



# The natural killer cell-associated rs9916629-C allele is a novel genetic risk factor for fatal COVID-19

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## Abstract

The severity of COVID-19 is associated with individual genetic host factors. Among these, genetic polymorphisms affecting natural killer (NK) cell responses, as variations in the HLA-E- (*HLA-E\*0101/0103*), FcγRIIIa- (*FcγRIIIa-158-F/V*), and NKG2C- (*KLRC2<sup>wt/del</sup>*) receptor, were associated with severe COVID-19. Recently, the rs9916629-C/T genetic polymorphism was identified that indirectly shape the human NK cell repertoire towards highly pro-inflammatory CD56<sup>bright</sup> NK cells. We investigated whether the rs9916629-C/T variants alone and in comparison to the other risk factors are associated with a fatal course of COVID-19. We included 1042 hospitalized surviving and 159 nonsurviving COVID-19 patients as well as 1000 healthy controls. rs9916629-C/T variants were genotyped by TaqMan assays and were compared between the groups. The patients' age, comorbidities, *HLA-E\*0101/0103*, *FcγRIIIa-158-F/V*, and *KLRC2<sup>wt/del</sup>* variants were also determined. The presence of the rs9916629-C allele was a risk factor for severe and fatal COVID-19 ( $p < 0.0001$ ), independent of the patients' age or comorbidities. Fatal COVID-19 was more frequent in younger patients (<69.85 years) carrying the *FcγRIIIa-158-V/V* ( $p < 0.006$ ) and in older patients expressing the *KLRC2<sup>del</sup>* variant ( $p < 0.003$ ). Thus, patients with the rs9916629-C allele have a significantly increased risk for fatal COVID-19 and identification of the genetic variants may be used as prognostic marker for hospitalized COVID-19 patients.

## KEYWORDS

COVID-19, FcγRIIIa, genetic polymorphism, HLA-E, NK cells, NKG2C, rs9916629

## 1 | INTRODUCTION

Since the first emergence in 2019, the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has caused a global, ongoing pandemic. While the majority of SARS-CoV-2 infections result in mild

symptoms, some patients experience a severe or even fatal course of coronavirus disease 2019 (COVID-19). Different risk factors, such as the infecting SARS-CoV-2 variant, the patients' age and sex as well as chronic pulmonary, cardiovascular, kidney, neurological, malignant, or metabolic diseases were associated with progression to severe

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COVID-19.<sup>1</sup> It was, however, not fully clarified, why some COVID-19 patients die, while others recover from severe infections. An increasing number of studies indicates that distinct host genetic factors substantially contribute to the progression to fatal COVID-19.<sup>2</sup> Importantly, among the host genes, which were recently associated with severe COVID-19, the majority were associated with CD56<sup>bright</sup> natural killer (NK) cell responses.<sup>3</sup>

NK cells are potent pro-inflammatory lymphocytes, which play a controversial role in COVID-19 patients. On one hand, NK cells may limit the SARS-CoV-2 replication and severe infections, but on the other hand, they were associated with the aggravation of COVID-19 due to the high-level secretion of pro-inflammatory cytokines.<sup>4,5</sup> Distinct NK cell-associated genetic variants may have an impact on the severity of COVID-19.<sup>6</sup> NKG2C<sup>+</sup> NK cell responses may limit the viral dissemination and individuals encoding for heterozygous and homozygous deletions of the NKG2C-encoding *KLRC2* gene (*KLRC2<sup>del</sup>*) may have impaired NK cell immune responses. In European populations, the NKG2C receptor, HLA-E, occurs as the high expressing HLA-E\*0103 or as the low-expressing HLA-E\*0101 allele, which was recently associated with impaired NKG2C<sup>+</sup> NK cell responses and severe COVID-19.<sup>7</sup>

Another NK cell associated factor, which contributes to the severity of COVID-19, is the *FCGR3A*-158-V/V polymorphism in the human CD16a/FcγRIIIa antibody-receptor. Expression of the high-affinity *FCGR3A*-158-V/V genotype results in a potent antibody-dependent activation of CD16<sup>+</sup>CD56<sup>bright</sup> NK cells and was recently associated with severe COVID-19.<sup>8</sup>

Recently, it has been described that the rs9916629-C/T polymorphism, which is located in the untranslated region (UTR) between the human *SLFN12* and *SLFN13* genes, has a significant impact on the NK cell repertoire in the human host. Individuals expressing the rs9916629-C allele exhibit significantly higher CD56<sup>bright</sup> NK cell frequencies, compared to individuals expressing the rs9916629-T/T genotype.<sup>9</sup> However, the impact of this variant on COVID-19 disease severity has so far not been investigated. Using a genetic-association study, we now investigated whether this NK cell associated genetic risk factor alone, or in combination with other risk factors, is associated with fatal outcome in hospitalized COVID-19 patients.

## 2 | MATERIALS AND METHODS

### 2.1 | Study cohort

In total 1201 Austrian COVID-19 patients (40.8% female, median age: 61.42), hospitalized between 02/27/2020 and 02/22/2022 in the Clinic Favoriten Vienna or the Vienna General Hospital, were included in the study. All were infected with either the SARS-CoV-2 wild-type European-lineage (D614G), or the Alpha (B.1.1.7) or Delta (B.1.617.2) variant of concern (VoC) as confirmed by specific PCR assays. All patients were hospitalized due to severe COVID-19 related symptoms (<https://www.covid19treatmentguidelines.nih.gov>)

and were SARS-CoV-2 IgG seronegative at the onset of hospitalization. As controls, we included 1000 healthy SARS-CoV-2 PCR-negative individuals (51.1% female, median age 57.9 years) without any symptoms suggestive for COVID-19, whose plasma samples were obtained for routine vaccination titer controls. Details of the study cohort are shown in Table 1.

### 2.2 | SARS-CoV-2 detection and serology

Viral RNA was isolated from respiratory swabs using NucliSens EasyMag extractor (BioMérieux) and tested for SARS-CoV-2 by a recently published qPCR.<sup>10</sup> The infecting SARS-CoV-2 Alpha- and Delta-VoCs were genotyped with VirSniP Mutation Assays Kits according to the manufacturer's instruction (TIB Molbiol). SARS-CoV-2 S1-domain-specific IgG antibodies were detected and quantified by ELISA (Euroimmune).

### 2.3 | Genotyping

Genomic DNA was isolated from respiratory swabs or from plasma samples using NucliSens EasyMag extractor. The *KLRC2<sup>wt/del</sup>*, *FcγRIIIa*-158-F/V and *HLA-E\*0101/0103* variants were genotyped by touchdown PCR or TaqMan assays, respectively, according to recently published protocols.<sup>11,12</sup> The rs9916629-C/T variants were determined by an in-house TaqMan assay, using rs9916629-C/T-specific probes (GCATTATTAATGTACTAGTTC-VIC and GCATTATTAATGTATTAGTTC-6FAM), primers (Fwd.: CCCTCAAGCTTCC CCCGTG and Rev: TGCAGTCAGTTGTTGGGGG) and the Luna Universal Probe qPCR Master Mix (NEB Biolabs), according to the manufacturer's instruction.

### 2.4 | Statistical analysis

$\chi^2$  test or Fisher's exact test were used to compare the distribution of sexes and genetic variants. Mann-Whitney-test was used to compare the age and the number of comorbidities. The age and number of comorbidities associated with a fatal outcome in hospitalized COVID-19 patients were analyzed by receiver operating characteristic (ROC) curves. A  $p < 0.05$  was considered statistically significant. Statistical differences were assessed with GraphPad Prism 9.

## 3 | RESULTS

### 3.1 | rs9916629-C/T variants in hospitalized surviving and deceased COVID-19 patients

From the 1201 hospitalized COVID-19 patients included in the study, 159 (13.2%) died during hospitalization, while 1042 (86.8%) survived and recovered (Supporting Information: Figure S1). Previously known

**TABLE 1** Characteristics of the study cohort

	Study cohort		p Value <sup>a</sup>
	Hospitalized survivors N = 1042	Hospitalized deceased N = 159	
<b>Sex</b>			
Female (%)	N = 436 (41.8%)	N = 54 (34%)	p = 0.07
Male (%)	N = 606 (58.2%)	N = 105 (66%)	
<b>Median age</b> (min–max)	58.4 (18.1–100.1)	77.1 (48.3–100.9)	<b>p &lt; 0.0001</b>
<b>Comorbidities</b>			
Nonasthmatic COPD (%)	N = 107 (10.3%)	N = 35 (22.1%)	p < 0.0001
Cancer (%)	N = 140 (13.4%)	N = 35 (22.1%)	
Dementia (%)	N = 75 (7.2%)	N = 16 (9.8%)	
Diabetes mellitus (%)	N = 247 (23.7%)	N = 39 (24.6%)	
Hypertension (%)	N = 569 (54.6%)	N = 97 (61.3%)	
CAD (%)	N = 129 (12.4%)	N = 51 (31.9%)	
Chronic kidney diseases (%)	N = 140 (13.4%)	N = 39 (24.5%)	
Chronic liver diseases (%)	N = 64 (6.2%)	N = 8 (4.9%)	
Chronic neurological diseases (%)	N = 75 (7.2%)	N = 31 (19.6%)	
Obesity (BMI > 30 kg/m <sup>2</sup> ) (%)	N = 140 (13.4%)	N = 19 (12.3%)	
<b>Number of comorbidities</b>			
0 (%)	N = 321 (30.8%)	N = 3 (1.9%)	<b>p &lt; 0.0001</b>
1 (%)	N = 282 (27.0%)	N = 36 (22.6%)	
2 (%)	N = 221 (21.2%)	N = 44 (27.7%)	
3 (%)	N = 97 (9.3%)	N = 50 (31.4%)	
4 (%)	N = 86 (8.3%)	N = 12 (7.5%)	
5 (%)	N = 35 (3.3%)	N = 9 (5.6%)	
6 (%)	N = 0 (0%)	N = 6 (3.8%)	
<b>SARS-CoV-2 variant</b>			
WT D614G	N = 631 (60.6%)	N = 102 (64.2%)	p = 0.61
Alpha (B.1.1.7)	N = 165 (15.8%)	N = 21 (13.2%)	
Delta (B.1.617.2)	N = 246 (23.6%)	N = 36 (22.6%)	

Abbreviations: BMI, body mass index; CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; WT, wild-type.

<sup>a</sup>Differences were assessed with the  $\chi^2$  (sex, number of comorbidities, and SARS-CoV-2 variant distribution) and Mann–Whitney-test (age).

risk factors as age, sex, and comorbidities as well as the variant of the infecting SARS-CoV-2 strain, were compared between both groups. Deceased COVID-19 patients were significantly older and had more

comorbidities, compared to survivors, while the patients' sex and infecting SARS-CoV-2 variant were not distributed differently between the groups (Table 1).

We then investigated the newly described rs9916629-C/T polymorphism in the 1201 hospitalized patients and in the 1000 healthy controls. As shown in Figure 1A, 8.3% of the controls, encoded for the rs9916629-C/C, while 38.2% and 53.5% of the controls showed the rs9916629-C/T and rs9916629-T/T genotype, respectively. In hospitalized survivors and even more in deceased COVID-19 patients, the rs9916629-C/C and the in rs9916629-C/T genotype were significantly over-represented, while the rs9916629-T/T only rarely occurred (Figure 1A). Thus, the rs9916629-C allele is associated with severe and fatal COVID-19 (Figure 1B).

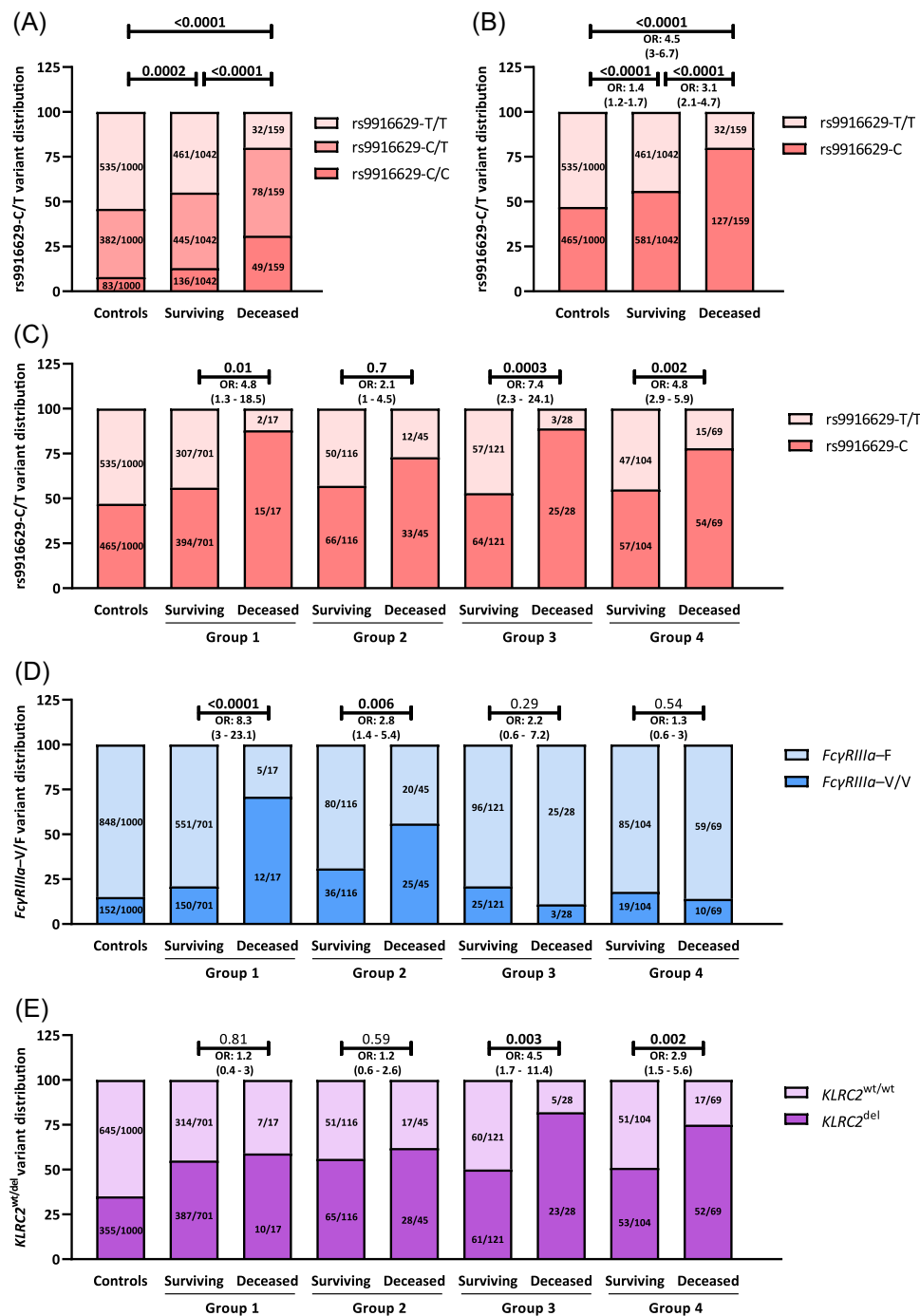
### 3.2 | Age- and comorbidity-adjusted risk for fatal COVID-19

We then analyzed the rs9916629-C/T variants in relation to the patients' age and the number of their comorbidities. To determine the age and the number of comorbidities that are associated with an overall increased risk for fatal COVID-19, ROC analyses were performed and as shown in Supporting Information: Figures S2 and S3, an age of  $\geq 69.85$  years and the presence of  $\geq 3$  comorbidities were associated with a significantly increased risk for fatal COVID-19. We thus stratified our study cohorts into patients age <69.85 years and <3 comorbidities (N = 718, 59.8%, Group 1); patients <69.85 years and  $\geq 3$  comorbidities (N = 161, 13.4%, Group 2); patients  $\geq 69.85$  years and <3 comorbidities (N = 149, 12.4%, Group 3) as well as patients  $\geq 69.85$  years and  $\geq 3$  comorbidities (N = 173, 14.4%, Group 4).

We then compared the rs9916629-C/T variants in surviving and deceased patients in all four groups. The rs9916629-C allele was significantly overrepresented in deceased COVID-19 patients of all cohorts, except for Group 3 (Figure 1C).

### 3.3 | *FcyRIIIa*-158-V/V, *KLRC2*<sup>wt/del</sup>, and *HLA-E*\*0101/0103 variants in surviving or deceased COVID-19 patients

We then assessed the distribution of *FcyRIIIa*-158-V/V, *KLRC2*<sup>wt/del</sup>, and *HLA-E*\*0101/0103 variants between controls, survivors, and deceased COVID-19 patients. Hospitalized survivors and especially deceased COVID-19 patients encoded significantly more often for the *FcyRIIIa*-158-V/V, and the *KLRC2*<sup>wt/del</sup> and *KLRC2*<sup>del/del</sup> variants, while in the controls the *FcyRIIIa*-158-F/F and *KLRC2*<sup>wt/wt</sup> genotypes were more prevalent (Supporting Information: Table S1). Thus, the *FcyRIIIa*-158-V/V genotype and the *KLRC2*<sup>del</sup> allele were risk factors for fatal COVID-19 (Table 2). Although the *HLA-E*\*0101 allele was overrepresented in hospitalized COVID-19 patients in general (p = 0.049, OR:1.2 (95% CI: 1–1.4), no significant different variant distribution was found between deceased and surviving COVID-19 patients (Table 2).



**FIGURE 1** Comparison of the (A–C) rs9916629-C/T (D) *FcγRIIIa*-158-F/V and (E) *KLRC2*<sup>wt/del</sup> variants between hospitalized survivors ( $N = 1042$ ) and hospitalized deceased ( $N = 159$ ) COVID-19 patients. (C–E) The study cohorts were further stratified into patients age <69.85 years and <3 comorbidities ( $N = 718$ , 59.8%, Group 1); patients <69.85 years and  $\geq 3$  comorbidities ( $N = 161$ , 13.4%, Group 2); patients  $\geq 69.85$  years and <3 comorbidities ( $N = 149$ , 12.4%, Group 3) as well as patients  $\geq 69.85$  years and  $\geq 3$  comorbidities ( $N = 173$ , 14.4%, Group 4). Bars represent the relative frequencies of the (A) rs9916629-C/C, rs9916629-C/T and rs9916629-T/T, (B, C) rs9916629-C and rs9916629-T/T, (D) *FcγRIIIa*-158-V/V and *FcγRIIIa*-158-F and (E) *KLRC2*<sup>wt/wt</sup> and *KLRC2*<sup>del</sup> variants.  $\chi^2$  test or Fishers-exact test was used for statistical comparison between the respective variants. del, deletion; OR, odds ratio (95% confidence interval), wt, wild type.

We then compared the frequency of the *FcγRIIIa*-158-V/V and *KLRC2*<sup>wt/del</sup> variants in all four groups defined by age and comorbidity. As shown in Figure 1D,E, THE *FcγRIIIa*-158-V/V genotype was significantly overrepresented only in deceased COVID-19 patients <69.85 years

(Group 1 and 2, Figure 1D), while the *KLRC2*<sup>del</sup> allele was significantly overrepresented solely in deceased older patients (Group 3 and 4, Figure 1E). The distribution of the *HLA-E*\*0101/0103 variants did not differ between groups (Supporting Information: Figure S4).

**TABLE 2** Distribution of the genetic risk factors in the study cohorts

	Study cohort		p Value <sup>a</sup>
	Hospitalized survivors N = 1042	Hospitalized deceased N = 159	
<i>FcγR11a</i> risk genotype			
<i>FcγR11a</i> -V/V	N = 230 (22.1%)	N = 50 (31.4%)	p = 0.01 OR: 1.6 (1.1–2.3)
<i>FcγR11a</i> protective allele			
<i>FcγR11a</i> -F	N = 812 (77.9%)	N = 109 (68.6%)	
KLRC2 risk allele			
KLRC2 <sup>del</sup>	N = 566 (54.3%)	N = 113 (71.1%)	p < 0.0001 OR: 2.1 (1.4–2.9)
KLRC2 protective genotype			
KLRC2 <sup>wt/wt</sup>	N = 476 (45.7%)	N = 46 (28.9%)	
HLA-E risk allele			
HLA-E*0101	N = 814 (78.1%)	N = 123 (77.4%)	p = 0.83 OR: 1.1 (0.7–1.6)
HLA-E protective genotype			
HLA-E*0103/0103	N = 228 (21.9%)	N = 36 (22.6%)	

Abbreviations: Del, deletion; OR, odds ratio (95% confidence interval); WT, wild-type.

<sup>a</sup>Differences were assessed with the  $\chi^2$ -test.

### 3.4 | Combination of risk factors in surviving or deceased COVID-19 patients

We next analyzed whether a specific combination of genetic risk factors is associated with an increased risk for fatal COVID-19. The combination of both risk factors, rs9916629-C, and *FcγR11a*-158-V/V, dominated in deceased younger and occurred rarely in surviving COVID-19 patients (Figure 2A, Group 1: 70.6% and 6.7%, OR: 33.4 (95% CI: 11.3–98.8); Group 2: 48.9% and 20.7%, OR:3.7 (95% CI: 1.8–7.7). Surviving patients with  $\geq 3$  comorbidities encoded significantly more frequently for both protective factors (rs9916629-T/T and *FcγR11a*-158-F), compared to surviving patients with <3 comorbidities.

In both groups of older patients, the combination of both risk factors, rs9916629-C and KLRC2<sup>del</sup>, was significantly overrepresented in deceased COVID-19 patients (Figure 2B, Group 3: 71.4% and 26.4%, OR:6.9 (95% CI: 2.8–17.4); Group 4: 58% and 26.9%, OR:3.7 (95% CI: 2–7.1).

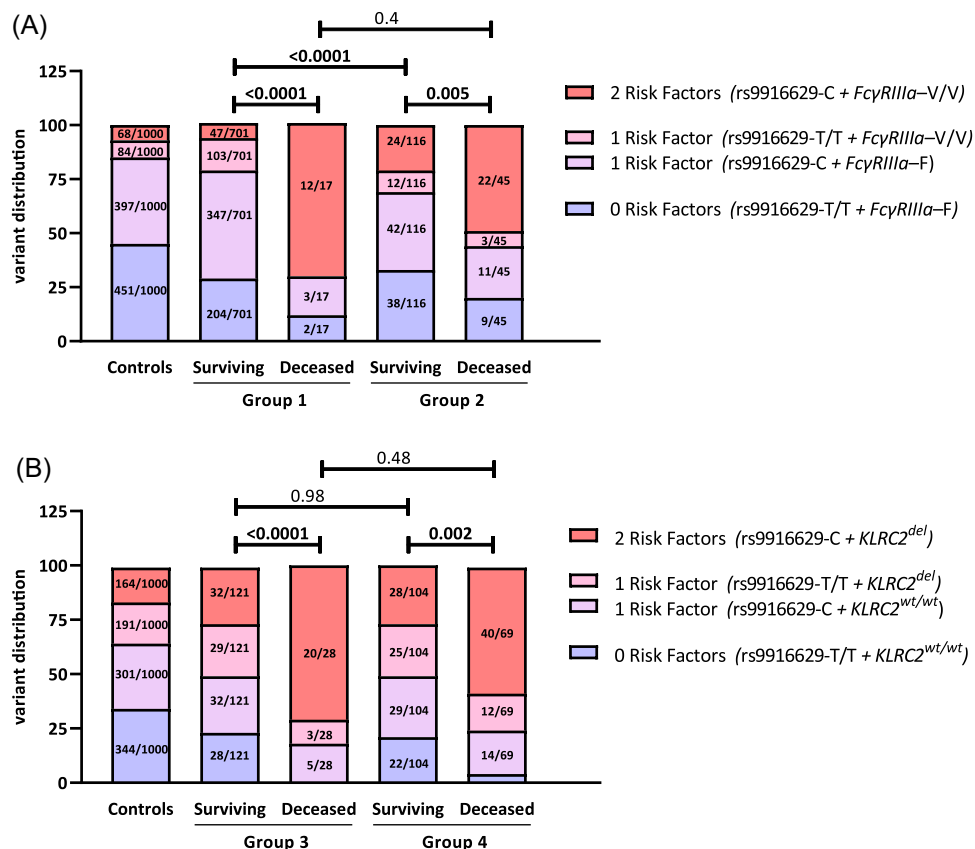
## 4 | DISCUSSION

In this study, we identified the rs9916629-C/T polymorphism as a novel genetic risk factor for fatal COVID-19. Although the patients' age and distinct comorbidities are known risk factors for severe COVID-19,<sup>1</sup> these factors alone cannot predict which hospitalized COVID-19 patients have a high risk to die. We now demonstrate that the presence of the rs9916629-C allele is associated with a significantly increased risk for fatal COVID-19. The rs9916629-C/T polymorphism has only recently been identified as a major factor

influencing the human NK cell repertoire, and both, the rs9916629-C/C and rs9916629-C/T variants are associated with increased CD56<sup>bright</sup> NK cell frequencies, potentially via increased RNA expression levels of the transcription factor *TBX21*.<sup>9</sup>

CD56<sup>bright</sup> NK cells are a NK cell subset, which releases high levels of pro-inflammatory cytokines.<sup>6</sup> A recently published genome-wide association study localized the genetic risk for fatal COVID-19 in particular to CD56<sup>bright</sup> NK cells.<sup>3</sup> Additional ex vivo studies demonstrated that arming and activation of CD56<sup>bright</sup> cells occurs especially in severely diseased COVID-19 patients.<sup>13,14</sup> Other studies demonstrated that the CD56<sup>bright</sup>-derived IFN- $\gamma$ , TNF- $\alpha$ , GM-CSF and IL-13 levels are highly elevated in the serum of severely ill COVID-19 patients, and may contribute to the disadvantageous immunopathogenesis, leading to a severe course of COVID-19.<sup>15–18</sup> This supports our data, showing that the rs9916629 polymorphism is highly associated with fatal disease, as the rs9916629-C allele leads to high CD56<sup>bright</sup> frequencies, which potentially exaggerate the release of pro-inflammatory cytokines.

Between 30% and 50% of all CD56<sup>bright</sup> NK cells express the CD16a/*FcγR11a*-receptor<sup>6</sup> and we recently identified the high-affinity *FCGR3A*-158-V/V genotype as a risk factor for severe COVID-19.<sup>19</sup> In the present study, we could confirm this finding. In addition, we were also able to further specify that the *FCGR3A*-158-V/V variant was highly associated with an increased risk for a fatal disease only in younger COVID-19 patients and especially in individuals, who also encoded for the rs9916629-C allele. The presence of both, the rs9916629-C and *FcγR11a*-158-V/V variant could result in high CD56<sup>bright</sup>CD16<sup>+</sup> NK cell levels, which are further highly activated by the high-affinity *FcγR11a*-158-V/V variant and thus contribute to exaggerated NK cell-mediated pro-inflammatory cytokine responses.



**FIGURE 2** Comparison of the (A) rs9916629-C/T and FcγRIIIa-158-F/V or (B) rs9916629-C/T and KLRC2<sup>wt/del</sup> variant combination between hospitalized survivors ( $N = 817$ ) and hospitalized deceased ( $N = 62$ ) COVID-19 patients. The study cohorts were stratified into patients age  $<69.85$  years and  $<3$  comorbidities ( $N = 718$ , 59.8%, Group 1); patients  $<69.85$  years and  $\geq 3$  comorbidities ( $N = 161$ , 13.4%, Group 2); patients  $\geq 69.85$  years and  $<3$  comorbidities ( $N = 149$ , 12.4%, Group 3) as well as patients  $\geq 69.85$  years and  $\geq 3$  comorbidities ( $N = 173$ , 14.4%, Group 4). Bars represent the relative frequencies of the combination of (A) rs9916629-C or rs9916629-T/T and FcγRIIIa-158-V/V or FcγRIIIa-158-F variants or (B) rs9916629-C or rs9916629-T/T and KLRC2<sup>wt/wt</sup> or KLRC2<sup>del</sup> variants.  $\chi^2$ -test was used for statistical comparison between variants. del, deletion; OR, odds ratio; wt, wild type.

In our study cohort, also the KLRC2<sup>del</sup> allele was associated with an increased risk for a fatal outcome in COVID-19 patients. The data from our large study cohort are in agreement with previously published studies, which identified the KLRC2<sup>wt/del</sup> and KLRC<sup>del/del</sup> genotypes as independent risk factors for severe COVID-19 and demonstrated that high NKG2C<sup>+</sup> NK cell counts are frequently found in COVID-19 survivors.<sup>12,20</sup> This underlines the important role of potent antiviral NKG2C<sup>+</sup> NK cell responses in the prevention of severe COVID-19. The combination of the KLRC2<sup>del</sup> and the rs9916629-C allele was associated with a particularly high mortality in older COVID-19 patients, independent from the patients' number of comorbidities. So far, it is unknown whether and to which extent the rs9916629-C/T polymorphism affects the frequency and function of NKG2C<sup>+</sup> NK cells. Further ex vivo and in vitro studies are thus required to analyze the impact of the rs9916629-C/T polymorphism on the NKG2C<sup>+</sup> NK cell repertoire, the functional SARS-CoV-2 specific NK cell responses as well as the COVID-19 pathogenesis.

In conclusion, we demonstrate that the rs9916629-C/T polymorphism contributes significantly to the risk of fatal COVID-19. The analysis of the rs9916629-C/T variants and especially together with FCGR3A-158-V/V and KLRC2<sup>del</sup> may help to identify younger and

older hospitalized patients at risk for fatal COVID-19, respectively. Overall, our data are of special interest as predictive markers for fatal COVID-19 in hospitalized COVID-19 patients are still scarce. However, further and extended studies are needed to confirm whether the analysis of NK cell-associated genetic risk factors may be used as a predictive marker for fatal COVID-19.

#### AUTHOR CONTRIBUTIONS

**Hannes Vietzen:** Conceptualization; data curation; formal analysis; investigation; methodology; validation; visualization; writing – original draft. **Elisabeth Puchhammer-Stöckl:** Conceptualization; funding acquisition; investigation; project administration; supervision; writing – original draft. **Philippe L. Furlano:** Data curation; formal analysis; investigation; methodology. **Marianna Traugott:** Resources. **David Totschnig:** Resources. **Wolfgang Hoepfer:** Resources. **Robert Strassl:** Resources. **Alexander Zoufaly:** Resources.

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## CONFLICT OF INTEREST

The authors declare no conflict of interest.

## DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request. The data are not publicly available due to privacy or ethical restrictions.

## ETHICS STATEMENT

The study was approved by the institutional review board of the Medical University of Vienna (EK No. 1143/2022).

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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