# Effectiveness of Herbal Medicine for Leukopenia/Neutropenia Induced by Chemotherapy in Adults with Colorectal Cancer: A Systematic Review and Meta-analysis

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## Abstract

**Objective:** To evaluate the effectiveness of Chinese Herbal Medicine (CHM) on leukopenia/neutropenia induced by chemotherapy in adults with colorectal cancer (CRC). **Methods**: Eight electronic databases were searched from their inception to June 2020. Randomized controlled trials with clarified sequence generation were qualified. Two reviewers independently conducted the screening and data extraction. Methodological quality was assessed using the Risk of Bias tool. RevMan 5.4 was applied to the meta-analysis. **Results**: Twenty-seven studies involving 1867 participants were qualified, of which 26 were included in the quantitative synthesis. Meta-analysis showed that CHM significantly reduced the incidence of leukopenia induced by chemotherapy (RR=0.69; 95% CI 0.59-0.82), as well as the grade 3/4 leukopenia (RR=0.71; 95% CI 0.55-0.90). Meanwhile,CHM decreased the occurrence of neutropenia (RR=0.52, 95% CI 0.35-0.77), especially for the grades 3/4 neutropenia (RR=0.42, 95% CI 0.27-0.64). Twenty-six of the included studies focused on the adverse events related to CHM. **Conclusion**: CHM may relieve neutropenia/leukopenia induced by chemotherapy in adults with colorectal cancer.

## **Keywords**

Chinese herbal medicine, colorectal cancer, chemotherapy, leukopenia, neutropenia

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# Introduction

Neoplasms have become the second leading cause of death from non-communicable diseases worldwide,<sup>1</sup> in which the incidence and mortality of colorectal cancer (CRC) rank third and second respectively.<sup>2</sup> It was estimated that more than 1.8 million new cases of CRC will be diagnosed and approximately 880 000 people would die from it in 2018 alone. Systematic chemotherapy has been playing a prominent role in the treatment of CRC from its development<sup>3</sup> and is recommended to both patients at high-risk of recurrence or metastasis<sup>4-7</sup> and patients with metastasis<sup>8,9</sup> according to the National Comprehensive Cancer Network (NCCN) Guidelines and the Chinese Society of Clinical Oncology (CSCO) Guidelines.

However, adverse effects (AEs) caused by chemotherapy are one of the major problems faced for both patients <sup>1</sup>Xiyuan Hospital,China Academy of Chinese Medical Sciences, Beijing, China

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Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage). and practitioners, including leukopenia/neutropenia. The impact of chemo-induced leukopenia/neutropenia shows in categories of severity,<sup>10-13</sup> since grade 1/2 leukopenia/neutropenia may recover while grade 3/4 may lead to dose reduction, delay or discontinuation of chemotherapy, which may discount the therapeutic effect of cancer.

Myeloid growth factors (MGF), such as granulocyte colony stimulating factors (G-CSF), or granulocyte macrophage colony-stimulating factor (GM-CSF), are recommended to be used in the prophylactic and treatment of patients at high risk of febrile neutropenia (FN) and the treatment of FN according to NCCN guidelines, since research has provided sufficient evidence for their effectiveness.14-17 However, what cannot be ignored is the toxicity associated with them. Bone pain, myalgia, pyrexia, and asthenia are the most frequent AEs in patients receiving G-CSF,<sup>18-20</sup> the incidence of which can range from 9.9% to 47%, from 11.9% to 69.8%, from 12.1% to 55.3%, and from 13.2% to 15.6%, respectively.<sup>18</sup> Besides, gastrointestinal disorders, neutropenia, thrombocytopenia, ineffectiveness have been reported.<sup>18,19</sup> Severe AEs, splenic rupture, for instance, might be life-threatening.<sup>21</sup> Thus, the prevention and treatment of leukopenia/neutropenia induced by chemotherapy remains a challenge.

Chinese Herbal Medicine (CHM) has been playing an important role in health care for human beings for more than 2000 years in China. "Treat pre-disease," meaning prevention of disease before it arises, is one of the most important theories of Chinese Medicine. CHM may play a striking role in the prevention of chemo-induced leukopenia/neutropenia. Results of preliminary studies are questionable due to the small sample sizes and the limitation of study design. Systematic reviews and meta-analyses have been done to investigate the effectiveness of CHM on chemo-related adverse effects.<sup>22-25</sup> However, there was no study focusing on chemo-induced leukopenia/neutropenia in patients with CRC. Therefore, we conducted a systematic review to explore the effectiveness of CHM on leukopenia/neutropenia induced by chemotherapy to provide practitioners with reliable information for guiding clinical treatment decisions.

# Method

The systematic review and meta-analysis were conducted according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA). The protocol has been previously registered in PROSPERO (No. CRD42020177847).

## Data Sources and Search Strategies

Two reviewers independently searched 8 electronic databases, including Pubmed, Embase, Web of Science, Cochrane Library, China National Knowledge Infrastructure (CNKI), Wanfang, Chongqing VIP (CQVIP), and China Biology Medicine disc (CBM) from their inception to June 2020 to identify studies that met the inclusion criteria. The language of studies was restricted in English and Chinese. We utilized the medical subject headings "Colorectal Neoplasms," "Rectal Neoplasms," or "Colonic Neoplasms" in both Chinese and English databases. Detailed search terms and strategies are shown in Table 1.

# Inclusion and Exclusion Criteria for Articles

Inclusion criteria. Studies in accordance with all the following were included.

- Participants diagnosed with CRC and receiving chemotherapy, aged ≥18 years, regardless of gender and race.
- (2) Intervention of the treatment group was oral CHM alone, with the components of the prescription and treatment courses.
- (3) Comparison (control group) untreated or treated with placebo of CHM.
- (4) Outcome measurements included the incidence of leukopenia/neutropenia, the incidence of grade 3/4 leukopenia/neutropenia and the absolute count of leukocyte/neutropenia.
- (5) Types of studies: randomized controlled trials (RCTs) only.

### Exclusion criteria

Studies in accordance with the any of the following were excluded

- (1) Information not available on the stage of the tumor, either TNM stage or Duke's stage.
- Chemotherapy scheme (both the agents and the dosage) not clarified.
- (3) Intraperitoneal infusion chemotherapy or oral chemotherapy drug alone.
- (4) Intervention combined with other types of Chinese Medicine, such as moxibustion, acupuncture, or enema.
- (5) Full-text not available.
- (6) Sequence generation of randomization not clarified.

# Eligibility Screening and Data Extraction

Two authors independently screened the articles for eligibility of trials, according to the criteria demonstrated above. Any inconsistency in this process was resolved by the third party (Yu-Fei Yang, Yun Xu, or Bin He). For the included studies, 2 reviewers extracted the following information: study ID, sequence generation, sample size, mean age, gender ratio, tumor stages, basic chemotherapy regimens,

#### Table 1. PubMed Search Strategy.

Search	Query	ltem found
#13	#9 AND #12	7
#12	#10 OR #11	70791
#11	(chinese medicine[Title/Abstract]) OR (Chinese herb[Title/Abstract]) OR (traditional Chinese medicine[Title/Abstract]) OR (Chinese herbal medicine[Title/Abstract]) OR (Chinese Herbs[Title/ Abstract]) OR (herbal medicine[Title/Abstract]) OR (herbal[Title/Abstract])	61084
#10	"Medicine, Chinese Traditional" [Mesh]	18916
#9	#7 AND #8	1290
#8	(bone marrow suppression[Title/Abstract]) OR (Marrow depression[Title/Abstract]) OR (Myelosuppression[Title/Abstract]) OR (medulla suppressed[Title/Abstract]) OR (hematotoxicity[Title/Abstract]) OR (leukopenia[Title/Abstract]) OR (leukocytopenia[Title/ Abstract]) OR (aleukocytosis[Title/Abstract]) OR (oligoleukocythemia[Title/Abstract]) OR (neutropenia[Title/Abstract]) OR (neutrocytopenia[Title/Abstract])	56718
#7	#5 AND #6	26   33
#6	chemotherapy[Title/Abstract]	363 92 1
#5	#I OR #2 OR #3 OR #4	248741
#4	(carcinoma of colon[Title/Abstract]) OR (colon cancer[Title/Abstract]) OR (rectal cancer[Title/ Abstract]) OR (carcinoma of rectum[Title/Abstract]) OR (rectal carcinoma[Title/Abstract]) OR (colorectal cancer[Title/Abstract]) OR (CRC[Title/Abstract]) OR (Colorectal carcinoma[Title/ Abstract])	166 032
#3	"Colorectal Neoplasms"[Mesh]	200 277
#2	"Rectal Neoplasms"[Mesh]	47   27
#I	"Colonic Neoplasms"[Mesh]	74496

duration of treatment, treatment of the experimental/control groups, and outcomes. For papers with information not available, the correspondence authors were contacted by e-mail.

## Risk of Bias of Individual Studies

The risk of bias of individual studies was assessed by 2 reviewers following the Cochrane Handbook for Systematic Review of Interventions,<sup>26</sup> whose main items are: random sequence of generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment, incomplete data addressed, and selective reporting. Other bias was defined as the imbalance of baseline and for-profit bias.

# Data Synthesis

Meta-analysis was performed by RevMan 5.4 software. For continuous data (white blood count and neutrophil count), mean difference (MD) and its 95% confidence intervals (CIs)were used to represent the effect measures, while the relative risk (RR) with 95% CI were applied for the dichotomous outcomes, such as incidence of grade I/II/III/IV leukopenia/neutropenia, incidence of leukopenia/neutropenia, and incidence of grade III/IV neutropenia.

Heterogeneity was tested with the chi-squared ( $\chi^2$ ) test and  $I^2$  statistic. A fixed-effects model was used when  $I^2 < 50\%$ , otherwise a random-effects model was applied. We performed subgroup analyses and sensitivity analysis to investigate the factors of heterogeneity and the robustness of the finding, respectively.

# Publication Bias

Funnel plots were applied to detect publication bias if there were more than 10 trials included in a meta-analysis.

# Results

## Literature Screening and Selection

In total, 573 articles were obtained, listed as follows: PubMed, 7; EMBASE, 25; Web of Science, 12; Cochrane Library, 9; CNKI, 80; Wan Fang, 279; VIP, 17; CBM 144. One hundred twenty-two duplicates were removed preliminarily when imported into Endnote X9. After initial screening based on title and abstract, 267 records were eliminated. Further evaluation based on full-text articles removed 157 records. Finally, 27 studies were enrolled in our study, of which 26 RCTs<sup>27-52</sup> reported the incidence of leukopenia/ neutropenia, while 1 RCT<sup>53</sup> evaluated white blood cell count (WBC) and neutrophil count (NEUT). The quantitative synthesis was conducted with 26 RCTs involving ordinal/dichotomous outcomes. The search results and study selection are displayed in Figure 1.



Figure 1. Flow chart of search results and study selection.

# Characteristics of included studies

As shown in Table 2, up to 937 subjects in the treatment groups (T) and 930 subjects in the control groups (C) were enrolled in these RCTs, all of which were published in

Chinese. Sample sizes ranged from 30 to 122. Chemotherapy regimens involved OLF, SOX, XELIRI, FOLFOX, FOLFIRI, XELOX (CapeOX) and CPT-11 plus Raltitrexed. The duration of treatment varied from 2 cycles to 6 cycles. Tumor stage was mainly TNM staging II to IV.

Outcomes	(I)	()	(2) (6)	(1) (5)	(I)	(1) (4) (5) (10)	) (=)		(5)		()	(1)		(	(])	(8)		(1)		E	(8)	(1) (5)
Control group	Chemotherapy	Chemotherapy	Chemotherapy+placebo	Chemotherapy	Chemotherapy	Chemotherapy	Chemotherapy		Chemotherapy		Chemotherapy	Chemotherapy		Chemotherapy	Chemotherapy	Chemotherapy		Chemotherapy	Chemothersov		Chemotherapy	Chemotherapy
Treatment group	Chemotherapy+Jianpi Quyu Decoction	Chemotherapy+Jian Pi Hua Shi Jie Du Decoction	Chemotherapy+CHM for Nourishing Qi and Yin	Chemotherapy + CHM for Strengthening the Spleen and Reinforcing the Kidney	Chemotherapy + Strength and Detoxification Decoction	Chemotherapy+Si junzi Decoction	Chemotherapy+Yiqi Yangxue Decoction		${\sf Chemotherapy+Shengxue} \ {\sf Decoction}$		Chemotherapy+CHM	Chemotherapy+Ba Zhen Tang	Subtraction	Chemotherapy+Compound Cantharidin Capsule	Chemotherapy+Compound Cantharidin Capsule	Chemotherapy+Clearing Heat Removing	Dampness Strengthen Spleen and Xiao Zheng Prescription	Chemotherapy+Yiqi Jianpi Decoction	Chemothemov+Erling Yiren Decoction		Chemotherapy+Fuzheng Buqi Decoction	Chemotherapy+Shenlin Baizhu Powder
Duration of treatment	2 cycles	2 cycles	2 cycles	2 cycles	3 cycles	2 cycles	3 cycles/6	cycles	2 cycles		2 mo	2 cycles		2 cycles	2cycles	4 cycles		2 cycles	4 cvcles		2 cycles	6 cycles
Basic chemotherapy regimen	XELIRI Q 28 d, 2 cycle	OLF O 21 d, 2 cycles	mFOLFOX6 O 14 d. 2 cycles	mFOLFOX6 O 14 d. 2 cycles	FOLFOX4 O 14 d, 3 cycles	mFOLFOX6/FOLFIRI	XELOX	Q 21 d, 3 cycles mFOLFOX6/FOLFIR1 Q 14 d, 6 cycles	XELOX	Q 21 d, 2 cycles	FOLFOX4 Q 14 d, 2 mo	FOLFOX4	Q 14 d, 2 cycles	FOLFOX4 Q 14 d, 2 cycles	CPT-11 + Raltitrexed Q 21 d, 2 cycles	XELIRI	Q 21 d, 4 cycles	XELOX XELOX	V 21 a, 2 cycles XFI OX	Q 21 d, 4 cycles	SOX Q 21 d, 2 cycles	FOLFOX Q 14 d, 6 cycles
Stages	≥	≥	<b>VI/III</b>	<b>VI/III</b>	≥	<b>VI/II/I</b>	VI/II/II		≥		≥	IIA-IIIC			≥	≥		<b>VI/II/I</b>			≥	Dukes B/C
Male (T/C, %)	55/65	45/65	53/60	76/80	39/32	47/63	65/58		63/58		54/57	54/52		60/53	57/47	56/57		56/52	60/60	2000	58/59	58/55
Mean age (T/C)	63/57	62/56	43/45	49/49	43/42	55/50	52/54		59/59		48/49	53/55		58/57	61/58	56/58		58/54	58/59	, D D	56/54	60/63
Sample size (T/C)	20/20	20/20	15/15	21/20	31/31	30/30	31/31		43/43		35/35	30/30		30/30	30/30	64/58		25/25	00/00	01 01	43/44	45/44
Sequences generation	A random list	A random list	Stratified block randomization	Random number table	SPSS Software	Random number table	SPSS Software		Random number table		Random number table	Random number table		Random number table	Computer	Random number table		Rand function	A random list		Random number table	Random number table
Study ID	Cui <sup>39</sup>	Zhang <sup>40</sup>	Liu <sup>29</sup>	Qin <sup>37</sup>	He <sup>44</sup>	Lim <sup>52</sup>	Li et al <sup>30</sup>		Xu et al <sup>32</sup>	20	Wu et al <sup>27</sup>	Gao <sup>51</sup>		Xu <sup>46</sup>	Nan <sup>33</sup>	Dong et al <sup>45</sup>		Shi <sup>31</sup>	Dan <sup>49</sup>	- 21	Wang and Li <sup>48</sup>	Bai et al <sup>50</sup>

Table 2. Characteristics of Eligible Studies.

(continued)

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Study ID	Sequences generation	Sample size (T/C)	Mean age (T/C)	Male (T/C, %)	Stages	Basic chemotherapy regimen	Duration of treatment	Treatment group	Control group	Outcomes
Huang <sup>43</sup>	Random number table	42/42	48/49	55/57	NI/II/I	FOLFOX4 0 14d. 4 cvcles	4 cycles	Chemotherapy+Yiliu Prescription	Chemotherapy	(3)
Zhou et al <sup>36</sup>	Random number table	50/50	54/55	58/64	111/11/1	XELOX 0 21 d. 4 cvcles	4 cycles	Chemotherapy+ CHM	Chemotherapy	(I)
Ren et al <sup>47</sup>	Random number table	54/54	56/55	56/59	∧I-III	mFOLFOX6 0 14 d, 4 cycles	4 cycles	Chemotherapy+Fuzheng Kangai Cream Formula	Chemotherapy	(1)
Ge et al <sup>53</sup>	Random number table	30/30	50/51	57/53	N//II/I	FOLFOX O 21 d. 2 cvcles	2 cycles	Chemotherapy+CHM of Strengthening the Spleen and Nourishing Blood	Chemotherapy	(4) (10)
Wang <sup>34</sup>	Random number table	48/48	57/57	65/71	≥	FOLFOX4 0 14 d. 4 cycles	4 cycles	Chemotherapy+Qifu Longkui Decoction	Chemotherapy	(3) (7)
Dong et al <sup>28</sup>	Random number table	43/43	68/69	49/53	VI-dIII	mFOLFOX6 0 14 d. 4 cvcles	4 cycles	Chemotherapy+Zhenqi Fuzheng Decoction	Chemotherapy	(1)
Wang and Zheng <sup>35</sup>	Random number table	40/40	49/49	58/63	III/II	FOLFOX6	4 cycles	Chemotherapy+Jingqi Shengbai Decoction	Chemotherapy	(5)
Miu et al <sup>42</sup>	Random number table	30/30	63/63	53/60	=	CapeOX O 21 d. 6 cvcles	6 cycles	Chemotherapy+Jisheng Decoction	Chemotherapy	(3)
Ni and Wang <sup>41</sup>	Random number table	35/35	46/43	63/57	≡	FOLFOX7	4 cycles	Chemotherapy+Jiawei Sijunzi Decoction	Chemotherapy	(1) (5)
Liu and Wang <sup>38</sup>	Random number table	32/32	53/54	56/59	II/II	C I 4 d, 4 cycles	4 cycles	Chemotherapy+Jianpi Yishen Buqi Decoction	Chemotherapy	(3)
Outcomos: (1) incid		Concerio: (0) incid	onco of ando		-ciacostin	(3) incidence of louise	in: (4)hite bloc	$\frac{1}{2} = \frac{1}{2} + \frac{1}$	(VIV nontronomio: (2) incide	neo of ando 1/

Outcomes: (1) incidence of grade I/I/III/IV leukopenia; (2) incidence of grade I/I/III/IV/V leukopenia; (3) incidence of leukopenia; (4) white blood count ( $x^2 \pm \overline{s}$ ); (5) incidence of grade I/I/III/IV neutropenia; (6) incidence of grade I/I/III/IV/V leukopenia; (9) incidence of grade I/I/III/IV/V neutropenia; (7) incidence of grade I/I/III/IV neutropenia; (9) neutropenia;



Figure 2. Risk of bias graph.



Figure 3. Risk of bias summary.

# **Risk of Bias Assessment**

Figures 2 and 3 indicated the results of the assessment of risk of bias of the qualified studies. All the studies reported the adequate methods of sequence generation. Allocation concealment was mentioned in 1 RCT<sup>29</sup> while others did not. One RCT<sup>29</sup> blinded both participants and personnel, whereas the rest did not mask the doctors and patients. Both the primary outcomes and the secondary outcomes are objective test results, which might be unbiased. We considered that the lack of blinding will not influence the assessment of the outcomes and assessed all the included studies as low risk of bias. Attrition bias was reported in 3 studies.<sup>42,44,51</sup> Gao's research<sup>51</sup> referred to the reasons for withdrawals, which differed between treatment group and control group, while He<sup>44</sup> and Miu et al<sup>42</sup> cleared that there was no "lost to follow-up" or drop-out. All the 27 articles did not refer to the information of the clinical study registration and we cannot determine the reporting bias. All the RCTs referred to no significant difference between treatment group and control group as to baseline information.

# **Primary Outcomes**

Incidence of leukopenia. 4  $RCTs^{34,38,42,43}$  reported the incidence of leukopenia, 18  $RCTs^{27,28,30-33,36,37,39-41,44,46,47,49-52}$  reported the incidence of grade I/II/III/IV leukopenia and 1  $RCT^{29}$  reported the incidence of grade I/II/III/IV/V leukopenia. The latter 2 can be made into dichotomous data so that we combined the dichotomous and ordinal outcomes to analyze.

The comparison between treatment groups and control groups of the effectiveness on chemotherapy induced leukopenia is shown in Figure 4. In total, there were 755 and 758 participants involved in the treatment group and control groups, respectively. In view of the high heterogeneity (P < .00001,  $I^2 = 66\%$ ) among studies, the random effects model was used for the meta-analysis. The results shows that CHM effectively reduces the incidence of leukopenia induced by chemotherapy with statistical significance (RR=0.69; 95% CI 0.59-0.82; P < .0001).

*Incidence of neutropenia*. In terms of the effectiveness of CHMonchemo-inducedneutropenia, 10RCTs<sup>29,32,34,35,37,41,50,52</sup>

	Experim	ental	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Bai 2017	40	45	42	44	8.5%	0.93 [0.82, 1.05]	-
Cui 2008	7	20	10	20	3.1%	0.70 [0.33, 1.47]	
Dong 2018	3	43	8	43	1.4%	0.38 [0.11, 1.32]	
Gao 2015	8	28	16	27	3.6%	0.48 [0.25, 0.94]	
He 2014	8	31	20	31	3.7%	0.40 [0.21, 0.77]	
Huang 2017	7	42	17	42	3.0%	0.41 [0.19, 0.89]	
Li 2015	13	31	22	31	5.1%	0.59 [0.37, 0.95]	
Lim 2014	24	30	29	30	8.0%	0.83 [0.68, 1.00]	
Liu 2011	4	15	8	15	2.2%	0.50 (0.19, 1.31)	
Liu 2020	7	32	15	32	3.1%	0.47 [0.22, 0.99]	
Miu 2019	13	30	14	30	4.3%	0.93 [0.53, 1.63]	
Nan 2017	6	30	14	30	2.8%	0.43 [0.19, 0.96]	
Ni 2019	11	35	19	35	4.2%	0.58 [0.33, 1.03]	
Pan 2017	8	20	11	20	3.6%	0.73 [0.37, 1.42]	
Qin 2014	3	21	9	20	1.6%	0.32 [0.10, 1.01]	
Ren 2018	9	54	22	54	3.5%	0.41 [0.21, 0.81]	
Shi 2017	16	25	15	25	5.5%	1.07 [0.69, 1.65]	
Wang 2018	11	48	23	48	4.1%	0.48 [0.26, 0.87]	
Wu 2015	24	35	18	35	5.9%	1.33 [0.90, 1.97]	+
Xu 2015	31	43	37	43	7.7%	0.84 [0.67, 1.05]	
Xu 2016	7	30	15	30	3.1%	0.47 [0.22, 0.98]	
Zhang 2009	13	20	13	20	5.3%	1.00 [0.63, 1.58]	
Zhou 2018	30	50	34	50	6.9%	0.88 [0.66, 1.19]	-+
Total (95% CI)		758		755	100.0%	0.69 [0.59, 0.82]	•
Total events	303		431				
Heterogeneity: Tau <sup>2</sup> =	= 0.08; Chi <sup>2</sup>	= 63.98	6. df = 22	(P < 0.)	00001): I <sup>2</sup>	= 66%	
Test for overall effect	Z = 4.39 (	P < 0.00	01)				0.01 0.1 1 10 10
							Favours [experimental] Favours [control]

Figure 4. Forest plot of treatment group vs control group on the effectiveness of the incidence of leukopenia.

	Experim	ental	Contr	ol		Risk Ratio	Risk Ratio				
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% Cl				
Bai 2017	38	45	41	44	21.9%	0.91 [0.78, 1.05]	-				
Lim 2014	26	30	28	30	21.4%	0.93 [0.78, 1.10]	+				
Liu 2011	4	15	8	15	5.0%	0.50 [0.19, 1.31]					
Ni 2019	13	35	21	35	11.7%	0.62 [0.37, 1.03]					
Qin 2014	4	21	11	20	5.0%	0.35 [0.13, 0.91]					
Wang 2018	10	48	19	48	8.8%	0.53 [0.27, 1.01]					
Wang 2019	6	40	16	40	6.3%	0.38 [0.16, 0.86]					
Xu 2015	30	43	38	43	19.8%	0.79 [0.63, 0.99]					
Total (95% CI)		277		275	100.0%	0.71 [0.55, 0.90]	•				
Total events	131		182								
Heterogeneity: Tau <sup>2</sup> =	= 0.06; Chi <sup>2</sup>	= 25.98	6, df = 7 (F	P = 0.0	005); l² = 7	73%		400			
Test for overall effect	Z = 2.79 (F	P = 0.00	5)				Favours [experimental] Favours [control]	100			

Figure 5. Forest plot of treatment group vs control group on the effectiveness of the incidence of neutropenia.

were included: 277 participants in the treatment groups and 275 in the control groups. Since heterogeneity (P=.0005, P=73%) was observed, a random-effects model was applied to the meta-analysis. In comparison with the control groups, CHM reduced the incidence of chemotherapy-associated neutropenia (RR=0.71; 95% CI 0.55-0.90; P=.005) (Figure 5).

# Secondary Outcomes

Incidence of grade 3/4 leukopenia. Eighteen  $RCTs^{27,28,30-33,36,37,39-41,44,46,47,49-52}$  reported the incidence of grade I/II/

III/IV leukopenia, 1179 participants involved. As is shown, there was insignificant heterogeneity (P=.12,  $I^2=31\%$ ) among trials, hence a fixed-effects model was applied. The results revealed that patients experiencing grade 3/4 leukopenia induced by chemotherapy may benefit from CHM (RR=0.52; 95% CI 0.35-0.77; P=.001) (Figure 6.)

Incidence of grade 3/4 neutropenia. Grade 3/4 neutropenia was reported in 8 RCTs. A fixed-effects model was utilized for the analysis owing to the insignificant heterogeneity

	Experime	ental	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% CI
Bai 2017	0	45	14	44	22.5%	0.03 [0.00, 0.55]	<
Cui 2008	0	20	1	20	2.3%	0.33 [0.01, 7.72]	
Dong 2018	0	43	1	43	2.3%	0.33 [0.01, 7.96]	
Gao 2015	0	28	0	27		Not estimable	
He 2014	0	31	2	31	3.8%	0.20 [0.01, 4.00]	← → ↓ → ↓ → ↓ → ↓ → ↓ → ↓ → ↓ → ↓ → ↓ →
Li 2015	2	31	3	31	4.6%	0.67 [0.12, 3.72]	
Lim 2014	4	30	10	30	15.3%	0.40 [0.14, 1.14]	
Nan 2017	2	30	7	30	10.7%	0.29 [0.06, 1.26]	
Ni 2019	0	35	1	35	2.3%	0.33 [0.01, 7.91]	
Pan 2017	0	20	0	20		Not estimable	
Qin 2014	0	21	0	20		Not estimable	
Ren 2018	1	54	5	54	7.7%	0.20 [0.02, 1.66]	
Shi 2017	1	25	2	25	3.1%	0.50 [0.05, 5.17]	
Wu 2015	13	35	5	35	7.7%	2.60 [1.04, 6.52]	
Xu 2015	5	43	7	43	10.7%	0.71 [0.25, 2.08]	
Xu 2016	0	30	1	30	2.3%	0.33 [0.01, 7.87]	
Zhang 2009	3	20	3	20	4.6%	1.00 [0.23, 4.37]	
Zhou 2018	0	50	0	50		Not estimable	
Total (95% CI)		591		588	100.0%	0.52 [0.35, 0.77]	◆
Total events	31		62				
Heterogeneity: Chi <sup>2</sup> =	18.97, df =	13 (P =	0.12); I <sup>2</sup>	= 31%			
Test for overall effect:	Z = 3.27 (F	P = 0.00	1)				Favours [experimental] Favours [control]

Figure 6. Forest plot of treatment group vs control group on the effectiveness of the incidence of grade 3/4 leukopenia.

	Experime	ental	Contr	ol		Risk Ratio	Risk Ratio			
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI			
Bai 2017	2	45	7	44	11.9%	0.28 [0.06, 1.27]				
Dong 2017	11	64	19	58	33.5%	0.52 [0.27, 1.01]				
Lim 2014	4	30	13	30	21.9%	0.31 [0.11, 0.84]				
Ni 2019	0	35	1	35	2.5%	0.33 [0.01, 7.91]				
Qin 2014	0	21	1	20	2.6%	0.32 [0.01, 7.38]				
Wang 2017	1	43	5	44	8.3%	0.20 [0.02, 1.68]				
Wang 2019	0	40	5	40	9.2%	0.09 [0.01, 1.59]	·			
Xu 2015	6	43	6	43	10.1%	1.00 [0.35, 2.86]				
Total (95% CI)		321		314	100.0%	0.42 [0.27, 0.64]	◆			
Total events	24		57							
Heterogeneity: Chi <sup>2</sup> =	5.32, df = 7	7 (P = 0)	.62); l² = (	0%						
Test for overall effect:	Z = 3.98 (F	P < 0.00	01)				Favours [experimental] Favours [control]			

Figure 7. Forest plot of treatment group vs control group on the effectiveness of the incidence of grade 3/4 neutropenia.

(P=.62,  $I^2=0\%$ ). The quantitative synthesis shows that CHM is beneficial to those undertaking chemotherapy and subject to grade 3/4 neutropenia (RR=0.42; 95% CI 0.27-0.64; P < .0001). (Figure 7)

WBC and NEUT. Ge et al<sup>53</sup> investigated the changes of WBC and NEUT before and after treatment between the treatment group and control groups. For WBC, there was no statistical difference between the 2 groups at baseline, while WBC in both groups decreased after chemotherapy. However, WBC in CHM group was higher than the control

group, suggesting a statistical meaning (P < .01). It was the same with NEUT.

# Subgroups and Sensitivity Analysis

To consider the cumulative effect of chemotherapy and the worse physical condition of stage IV patients, we planned subgroup analysis based on the durations of treatment and tumor stage. Meanwhile, post hoc subgroup analyses were performed to investigate the heterogeneity, which grouped the participants according to mean age and chemotherapy

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Subgroups	No. of studies	No. of participants	RR	95% CI	Z	P (effect)	l <sup>2</sup> , %	$\chi^2$	P (her)
Durations of tr	eatment (we	eks)					0	1.71	.43
≤4	6	306	0.52	0.31,0.85	2.58	.01	71	17.20	.004
4~≤8	11	786	0.68	0.51,0.90	2.67	.007	67	30.54	.0007
>8	6	421	0.75	0.59,0.96	2.26	.02	63	13.60	.02
Tumor stage							0	0.03	.86
I~III	7	498	0.66	0.47,0.91	2.54	.01	77	25.86	.0002
II~IV	16	1015	0.68	0.55,0.84	3.65	.0003	61	38.35	.0008
Mean age (y)							39.7	1.66	.2
≤60	16	1078	0.64	0.52,0.79	4.15	<.0001	60	37.52	.001
>60	7	435	0.78	0.62,0.98	2.10	.04	62	15.63	.02
Chemotherapy	regimen						60.3	5.03	.08
XELOX	5	336	0.84	0.72,0.98	2.24	.03	0	3.09	.54
FOLFOX	15	995	0.58	0.43,0.79	3.43	.0006	79	67.14	<.0000
Others	3	182	0.65	0.40,1.04	1.80	.07	70	6.61	.04

Table 3. Subgroup Analysis.



Figure 8. Forest plot for publication bias of incidence of leukopenia.

regimens, since these 2 may be related to the incidence of AEs of chemotherapy.<sup>54-56</sup> As is demonstrated in Table 3, durations of treatment, tumor stage, mean age, or chemotherapy regimen should not be addressed as the sources of heterogeneity.

Removing all the studies one by one, sensitivity analysis suggested that RRs were not influenced significantly. Nonetheless, the funnel plots for the publication bias indicating the existence of bias. (Figure 8)

# Discussion

# Summary of the Study

Chemotherapy, alone or combined with targeted drugs or radiotherapy, has represented the cornerstone of CRC treatment in past decades. Increasing numbers of clinical studies were designed to seek optimal chemotherapy regimens which were both effective and safe.<sup>12,57-62</sup> However, hematotoxicity induced by chemotherapy still poses a threat to patients receiving chemotherapy. In China, CHM is recommended to cancer patients to detoxify chemotherapy. However, there were limitations in the existing evidence and few previous reviews assessed the available trials comprehensively. We conducted a systematic review and meta-analysis to investigate the effectiveness of CHM on chemo-induced leukopenia/neutropenia in adults with CRC regardless of the chemotherapy regimens, which differs from Chen et al's<sup>63,64</sup> meta-analyses, restricting chemotherapy regimens to FOLFOX and oxaliplatin-based regimens, respectively. Actually, chemotherapy regimens can vary in the clinical practice of CRC.

The results revealed that CHM reduces the incidence of leukopenia/neutropenia, as well as grade 3/4 leukopenia/neutropenia induced by chemotherapy, which means that CHM may be helpful for the subjects to improve the completion rate of chemotherapy; to reduce, to some extent, the risk of febrile neutropenia; and to cut down the costs. However, this should be interpreted with caution due to poor quality of the included trials and significant heterogeneity observed in the meta-analysis. Though subgroup analysis was conducted dividing participants into groups on the basis of durations of treatment and tumor stage, neither of them were demonstrated to be influencing factors. Nevertheless, we noted a trend toward the increasing RR among groups of duration  $\leq$ 4 weeks, 4 weeks  $< \sim \leq$  8 weeks and >8 weeks, which may be in connection with the cumulative toxic effect of chemotherapy. This indicated us to keep a watchful eye on patients receiving more cycles of chemotherapy in case of leukopenia/neutropenia. Physicians should adjust the prescription and dosage of CHM when it is necessary. Post hoc subgroups analyses were performed to address the sources of heterogeneity, which suggest that neither mean age nor chemotherapy regimen could be causes of heterogeneity. However, a rising tendency of RR is present with increasing mean age. Patients receiving FOLFOX regimen may be more likely to benefit from CHM than those receiving XELOX regimen, although there was no statistically significant difference.

CHM decoctions used were various, such as Sijunzi Decoction,<sup>41,52</sup>, BaZhen Decoction,<sup>51</sup> Shenling Baizhu Power<sup>50</sup> and self-drafted decoctions based on Sijunzi Decoction and/or Danggui Buxue Decoction. Sijunzi Decoction and Shenling Baizhu Powder are thought to strengthen the spleen while BaZhen Decoction and Danggui Buexue Decoction are composed to tonify qi and replenish blood, which is consistent with the saying in Huangdi Neijing, one of the most famous classics of Traditional Chinese Medicine (TCM), that spleen and stomach are the sources of Qi and Blood.

Common herbals involved are listed as: Radix Astragali (Huang qi), Rhizoma Atractylodis seu Hedysari Macrocephalae (Bai zhu), Poria (Fu ling), Radix Glycyrrhizae (Zhi gan cao), Radix Ginseng (Ren shen), Radix Codonopsis (Dang shen), Semen Coicis (Yi yi ren), Radix Angelicae Sinensis (Dang gui), Radix Paeoniae Alba (Bai shao). Ingredients of Ginseng were found to promote the hemopoiesis via activating JAK-STAT pathway,<sup>65</sup> regulating the differentiation of bone marrow mesenchymal stem cells (BMSCs) by upregulating the expression of RUNX2,<sup>66</sup> inhibiting the aging of BMSCs<sup>67</sup> or inhibiting Sca-1+ HSC/HPC senescence by acting on the SIRT6/ NF-kB signal axis.<sup>68</sup>Angelica Sinensis Polysaccharides (ASP) has been demonstrated to improve leukocytes of mice exposed to 5-Fu by boosting response of blood cell to oxidative stress and reversing the imbalance of BMSCs differentiation induced by 5-FU.69 Constituents of Radix Astragali and Radix Angelicae Sinensis<sup>70</sup> were reported to restore the hematopoietic function of hematopoietic stem cells (HSCs) by alleviating the destruction of HSCs mediated by the immune system. Astragaloside, Calycosin,<sup>71</sup> and Astragalus polysaccharide<sup>72</sup> may have an effect on BMSCs. Atractylode III<sup>73</sup> was found to regulate the adipogenic differentiation of BMSCs.

Moreover, the kidneys are closely associated with hematopoiesis in TCM, as is recorded in Huangdi Neijing, with the kidney generating marrow and dominating bone. Herbals for tonifying the kidney were widely used to preventing or treating chemotherapy induced myelosuppression, such as Radix Rehmanniae Preparata (Shu di huang), Rhizoma Dioscoreae (Shan yao), Fructus Lycii (Gou qi zi), Fructus Ligustri Lucidi (Nv zhen zi), Semen Cuscutae (Tu si zi). It has been demonstrated in vivo that Chinese herbal compound containing Radix Rehmanniae Preparata can attenuate chemotherapy induced leukopenia by promoting cell proliferation and inhibiting apoptosis of bone marrow cells<sup>74</sup>. Zhao's study<sup>75</sup> uncovered that Heidihuang Bolus increased the production of EPO, IGF-1 and SCF. Rhizoma Dioscoreae promoted the recovery of hematopoietic function of mice affected by cyclophosphamide by upregulating the expression of PCNA, Survivin, MMP-2, and MMP-9 of BMSCs.<sup>76</sup> In summary, herbals for invigorating spleen and tonifying kidney may improve the hematopoietic function of bone marrow via multiple signal pathways.

### Limitations

There are some limitations in our study. (1) All the 27 studies included were published in Chinese, and trials were conducted in mainland China. It is unknown whether CHM is applicable for patients in other areas. (2) Most of the RCTs included failed to report the allocation concealment or incomplete outcome data, and none of the 27 RCTs referred to the registration information of the clinical trials, which was of great importance to assess the risk of bias. (3) The presence of substantial heterogeneity may influence the pooled results. (4) Different CHM prescriptions would theoretically differ in terms of efficacy and mechanism, which we failed to measure. (5) The long-term effects of CHM on the disease-free survival (DFS), progression free survival (PFS), or overall survival (OS) were not concerned in our studies.

# Implications for Future Research

It is worth noting that 2 elegant RCTs<sup>77,78</sup> focusing on the effectiveness of CHM on chemo-induced myelosuppression are being conducted, which are expected to provide strong evidence. It is necessary to update the meta-analysis once the results of these 2 RCTs are published. RCTs are recommended to report the findings following the CONSORT 2010 statement<sup>79,80</sup> to ensure the methodological quality. Furthermore, fundamental research is desired to investigate the mechanism of invigorating spleen and kidney CHM on chemo-induced leukopenia/neutropenia in patients with CRC.

# Conclusions

In conclusion, CHM may lower the incidence of leukopenia/neutropenia and the incidence of grade 3/4 leukopenia/ neutropenia induced by chemotherapy in patients with CRC. However, heterogeneity and publication bias may discount the reliability of our findings.

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#### **Authors' Contributions**

Shao-Hua Yan, Yu-Fei Yang and Yun Xu conceived the study. The protocol was drafted by Shao-Hua Yan and was revised by Yu-Fei Yang and Yun Xu. Shao-Hua Yan and Shuo Feng contributed to structuring the search strategies, and the search was perfomed by Yun-Zi Yan and Mo Tang, Shao-Hua Yan and Yun-Zi Yan completed the literature screening. Yu-Ying Xu and Na Zhao responsible for assessment of the risk of bias, and Yue -Chen and Ming-Kun Yu extracted data from the qualified studies. Any discrepancies were resolved by consulting Yu-Fei Yang, Yun Xu or Bin He. Statistical analysis was performed by Shao-Hua Yan and Shuo Feng, and manuscript was compose by Shao-Hua Yan. Shuo Feng and Bing Pang participated in modification of the paper.

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#### Data Availability

The data used to support the findings of this study are included within the article.

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