

# 2 in. Bulk $\beta$ -Ga<sub>2</sub>O<sub>3</sub> Single Crystals Grown by EFG Method with High Wafer-Scale Quality

Ganrong Feng, Shan Li,\* Yawen Tian, Song Qi, Daoyou Guo, and Weihua Tang\*

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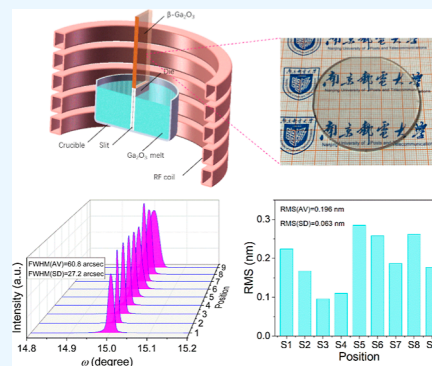
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**ABSTRACT:** 2 in. bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals are successfully grown by the edge-defined film-fed growth method with a homemade furnace system. By considering the significance of wafer quality in future mass manufacture, a nine-point characterization method is developed to evaluate the full-scale quality of the processed 2 in. (100)-orientated  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single-crystal wafers. Crystalline and structural characteristics were evaluated using X-ray diffraction and Raman spectroscopy, revealing decent crystalline quality with a mean full width at half-maximum value of 60.8 arcsec and homogeneous bonding structures. The statistical root-mean-square surface roughness, determined from nine scanning areas, was found to be only 0.196 nm, indicating superior surface quality. Linear optical properties and defect levels were further investigated using UV–visible spectrophotometry and photoluminescence spectroscopy. The high wafer-scale quality of the processed  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafers meets the requirements for homoepitaxial growth substrates in electronic and photonic devices with vertical configurations.



## INTRODUCTION

As a new emerging ultrawide band gap semiconductor, gallium oxide (Ga<sub>2</sub>O<sub>3</sub>) possesses a large band gap of 4.9 eV, a high breakdown field of 8 MV/cm, and a desirable Baliga's figure of merit of 3214. Additionally, it exhibits strong bonding structures with Ga- and O-displacement energies of 25 and 28 eV, respectively.<sup>1,2</sup> These excellent material characteristics enable Ga<sub>2</sub>O<sub>3</sub> to find extensive applications in electronic and optoelectronic devices, even comparable with GaN and SiC.<sup>3,4</sup> In recent years, numerous successful device demonstrations of Ga<sub>2</sub>O<sub>3</sub>, such as deep-ultraviolet photodetectors,<sup>5–7</sup> resistive random access memories,<sup>8,9</sup> gas sensors,<sup>10</sup> light-emitting diodes,<sup>11</sup> photocatalysts,<sup>12</sup> Schottky diodes, heterojunction diodes, and metal oxide semiconductor field effect transistors for power devices,<sup>13–15</sup> have been explored and investigated experimentally. In all examples, the performance of these devices is highly dependent on the material merit of Ga<sub>2</sub>O<sub>3</sub>. Especially for power electronics,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals with appropriate size and high wafer-scale quality are of essence to fabricate high-performance devices.

Up to now, many common techniques, including Verneuil,<sup>16</sup> Czochralski (CZ),<sup>17</sup> floating-zone (FZ),<sup>18</sup> edge-defined film-fed growth (EFG),<sup>19</sup> and horizontal or vertical Bridgman methods,<sup>20</sup> have been developed to grow bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals. Among them, the EFG technique shows the most promising commercial potential due to its availability of large-diameter  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafers with an acceptable quality. The successful growth of 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bulk crystal via the EFG method was first reported by Shimamura et al., although their obtained crystal was heavily cracked.<sup>21</sup> Aida et al. realized 50

mm size of large-diameter  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals without any polycrystalline inclusions by the EFG method.<sup>19</sup> Research team from Tamura and Novel Crystal Technology scaled the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer diameter up to 4 in. and achieved a narrow full width at half-maximum (fwhm) of 17 arcsec in the 2 in. (–201) substrate.<sup>22</sup> Si-doped 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> prepared by Zhang et al. demonstrated an fwhm of 19.06 arcsec and a surface roughness of 0.299 nm.<sup>23</sup> Mu et al. from Shandong University grew 1 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals using an optimized EFG method under an atmosphere of Ar plus 50% CO<sub>2</sub>.<sup>24</sup> Subsequently, they designed and grew cylindrical Sn-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals using an innovative EFG furnace equipped with a cylindrical iridium die, which exhibited decent crystalline quality with an fwhm of 59.4 arcsec.<sup>25</sup> Despite the significant breakthroughs achieved in obtaining large-diameter  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals, the quality of bulk crystals, including the aforementioned examples, is typically characterized and represented by single-point performance testing. However, this approach may not fully reflect the crystal quality at the wafer-scale size.

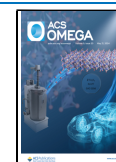
In view of the crucial role of wafer quality in future mass device manufacture, a nine-point characterization method is developed and adopted here to assess the full-scale wafer

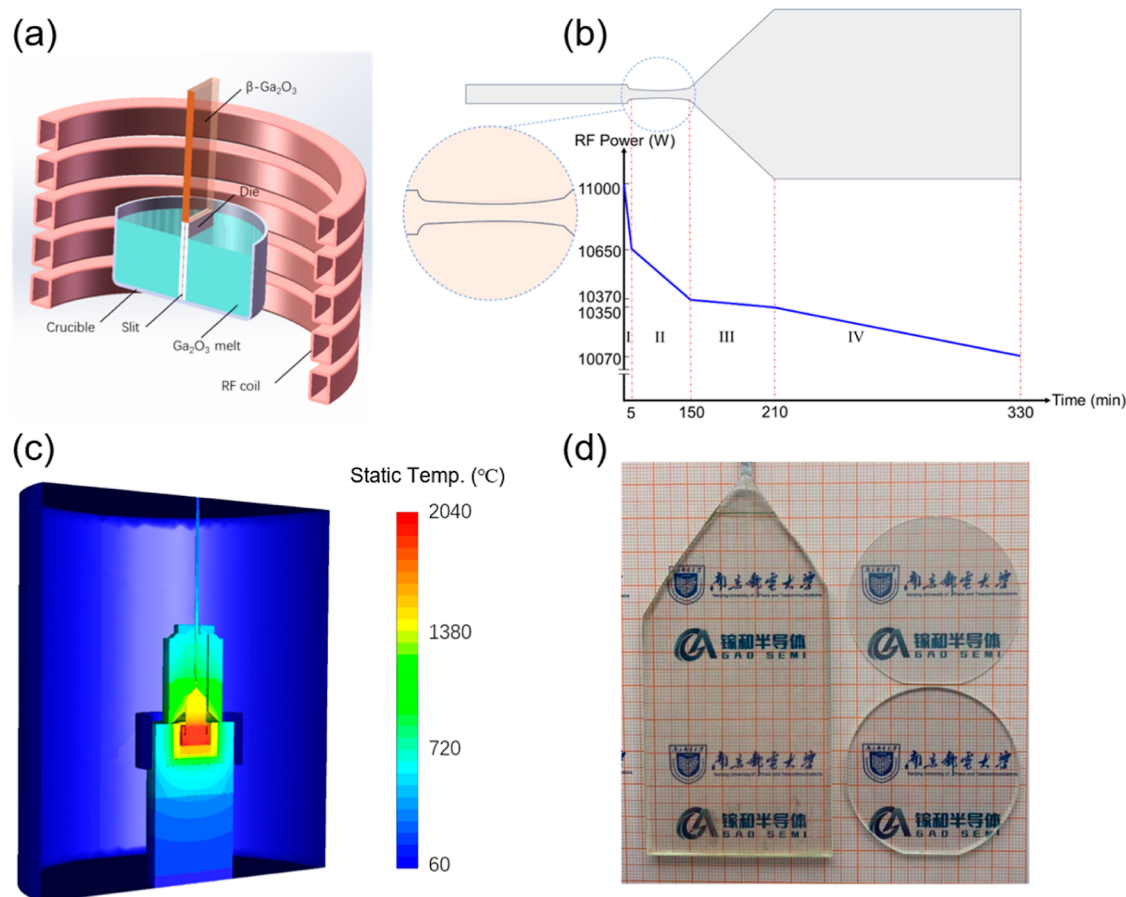
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**Figure 1.** (a) Schematic diagram of the furnace for the EFG process; (b) growth stages and RF power profiles; (c) static temperature distribution of the furnace system during the steady-growth process, and (d) pictures of an initial bulk crystal and two processed 2 in. wafers (Photograph courtesy of Ganrong Feng and Shan Li, Copyright 2024).

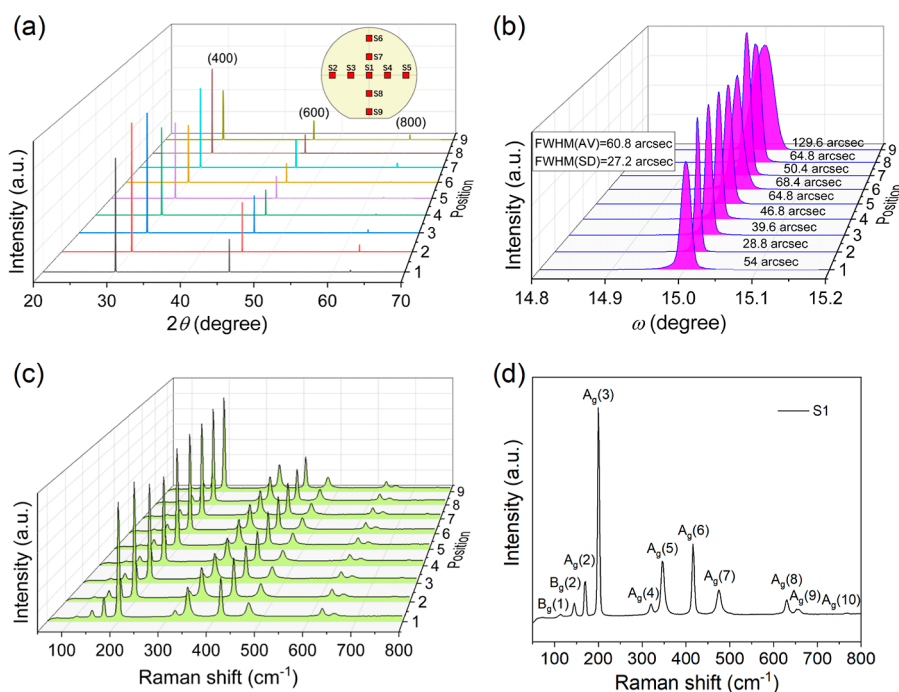
quality of 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals. The bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals are grown by a homemade EFG furnace in a mixture atmosphere of 98% Ar and 2% O<sub>2</sub>. Consequently, these crystals undergo a sequence of procedures involving cutting, grinding, and polishing to fashion them into 2 in. thin wafers with a thickness measuring 650  $\mu$ m. The crystalline and structural integrity, surface topography, optical characteristics, and defect levels of the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafers are meticulously scrutinized employing techniques such as high-resolution X-ray diffraction, Raman spectroscopy, atomic force microscopy, UV–visible spectrophotometry, and photoluminescence (PL) spectroscopy, employing a rigorous nine-point evaluation scheme. The experimental findings demonstrate that the 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> substrates cultivated and processed in our study exhibit exceptional quality at the wafer scale.

## EXPERIMENTAL SECTION

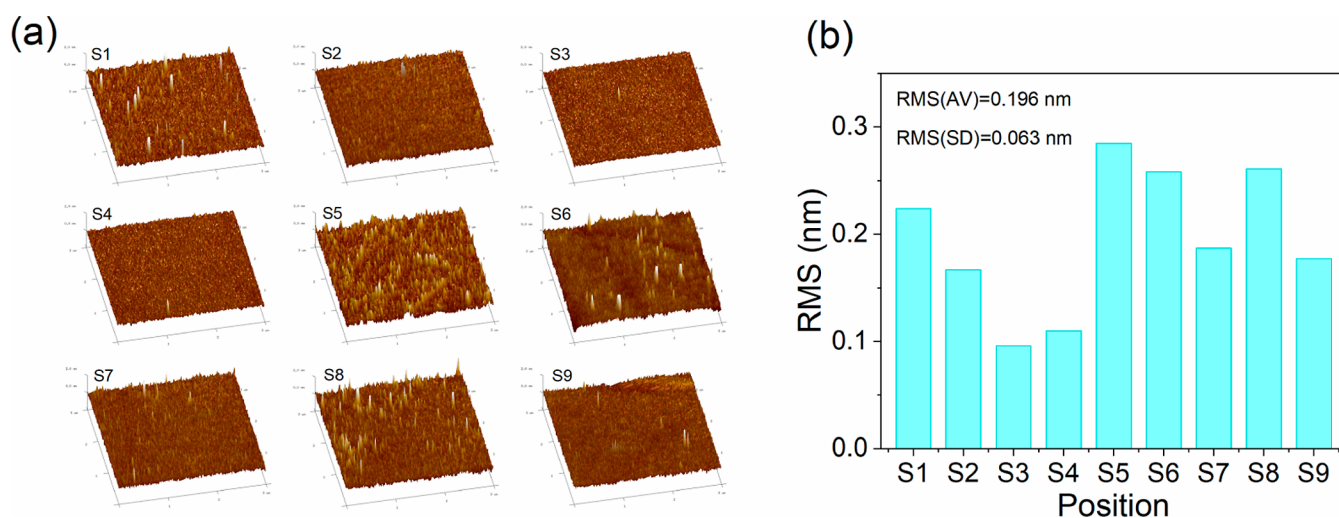
Bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals grown in an iridium crucible with an iridium die by EFG furnace are sectionally shown in Figure 1a. 5N-grade Ga<sub>2</sub>O<sub>3</sub> powders were filled in the iridium crucible to use as the raw materials. The chamber pressure was around 105 kPa accompanied by a mixture atmosphere of 98% Ar and 2% O<sub>2</sub>. A radio frequency induction coil was employed as a heating source and regulated by a specific temperature rising program. As the heating temperature reached up to a melting point of  $\sim$ 1800  $^{\circ}$ C, the Ga<sub>2</sub>O<sub>3</sub> melt would transport from the crucible to the die surface through a slit by the capillary effect.

Then, a strip-type  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> seed right above the crucible was programed moving down to contact Ga<sub>2</sub>O<sub>3</sub> melt over the die surface. The typical  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> EFG process combined with RF power profiles is illustrated in Figure 1b. There are four classic growth stages including (I) seeding process, (II) necking process, (III) spreading process, and (IV) steady-growth process. The static temperature distribution of the EFG furnace system during the steady-growth process was simulated numerically with ANSYS software in Figure 1c. The growth direction was along [010], and the principal surface was the (100) plane. The growth temperature was tracked by an infrared thermometer all of the time. The crystal weight was continuously monitored with an accurate weighing system through a seed rod. A high-definition camera equipped with a polarizer was utilized to observe the die surface. Therefore, the pulling speed could be adjusted by observing the real-time crystal weight and surface morphology. The conventional pulling speed in our experiment was set in the range of 10–30 mm/h.

The pristine bulk Ga<sub>2</sub>O<sub>3</sub> single crystals were accordingly processed by wire cutting, diamond slurry grinding, and chemical mechanical polishing to fabricate the standard 2 in. wafers, as illustrated in Figure 1d. To obtain a full-scale evaluation of a 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer, nine points were uniformly selected on the wafer as measuring regions. The crystalline quality was characterized via high resolution X-ray diffraction (XRD) (Bruker D8 ADVANCE). The Raman and PL spectra were recorded by a Raman spectrometer (Renishaw inVia) and



**Figure 2.** (a) Nine-point theta-2theta XRD patterns (the inset is the distribution map of nine mensurated points) and (b) nine-point rocking curves; (c) nine-point Raman spectra, and (d) S1 Raman spectrum with marked peaks.



**Figure 3.** (a) Nine-point AFM images and the (b) corresponding RMS values.

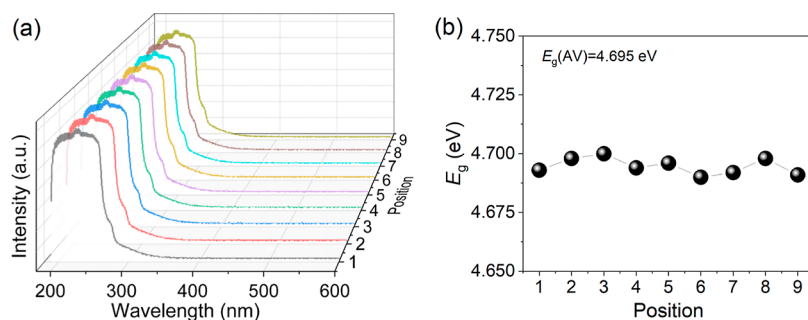
LED measurement system (Etamax PLATO), respectively. The surface morphologies were measured with atomic force microscopy (AFM, Bruker Dimension Fast-Scan). The optical absorption spectra and the corresponding bandgaps ( $E_g$ ) were obtained by UV–visible spectrophotometry (Macy UV1900).

## RESULTS AND DISCUSSION

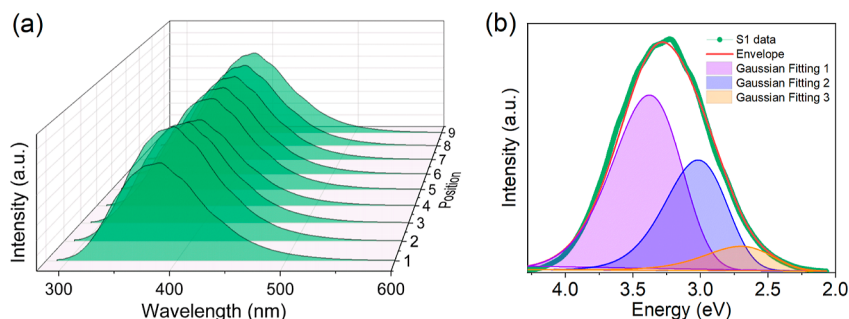
The typical dimensions of the as-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bulk crystals are 80 mm length, 55 mm width, and 5 mm thickness. Notably, the width and thickness of the crystals are entirely contingent upon the design specifications of the iridium die top, as illustrated in Figure 1a. In our investigation, the die top shape is rectangular, measuring  $5 \times 55 \text{ mm}^2$ . The crystal length relies on the filling amount of Ga<sub>2</sub>O<sub>3</sub> powders in the crucible and the growth time of exhausting these raw powders. Figure 1b illustrates the RF power alongside the process time, offering

concrete process parameters crucial for both scientific research and industrial production. The static temperature distribution, as depicted in Figure 1c, exhibits a stable temperature gradient along the axial direction, facilitating ample Gibbs free energy for the growth of the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bulk crystals.

In Figure 1d, the initial bulk crystal and two processed 2 in. wafers are presented. Through meticulous cutting and polishing processes, the bulk thickness of the 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer is typically reduced to 650  $\mu\text{m}$ . The principal plane, denoted as (100), and the side plane formed from the die shoulder, denoted as (001), are discernible. The cross-section along the growth orientation corresponds to the (010) plane. These double-side-polished wafers exhibit no apparent defects such as twin crystals or cracks. These unintentionally doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystals are manifested as colorless with high transparency.



**Figure 4.** (a) Nine-point absorption curves and the (b) corresponding band gap values.



**Figure 5.** (a) Nine-point PL spectra and (b) S1 PL spectrum with Gaussian peak fitting.

Theta-2theta XRD patterns of the 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer are illustrated in Figure 2a. The inset displays the distribution of nine mensurated points across the wafer, labeled as S1–S9. Three distinct diffraction peaks are discernible in all curves, situated at 2theta positions of 30.02, 45.74, and 62.46°, aligning with the (400), (600), and (800) planes of the  $\beta$ -phase monoclinic crystal structure.<sup>26,27</sup> Figure 2b presents X-ray rocking curves evaluated on the (400) planes. All nine rocking curves depict pronounced and well-defined diffraction peaks with fwhm values ranging from 28.8 to 129.6 arcsec. The average fwhm value (AV) is calculated to be 60.8 arcsec, with a corresponding standard deviation (SD) of 27.2 arcsec. These outcomes underscore the high crystalline quality of our 2 in. wafer, surpassing even some FZ- and EFG-grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystals.<sup>18,19</sup>

Figure 2c shows the unpolarized room-temperature Raman spectra of the 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer. It is obvious that Raman spectra recorded at nine distinct positions exhibit identical phonon modes and similar peak intensities. This remarkable consistency underscores not only the excellent crystalline quality but also the homogeneity of the wafer-scale material. Chosen S1 as the representative in Figure 2d, Raman peaks could be detected at 113.6, 145.0, 170.4, 199.6, 321.0, 345.9, 416.1, 474.5, 630.5, 654.3, and 767.1 cm<sup>-1</sup>, matching well to the experimental and computational values.<sup>23,28</sup> These Raman peaks can be assigned to B<sub>g</sub>(1), B<sub>g</sub>(2), A<sub>g</sub>(2), A<sub>g</sub>(3), A<sub>g</sub>(4), A<sub>g</sub>(5), A<sub>g</sub>(6), A<sub>g</sub>(7), A<sub>g</sub>(8), A<sub>g</sub>(9), and A<sub>g</sub>(10) modes, as labeled in Figure 2d. The low-frequency phonon modes [B<sub>g</sub>(1), B<sub>g</sub>(2), A<sub>g</sub>(2), A<sub>g</sub>(3)] are related to the liberation and translation of Ga<sub>1</sub>O<sub>4</sub> chains, the midfrequency phonon modes [A<sub>g</sub>(4), A<sub>g</sub>(5), A<sub>g</sub>(6), A<sub>g</sub>(7)] are caused by the deformation of Ga<sub>1</sub>O<sub>4</sub> and Ga<sub>II</sub>O<sub>6</sub>, and the high-frequency phonon modes are ascribed to the stretching and bending of Ga<sub>1</sub>O<sub>4</sub>.<sup>23,28,29</sup>

The wafer surface quality is characterized by nine-point 3D AFM morphologies, as exhibited in Figure 3a. Each point surface morphology is recorded within a scanning area of 3 × 3

μm<sup>2</sup>. With the same color scale bar (from −2 to 2 nm), some spiculate bulges and linear pits can be found in 3D topography, which are crucial for the root-mean-square (RMS) surface roughness. The recorded spiculate bulges are mainly caused by unremoved nanosized abrasive SiO<sub>2</sub> powder. The linear pits are polishing scratches and mechanical damages.<sup>30</sup> Even counting in the unintentional surface damages resulting from the chemical mechanic polishing, all nine-point RMS values are within 0.3 nm, as summarized in Figure 3b. The AV RMS is just 0.196 nm (the nine-point SD is only 0.063 nm), better than the reported values of 0.23 and 0.26 nm,<sup>31</sup> demonstrating a high surface quality of our 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer.

The optical absorption property of the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer is plotted in Figure 4a at a wavelength range of 190–600 nm. Nine-point measurements across the whole 2 in. wafer perform highly similar absorption features. All spectral curves show the same absorption shoulder at a wavelength of 270–280 nm, which has also been observed previously in Ga<sub>2</sub>O<sub>3</sub>.<sup>23,32</sup> Such an absorption shoulder is not affected by the doping contents.<sup>23,32</sup> Therefore, this particular absorption shoulder should be caused by an intrinsic defect. In consideration of the deep energy level of the absorption shoulder, it is most likely resulted by oxygen vacancy with one or two trapped electrons just as reported in the other metal oxides.<sup>33–36</sup> However, Harwig et al. and Wang et al. ascribe it to the presence of a self-trapped hole level in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, which is about 0.25 eV above the valence band maximum.<sup>32,37</sup> Although some literature has attempted to explain the absorption shoulder, there is still no final conclusion and more in-depth and direct studies are needed. In view of the fact that  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> possesses a direct optical band gap, the  $E_g$  values of nine points are estimated according to Tauc's principle as previously reported.<sup>38–41</sup> As plotted in Figure 4b, the direct  $E_g$  values extracted from nine-point absorption spectra show tiny difference, with an average value of 4.695 eV. Such identical absorption curves and stable band gap values in different regions of 2 in. wafer indicate

homogeneous linear optical properties of the grown  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bulk crystals.

To qualitatively understand the defect levels of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, PL characteristics are performed on a 2 in. wafer using a 266 nm HeCd laser excitation source according to the nine-point test method. As shown in Figure 5a, the intensities of PL transitions acquired from different regions display a slight fluctuation. However, each region shows a similarly asymmetric and consistently broad PL band spanning from 300 to 600 nm, implying that the 2 in. wafer performs uniform defect levels in large-area. An individual spectrum of S1 is chosen as a representative to take a deep investigation with Gaussian distribution to fit the experimental data in the energy scale, as presented in Figure 5b.<sup>42</sup> The S1 PL spectrum can be deconvolved into three dominant peaks at energy levels of 3.38 eV (367 nm), 3.02 eV (411 nm), and 2.70 eV (460 nm).<sup>43,44</sup> Three luminescence peaks are attributed to radiative recombination of electrons from the conduction band edge with holes in the acceptor bands (such as the self-trapped holes between two OII-s sites, the gallium–oxygen vacancy pairs, and the gallium vacancies at tetrahedral sites), which give rise to ultraviolet–blue emissions as reported.<sup>43,45</sup>

## CONCLUSIONS

In summary, a 2 in. diameter bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single crystal has been successfully cultivated via the EFG method employing a custom-built furnace system. Following cutting, grinding, and polishing procedures, the resulting  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single-crystal substrates demonstrate high-quality characteristics at the wafer scale, assessed using a comprehensive nine-point evaluation approach. Notably, the average fwhm, RMS, and  $E_g$  for the double-side polished 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> wafer are determined to be 60.8 arcsec, 0.196 nm, and 4.695 eV, respectively, indicating commendable crystalline quality, smooth surface morphology, and desirable linear optical properties. Furthermore, structural quality and defect characteristics are meticulously scrutinized through Raman and PL measurements, reinforcing the assertion that the wafer quality exhibits not only excellence but also uniformity across the entire surface. The experimentally obtained outstanding material properties position our 2 in.  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> single-crystal wafers as highly suitable substrates for deployment in homoepitaxial growth processes and the manufacturing of electronic and photonic devices featuring vertical configurations.

## AUTHOR INFORMATION

### Corresponding Authors

**Shan Li** – College of Integrated Circuit Science and Engineering & National and Local Joint Engineering Laboratory for RF Integration and Micro-Packing Technologies, Nanjing University of Posts and Telecommunications, Nanjing 210023, China; Beijing GAO Semiconductor Co. Ltd., Beijing 101407, China; [orcid.org/0000-0002-3095-3721](https://orcid.org/0000-0002-3095-3721); Email: [shanli@njupt.edu.cn](mailto:shanli@njupt.edu.cn)

**Weihua Tang** – College of Integrated Circuit Science and Engineering & National and Local Joint Engineering Laboratory for RF Integration and Micro-Packing Technologies, Nanjing University of Posts and Telecommunications, Nanjing 210023, China; Beijing GAO Semiconductor Co. Ltd., Beijing 101407, China; Email: [whtang@njupt.edu.cn](mailto:whtang@njupt.edu.cn)

## Authors

**Ganrong Feng** – College of Integrated Circuit Science and Engineering & National and Local Joint Engineering Laboratory for RF Integration and Micro-Packing Technologies, Nanjing University of Posts and Telecommunications, Nanjing 210023, China; Beijing GAO Semiconductor Co. Ltd., Beijing 101407, China

**Yawen Tian** – Beijing GAO Semiconductor Co. Ltd., Beijing 101407, China

**Song Qi** – Beijing GAO Semiconductor Co. Ltd., Beijing 101407, China

**Daoyou Guo** – Center for Optoelectronics Materials and Devices & Key Laboratory of Optical Field Manipulation of Zhejiang Province, Department of Physics, Zhejiang Sci-Tech University, Hangzhou 310018, China; [orcid.org/0000-0002-6191-1655](https://orcid.org/0000-0002-6191-1655)

Complete contact information is available at:

<https://pubs.acs.org/10.1021/acsomega.4c00405>

## Notes

The authors declare no competing financial interest.

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