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# High-resolution mycobiota analysis reveals dynamic intestinal translocation prior to invasive candidiasis

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# Abstract

The intestinal microbiota is a complex community of bacteria, archaea, viruses, protists and fungi<sup>1,2</sup>. While the composition of bacterial constituents has been linked to immune homeostasis and to infectious susceptibility<sup>3–7</sup>, the role of non-bacterial constituents and of cross-kingdom microbial interactions in these processes is poorly understood<sup>2,8</sup>. Fungi represent a major cause of infectious morbidity and mortality in immune-compromised individuals, though the relationship of intestinal fungi (i.e., the mycobiota) with fungal bloodstream infections (BSI) remains undefined<sup>9</sup>.

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We integrated an optimized bioinformatics pipeline with high-resolution mycobiota sequencing and comparative genomic analyses of fecal and blood specimens from recipients of allogeneic hematopoietic cell transplant (allo-HCT). Patients with *Candida* BSI experienced a prior marked intestinal expansion of pathogenic *Candida* species; this expansion consisted of a complex dynamic between multiple species and subspecies with a stochastic translocation pattern into the bloodstream. The intestinal expansion of pathogenic *Candida* species was associated with a significant loss in bacterial burden and diversity, particularly in the anaerobes. Thus, simultaneous analysis of intestinal fungi and bacteria identifies dysbiosis states across kingdoms that may promote fungal translocation and facilitate invasive disease. These findings support microbiotadriven approaches to identify patients at risk for fungal BSI for pre-emptive therapeutic intervention.

### Introduction:

Advances in medical technologies such as chemotherapy and organ transplantation have coincided with a substantial increase in the incidence of invasive fungal infections<sup>10,11</sup>. *Candida* is the 4<sup>th</sup> most common etiologic agents of all nosocomial BSIs<sup>12</sup>. The global outbreak of multidrug-resistant Candida species highlights the need for accurate methodologies to trace the sources and spread of pathogenic fungi in hospitals and long-term care facilities<sup>13</sup>. Since *Candida* exposure is ubiquitous, the high attributable morbidity and mortality has led to universal prophylaxis strategies in patients at high risk, e.g. allo-HCT recipients<sup>14,15</sup>. Previous studies have demonstrated that marked shifts occur in the composition, density, and diversity of the intestinal bacterial community during allo-HCT, and that expansion of bacterial pathobionts predispose to bacterial BSIs<sup>16–18</sup>. In contrast, the dynamic relationship between the human intestinal fungi and bacteria, and invasive fungal disease remains poorly defined. If the intestinal tract acted as the dominant source of BSI, a prediction of this model would be that enteric samples would contain evidence of the etiologic agent of BSI prior to vascular translocation. An alternate model with a non-enteric source of BSI would predict that the etiologic agent would be absent in enteric samples or emerge after the onset of BSI.

# **Results:**

To examine the relationship between the intestinal mycobiota and fungal BSIs, we performed a nested case-control study, consisting of eight case patients with candidemia, and seven patients without candidemia, selected from subjects within the prospective fecal sample collection cohort (as described in previous studies<sup>18–20</sup>). Selected subjects underwent allo-HCT between January 1, 2014, and December 31, 2017 (clinical characteristics shown in Supplementary Table 1). There were no major differences in the patient characteristics in the case and control groups, with exception of gender (Supplementary Table 1). Per institutional guidelines, all patients received micafungin prophylaxis starting seven days prior to transplant. Species of the *Candida parapsilosis* complex (*Candida parapsilosis, Candida metapsilosis*, and *Candida orthopsilosis*) were the most commonly identified etiologic agents of fungal BSI, which is consistent with previous

observations that the *C. parapsilosis* may be more frequent than *C. albicans* in at-risk populations receiving echinocandin prophylaxis<sup>21–23</sup>.

To quantify the intestinal fungal burden, we enumerated fungal colony-forming units (CFU) from the archived fecal specimens collected from day -10 to +30 relative to allo-HCT. In both groups, no fungal CFU were detected from samples collected prior to HCT. However, seven of eight patients with candidemia experienced a rapid expansion in fungal CFU, as high as  $10^5-10^7$  per gram feces, after receipt of allo-HCT (Fig. 1a). In contrast, viable fungal CFUs were recovered from only one of the seven case-control patients (Fig. 1b), which was identified as *Saccharomyces cerevisiae*, a typically non-pathogenic fungus that did not give rise to BSI in this patient. To extend these results, we quantified the total fungal 18S rDNA copies in these samples. Patients with candidemia exhibited a rapid increase in fecal 18S rDNA burden coincident with fungal CFU expansion, typically by a factor of  $10^2$  to  $10^3$  (Fig. 1c). This expansion was driven by *Candida* species (Extended Data Fig. 1). Meanwhile, control patients did not exhibit a relevant increase in fecal fungal 18S rDNA.

We performed ITS1 (internal transcribed spacer 1 of rDNA) sequencing of 108 fecal samples from 15 patients (median: six samples per patient) to determine the fungal composition at the species level. Strikingly, we found that in six of eight BSI patients, high relative abundance (> 50%) of a single *C. parapsilosis* or *C. metapsilosis* species temporally preceded *C. parapsilosis* complex BSI by two to ten days (Fig. 2a, patient 1 to 6). Patient 7 had a detectable *C. albicans* ITS1 signal (0.5% relative abundance) nine days prior to *C. albicans* BSI, which expanded to nearly 100% fecal relative abundance one day after BSI, though no intervening fecal samples were available (Fig. 2a). In these seven patients, the fecal *Candida* relative abundance peaked at 100%, typically prior to BSI with the same species (Fig. 2b). Notably, patient 8, who did not detect *C. lusitaniae* ITS1 signal in fecal samples either prior to or after the onset of BSI (Fig. 2a, Patient 8), had a central line-associated BSI with microscopic visualization of fungi in the pocket-site exudate.

In control patients, the fecal mycobiota composition was similar to a prior report in a healthy adult population<sup>24</sup>. *Saccharomyces cerevisiae* was the most common intestinal fungal species (Fig. 2a). *Malassezia* species and certain filamentous fungi (e.g., *Aspergillus* species) were also frequently observed (Fig. 2a). In general, these patients exhibited a higher intestinal fungal diversity and a lower relative abundance of pathogenic *Candida* species compared to patients with candidemia (Fig. 2c and Extended Data Fig. 2).

Previous analyses of fungal amplicon-based sequencing relied on the construction of operational taxonomic units (OTUs), which are clusters of similar sequences typically defined with a fixed > 3% dissimilarity threshold to approximate the differences observed among different species<sup>25–27</sup>. We sought to examine community composition at high resolution by characterizing sequences into exact amplicon sequencing variants (ASVs)<sup>28</sup>. This process has been successful in bacterial 16S rDNA analysis using the DADA2 pipeline<sup>29</sup>. We adapted the DADA2 pipeline to ITS rDNA sequencing analysis and found that amplicons previously identified as *C. parapsilosis* by the OTU-clustering method were composed of two major ASVs (ASV1 and ASV2) with only one nucleotide difference (Extended Data Fig. 3). Both patient 2 and 3 exhibited a contraction of ASV1 and an

expansion of ASV2 in relative abundance, though the absolute abundance of both ASV1 and ASV2 increased prior to BSI (Fig. 3a,b). This shift in ASV relative abundance was confirmed by examining the ITS1 region of longitudinally isolated fecal strains. The corresponding blood *C. parapsilosis* strains from these two patients contained the ASV2 sequence pattern (Fig. 3a,b). We observed similar shifts in *C. metapsilosis* ASVs in patient 5, with ASV3 expansion in the intestine and subsequent identification in blood strains (Extended Data Fig. 4a).

Two of the three blood strains from patient 3 were identified as *C. orthopsilosis*. A single *C. orthopsilosis* ASV (ASV70) was detected at 0.2 - 1.6% relative abundance (Fig. 3b, black arrow) in day +3 through day +9 fecal samples. To confirm the intestinal presence of *C. orthopsilosis*, we screened 512 strains isolated from two fecal samples (day +3/+8), and found seven *C. orthopsilosis* strains (1.4%). All fecal and blood *C. orthopsilosis* strains carried ASV70, indicating that the ASV70 relative abundance correlated with the frequency of viable *C. orthopsilosis*. Albeit low in relative abundance, the absolute copies of ASV70 increased by a factor of  $10^3$ – $10^4$  (Fig. 3b), consistent with the notion that intestinal fungal expansion precedes BSI. Beyond intestinal expansion, it is possible that virulence attributes contributed to *C. orthopsilosis* bloodstream invasion.

To delineate the relationship between fecal and bloodstream strains more closely, we compared 54 fecal and 7 bloodstream isolates from patients 2, 3, and 5 by whole-genome sequencing, and analyzed their genomic similarity to previously sequenced strains<sup>30–35</sup> (Supplementary Table 2). We did not have access to bloodstream strains from other patients in the candidemia group. SNP analysis showed that the *C. orthopsilosis* blood strains from patient 3 (Fig. 3c and Extended Data Fig. 5) and the *C. metapsilosis* blood strains from patient 5 (Extended Data Fig. 4b) clustered tightly with the corresponding fecal strains but not with strains from other institutions. The blood and fecal *C. parapsilosis* strains from patient 2 belonged to the same cluster (cluster II, Fig. 3c). In contrast, the *C. parapsilosis* fecal strains from patient 3 segregated into two clusters (cluster I and II, Fig. 3c), with one divergent strain (blue arc, Fig. 3c), while the blood strain belonged to cluster II.

To gain insight into potential functional implications of these genomic differences, we searched for amplifications and deletions regions in *C. parapsilosis* strains with respect to the reference genome<sup>31</sup>. We found that all cluster II strains carried 24 to 33 copies of the *RTA3* gene and its adjacent region (Extended Data Fig. 6). The *RTA3* homolog in *C. albicans* encodes a putative lipid flippase and contributes to antifungal resistance and biofilm development<sup>36,37</sup>. The functional relevance of *RTA3* amplification in *C. parapsilosis* pathogenesis will require further investigation.

There were too few SNP differences among the genomes of the total 23 *C. parapsilosis* cluster II strains to generate a well-resolved phylogenetic tree (Supplementary Table 3). We therefore extracted well-supported SNPs from each genome for principal components analysis (Fig. 3d). The three blood strains all closely clustered with serially isolated fecal strains from the same patient. Interestingly, the two sequential *C. parapsilosis* blood strains from patient 2 segregated into two groups, suggesting two individual translocation events of *C. parapsilosis* in this patient. These data suggest that fungal BSIs could originate from

stochastic translocation from an antecedent intestinal reservoir that is established at early stages of allo-HCT.

In parallel to mycobiota analysis, we performed bacterial 16S rDNA sequencing (Extended Data Fig. 7) and quantified the bacterial burden in all fecal samples (Extended Data Fig. 8). Candidemia patients had a significant loss of bacterial burden and bacterial diversity after HCT compared with control patients (Extended Data Fig. 8). Three candidemia patients developed bacterial BSIs (*Enterococcus faecium* in patients 4 and 5; *Stenotrophomonas maltophilia* in patient 2) with prior intestinal expansion by these bacterial species (Extended Data Fig. 7).

To explore a possible association between *Candida* expansion and bacterial microbiota, we ordered samples from all 15 patients by relative abundance of pathogenic *Candida* species (Fig. 4a, Extended Data Fig. 9). We found high relative abundance of *Candida* species in the mycobiota was associated with significantly lower levels of the total bacterial burden and 16S rDNA diversity (Fig. 4b,c). *Blautia producta* (family *Lachnospiraceae*) and *Bacteroides thetaiotaomicron* (phylum *Bacteroidetes*) have been shown to mediate intestinal *C. albicans* colonization resistance in mice<sup>38</sup>. In addition, *Lachnospiraceae* and *Bacteroidetes* have been identified as markers of a healthy microbiota and linked to colonization resistance against bacterial pathobionts<sup>19,39</sup>. We quantified the relative abundance of these two anaerobic bacterial taxa and observed an inverse correlation with both in samples with a high *Candida* relative abundance (Fig. 4d). This loss correlated with exposure to antibiotics with activity against anaerobic bacteria (Fig. 4a). Interestingly, bacterial dysbiosis was not observed in fecal samples with high *S. cerevisiae* relative abundance (Extended Data Fig. 10). These data suggest that *Candida* species expansion may occur in a different bacterial community structure than expansion of other fungi in this niche.

### Discussion:

In summary, this study demonstrates the value of high-resolution sequencing to trace the origin of invasive fungal infections. Our data indicate that specific pathogenic *Candida* species (*C. parapsilosis* complex and *C. albicans*) expand in the gastrointestinal tract and translocate into the bloodstream. Additional studies are required to extrapolate our findings to *Candida* species not observed in our patient cohort. Fungal dysbiosis is tightly associated with bacterial dysbiosis, particularly the loss of anaerobic bacteria. Monitoring fungal and bacterial community structure may permit identification of microbiota markers that precede bloodstream invasion and enable targeted intervention.

#### Methods:

#### **Study Design and Patient Population**

Biospecimens for this study were drawn from a prospective fecal collection biobank, which has been described previously<sup>18,20</sup>, in which consenting patients undergo repeated longitudinal fecal sample collection over the course of allo-HCT. In this study population, intestinal mucosal sampling is contraindicated, precluding routine collection of this sample type.

In this study, we performed a nested case-control study of adult (>18 years) allo-HCT patients at Memorial Sloan Kettering Cancer Center (MSKCC) between January 1, 2014 and December 31, 2017. Cases were defined as patients who developed culture-proven candidemia during the early transplant period (within 30 days post-HCT), with at least one banked fecal specimen collected within 10 days preceding BSI. Control patients consisted of randomly selected subjects without candidemia during this time interval. Patients in this study have been reported previously with a subset of their intestinal bacterial 16S rDNA sequencing data<sup>7,20</sup>.

Per institutional guidelines, allo-HCT patients received micafungin (100 mg IV every 24 hrs) and levofloxacin (500 mg po every 24 hrs), starting at the beginning of pre-transplant conditioning chemotherapy. For allo-HCT patients that received total body irradiation as part of the conditioning regimen, vancomycin (1 gm IV every 12 hrs) was administered from day -2 to day + 7. Micafungin was switched to a mold-active azole drug (i.e, voriconazole or posaconazole) on day +7 for unmodified myeloablative, cord blood, haploidentical, and T cell-depleted allo-HCT. Unmodified non-myeloablative or reduced-intensity allo-HCT recipients advanced to fluconazole prophylaxis.

All patients provided written consent and this study was approved by the MSKCC institutional review board. We collected clinical covariables pertaining to patient and transplant characteristics, including age, sex, race, underlying disease, conditioning regimen and intensity, donor source, HLA matching, and whether HCT graft was ex-vivo T-cell depleted. We also monitored WBC counts, duration of neutropenia, receipt of antimicrobial agents. Engraftment was defined as absolute neutrophil count  $0.5 \times 10^3$  per microliter of blood for three consecutive days.

#### Analysis of Fecal Samples

To determine fungal CFUs, 50  $\mu$ l liquid stool or 50 mg formed stool were homogenized in 150  $\mu$ l of sterile PBS and serially diluted on Sabouraud dextrose (SAB) agar with 0.01 mg/ml vancomycin and 0.1 mg/ml gentamicin<sup>38</sup>. The samples were cultured at 37°C for 48 – 72 hrs under aerobic conditions. We did not observe mold colonies in any cultures of fecal samples. Single yeast colonies were picked and maintained in glycerol stocks at –80°C. For patient 3, 512 fungal colonies (256 each from day +3 and day +8 fecal samples) were genotyped by PCR using species-specific primers for *C. parapsilosis* and *C. orthopsilosis*<sup>41</sup>.

To process fecal samples for qPCR and sequencing analyses, 100–150 mg formed stool or 200  $\mu$ l liquid stool was mixed with 0.2 ml of 0.1 mm diameter silicone beads, 0.3 ml of 0.5 mm diameter silicone beads, 500  $\mu$ l lyticase buffer (50 mM pH 7.6 Tris, 10 mM EDTA, 28 mM  $\beta$ -mercaptoethanol), and 100 U lyticase (Sigma L2524). The samples were vortexed, incubated in at 32°C for 30 min., supplemented with 30  $\mu$ l 4M NaCl, 47  $\mu$ l 2M Tris-HCl, pH 8.0, 13  $\mu$ l 0.5 M EDTA, 200  $\mu$ l 20% SDS, and 500  $\mu$ l phenol chloroform, agitated in a bead beater for 2 min, and spun at 13,300 RPM at 4°C for 5 min. Two additional rounds of phenol chloroform extraction were performed, and 200  $\mu$ l of the aqueous phase was mixed with 200  $\mu$ l buffer AL (QIAamp DNA Mini Kit; Qiagen Cat No. 51306) and 200  $\mu$ l 100% ethanol. The samples were processed according to the manufacturer's instruction and eluted with 80  $\mu$ l ultrapure water (Invitrogen Cat No. 10977).

1 μl of extracted total fecal DNA was used as template for quantitative PCR analysis using the FungiQuant probe and primers<sup>42</sup> for fungal burden, the *Candida* specific probe and primers for *Candida* burden<sup>43</sup>, and SYBR-green method with forward (AYTGGGYDTAAAGNG) and reverse (CCGTCAATTYHTTTRAGT) primer set for bacterial burden. The data were normalized by the initial wet weight of the fecal samples.

#### Amplicon-based Sequencing and Data Processing

The 16S rDNA sequencing was performed as described previously<sup>19,44</sup>. The ITS1 rDNA sequencing strategy was adapted from 16S rDNA sequencing: forward (CTTGGTCATTTAGAGGAAGTAA) and reverse (GTTCAAAGAYTCGATGATTCAC) primers were modified with additional barcode nucleotides for fungal ITS1 region amplification. 1 µl of purified template DNA was amplified with Phusion enzyme (ThermoFisher F-530) for 35 cycles (98°C 30s, 53°C 30s, 72°C 30s). PCR amplicons were purified with column (Qiagen28106), quantified by the Qubit dsDNA BR Assay Kit, and run on the Illumina Miseq platform with PE300 setting. The resulting raw sequencing reads were processed and separated into distinct samples, and barcode and primer sequences were removed. DADA2 was used to perform quality filtering on the resulting amplicons, and infer exact amplicon sequencing variants (ASVs), and to filter and remove chimeras. Taxonomic assignment to species level was performed using an algorithm incorporating nucleotide BLAST<sup>45</sup>, with NCBI RefSeq<sup>46</sup> as reference training set. The ASV tables, taxonomic assignment, and sample metadata were assembled using the phyloseq package construct<sup>47</sup>.

#### Candida relative abundance calculation

In this study we referred to *Candida* relative abundance as the proportion of ITS sequences that represent pathogenic *Candida* species<sup>48</sup> in each fecal sample. Pathogenic *Candida* species were defined as: *Candida albicans, Candida dubliniensis, Candida tropicalis, Candida parapsilosis, Candida orthopsilosis, Candida metapsilosis, Candida famata* (*Debaryomyces hansenii*), *Candida lusitaniae* (*Clavispora lusitaniae*), *Candida guilliermondii* (*Meyerozyma guilliermondii*), *Candida krusei* (*Pichia kudriavzevii*), *Candida glabrata, Candida kefyr* (*Kluyveromyces marxianus*), *Candida norvegensis* (*Pichia norvegensis*), *Candida inconspicua* (*Pichia cactophila*), *Candida lipolytica* (*Pichia lipolytica*), *Candida fabianii* (*Cyberlindnera fabianii*), and *Candida auris*.

#### Whole-genome sequencing of fecal and blood fungal strains

We retrieved blood strains from patient 2, 3, and 5 from the clinical microbiology lab of the hospital. 61 fungal strains (54 fecal and 7 bloodstream strains) from these three patients were processed for genomic DNA extraction. The blood fungal strains from other patients were not available. Fungal cells were harvested and lysed for genomic DNA extraction with QIAamp kit (Cat#51306). Purified genomic DNA samples were prepared for sequencing libraries prep and run on the Illumina Hiseq 4000 platform, with over 20 million reads for each sample to ensure >70x genomic coverage. Supplementary Table 2 showed the summary of each annotated genome in this study. Reads from *C. parapsilosis, C. orthopsilosis, C. metapsilosis* strains were mapped against *C. parapsilosis* CDC317<sup>30</sup>, *C. orthopsilosis* 90–125<sup>49</sup>, *C. metapsilosis*<sup>32</sup> reference genomes, respectively, using BWA-mem version 0.7.12. with parameters -M -R "RG", where "RG" represents read group information generated for

each strain for compatibility with further downstream analysis. Duplicated reads were marked using the GATK MarkDuplicates tool as per GATK best practices.

#### SNP analyses and phylogeny tree construction

Single Nucleotide Polymorphisms (SNPs) were identified for each sample using the HaplotypeCaller tool from GATK (version 4.0.4.0)<sup>50</sup> with --genotyping-mode DISCOVERY parameter. The results were combined using the CombineGVCFs and GenotypeGVCFs tools from the same package. The combined VCF file was input into RRHS tool<sup>51</sup> to generate equal length haploid FASTA sequences for each isolate (parameter -n 1 generates one sequence per individual). SNP trees were constructed from these sequences using RAxML (raxmlHPC-PTHREADS) version 8.2.11<sup>52</sup> with parameters -T 20 (number of threads) -f a (rapid bootstrap analysis algorithm) -m GTRGAMMA (model of nucleotide substitution) -p 12345 -x 12345 -# 1000. 27 isolates from Schroder *et al.*<sup>33</sup> were included in the *C. orthopsilosis* tree and 11 isolates (including one isolate, PL429, sequenced twice using different methods) from Pryszcz *et al.*<sup>32</sup> were included in the *C. metapsilosis* tree.

#### Gene-level copy number variations (CNVs) identification

Read density at each annotated open reading frame was calculated using BEDTools coverage tool version 2.27.1<sup>53</sup> and compared to average read density across the genomes. Gene-level copy number was normalized by multiplying the computed coverage variation with the expected ploidy (diploid). Amplified genes were defined as those with values greater than or equal to 2.5, while gene-level deletions were defined as values less than or equal to 1.5. ORFs with potential copy number variation were defined as those for which the copy number varied at least two-fold among strains. ORFs where only the reference strain differed in copy number from all other strains were assumed to be errors in the reference genome and were not analyzed further.

#### Principal Component Analysis

We used only strongly supported SNPs in *C. parapsilosis* (Supplementary Table 3) for principal component analysis (PCA). Very low coverage areas with a read depth (DP) lower than 10% of expected coverage and a genotype quality (GQ) less than 20 were removed. Variants in repetitive regions (identified with RepeatMasker  $4.0^{54}$ , TandemRepeatFinder<sup>55</sup> and Blast<sup>45</sup> were also removed. Clusters of 3 or more SNPs called in a 10 bp window were removed. Filter settings in GATK included mapping quality (< 40), quality by depth (< 10), Strand Odds Ratio (> 3.0), Fisher Strand Bias (> 60), Mapping Quality Rank Sum Test (< -12.5) and Read Position Rank Sum Test (< -8). SNPs were exported in table format using GATK VariantsToTable tool. For PCA, a numerical matrix was constructed from the high confidence variant data, by marking the presence of a variant in a strain with 1 for heterozygous variants, and 2 for homozygous calls. The absence of a variant call in a sample at a given site was marked with 0. Principal Components were computed in R 3.5.3 using the prcomp function, and the results were plotted using the ggbiplot package.

#### LEfSe analysis

To identify microbiota features that were associated with low and high *Candida* relative abundance, we employed linear discriminant analysis effect size (LefSe) analyses. Analyses were performed on the Huttenhower lab Galaxy server (http://huttenhower.sph.harvard.edu/galaxy). A subject identifier was specified in order to account for the repeated measures sample collection design. The bacterial relative abundance values at family level and the *Candida* relative abundance value of each sample (high vs. low) were imported to the server, using the FDR-adjusted q cutoff of 0.05 and the effective size cutoff of 2.0.

#### Data plotting

We used Prism 7 for plotting fungal CFU, and R (version 3.5.3, R Development Core Team) for all qPCR and sequencing data analyses and plotting.

#### **Statistics**

Statistical analyses were performed using R (v.3.5.3) software packages. Two-sided Wilcoxon rank-sum test was used for comparisons of continuous non-normally distributed variables between two groups. These results were visualized using box plots with the central line representing the median, box limits representing the first and third quartiles and whiskers extending to the smallest and largest values or at most to  $1.5 \times$  the interquartile range, whichever is smaller.

#### Reporting summary

Further information of on research design is available in the Life Sciences Reporting Summary linked to this article.

#### **Data Availability Statement**

The data that support the findings of this study are available from the corresponding author upon request. All sequencing data generated in this study have been deposited in the Sequence Read Archive under BioProject PRJNA579121. Accession numbers of entries under this BioProject and previously submitted bacterial 16S rDNA sequencing data<sup>7,20</sup> are listed in Supplementary Table 4.

# **Extended Data**



Extended Data Fig. 1. Quantitative *Candida* genus-specific18S rDNA levels in fecal samples of candidemic patients.

The grey shade indicates the time range of first positive fungal blood cultures. N.D.: not detected.

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Extended Data Fig. 2. Quantitation of relative abundance of pathogenic *Candida* species in patients without candidemia.

The solid line represents the dynamic trend, with the shaded area indicating the 95% confidence intervals, n = 57.

# C. parapsilosis ASVs

ASV 1	1	GA
ASV 2	1	 GA
	51	AT
	51	AT
	101	GG
	101	GG
	151	AT
	6.00 M	

1	1	GAATATCTGCAATTCATATTACTTATCGCATTTCGCTGCGTTCTTCATCG	50
2	1	GAATATCTGCAATTCATATTACTTATCGCATTTCGCTGCGTTCTTCATCG	50
	51	ATGCGAGAACCAAGAGATCCGTTGTTGAAAGTTTTGACTATTAAATAATC	100
	51	ATGCGAGAACCAAGAGATCCGTTGTTGAAAGTTTTGACTATTAAATAATC	100
	101	GGTTGACATTAAATAAAATTTGGTTGAGTTTAATCTCTGGCAGGCCCCAT	150
	101	GGTTGACATTAAATAAAATTTGGTTGAGTTTAATCTCTGGCAGGCCCCAT	150
	151	ATAGAAGGCCTACCAAAGCAAAGTTTTCAAAAAAAAGAAAAACACATGTGT	200
	151	ATAGAAGGCCTACCAAAGCAAAGTTTTCAAAAAGAGAAAAAACACATGTGT	200
	201	AAGAAAAAATGCAGTTAAGCACTTTTCATTCTGTAATGATCCTTCCGCAG	250
	201	AAGAAAAAATGCAGTTAAGCACTTTTCATTCTGTAATGATCCTTCCGCAG	250
	251	GTTCACCTACGGAAACCTTGTTACGACTT 279	
	251	GTTCACCTACGGAAACCTTGTTACGACTT 279	

#### Extended Data Fig. 3. C. parapsilosis ASV1 and ASV2 sequence alignment.

The box indicates the single nucleotide difference between ASV1 and ASV2. This level of variation (1/279) cannot be differentiated with methods based on OTU clustering.





**a**, The panel shows clinical data, ITS rDNA sequencing results, quantification of amplicons of 5 different ASVs, and genotyping results of fecal and blood strains from patient 5. **b**, Phylogenic trees of *C. metapsilosis* strains from patient 5 and from other institutions. Solid lines indicate the calculated distance between strains (bootstrap support of 100%, or otherwise labeled). Dashed lines indicate the bootstrap value of 0, suggesting that the fecal and blood strains are highly similar.



Extended Data Fig. 5. SNP trees of *C. parapsilosis* and *C. orthopsilosis* strains with complete information on previously sequenced strains.

The bootstrap values are 100% for all the solid lines and 0% for all the dashed lines in the *C. parapsilosis* tree. For the *C. orthopsilosis* tree, the bootstrap values are 100% for the lines except those with specific bootstrap value labeled.



# Extended Data Fig. 6. The amplification of *RTA3* and the adjacent region on the genomics sequences of *C. parapsilosis* cluster II strains.

**a**, The graph shows the enrichment of reads aligned with *RTA3* and the adjacent region of chromosome 1 in the genome of cluster II strains, compared to cluster I strains and strain Pt3.fecal.day2. **b**, Quantitation of *RTA3* copy number of all sequenced *C. parapsilosis* strains from this study (cluster I strains and the strain from patient 2: n = 8; cluster II strains: n = 23).



# Extended Data Fig. 7. Bacterial 16S rDNA sequencing data of candidemic and non-candidemic patients.

The grey dashed line and arrow indicate the day of transplant. The grey box indicates day -10 to day +30 of transplant. The black dashed line and arrow indicate the day of the first positive bacterial blood culture. Five samples (one from patient 3, four from patient 5) failed 16S rDNA sequencing and were excluded from the bacterial diversity or LEfSe analysis. A subset of the 16S rDNA sequencing data has been previously reported<sup>7,20</sup>.



**Extended Data Fig. 8. Bacterial 16S rDNA burden and a-diversity in patient fecal samples. a**, Quantitative bacterial 16S rDNA levels in candidemic (red, n = 38) and non-candidemic (green, n = 54) patient groups at indicated time points during allo-HCT. Ten samples were further excluded from Fig. 7 since they failed the 16S rDNA qPCR reaction. **b**, a-diversity of bacterial 16S rDNA in candidemic (red, n = 45) and non-candidemic (green, n = 57) patient groups, measured by Inverse Simpson index. The solid line represents the dynamic trend, with the shaded area indicating the 95% confidence intervals. The grey shaded area indicates the day of first positive fungal blood culture. The yaxis in panel (**b**) is rescaled with  $Log_{\epsilon}$ . A subset of the 16S rDNA sequencing data has been previously reported<sup>7,20</sup>.



Extended Data Fig. 9. Full bacterial sequencing data aligned according to *Candida* relative abundance.

Alignment of bacterial (16S) rDNA sequencing data from all 15 study patients, according to the relative abundance of pathogenic *Candida* species. The bacterial 16S rDNA sequencing data of each sample are presented in the bottom row. A subset of the 16S rDNA sequencing data has been previously reported<sup>7,20</sup>.

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Extended Data Fig. 10. Characterization of intestinal bacterial microbiota with high *Saccharomyces cerevisiae* relative abundance.

**a**, 16S rDNA Alignment of bacterial (16S) rDNA sequencing data from all 15 study patients, according to the relative abundance of *S. cerevisiae*. **b**, quantification of bacterial burden (two-sided Wilcoxon rank sum p = 0.36) in samples with high (n = 29) and low (n = 64) *S. cerevisiae* relative abundance. Box plots represent median, IQR and range. **c**, quantification of bacterial diversity (two-sided Wilcoxon rank sum p = 0.87) in samples with high (n = 32) and low (n = 71) *S. cerevisiae* relative abundance. Box plots represent median, IQR and range. A subset of the 16S rDNA sequencing data has been previously reported<sup>7,20</sup>.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### Fig. 1: Intestinal fungal burden in allo-HCT patients.

**a,b**, Quantitative fungal cultures of fecal samples from (**a**) candidemic and (**b**) noncandidemic patients at indicated time points during allo-HCT. **c**, Quantitative fungal 18S rDNA levels in candidemic (red, n = 55) and non-candidemic (green, n = 99) patient groups during allo-HCT. The solid line represents the dynamic trend using moving average filtering, with the shaded area indicating the 95% confidence intervals. Each dot represents an individual sample (**a**-**c**) and each color a unique patient (**a**, **b**). The grey shade in panels (**a**) and (**c**) indicate the time range of first positive fungal blood cultures. N.D.: not detected.



Fig. 2: Mycobiota dynamics in allo-HCT patients.

**a**, Species-level taxonomy of fecal mycobiota (average: 7 samples per patient, range: 2 - 18) from allo-HCT patients with (left column) and without (right column) candidemia, colored according to the legend. Frequent species, e.g. *Candida* species and *Saccharomyces cerevisiae*, were individually color-coded. The grey box indicates day -10 to day +30 of transplant and the grey dashed line indicates the day of transplant. The number below each bar graph indicates the day of sampling. The black dashed line and arrow indicate the day of first fungal BSI in the candidemia group. **b**, Quantification of total relative abundance of pathogenic *Candida* species of each fecal sample (n = 37) from patients 1 to 7, a solid line represents the dynamic trend, with the shaded area indicating the 95% confidence interval. **c**, a-diversity of mycobiota in each sample, measured by the Inverse Simpson index. Red dots and line: candidemia group (n = 51); green dots and line: non-candidemia group (n = 57).



#### Fig. 3: High-resolution mycobiota analysis in allo-HCT patients with fungal BSI.

Longitudinal clinical and mycobiota data from patient 2 (a) and patient 3 (b) include antifungal administration (1st row), white blood cell counts (WBC; 2nd row), the identity of C. parapsilosis and C. orthopsilosis ASVs amplified from blood culture isolates (fBSI; 3<sup>rd</sup> row), the fungal relative (4<sup>th</sup> row) and absolute (5<sup>th</sup> row) abundance in fecal samples, and the ASV identities of *C. parapsilosis* (6<sup>th</sup> row) and *C. orthopsilosis* (7<sup>th</sup> row in **b**) from fecal culture isolates. The absolute abundance was calculated by multiplying the relative abundance of indicated C. parapsilosis and C. orthopsilosis ASVs with the total fungal rDNA abundance. With exception of indicated C. parapsilosis and C. orthopsilosis ASVs, mycobiota constituents (3<sup>rd</sup> row) are colored according to the key in Fig. 2. **c**, Phylogenic trees of C. parapsilosis and C. orthopsilosis strains from MSKCC patients and from other institutions. Solid lines indicate the calculated distance between strains (bootstrap support of > 85%). Dashed lines in the *C. orthopsilosis* tree indicate a bootstrap value 65% (for complete information of the bootstrap value, see Extended Data Fig. 5). In the C. parapsilosis tree, dashed lines indicate highly similar strains that cannot be accurately resolved (bootstrap = 0%). The red arc indicates cluster I strains (only fecal strains from patient 3), the yellow arc indicates cluster II strains (fecal and blood strains from patient 2 and patient 3), and the blue arc indicates a more divergent fecal strain from patient 3. Cluster II strains carry the ASV2 pattern in their ITS1 region; other strains carry the ASV1 pattern. d, Principal Components Analysis of all 23 cluster II strains from patient 2 and patient 3.

Circles: fecal strains; stars: blood strains; purple symbols: strains from patient 2; green symbols: strains from patient 3.



# Fig. 4: Characterization of bacteria in fecal samples with high and low levels of pathogenic *Candida* species.

a, Alignment of fecal samples according to the relative abundance of pathogenic Candida species (1<sup>st</sup> row), bacterial burden (by 16S rDNA qPCR; 2<sup>nd</sup> row), bacterial diversity (by Inverse Simpson Index; 3rd row), and relative abundance of Lachnospiraceae and Bacteroidetes (4th row). \* indicates failed 16S qPCR reaction. Each bar in the bacterial diversity graph (3<sup>rd</sup> row) is colored to indicate the anti-anaerobic antibiotic load index prior to sample collection; this index reflects the sum of administered anti-anaerobic antibiotics (metronidazole, clindamycin, carbapenems, piperacillin/tazobactam, and oral vancomycin) multiplied by the number of treatment days<sup>40</sup>. **b**, Comparison of bacterial burden in samples with high (> 50%, n = 22) or low ( 50%, n = 71) Candida relative abundance. \*\*\*: Twosided Wilcoxon rank sum test p = 0.00073. c, Comparison of bacterial diversity in samples with high (> 50%, n = 26) or low ( 50%, n = 77) Candida relative abundance. \*\*\*\*: Twosided Wilcoxon rank sum test  $p = 1.9 \times 10^{-5}$ . The box plots in **b** and **c** represent the median, interquartile range (IQR) and range. d, LEfSe analysis of bacteria taxa (at family level) present in samples with high (> 50%, n = 26) or low ( 50%, n = 77) relative *Candida* abundance. The effect size corresponds to the linear discriminant analysis (LDA) score. U.C.: unclassified. A subset of the 16S rDNA sequencing data has been previously reported<sup>7,20</sup>.