

Review

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Management of Elderly Asthma: Key Questions and Tentative Answers

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ABSTRACT

The aging lung undergoes structural changes, immunosenescence, and inflammation, rendering the elderly more susceptible to developing obstructive airway disease. Thus, asthma in those of chronological age \geq 65 years is not rare. Elderly asthma (EA) imposes considerable burdens in terms of mortality and morbidity, and expenditure. However, clinicians lack knowledge of EA and thus often prescribe inappropriate management. In this review, we ask 3 key questions frequently encountered during EA diagnosis and treatment: 1) Is EA different?; 2) How can we appropriately diagnose EA?; 3) Are there management strategies specific to EA? Based on recent studies, we provide tentative answers as follows: 1) late-onset EA differs in clinical features and pathogenetic mechanisms from non-EA, and thus further phenotypic and endotypic characterization of EA is needed; 2) both over- and under-diagnosis of asthma in the elderly can be reduced if the objective diagnostic tests are appropriately performed; 3) cautious prescription of ICS to selected EA patients should be encouraged, and a multifaceted approach which involves increasing medical awareness and inhaler use proficiency and adherence, seeking the assistance of caregivers, and correcting micronutrient deficiencies is required to reduce acute exacerbations in EA patients.

Keywords: Asthma; aged; immunosenescence; diagnosis; therapeutics; asthma-COPD overlap syndrome; integrative medicine

INTRODUCTION

The world is aging. According to data from the World Population Prospects 2022, one in 6 people (16%) worldwide will be aged over age 65 years by 2050.¹ The aging lung undergoes structural changes, immunosenescence, and inflammation, rendering the elderly more susceptible to developing obstructive airway disease.² Thus, asthma in those of chronological age \geq 65 years (hereafter, elderly asthma [EA]) is not rare.³ An understanding of EA is critical; it imposes considerable burdens in terms of mortality and morbidity, and expenditure.⁴⁷ However, clinicians lack knowledge of EA and thus often prescribe inappropriate management. In this review, we ask 3 key questions frequently encountered during EA diagnosis and treatment. We then provide tentative answers based on recent evidence. The major findings of recent studies on EA are summarized in **Table**.

Table. Major findings of recent studies on elderly asthma



QUESTION 1: IS EA DIFFERENT?

Clinical features

Age-associated changes in lung and chest wall structure and function may influence the features of EA. Senile changes, including alveolar space enlargement, weakened respiratory muscles, and/or a stiffened rib cage, may influence the physiological characteristics of elderly lungs. A reduced, static, elastic recoil pressure decreases the forced expiratory volume in 1 second (FEV1). The estimated rate of FEV1 decline is initially 25–30 mL/year at age 35–40 years but can double to 60 mL/year after the age of 70 years.⁸ In addition, the immune system declines with age, and patients with EA are more prone to airway infection than younger subjects.³ Recognition of the age of onset is the first step when distinguishing EA from nonelderly asthma (NEA). However, in some EA patients, asthma that commences in early life may persist to older age (long-standing asthma) or develop for the first time at an advanced age (late-onset asthma). In the elderly, the asthma incidence rate was reported to be 3.1 per 1.000.3 In our previous study of a prospective cohort that sought risk factors for acute EA exacerbation,⁹ about two-thirds of patients reported that their symptoms commenced within 5 years prior to enrolment (unpublished data). The clinical features of EA and NEA may differ. For example, in a study that surveyed 2,067 asthmatics (434 with EA and 1,633 with NEA), the clinical variables of EA and NEA showed distinctly different loading patterns for the first 2 principal components in principal component analysis.¹⁰ Fixed airway obstruction, chronic

Category Design Reference number Phenotype Prospective analysis of elderly asthmatics A 1-yr prospective follow-up study of elderly asthmatics (n = 628) identified the status of depression, proficiency in using inhaler devices, medication adherence measured according to self-reported questionnaires, and previous history of exacerbations at baseline as important factors predicting future exacerbations. Phenotype Cross-sectional analysis of a large cohort of adult asthmatics 10 Principal component analysis of all asthmatics showed that EA (n = 434) and NEA (n = 1,633) were distinctly separated by the first and second principal component on the plot of individual asthmatics according to their scores. Clinical variables showed distinctly different patterns of loading on the first 4 principal components between the EA and the NEA group. Phenotype Retrospective analysis of combined 3 cohorts of adult asthmatics 11 The exacerbation rate was 31.0% in the EA (n = 503) and 33.2% in the NEA (n = 583) group. Multivariate logistic regression analysis revealed fixed airway obstruction, chronic rhinosinusitis, and male sex as independent risk factors for exacerbation in the EA group. In the NEA group, exacerbation increased along with an increase in eosinophil count. Cluster analysis of elderly asthmatics followed up prospectively Phenotype K-means clustering was applied to elderly asthmatics (n = 872), and 4 clusters were identified: 1) long symptom duration and marked airway obstruction; 2) female dominance and normal lung function; 3) smoking male dominance and reduced lung function; and 4) high body mass index and borderline lung function. Cluster grouping was strongly predictive of time to first acute asthma exacerbation (log-rank P = 0.01). The developed decision-tree algorithm included 2 variables (percentage of predicted FEV1 and smoking pack-years), and its efficiency in proper classification was confirmed in the secondary cohort of elderly asthmatics. Phenotype Cross-sectional analysis of elderly asthmatics in one tertiary center 13 In 243 elderly asthmatics, atopy was observed in 63%, mainly in those with early onset disease, and its frequency decreased as the age of asthma onset increased (P < 0.05). Total serum IgE was higher for allergic patients, and FEV1 values were lower for patients with long-term asthma. **Pathogenesis** Cross-sectional analysis of elderly asthmatics in one tertiary center Induced sputum samples from elderly asthmatics (n = 14) and young asthmatics (n = 15) were analyzed. Sputum cell differentials revealed a significant increase in the percentage of neutrophils in elderly asthmatics compared to younger asthmatics (P = 0.008). There were significant increases in MMP-9 levels (P = 0.02) and neutrophil elastase activity in elderly asthmatics (P = 0.02). Pathogenesis Cross-sectional analysis of a large cohort of adult asthmatics 91 Serum SE-IgE concentrations were significantly higher in elderly asthmatics (n = 249) than in elderly controls (n = 98) (P < 0.001). Elderly asthmatics with high SE-IgE levels had specific characteristics of having more severe asthma, sputum eosinophilia and CRS, compared to those with lower SE-IgE levels. Multiple correspondence analyses also showed that high serum SE-IgE level had close relationships with severe asthma, CRS and sputum eosinophilia together. Cross-sectional analysis of elderly asthmatics in one tertiary center Pathogenesis Genome-wide gene expression on induced sputum samples from elderly asthmatics (n = 55) and elderly controls (n = 10) were analyzed. Two distinct gene clusters were found. Cluster 1 (n = 35) showed a lower eosinophil proportion in sputum and less severe airway obstruction compared to cluster 2 (n = 20). OXIDATIVE_PHOSPHORYLATION gene was significantly enriched in the cluster 1 and EPITHELIAL MESENCHYMAL TRANSITION gene set in the cluster 2. All these results were replicated in an independent data set.

(continued to the next page)



Lable. (Continued) Major molings of recent studies on elderity asthma	
Category Design	Reference number
Diagnosis Cross-sectional analysis of data obtained from multi-centers	26
Overall, 638 elderly cases (with asthma or COPD) and 984 elderly controls were examined. Spirometric measuremen 912 controls; 508 and 747 tests with at least 3 acceptable curves were obtained in cases and in controls, respective reproducibility for FEV1 was 61.6 mL in cases and 58.3 mL in controls (not significant). Male sex and age were risk fa FEV1. Reproducibility tended to improve with time (<i>P</i> < 0.001). Although spirometry becomes increasingly difficult in control program can ensure that reliable data are obtained in the majority of patients.	Its were obtained in 607 cases and Ity (not significant). The average actors for a poorer reproducibility of aging patients, a rigorous quality
Diagnosis Cross-sectional analysis of elderly asthmatics in one tertiary center	32
Xenon ventilation CT was performed in elderly asthmatics (n = 30). The severity of dyspnoea measured by the visual a correlation with the total number of areas of XT on the xenon ventilation CT taken in the pre-BD wash-out phase (r = areas of XT significantly decreased after BD inhalation, and differences in the total number of areas of XT (between the baseline showed significant correlations with the per cent increases in FEV1 after subsequent anti-asthma treatment	analogue scale showed a significant -0.723, $P < 0.001$). The total number of he pre- and post-BD wash-out phases) at t (r = -0.775, $P < 0.001$).
Treatment Comparative analysis of health-care database	38
In total, 6,254 consecutive elderly asthmatics were identified (in Ontario, Canada between 1992 and 1996). Sixty pe least one prescription for ICSs within 90 days post-discharge from their index hospitalization for asthma. Users of ICS 20%–38%) less likely to be readmitted to hospitals for asthma and 39% (95% CI, 20%–53%) less likely to experien those who did not receive these drugs post-discharge over a 1-year follow-up period.	rcent of these patients were given at 3s post-discharge were 29% (95% CI, ice all-cause mortality compared to
Treatment Prospective analysis of elderly asthmatics in one tertiary center	49
A hundred and five consecutive severe asthmatics (GINA step 4–5) treated with omalizumab for at least 1 year were age at omalizumab treatment onset: $18-39$, $40-64$ and ≥ 65 yr. A similar reduction of ICSs dosage and SABA on-dema during omalizumab treatment; a similar increase in FEV1 was also observed. After omalizumab treatment, the risk for aged 40–64 (OR, 0.284; 95% CI, 0.098–0.826; $P = 0.021$) and $18-39$ (OR, 0.133; 95% CI, 0.026–0.678; $P = 0.015$),	divided into 3 groups according to their and therapy was observed in all groups or exacerbations was lower in subjects , compared to elderly asthmatics.
Treatment Retrospective analysis of elderly asthmatics in one tertiary center	51
Of 147 patients with severe asthma being treated with biologics, 21 patients older than 70 years were included. The year (range 71–86) and the majority were women (n = 18, 85.7%). There were 9 patients (42.9%) who experienced a Four (44.4%) were in treatment with omalizumab, 2 (22.2%) with mepolizumab, 2 patients (22.2%) with reslizumat. This study indicates that the prescription of biological therapy in elderly patients with severe asthma seems to be saf	median age of these patients was 76.3 an AE related to biological treatment. o and one (11.1%) with benralizumab. fe.
Treatment Prospective analysis of elderly asthmatics in one tertiary center	52
A total of 100 elderly asthmatics were provided multifaceted intervention for 1 year. Multifaceted interventions inclu inhaler technique for patients and their caregivers, provision of an action plan to cope with acute exacerbations, sho losses, and oral replacement of magnesium. Ninety-two subjects completed this study. Compared to the previous ye showed a significant reduction from 67% to 50% ($P = 0.001$) and significant improvement was observed in FEV1 and Interestingly, a subgroup analysis revealed that predicted value of FEV1 increased significantly in subjects who contir 87.1% ($P = 0.008$).	ded repeated education on asthma and ort message service to prevent follow-up ear, the acute asthma exacerbation rate 4 FVC ($P = 0.04$, $P = 0.036$ for each). nued to take magnesium from 79.6% to
EA, elderly asthma; NEA, non-elderly asthma; FEV1, forced expiratory volume in 1 second; IgE, immunoglobulin E; MMP-9 staphylococcal enterotoxin; CRS, chronic rhinosinusitis; CT, computed tomography; XT, xenon gas trapping; BD, broncho	9, matrix metalloproteinase-9; SE, odilator; ICS, inhaled corticosteroid; CI,

confidence interval; GINA, Global Initiative for Asthma; OR, odds ratio; SABA, short-acting β2 agonists; AE, adverse event.

rhinosinusitis, and male sex are independent risk factors for acute EA exacerbation, whereas the exacerbation rate increases in NEA patients as the blood eosinophil count increases.¹¹ In a study that subjected patients with EA (n = 872) to K-means clustering, 4 clusters were identified: 1) long symptom duration and marked airway obstruction; 2) female dominance and normal lung function; 3) smoking male dominance and reduced lung function; and 4) a high body mass index and borderline lung function.¹² Cluster grouping was strongly predictive of the time to the first acute asthma exacerbation. The decision-tree algorithm developed in that study included 2 variables (the percentage of predicted FEV1 and smoking pack-years). Its utility for appropriate classification was confirmed in the second cohort of EA patients.

Pathogenesis

As mentioned above, EA includes asthma which begins in early life. In such cases, allergic sensitization, a classic mechanism of asthma pathogenesis, may play an important role. A recent study on 243 EA patients observed atopy in 63%, mainly those with early-onset asthma (commencing in their twenties or thirties); atopy frequency decreased as the age at asthma onset increased.¹³ The atopy rate declines with age, possibly reflecting immunosenescence.¹³ Thus, these findings suggest that the pathogenetic mechanisms underlying late-onset EA differ from those of early-onset EA. Compared to patients with NEA, those with EA exhibit increased levels of sputum neutrophils and neutrophil-associated mediators (including matrix metalloproteinase-9, neutrophil elastase, and interleukin [IL]-8),14,15 reminiscent of



changes seen in certain NEA patients with severe disease phenotypes.¹⁶ In line with this, even healthy elderly individuals exhibit altered inflammatory profiles, reflecting low-grade inflammation of the lower respiratory tract.¹⁷ The changes include significant increases in the numbers of CD4+ T cells, neutrophils, and immunoglobulins, as well as in the levels of cytokines such as IL-6 and IL-8.¹⁷ Interestingly, epithelial cell-derived cytokines, such as IL-33 and IL-31, may contribute to less Th2 phenotype of EA, and increased levels of eotaxin-2 and transforming growth factor-β1 may determine EA severity.¹⁸ Recent evidence suggests that staphylococcal enterotoxin (SE) plays a role in the pathophysiology of asthma.^{19,20} We previously reported significant associations between SE-immunoglobulin E (IgE) sensitization and late-onset EA, particularly between the severe eosinophilic type of sensitization and chronic rhinosinusitis.²¹ These findings may imply that SE is involved in the pathogenesis of severe, late-onset eosinophilic asthma in the elderly. Asthma is now recognized as a heterogeneous disease driven by different immune responses and inflammation; late-onset asthma is no exception. Cluster analyses of various cohorts identified 2 subtypes of late-onset asthma: non-allergic eosinophilic and non-allergic noneosinophilic asthma.²² Similarly, genome-wide gene expression profiles of sputum cells have been used to identify distinct gene clusters in EA patients.²² In one study, the oxidative phosphorylation gene set was significantly enriched for a cluster characterized by a lower proportion of eosinophils in sputum and less severe airway obstruction. In contrast, the epithelial-mesenchymal transition gene set was significantly enriched in another cluster.²³ These findings suggest that 2 different pathogenic mechanisms underlie EA.

Tentative answer

Immunosenescence and anatomical changes associated with aging affect EA and thus could render EA different from NEA.²⁴ As the well-worn phrase states: "A child is not just a small adult." EA may not be simply an extension of early-onset EA. Accumulated evidence indicates that late-onset EA differs in clinical features and pathogenetic mechanisms from NEA. Further phenotypic and endotypic characterization of EA is, therefore, needed.

QUESTION 2: HOW CAN WE PROPERLY DIAGNOSE EA?

Diagnostic tests

As mentioned above, lung function decreases with age because of increased chest wall stiffness, reduced respiratory muscle function, and increased residual volume, given the loss of elastic recoil. The decline in airway elasticity is the major contributor to the increased fixed airflow obstruction and the work of breathing with age. Then the FEV1/ forced vital capacity (FVC) decreases; normal elderly subjects exhibit spirometric features suggestive of obstructive lung disease.¹⁷ To date, NEA-specific diagnostic test has considered such age-related changes. Therefore, physicians must be careful when performing and interpreting traditional diagnostic tests. Although frailty and cognitive dysfunction may render spirometry difficult in the elderly,^{25,26} more than 80% can yield acceptable spirometric results after training.^{3,26} Measurement of the forced expiratory volume in the first 6 seconds is more useful than the FEV1 for those who cannot perform complete expiration (and thus cannot yield an FVC value).²⁷ The exhaled nitric oxide fraction (FeNO) is widely used as a marker of airway eosinophilic inflammation. Of note, in healthy subjects, FeNO levels appear to plateau in adults but later linearly increase to the age of 80 years.²⁸ Unfortunately, the utility of FeNO levels in EA diagnosis remains unclear. The elderly show an impaired β_2 -agonist bronchodilator response attributable to a decrease in the number of β_2 -adrenergic



receptors in airway smooth muscles.²⁹ On the other hand, bronchial hyperresponsiveness to methacholine increases with aging.^{30,31} Bronchial provocation tests may be contraindicated in certain elderly patients with low baseline lung function or cardiac comorbidities.^{30,31} Computed tomography (CT) of the chest, a noninvasive modality, may be used to make inferences about lung function. Xenon ventilation CT (which assesses regional ventilation status) has revealed that dyspnea severity correlates with xenon gas-measured air trapping; reduced trapping correlates with FEV1 improvements in the elderly.³² However, xenon ventilation CT is not readily available in clinical practice; imaging and analytical techniques are specialized.

Differentiation of EA from chronic obstructive pulmonary disease (COPD)

Physiological changes in the lung with aging may mimic airway obstruction.³³ reducing treatment effects and explaining the loss of reversibility and the persistence of airway obstruction in patients with EA. Thus, asthma and COPD may overlap or converge in the elderly.^{2,3,33} Several principal clinical features distinguish between the 2 diseases. Asthma is characterized by a personal or family history of atopy or asthma with symptoms commencing in childhood and increases in the levels of biomarkers, including FeNO, peripheral and sputum eosinophils, and serum levels of total and specific IgE. Adult symptom onset renders COPD more likely.³⁴ Emphysema is not a feature of long-standing asthma; thus, a normal lung diffusion capacity for carbon monoxide favors asthma in the elderly.³ CT of the chest can also help differentiate between asthma and COPD. In EA patients, CT shows increased wall thickness and air-trapping.³⁵ A smoking history *per se* does not afford a clear-cut distinction; a considerable proportion of EA patients are current smokers.³⁶ When encountering an elderly patient with a strong possibility of asthma, we recommend that physicians should actively prescribe medications including inhaled corticosteroid (ICS). In a prospective cohort of EA patients, we observed that about 11.7% of patients with FEV1/FVC values <70% at baseline showed improvements in airway obstruction (FEV1/FVC ≥ 70%) after 2 years of treatment (unpublished data).

Tentative answers

Physicians should keep in mind that both over- and under-diagnosis of asthma in the elderly would be reduced if the objective diagnostic tests were appropriately performed. We recommend that elderly patients with long-standing obstructive asthma should be treated as asthma, regardless of whether the airway obstruction is reversible. It is important to develop a uniform definition of asthma that can be used in daily practice to help physicians distinguish asthma from COPD and to detect asthma-COPD overlap in the elderly.

QUESTION 3: ARE THERE MANAGEMENT STRATEGIES SPECIFIC TO EA?

Pharmacotherapy

Patients with EA are usually excluded from randomized controlled trials associated with drug development.³⁷ Therefore, almost half of all EA patients are treated with drugs that have not been tested in such populations. Although no treatment guideline specific to EA is available, ICS is the mainstay of chronic asthma management in the elderly. ICS reduces hospital admissions and mortality.³⁸ However, as mentioned above, some patients with EA exhibit predominantly neutrophilic inflammation^{15,16}; ICS may be less effective in such cases. Adverse reactions associated with high-dose ICS must be considered in the elderly. For



example, a budesonide equivalent ICS dose of $\geq 1,000 \, \mu g/day$ places EA patients (especially women) at increased fracture risk.³⁹ In this sense, maintenance and reliever therapy (MART) with a combination of formoterol and ICS may be useful in patients with EA. MART is as effective as maintenance therapy preventing moderate-to-severe exacerbations and reducing ICS exposure.⁴⁰ A randomized 6-month study showed that EA patients responded to MART (in terms of maintenance of asthma control and reduction of exacerbations) as well as did younger asthmatics.⁴¹ As EA patients have been reported to show more small airway involvement,³⁵ ultrafine particle ICS medications may be beneficial. However, this possibility has yet to be formally tested. Another thing to be remembered is that ICS may be associated with an increased risk of pneumonia in asthmatics.⁴² Clinicians need to acknowledge this possibility in treating EA patients with ICS. As discussed above, β_2 -receptor density, responsiveness, and affinity may decline with aging.^{29,43} Thus, a possible role for long-acting muscarinic antagonists in EA management should be tested in future studies. Previous works have found that such antagonists are effective add-on therapies in asthmatics aged up to 75 years.^{44,45} The ease of use and the increased adherence render leukotriene receptor antagonists attractive in oral EA control. Only a few studies have examined the effects of such antagonists in EA patients, and the overall efficacy was thought to be less than that of ICS.46,47 However, a recent study using health insurance claim data showed that leukotriene receptor antagonists could be considered first-line therapy for mild EA patients with a similar risk of acute exacerbation but better compliance compared to low-dose ICS.48 Some EA patients show clinical improvement after anti-IgE therapy, although the response may be less than in vounger patients.^{49,50} In real-world settings, mepolizumab may be effective in elderly patients with severe eosinophilic asthma.⁵¹ In addition, a recent study found that biological therapy seems to be safe in elderly patients with severe asthma.⁵² However, the real-world data on other biologics (in terms of how the effects vary with age) are limited; we cannot offer any helpful information.

Special considerations

A multidimensional approach based on a comprehensive assessment of physical, psychological, cognitive, and social factors is required to manage EA appropriately. For example, we found a significant reduction in the acute exacerbation rate in 100 EA patients who received multifaceted interventions for 1 year. These included several educational sessions on asthma, and inhaler techniques, for both patients and caregivers; creating action plans to cope with acute exacerbations; using a short message service to prevent follow-up loss; and using an oral supplement of magnesium.⁵³ The American Thoracic Society has recommended that a multidimensional approach should include: a standardized evaluation of comorbidities and screening for frailty and psychosocial impediments to care using geriatric-specific tools, assessment of barriers to inhaled therapy adherence, individualization of treatment incorporating age- and disease-specific factors, and multiple points of care and evaluation including social workers, pharmacists, nurses, certified asthma educators, and physicians.²⁴ In this context, appropriate management of depression is necessary; the condition is relatively common in the elderly, affecting up to 20% of older adults in Western countries.⁵⁴ Depression assessed by the Korean version of the Geriatric Depression Scale Short Form is a factor predicting acute exacerbations of EA.⁸ Similarly, EA patients with depression are almost twice as likely to show poor asthma outcomes (asthmarelated emergency department and urgent care visits) compared to those without.⁵⁵ Thus, we recommend that physicians screen EA patients for depression and treat them appropriately. Inadequate nutrition is common in the elderly, attributable to tooth loss, impaired digestive function, decreased physical activity, and low income.⁵⁶ Lower serum levels of magnesium



are significantly associated with a history of asthma exacerbation.⁵⁷ Moreover, magnesium supplementation (as part of a multidimensional approach) significantly decreases the asthma exacerbation rate and increases lung function in EA patients.⁵³ Nutritional education of caregivers or patients improves the nutritional status of elderly patients.^{58,59} The role of nutritional support in EA management should be evaluated in a future study.

Tentative answers

Despite the associated adverse reactions, ICS therapy remains the preferred medication for EA. However, ICS appears to be underused in EA patients.^{60,61} Cautious prescription of ICS to selected EA patients should be encouraged. To facilitate this, specific EA phenotypes that respond well to ICS must be identified, as has been achieved in younger patients with asthma. Apart from asthma management issues, comorbid conditions may pose significant burdens for the elderly. These include upper airway diseases, obesity, smoking, and depression. To reduce acute exacerbations in EA patients, a multifaceted approach is required; it should involve increasing medical awareness and inhaler use proficiency and adherence, seeking the assistance of caregivers, and correcting micronutrient deficiencies. Such an approach is likely to be effective.

CONCLUSIONS

EA is a common disease. It is associated with unique features in terms of symptoms, pathogenesis, diagnosis, and treatment (**Figure**). These must be identified and appropriately managed to provide optimal care. In addition, EA management must be based on a multidimensional assessment that considers the frequent presence of comorbid conditions and associated polypharmacotherapy.



Figure. Characteristics of elderly asthma requiring a multidimensional approach. IgE, immunoglobulin E.



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