www.bjcancer.com

COX-2 expression is associated with an aggressive phenotype in ductal carcinoma *in situ*

GP Boland¹, IS Butt¹, R Prasad¹, WF Knox² and NJ Bundred^{*,1}

¹Academic Department of Surgery, University Hospital of South Manchester, Southmoor Road, Manchester M23 9LT, UK; ²Department of Pathology, University Hospital of South Manchester, Southmoor Road, Manchester M23 9LT, UK

Cyclooxygenase type-2 (COX-2) is overexpressed in malignant tumours including breast cancers, though the mechanism of upregulation is unclear. This study aimed to determine COX-2 expression in ductal carcinoma *in situ* (DCIS) in comparison to invasive breast cancer (IBC) and normal breast, and also to investigate the relationship of COX-2 expression with HER-2 expression, oestrogen receptor (ER), tumour grade and cellular proliferation (Ki67) in DCIS. Cyclooxygenase type-2, HER-2, ER and Ki67 expression were determined by immunohistochemistry on paraffin tissue sections of DCIS (n = 187), IBC (n = 65) and normal breast reduction tissue (n = 60). Cyclooxygenase type-2 expression in DCIS (67%, P < 0.001) and IBC (63%, P < 0.001) was significantly greater than in normal breast (23%). There was no difference in COX-2 expression level between DCIS and IBC (P = 0.87) or between normal breast from reduction mammoplasty tissue and normal breast ducts around DCIS (22%, P = 0.29). In DCIS, COX-2 expression was associated with higher cellular proliferation rates (P < 0.0001), nuclear grade (P = 0.003), with ER negativity (P = 0.003) and with HER-2 positivity (P < 0.0001). Cyclooxygenase type-2 expression is upregulated in *in situ* breast cancer and is associated with surrogate markers of an aggressive DCIS phenotype including nonoestrogen-regulated signalling pathways. Cyclooxygenase type-2 inhibition may potentially prevent the development of ER-positive and ER-negative breast cancers. *British Journal of Cancer* (2004) **90**, 423–429. doi:10.1038/sj.bjc.6601534 www.bjcancer.com

Keywords: DCIS; Breast; COX-2; HER-2; oestrogen; proliferation

Cyclooxygenase type-2 (COX-2) is overexpressed in many human malignant tumours (Sano *et al*, 1995; Wolff *et al*, 1998; Mohammed *et al*, 1999; Tucker *et al*, 1999; Soslow *et al*, 2000) and has been linked to the process of carcinogenesis (Liu *et al*, 2001), tumour survival (Tsujii *et al*, 1998), invasion (Tsujii *et al*, 1997) and metastasis (Tsujii and DuBois, 1995; Costa *et al*, 2002). Epidemiological studies have reported a significant reduction in the incidence of human gastro-intestinal cancers with COX inhibition by NSAIDS (Shaheen *et al*, 2002). Although the evidence for breast cancer prevention is less strong, a recent meta-analysis of 14 studies (six cohort, eight case-controlled) gave a combined estimate of a reduced relative risk of 0.82 (95% confidence interval 0.75-0.89) with regular NSAID use (Khuder and Mutgi, 2001), but this provided no data on the dose–response effect for NSAID type or duration of use.

Pharmacological studies with selective COX-2 inhibitors in animal models of breast cancer (and other cancers) have consistently demonstrated a dose-dependent arrest of tumour growth, invasion and metastasis (Alshafie *et al*, 2000; Harris *et al*, 2000; Rozic *et al*, 2001; Kundu and Fulton, 2002). These epidemiological and animal data indicate the potential of long-term COX-2 inhibition by the safer new generation of COX-2-specific NSAIDS for breast cancer chemoprevention.

Early studies of COX-2 RNA/protein expression in invasive breast cancer (IBC) yielded inconsistent findings, with expression reported to be between 0 and 100% of samples (Parrett *et al*, 1997; Hwang *et al*, 1998). Immunohistochemical studies of COX-2 antigen expression in IBC have produced more consistent findings, with moderate or strong levels of COX-2 expression found in 36–56% of IBCs (Soslow *et al*, 2000; Half *et al*, 2002; Ristimaki *et al*, 2003; Watanabe *et al*, 2003), with COX-2 expression predicting poorer disease-free survival (Ristimaki *et al*, 2002; Denkert *et al*, 2002; Denkert *et al*, 2003).

COX-2 upregulation appears to occur early in the process of carcinogenesis, with overexpression reported in a number of premalignant lesions and in *in situ* neoplasia of nonbreast glandular epithelium (Eberhart *et al*, 1994; Kirschenbaum *et al*, 2000; Shirahama, 2000; Morris *et al*, 2001). To date, there are few reports of COX-2 expression in ductal carcinoma *in situ* (DCIS) of the breast. These have been limited to DCIS surrounding invasive cancer (Soslow *et al*, 2000; Half *et al*, 2002), with only two studies in primary DCIS (Shim *et al*, 2003; Watanabe *et al*, 2003). All these studies have looked at less than 50 DCIS tumours, and have shown moderate or strong COX-2 antigen expression in 60-85% of lesions. Shim *et al* (2003) reported a correlation of COX-2 expression and nuclear grade in pure DCIS, although the study lacked power to demonstrate an association with other markers (Shim *et al*, 2003). No single study has yet specifically determined

^{*}Correspondence: Professor NJ Bundred; E-mail: bundredn@.man.ac.uk An abstract of this paper was presented at the British Association of Surgical Oncologists (Glasgow, UK, November 2001) at the Society for Academic Research in Surgery (London, UK, January 2002) and by poster presentation at the 25th Annual breast cancer symposium (San Antonio, TX, USA, December 2002).

Received 20 May 2003; revised 13 October 2003; accepted 5 November 2003

COX-2 expression in pure DCIS compared to invasive cancer and normal breast epithelium (from breast reduction tissue) and the relationship to surrogate molecular markers of pathways driving cell proliferation in DCIS.

Despite the data on elevated COX-2 expression in breast neoplasia, the mechanism of upregulation remains unclear. In this study, we evaluated COX-2 expression in DCIS using immunohistochemistry in comparison to expression in invasive cancer and normal breast, and investigated the association between COX-2 expression and cellular proliferation, nuclear grade and HER-2 antigen expression in DCIS, since HER-2 is overexpressed in at least two-thirds of *in situ* breast cancer (Allred *et al*, 1993; Bobrow *et al*, 1995; Suo *et al*, 2001).

PATIENTS AND METHODS

Tissue specimens and selection

A retrospective study was performed on archival paraffinembedded formalin-fixed tissue samples of normal breast (reduction mammoplasty, n = 60), DCIS (n = 187) and IBC (n = 65) from women who had undergone surgery at the University Hospital of South Manchester. Antigen expression was assessed by immunohistochemistry. Samples were deliberately selected to include a higher proportion of HER-2-negative DCIS than expected by random selection, to compare COX-2 expression in HER-2-expressing/ nonexpressing tumours.

Immunohistochemistry (Figure 3)

An immunohistochemical assay of COX-2, Ki67, oestrogen receptor (ER) and HER-2 was performed on paraffin wax sections $(3-5 \mu \text{m} \text{ thick})$ of each tissue were mounted on APES (3-aminopropyltriethoxysilane, Sigma) coated slides, de-waxed in xylene and rehydrated prior to immunohistochemical staining. Established protocols developed at the clinical research laboratory, Paterson Institute for Cancer Research, Manchester (a UK reference laboratory for HER-2 immunohistochemical staining) were followed for each antigen (except COX-2); these have been shown to produce reproducible immunostaining in DCIS (Gandhi *et al*, 2000; Dowsett *et al*, 2001). For all molecular markers, antigen retrieval was achieved by the pressure cooking method for 4 min in citrate buffer (pH = 6.0).

For COX-2 expression, a primary goat polyclonal anti-human COX-2 antibody was used (sc-1745; Santa Cruz Biotechnology, USA) as described in other studies assessing COX-2 expression by immunohistochemistry (Komhoff et al, 2000; Shirahama, 2000; Cianchi et al, 2001; Sales et al, 2001; Shirahama et al, 2001; Hasturk et al, 2002; Muller-Decker et al, 2002) at a dilution of 1:100 for 1 h, followed by a biotinylated rabbit anti-goat secondary antibody (DAKO, Z259) diluted 1:200 for 40 min. Cyclooxygenase type-2 immunoreactivity was confirmed with another COX-2 primary antibody (Cayman Chemical Company, Ann Arbor, MI, USA, 160112) in 50 DCIS sections. For Ki67 (MIB-1), a primary mouse monoclonal antibody to MIB-1 was used (DAKO Ltd, UK, M7240) at 1:50 for 1 h, followed by a biotinylated goat anti-mouse secondary antibody (DAKO Ltd, UK, E432) diluted 1:200 for 40 min. For ER, a primary mouse anti-human ER antibody was used (DAKO Ltd, UK, M7047), at 1:33 for 1h, followed by a biotinylated secondary goat anti-mouse antibody (DAKO Ltd, UK, E432), at 1:200 for 40 min. For HER-2 labelling, a primary mouse anti-human HER-2 antibody was used (DAKO Ltd, UK, A485) at 1:40 for 1 h, followed by a biotinylated secondary rabbit antimouse antibody (DAKO Ltd, UK, E413), at a 1:200 for 40 min. Antigen visualisation was achieved by applying a standard streptavidin-biotin complex (ABC, Vector labs, UK, PK-6100)

A positive and negative control slide was included in each immunohistochemical assay. The positive controls used were as follows: COX-2 expression: high-grade transitional carcinoma of the urinary bladder and skin (Mohammed *et al*, 1999; Komhoff *et al*, 2000; Ristimaki *et al*, 2001); HER-2 expression: strongly HER-2-positive (3 +) IBC; ER, PR and Ki67 expression: DCIS known to express the appropriate antigen as determined in previous studies of DCIS in our department. For negative controls, the appropriate primary antibody was omitted and either PBS or an iso-type matched IgG serum was applied. A specific COX-2 blocking peptide (Cayman Chemical Company, Ann Arbor, MI, USA, 360107) was used as a negative control in 50 DCIS sections stained with the Cayman anti-COX-2 antibody (160112) as previously described (Half *et al*, 2002).

Evaluation of immunostaining

Immunostaining was evaluated by light microscopy blindly and independently by GPB and ISB, and a consensus agreement was achieved. Cyclooxygenase type-2 expression was scored 0 (absent), 1 +(weak), 2 +(moderate) and 3 +(strong) based on the extent and intensity of epithelial cell staining (Half et al, 2002; Ristimaki et al, 2002; Shim et al, 2003). Cyclooxygenase type-2 positivity was defined as a score ≥ 2 (Shamma *et al*, 2000; Ristimaki *et al*, 2002; Shim et al, 2003). HER-2 staining was scored 0 (absent) to 3 (maximum cyto-membranous staining seen, comparable to a 3+ positive invasive cancer control), with a score ≥ 2 considered HER-2 positive (Birner et al, 2001). Ki67 and ER scores were calculated as the percentage of positively stained nuclei. Oestrogen receptor positivity was defined as $\geq 5\%$ stained nuclei, and has been used in previous studies in DCIS in our unit (Holland et al, 1997; Gandhi et al, 1998, 2000; Boland et al, 2003b). For each section, a minimum of 1000 cells were scored across randomly selected areas of DCIS at a magnification of $\times 400$ using a grid graticule and cell counter.

Statistical analysis

Cyclooxygenase type-2 expression scores between different breast tissues were compared using the Mann–Whitney test. The relationship between categorical variables was analysed using the χ^2 test and the association of categorical variables with continuous variables analysed using the Kruskal–Wallis and Mann–Whitney tests. Significance tests were two-tailed and a significance level of 5% was used throughout.

RESULTS

COX-2 immunostaining in different breast tissues

Cyclooxygenase type-2 immunostaining was determined in 372 samples of normal and neoplastic breast ductal epithelium (Table 1, Figure 1). In all cases, positive cellular immunostaining was cytoplasmic. Strong immunoreactivity was also observed in the smooth muscle of the tunica media of blood vessels and in the myoepithelial cells surrounding neoplastic breast ducts. No immunoreactivity was seen in stromal cells or in vasculature around normal breast ductules.

Cyclooxygenase type-2 expression in pure DCIS (n = 187) and IBC (n = 65) was significantly higher than in normal breast tissue (either from breast reduction tissue, n = 60 or from ducts surrounding DCIS, n = 60, P < 0.0001, Mann – Whitney test). There was, however, no difference in the median COX-2 expression between *in situ* and invasive cancer (P = 0.59), or between normal tissue from breast reduction samples and normal ducts around

GP Boland et al

Table I COX-2 expression in normal and neoplastic breast

		COX-2 score (0 = absent to $3 = maximum$)					
Tissue	No.	0	I	2	3	No. COX-2 +ve (score ≥2+)	P-value ^b
NB	60	25 (42%)	21 (35%)	12 (20%)	2 (3%)	14/60 (23%)	Reference
NB around DCIS DCIS	60	33 (55%)	14 (23%)	9 (15%)	4 (7%)	13/60 (22%)	0.29
Low ^a	27	4 (15%)	(4 %)	6 (22%)	6 (22%)	12/27 (44%)	
Intermediate ^a	64	8 (13%)	15 (23%)	27 (42%)	14 (22%)	41/64 (64%)	
High ^a	96	8 (8.3%)	I6 (I7%)	37 (39%)	35 (36%)	72/96 (75%)	
Total	187	20 (11%)	42 (23%)	70 (37%)	55 (29%)	125/187 (67%)	< 0.000
Invasive cancer							
Grade I	17	2 (12%)	6 (35%)	2 (15%)	7 (41%)	9/17 (53%)	
Grade 2	17	I (6%)	6 (35%)	6 (35%)	4 (45%)	10/17 (58%)	
Grade 3	31	3 (10%)	6 (19%)	8 (26%)	14 (45%	22/31 (71%)	
Total	65	6 (9%)	18 (28%)	16 (25%)	25 (39%)	41/65 (63%)	< 0.000

NB was from breast reduction tissue and ducts surrounding DCIS. Cyclooxygenase type-2 expression was scored 0 (absent) to 3 (maximum intensity seen) on tissue sections from each patient, with a score ≥ 2 considered COX-2 expression positive. The P-values (Mann–Whitney test) are for comparison to COX-2 expression in NB from breast reduction tissue (Referent). COX-2 = cyclooxygenase type-2; NB = normal breast; DCIS = ductal carcinoma *in situ*. ^aDCIS nuclear grade. ^bMann–Whitney test.

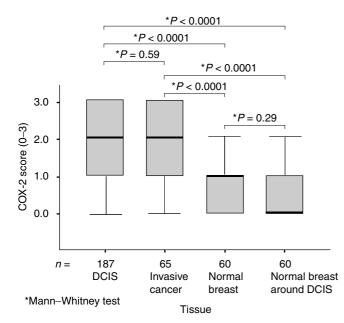


Figure I COX-2 expression in normal and neoplastic breast tissue. For each tissue, the thick black horizontal bars represent the median COX-2 score, the boxes represent the interquartile range and the T-bars the full range. The median COX-2 expression in neoplastic DCIS and invasive cancer epithelium are significantly greater than in normal breast ducts (P < 0.0001), although there was no difference between DCIS and invasive cancer in median expression (P = 0.59).

DCIS (P=0.29). The percentage of COX-2 positivity (a staining score $\ge 2+$) for DCIS, invasive cancer and normal breast from reduction and around DCIS was 67, 63, 23 and 22, respectively. Comparable COX-2 Immunoreactivity was confirmed in DCIS with a different COX-2 primary antibody from the Cayman Chemical Company (Ann Arbor, MI, USA, 160112) in 50 DCIS sections.

C0X-2 expression in DCIS and other clinico-pathological parameters

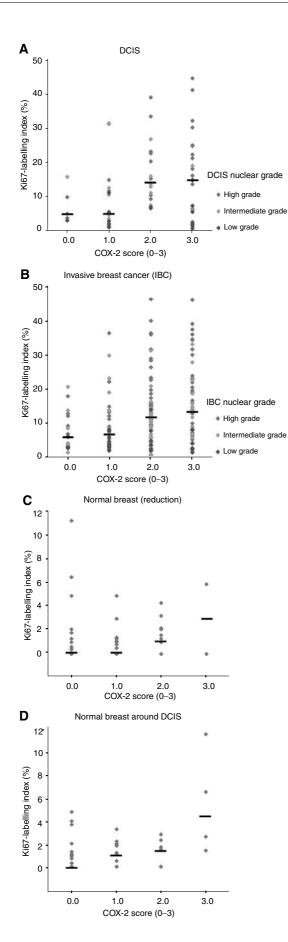
In DCIS, there was no association between COX-2 expression and patient age above and below the age of 50 years (P = 0.34, Table 2). Cyclooxygenase type-2 expression increased significantly with increasing nuclear grade (P = 0.003), with the largest difference

Table 2	COX-2	expression	and	clinicopathological	parameters	in
women wit	h DCIS (1	n = 187) and	IBC	(n = 65)		

Parameter	Number	% COX-2 +ve	P-value ^a
DCIS			
Age (years)			
<50	35	62%	0.34
≥50	152	69%	
Nuclear grade			
Low	27	44%	
Intermediate	64	64%	0.003
High	96	75%	
ER status			
Positive	111	58%	0.003
Negative	75	80%	
Ki67 cell proliferation			
< 10%	93	55%	< 0.000
≥10%	90	79%	
HER-2 status	,,,	,,,,,	
Positive	102	82%	< 0.0001
Negative	85	48%	
Invasive cancer			
Age (years)			
<so< td=""><td>23</td><td>64%</td><td>0.67</td></so<>	23	64%	0.67
≥50	42	62%	
Nuclear grade			
1	17	53%	
	17	58%	0.43
	21	71%	
ER status			
Positive	40	50%	0.005
Negative	25	84%	
Ki67 cell proliferation	20	0.00	
<10%	29	50%	0.04
≥10%	36	74%	0.01
HER-2 status	50	, 170	
Positive	29	79%	0.014
Negative	36	50%	0.011
	50	30/0	

Age above and below 50 years was used to separate pre- and postmenopausal patients crudely. Oestrogen receptor positivity was a tumour with \geq 5% nuclei expressing the ER receptor for both DCIS and IBC. A comparison of COX-2 positivity with Ki67 cell proliferation above and below 10% was used because the median DCIS cell proliferation was approximately 10%. HER-2 positivity was an immunostaining score of \geq 2+ (reference laboratory standard). Categorical variables were compared differences using the χ^2 test. COX-2 = cyclooxygenase type-2; DCIS = ductal carcinoma *in situ*; IBC = invasive breast cancer, ER = oestrogen receptor. ^a χ^2 test.





between intermediate (64% positivity) and high-grade (75% positivity) DCIS lesions compared to low-grade DCIS lesions (44% positivity, Table 2, Figure 2). Similarly, there was a positive association of COX-2 immunoreactivity with cell proliferation in DCIS (P = 0.004, Kruskal-Wallis test, Figure 2). The group of DCIS tumours with a ki67 cell proliferation of $\ge 10\%$ (n = 90) was associated with 79% COX-2 positivity compared to 55% in the group with <10% (n = 93) of cell expressing the Ki67 antigen $(P < 0.0001, \chi^2 \text{ test, Table 2})$. There was a significant association between ER negativity (40% of DCIS) and COX-2 positivity, with 80% of ER-negative DCIS showing COX-2 positivity compared to 58% of ER-positive DCIS tumours (P = 0.003, χ^2 test, Table 2). The overall HER-2 positivity rate for DCIS tumours selected for this series was 55%. Cyclooxygenase type-2 positivity was significantly higher in HER-2-positive DCIS tumours (82%) than HER-2negative tumours (48%, P<0.0001, Table 2, Figure 3).

COX-2 expression in IBC and other clinco-pathological parameters

There was no significant association between COX-2 expression in IBC with patient age or with nuclear grade, although the percentage of COX-2 positivity was greater in high-grade compared to non-high-grade tumours (Table 2, Figure 2). Similar to DCIS, there was an association between COX-2 expression in IBC with a higher cell proliferation (Ki67, P = 0.04, χ^2 test), HER-2 positivity (P = 0.014) and with ER negativity (P = 0.005).

COX-2 expression and cell proliferation in normal breast

Although the median cell proliferation was lower in normal breast compared to *in situ* and invasive cancer, there was a significant association between increasing cell proliferation and COX-2 expression in normal breast ductal epithelium in both reduction mammoplasty tissue (P = 0.03, Mann-Whitney test) and from around DCIS (P = 0.004, Figure 2).

DISCUSSION

Cyclooxygenase type-2 is overexpressed along the continuum of carcinogenesis from preinvasive lesions to metastatic disease in tissues of both squamous and glandular origin (Eberhart et al, 1994; Kirschenbaum et al, 2000; Shirahama, 2000; Soslow et al, 2000; Morris et al, 2001; Costa et al, 2002). Cyclooxygenase type-2 overexpression in IBCs is associated with unfavourable prognostic indices (Ristimaki et al, 2002; Denkert et al, 2003; Spizzo et al, 2003). Evidence from both human and animal studies strongly suggests that cancer can be prevented by COX-2 inhibition.

This is the largest study to demonstrate elevated COX-2 expression in pure DCIS and has shown COX-2 overexpression in both in situ and IBC compared with normal breast. Since most IBC is believed to originate from DCIS (the two coexist in about 50% of cases), the inhibition of COX-2 represents a potential target for preventing breast cancer oncogenesis and as an adjuvant treatment following surgery to reduce local recurrence.

In this study, COX-2 expression was determined using immunohistochemistry on archival samples of breast tissue using

476

Figure 2 Association between COX-2 expression score and cell proliferation (Ki67-labelling index) for DCIS, IBC and normal breast. For DCIS and IBC, individual ki67 scores are separated by nuclear grade. The thick black horizontal lines represent the median Ki67 score for each COX-2 score (0-3) for each tissue. For each tissue type, there is a significant increase in Ki67 with increasing COX-2 score. In DCIS and IBC, the median Ki67 increases with increasing nuclear grade, although this is only significant for DCIS (P = 0.003).

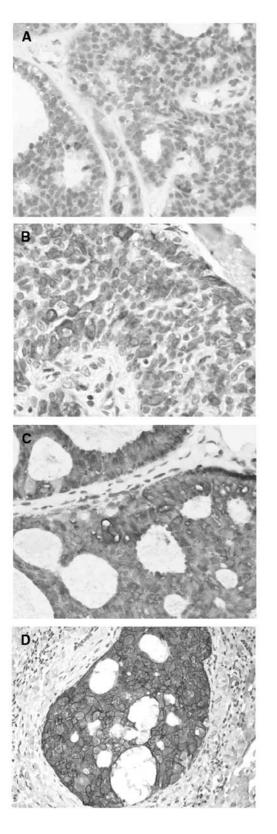


Figure 3 (A) DCIS showing minimal COX-2 expression with scanty brown cytoplasmic immunostaining (score I +, classified as COX-2 negative), (B) moderate COX-2 staining with approximately 5060% of cells strongly stained (score 2 +, classified as COX-2 positive), (C) High COX-2 expression in cribriform DCIS with 100% of cells intensely stained (score 3 +, classified as COX-2 positive), (D) HER-2 staining in DCIS note the strong membranous staining (score 3 +, classified HER-2 positive).

scoring systems reported by others (Half *et al*, 2002; Ristimaki *et al*, 2002). Overall, COX-2 overexpression was demonstrated in 67% of DCIS and 63% of invasive tumours. For DCIS, this is consistent with the studies that have reported COX-2 in DCIS tumours (Soslow *et al*, 2000; Half *et al*, 2002; Shim *et al*, 2003; Watanabe *et al*, 2003).

The few published data with regard to COX-2 expression in normal breast tissue are conflicting. Using RT-PCR, Half *et al* (2002) reported a lower COX-2 mRNA level in normal breast tissue than in paired neoplastic tissue in eight of nine samples, while Watanabe *et al* (2003) found no COX-2 mRNA in normal breast tissue examined. Costa *et al* (2002) reported COX-2 protein expression in eight of 46 IBCs using Western immunoblotting, but found no COX-2 antigen in the adjacent normal tissue samples studied. This discrepancy can be partly explained by the paucity of ductal units in 'normal breast' (mostly stromal tissue in which COX-2 is not expressed) in comparison to neoplastic tissue.

By immunohistochemistry, COX-2 expression has been reported in normal breast ductules surrounding non-low-grade DCIS at levels equal (15%) or higher (85%) than in the index neoplastic lesion (Shim *et al*, 2003), although immuno-positivity decreases with distance. A similar finding was reported by Half and colleagues with expression in 81% of 48 samples, although they found staining to be focal and generally similar or decreased in intensity relative to adjacent neoplastic epithelia (Half *et al*, 2002). Watanabe *et al* (2003) reported a low level of COX-2 expression in 50% of normal epithelia surrounding DCIS, but in only 15% of normal epithelia surrounding invasive disease. This is confirms the findings of Soslow *et al* (2000), who reported expression in only three out of (17%) of normal breast tissue close to invasive cancer.

We demonstrated COX-2 immunoreactivity in 45% of normal breast adjacent to DCIS, consistent with the findings of Wanatabe et al, but we only scored this as COX-2 positive in 22% of cases. However, the normal ductules examined in the present study were on tissue sections taken from blocks of normal tissue harvested macroscopically close to the neoplastic tissue (not necessarily on ducts directly adjacent to the neoplastic lesion), which in reality may be up to 2 cm distal to the margin of the index neoplastic lesion. This may explain why our COX-2 positivity in adjacent normal breast is lower than that reported by others. We did not report expression in normal ducts surrounding invasive cancer. The present study is the first to report COX-2 expression in normal breast from reduction mammoplasty tissue. We found immunoreactivity in 58% of samples, but only scored this as COX-2 positive in 23%, which is not statistically different from the expression in normal ducts surrounding DCIS (P = 0.29).

The COX-2 positivity (63%) rate in IBCs in this study is higher than in other studies (Soslow et al, 2000; Half et al, 2002; Ristimaki et al, 2002; Spizzo et al, 2003; Watanabe et al, 2003), which have reported expression in 36-56% of tumours. This disparity can be explained by the deliberate selection of HER-2-positive invasive tumours in this study (45%), chosen to compare COX-2 expression between HER-2-expressing and non-HER-2-expressing cancers. HER-2 is overexpressed in approximately 20-30% of IBCs (and therefore lower overall than in this study), and is an independent marker of poor prognostic disease (Tsutsui et al, 2002). An association between HER-2 and COX-2 expression in IBC has been reported in cell line (Vadlamudi et al, 1999; Subbaramaiah et al, 2002b), animal (Howe et al, 2002) and in human immunohistochemical studies (Ristimaki et al, 2002). Ristimaki et al (2002) found a higher COX-2 expression in HER-2-expressing breast cancer; the present study confirmed this association. Furthermore, dual drug blockade of COX-2 and HER-2 in cancer cell lines expressing both oncogenes has been shown to reduce cell growth more effectively than the inhibition by one of the agents alone (Mann et al, 2001). These studies suggest that signalling through the HER-2 receptor may have a role in modulating the upregulation of COX-2 in IBC.

Since HER-2 overexpression is reported in up to 80% of DCIS tumours and is associated with high-grade phenotype (Allred et al, 1993; Bobrow et al, 1995; Suo et al, 2001), we investigated the association of COX-2 and HER-2 expression in DCIS. The overall HER-2 positivity rate for DCIS in this study was only 55%, reflecting the deliberate inclusion of a higher proportion of non-high-grade DCIS tumours in this study (associated with a lower HER-2 positivity) to investigate COX-2 expression across nuclear grades. Consistent with the findings in invasive tumours, we found that COX-2 positivity was significantly higher in HER-2-positive DCIS (82%) than in HER-2-negative DCIS (48%). Importantly, this finding in in situ breast cancer confirms the association of the HER-2 receptor with COX-2 overexpression reported in breast cancer cell line studies (Vadlamudi et al, 1999; Subbaramaiah et al, 2002a) (and by immunohistochemistry; Ristimaki et al, 2002), which suggest that signalling through HER-2/Ras/Map kinase pathway may play a role in upregulating COX-2 in neoplasia and this may occur at the preinvasive stage of breast cancer carcinogenesis. This could explain why COX-2 expression is higher in DCIS than IBC.

Inhibition of the COX-2 enzyme and attenuation of the consequential carcinogenic effects of overexpression with increased prostaglandin production (inhibition of apoptosis, stimulation of neo-angiogenesis, upregulation of intra-tumoral CYP19 aromatase; Davies et al, 2002) at this stage has the potential to prevent progression to invasion.

In DCIS tumours, we also found COX-2 expression to be positively associated with higher cellular proliferation rates, higher nuclear grade and with ER negativity. These findings, together with the association of COX-2 with HER-2 expression, are consistent with those reported in IBC (Ristimaki et al, 2002). This is important since these factors are surrogate markers of an aggressive DCIS phenotype and link nonoestrogen growth factor signalling pathways with COX-2 overexpression. The association of COX-2 expression with nuclear grade in DCIS confirms the findings of Shim et al (2003). Since nuclear grade is an independent marker of DCIS local recurrence (Silverstein et al, 1996; Boland et al, 2003a), further study is warranted to determine whether COX-2 is independent of grade with regard to the risk local DCIS recurrence following breast conservation.

These findings may have important therapeutic and cancer chemo-preventative implications. Since signalling through HER-2 pathways is believed to be involved in driving cell proliferation in ER-negative IBC and to resist anti-oestrogen therapy in ERexpressing/HER-2-positive cancers, the association of COX-2 with HER-2 expression in DCIS suggests that the carcinogenic sequalae of COX-2 overexpression originate at the preinvasive stage in breast carcinogenesis.

There is a clinical need to determine whether women with HER-2-positive/COX-2-positive DCIS represent a high-risk patient population for disease progression or local recurrence; this cohort especially may benefit form COX-2 inhibitor therapy. Phase II clinical trials are presently in progress to determine the efficacy of COX-2 inhibition combined with Herceptin in HER-expressing metastatic breast cancer.

The targeting of nonhormonal pathways will be necessary to prevent both ER-positive and ER-negative breast cancer. Since COX-2 inhibition is a relatively safe therapeutic option, we believe that prospective clinical trials are warranted to determine the clinical benefit of long-term COX-2 inhibition in preventing breast cancer and as an adjuvant therapy after DCIS treatment.

REFERENCES

- Allred DC, O'Connell P, Fuqua SA (1993) Biomarkers in early breast neoplasia. J Cell Biochem 17G(Suppl): 125-131
- Alshafie GA, Abou-Issa HM, Seibert K, Harris RE (2000) Chemotherapeutic evaluation of Celecoxib, a cyclooxygenase-2 inhibitor, in a rat mammary tumor model. Oncol Rep 7: 1377-1381
- Birner P, Oberhuber G, Stani J, Reithofer C, Samonigg H, Hausmaninger H, Kubista E, Kwasny W, Kandioler-Eckersberger D, Gnant M, Jakesz R (2001) Evaluation of the United States Food and Drug Administration approved scoring and test system of HER-2 protein expression in breast cancer. Clin Cancer Res 7: 1669-1675
- Bobrow LG, Happerfield LC, Gregory WM, Millis RR (1995) Ductal carcinoma in situ: assessment of necrosis and nuclear morphology and their association with biological markers. J Pathol 176: 333-341
- Boland GP, Chan KC, Knox WF, Roberts SA, Bundred NJ (2003a) Value of the Van Nuys Prognostic Index in prediction of recurrence of ductal carcinoma in situ after breast-conserving surgery. Br J Surg 90: 426-432
- Boland GP, Mckeown A, Chan KC, Prasad R, Knox WF, Bundred NJ (2003b) Biological response to hormonal manipulation in oestrogen receptor positive ductal carcinoma in situ of the breast. Br J Cancer 89: 227 - 283
- Cianchi F, Cortesini C, Bechi P, Fantappie O, Messerini L, Vannacci A, Sardi I, Baroni G, Boddi V, Mazzanti R, Masini E (2001) Up-regulation of cyclooxygenase 2 gene expression correlates with tumor angiogenesis in human colorectal cancer. Gastroenterology 121: 1339-1347
- Costa C, Soares R, Reis-Filho JS, Leitao D, Amendoeira I, Schmitt FC (2002) Cyclo-oxygenase 2 expression is associated with angiogenesis and lymph node metastasis in human breast cancer. J Clin Pathol 55: 429-434
- Davies G, Martin LA, Sacks N, Dowsett M (2002) Cyclooxygenase-2 (COX-2), aromatase and breast cancer: a possible role for COX-2 inhibitors in breast cancer chemoprevention. Ann Oncol 13: 669-678
- Denkert C, Winzer K-J, Muller B-M, Weichert W, Pest S, Kobel M, Kristiansen G, Reles A, Siegert A, Guski H, Hauptmann S (2003) Elevated expression of cyclooxygenase-2 is a negative prognostic factor for disease survival and overall survival in patients with breast cancer. Cancer 97: 2978-2987

- Dowsett M, Bundred NJ, Decensi A, Sainsbury RC, Lu Y, Hills MJ, Cohen FJ, Veronesi P, O'Brien ME, Scott T, Muchmore DB (2001) Effect of raloxifene on breast cancer cell Ki67 and apoptosis: a double-blind, placebo-controlled, randomized clinical trial in postmenopausal patients. Cancer Epidemiol Biomarkers Prev 10: 961-966
- Eberhart CE, Coffey RJ, Radhika A, Giardiello FM, Ferrenbach S, DuBois RN (1994) Up-regulation of cyclooxygenase 2 gene expression in human colorectal adenomas and adenocarcinomas. Gastroenterology 107: 1183-1188
- Gandhi A, Holland PA, Knox WF, Potten CS, Bundred NJ (1998) Evidence of significant apoptosis in poorly differentiated ductal carcinoma in situ of the breast. Br J Cancer 78: 788-794
- Gandhi A, Holland PA, Knox WF, Potten CS, Bundred NJ (2000) Effects of a pure antiestrogen on apoptosis and proliferation within human breast ductal carcinoma in situ. Cancer Res 60: 4284-4288
- Half E, Tang XM, Gwyn K, Sahin A, Wathen K, Sinicrope FA (2002) Cyclooxygenase-2 expression in human breast cancers and adjacent ductal carcinoma in situ. Cancer Res 62: 1676-1681
- Harris RE, Alshafie GA, Abou-Issa H, Seibert K (2000) Chemoprevention of breast cancer in rats by celecoxib, a cyclooxygenase 2 inhibitor. Cancer Res 60: 2101-2103
- Hasturk S, Kemp B, Kalapurakal SK, Kurie JM, Hong WK, Lee JS (2002) Expression of cyclooxygenase-1 and cyclooxygenase-2 in bronchial epithelium and nonsmall cell lung carcinoma. Cancer 94: 1023-1031
- Holland PA, Knox WF, Potten CS, Howell A, Anderson E, Baildam AD, Bundred NJ (1997) Assessment of hormone dependence of comedo ductal carcinoma in situ of the breast. J Natl Cancer Inst 89: 1059-1065
- Howe LR, Subbaramaiah K, Patel J, Masferrer JL, Deora A, Hudis C, Thaler HT, Muller WJ, Du B, Brown AM, Dannenberg AJ (2002) Celecoxib, a selective cyclooxygenase 2 inhibitor, protects against human epidermal growth factor receptor 2 (HER-2)/neu-induced breast cancer. Cancer Res 62: 5405 – 5407
- Hwang D, Scollard D, Byrne J, Levine E (1998) Expression of cyclooxygenase-1 and cyclooxygenase-2 in human breast cancer. J Natl Cancer Inst 90: 455-460

Molecular and Cellular Pathology

Khuder SA, Mutgi AB (2001) Breast cancer and NSAID use: a meta-analysis. Br J Cancer 84: 1188–1192

- Kirschenbaum A, Klausner AP, Lee R, Unger P, Yao S, Liu XH, Levine AC (2000) Expression of cyclooxygenase-1 and cyclooxygenase-2 in the human prostate. *Urology* **56:** 671–676
- Komhoff M, Guan Y, Shappell HW, Davis L, Jack G, Shyr Y, Koch MO, Shappell SB, Breyer MD (2000) Enhanced expression of cyclooxygenase-2 in high grade human transitional cell bladder carcinomas. *Am J Pathol* **157:** 29-35
- Kundu N, Fulton AM (2002) Selective cyclooxygenase (COX)-1 or COX-2 inhibitors control metastatic disease in a murine model of breast cancer. *Cancer Res* **62**: 2343–2346
- Liu CH, Chang SH, Narko K, Trifan OC, Wu MT, Smith E, Haudenschild C, Lane TF, Hla T (2001) Overexpression of cyclooxygenase-2 is sufficient to induce tumorigenesis in transgenic mice. J Biol Chem 276: 18563-18569
- Mann M, Sheng H, Shao J, Williams CS, Pisacane PI, Sliwkowski MX, DuBois RN (2001) Targeting cyclooxygenase 2 and HER-2/neu pathways inhibits colorectal carcinoma growth. *Gastroenterology* **120**: 1713-1719
- Mohammed SI, Knapp DW, Bostwick DG, Foster RS, Khan KN, Masferrer JL, Woerner BM, Snyder PW, Koki AT (1999) Expression of cyclooxygenase-2 (COX-2) in human invasive transitional cell carcinoma (TCC) of the urinary bladder. *Cancer Res* **59:** 5647–5650
- Morris CD, Armstrong GR, Bigley G, Green H, Attwood SE (2001) Cyclooxygenase-2 expression in the Barrett's metaplasia-dysplasiaadenocarcinoma sequence. Am J Gastroenterol 96: 990-996
- Muller-Decker K, Neufang G, Berger I, Neumann M, Marks F, Furstenberger G (2002) Transgenic cylclooxygenase-2 overexpression sensitizes mouse skin for carcinogenesis. Proc Natl Acad Sci USA 99: 12483 – 12488
- Parrett ML, Harris RE, Joarder FS, Ross MS, Clausen KP, Robertson FM (1997) Cyclooxygenase-2 expression in human breast cancer. *Int J Oncol* **10:** 503-507
- Ristimaki A, Nieminen O, Saukkonen K, Hotakainen K, Nordling S, Haglund C (2001) Expression of cyclooxygenase-2 in human transitional cell carcinoma of the urinary bladder. *Am J Pathol* **158**: 849–853
- Ristimaki A, Sivula A, Lundin J, Lundin M, Salminen T, Haglund C, Joensuu H, Isola J (2002) Prognostic significance of elevated cyclooxygenase-2 expression in breast cancer. *Cancer Res* **62**: 632-635
- Rozic JG, Chakraborty C, Lala PK (2001) Cyclooxygenase inhibitors retard murine mammary tumor progression by reducing tumor cell migration, invasiveness and angiogenesis. *Int J Cancer* **93**: 497-506
- Sales KJ, Katz AA, Davis M, Hinz S, Soeters RP, Hofmeyr MD, Millar RP, Jabbour HN (2001) Cyclooxygenase-2 expression and prostaglandin E(2) synthesis are up- regulated in carcinomas of the cervix: a possible autocrine/paracrine regulation of neoplastic cell function via EP2/EP4 receptors. J Clin Endocrinol Metab 86: 2243-2249
- Sano H, Kawahito Y, Wilder RL, Hashiramoto A, Mukai S, Asai K, Kimura S, Kato H, Kondo M, Hla T (1995) Expression of cyclooxygenase-1 and -2 in human colorectal cancer. *Cancer Res* **55:** 3785–3789
- Shaheen NJ, Straus WL, Sandler RS (2002) Chemoprevention of gastrointestinal malignancies with nonsteroidal antiinflammatory drugs. *Cancer* 94: 950–963
- Shamma A, Yamamoto H, Doki Y, Okami J, Kondo M, Fujiwara Y, Yano M, Inoue M, Matsuura N, Shiozaki H, Monden M (2000) Up-regulation of cyclooxygenase-2 in squamous carcinogenesis of the esophagus. *Clin Cancer Res* **6**: 1229–1238

- Shim V, Gauthier ML, Sudilovsky D, Mantei K, Chew KL, Moore DH, Cha I, Tlsty TD, Esserman LJ (2003) Cyclooxygenase-2 expression is related to nuclear grade in ductal carcinoma *in situ* and is increased in its normal adjacent epithelium. *Cancer Res* **63**: 2347-2350
- Shirahama T (2000) Cyclooxygenase-2 expression is up-regulated in transitional cell carcinoma and its preneoplastic lesions in the human urinary bladder. *Clin Cancer Res* **6:** 2424–2430
- Shirahama T, Arima J, Akiba S, Sakakura C (2001) Relation between cyclooxygenase-2 expression and tumor invasiveness and patient survival in transitional cell carcinoma of the urinary bladder. *Cancer* 92: 188-193
- Silverstein MJ, Lagios MD, Craig PH, Waisman JR, Lewinsky BS, Colburn WJ, Poller DN (1996) A prognostic index for ductal carcinoma *in situ* of the breast. *Cancer* 77: 2267–2274
- Soslow RA, Dannenberg AJ, Rush D, Woerner BM, Khan KN, Masferrer J, Koki AT (2000) COX-2 is expressed in human pulmonary, colonic, and mammary tumors. *Cancer* **89:** 2637–2645
- Spizzo G, Gastl G, Wolf D, Gunsilius E, Steurer M, Fong D, Amberger A, Margreiter R, Obrist P (2003) Correlation of COX-2 and Ep-CAM overexpression in human invasive breast cancer and its impact on survival. Br J Cancer 88: 574-578
- Subbaramaiah K, Norton L, Gerald W, Dannenberg AJ (2002a) Cyclooxygenase-2 is overexpressed in HER-2/neu-positive breast cancer: evidence for involvement of AP-1 and PEA3. J Biol Chem 277: 18649– 18657
- Subbaramaiah K, Norton L, Gerald W, Dannenberg AJ (2002b) Cyclooxygenase-2 is overexpressed in HER-2/neu-positive breast cancer: evidence for involvement of AP-1 and PEA3. J Biol Chem 277: 18649– 18657
- Suo Z, Bjaamer A, Ottestad L, Nesland JM (2001) Expression of EGFR family and steroid hormone receptors in ductal carcinoma in situ of the breast. Ultrastruct Pathol 25: 349–356
- Tsujii M, DuBois RN (1995) Alterations in cellular adhesion and apoptosis in epithelial cells overexpressing prostaglandin endoperoxide synthase 2. *Cell* 83: 493-501
- Tsujii M, Kawano S, DuBois RN (1997) Cyclooxygenase-2 expression in human colon cancer cells increases metastatic potential. *Proc Natl Acad Sci USA* 94: 3336-3340
- Tsujii M, Kawano S, Tsuji S, Sawaoka H, Hori M, DuBois RN (1998) Cyclooxygenase regulates angiogenesis induced by colon cancer cells. *Cell* **93:** 705-716
- Tsutsui S, Ohno S, Murakami S, Hachitanda Y, Oda S (2002) Prognostic value of c-erbB2 expression in breast cancer. J Surg Oncol **79:** 216-223
- Tucker ON, Dannenberg AJ, Yang EK, Zhang F, Teng L, Daly JM, Soslow RA, Masferrer JL, Woerner BM, Koki AT, Fahey III TJ (1999) Cyclooxygenase-2 expression is up-regulated in human pancreatic cancer. Cancer Res 59: 987-990
- Vadlamudi R, Mandal M, Adam L, Steinbach G, Mendelsohn J, Kumar R (1999) Regulation of cyclooxygenase-2 pathway by HER2 receptor. *Oncogene* 18: 305-314
- Watanabe O, Shimizu T, Kinoshita J, Utada Y, Okabe T, Kimura K, Hirano A, Yoshimatsu K, Aiba M, Ogawa K (2003) Expression of cyclooxygenase-2 in malignant and benign breast tumours. *Anticancer Res* 23: 3215-3221
- Wolff H, Saukkonen K, Anttila S, Karjalainen A, Vainio H, Ristimaki A (1998) Expression of cyclooxygenase-2 in human lung carcinoma. *Cancer Res* 58: 4997–5001