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# Radiological biomarkers of idiopathic normal pressure hydrocephalus: new approaches for detecting concomitant Alzheimer's disease and predicting prognosis

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#### Abstract

Idiopathic normal pressure hydrocephalus (iNPH) is a clinical syndrome characterized by cognitive decline, gait disturbance, and urinary incontinence. As iNPH often occurs in elderly individuals prone to many types of comorbidity, a differential diagnosis with other neurodegenerative diseases is crucial, especially Alzheimer's disease (AD). A growing body of published work provides evidence of radiological methods, including multimodal magnetic resonance imaging and positron emission tomography, which may help noninvasively differentiate iNPH from AD or reveal concurrent AD pathology in vivo. Imaging methods detecting morphological changes, white matter microstructural changes, cerebrospinal fluid circulation, and molecular imaging have been widely applied in iNPH patients. Here, we review radiological biomarkers using different methods in evaluating iNPH pathophysiology and differentiating or detecting concomitant AD, to noninvasively predict the possible outcome postshunt and select candidates for shunt surgery.

**Keywords:** idiopathic normal pressure hydrocephalus; Alzheimer's disease; radiological biomarker; magnetic resonance imaging; positron emission tomography

### Introduction

Idiopathic normal pressure hydrocephalus (iNPH) is a clinical syndrome characterized by cognitive decline, gait disturbance, and urinary incontinence (Adams *et al.*, 1965) with a prevalence of 0.2% in populations aged 70–79 years and 5.9% in 80 years and older (Jaraj *et al.*, 2014). Shunt surgery is an effective treatment for iNPH, while the cerebrospinal fluid tap test (CSFTT) is a common method to predict whether iNPH patients can benefit from further shunt surgery (Graff-Radford *et al.*, 2019), but the application of CSFTT was clinically confined due to its relatively low sensitivity and invasiveness (Thavarajasingam *et al.*, 2021). Therefore, radiological approaches may provide noninvasive biomarkers for identifying possible shunt responders (Craven *et al.*, 2016).

On the other hand, since iNPH often occurs in elderly individuals prone to many types of comorbidity, a differential diagnosis with other neurodegenerative diseases is crucial, especially with Alzheimer's disease (AD) (Malm *et al.*, 2013). Several studies based on brain biopsy have also supported that AD is a common pathologic comorbidity with iNPH, and distinguishing these patients may help in the selection of probable shunt responders (Cabral *et al.*, 2011; Pomeraniec *et al.*, 2016).

A growing body of reports provide evidence that multimodal magnetic resonance imaging (MRI), including structural MRI, diffusion tensor imaging, phase-contrast MRI, and glymphatic MRI, as well as positron emission tomography (PET) may help noninvasively differentiate iNPH from AD and discover concurrent AD

pathology *in vivo* (Ishii *et al.*, 2008; Leinonen *et al.*, 2018; Park *et al.*, 2021). Therefore, this study set out to review the usefulness of various radiological biomarkers in the differential diagnosis of iNPH and AD and their value in the prediction of shunt responders in iNPH patients.

#### **Structural MRI**

Structural MRI (sMRI) is the most useful model in clinical practice due to its accessibility. Common morphological hallmarks of iNPH on sMRI include increased Evan's index (EI), sharpened callosal angle (CA), and disproportionately enlarged subarachnoid space (DESH) (Agerskov *et al.*, 2019) (Fig. 1). Extensive series of volumetric and voxel-based analysis (VBA) studies have quantitatively measured these changes (Ishii *et al.*, 2008; Moore *et al.*, 2012; Yamashita *et al.*, 2014).

EI was first proposed in 1942 as the ratio of the maximal width of the frontal horns of both lateral ventricles to the maximum inner skull diameter (Evans, 1942). It was recognized as an estimation of ventricular volume, with an EI > 0.3 defined as ventriculomegaly (Toma *et al.*, 2011). However, with progress in brain scan techniques, researchers have found that EI is related to age, sex, and scan plane (Toma *et al.*, 2011). A large population-based study demonstrated that EI ranged from 0.11 to 0.46 in individuals aged  $\geq$ 70 years, and 20.6% of them had an EI > 0.3 (Jaraj *et al.*, 2017). Another study derived new cutoff values of EI based on age

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**Figure 1:** Common morphological hallmarks of iNPH. (A)–(C) were taken from an 80-year-old iNPH patient with a positive CSFTT, and (D)–(F) were taken from a 76-year-old AD patient. (A) and (D) demonstrate the measurement of EI. These two patients both had an EI > 0.3 (A, EI = 0.36: D, EI = 0.34); thus, EI seems unreliable for distinguishing iNPH and AD. (B) and (E) demonstrate that iNPH patients have a different CSF distribution from AD patients. CSF volume significantly increased in ventricles and Sylvian fissures but decreased in the superior convexity and medial subarachnoid spaces, termed DESH. (C) and (F) show the measurement of CA. CA should be measured on a coronal plane perpendicular to the AC–PC line on the posterior commissure plane, and 90° is a common cutoff value for differentiating iNPH from AD (C, CA = 69°; F, CA = 109°).

and sex from AD cohorts and healthy elderly controls, and further validated them in a small sample of probable iNPH patients. It finally showed a sensitivity of 80% for differentiating iNPH from controls using a revised cutoff value of EI (Brix *et al.*, 2017). As there is a major overlap of EI between iNPH and AD (also known as 'hydrocephalus *ex vacuo*'), using EI solely to exclude neurodegenerative diseases seems unreliable (Nakajima *et al.*, 2021) (Fig. 1A and D).

CA, another traditional radiological marker, was initially discovered using pneumoencephalography (Benson et al., 1970). The renewed concept of CA based on computed tomography or MRI scans should be measured on a coronal plane perpendicular to the anterior commissure-posterior commissure (AC-PC) line on the posterior commissure plane (Ishii et al., 2008). A recent metaanalysis evaluated the diagnostic performance of CA in iNPH patients with low heterogeneity. The pooled sensitivity was 91%, and the specificity was 93% when using CA to diagnose iNPH and five out of seven studies using a cutoff value of 90° (Park et al., 2021). Unlike EI, CA could reflect specific iNPH pathogenesis, since a sharp CA often suggests an elevation due to the dilation of lateral ventricles and Sylvian fissures and thus assists the differential diagnosis of iNPH with AD (Ishii et al., 2008). Several studies have revealed that using 90° as a cutoff value yielded a sensitivity of 84-97% and specificity of 64-95% when differentiating probable iNPH and AD (Ishii et al., 2008; Kim et al., 2021; Mantovani et al., 2020) (Fig. 1C and F). As mentioned before, EI has little value in differentiating iNPH and AD, but when using EI and CA in combination, the differential diagnostic performance could be further improved compared to using CA alone (Ishii et al., 2008; Miskin et al., 2017).

Moreover, researchers have found that there is a different pattern of CSF distribution between iNPH and brain atrophy. Kitagaki et al. compared four main CSF compartments using coronal MR images in shunt-responsive iNPH and matched AD and vascular dementia patients (Kitagaki et al., 1998). This study first reported a different CSF distribution pattern between iNPH and AD, with the CSF volume significantly increased in ventricles and Sylvian fissures but decreased in the superior convexity and medial subarachnoid spaces (Kitagaki et al., 1998). Then, a multicenter cohort study validated the diagnostic value of these signs and termed them DESH (Hashimoto et al., 2010) (Fig. 1B and E). Studies have elucidated that DESH exhibits the ability to distinguish shunt responders and nonresponders (Craven et al., 2016; Hashimoto et al., 2010; Narita et al., 2016; Virhammar et al., 2014). The estimated positive predictive value of DESH for shunt responders was 77% but with a relatively low negative predictive value of only 25% (Craven et al., 2016). While these findings suggest DESH is a great diagnostic tool for iNPH and reflects the prognosis to some extent, it is still not sufficient to replace CSFTT.

Several different methods have made these morphological changes quantitative, with volumetric analysis and VBM being the most common methods. Quantitative measurements of ventricular volume and total cortical thickness in combination can improve iNPH differential diagnosis with AD (Moore *et al.*, 2012). VBA demonstrated that increased gray matter density of parainterhemispheric gyri and frontoparietal cortices at high convexity can be used as important markers for differentiating iNPH and AD, since it underlies the pathophysiology of iNPH such as DESH (Ishii *et al.*, 2008). Although hippocampal volume is considered a biomarker of neurodegeneration in AD, iNPH patients also demonstrated alterations in hippocampal volume. It was first reported that iNPH patients exhibited a minor left-side decrease in hippocampal volumes, and this different pattern may provide diagnostic discrimination from AD (Savolainen et al., 2000). The voxel-based morphometry study, however, confirmed bilateral hippocampal volume loss in iNPH patients, while it was relatively retained compared to AD (Ishii et al., 2008). Whether AD pathology complicates the diagnosis of iNPH in this study is unknown (Golomb et al., 1994). Voxel-based morphometry of CSF space was also conducted on shunt-responsive iNPH and AD patients, with ventricular/sylvian and high convexity/midline (HCM) being used as regions of interest (Yamashita et al., 2014; Yamashita et al., 2010). The results indicated that the ratio of these two regions of interest (ventricular/sylvian/HCM) was significantly higher than that of AD, exhibiting a sensitivity of 89% and specificity of 100% for differential diagnosis (Yamashita et al., 2014). In addition, this ratio was related to the improvement of gait and cognitive function after shunting, supporting the idea that milder brain deformation could have larger improvements after shunt surgery (Yamamoto et al., 2013).

Recent studies still attach great importance to these traditional markers, and several newly proposed imaging biomarkers were derived from these traditional ones. Inspired by EI and DESH, Yamada *et al.* found that z-axial expansion of lateral ventricles and compression at convexity may be common parameters for differential diagnosis and further established the z-axial EI (z-EI) and brain-to-ventricle ratios: two new radiological markers to differentiate iNPH from AD (Yamada *et al.*, 2016; Yamada *et al.*, 2015). As CA has already been validated in several studies, researchers seek to measure CA at the anterior commissure level, called the anterior CA (ACA), which clearly differentiates iNPH from AD with a sensitivity of 93% and specificity of 83% (Mantovani *et al.*, 2021). Further investigations are needed to validate these findings in larger populations.

To date, structural MRI is still the most useful method for the clinical evaluation of iNPH, considering its overall accessibility, and could be interpreted visually. Traditional radiological markers reflected by sMRI still reveal a good performance of differential diagnosis and prognostic prediction, while their absence should not exclude iNPH patients from shunt surgery (Agerskov *et al.*, 2019; Chen *et al.*, 2022; Ishii *et al.*, 2008; Virhammar *et al.*, 2014). More importantly, these radiological changes were confirmed to precede the onset of iNPH symptoms or only with subclinical cognitive decline (Engel *et al.*, 2018; Engel *et al.*, 2021) and thus may become a preferable screening tool for preclinical iNPH patients in the future.

#### **Diffusion tensor imaging**

Diffusion tensor imaging (DTI) is a rapidly developing noninvasive MRI-based imaging technique that was proposed in 1994 (Basser *et al.*, 1994a; Basser *et al.*, 1994b), which can detect microstructural integrity and orientation of white matter by measuring the intracranial directionality and scale of water diffusion (Beaulieu, 2009; Winston, 2012). There are many tensor-derived quantitative parameters commonly used in DTI-based analysis of microstructural WM integrity, including axial diffusivity (reflecting the parallel diffusion of the axon), radial diffusivity (reflecting the perpendicular diffusion of the axon) (Klawiter *et al.*, 2011), mean diffusivity (TMD, meaning the mean motion of water (Rose *et al.*, 2008)], and fractional anisotropy (FA, commonly used for measuring diffusion anisotropy of the water (Teipel *et al.*, 2016)).

In recent years, DTI has been regarded as one of the most sensitive and promising imaging biomarkers for the diagnosis of iNPH due to its excellent ability to reflect changes in white matter microstructure, and many studies have found that iNPH has unique DTI alterations compared with healthy controls and other neurodegenerative diseases (such as AD) because of its unique pathophysiological mechanism (Caligiuri et al., 2022; Daouk et al., 2014; Grazzini et al., 2021; Hattori et al., 2011; Hong et al., 2010; Horinek et al., 2016; Hoza et al., 2015; Ivkovic et al., 2013; Kang et al., 2016; Kanno et al., 2011; Kanno et al., 2017; Koyama et al., 2013; Scheel et al., 2012; Siasios et al., 2016; Younes et al., 2019) (Table 1). Most studies indicate that iNPH has widespread diffusion changes in the corpus callosum (CC) and periventricular white matter (Caligiuri et al., 2022; Hattori et al., 2011; Hong et al., 2010; Horinek et al., 2016; Kang et al., 2016; Kanno et al., 2011; Koyama et al., 2013; Younes et al., 2019). Significantly lower mean hemispheric FA and higher mean hemispheric MD have been found in iNPH patients than AD and PD patients, and VBA showed that iNPH patients have significantly higher MD values in the CC and periventricular white matter and have significantly lower FA values in the CC (Kanno et al., 2011). Similar FA and MD changes in the CC were also found in studies using tract-based spatial statistics (TBSS) than in healthy controls and AD patients (Kang et al., 2016; Koyama et al., 2013). Moreover, iNPH patients have more altered principal diffusion direction (V1) voxels in the midsagittal portion of the splenium along the CC, which is significantly different from AD patients (Bonferroni-corrected P value < 0.01) and has better diagnostic efficiency based on a random forest classifier than other diffusivity parameters  $[AUC_{iNPH vs. AD} = 0.88 (95\%)]$ CI: 0.76–1)] (Caligiuri et al., 2022). Additionally, many studies have shown that patients with iNPH also have significant diffusivity parameter changes compared with AD patents in paraventricular white matter fibers, such as the anterior corona radiata [including corticomedullary tract, corticospinal tract (CST), thalamic radiation, and thalamic, etc.] and thalamic radiation, which indicate impaired white matter integrity (Hattori et al., 2011; Hong et al., 2010; Horinek et al., 2016; Kang et al., 2016; Koyama et al., 2013; Younes et al., 2019). INPH patients present significantly lower FA in the anterior corona radiata and superior longitudinal fasciculus based on TBSS. Decreased FA was also found in cortico-pontocerebellar pathway fibers (i.e. bilateral posterior thalamic radiation, external capsules, and middle cerebellar peduncles), which awaits further study (Kang et al., 2016; Schmahmann et al., 2006). The decreased FA and increased MD in the CC and periventricular white matter in the iNPH patients discussed before may be caused by axonal degeneration and interstitial edema based on the mechanical pressure of dilated ventricles (Mataró et al., 2007; Medina et al., 2008; Röricht et al., 1998; Uluğ et al., 2003).

In addition, most studies have demonstrated that iNPH patients have higher FA values in the white matter of the paraventricular tracts, especially the CST (Hattori *et al.*, 2011; Horinek *et al.*, 2016; Younes *et al.*, 2019). A study focusing on CST fibers in iNPH patients found significantly increased FA values and axial diffusivity in the CST of iNPH patients based on tract-specific analysis. They also showed that CST fibers of iNPH patients have higher anisotropy and are straighter than patients with AD in visual evaluation of tractography (Hattori *et al.*, 2011). Similarly, iNPH patients also have increased FA, MD, and axial diffusivity in the corticoefferent tracts adjacent to the lateral ventricles, which correlated with ventricular volumes (Horinek *et al.*, 2016). Positive correlation between ventricular volumes and the axial diffusivity was also found in the CST, which does not exist in AD patients. Interestingly, a similar correlation was also found in

Table 1: Methodology and results of included studies using DTI.

First author, year	Population	MR field strength	Voxel size (mm)	B values (s/mm²)	Gradient directions	Analytical methodology	Study design	Key findings
Caligiuri ME, 2022	NPH 23 PSP 27 AD 35 HC 36	3T	2 × 2 × 2	1000	27	VBA	Cross- sectional	NPH patients have more altered principal diffusion direction (V1) voxels in the midsagittal portion of the splenium along the CC (significantly different from AD patiente)
Younes K, 2019	NPH 9 AD 13 HC 20	3T	2 × 2 × 2	1000	64	TPA	Cross- sectional	NPH patients presents a positive correlation between ventricular volumes and the axial diffusivity of CST, which was also found in superior thalamic radiation
Kanno S, 2017	SR 28 SNR 8 HC 10	1.5T	2.5 × 2.5 × 2.5	1000	13	TBSS	Longitudinal	FA value in the corona radiata decreased after shunt surgery that only happened in the SR group
Kang K, 2016	NPH 28 AD 28 HC 20	3T	2 × 2 × 2	600	45	TBSS, RBA	Cross- sectional	NPH patients exhibited lower FA and higher MD in bilateral anterior corona radiata, superior longitudinal fasciculus, and cortico-ponto-cerebellar pathways than AD patients
Hořínek D, 2016	NPH 17 AD 14 HC 17	37	1.8 × 1.8 × 2.4	1000	30	TBSS	Cross- sectional	NPH patients showed higher FA, MD, and axial diffusivity in the cortico-fugal tracts that is correlated with ventricular volumes (significantly different from AD patients)
Daouk J, 2014	NPH 12 AD 18	37	3 × 3 × 5 × 3	1000	12	RBA	Cross- sectional	Aqueductal CSF stroke volume of NPH patient is NOT correlated with the axial diffusivity and radial diffusivity in the internal capsue (significantly different from AD patients)
Koyama T, 2013	NPH 24 HC 21	3T	$1.8 \times 1.8 \times 3$	1000	12	TBSS, RBA	Cross- sectional	NPH patients present significant lower FA in the CC and higher FA in the posterior limb of internal capsule

	pulation	strength	(mm)	$(s/mm^2)$	directions	methodology	Study design	Key findings
Ivkovic M, 2013 NP. AD PD.	H 15 0 9 //DLB 16	3T	1.8 × 1.8 × 2.5	1000	33 73	MD Histogram Analysis	Cross- sectional	The diagnostic model of MD Histogram Fitting based on Generalized Voss-Dyke fitting reached 86% sensitivity and 88% specificity in the NPH-AD task
Scheel M, 2012 NP.	H 13	1.5T	$4 \times 4 \times 4$	1000	25	TBSS	Longitudinal	The FA, MD, parallel diffusivity, and radial diffusivity tended to be normal after shunt surgery, although there were still significant differences from HC
Kanno S, 2011 NP AD PD	H 20 20 22	1.5T	2.5 × 2.5 × 2.5	1000	13	VBA	Cross- sectional	NPH patients have significantly lower mean hemispheric FA and higher mean hemispheric MD compared with patients in AD and PD groups, and have significantly higher MD and lower FA value in the CC
Hattori T, 2011 NP. AD PDI HC	PH 18 0 11 10 11 2 19	1.5T	3 × 3 × 3	1000	13	TPA	Cross- sectional	NPH patients showed higher FA value and axial diffusivity in CST. In addition, NPH patients' CST fibers also have higher anisotropy and straighter than patients with AD in visual evaluation of fractorraphy
Hong YJ, 2010 NP AD HC	14 13 0 15 2 15	1.5T	4 × 4 × 4	1000	25	RBA	Cross- sectional	The FA value in hippocampal region is sorted from the highest to the lowest in HC-NPH-AD order, while the MD value in hippocampal region is sorted from the highest to the lowest in AD-NPH-HC order

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Table 1: Continued

superior thalamic radiation (the most medial part of the superior corona), which uncovers further research and diagnostic value of correlations between macrostructural characteristics of ventricular and microstructural changes of paraventricular tracts (Younes *et al.*, 2019). INPH patients also have significantly higher FA in the posterior limb of the internal capsule where the CST is located (Koyama *et al.*, 2013). The widely identified paraventricular tract's increased FA and axial diffusivity in paraventricular tracts may be explained by fewer crossed fibers within the voxel due to the pressure-related stretching of the ventricles and compression of white matter fibers, which remains controversial (Grazzini *et al.*, 2021; Horinek *et al.*, 2016; Kim *et al.*, 2011).

Furthermore, several studies are expected to further explore the pathophysiological mechanisms of iNPH and complete its differential diagnosis with AD using DTI-based methods (Daouk et al., 2014; Hong et al., 2010; Ivkovic et al., 2013). The FA value in the hippocampal region was found sorted from the highest to the lowest in the HC-NPH-AD order, while the MD value in the hippocampal region was sorted from the highest to the lowest in the AD-NPH-HC order using a region of interest-based method, which indicates different pathophysiological changes between AD and NPH patients; that is, the damage to hippocampal white matter microstructure due to mechanical pressure of CSF in iNPH patients is not as severe as the primary damage to hippocampal structure caused by AD (Hong et al., 2010). Some studies have also proposed innovative biomarkers for classification. A classification model based on DTI histograms and the Voss-Dyke fitting method was proposed and obtained 86% sensitivity and 88% specificity in the iNPH-AD classification task (Ivkovic et al., 2013). The relationship between CSF flow and diffusivity parameters can also be used for classification, the axial diffusivity and radial diffusivity in the internal capsule of AD patients are correlated with the aqueductal CSF stroke volume, while there is no similar correlation in patients with iNPH, which may be due to the forced diffusion of CSF in AD patients and needs to be studied further (Daouk et al., 2014).

The pathogenesis of iNPH is still unclear, and it is generally believed that it is related to the universal damage of the periventricular white matter due to ventricular dilation and abnormal CSF dynamics (Jurcoane et al., 2014; Skalický et al., 2020; Wang et al., 2020). At the initial stage of iNPH, various factors led to the increase in CSF pulsatility (Hayashi et al., 2015; Wagshul et al., 2011) and made hyperdynamic CSF flow more to the ventricles (Ringstad et al., 2016), which increased the shearing forces and compressive stress (Capone et al., 2020; Jacobsson et al., 2018) on the inner wall of the ventricles, causing ventricular expansion. At the same time, the decrease in CSF drainage caused by factors such as decreased intracranial compliance and increased venous pressure will also promote this process (Jacobsson et al., 2018). Ventricular dilation will increase pressure on a larger surface area and further reduce CSF drainage, leading to a vicious cycle (Greitz et al., 1994; Rekate et al., 2008). Expansion of the ventricles with their increased mechanical stress on the surface can cause compression and deformation of the periventricular white matter, which in turn leads to the main clinical symptoms of iNPH (e.g. CST compression causing gait disturbance and urinary incontinence) (Holodny et al., 2005; Relkin et al., 2005). These DTIbased method studies all showed that iNPH patients have higher FA values in the white matter of the paraventricular tracts, which indicates that axons are densely packed in paraventricular tracts (Horinek et al., 2016; Hattori et al., 2011; Horinek et al., 2016). The increase in FA was found limited to the region near the lateral ventricle of the CST, confirming the previous "compression hypothesis" (Hattori et al., 2011). According to the clinical guidelines,

shunt surgery is the recommended therapy for early iNPH patients, which can largely modify abnormal CSF dynamics, reduce mechanical stress and white matter compression on the ventricular wall, and then relieve clinical symptoms (Mori et al., 2012). Some studies using the DTI-based method confirmed the previously mentioned changes in white matter microstructure (Kanno et al., 2017; Scheel et al., 2012). The FA, MD, parallel diffusivity, and radial diffusivity tended to be normal after shunt surgery, although there were still significant differences from healthy controls, which indicated a recovery of reversible damage caused by white matter compression (Scheel et al., 2012). Moreover, the closer the paraventricular tract is to the ventricle, the greater the preoperative FA value increases, and the greater the postoperative FA value decreases, indicating that the closer the paraventricular tract is to the ventricle, the more obvious the compression is. Meanwhile, the relative changes in FA and axial diffusivity before and after shunt surgery can be used as a good tool to predict shunt response, indicating that the recovery of paraventricular tract compression after surgery is positively correlated with the improvement of symptoms (Jang et al., 2011). There are also studies devoted to the prognosis prediction of iNPH patients after shunt surgery and trying to determine the potential reasons for poor shunt response. Compared with shunt-responsive (SR) patients, the volume of the cerebral ventricle in nonresponsive NPH (NR) decreased slightly after the operation, indicating that the decrease in insufficient pressure adjustments or ventricular compliance is a possible cause of NR. Additionally, compared with SR, MD in the left hemisphere of NR increased after shunt surgery, which may represent the expansion of interstitial edema and irreversible damage of white matter microstructure (Kanno et al., 2017). Interestingly, in some NRs, although the axial diffusivity of the CST was significantly reduced after surgery (representing a decrease in mechanical stress in the ventricular wall), their clinical symptoms were not significantly relieved, which also indicated that NR caused irreversible damage to periventricular white matter (Jurcoane et al., 2014). Neuropathological studies have confirmed that, in addition to the axons themselves packed, the mechanical stress of ventricular expansion may also lead to decreased blood flow perfusion (Takeuchi et al., 2007), metabolic abnormalities (especially the abnormal glymphatic system) (Tan et al., 2021), and neuroinflammation (Zhou et al., 2019) in the peripheral white matter and further cause irreversible axonal damage, which can also explain the increase in axial damage biomarkers in the CSF of some patients with iNPH (such as neurofilament light protein) (Jeppsson et al., 2013). DTI-based methods can be used to find compression and irreversible damage to the paraventricular tract through diffusion changes before and after shunt surgery and can be used as promising noninvasive biomarkers to distinguish SR and NR.

In general, DTI-based methods can detect changes in white matter microstructure (especially CC and periventricular white matter) in patients with iNPH before structural MRI. On this basis, we can study the unique pathophysiological changes of iNPH, which can be used for differential diagnosis with other neurodegenerative diseases (such as AD). Meanwhile, the DTI-based method can also analyze the changes in white matter microstructure before and after shunt surgery in patients with iNPH and serve as a promising, noninvasive diagnostic and prognostic evaluation method for iNPH. However, there are still some remaining limitations requiring further DTI-based research for iNPH, such as interpretation of conflicting results, significant inter-scanner differences and difficulties in processing data of crossing white matter tracts (Alexander *et al.*, 2001; Lenfeldt *et al.*, 2011; Moura *et al.*, 2019).

#### Phase-contrast MRI (PC-MRI)

MRI was thought to be an effective tool for the detection of CSF flow. It was first reported that iNPH exhibited hyperdynamic CSF motion and decreased ventricular compliance and therefore presented with significant aqueductal signal loss in MR images compared to brain atrophy, termed the "CSF flow void sign" (Bradley et al., 1986). However, previous studies have found that it has little predictive value for shunt responders in patients with iNPH (Bradley et al., 1996; Krauss et al., 1997). Therefore, a new method of quantitatively measuring CSF flow by MRI was proposed and extensively applied in iNPH research, that is, PC-MRI (Edelman et al., 1986). PC-MRI is the only MRI technique to visualize CSF motion thus far, and most of the clinical CSF flow measurements have been carried out in the aqueduct (Connor et al., 2001). Since its early application in iNPH, multiple studies have sought to answer the question of "how to distinguish shunt responders from nonresponders" through this technique. Aqueductal stroke volume (SV) was defined as the total amount of CSF pulsating caudally (or cranially) through the aqueduct in one cardiac cycle, with a normal range of 30–50  $\mu$ l across studies, while iNPH is characterized by significantly increased SV (Greitz et al., 1994; Wagshul et al., 2006; Yamada et al., 2015). Bradley et al. first reported a threshold of 42  $\mu$ l in differentiating shunt responders from nonresponders, with a sensitivity of 80% and specificity of 100% (Bradley et al., 1996). They supposed that this increase in SV may contribute to the squeezing of dilated ventricles, while a continuous pressure gradient further led to the collapse of periventricular arterioles and progressive ischemia, causing irreversible diminished pump function and then decreased SV (Bradley et al., 1996; Scollato et al., 2008). Another study also showed that a CSF flow rate of >18 ml/min could distinguish iNPH from other forms of cognitive impairment (Luetmer et al., 2002). Other researchers, however, have found limited significance when using PC-MRI to predict shunt outcome (Dixon et al., 2002; Kahlon et al., 2007). These contradictory results suggest a difficulty in exclusion of AD hydrodynamics comorbid with iNPH (Bateman et al., 2007; Takizawa et al., 2017) and, moreover, an urgent need in protocol standardization with the PC-MRI method. The selection of proper sequence parameters such as velocity encoding is essential for the high quality of PC-MR images and their clinical application when using quantitative measurements (Korbecki et al., 2019). Therefore, Bradley et al. highlighted that medical centers should establish their own aqueductal SV cutoff value, which is twice as high as that derived from healthy volunteers (Bradley, 2015). For these reasons, quantitative PC-MRI studies may exhibit huge inconsistency, while they still hold promise for differential diagnosis and prognostic prediction for iNPH in an experienced single center.

## **Glymphatic MRI**

In addition to the aforementioned hydrodynamic changes, iNPH patients also have disturbed CSF homeostasis. Iliff *et al.* first reported that there is a kind of system in rodents, where CSF flows into the brain parenchyma from the periarterial space and flows out from the perivenous space after material exchange with the interstitial fluid (Iliff *et al.*, 2012). This system was termed as the "glymphatic system," as it has a structure and function similar to those of the lymphatic system, and aquaporin 4 (AQP4) is the main protein that mediates this material exchange. This system is believed to be involved in the clearance of  $\beta$ -amyloid, one of the pathological hallmarks of AD (Iliff *et al.*, 2012; Shokri-Kojori

et al., 2018), and the pathogenesis of many neurological diseases (Rasmussen et al., 2018).

Following intrathecal injection of a paramagnetic contrast agent, CSF-ISF exchange across the rat brain was seen using the dynamic contrast-enhanced MRI method for the first time (Iliff et al., 2013). The perfusion of the glymphatic system was also measured by MRI method in stroke mouse models, which demonstrated significant impairment of glymphatic system perfusion after subarachnoid hemorrhage and acute ischemic stroke (Gaberel et al., 2014). Glymphatic MRI was first applied in iNPH patients and controls in 2017 by intrathecally injected gadobutrol as an MR contrast agent, to assess brain glymphatic function (Ringstad et al., 2017). Delayed enhancement and reduced clearance of gadobutrol at the Sylvian fissure was observed in iNPH patients. They further interpreted as signs of reduced glymphatic clearance in iNPH patients (Ringstad et al., 2017). Another study with longer MR scan time points also demonstrated similar impairments in glymphatic flux (Ringstad et al., 2018). A more recent survey revealed that delayed clearance of gadobutrol also occurred in the entorhinal cortex and adjacent white matter, which is pivotal for cognitive function (Eide et al., 2019). Hence, it could be conceivably hypothesized that reduced glymphatic clearance may be attributed to waste accumulation, such as  $\beta$ -amyloid, in these cognitive brain networks and then induce dementia or comorbidities, such as AD. Further investigations should be focused on the clinical value of glymphatic MRI to recognize patients with concurrent AD and whether there is any association between shunt implantation and CSF clearance

Altogether, these MRI methods for evaluating CSF circulation may contribute to our knowledge of the underlying mechanism of iNPH pathogenesis but with little evidence of clinical application thus far. Further studies are required to determine a set of standardized protocols for these MRI methods and then testify to the consistency across studies.

#### Positron emission tomography

PET is currently one of the most popular methods for investigating neurodegenerative diseases, especially in the AD research field. Several PET modalities have already been established in iNPH for differential diagnosis and prognostic prediction.

In terms of the detection of metabolic changes in iNPH patients, Jagust et al. first used <sup>18</sup>F-fluorodeoxyglucose (FDG)-PET to demonstrate a different pattern of metabolic abnormalities between iNPH and AD in 1985. The brains of patients with AD showed bilateral temporoparietal hypometabolism, while those of patients with iNPH demonstrated global metabolic changes in iNPH (Jagust et al., 1985). Another study in 1995 also demonstrated similar metabolic changes in iNPH (Tedeschi et al., 1995). A recent retrospective study compared the pattern of FDG-PET between iNPH and several neurodegenerative diseases (including AD) using VBA. The authors found that AD exhibited cortical hypometabolism, while iNPH had a pattern of subcortical hypometabolism, including bilateral dorsal striatum, even after partial volume correction (Townley et al., 2018). Differences between these studies may arise from the improved partial volume correction and patient selection. Several studies also sought to investigate metabolic changes before and after shunt surgery. Patients with iNPH underwent clinical assessment and FDG-PET before and 1 week after a shunt procedure. These two studies yielded similar results that the cerebral metabolic rate of glucose (CMRglu) significantly increased among shunt responders, and there was a correlation between metabolic change and clinical symptoms (Calcagni et al., 2012; Calcagni *et al.*, 2013). Therefore, FDG-PET serves as an excellent tool to differentiate iNPH and other neurodegenerative diseases and as a biomarker of response to intervention, although it cannot define whether an iNPH patient responds to shunt surgery.

The accumulation of  $\beta$ -amyloid (A $\beta$ ) and phosphorylated Tau protein are considered pathological hallmarks of AD. While an autopsy study confirmed that AD is a common pathologic comorbidity in iNPH patients and may preclude improvement after shunt surgery (Cabral et al., 2011), it is necessary to develop a noninvasive method to detect AD pathology in the setting of iNPH. Thanks to the major improvement of CSF biomarkers and molecular imaging techniques, in vivo detection of AD pathology has become realized and increasingly applied in the iNPH research field in the last few decades (Table 2). Common  $A\beta$  tracers, including <sup>11</sup>C-Pittsburgh compound-B (PiB), <sup>18</sup>F-Flutemetamol, <sup>18</sup>F-Florbetaben (FBB), and <sup>11</sup>C-BF227, were adopted in iNPH patients to detect amyloid pathology (Hiraoka et al., 2015; Jang et al., 2018; Leinonen et al., 2008; Rinne et al., 2012). <sup>11</sup>C-PiB PET and frontal cortical brain biopsy were first appied to evaluate  $A\beta$  load. A total of four out of seven iNPH patients have a biopsy-confirmed A $\beta$ and increased PiB uptake (Leinonen et al., 2008), while the PiB distribution pattern was different from AD, since PiB retention was limited to the high-convexity parasagittal areas in iNPH patients (Jiménez-Bonilla et al., 2018; Kondo et al., 2013). Several studies using <sup>18</sup>F-Flutemetamol and brain biopsy also demonstrated similar A $\beta$  deposition in iNPH patients, and confirmed the concordance of A $\beta$ -PET imaging with histopathology (Leinonen *et al.*, 2013; Rinne et al., 2012; Wolk et al., 2011; Wong et al., 2013). Longitudinal studies were carried out to evaluate  $A\beta$  deposition with clinical improvement after shunt surgery. A recent study found a significant correlation between neocortical <sup>11</sup>C-BF227 SUVR and cognitive improvement reflected by the iNPH grading scale after shunt surgery (Hiraoka et al., 2015). Other studies using Flutemetamol or Florbetaben have also indicated that  $A\beta$ +iNPH patients have a higher frequency of CSFTT responder and shunt responsiveness, as they may be more likely to experience a secondary deterioration after surgery (Jang et al., 2018; Jang et al., 2022). Overall, these results suggest that  $A\beta$  pathology tested by PET was consistent with CSF or histopathology findings and that discriminating concurrent AD pathology may help in the selection of probable shunt candidates with iNPH. However, the long-term prognostic value of A $\beta$ -PET in postshunt iNPH patients remains to be elucidated

In addition,  $A\beta$ -PET may be an effective tool in the search for iNPH pathogenesis. A recent meta-analysis was conducted to clarify the  $A\beta$  biomarkers in the CSF of iNPH patients, which illustrated a significantly decreased level of CSF A $\beta$ 42 compared to normal controls but a slight increase compared to AD patients (Chen et al., 2017). Researchers have put forward different opinions for  $A\beta$  level reductions in CSF. Dr. Graff-Radford hypothesized that the glymphatic pathway was impaired in the setting of iNPH, leading to decreased clearance of  $A\beta$  and its precursors (Graff-Radford, 2014). Another researcher explained it in terms of periventricular hypometabolism seen on FDG-PET or MRI (Jeppsson et al., 2013). However, a study found that two iNPH patients with higher cortical PiB retention had the lowest level of CSF A $\beta$ 42, thus supporting the actual  $A\beta$  deposition in the cerebral cortex of iNPH patients (Kondo et al., 2013). Large-scale studies are still needed to clarify the underlying pathogenesis of altered A $\beta$  biomarkers in iNPH patients.

Notably, it was reported that  $A\beta$  deposition reflected by  $A\beta$ -PET SUVR may be 15–20 years before cognitive symptom onset (Fleisher *et al.*, 2012).  $A\beta$  deposition was proven to reach a plateau;

thus, another pathological protein may mediate cognitive impairment in the AD continuum (Jack et al., 2013). An accumulating body of evidence has indicated the interaction between  $A\beta$  and Tau, in which they seem to be both the trigger and the bullet in AD pathogenesis (Bloom, 2014). Several studies suggest that Tau-PET is a promising tool for predicting cognitive change and is superior to  $A\beta$ -PET and MRI (Bischof et al., 2017; Ossenkoppele et al., 2021). One study explored both  $A\beta$  and Tau through biopsy, CSF analysis and PET imaging. Brain biopsy has confirmed that Tau accumulation also occurs in iNPH patients (3/14), although it is relatively rare compared to  $A\beta$  (9/14) (Leinonen et al., 2018). However, further investigation used S-18F-THK-5117 as a Tau-PET tracer but failed to demonstrate a significant correlation between S-<sup>18</sup>F-THK-5117 uptake and biopsy-confirmed or CSF phosphorylated Tau (Leinonen et al., 2018). This result may be explained by the off-target binding or small sample size of this study; thus, further research is needed to evaluate different Tau-PET tracers in larger-scale iNPH patient cohorts.

#### Limitations

Although previous studies have successfully demonstrated that radiological methods are becoming a promising tool in evaluating the prognosis for iNPH patients, a number of limitations need to be noted (Fig. 2). The principal limitation is the variable definition of shunt responder. In a recent multicenter randomized trial SINPHONI-2, researchers defined a favorable outcome as an improvement of one or more on the modified Rankin scale (mRS) at 3 months after randomization (Kazui et al., 2015). However, several studies have also applied the improvement of MMSE, walking tests or even subjective reports of improvement (El Ahmadieh et al., 2019; Kang et al., 2016). The lack of a standardized evaluation method for shunt responders may result in inconsistencies across studies. Thus, a multidisciplinary team including a neurosurgeon, behavioral neurologist, psychiatrist, urologist, and physical therapist is highly recommended for comprehensively evaluating iNPH patients before and postshunt. Second, imaging techniques and postprocessing methods for DTI and PC-MRI need to be further standardized before clinical application. Third, the sample sizes of previous studies were relatively small, and the follow-up periods were limited. The generalizability of these findings and the long-term effects of shunt surgery still need to be validated in large-scale cohort studies in the future.

## Conclusion

Taken together, previous investigations have suggested that iNPH is a common disease in elderly patients and is prone to concomitant AD. This review concluded that novel radiological biomarkers using different methods were effective in evaluating iNPH pathophysiology and differentiating or detecting concomitant AD, therefore noninvasively predicting the possible outcome postshunt and helping select candidates for shunt surgery. Although these findings should be interpreted with caution, no single method could rule out possible shunt responders thus far. Therefore, multidisciplinary methods and comprehensive evaluation of clinical symptoms pre- and post-CSFTT and radiological biomarkers seem to be the most effective protocols. Since the contribution of concomitant AD to the long-term outcome of iNPH patients remains elusive, large randomized controlled trials could provide more definitive evidence in the future.

First author, year	Patient number	Study design	Tracers	Evaluating methods	Key findings
Leinonen, 2008	7	Cross-sectional	<sup>11</sup> C-PiB	PET + frontal biopsy	4/7 have biopsy confirmed A $\beta$ plaques and increased PiB
Wolk, 2011	7	Cross-sectional	<sup>18</sup> F-Flutemetamol	PET + frontal biopsy	uptake. 4/7 have biopsy confirmed A <i>β</i> plaques and positive PET scans.
Rinne, 2012	52	Pooled analysis of 2 prospective ( $n = 30$ ) and 2 retrospective ( $n = 22$ )	<sup>18</sup> F-Flutemetamol	PET + frontal or parietal biopsy	$3/7$ negative flutemetamol scans were all in patients without evidence of A $\beta$ plaque pathology. $3/52$ with inapplicable data. $14/52$ were classified as abnormal for fibrillar A $\beta$ based on biopsy.
Wong, 2013	12	studies Prospective	<sup>18</sup> F-Flutemetamol	PET + parietal biopsy	13/52 were classified as abnormal for $A\beta$ -PET. 2/12 with insufficient amount of tissue in the biopsy sample. 2/12 were classified as abnormal for fibrillar $A\beta$ based on both overall biopsy and $A\beta$ -PET. 8/12 were classified as normal based on both overall
Leinonen, 2013	15	Prospective	<sup>11</sup> C-PiB $(n = 7)$ and <sup>18</sup> F-Flutemetamol (n = 15)	PET + frontal biopsy	biopsy and A, P-FT. 4/15 suspected iNPH patients were classified as amyloid-positive based on overall pathology and all PET images were rated the same as the overall pathology read. <sup>11</sup> C-Pib PET imaging quantitative findings were concordant with <sup>18</sup> F-Flutemetamol.
Kondo, 2013	10	Cross-sectional	<sup>11</sup> C-PiB	PET + CSF analysis	3/10 patients with iNPH without any clinical signs of AD had obvious cortical PiB retention, which is limited to the high-convexity parasagittal areas. High cortical PiB retention showed lower levels of CSF AF42, indicating the actual A $\beta$ deposition rather than
Rinne, 2014	17	Prospective	<sup>18</sup> F-Flutemetamol	PET + frontal biopsy	detayed CSF clearance. 4/17 NPH patients were classified as amyloid-positive by overall pathology read. 3/17 were classified as positive for visual interpretation of $A\beta$ -PET. In 4 biopsy amyloid-positive patients, the overall response was graded as good in 1, fair in 1, and transient
Hiraoka, 2015	10 iNPH + 10 AD + 10 HC	Prospective	<sup>11</sup> C-BF227	PET only	<ul> <li>III.2.</li> <li>5 patients with iNPH had neocortical SUVRs as high as AD patients.</li> <li>5 patients with iNPH had neocortical SUVRs as low as HC.</li> <li>A significant correlation between neocortical SUVR and cognitive improvement after shunt surgery was observed.</li> </ul>

Table 2: PET studies for evaluating concomitant AD pathology in patients with iNPH.

Table 2: Continued

First author, year	Patient number	Study design	Tracers	Evaluating methods	Key findings
Jime`nez-Bonilla, 2018	13	Cross-sectional	11C-PiB	PET only	3/13 iNPH patients showed a very high intense PiB retention in all of the cortical regions. 7/13 showed cortical PiB retention equal or more intense than controls, mainly in the upper parasagittal areas. 3/13 did not show any cortical retention higher than
Jang, 2018	31	Prospective	<sup>18</sup> F-Florbetaben	PET + CSF analysis	7/31 possible iNPH patients were classified as iNPH/FBB+ group, other 24/31 patients were classified as iNPH/FBB- group. Higher frequency of CSFTT responders in the iNPH/FBB- (83.3%) group compared to the iNPH/FBB+ group (28.6%). The iNPH/FBB+ group had significantly lower CSF $A\beta$ 42 and higher t-tau levels than iNPH/FBB- group. Patients with positive AD biomarkers are expected to be
Kang, 2018	17	Prospective	<sup>18</sup> F-Florbetaben	PET only	Tess responsive to snum surgery. iNPH patients showed remarkably higher FBB retention in bilateral frontal, parietal and occipital areas compared to HC. FBB retention in right frontal cortex correlated with right hippocampal volume. FBB retention in right occipital cortex correlated with of objection in right occipital cortex correlated with
Leinonen, 2018	14	Prospective	<sup>11</sup> C-PiB and S- <sup>18</sup> F-THK-5117	PET + CSF + frontal biopsy	7/14 had $A\beta$ , 2/14 both $A\beta$ and Ptau, and 1/14 only Ptau in biopsy. increased brain biopsy $A\beta$ was well associated with higher PIB uptake in PET and CSF $A\beta$ 42 levels showed a strong negative correlation with PIB uptake composite score. S-[18F]-THK-5117 uptake did not show any statistically significant correlation with either brain biopsy Ptau or CST brand 81 or total brain
Rinne, 2019	21	Prospective	<sup>11</sup> C-PIB	PET + CSF + frontal biopsy	11/21 iNPH subjects had positive $A\beta$ plaques through biopsy. Significant association between $A\beta$ in the right frontal cortex biopsy specimen and PiB uptake in both right frontal cortex and whole neocortical area.
Jang, 2022	114	Prospective	<sup>18</sup> F-Florbetaben or <sup>18</sup> F-Flutemetamol	PET + CSF analysis	34/114 patients with NPH-like presentation had amyloid positivity. $16/34 \ A\beta$ +NPH patients responded to CSFTT and 7/16 CSFTT responders underwent shunt surgery, 5 patients experienced secondary deterioration.



Figure 2: Pros and cons of each imaging methods for clinical evaluation of iNPH patients. Note: β, β-Amyloid; SUVR, standardized uptake value ratio.

## **Author contributions**

H.L.C. and Y.X.Z. conceived this study and drafted the manuscript. H.L.C., Y.X.Z., H.G., K.R.H., Y.L., and Y.T.C. collected information and relevant materials. Q.C. designed the study and revised the manuscript. All coauthors revised the manuscript and approved the final version of the manuscript.

## **Conflicts of interest**

None of the authors declare any conflicts of interest.

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