

# Hospital Variation in Survival After In-hospital Cardiac Arrest

Raina M. Merchant, MD, MSHP; Robert A. Berg, MD; Lin Yang, MS; Lance B. Becker, MD; Peter W. Groeneveld, MD, MS; Paul S. Chan, MD; for the American Heart Association's Get With the Guidelines-Resuscitation Investigators

**Background**—In-hospital cardiac arrest (IHCA) is common and often fatal. However, the extent to which hospitals vary in survival outcomes and the degree to which this variation is explained by patient and hospital factors is unknown.

*Methods and Results*—Within Get with the Guidelines-Resuscitation, we identified 135 896 index IHCA events at 468 hospitals. Using hierarchical models, we adjusted for demographics comorbidities and arrest characteristics (eg, initial rhythm, etiology, arrest location) to generate risk-adjusted rates of in-hospital survival. To quantify the extent of hospital-level variation in risk-adjusted rates, we calculated the median odds ratio (OR). Among study hospitals, there was significant variation in unadjusted survival rates. The median unadjusted rate for the bottom decile was 8.3% (range: 0% to 10.7%) and for the top decile was 31.4% (28.6% to 51.7%). After adjusting for 36 predictors of in-hospital survival, there remained substantial variation in rates of in-hospital survival across sites: bottom decile (median rate, 12.4% [0% to 15.6%]) versus top decile (median rate, 22.7% [21.0% to 36.2%]). The median OR for risk-adjusted survival was 1.42 (95% CI: 1.37 to 1.46), which suggests a substantial 42% difference in the odds of survival for patients with similar case-mix at similar hospitals. Further, significant variation persisted within hospital subgroups (eg, bed size, academic).

*Conclusion*—Significant variability in IHCA survival exists across hospitals, and this variation persists despite adjustment for measured patient factors and within hospital subgroups. These findings suggest that other hospital factors may account for the observed site-level variations in IHCA survival. (*J Am Heart Assoc.* 2014;3:e000400 doi: 10.1161/JAHA.113.000400)

Key Words: cardiac arrest • cardiopulmonary resuscitation • heart arrest • resuscitation

**E** ach year,  $\approx$ 200 000 in-hospital cardiac arrests (IHCA) occur in the United States, with overall survival rates of 18% to 20%.<sup>1-3</sup> However, the extent to which hospitals vary in survival rates for IHCA remains poorly understood, as prior studies have largely reported aggregate survival rates at the patient level or have had a limited sample of hospitals, and most studies have lacked detailed information on patient factors to ensure adequate case-mix adjustment.<sup>1,2,4-8</sup>

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Furthermore, the extent of site-level variation in neurologic status among survivors—an outcome of great importance to many patients, their family members, and providers—remains unknown.

Defining variability in survival rates, and examining the degree to which variation persists even after adjusting for patient case-mix, would provide important insights into which hospitals could improve resuscitation care and offer opportunities to identify best practices at these hospitals. If significant variability in survival exists even after adjusting for patient case-mix, this may suggest that resuscitation process factors (eg, time to defibrillation, quality of cardiopulmonary resuscitation) and quality improvement initiatives may be the primary reasons for substantially higher survival rates in top-performing hospitals.<sup>9–11</sup> Moreover, it would suggest a greater urgency to develop appropriate research methodology to identify which factors are associated with "best practices" at hospitals with the highest IHCA survival.

Accordingly, within the American Heart Association's Get With The Guidelines<sup>®</sup>-Resuscitation (GWTG-R), a large national in-hospital cardiac arrest registry, we examined the extent to which hospitals varied in rates of survival to discharge among patients with IHCA. GWTG-R is an ideal data source, as it contains IHCA data from over 400 US hospitals and collects

From the Division of General Internal Medicine (L.Y., P.W.G.), Center for Clinical Epidemiology and Biostatistics (L.Y., P.W.G.), Department of Anesthesiology and Critical Care Medicine, The Children's Hospital of Philadelphia (R.A.B.), and Department of Emergency Medicine (R.M.M., L.B.B.), University of Pennsylvania, Philadelphia, PA; St. Luke's Mid-America Heart Institute, Kansas City, MO (P.S.C.).

An accompanying Table S1 is available at http://jaha.ahajournals.org/ content/3/1/e000400/suppl/DC1

**Correspondence to:** Raina M. Merchant, MD, MSHP, University of Pennsylvania, 423 Guardian Street 1022 Blockley Hall, Philadelphia, PA 19104. E-mail: raina.merchant@uphs.upenn.edu

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detailed information on a number of patient and cardiac arrest characteristics to ensure robust case-mix adjustment in a specific patient cohort.

# Methods

# **Study Database**

This was a multicenter cohort study using data from GWTG-R to calculate hospital risk-adjusted survival rates for IHCA. GWTG-R is sponsored by the American Heart Association (AHA) and is a large, comprehensive, prospective national database of IHCA in the US. This dataset also has information from Outcome, a Quintiles Company which is the data collection and coordination center for the GWTG-R program. Data are collected from participating acute care hospitals according to standardized Utstein definitions for IHCA.<sup>12</sup> Specially trained research coordinators at each facility routinely abstract detailed data from the medical chart about resuscitation events if the event elicits an in-hospital emergency resuscitation response and a code record is generated. These data include pre-, intra-, and postarrest variables, as well as survival at discharge. The AHA provides rigorous quality control and oversight for all GWTG-R data collection, analysis, reporting, and research studies.

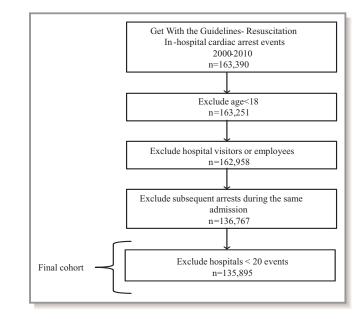
## **Study Population**

The study population included all adult patients 18 years of age or older enrolled within the registry between January 1, 2001 and December 31, 2010. Initially, 163 390 patients from 607 hospitals were identified (Figure 1). We excluded IHCA events in individuals who were not hospital patients, including hospital visitors or employees (n=293). Since patients may have had recurrent IHCA events, we focused on the index IHCA and excluded 26 190 recurrent arrests. As our study objective was to examine hospital variation in survival outcomes, we excluded 872 IHCA events from 94 hospitals with a case volume of fewer than 20 IHCAs. The final study cohort comprised 135 896 IHCAs from 468 hospitals.

## **Study Design and Variables**

Valid comparisons of IHCA survival rates across hospitals require statistical adjustment. We first estimated unadjusted survival rates by hospital. We then adjusted these rates for demographics, comorbidities, and intra-arrest factors.

Patient-level factors collected within GWTG-R include demographics and comorbid conditions. These factors are important to control for, as older and sicker patients are known to have worse outcomes after in-hospital arrest.<sup>1,7,13</sup> Therefore, the following patient-level factors were evaluated



**Figure 1.** Study cohort exclusion/inclusion criteria. This figure illustrates the exclusion and inclusion criteria applied to the Get With the Guidelines-Resuscitation dataset.

for model inclusion: age, sex, race, residence prior to hospitalization, and prearrest comorbidities (neurologic conditions, arrhythmia, myocardial infarction, congestive heart failure, diabetes, pneumonia, respiratory insufficiency, hepatic insufficiency/failure, renal insufficiency/failure, hypotension/ hypoperfusion, hematology/oncology conditions, major trauma, and septicemia). Additionally, available arrest characteristics were collected in GWTG-R and included arrest etiology, presenting rhythm, event location, prearrest in-place interventions, and time and day of arrest.

## **Hospital-Level Factors**

Several hospital factors have previously been identified as important predictors of IHCA outcomes<sup>14–17</sup> yet these factors are not often accounted for in multicenter studies of IHCA. Modifiable hospital-level factors that are associated with outcomes are particularly important as they can reveal opportunities for improvement. Structural variables included in our analysis were: bed size (small; 6 to 99 beds, medium; 100 to 400, and large; greater than 400), academic status, and urban compared with rural location. These variables are included in GWTG-R and are adapted from the American Hospital Association Annual Survey.

#### Outcomes

The primary outcome measure was survival at hospital discharge. In this study, we calculated risk-adjusted hospital rates for these outcomes. Moreover, since several hospital factors have previously been identified as important predic-

tors of IHCA outcomes,<sup>14–17</sup> we examined how (patient-level) risk-adjusted survival rates varied by hospital bed size, academic status, and urban designation.

#### Statistical Analyses

Summary statistics were used to describe the patients included in the sample. The unadjusted IHCA survival rate for each hospital was calculated, and hospital variation in unadjusted rates of survival was examined by deciles.

We then used multivariable hierarchical regression models to calculate risk-adjusted hospital rates of survival to discharge. This approach allowed us to control for clustering of patients within sites.<sup>18</sup> Factors hypothesized to affect outcomes after IHCA were included as covariates in the model. These included the previously described demographic variables (age, race, gender, residence prior to admission), comorbidities, intraarrest factors (eg, initial rhythm, in-place interventions), and arrest factors (eg, etiology, location, time). The year of arrest was also included to control for temporal trends in survival. From the model, we used the model coefficients to calculate the predicted survival probability for each patient. These probabilities were summed within each hospital to then produce a hospital survival rate. For illustrative purposes, the survival rates for hospitals in each decile were compared. Moreover, we examined survival rates (risk-adjusted for patient level factors) across hospital deciles after stratifying the sample by bed size, academic status, and urban designation.

Finally, we quantified the extent of variation in risk-adjusted survival across hospitals in the registry using the median odds ratio (MOR), which was derived from the hierarchical model after adjusting for patient factors as follows:  $MOR = exp[\sqrt{2\sigma^2} \cdot \Phi^{-1}(0.75)]$ .<sup>19–21</sup> The MOR is estimated from hierarchical models and includes only patient-level factors. It does not have an associated confidence interval. The MOR describes the likelihood that patients with similar measured covariates would have different outcomes at randomly chosen hospitals. A MOR of 1 suggests no variation in outcomes across hospitals. A MOR of 1.5 suggests that a patient would have a 50% greater odds of a particular outcome at a randomly identified hospital when compared with a similar patient.

All statistical analyses were performed using SAS version 9.1 (SAS Institute Inc). All analyses were evaluated at a 2-sided significance level of 0.05. This study was approved by the Institutional Review Board at the University of Pennsylvania.

#### Results

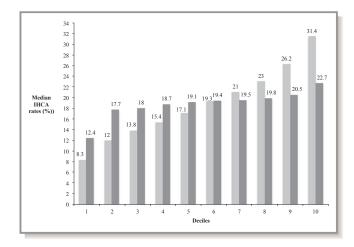
We evaluated a total of 135 896 in-hospital cardiac arrest events at 468 hospitals (Figure 1). Of these, 78 787 (58%)

were men, 93 945 (74%) were of white race, and 112 877 (83%) were over 50 years of age (Table S1). Two in 5 IHCA events had ventricular tachycardia or ventricular fibrillation (VF/VT) as the presenting rhythm and 86 672 (64%) occurred in an intensive care unit. Half, 52% (245) of all study hospitals were academic teaching hospitals (245), 89% (419) were in urban settings, and 278 (59%) had at least 100 beds.

#### Variation in Hospital Survival Rates

Unadjusted rates of survival to discharge varied markedly across hospitals, with a median unadjusted rate for the bottom decile of 8.3% (range: 0% to 10.7%) and for the top decile of 31.4% (range: 28.6% to 51.7%) (Figure 2). Using hierarchical regression, we identified 36 predictors of inhospital survival to discharge (Table 1). Among the predictors were key demographic factors including age, female sex (OR 1.10; 95% CI: 1.06 to 1.13, P<0.01), and black race (OR 0.93; 95% CI: 0.87 to 0.99, P=0.03), comorbidities such as arrhythmia (OR 1.24; 95% CI: 1.20 to 1.28, P<0.01), and myocardial infarction (OR 1.19; 95% CI: 1.14 to 1.24, P<0.01), and intraarrest factors including cardiac etiology (OR 1.18; 95% CI: 1.14 to 1.23, P<0.01) and initial rhythm of VF or VT (OR 3.14; 95% CI: 3.02 to 3.27).

After applying the model coefficients to patients in the sample, we calculated risk-adjusted survival rates for IHCA for each hospital. Rates were adjusted for the patient-level factors identified in Table 1. The median adjusted rate was 12.4% (range: 0% to 15.6%) for the bottom decile and 22.7% (range: 21.0% to 36.2%) for the top decile. Of note, of the 46 hospitals in the top decile for IHCA survival before risk



**Figure 2.** Unadjusted and adjusted median in-hospital cardiac survival rates by hospital decile. This figure illustrates in-hospital cardiac arrest (IHCA) rates (*y*-axis) across hospitals. Median unadjusted rates are light gray bars and adjusted rates are dark gray bars. Hospital deciles are on the *x*-axis. Rates are adjusted for the patient-level factors identified in Table 1.

## Table 1. Model Predictors for Survival to Discharge

	Survival to Discharge	Survival to Discharge		
	OR	95% CI	P Value	
Age, y (ref >81)				
18 to 50	2.27	2.15 to 2.40	<0.0001	
1 to 60	2.25	2.14 to 2.37	<0.0001	
1 to 70	2.0	1.90 to 2.10	<0.0001	
71 to 80	1.52	1.45 to 1.60	<0.0001	
Gender (ref male)				
Female	1.10	1.06 to 1.13	<0.0001	
Race (ref other)				
White	1.15	1.09 to 1.21	<0.0001	
Black	0.93	0.87 to 0.99	0.03	
Residence pre-admission (ref other)		· · · · ·	· · · · · · · · · · · · · · · · · · ·	
Home	1.18	1.14 to 1.22	<0.0001	
Comorbidities				
Neurologic	0.89	0.84 to 0.94	<0.0001	
Arrhythmia	1.24	1.20 to 1.28	<0.0001	
Myocardial Infarction	1.19	1.14 to 1.24	<0.0001	
Congestive Heart Failure	0.95	0.92 to 0.98	0.002	
Diabetes	1.12	1.08 to 1.15	<0.0001	
Pneumonia	0.93	0.89 to 0.98	0.01	
Respiratory insufficiency	0.96	0.93 to 1.0	0.04	
Hepatic insufficiency/failure	0.54	0.51 to 0.59	<0.0001	
Renal insufficiency/failure	0.78	0.76 to 0.81	<0.0001	
Hypotension/Hypoperfusion	0.71	0.68 to 0.74	<0.0001	
Hematology/Oncology	0.50	0.47 to 0.52	<0.0001	
Major Trauma	0.79	0.73 to 0.87	<0.0001	
Septicemia	0.70	0.66 to 0.73	<0.0001	
Initial rhythm (ref other)	· · · · ·	· · · · · · · · · · · · · · · · · · ·	· · · · · ·	
VF/VT	3.14	3.02 to 3.27	<0.0001	
Pulseless electrical Activity	1.06	1.02 to 1.10	0.002	
Other	2.17	2.03 to 2.31	<0.0001	
Arrest etiology				
Cardiac cause	1.18	1.14 to 1.23	<0.0001	
Respiratory cause	0.96	0.93 to 0.99	0.12	
Neurologic cause	0.83	0.72 to 0.97	0.02	
Metabolic cause	0.71	0.69 to 0.74	<0.0001	
Toxicologic cause	2.36	2.06 to 2.70	<0.0001	
Arrest location (ref unmonitored)				
Intensive care unit	1.60	1.53 to 1.68	<0.0001	
Monitored	1.72	1.64 to 1.81	<0.0001	
Arrest time of day: night (ref day)	0.74	0.72 to 0.76	<0.0001	
Arrest time: weekend (ref weekday)	0.86	0.83 to 0.89	<0.0001	

Continued

#### Table 1. Continued

	Survival to Discharge				
	OR	95% CI	P Value		
Pre-arrest interventions					
Airway	0.59	0.57 to 0.62	<0.0001		
Central line	1.09	1.04 to 1.114	0.01		
Pacemaker/ICD	0.99	0.93 to 1.05	0.72		
Anti-arrhythmic medication	1.20	1.13 to 1.29	<0.0001		
Vasoactive medication	0.54	0.52 to 0.57	<0.0001		
Intra-aortic balloon pump	1.03	0.91 to 1.16	0.67		
Dialysis	0.82	0.75 to 0.90	<0.0001		

CI indicates confidence interval; ICD, implanted cardioverter defibrillator; OR, odds ratio; VF/VT, ventricular fibrillation/ventricular tachycardia.

adjustment, only 24 (52%) remained in the top decile after risk adjustment. To illustrate the extent of hospital variation in rates of survival to discharge, we determined that the median OR was 1.42 (95% CI: 1.37 to 1.46), which suggests that there was a 42% greater odds of patients with identical covariates surviving to hospital discharge at one randomly selected hospital compared with another.

We also examined whether hospital variation in survival rates persisted within hospital subgroups. After multivariable adjustment, the median adjusted survival rate was 11.9% (range: 0% to 14.8%) for the bottom decile of academic hospitals and 22.8% (range: 20.8% to 36.2%) for the top decile. Similar patterns of hospital variation were observed for nonacademic hospitals and by hospital bed size and rural versus urban status (Table 2).

## Discussion

In a large national registry of IHCA, we found substantial variation in survival across hospitals. This variability was not explained by differences in patient case-mix or hospital characteristics. Given that survival outcomes are of immense importance to patients with IHCA, these findings raise questions about the quality of resuscitation care at hospitals in the lower deciles and offer important opportunities to identify best practices at hospitals in the upper deciles.

Our study extends the work of prior studies of IHCA, which largely focused on patient-level aggregate rates of survival. While important, most of these studies have not examined the influence of the hospital in affecting survival. By employing multilevel hierarchical models to identify patient predictors in this study, we were able to derive robust risk-adjusted survival rates for each hospital, which allowed us to examine the extent of site-level variation. Indeed, we found that the hospital at which one is admitted may influence one's odds of survival by 42%, an enormous effect considering that this is a "hard" clinical end points.

However, the reason for these large variations in outcomes across hospitals is less clear. These variations in survival may suggest that significant differences in high-quality resuscitation care exist among hospitals. Top performing hospitals may have better response times for defibrillation, chest compressions, and initiation of Acute Cardiac Life Support medications (eg, epinephrine, vasopressin), or may provide higher-quality cardiopulmonary resuscitation (eg. adequate depth, positioning and rate, minimal interruptions). These hospitals may also excel in postresuscitation care (eg, therapeutic hypothermia), which arguably is an equally important determinant of survival after IHCA.<sup>22–24</sup> Moreover, top performing hospitals may differ in structural variables (eg, nursing staff ratios), training variables (eg, routine resuscitation simulations, debriefing after IHCA events), and hospital culture (eg, leadership, emphasis on quality improvement, differences in duration of CPR)—all of which may improve IHCA survival outcomes.<sup>25–27</sup>

A second reason for significant variation in hospital survival may be related to differences in care prior to cardiac arrest. It is possible that top performing hospitals were able to achieve high rates of survival because their prearrest systems of care were able to detect physiological decline in patients earlier rather than later. As a result, their ability to respond and treat patients who developed IHCA was more timely and effective. Presence or absence of rapid response teams and the structure and function of these teams may also be a contributing factor to across-hospital variation in outcomes. Alternatively, it is plausible that hospitals with the highest rates of IHCA survival do so because they have a high cardiac arrest incidence rate. Therefore, they only "appear" to perform well with cardiac arrest survival because some patients go on to develop IHCA when the event could have been prevented. As the relationship between incidence and

#### Table 2. Stratified (Bottom and Top Decile) Survival Rates by Hospital Type

	Median Unadjusted Rates (min, max)	Median Adjusted Rates (min, max)
Academic status		
Academic hospitals (n=245)	18.6% (0% to 51.7%)	19.3% (0% to 36.2%)
Bottom decile (n=25)	8% (0% to 10.6%)	11.9% (0% to 14.8%)
Top decile (n=24)	30.1% (28.2% to 51.7%)	22.8% (20.8% to 36.2%)
Non-academic hospitals (n=223)	18.2% (0% to 43.3%)	19.2% (0% to 30.1%)
Bottom decile (n=22)	9% (0% to 10.9%)	12.9% (0% to 16%)
Top decile (n=22)	32.8% (29.78% to 43.2%)	22.5% (21.5% to 31%)
Hospital bed size	·	
Small bed size (n=190)	16.6% (0% to 51.7%)	18.9% (0% to 36.1%)
Bottom decile (n=19)	7.3% (0% to 9.4%)	10.4% (0% to 14.1%)
Top decile (n=19)	33% (29.8% to 51.7%)	24.1% (22% to 36.2%)
Medium bed size (n=185)	18.9% (0% to 43.2%)	18.8% (0% to 27.7%)
Bottom decile (n=18)	9.4% (0% to 10.6%)	13% (0% to 16.1%)
Top decile (n=18)	30.8% (28.5% to 43.2%)	22.2% (21% to 27.7%)
Large bed size (n=93)	19.1% (0% to 42.3%)	19% (0% to 29.6%)
Bottom decile (n=9)	10.9% (0% to 12.5%)	16.2% (0% to 17.1%)
Top decile (n=9)	28.7% (27.7% to 42.3%)	21.9% (20.5% to 29.6%)
Location designation		
Urban designation (n=415)	17.9% (0% to 51.7%)	19.2% (0% to 36.1%)
Bottom decile (n=41)	8% (0% to 10.6%)	11.5% (0% to 15.4%)
Top decile (n=41)	31.2% (28.5% to 51.7%)	22.2% (21% to 36.2%)
Rural designation (n=53)	20.2% (7.9% to 38.4%)	19.4% (10.9% to 29.9%)
Bottom decile (n=5)	9.4% (7.9% to 10.9%)	15.9% (10.9% to 16%)
Top decile (n=5)	36.1% (31.8% to 38.5%)	26.7% (25.7% to 30%)

Max indicates maximum; min, minimum.

outcomes is likely nonlinear, and there are multiple ways that incidence could impact outcomes, this variable was not included in this analyses but could be modeled in future work.

Finally, hospital differences in IHCA survival and may be due to differential application of do-not-resuscitate orders for all admitted patients.<sup>28,29</sup> Thus, it is possible that the top performing hospitals have higher rates of do-not-resuscitate orders—which would be a good "outcome" in the right patient— and therefore would only appear to have better resuscitation outcomes.

In order to better understand the determinants of hospital variation in IHCA outcomes, further studies are needed using nonconventional methodologies, such as mixed-methods analyses.<sup>30</sup> These approaches will require on-site qualitative interviews with hospital administrators and medical and nursing staff to better understand a given site's resuscitation practices, strategies to monitor and prevent IHCA, and hospital culture and leadership. Moreover, these analyses

can provide insights into whether the success of higher performing hospitals is largely due to more liberal use of donot-resuscitate orders. In the past, a mixed-methods approach was critical in differentiating hospitals with better door-to-balloon times for ST-elevation myocardial infarction and identifying core components of top performing hospitals.<sup>31</sup> Needed are clear guidelines and policies that hospitals can implement to measure their performance, provide feedback to providers, and modify hospital-wide practices. In order to move the field of in-hospital resuscitation science forward, future studies will need to shift from describing hospital variation to identifying and proscribing best practices at top performing hospitals.

#### Limitations

Although our risk-adjusted rates were able to control for multiple confounders, the presence of residual confounding in

affecting IHCA outcomes exists. If rates of unmeasured confounders differed markedly across hospitals, this could affect the magnitude of our hospital variation findings. There may also be additional, more granular patient-level differences<sup>32</sup> (eg, laboratory values: prearrest creatinine, prearrest hematocrit, liver function tests) or differences in data collection between hospitals that are not measurable in the GWTG-R registry but may be important to consider in interpreting our reported results. Patient and family preferences regarding endof-life care were not collected in GWTG-R, nor did we have rates of do-not-resuscitate orders (and timing or reason for these orders) for all hospital admissions. Therefore, some of the observed variation in IHCA survival may be due to systematic differences in how aggressively hospitals offered guidance to patients on end-of-life care. Additionally, systematic validated information about neurologic status is not available for all patients in the GWGT-R dataset. There may be significant variability in hospitals' survival to discharge rates and survival to discharge with favorable neurologic outcomes, which could be identified. A better understanding of this important clinical outcome would be important for future work evaluating hospital variability in IHCA outcomes. Finally, GWTG-R is a voluntary registry of hospitals; therefore, our findings may not be generalizable to all US hospitals.

## Conclusion

Although IHCA is associated with low survival, significant variation in survival exists across hospitals, even after adjustment for patient case-mix. Further research is needed to investigate additional patient-level factors and identify best practices at top performing hospitals so that IHCA outcomes can improve at all hospitals.

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