, this study was a retrospective observational study using EMR, resulting in a significant difference in the average number of days of medical examinations between the PLT, TAX, and PYA treatment groups and the NTx group. This indicates that patients in the PLT, TAX, and PYA groups visited medical institutions more frequently than those in the NTx group, suggesting that more care was required for intensive follow-up. Meanwhile, the risk of AE incidence may have been underestimated in the NTx group due to the relatively reduced number of opportunities for AEs to be observed and recorded in the EMR. Therefore, in this sensitivity analysis, we estimated the HR with the assumption that AEs were observed in a certain number of cases among the AE non-incident cases in the NTx group. Consequently, even when assuming an increase in cases equivalent to 50% of the number of AEincident cases, significant differences in HR were observed except for PN. Therefore, the results of the present study have a certain degree of robustness in signal detection applications.

With regard to the third sensitivity analysis, when the observation period was set to 30 days, the results tended to be lower compared to the main analysis, with a significant decrease in HR observed for PYA in particular. However, when the observation period was set to 180 days, the HR for PN caused by PYA no longer showed a significant difference. Examination of clinical texts suggested that neurological symptoms within 30 days post-surgery in the NTx group were extracted as PN. One reason for this is that we could not adjust for the effects of surgery or radiotherapy due to limitations in the available data. Consequently, it cannot be definitively stated that the identified AEs were solely attributable to anticancer drug use. Therefore, when interpreting the estimated HRs, it should be noted that the effects of surgery and radiotherapy between the two groups were not adjusted for, which is one of the limitations of this study. Another reason is that the NER and FA tasks of the NLP applied in this study cannot distinguish the causes of identified AEs. Therefore, AEs caused by surgery, radiotherapy, or other diseases were also treated as outcome occurrences. This is because the direct cause of a patient's symptoms in medical texts may be described in the immediate context, in a distant context, or not at all. Therefore, the development of NLP technology capable of processing long context inputs and extracting events that cause AEs within that context remains a challenge. However, more accurate HRs for anticancer drugs can be estimated by extracting AEs using such NLP technology and further adjusting for the effects of surgery and radiotherapy.

The resources utilized for AE signal detection include spontaneous reporting systems (SRS) from medical facilities and companies, such as the FDA Adverse Event Reporting System. The reporting odds ratio (ROR) was used for signal detection using SRS, which is the odds ratio calculated based on the presence or absence of drug use as well as the presence or absence of specific AE reports and its 95% confidence interval. SRS is used in various types of AE signal detections since it includes reports on a larger scale and a wider range of AEs. However, SRS reports do not imply a causal relationship between drugs and AEs, and interpretation is limited due to biases such as underreporting and a lack of information that can serve as a denominator for the incidence rate<sup>50</sup>. Additionally, the ROR cannot consider the effects of covariates; the possibility of detection errors due to bias in patient background remains. Meanwhile, methods that utilize distributed EMR-derived databases such as the Sentinel initiative and MID-NET have relatively large and detailed patient background information but require AEs to be defined by a combination of diagnostic codes and specimen test results. Nonetheless, AEs that correspond to symptoms or findings that are not the primary diagnosis in clinical practice may not be registered as ICD-10 codes; therefore, such AEs cannot be analyzed. Moreover, the EMR from a single institution used in the present study has limitations in terms of scale and being single-center data compared to these two methods. However, it includes patient background information and medical text; therefore, the risk of AEs that are not registered as ICD-10 codes can be estimated after

adjusting for the patient background. Additionally, treating AEs as time-to-event outcomes allows for cases that stopped medical examinations during the observation period to be included in the HR calculation as censored cases; therefore, the long-term effects of treatment can also be evaluated. Examples of applications of the proposed method include comparing the HR of AEs between groups in which a certain drug is used in combination with another drug (e.g., oxaliplatin + simvastatin group vs. oxaliplatin alone group) to apply the results to drug repositioning for discovering new pharmacological effects of existing drugs<sup>51,52</sup>, or visualizing the risk of AEs related to anticancer drug treatment using cumulative incidence curves and developing the results into an application that provides information to medical professionals and patients.

Additionally, we utilized long-term EMRs and compared cases treated in different time periods. Considering the significant medical advancements that occurred during this period, one limitation is the inability to adjust for these influences. For instance, improvements in supportive care, such as pregabalin for PN or neurokinin-1 receptor antagonists and olanzapine for appetite loss accompanied by nausea and vomiting, may have reduced the prevalence of AEs. Additionally, advancements in non-pharmacological medical techniques, such as the widespread adoption of oral care for preventing OM and oral infections, may have decreased the prevalence of AEs. Furthermore, updates to EMR systems may have altered the method and detail of AE recording, potentially affecting the accuracy of AE extraction through NLP. We did not adjust for these factors, which could potentially introduce bias in the comparison between the two groups. Therefore, it is essential to exercise caution when interpreting the presented HRs. One approach to elucidate these effects in the future would be to divide the data into multiple periods, calculate HRs for each period, and compare them to evaluate changes in HRs over time. Such biases should be considered as challenges that need to be taken into account when analyzing long-term EMR data.

In conclusion, this retrospective longitudinal observational study using EMR data confirmed that the four types of AEs extracted from clinical text by NLP in our study were significantly associated with three types of anticancer drug classes and showed HRs consistent with the known occurrence frequency. Sensitivity analysis, conducted as an NLP performance evaluation, showed that all four types of AEs had relatively lower Recall compared to Precision; however, the impact on outcomes was limited except for TA. The HR presented in the main analysis for TA was underestimated due to low Recall. We also demonstrated the potential applicability of the proposed method for a detailed evaluation of toxicity profiles of different anticancer drugs. These suggest that AEs extracted from clinical text using NLP can be used for the purpose of signal detection, and that EMR text can also be used in PMS. Nonetheless, further research is warranted to determine whether equivalent results can be obtained using EMRs at other facilities. Additionally, the development of NLP technology capable of extracting events that cause AEs presents a challenge that must be addressed in the future.

### **Methods**

Data collection and all experiments below were approved by the institutional review board at the University of Tokyo and University of Tokyo Hospital (approval number 2022251NI). Informed consent was obtained using an opt-out method, which was approved by the institutional ethics committee due to the retrospective nature of the study. All the experiments were carried out in accordance with the relevant ethical guidelines and regulations.

### Study design

This retrospective longitudinal observational study used data from the EMRs of a single institution, the University of Tokyo Hospital.

### **Database**

We used DPC data from patients admitted to the University of Tokyo Hospital over an 18-year period between January 1, 2004, and December 31,

2021. In 2003, Japan introduced a DPC-based payment system in acute care hospitals nationwide<sup>53</sup>. DPC data includes information entered by medical professionals, such as patient demographics, main diagnosis, comorbidities at the time of admission, complications during hospitalization, and surgery and procedures performed. Diagnosis and disease names are coded according to ICD-10. DPC data has been widely used in clinical epidemiological studies with a reported 50%–80% sensitivity of diagnoses registered in the DPC and specificity exceeding 96%<sup>54–57</sup>.

Other data sources used besides the DPC include prescription and injection orders, progress and nursing records, and discharge summaries. Information other than the DPC and discharge summaries was obtained from other sources covering the patient history, such as inpatient and outpatient care. Drug types were analyzed by matching the national standard drug codes contained in prescription orders and injection orders with Anatomical Therapeutic Chemical (ATC) Classification codes. DPC, prescription orders, and injection orders are structured information; however, progress records, nursing records, and discharge summaries are written in free text; therefore, AEs were extracted using the NLP tool described below.

### **NLP tool**

The NLP tool MedNERN<sup>58</sup> published by the co-authors was used to extract AEs from progress and nursing records, and discharge summaries. This tool conducts NER using a machine learning model that was fine-tuned on a corpus of approximately 2,000 Japanese medical text with respect to a BERT model<sup>59</sup>, which was pre-trained on 17 million sentences collected from the Japanese-language Wikipedia. Thereafter, EN was conducted by normalizing the extracted named entities to terms

in a built-in dictionary. In the NER step, 12 named entity classes were assigned, including disease names (including symptoms and findings) and time expressions. In particular, disease name classes were assigned four types of attributes (positive, negative, suspicious, general) related to their factuality attributes. Of these factuality attributes, "positive" corresponds to the existence or observation of the named entity, whereas "negative" corresponds to the denial of its existence or observation. "Suspicious" corresponds to suspected diseases such as differential diagnoses, and "general" is used for general knowledge of the disease. Although the publicly available MedNERN contains a dictionary for ICD-10 enumeration, a new normalized dictionary was created and used for AEs in the present study. This dictionary consists of the surface form of an AE and its corresponding normalized form. For example, "tingling (surface form)" corresponds to "hypersensitivity (normalized form)", and "numbness in both lower limbs (surface form)" corresponds to "peripheral neuropathy (normalized form)". This normalized dictionary was created by registering frequently occurring named entities of the disease name class extracted from the progress and nursing records, and discharge summaries by NER as surface forms and manually assigned normalized forms to ensure that less frequently occurring named entities are not registered as surface forms in the dictionary. Therefore, as a measure for such named entities, the Levenshtein distance with all surface forms in the dictionary was calculated, and the normalized form that corresponds to the closest surface form was assigned. The part of the normalized dictionary related to the four types of AEs targeted in this study is shown in Tables 9-12. Fig. 3 shows an overview of NER and EN using MedNERN.

Table 9 | Normalized dictionary for the adverse event group of peripheral neuropathies

	Surface form (jp)	Surface form (en)	Normalized form (en)
1	末梢神経障害	Peripheral neuropathy	Peripheral neuropathy
2	末梢性神経障害	Peripheral nerve disorder	Peripheral neuropathy
3	左末梢神経障害	Left peripheral neuropathy	Peripheral neuropathy
4	多末梢神経障害	Multiple peripheral neuropathy	Peripheral neuropathy
5	末梢神経障害現状維持	Peripheral neuropathy status quo	Peripheral neuropathy
6	末梢神経障害G1	Peripheral neuropathy G1	Peripheral neuropathy
7	末梢神経傷害	Peripheral nerve injury	Peripheral neuropathy
8	末梢神経傷害G1	Peripheral nerve injury G1	Peripheral neuropathy
9	末梢神経障害軽度	Mild peripheral neuropathy	Peripheral neuropathy
10	異常感覚	Paresthesia	Sensory disorder
11	異常知覚	Dysesthesia	Sensory disorder
12	深部覚障害	Deep sensation impairment	Sensory disorder
13	温痛覚障害	Thermoalgesia impairment	Sensory disorder
14	知覚異常	Sensory disturbance	Sensory disorder
15	感覚異常	Sensory abnormality	Sensory disorder
16	感覚障害	Sensory impairment	Sensory disorder
17	両下肢感覚障害	Bilateral lower limb sensory impairment	Sensory disorder
18	下肢感覚障害	Lower limb sensory impairment	Sensory disorder
19	下肢異常感覚	Lower limb paresthesia	Sensory disorder
20	四肢感覚障害	Limb sensory impairment	Sensory disorder
21	深部感覚障害	Deep sensory impairment	Sensory disorder
22	痛覚・異常感覚	Pain and sensory abnormality	Sensory disorder
23	温痛覚低下	Thermoalgesia reduction	Sensory disorder
24	ムズムズ	Tingling	Sensory disorder
25	むずむず	Tingling	Sensory disorder
26	神経障害・異常感覚	Neuropathy with sensory abnormality	Sensory disorder
27	異常感覚 (しびれ	Sensory abnormality (numbness)	Sensory blunting

# Table 9 (continued) | Normalized dictionary for the adverse event group of peripheral neuropathies

00	Surface form (jp)	Surface form (en)	Normalized form (en)
28	異常感覚 (痺れ	Sensory abnormality (numbness)	Sensory blunting
29	しびれ	Numbness	Sensory blunting
30	痺れ感 	Numbness sensation	Sensory blunting
31	しびれる	Numbing	Sensory blunting
32	しびれ感 	Numbness sensation	Sensory blunting
33	ヒリヒリ	Tingling pain	Sensory blunting
34	G 2 右脚しびれ 	G2 right leg numbness	Sensory blunting
35	痺れ	Numbness	Sensory blunting
36	_ 下肢痺れ 	Lower limb numbness	Sensory blunting
37	両下肢しびれ	Bilateral lower limb numbness	Sensory blunting
38	感覚鈍麻	Sensory dullness	Sensory blunting
39	痛覚低下	Pain sensation reduction	Sensory blunting
40	左下肢しびれ	Left lower limb numbness	Sensory blunting
41	右下肢しびれ	Right lower limb numbness	Sensory blunting
42	右上肢しびれ	Right upper limb numbness	Sensory blunting
43	知覚鈍麻	Sensory dullness	Sensory blunting
44	下肢しびれ	Lower limb numbness	Sensory blunting
45	四肢しびれ	Limb numbness	Sensory blunting
46	感覚鈍化	Sensory dulling	Sensory blunting
47	手足痺れ	Hand and foot numbness	Sensory blunting
48	しびれG3	Numbness G3	Sensory blunting
49	しびれ増悪	Aggravated numbness	Sensory blunting
50	少ししびれ	Slight numbness	Sensory blunting
51	手足しびれG 1程度	Hand and foot numbness G1 level	Sensory blunting
52	しびれ症状	Numbness symptoms	Sensory blunting
53	感覚麻痺	Sensory paralysis	Sensory blunting
54	手足先痺れ少	Slight numbness in the hands and feet	Sensory blunting
55	ざらざら感	Rough sensation	Sensory blunting
56	しびれ違和感	Numbness discomfort	Sensory blunting
57	食欲低下・しびれ	Decreased appetite and numbness	Anorexia; Sensory blunting
58	頭痛→左足しびれ	Headache leading to left leg numbness	Headache; Sensory blunting
59	麻痺・感覚異常	Paralysis and sensory abnormality	Sensory blunting
60	痺れ両足先、下肢浮腫み痛	Numbness in both toes, lower limb edema pain	Sensory blunting; peripheral edema; lower extremity pain
61	異常感覚 (ピリピリ感	Sensory abnormality (tingling sensation)	Hypersensitivity
62	ピリピリ感マシ	Increased tingling sensation	Hypersensitivity
63	知覚過敏	Hyperesthesia	Hypersensitivity
64	痛覚過敏	Pain hyperesthesia	Hypersensitivity
65	感覚過敏	Sensory hyperesthesia	Hypersensitivity
66	知覚障害	Sensory impairment	Hypersensitivity
67	ピリピリ痛む	Tingling pain	Hypersensitivity
68	ヒリヒリ感	Mild tingling sensation	Hypersensitivity
69	ビリビリ感	Electrical sensation	Hypersensitivity
70	ピリピリ感	Tingling sensation	Hypersensitivity
71	 ピリピリ痛み	Tingling pain	Hypersensitivity
72	皮膚ぴりぴり	Tingling skin	Hypersensitivity
73	ヒリヒリ感軽度	Mild tingling sensation	Hypersensitivity
74	・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・	Prickling sensation	Hypersensitivity
75	 乾燥痛み	Dry pain	Hypersensitivity; Dry skin
76	痛痒	Painful itch	Hypersensitivity; Itching
77	ひりつき	Prickling sensation	Hypersensitivity
78	ぴりつき	Tingling sensation	Hypersensitivity

## Table 10 | Normalize dictionary for the adverse event group of oral mucositis

	Surface form (jp)	Surface form (en)	Normalized form (en)
1	粘膜発赤	Mucosal redness	Oral mucositis
2	口腔症状	Oral symptoms	Oral mucositis
3	口腔内異常 (+ 発赤	Oral abnormality (+ redness)	Oral mucositis
4	口腔粘膜傷害	Oral mucosal injury	Oral mucositis
5	口腔粘膜異常	Oral mucosal abnormality	Oral mucositis
6	口腔内腫脹	Oral swelling	Oral mucositis
7	口内疼痛	Oral pain	Oral mucositis
8	口腔内疼痛	Pain in the mouth	Oral mucositis
9	口全体痛	Pain throughout the mouth	Oral mucositis
10	口腔内違和感	Oral discomfort	Oral mucositis
11	口内炎	Oral ulcer	Oral mucositis
12	口腔粘膜炎	Oral mucositis	Oral mucositis
13	口腔内発赤	Oral redness	Oral mucositis
14	毎クールロ内炎	Oral ulcer every cycle	Oral mucositis
15	口内炎G 2	Oral ulcer G2	Oral mucositis
16	口内炎+	Oral ulcer +	Oral mucositis
17	口内炎状況	Oral ulcer condition	Oral mucositis
18	Grade2口内炎	Grade 2 oral ulcer	Oral mucositis
19	口内炎ひどい	Severe oral ulcer	Oral mucositis
20	G 1 口内炎	G1 oral ulcer	Oral mucositis
21	口腔粘膜炎軽度	Mild oral mucositis	Oral mucositis
22	day8口内炎	Oral ulcer day 8	Oral mucositis
23	歯肉炎	Gingivitis	Oral mucositis
24	歯茎腫れ	Swollen gums	Oral mucositis
25	粘膜炎気	Mucositis	Oral mucositis
26	口唇炎	Cheilitis	Cheilitis
27	口唇発赤	Lip redness	Cheilitis
28	口角炎	Angular cheilitis	Angular Cheilitis
29	G 1 口角炎	G1 angular cheilitis	Angular Cheilitis
30	舌炎	Glossitis	Glossitis
31	G 1舌炎	G1 glossitis	Glossitis

# Table 11 | Normalize dictionary for the adverse event group of taste abnormality

	Surface form (jp)	Surface form (en)	Normalized form (en)
1	味覚障	Taste impairment	Taste abnormality
2	味覚・嗅覚障害	Taste and smell disorder	Taste abnormality
3	味覚障害	Taste disorder	Taste abnormality
4	軽度味覚障害	Mild taste disorder	Taste abnormality
5	G 1味覚変化	G1 taste change	Taste abnormality
6	味覚変化	Taste change	Taste abnormality
7	味覚障害持続	Persistent taste disorder	Taste abnormality
8	味覚障害G 1	Taste disorder G1	Taste abnormality
9	味覚障害感	Sensation of taste disorder	Taste abnormality
10	G 2 苦味	G2 bitterness	Taste abnormality
11	味覚障害残存	Residual taste disorder	Taste abnormality
12	味覚障害悪化	Worsening taste disorder	Taste abnormality
13	G 1味覚障害	G1 taste disorder	Taste abnormality
14	G 1嗜好変化	G1 preference change	Taste abnormality
15	味覚障害悪化傾向	Trend of worsening taste disorder	Taste abnormality

Table 11 (continued) | Normalize dictionary for the adverse event group of taste abnormality

	Surface form (jp)	Surface form (en)	Normalized form (en)
16	味覚症状	Taste symptoms	Taste abnormality
17	味覚障害G 2	Taste disorder G2	Taste abnormality
18	味覚異常持続	Persistent taste abnormality	Taste abnormality
19	味苦味	Bitter taste	Taste abnormality
20	味覚悪化傾向	Trend of worsening taste	Taste abnormality
21	味覚異常day10	Taste abnormality day 10	Taste abnormality
22	味覚障害AC	Taste disorder AC	Taste abnormality
23	増加味覚異常	Increased taste abnormality	Taste abnormality
24	味覚鈍麻	Taste dullness	Taste abnormality
25	味覚異常	Taste abnormality	Taste abnormality

Table 12 | Normalize dictionary for the adverse event group of appetite loss

	Surface form (jp)	Surface form (en)	Normalized form (en)
1	経口摂取不良	Poor oral intake	Loss of appetite
2	食事摂取困難	Difficulty eating	Loss of appetite
3	食欲不振味覚編	Loss of appetite taste related	Loss of appetite
4	倦怠感食欲不振	Fatigue and loss of appetite	General fatigue; Loss of appetite
5	摂取量減少	Decreased intake	Loss of appetite
6	食欲低下・しびれ	Decreased appetite and numbness	Loss of appetite; Sensory blunting
7	食欲低下・抑うつ状態	Decreased appetite and depression	Loss of appetite
8	前半吐き気食欲不振	Nausea and loss of appetite in the first half	Nausea; Loss of appetite
9	経口摂取困難	Difficulty eating	Loss of appetite
10	食事量減少	Decreased food intake	Loss of appetite
11	食欲低下	Decreased appetite	Loss of appetite
12	食欲減退	Decreased appetite	Loss of appetite
13	食思低下	Decreased appetite	Loss of appetite
14	食欲低下持続	Persistent loss of appetite	Loss of appetite
15	食事量低下	Decreased food intake	Loss of appetite
16	食欲減	Reduced appetite	Loss of appetite
17	食欲落ち	Reduced appetite	Loss of appetite
18	食欲不振	Loss of appetite	Loss of appetite
19	食思不振	Loss of appetite	Loss of appetite
20	摂食不良	Poor intake	Loss of appetite
21	食欲不振G2	Loss of appetite G2	Loss of appetite
22	G3食思不振	G3 loss of appetite	Loss of appetite
23	食欲減少	Decreased appetite	Loss of appetite
24	食欲不振G3	Loss of appetite G3	Loss of appetite
25	間食欲不振	Lack of snack appetite	Loss of appetite
26	G2摂食不良	G2 poor intake	Loss of appetite
27	食欲不振G 1	Loss of appetite G1	Loss of appetite
28	G 1 食欲不振	G1 loss of appetite	Loss of appetite
29	食欲低下横ばい	Stable decreased appetite	Loss of appetite
30	食欲低下G2	Decreased appetite G2	Loss of appetite
31	食意低下	Decreased appetite	Loss of appetite

### **Patients**

Participants included in the study were patients aged  $\geq$ 16– < 100 years with all types of malignant neoplasms (ICD-10:C00-C96) registered as the main diagnosis or comorbidity in the database. All stages of the disease were included in the study, without restrictions based on

disease progression or specific classifications. Furthermore, patients were included irrespective of their treatment history, encompassing those who had undergone surgical interventions, radiotherapy, or any other modalities of cancer treatment. A total of four patient groups were identified: patients in three groups were prescribed three classes

### Input text: Nurse's record from April 1, 2021

jp: エルプラット160mg投与。気分不快なし。触れるとピリピリするとの事。

en: Administered 160mg of Elplat. No discomfort. Patient reports experiencing tingling upon touch.

# Normalize dictionary

NER and FA (BERT-based finetuned model)

AE group	Normalized term	Surface form
AL	食欲不振 ("appetite loss")	経口摂取困難 ("difficulty with oral intake")
AL	食欲不振 ("appetite loss")	食事量減少 ("decreased food intake")
TA	味覚異常 ("taste abnormality")	G2苦味 ("G2 bitterness")
TA	味覚異常 ("taste abnormality")	味覚変化 ("change in taste")
ОМ	口内炎 ("oral mucositis")	口内疼痛 ("oral pain")
ОМ	口内炎 ("oral mucositis")	粘膜発赤 ("mucosal redness")
PN	知覚過敏 ("hypersensitivity")	ピリピリ痛み ("tingling pain")
PN	感覚障害 ("sensory disturbance")	温痛覚低下 ("decreased temperature and pain sensation")

	Named entity (jpn)	Named entity (eng)	Entity class	Factua lity	Date
1	エルプラット	Elplat	Drug	-	04/01/2021
2	気分不快	discomfort	Dis/Symp	Neg	04/01/2021
3	ピリピリ	tingling	Dis/Symp	Pos	04/01/2021

EN (String matching using Levenshtein distance)

	Named	Normalized	Named	Normalized	AE
	entity (jpn)	term (jpn)	entity (eng)	term (eng)	group
3	ピリピリ	知覚過敏	Tingling pain	hypersensitivity	PN

Fig. 3 | Overview of NER and EN processing. The process begins with NER and FA using a BERT-based fine-tuned model. This step extracts named entities from the input text and classifies the entity type (e.g., drug, symptom) and the factuality type. In this example, "エルプラット" (Elplat) is identified as a drug, "気分不快" (discomfort) as a negative symptom, and "ピリピリ" (tingling) as a positive symptom. Next, the EN step utilizes string matching with the Levenshtein distance

to align the named entities with the normalized terms from the dictionary. For instance, "ピリピリ" (tingling) is matched to "知覚過敏" (hypersensitivity) and normalized to "tingling pain," which falls under the AE group "PN" (peripheral neuropathy). In the figure, "jpn" refers to Japanese, and "eng" refers to English. The character string corresponding to "eng" is for explanatory purposes and does not appear in the actual analysis.

Table 13 | Definition of anticancer drugs by ATC classification code. Nedaplatin has been approved in Japan, although it does not have an ATC classification code

match

Anticancer drug class	Substances (ATC classification code)	
Platinum compounds	Cisplatin (L01XA01), Carboplatin (L01XA02), Oxaliplatin (L01XA03), Nedaplatin (none)	
Taxanes	Paclitaxel (L01CD01), Docetaxel (L01CD02), Cabazitaxel (L01CD04)	
Pyrimidine analogues	Cytarabine (L01BC01), Fluorouracil (L01BC02), Gemcitabine (L01BC05), Capecitabine (L01BC06), Azacitidine (L01BC07), Tegafur, Combinations (L01BC53), Trifluridine, Combinations (L01BC59)	
Any anticancer drug	Antineoplastic and immunomodulating agents (L)	

of anticancer drugs (Platinum compounds, Taxanes, Pyrimidine analogues), whereas patients in one group were not prescribed any anticancer drug during treatment. Table 13 shows the definition of each drug class according to the ATC classification. The exclusion criteria were: 1) patients with only a suspected diagnosis of cancer, 2) patients who died within 24 h of admission, 3) patients for whom no medical text was available, and 4) patients with an outcome occurring within the previous 180 days before the start of observation.

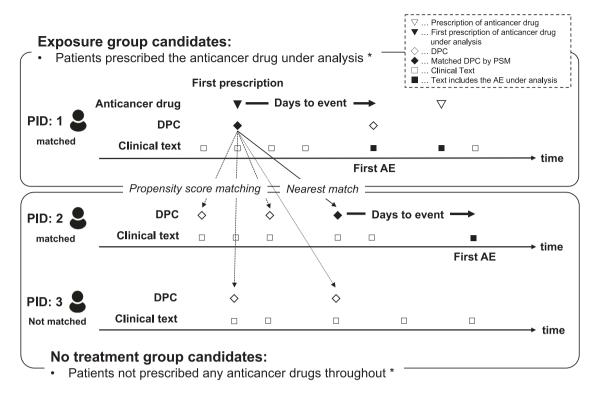
### Exposure/comparison

The groups of patients who received therapy containing Platinum compounds, Taxanes, or Pyrimidine analogues were designated as the platinum-based therapy group, taxane-based therapy group, and pyrimidine-based therapy group, respectively, and were referred to as the PLT group, TAX group, and PYA group, respectively. The group with patients who were not prescribed any anticancer drug was designated the NTx group. Comparisons were made between the PLT

group and NTx group, TAX group and NTx group, and PYA group and NTx group.

### Outcome

The observation start date for the PLT, TAX, and PYA groups was the date of the first prescription of each anticancer drug, and the occurrence of PN, OM, TA, and AL within 365 days was defined as the outcome. Conversely, the observation start date for the NTx group was determined from multiple possible hospitalization dates after the time of the first diagnosis of cancer, and the occurrence of PN, OM, TA, and AL within 365 days was defined as the outcome. The observation start date for the NTx group was the date of hospitalization for DPC matched by PSM<sup>60</sup>. AEs of PN, OM, TA, and AL were considered to have occurred if the named entities of the disease name class extracted by NER had a positive factual attribute and matched any of the surface forms shown in Tables 9–12. The occurrence date was the document record date. In the analysis of performance, the MedNERN positive disease name class



\* Patients with the AE under analysis recorded within 180 days before observation were excluded

Fig. 4 | Schematic representation of data arrangement on the timeline and PSM process. The diagram illustrates the selection of exposure group candidates (patients prescribed the anticancer drug under study) and no-treatment group candidates (patients did not prescribe any anticancer drugs throughout the observation period). Each patient's timeline includes the initial anticancer drug prescription, data points

from the DPC database, and clinical text records. PSM was employed to identify the closest match between exposure and no-treatment groups using the DPC data. Time-to-event analysis measured the days from the first prescription date to the initial AE occurrence. Patients with AEs documented within 180 days prior to the observation start were excluded from the analysis.

extraction achieved a macro-F value of 59.21% for case reports and 84.88% for radiology reports<sup>58</sup>.

### Covariates

A total of 33 items obtained from DPC were used as covariates for PSM: age, sex, smoking index, initial cancer occurrence, three types of ADL (eating, walking, defecation), 14 types of cancer sites (defined by ICD-10), and 12 types of comorbidities (defined by ICD-10). Binary variables were set with  $\geq\!65$  years and <65 years for age,  $\geq\!400$  and <400 for smoking index, and independent and others for ADL. A total of 51 covariates that were aggregated in ATC 5-digit units for anticancer drugs other than those to be analyzed and that were prescribed in the past 180 days from the observation start date (i.e., L01AA Nitrogen mustard analogues) were set for the Cox PH model.

### Propensity score matching

The occurrences of PN, OM, TA, and AL were evaluated by comparing the PSM between the PLT and NTx groups, TAX and NTx groups, and the PYA and NTx groups. The propensity score (PS) at which PLT, TAX, and PYA are prescribed was estimated using multivariable logistic regression with the 33 items obtained from the DPC as explanatory variables. One-to-one nearest neighbor matching without replacement was used for the estimated PS, with a caliper width of 0.2 standard deviation one heavily and the NTx group who were matched once were excluded from the pool. The covariates between the two groups were compared using ASD before and after PSM. When the ASD was > 10%, the imbalance of variables between the two groups was considered negligible of the covariates, recurrence, smoking index, and the three ADL types included missing values of about 19%–43%. Therefore, the multiple imputation by chained equation method was used prior to PSM

to conduct multiple imputation 20 times for the missing values<sup>62</sup>. Fig. 4 shows an example of the arrangement of various data on the time series and an overview of matching.

### Time-to-event analysis

After PSM, the Cox PH model was used to model the time to occurrence of the AE. The possibility that AEs may have been caused by other anticancer drugs prescribed before the start of the observation could not be excluded for the PLT, TAX, and PYA groups. Therefore, in addition to the analyzed anticancer drugs, 51 other anticancer drugs prescribed in the 180 days before the start of observation were included as covariates to adjust for these effects. However, anticancer drugs with a prescription frequency >1% were excluded to stabilize the model. Additionally, multicollinearity was avoided by calculating the Pearson correlation coefficients for the combination of variables between the anticancer drugs analyzed and other anticancer drugs and other anticancer drugs with correlations of ≥0.3 in absolute value were excluded from the analysis. HRs with 95% CI were estimated in order to examine the association between the use of the anticancer drugs analyzed and the outcomes after 12 months. Cumulative incidence curves and the log-rank test were used for event analysis. The significance level was set at p < 0.05. All tests were two-sided. All analyses were conducted using Stata/MP 18.0 version software (StataCorp, College Station, TX, USA). Fig. 5 shows a flowchart from patient selection to time-to-event analysis.

### Applications to AE comparison between anticancer drugs

We investigated the HRs of AEs for two different anticancer drugs within the same class under two scenarios to demonstrate more specific applications: 1) We evaluated the risk difference for PN between

### Database:

Hospitalized patients aged 16 to 99 years from January 1, 2004, to December 31, 2021

### Inclusion:

- Patients registered with ICD-10 codes for Malignant Neoplasms (C00-C96) in the DPC. (n=44,502)
  - Patients prescribed any of the three types of anticancer drug classes: PLT, TAX, and PYA.
  - Patients not prescribed any anticancer drugs throughout.

### For each combination of anticancer drug class and adverse events:

(PLT, TAX, PYA) × (PN, OM, TA, AL)

### **Exclusion:**

- 1. Patients with a suspected cancer diagnosis only.
- 2. Patients who died within 24 hours of hospitalization.
- 3. Patients with no clinical records in text form.
- 4. Patients with a recorded outcome within 180 days before the observation start date.

### Missing data imputation

Multiple Imputation by Chained Equation (20 times)

### Propensity score matching

**Exposure group:** Patients prescribed the anticancer drug class under analysis.

**No treatment group:** Patients not prescribed any anticancer drugs throughout. **Method:** 

 One-to-one nearest neighbor matching with a caliper width of 0.2 of the standard. deviation of the logit of the propensity score, utilizing a total of 33 variables.

### Time to event analysis

Model: Cox proportional hazards model.

### Covariates:

- Prescription of the anticancer drug class under analysis.
- Prescription of other anticancer drug classes in the past 180 days in the exposure group.

Outcome: Days to occurrence of the AE group under analysis.

Fig. 5 | Flowchart from patient selection to time-to-event analysis. The study included hospitalized patients aged 16 to 99 years from January 1, 2004, to December 31, 2021. Patients registered with ICD-10 codes for Malignant Neoplasms in the DPC were included. The inclusion criteria encompassed patients prescribed any of the three anticancer drug classes and those did not prescribe any anticancer drugs throughout. Exclusion criteria were applied to patients with suspected cancer diagnosis only, those who died within 24 h of hospitalization, patients without clinical records in text form, and those with a recorded outcome within 180 days

before the observation start date. Missing data were handled using multiple imputation by chained equation, performed 20 times. Propensity score matching was then conducted, pairing the exposure group with the no treatment group using a one-to-one nearest neighbor matching method. Finally, a time-to-event analysis was performed using a Cox PH model. The model considered the prescription of the anticancer drug class under analysis and the prescription of other anticancer drug classes in the past 180 days as covariates, with the outcome being days to occurrence of the adverse event group under analysis.

oxaliplatin and cisplatin, as oxaliplatin is known to have a higher incidence of PN<sup>63</sup>. Specifically, we constructed a logistic regression model to calculate PS for patients who received either cisplatin or oxaliplatin, using cisplatin administration as the classification variable. After identifying two groups of patients through PSM, we estimated the HR for PN occurrence within 360 days of the initial administration of either cisplatin or oxaliplatin. 2) Similarly, we assessed the risk difference for OM between docetaxel and paclitaxel, as docetaxel is associated with a higher frequency of OM64. In both scenarios, we identified and compared the two groups of patients with similar backgrounds using PSM. Unlike the primary analysis, we calculated PS scores using a logistic regression model that included not only the 33 covariates obtained from the DPC database but also the history of up to 51 classes of anticancer drugs, as both groups had a history of anticancer drug use. Additionally, we used ASD as an indicator of covariate adjustment by PSM. For covariates with an ASD exceeding 10%, we adjusted the HR estimation using a multivariate Cox PH model after PSM. All other analytical settings remained consistent with the primary analysis. Furthermore, we examined cumulative incidence curves based on the number of prescriptions for anticancer drugs and visually inspected log-transformed cumulative incidence curves to confirm the proportional hazards assumption. We used the number of prescriptions rather than the dosage of anticancer drugs because the prescription data used in this study did not contain information on the actual doses administered to patients.

### Sensitivity analysis

First, we evaluated the performance of NLP in extracting AEs and examined the impact of NLP errors on outcomes. NLP performance was assessed by randomly selecting 100 matched pairs (800 cases in total) from each comparison of the four AEs (PN, OM, TA, and AL) in the PLT and NTx groups after PSM. We manually annotated the clinical texts spanning the entire

course of each case at the paragraph level, using line breaks as paragraph boundaries, to determine the presence of the relevant AE. For patients with completely duplicate paragraphs, only the first recorded paragraph was considered. Paragraphs without any expression of AEs were labeled as Negative. Furthermore, we classified paragraphs as Positive if they contained expressions suggesting the occurrence of the AE, excluding cases where the influence of anticancer drugs was clearly negated (e.g., when the impact of other treatments or diseases was evident). A pharmacist specializing in cancer pharmacotherapy (MT) performed the annotation, which was then reviewed by a physician with experience in cancer pharmacotherapy (YK). NLP predictions were also made at the paragraph level, and the results were evaluated using binary classification metrics: Recall, Precision, and F-Value. Regarding the impact on outcomes, we identified the occurrence dates of AEs based on manual extraction as the gold standard. We then examined the influence of NLP errors on both the presence of outcomes and the time to occurrence.

Second, since the NTx group was not treated with anticancer drugs, these patients underwent fewer medical examinations during the observation period than those in the PLT, TAX, and PYA groups; thus, the risk of AE occurrence may be estimated as low. Therefore, the number of cases, M, corresponding to N% of the cases in the NTx group in which the AE occurred was calculated, and a simulation was conducted to calculate the HR again using the Cox PH model, assuming that the AE occurred in M cases randomly selected from those in the NTx group in which the AE did not occur<sup>65</sup>. The number of days until the event occurred in cases in which the AE was assumed to have occurred was estimated using a parametric Cox PH model in which the time to the event follows the Weibull distribution. The N value was increased by 10% up to 50%, and the average HR and 95% CI of the results of 10 simulations for each value of N are shown. Finally, we evaluated and examined the HRs for each comparative experiment with observation periods of 30 and 180 days. All other settings remained consistent with the primary analysis, with the exception of the observation period.

### Data availability

The datasets analyzed during the current study are not publicly available due to the restriction imposed by the research ethics committee of the Graduate School of Medicine and Faculty of Medicine, The University of Tokyo, because they contain sensitive patient information. Disclosure of data is neither included in the ethics application nor allowed in this study, as per the policy of The University of Tokyo Hospital. For the other specific information, please contact the corresponding author.

### **Code availability**

The underlying NLP tool, MedNERN, and the Dictionary of Adverse Events for MedNERN used in this study can be accessed via the following links: https://huggingface.co/sociocom/MedNERN-CR-JA. https://github.com/sociocom/MedDic-ADE.

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### Author contributions

Y.K.: Conceptualization, Methodology, Resources, Writing—original draft, Writing—review and editing, Funding acquisition. K.S.: Software, Methodology, Data Curation, Writing—original draft. T.S.: Methodology, Formal analysis. M.T.: Investigation, Writing—review and editing. E.S.: Software. S.Y.: Software. S.W.: Software. S.I.: Investigation, Writing—review and editing. SH: Conceptualization, Funding acquisition, Supervision. EA Software, Funding acquisition, Supervision. All authors read and approved the final manuscript.

### **Competing interests**

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### Additional information

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