

# Exploring the genomic diversity of black yeasts and relatives (*Chaetothyriales*, *Ascomycota*)

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**Abstract:** The order *Chaetothyriales* (*Pezizomycotina*, *Ascomycetes*) harbours obligatorily melanised fungi and includes numerous etiologic agents of chromoblastomycosis, phaeohyphomycosis and other diseases of vertebrate hosts. Diseases range from mild cutaneous to fatal cerebral or disseminated infections and affect humans and cold-blooded animals globally. In addition, *Chaetothyriales* comprise species with aquatic, rock-inhabiting, ant-associated, and mycoparasitic life-styles, as well as species that tolerate toxic compounds, suggesting a high degree of versatile extremotolerance. To understand their biology and divergent niche occupation, we sequenced and annotated a set of 23 genomes of main the human opportunists within the *Chaetothyriales* as well as related environmental species. Our analyses included fungi with diverse life-styles, namely opportunistic pathogens and closely related saprobes, to identify genomic adaptations related to pathogenesis. Furthermore, ecological preferences of *Chaetothyriales* were analysed, in conjunction with the order-level phylogeny based on conserved ribosomal genes. General characteristics, phylogenomic relationships, transposable elements, sex-related genes, protein family evolution, genes related to protein degradation (MEROPS), carbohydrate-active enzymes (CAZymes), melanin synthesis and secondary metabolism were investigated and compared between species. Genome assemblies varied from 25.81 Mb (*Capronia coronata*) to 43.03 Mb (*Cladophialophora immunda*). The bantiana-clade contained the highest number of predicted genes (12 817 on average) as well as larger genomes. We found a low content of mobile elements, with DNA transposons from Tc1/Mariner superfamily being the most abundant across analysed species. Additionally, we identified a reduction of carbohydrate degrading enzymes, specifically many of the Glycosyl Hydrolase (GH) class, while most of the Pectin Lyase (PL) genes were lost in etiologic agents of chromoblastomycosis and phaeohyphomycosis. An expansion was found in protein degrading peptidase enzyme families S12 (serine-type D-Ala-D-Ala carboxypeptidases) and M38 (isoaspartyl dipeptidases). Based on genomic information, a wide range of abilities of melanin biosynthesis was revealed; genes related to metabolically distinct DHN, DOPA and pyromelanin pathways were identified. The *MAT* (*M*Ating *T*ype) locus and other sex-related genes were recognized in all 23 black fungi. Members of the asexual genera *Fonsecaea* and *Cladophialophora* appear to be heterothallic with a single copy of either *MAT-1-1* or *MAT-1-2* in each individual. All *Capronia* species are homothallic as both *MAT1-1* and *MAT1-2* genes were found in each single genome. The genomic synteny of the *MAT*-locus flanking genes (SLA2-APN2-COX13) is not conserved in black fungi as is commonly observed in *Eurotiomycetes*, indicating a unique genomic context for *MAT* in those species. The heterokaryon (*het*) genes expansion associated with the low selective pressure at the *MAT*-locus suggests that a parasexual cycle may play an important role in generating diversity among those fungi.

**Key words:** Black yeast, Comparative genomics, *Chaetothyriales*, Ecology, Evolution, *Herpotrichiellaceae*, Phylogeny.

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## INTRODUCTION

The order *Chaetothyriales* (*Pezizomycotina*, *Ascomycetes*) harbours melanised, non-lichenised fungi with a large morphological diversity. The order is included in the subclass *Chaetothyrionymycetidae* along with the lichenised orders *Verrucariales*, *Pyrenulales*, and *Celotheliales*. Within the *Chaetothyriales*, at least five families are recognized: *Chaetothyraceae*, *Cyphellophoraceae*, *Epibryaceae*, *Herpotrichiellaceae*, and *Trichomeriaceae* (Batista & Ciferri 1962, Réblová *et al.* 2013), while some

clades are as yet unassigned. The members of *Chaetothyriales* exhibit a complex ecological variation, and species are found in habitats characterised by extreme and adverse conditions, e.g. on rock surfaces in hot, arid climates, in toxic niches with hydrocarbons and heavy metals, and remarkably often occur in vertebrates as opportunistic pathogens (de Hoog 2014). Some species cause mutilating or even fatal infectious diseases, often in apparently healthy individuals. Recent studies sequenced rDNA from a large number of undescribed melanised fungi from ant colonies that clustered in various families of *Chaetothyriales*



phase, while members of the genus *Exophiala* show yeast-like budding. Occasionally meristematic growth is observed (Fig. 1) (de Hoog *et al.* 2011). Muriform cell segmentation is the unique invasive form inside host tissue in chromoblastomycosis (da Silva *et al.* 2002, 2008). *Capronia* is the homothallic sexual genus covering all asexual members of *Herpotrichiellaceae*. Ascospores are pale to dark brown and are generally transversally septate or muriform (Untereiner 1995). Species of the family are generally found in nutrient-poor habitats such as showers and sinks in bathrooms or washing machines and dishwashers (Hamada & Abe 2010, Lian & de Hoog 2010, Zalar *et al.* 2011, Zupancic *et al.* 2016), while some thrive in extreme environments such as on rocks or in toxic niches (Badali *et al.* 2011, Seyedmousavi *et al.* 2011, de Hoog 2014). A significant number of ant-associated undescribed species from carton galleries is also affiliated with this family (Voglmayr *et al.* 2011, Nepel *et al.* 2014).

The family *Cyphellophoraceae* is a small monophyletic group of species which are known through their asexual morphs only (Réblová *et al.* 2013). Conidia may be hyaline and one-celled, but several species have pale brown, curved conidia with thin cross walls. Conidiogenous cells are inconspicuously phialidic and are cylindrical and intercalary, or swollen and lateral. This family includes mild opportunists on human skin and nails in *Cyphellophora* and *Phialophora* (Fig. 1) (Feng *et al.* 2012, Gao *et al.* 2015).

The family *Trichomeriaceae* is composed by epiphytic species (Chomnunti *et al.* 2012a) and a large clade of rock-inhabiting species recently added (Isola *et al.* 2016). Remarkably also the genus *Arthrocladium* clusters in the family, known for a single strain causing a fatal disseminated human infection (Nascimento *et al.* 2016a). The single sexual morph in the family is the genus *Trichomerium*, which morphologically is very similar to *Capronia* above (Chomnunti *et al.* 2012a). *Trichomerium* was first placed within the *Chaetothyriaceae* on the basis of morphological similarities of sooty mould-like mycelium, but later a separate family was erected using improved phylogenetic analyses (Chomnunti *et al.* 2012a). Ascospores of the *Trichomerium* species are spherical, covered by long, scattered setae, and contain 8-spored asci with septate, often brownish ascospores. Recently, phylogenetic studies also added some paraphyletic taxa, which morphologically are very deviant, such as the asexual species *Brycekendrickomyces acaciae* (Crous *et al.* 2009). Also, some simple morphology known in the *Herpotrichiellaceae* is recurrent in the *Trichomeriaceae* in *Cladophialophora modesta* and *Cl. proteae* (Badali *et al.* 2008). Meristematic, non-sporulating species were classified in the genera *Knufia* and *Lithophila*, a group of largely rock-inhabiting species (with the exception of the lichenicolous species *Knufia peltigerae*) within the *Trichomeriaceae* (Isola *et al.* 2016). Numerous undescribed species of ant-associated fungi characterised by sooty mould-like mycelium are also contained within this family (Voglmayr *et al.* 2011, Nepel *et al.* 2014).

A recently proposed family is *Epibryaceae* (Gueidan *et al.* 2014), covering the genus *Epibryon* and the asexual morph *Leptomeliola ptilidii*, as well as some more simply structured asexual morphs that morphologically are classified as *Cladophialophora sylvestris*, *Cl. humicola* and *Cl. minutissima* (Badali *et al.* 2008, de Hoog *et al.* 2011, Gueidan *et al.* 2014). Several species are bryophilous fungi, but some have a rock-inhabiting life style, or occur in soil or on vascular plants. Ascospores are located superficially on or penetrating leaf tissue. Straight or

curved dark setae cover globose to ovoid or pyriform, ostiolate, pale to dark brown to black ascospores, and the 8-spored asci are ovoid, ellipsoidal or subcylindrical, without apical structures and containing transversally septate, ellipsoidal to fusiform ascospores (Döbbele 1997, Gueidan *et al.* 2014).

Of the families of *Chaetothyriales*, the *Herpotrichiellaceae* species exhibit highly diversified life styles and show recurrent infection of a variety of vertebrate hosts (de Hoog 2014). Often opportunistic behaviour in human patients is partly explained by a saprobic behaviour combined with thermotolerance, as in *Mucorales* where resistance to high temperatures – often associated with other types of extremotolerance – is classically viewed as a prime virulence factor (Scholer *et al.* 1983). Opportunistic species often possess dynamic and versatile pathways to sequester carbon from a wide range of substrates in the environment. By chance, when an opportunistic pathogen colonises its host, the abundance and diversity of genes associated with acquiring energy from particular carbon sources might be an advantage. Thus, metabolic plasticity combined with tolerance of adverse conditions could be considered as virulence factors in opportunistic fungi.

In *Herpotrichiellaceae*, warm- as well as cold-blooded vertebrates with intact immunity are commonly affected (Seyedmousavi *et al.* 2014), suggesting the presence of intrinsic virulence factors that are independent from temperature. This led us to perform a comparative genome approach in order to comprehend the general background of ecology-driven traits, adaptation to harsh and toxic environments, and association with vertebrate hosts. The phylogeny of the family *Herpotrichiellaceae* has been intensively investigated for several years by multi-locus sequence analyses based on ITS, *TEF1*, *BT2*, and *ACT1*, and occasionally with other genes. de Hoog *et al.* (2011) recognised six approximate clades, which showed somewhat different ecological trends (Fig. 1). The europaea-clade located in the basal position has recently been upgraded to family level as *Cyphellophoraceae* (Réblová *et al.* 2013). The jeanselmei-clade is basal to the *Herpotrichiellaceae* s.s. and contains several clinically relevant species, next to species which were often derived from environments rich in toxic monoaromatic hydrocarbons (Zeng *et al.* 2013). The dermatitidis-clade contains thermophilic *Exophiala* species from hot, low-nutrient water systems, sometimes causing disseminated infections in humans (de Hoog *et al.* 2011). The salmonis-clade harbours mainly mesophilic water-borne *Exophiala* species, often infecting aquatic animals such as fish and amphibians, but rarely humans (de Hoog *et al.* 2011). The two remaining clades comprise the major agents of phaeohyphomycosis and chromoblastomycosis, but species can also be found in the environment on plant debris (Salgado *et al.* 2004, Vicente *et al.* 2008). The carrionii-clade harbours some species that consistently cause chromoblastomycosis and which may perhaps be regarded as primary human pathogens (de Hoog *et al.* 2007). The same pattern is observed in the bantiana-clade, which harbours *Fonsecaea* and *Cladophialophora*, with an abundance of species causing serious human diseases (de Hoog *et al.* 2011, Najafzadeh *et al.* 2011a, b, Sun *et al.* 2012) as well in *Rhinocladiella mackenziei*. The trends in all clades are approximate since pathogenic species are often flanked by free-living species. Also herpotrichiellacean asexual and sexual morph genera are polyphyletic, but as yet molecular phylogeny is too unstable to replace morphology-based taxonomy (Untereiner 1995, Untereiner & Naveau 1998, Haase *et al.* 1999, de Hoog *et al.* 2011).



The origin of *Chaetothyriales* is estimated at approximately 229 MYA during the Middle Triassic (Gueidan *et al.* 2011). It has been suggested that the Permian–Triassic (P–T) mass extinction, which deeply affected terrestrial and marine ecosystems, led to the development of a thermotolerant life-style on rock, possibly in association with toxin-producing lichens. After this, a rapid diversification of *Chaetothyriales* took place. In this vision, extemo- and thermotolerance, and an efficient metabolism of carbon sources are atavisms from this period (Gueidan *et al.* 2011). The five families proposed in *Chaetothyriales* all contain a number of basal rock-inhabiting species with epiphytic or epilithic growth, suggesting a common origin of these life styles (Gueidan *et al.* 2014).

The dark colouration of chaetothyrialean mycelium is determined by the high production of melanin pigments, which was shown to contribute to the above discussed ecological niches as well contributing to resistance against host immune responses (Schnitzler *et al.* 1999, Zhang *et al.* 2013). The presence of melanin alone is not sufficient to explain pathogenicity as these polymers are known to be present in many *Pezizomycotina*, and additional factors discussed above may be involved to explain the pathogenic status of these fungi. The virulence of opportunistic black yeasts has been suggested to have evolved from adaptations to extreme environments, e.g. melanisation (Schnitzler *et al.* 1999, Feng *et al.* 2001), meristematic growth (Mendoza *et al.* 1993, Karuppaiyl & Szaniszló 1997), and general extremotolerance (Liu *et al.* 2004). Application of concepts of “focused” virulence and “dual ecology” may be considered for chaetothyrialean fungi to explain their ability to infect vertebrate hosts (Casadevall *et al.* 2003). Although the source of many black fungal infections are plant-debris and occasionally living plants, their association as common degraders of plant biomass could be a misconception. In order to understand the basic biology of *Herpotrichiellaceae*, their phenomenal adaptation to extreme environments, and mechanisms associated with infection of vertebrate hosts we sequenced the genomes of 23 BY type species and compared them to related pathogens in *Eurotiales* and *Onygenales* (Fig. 1). The general genomic characteristics (i.e., genome size, synteny, gene content, repetitive elements), phylogenomic tree, transposable elements, sex-related genes, gene family expansions and contractions, evolution of protein- and carbohydrate-degrading genes, and secondary metabolism were deeply investigated in order to understand processes of adaptation of *Chaetothyriales* to multiple environments.

## MATERIALS AND METHODS

### rDNA LSU phylogeny

Phylogenetic assessment was carried out for all 172 black yeast fungal strains deposited at the CBS-KNAW Fungal Biodiversity Centre (CBS), Utrecht, The Netherlands (Table S1). LSU rDNA sequences were retrieved from GenBank and aligned by means of MAFFT v. 7.273 (Kato & Standley 2013). Isolates and GenBank accession numbers are listed in Table S1. Phylogenetic analyses using Maximum Likelihood (ML) and a Neighbour-Joining (NJ) were performed by MEGA v. 6 (Tamura *et al.* 2013) with Kimura 2-parameter model and statistical bootstrapping procedure involving 500 replicates.

### Strains, DNA and RNA extraction

A set of 23 black fungal ex-type strains was obtained from CBS-KNAW Fungal Biodiversity Centre and cultivated in Malt Extract Broth (MEB) for 7 d with shaking at 150 rpm at 25 °C (Table S2). DNA extraction was performed via a cetyltrimethylammonium bromide (CTAB)-based method and phenol-chloroform/isoamyl alcohol purification (Möller *et al.* 1992). Total DNA was purified with Qiagen Genomic Buffer Set and the Qiagen Genomic-tip 100/G. Total RNA was isolated with RNEASY Mini kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. The additional strain *Cl. carrionii* KSF (dH 23894) DNA was obtained from 7-d-old mycelia cultured on Sabouraud Glucose Agar (BBL™) at 25 °C. DNA was extracted using the DNeasy Plant Mini Kit (Qiagen) according to manufacture protocols.

### Genome assembly and gene prediction and annotation

The genome of *Cl. carrionii* KSF (dH 23894) was pyrosequenced using the platform 454 GS FLX (Roche). Shotgun and 3Kb paired-end libraries were sequenced using the GS FLX Titanium XLR70 chemistry (~450 bp reads). This genome was assembled using the NEWBLER software combining both paired-end and shotgun libraries (Margulies *et al.* 2005). The *P. attae* genome was sequenced and annotated as previously described (Moreno *et al.* 2015). For the other 21 species, the genomes were sequenced using Illumina technology. The genome of *E. dermatitidis* was previously described (Chen *et al.* 2014). For the 20 remaining species, genomic DNA was used to construct two libraries with approximate insert size of 180 bp and 3 kb; for *F. multimorphosa* only a 180 bases-insert library was constructed. Each library was sequenced on an Illumina HiSeq 2000 to generate 101 base paired-end reads. All sequence was assembled using Allpaths (version R48559 for most assemblies); assemblies were inspected for regions of aberrant coverage, % GC, or sequence similarity using GAEMR ([www.broadinstitute.org/software/gaemr](http://www.broadinstitute.org/software/gaemr)) and contaminating sequence including was removed.

Genes were predicted and annotated by combining calls from multiple methods. A training set was generated using Genewise (Birney *et al.* 2004) and Genemark (Lomsadze *et al.* 2005), and then GlimmerHMM (Majoros *et al.* 2004), Snap (Korf 2004) and Augustus (Stanke & Waack 2003) was used to generate *ab initio* gene models. For seven species, strand-specific libraries were constructed from total RNA using the Illumina TruSeq RNA Library prep. For each species, paired 76 base reads were generated on an Illumina HiSeq 2000. RNA-Seq was assembled using Trinity (Grabherr *et al.* 2011) (version r20140413p1) in genome-guided mode (with parameters `genome_guided_max_intron 10000 - SS_lib_type RF - trimmomatic - min_kmer_cov 2`). All assembled transcripts were aligned to the genome using PASA (Haas *et al.* 2003) and used to update gene models, predict alternatively spliced transcripts, and add UTR predictions. In addition, any ORF present in the PASA transcripts that did not overlap a gene prediction was used to recover missed genes. The best gene model at a given locus was selected from these data sets using EvidenceModeler (EVM) (Haas *et al.* 2008); conserved genes missing in gene sets were identified using OrthoMCL (Li *et al.* 2003) and combined with the EVM set (Haas *et al.* 2008). All raw sequence data, assemblies,

and annotations were submitted to NCBI (Finn *et al.* 2010) (Table S2).

## Annotation of transposons

In order to ensure a robust detection of repeat element, we used inverted repeat finder (IRF) (Warburton *et al.* 2004) and Repeat Modeler (<http://www.repeatmasker.org/RepeatModeler.html>). IRF was set to identify pairs of repeats within a given of 20 kb. False positives candidates were filtered using the reference Pfam profile (using `pfam_scan.pl` with E-value threshold 0.00001) and RPS-BLAST against CDD profiles (with E-value threshold 0.001) (Finn *et al.* 2010, Marchler-Bauer *et al.* 2011). Multiple overlapping hits, were removed by `cd-hit` (Fu *et al.* 2012) clustering with sequence similarity threshold set to 100 and query coverage set to 99 % of the shorter sequence. The resulting customized reference was merged with RepBase and used as input for Repeat Masker searches (Jurka *et al.* 2005). All resulting sequences were translated in six frames and searched against a fixed list of reference Pfam HMM (Hidden Markov Model) profiles (using `pfam_scan.pl` with E-value threshold 0.01) and RPS-BLAST against CDD profiles (with E-value threshold 0.001). Transposon classification was curated manually based on the encoded protein domains.

## Annotation of CYP genes

Identification of Cytochrome p450 monooxygenases (CYPs) were carried out by HMMER v. 3.1 (Finn *et al.* 2011) which was used to perform sequence-profile HMM searches with the PFAM (Finn *et al.* 2010) profile PF00067 (downloaded from the PFAM protein families database, <http://pfam.xfam.org/>, last accessed September 16, 2014) against all 23 black yeast proteomes. Proteins that achieved the cut-off  $1e-03$  were submitted to BLASTP searches against the fungal p450 CYPs database (Nelson 2009) (<http://blast.uthsc.edu>). The predicted CYPs p450 were assigned to family and subfamily types based on their BLASTP sequence identity. As recommended by the International P450 Nomenclature Committee, the cut-off of sequence identity was set at 40 % for family and 55 % for subfamily levels. Partial CYP p450 sequences (BLASTP identity >40 % and coverage <40 %) were classified as potential pseudogenes.

## Annotation of transporter genes

Transporter gene classification was achieved with best match BLASTP (E-value threshold  $1e-05$ , and at least 50 % alignment-length coverage) to transporter sequences available at Transporter Classification Database (TCDB) (Saier *et al.* 2014).

## Single-copy orthologue extraction and species tree inference

Clustering of single-copy orthologues across multiple fungal species was performed using ORTHOMCL (Li *et al.* 2003) version 1.4 with a Markov inflation index of 1.5 and a maximum e-value of  $1 \times 10^{-5}$ . Individual amino-acid sequences were aligned with MUSCLE (Edgar 2004) and poorly aligned regions were automatically removed using TRIMAL (Capella-Gutierrez *et al.* 2009) under the “-automated1” setting. The sequences

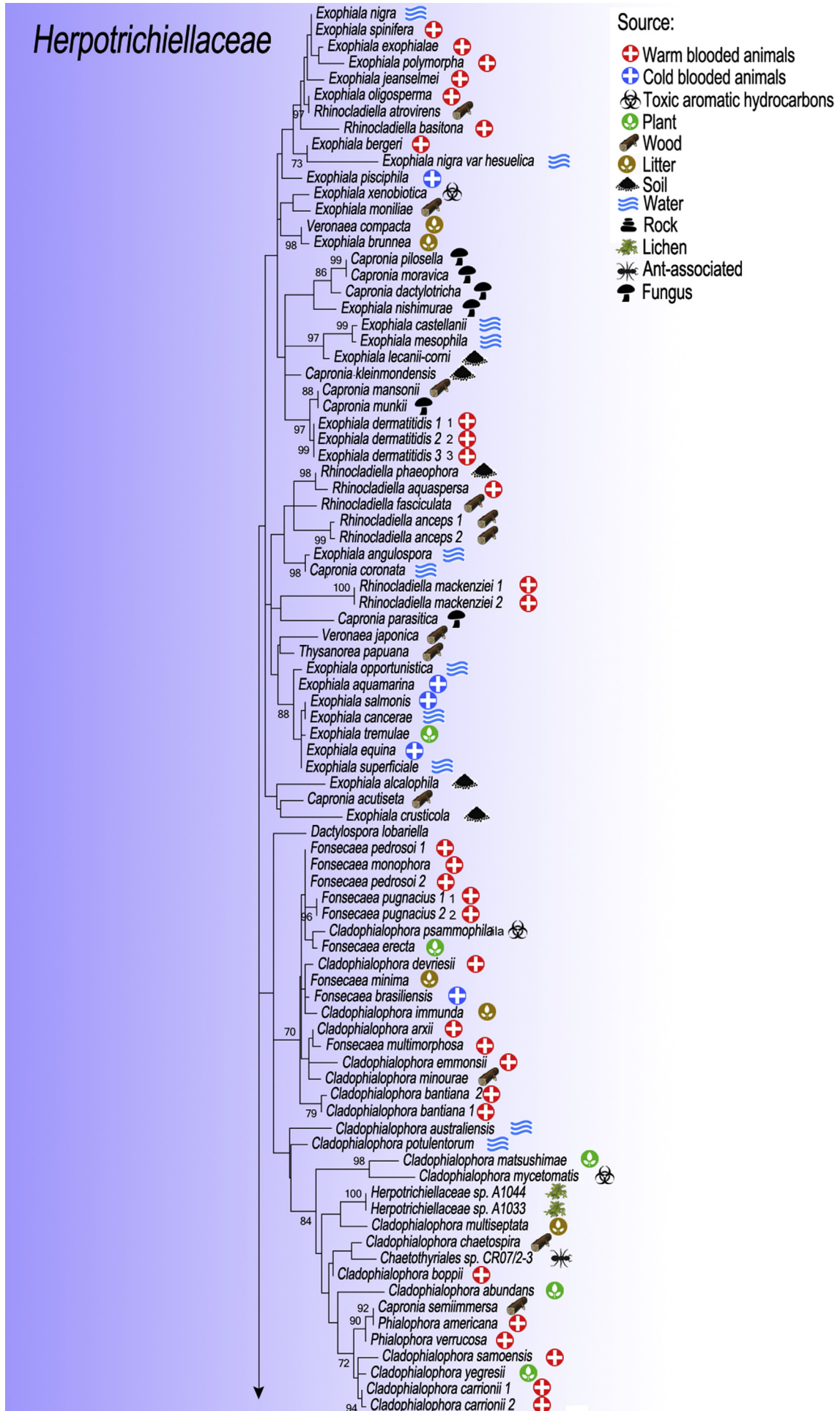
were concatenated with FASCONCAT (Kuck & Meusemann 2010) v. 1.0 and species trees were inferred by maximum likelihood RAXML (Stamatakis 2006) using PROTGAMMA-BLOSUM62 and 1000 bootstraps was used to infer branch support. Beyond the 23 herein analysed black yeast-like fungi, the following outgroups from the orders *Eurotiales* and *Onygenales* were applied: *Trichophyton rubrum*, *Coccidioides immitis*, *Paracoccidioides brasiliensis*, *Aspergillus nidulans* and *A. fumigatus* (Fig. 1).

## Genome-scale chaetothyrialean phylogeny and divergence times

The phylogenomic position of *Chaetothyriales* was inferred based on 264 single-copy orthologous protein clusters identified among 53 fungal species as mentioned above. Concatenated amino-acid sequences were aligned using MUSCLE (Edgar 2004). In order to select the most-reliable positions in the alignment, TRIMAL (Capella-Gutierrez *et al.* 2009) was used to eliminate poorly aligned regions (-automated1 option) resulting in 124 693 amino acid positions in the final alignment. Phylogenetic tree and branch lengths were inferred by Maximum Likelihood via a stochastic algorithm implemented in IQ-TREE software (Nguyen *et al.* 2015). Best-fit amino acid model selection was assessed using an automatic model selection (MODELFINDER) and also considering the FREERATE model (-m TESTNEW option), which assesses the fit of multiple of multiple mixture GTR within the same model, in many cases having a better fit when compared to models that use a single parametric distribution (Soubrier *et al.* 2012). Phylogenetic branch support was inferred by the ultrafast bootstrap approximation approach (UFBOOT), a measure that is better correlated to the actual probability of existence of a branch than the usual bootstrap (Minh *et al.* 2013). Divergence times were inferred using the RELTIME method (Tamura *et al.* 2012) implemented in the MEGA 7 software (Kumar *et al.* 2016) using the LG model (Le & Gascuel 2008). *Batrachochytrium dendrobatidis* (*Chytridiomycota*) was used as outgroup and three calibration constraints were considered for divergence time estimations: (1) *Basidiomycota/Ascomycota* split: 390–1 490 MYA; (2) *Pezizomycetes* crown: 230–970 MYA; and (3) *Sordariomycetes* stem: 210–890 MYA. Those calibrations were based on conservative intervals considering both primary (fossil) and secondary calibrations discussed in Lucking *et al.* (2009). A discrete Gamma distribution was used to model evolutionary rate differences among sites (5 categories; +G, parameter = 0.6655).

## Functional domain prediction gains and losses

To identify functional domain gains and losses, INTERPRO (Mitchell *et al.* 2015) domains were predicted using INTERPROSCAN (Jones *et al.* 2014b) in all 23 black yeast-like species and in the outgroups with previously released genomes: *Trichophyton rubrum*, *Coccidioides immitis*, *Paracoccidioides brasiliensis* (order *Onygenales*), *Aspergillus nidulans* and *Aspergillus fumigatus* (order *Eurotiales*). Gene family evolution was estimated with CAFÉ (De Bie *et al.* 2006) v. 3.0 using a significance family-wide p-value threshold of <0.05 and VITERBI p-values of <0.001. To search for birth ( $\lambda$ ) values we ran the program with the “-s” option. Two files were used as input in CAFÉ analyses: a table containing the organism's number of



**Fig. 2.** Phylogenetic analysis of members of *Chaetothyriales* (Class *Eurotiomycetes*). The Maximum likelihood tree, based on 172 LSU sequences, was determined using MEGA v. 6 with Kimura 2-parameter model with default settings and statistical bootstrapping procedure involving 500 replicates. Bootstrap values above 70 % are shown at the nodes. Family boundaries are indicated with coloured blocks. The tree was rooted to *Verrucula granulosa* AFTOL-ID 2304.



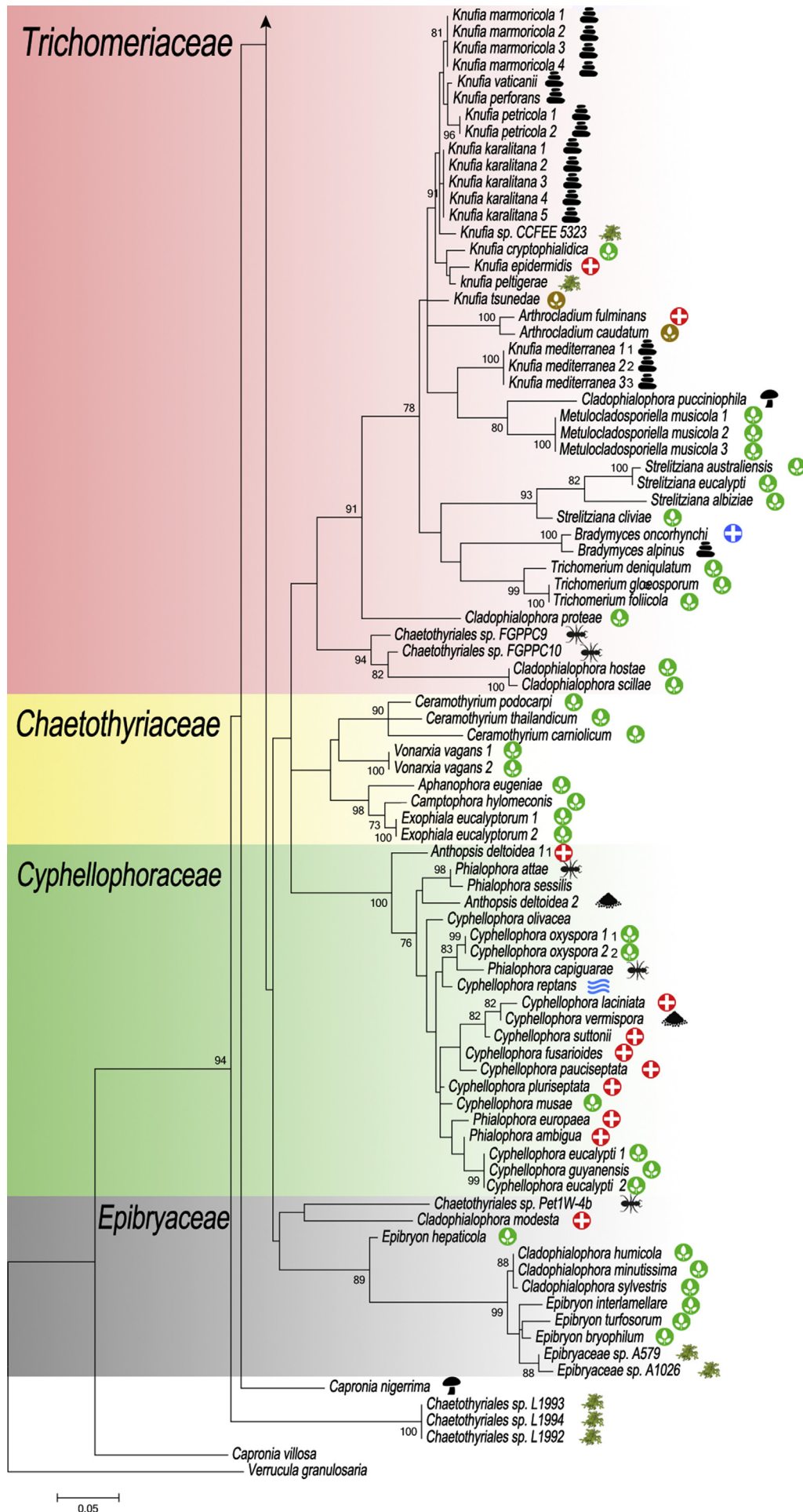


Fig. 2. (Continued).

copies of each INTERPRO domain and an ultrametric tree constructed based on the species tree using a custom R script.

## RESULTS

### Phylogeny

The aligned LSU dataset of 172 black fungal strains was used to determine a phylogenetic tree of the entire order *Chaetothyriales*; the LSU gene was sufficiently conserved to allow confident comparison over the entire dataset. Both Maximum Likelihood and Neighbour-joining analyses produced corresponding trees in which the same clades were supported (Fig. 2). Moreover, the tree topology was congruent with previously reported phylogenies of *Chaetothyriales* (Réblová et al. 2013, Gueidan et al. 2014), supporting the presence of five distinctive families: *Chaetothyriaceae*, *Cyphellophoraceae*, *Epibryaceae*, *Herpotrichiellaceae*, and *Trichomeriaceae*. In the most represented family *Herpotrichiellaceae*, the species were resolved in six clades with different ecological preferences as reported by de Hoog et al. (2011). Overall, this family included several clinically relevant fungi as well as species isolated from a variety of environmental sources, especially sites contaminated with toxic monoaromatic hydrocarbons. Two sub-clades at family level resolution were identified within *Herpotrichiellaceae*: The upper clade harbours most of the *Exophiala* and *Rhinoctadiella* asexual morphs while the lower clade is overrepresented by the genus *Fonsecaea* and *Cladophialophora*. Similarly, the family *Cyphellophoraceae*, which forms a supported monophyletic group, harbours both saprobic and medically important species responsible for mild opportunistic infections in human and animals. In contrast, the majority of the isolates belonging to the family *Trichomeriaceae* have an inert surface-inhabiting life style, while several are epiphytic. *Arthrocladium fulminans* seems to be the unique isolate causing a fatal disseminated human disease clustering in this family. The family *Epibryaceae* is located in the basal position, forming a distinct clade from other *Chaetothyriales*, but at relatively long branches (Fig. 2). Most of the isolates of this family are living plant associated.

### Genome assembly and annotation

The assemblies were highly contiguous, with 12 consisting of 19 or fewer scaffolds, suggesting that many correspond to complete chromosomes. Genome assembly size varied from 25.8 Mbp for *Capronia coronata* CBS 617.96 to 43.3 Mbp for *Cladophialophora immunda* (CBS 834.96; Table S2, Fig. S1). Repetitive element identification was considered particularly low (ranging from 0.03 % to 5.2 %; Table S3) compared to other fungal species (Galagan et al. 2003; Martinez et al. 2012; Teixeira et al. 2014). This suggests that repeat content might not play an important role in determining genome size in black yeast-like fungi.

Genes were predicted combining *de novo* reconstruction of transcriptomes from RNA-seq data for some species and with *ab initio* and sequence homology based gene models. Corresponding with genome assembly sizes, high gene counts were found in *Capronia coronata* (9231 predicted genes) and *Cladophialophora immunda* (14033 predicted genes) (Table S2, Fig. S1). However, we did not observe a phylogenetic correlation between genome size and total gene number in the species examined (Fig. S1). Species of the jeanselmei- and bantiana-

clades mostly experienced an increase in genome size as well as in predicted Open Reading Frames (ORFs) compared to ancestral populations (Fig. S1). Exceptions were *E. aquamarina* CBS 119918 with 41.7 Mb, while *E. sideris* CBS 121828 had a size of 29.5 Mb, as small as members of the carrionii-clade and similar to those of the dermatitidis-clade. In contrast, members of the dermatitidis-clade experienced a notable decrease in genome size and gene content (Fig. S1). Within *Ascomycota*, BY genomes had the highest percentages of G+C content reported to date, i.e., varying from 49 % in *E. aquamarina* to 54.3 % in *Cl. carrionii*, which could contribute to their thermotolerance (Nishio et al. 2003). This corresponds with low single dinucleotide repetitions found in BY genomes.

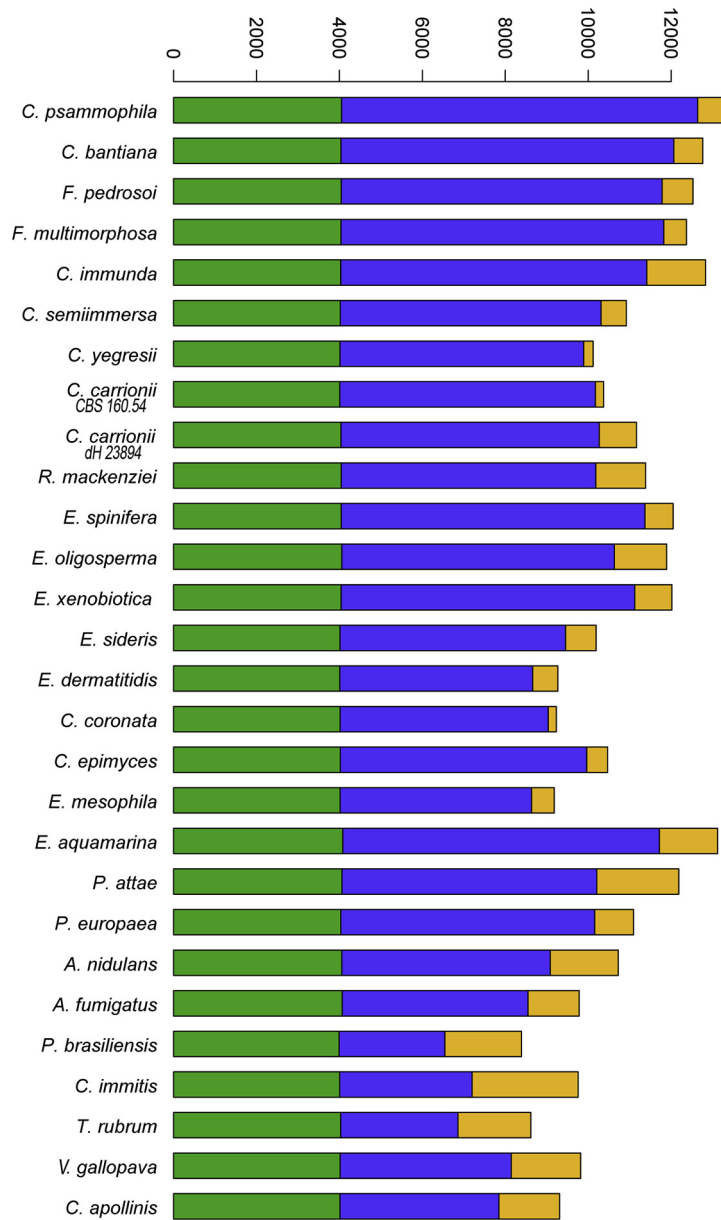
Using ORTHOMCL clustered proteins, we determined the protein core families that were conserved in all black yeasts under investigation and other related fungi. This resulted in 4031 genes per genome in the core set conserved in all species (Fig. 3). The KOG annotation for these amino-acid sequences revealed that proteins responsible for housekeeping functions, particularly for translation and RNA processing, were more represented in the core set (Fig. S2). We also assessed the proteins specific to each clade. We considered as clade-specific proteins those proteins that were present in orthologous groups found in a unique clade but were absent from all others. Non-core proteins may provide insight into specific processes and may be indicative of certain ecological preferences. For example, enzymes related to metabolism of carbohydrate (G) were found to be over-represented in the jeanselmei-clade (p-value = 1e-04; Fisher's exact test). Similarly, enzymes associated to secondary metabolites (Q) were found to be enriched in the bantiana- (p-value = 3e-13; Fisher's exact test), salmonis- (p-value < 1e-08; Fisher's exact test) and jeanselmei-clades (p-value = 7e-08; Fisher's exact test).

On the other hand, the dermatitidis-clade proteins were under-represented for these functions (G: p-value 2-e01; Q: p-value 9-e02; Fisher's exact test) suggesting a reduced secondary metabolite producing capacity (Fig. S2).

### Transposable elements

The members of the families *Herpotrichiellaceae* and *Cyphellophoraceae* have low content of transposable elements (Fig. S3, Table S3). Prevention of accumulation of transposable elements in BY genomes might be driven by the hyper-mutation process of repeat-induced point mutation (RIP). The scarcity of transposable elements results in decreased abundance of transposon encoded proteins such as reverse transcriptase (RT domain -IPR00477). Despite the low incidence of repetitive elements in BY genomes, we detect several TEs in the bantiana- and jeanselmei-clades, especially of the DNA Transposons LINE and the LTRs retrotransposons when compared to remaining clades (Fig S3). *Rhinoctadiella mackenziei*, not assigned to any clade, also contained a higher number of elements with some specific expansions, such as the Helitron class (Table S3). The *E. aquamarina* genome presented the highest number of TEs (5.2 %), possibly reflecting its relatively significant genome expansion compared to other BY genomes (Fig. S3). It has been described previously that eukaryotic genomes of moderate sizes tend to have a linear correlation between complexity and genome size (Metcalfe & Casane 2013). Black yeast moderate genome sizes correlate well with the scarcity of repeats.





**Fig. 3.** Distribution of orthology classes in black yeasts and closely related fungi: core genes found in all genomes are shown in green, shared genes present in more than one but not all genomes in blue and genes that were unique to only one of the 28 analysed genomes in yellow.

Within the *Onygenales*, which are generally related to animal hosts either as saprophytes or pathogens, there are organisms with small compact genomes and others with expanded complex genomes. The transposon-rich *Ajellomycetaceae* (*Blastomyces*, *Histoplasma*, and *Paracoccidioides*) and *Onygenaceae* (*Coccidioides*) compared to dermatophyte *Arthrodermataceae* (*Trichosporon*, *Arthroderma*, *Microsporum*), which have streamlined genomes with single repeats. The opportunistic *Onygenales* seem to have a more diverse TE landscape whereas specialised dermatophytes reduced their genomes. *Blastomyces* transposons have expanded up to 63 % of the genomes in a low GC genomic environment (Muñoz *et al.* 2015). Lower GC is expected to favour mobile element integration (Wicker *et al.* 2007). Additionally during genome duplication often mobile elements proliferate (Ma *et al.* 2009).

### Vma1-a inteins reveal a new evolutionary trend

We detected the presence of self-cleaving parasite proteins of the MEROPS N09 family, nested within Asparagine Peptide

Lyases among some of the BY genomes. The N09 domain is commonly found within intein-containing V-type proton ATPase catalytic subunit A in several species of yeasts and genera of *Archaea*, i.e. *Thermoplasma* and *Pyrococcus* (Perler 2002) (*vma-1a* and *vma-1b* inteins, respectively) (Perler *et al.* 1994, Liu 2000). This mobile element is spliced out from host protein sequences (or exteins) after its translation through an autocatalytic process. This parasite domain, which was suggested to have been acquired by a process of horizontal gene transfer (HGT), has a high sequence similarity with *Archaea* / *Bacteria* because of its convergent evolution along the fungal tree of life (Goddard & Burt 1999, Poulter *et al.* 2007, Swithers *et al.* 2013). We detected the presence of *vma-1a* class intein in the *R. mackenziei* (Z518\_00231) and *F. pedrosoi* (Z517\_06303) genomes, suggesting a broader distribution within *Ascomycetes* (Fig. S4). We extended our analysis throughout other *Pezizomycotina* using INBASE (Perler 2002), and the *vma-1a* intein was also found in members of *Sordariomycotina*, i.e. *Sporothrix schenckii*, *S. brasiliensis*, and *Stachybotrys chartarum* genomes, bringing the total number of non-yeast species with

intains herein to five. In contrast, this self-cleaving protein is widely distributed among *Saccharomycotina*. Those five *Pezizomycotina* species are nested in a monophyletic clade apart from remaining species of *Saccharomycotina*, which may represent a new class of this element. The Host V-type proton ATPase protein, splicing and DOD homing endonuclease motifs were all identified and conserved with *Candida glabrata* vma 1-a (Fig. S4). However, the DOD homing endonuclease motif blocks D and E do not seem to be conserved with vma 1-a in *Saccharomycetales*. On the other hand, motif blocks D and E appear to be highly conserved with those presented in the PRP8 intein among *Pezizomycotina* (data not shown). Here we report on additional vma-1a intein, showing that they are found in more diverse fungal species within *Pezizomycotina*. V-ATPases are in general responsible for acidification of a variety of intracellular compartments, especially the vacuolar membrane vesicles of *Eukaryotes*. These mobile genetic elements are self-spliced due stress adaptation (Senejani et al. 2001, Topilina et al. 2015, Novikova et al. 2016) and may play an important role in the regulation of extremotolerance of many BYs.

## Origin of black yeast species and divergence times

A multigene phylogenetic species tree for a broad panel of 53 fungal species was generated using 264 single-copy orthologues. Representatives of chaetothrialean families other than *Herpotrichiellaceae* and *Cyphellophoraceae* are still missing. With this limitation, two groups are sufficiently remote to conclude that as yet the order *Chaetothyriales* harbours two monophyletic families, *Herpotrichiellaceae* and *Cyphellophoraceae* which ancestry is found around 130 MYA during the cretaceous period (Fig. 4). Based on morphological and molecular methods with conserved genes, *Coniosporium apollinis* has previously been placed early in the *Chaetothyriales*. However, using a genome-scale phylogenetic tree, we demonstrated that this fungus is more closely related to the order *Botryosphaeriales* in the *Dothideomycetes* (Fig. 4). The *Chaetothyriales* are close to *Verrucariales* and *Phaeomoniellales*. These orders are displayed as paraphyletic branches and compose, along with *Onygenales* and *Eurotiales*, the subphylum *Eurotiomycetes*. Judging from the calibrated phylogenetic tree, the early and major BY lineages of *Herpotrichiellaceae* and *Cyphellophoraceae* are contemporaneous and emerged around 75–50 MYA during/after the Cretaceous-Paleogene (K-Pg) extinction event (Fig. 4). It is worth noting that the radiation of *Chaetothyriales* took place more recently than that of *Onygenales*.

## Gene family evolution in black yeast

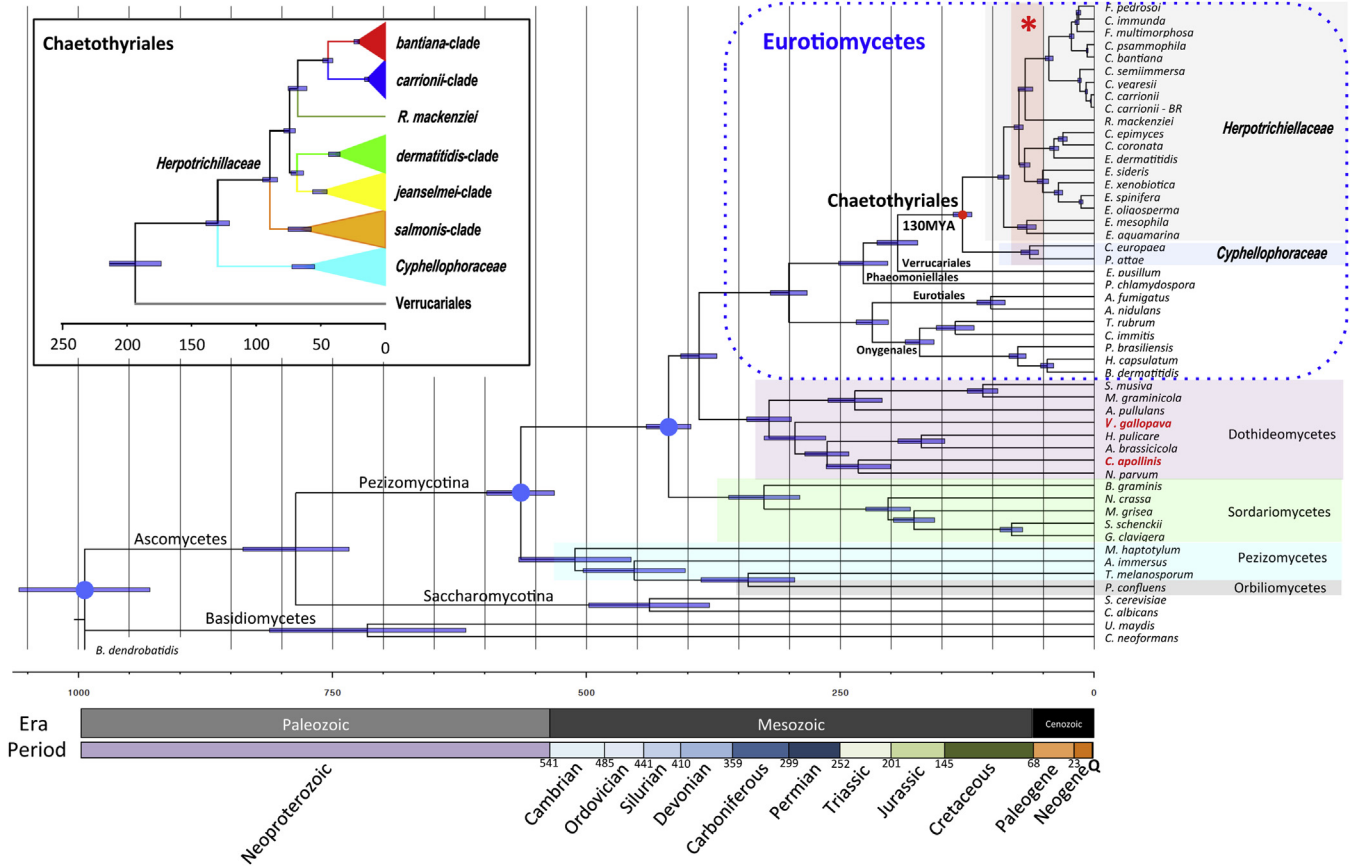
INTERPROSCAN was used to identify protein domains in all 23 black yeasts and in related fungi in Eurotiomycetes, including *Trichophyton rubrum*, *Coccidioides immitis*, *Paracoccidioides brasiliensis* (order *Onygenales*), and *Aspergillus nidulans* and *Aspergillus fumigatus* (order *Eurotiales*). The species tree was inferred by maximum likelihood RAxML (Stamatakis 2006) based on the concatenated amino acid sequences of 4 031 single-copy orthologous genes shared by all 23 species. Domain gain events (expansions) and domain loss events (contractions) were estimated with CAFÉ (De Bie et al. 2006) in each black yeast and

ancestral node of the species tree. The dynamic evolution of protein domain families in black yeast is shown in Fig. 5A.

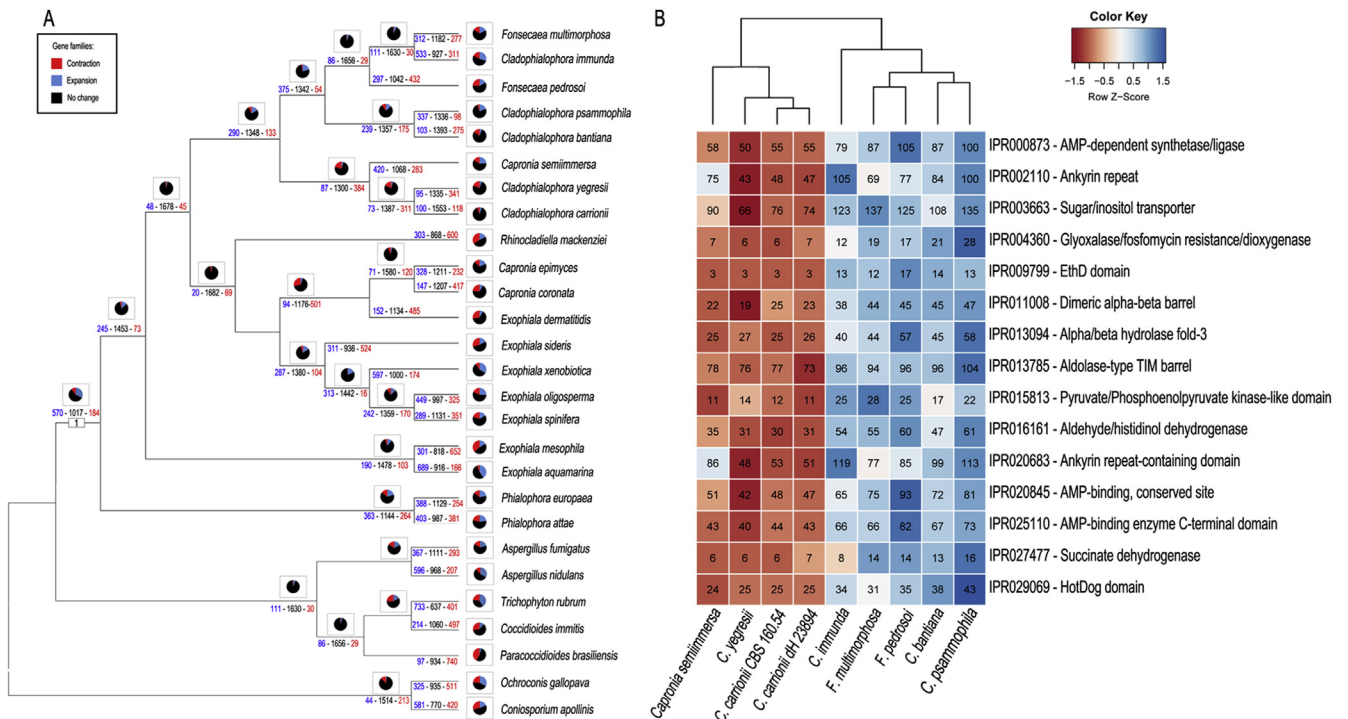
Overall, we observed 46 genomic novelties associated with protein domain expansions and contractions, which arose early in the evolution of these fungi and that were present in the common ancestor of *Chaetothyriales* examined (Table S4). We speculate these expanded domain families have provided selective advantage and extremotolerance for adaptation to ecological niches that are subjected to environmental stress. Black yeasts are known for their extremotolerance and are able to grow and thrive in hostile habitats, such as those containing toxic compounds, high and low temperature, scarcity of nutrients, or conditions of dryness (Gostincar et al. 2010). We assessed a correlation between the seven domain family expansions and the ecological preferences in herpotrichiellaceous black yeast. These functional domains are likely to be involved in metabolic processes with oxidoreductase activity. Among them, four domains are related to Aldehyde dehydrogenases (ALDHs), which catalyse the oxidation of different aldehydes to their corresponding carboxylic acids (Perozich et al. 1999). Since several aldehydes are toxic at low levels, this vast repertory of ALDHs present in BYs is likely to play a role in diverse reactions supporting extremotolerance. Three domains are related to zinc-containing alcohol dehydrogenase (Adh), which catalyse the oxidation of alcohols to their corresponding acetaldehyde or ketone. The IPR013154 and IPR013149 correspond, respectively, to the N-terminal and C-terminal portions of this enzyme and IPR011032 represents an oligomeric molecular chaperone associated with the N-terminal region involved in the folding protein process (Walter 2002). Adh are thought to be proteins prone to evolutionary changes following gene duplication due to their ability to assume new functions as consequence of their broad spectrum of substrates (Piskur et al. 2006, Conant & Wolfe 2008). Furthermore, a physiological role of Adh has been reported in many biochemical pathways including stress tolerance, pathogenicity, detoxification, and substrate specificity (Piskur et al. 2006, Grahl et al. 2011). As expected for a fungal family with many members tolerating extreme conditions, the expansion of alcohol dehydrogenase domains in the black yeast from a common ancestor may have determined the diversification of these organisms in a range of ecological niches. Another important domain expansion verified in the common ancestor of all black yeasts analysed was the trichothecene efflux pump (IPR010573), which might have been important in black yeast to colonize sites contaminated with this class of compounds.

Analysis focussing on individual clades revealed that the bantiana- and carrionii-clades, which have pronounced trends in vertebrate infection, evolved in opposite directions (Fig. 5B). Several domains expanded in the bantiana-clade appeared to be reduced in the carrionii-clade. This would suggest that specific expansions in the bantiana-clade are attributed to ecological preferences in these organisms. However, the clades contain *Fonsecaea pedrosoi* and *Cladophialophora carrionii*, respectively, which cause the same disease, chromoblastomycosis, with the same invasive form, the muriform cell, and which thus do not share specific domains.

We did not observe expansions exclusive to the dermatitidis-clade. Previously domain expansions attributed to *Exophiala dermatitidis* (Chen et al. 2014), such as IPR002656 and IPR020843, were also found expanded in members of the jeanselmei- and salmonis-clades. Unlike truly pathogenic fungi possessing a specialized thermosensitive tissue phase



**Fig. 4.** Genome-scale of chaetothyrlean phylogeny and divergence times. Calibration points are highlighted in blue and were used to infer the divergence times for *Chaetothyriales* (upper panel). The red node displays the divergence dates of *Chaetothyriales* and the red asterisk bolded area highlights a common era for both *Cyphellophoraceae* and *Herpotrichiellaceae*. The bottom scale presents the main geological and periods and eras.



**Fig. 5.** Dynamic evolution of protein families. (A) Phylogenetic tree showing the relationship between species and altered protein families. Pie diagrams and numbers at the nodes represent the abundance of contractions (red) expansion (blue) and No change (black) of 1771 protein families during evolution of black yeasts. (B) Heatmap showing expansion and contractions of protein families found in species belonging to the bantiana- and carrionii-clades, respectively. Domains are grouped by category similarity. All domains shown are significantly changed, and were identified using CAFE with cut-off of family p-values <0.05 and Viterbi p-values <0.01.



(Sharpton *et al.* 2009), we did not observe massive functional domain loss compared to the ancestral black yeasts.

## Cytochrome p450 expansion and diversification

Cytochrome p450 genes (CYPs) play a fundamental role in primary, secondary, and xenobiotic metabolism (van den Brink *et al.* 1998). Due to their participation in a large number of detoxification reactions as well as in the metabolism of specific xenobiotics which may be co-assimilated as carbon source, CYPs are thought to be critical for the colonization of new ecological niches (Moktali *et al.* 2012). In fungi, point mutation and overexpression of CYP family-specific genes have been found to be responsible for drug resistance (Lamb *et al.* 1997, Lupetti *et al.* 2002, Ma *et al.* 2006). The evolution of fungal pathogenesis is thought to be associated with CYP family expansion and diversification through gene duplication. Our CYP prediction analysis revealed an extraordinary p450 repertoire in black yeast-like fungi ranging from 231 predicted CYPs in *Cladophialophora psammophila* to 60 predicted CYPs in *Capronia coronata* (Table 1). Notably, *Cl. psammophila* was found in a hydrocarbon-polluted environment (Badali *et al.* 2011), while *Ca. coronata* is a coloniser of decorticated wood in nature (Müller *et al.* 1987). A comparison of the predicted number of CYPs to those of other species in the Fungal Cytochrome P450 Database (FCPD) (Park *et al.* 2008) showed that some black yeasts are among the *Ascomycota* species with the highest number of CYPs (Fig. 6).

A total of 2740 CYP sequences were clustered in 131 families (Table S5) and 175 subfamilies according to their amino acid sequence identity against the Fungal p450 CYPs database (Nelson 2009). One hundred and nine partial CYP p450 sequences (BLASTP coverage >40 %) were classified as potential pseudogenes due to the occurrence of premature stop codons or presence of frameshifts (Table S6). These sequences are shorter than their homologues in other fungi. Potential pseudogenes were not included in downstream analysis. Comparative analyses revealed striking differences and expansions across the black yeast-like fungi in a range of CYP p450 families. We observed notorious CYP family expansions, mainly, but not exclusively, in species belonging to the bantiana-clade (CYP530, CYP682, CYP504, and CYP52) (Table S5). These CYP families potentially affect the metabolism of phenolic compounds and aromatic hydrocarbons (Olivera *et al.* 1994, Cox *et al.* 1996, Lin *et al.* 2011, Moktali *et al.* 2012, Zhang *et al.* 2012). Our findings are consistent, to some extent, with previous studies showing that some black yeasts appear to have adapted to grow in environments polluted with aromatic hydrocarbons (Woertz *et al.* 2001, Prenafeta-Boldú *et al.* 2002, 2006, Zhao *et al.* 2010a). Particularly important due to its abundance in some black yeast species, CYP530 is thought to participate in the degradation of several fatty acids and hydrocarbons (Moktali *et al.* 2012). This CYP was found ranging from 13 copies in *Cladophialophora psammophila* and *Fonsecaea pedrosoi* to complete loss in *Cl. yegresii* (Table S7). The phylogenetic tree of CYP530 revealed multiple recent duplications and expansions. In addition, we observed two monophyletic clades likely correspond to distinctive subfamilies of CYP530 (Fig. S5). This gene redundancy observed might have been used to guard the above described critical functions as was shown in other fungi (Skamnioti *et al.* 2008). To the best of our knowledge, the 13

copies is the highest rate of CYP530 reported in the fungal Kingdom (Table S7). Since *Cladophialophora yegresii* was only isolated from thorns of living cactus and was able to grow as an endophyte in cactus tissue (Zeppenfeldt *et al.* 1994, de Hoog *et al.* 2007), it might be speculated that the absence of genes involved in secondary metabolism, such as CYP530, may implicate a biotrophic lifestyle where the organism obtains essential nutrients from its host.

At the subfamily level, we verified that the housekeeping genes CYP51F (encoding lanosterol 14 $\alpha$ -demethylase) and CYP61A (encoding sterol delta22-desaturase), which are implicated in sterol biosynthesis (Yoshida & Aoyama 1984, Podust *et al.* 2001, Lepesheva *et al.* 2008, Park *et al.* 2011) comprise one of the most conserved subfamilies across black yeast-like fungi. Azole antifungal agents interacting with CYP51, lead to growth inhibition and the death of fungal cells due to an ineffective conversion of lanosterol to ergosterol (Yoshida 1988, Kelly *et al.* 1997). It has been demonstrated that additional copies of, as well as point mutations in, the CYP51 gene may lead to acquisition of resistance in fungi (Sanglard *et al.* 1998, Jones *et al.* 2014a). Our analyses revealed that most species have two CYP51F copies, whereas members of the dermatitidis-clade, *Rhinocladiella mackenziei* and the outgroups *Coniosporium apollinis* and *Veruconis gallopava*, have a unique CYP51F gene (Fig. S6). The important Y136F mutation (Mullins *et al.* 2011, Jones *et al.* 2014a) associated with CYP51 copy number variation and involved in azole resistance was not identified in these genes. This suggests that the tolerant allele, responsible for the azole resistance, is acquired only in the presence of azole fungicides. CYP61A was found in a single copy in all genomes studied.

## Aromatic compound metabolism

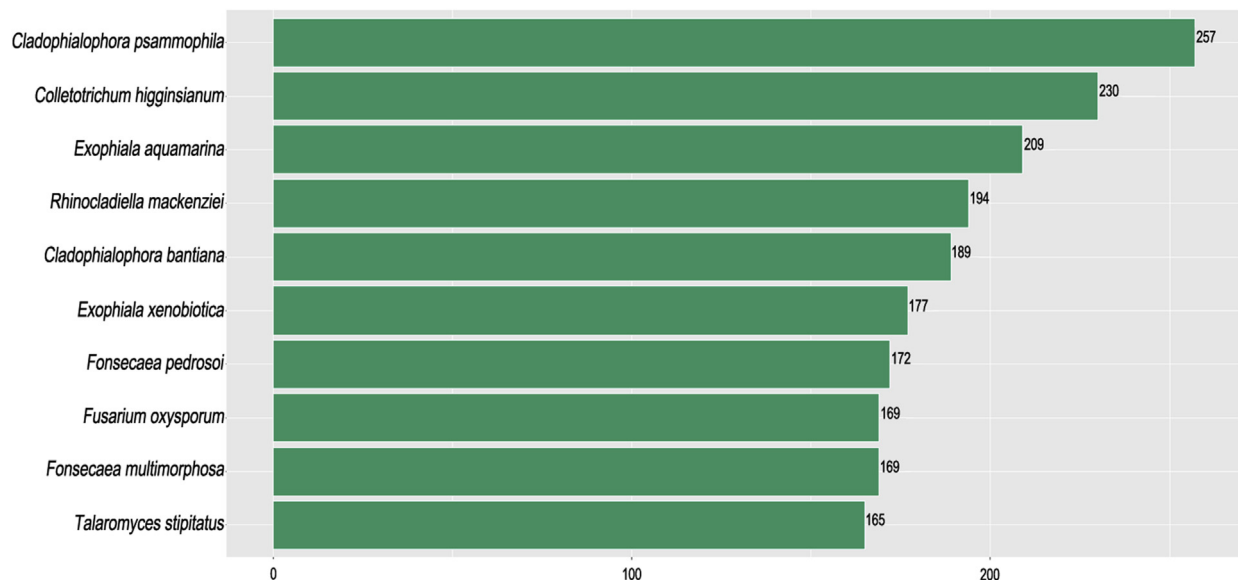
Comparative analyses revealed that the genes *PHA* and *HGD* are organized in a syntenic cluster with at least six additional conserved genes (Fig. S7). We verified that this gene cluster organisation was retained by natural selection in most *Herpo-trichiellaceae*. Besides the *PHA* and *HGD* genes, this cluster includes a variable number of genes coding for hypothetical proteins, an MFS transporter, a trehalose-6-phosphate hydrolase (T6P-hydrolase), and a fumarylacetoacetase (Fig. S7). T6P has been linked to diverse roles, such as energy source, protectant against stress of heat, freezing, starvation, dehydration, and desiccation (Wiemken 1990, Iturriaga *et al.* 2009), and is important in fungal pathogenicity (van Dijck *et al.* 2002, Petzold *et al.* 2006, Ngamskulrunroj *et al.* 2009). The presence of *PHA*, *HGD*, and fumarylacetoacetase in this cluster overlaps the styrene degradation pathway, which might support the involvement of these genes in the degradation of aromatic compounds (Fig. S7). The MFS transporter may be involved in energy production transporting simple sugars across the mitochondrial membrane. As the synteny of these genes is highly conserved in several black yeast-like fungi, we hypothesize that the cluster configuration was probably acquired by their common ancestor, and subsequent gene rearrangement resulted in the current gene order and orientation in the extant species.

## Secondary metabolism

Fungal secondary metabolites (SMs) are natural products important for the colonization of specific ecological niches.

**Table 1.** Overview of Cytochrome p450 in black yeasts.

Clade	Species	Strain	# CYP	# Family	# Subfamily	# CYP not assigned
jeanselmei-clade	<i>Exophiala xenobiotica</i>	CBS 118157	164	62	39	41
	<i>E. spinifera</i>	CBS 89968	122	56	28	30
	<i>E. oligosperma</i>	CBS 725.88	131	52	30	30
	<i>E. sideris</i>	CBS 121828	97	40	23	25
dermatitidis-clade	<i>E. dermatitidis</i>	CBS 525.76	62	24	27	9
	<i>Capronia epimyces</i>	CBS 606.96	99	40	32	15
	<i>C. coronata</i>	CBS 617.96	60	25	19	16
Rhinocladiella mackenziei-clade-clade	<i>Rhinocladiella mackenziei</i>	CBS 650.93	161	56	46	44
carrionii-clade	<i>Cladophialophora carrionii</i>	CBS 160.54	101	37	31	29
	<i>C. yegresii</i>	CBS 114405	88	34	26	26
	<i>C. semiimmersa</i>	CBS 27337	109	44	28	36
bantiana-clade	<i>Fonsecaea pedrosoi</i>	CBS 271.37	164	70	38	38
	<i>F. multimorphosa</i>	CBS 102226	165	67	44	40
	<i>C. immunda</i>	CBS 834.96	144	51	38	37
	<i>C. bantiana</i>	CBS 173.52	175	68	42	48
	<i>C. psammophila</i>	CBS 110553	231	85	52	57
salmonis-clade	<i>E. aquamarina</i>	CBS 119918	179	68	36	51
	<i>E. mesophila</i>	CBS 402.95	75	39	19	19
Cyphellophoraceae	<i>Phialophora europaea</i>	CBS 101466	117	49	28	33
	<i>P. attae</i>	CBS 131958	135	59	32	37
	<i>Coniosporium apollinis</i>	CBS 100218	77	37	25	8
Outgroup	<i>Verruconis gallopava</i>	CBS 437.64	84	39	23	14

**Fig. 6.** Distribution of CYP p450 genes in Ascomycota. TOP 10 fungal species with highest CYP p450 numbers in ascomycetous genomes, based on search against the Fungal Cytochrome P450 Database (FCPD).

Despite their wide variation, all secondary metabolites are produced by a few common biosynthetic pathways and classified according to the enzyme involved in their biosynthesis: polyketides (PKS), non-ribosomal peptides (NRPS), terpenes and indole alkaloids (Keller *et al.* 2005). We identified a large number of potential gene clusters for secondary metabolite present in black yeast (Table 2). The majority of these biosynthetic clusters correspond to PKS I/III (101 clusters), terpene (91 clusters) and NRPS (61 clusters), although it was verified that some species possess hybrid clusters (Table 2). In addition, the PKS III cluster was found only in Chaetothyriales since *Coniosporium apollinis* and *Verruconis gallopava* lack such gene cluster.

## Melanin synthesis

Fungi may produce melanin via distinct pathways: the eumelanin via the DHN and DOPA pathways, and the pyomelanins via L-tyrosine degradation pathway (Langfelder *et al.* 2003). Recently, homologues of these three pathways have been identified in the pathogenic black yeast *Exophiala dermatitidis* and in other filamentous fungi (Youngchim *et al.* 2004, Chen *et al.* 2014). Similarly, we found that members of *Herpotrichiellaceae* possess several melanin-associated genes, suggesting they would be able to produce melanins using all different pathways, as was also suggested for *Fonsecaea monophora* (Li *et al.* 2016). Unlike

other filamentous fungi, where the melanin genes are frequently encoded in biosynthetic gene clusters (Kimura & Tsuge 1993, Woo *et al.* 2010), we did not verify this organisation in black yeast-like fungi.

The dark polymer 1,8-dihydroxynaphthalene (DHN) melanin is produced via the DHN-melanin pathway and believed to be the best characterised fungal melanin biosynthetic pathway. Comparative analyses between previously released melanin-associated genes (Chen *et al.* 2014) and our dataset revealed that many, but not all black yeasts possess homologues for the production of melanin by the DHN pathway (Table S8). The equally dark-pigmented outgroups *Verruconis gallopava* and *Coniosporium apollinis* outside of or basal to the *Chaetothyriales* showed the highest number of missing genes, including the known multicopper oxidases (MCOs) required for melanin biosynthesis. This suggests that the DHN-melanin pathway has been better conserved among the *Herpotrichiellaceae* and *Cyphellophoraceae*. However, MCOs with low similarity to known and well-characterised enzymes have been reported in fungi (Tamayo-Ramos *et al.* 2012) and additional knowledge about their enzymatic properties is required to elucidate the DHN-melanin pathway in these species.

Similar to the DHN pathway, DOPA-melanin pathway homologues were identified across black yeast-like species. Of particular interest was the high number of tyrosinases and laccases found in *Herpotrichiellaceae*, but not in the outgroups *Verruconis gallopava* and *Coniosporium apollinis* (Table S8). The presence of multiple laccases only in *Herpotrichiellaceae* supports a diversification of this enzyme that occurred late in the evolution of black yeasts. A possible explanation for the presence of multiple laccase genes would be the various functions that have been attributed to this enzyme other than pigmentation, such as degradation of organic pollutants and lignin, and stress tolerance (Baldrian 2006, Rodriguez Couto & Toca Herrera 2006).

## Protein degradation

The overall counts of the main MEROPS (Rawlings 2010, Rawlings *et al.* 2014) peptidase families revealed the abundance of serine- (S) and metallo- (M) peptidase families in *Chaetothyriales* (Table S9). With the exception of the dermatitidis-clade, members of both *Herpotrichiellaceae* and *Cyphellophoraceae* presented specific and significant number of S09 (S09X sub-family), S33, and M38 families according to CAFÉ analysis (Fig. S8). S09X and S33 families appear to be significantly depleted in *Eurotiales* and *Onygenales*, while these proteins might play an important role in the ecology of *Chaetothyriales* (Muszewska *et al.* 2011). Cluster analysis of sequences from the S09X family revealed that most BY protein expansions were found in two different clusters (Fig. S8). Protein sequence classification showed that S09X corresponds to alpha/beta hydrolase fold-3 (IPR013094/PF07859) and proteins containing a carboxylesterase type B (IPR002018/PF00135) domain (Fig. S9). Gene tree reconstruction showed that main gene duplication events were at the basis of the M38 IPR002018/PF00135 domain expansion in BY, while several losses in *Eurotiales* and *Onygenales* explain the relative accumulation of IPR013094/PF07859 proteins in BY (Fig. S9). According to several authors, the expansion of metalloproteases M35 and M36 could be associated with mammal-host association (Sharpton *et al.* 2009, Martinez *et al.* 2012, Whiston & Taylor

2015). According to MEROPS classification, the M35 and M36 protein families are depleted in *Cyphellophoraceae* and absent among *Herpotrichiellaceae* (Fig. S9). On the other hand, we detected an expansion of M38 proteins in BY, which may be associated with  $\beta$ -aspartyl dipeptidase acting in the release of iso-aspartate residues from peptides as characterised for bacteria (Borek *et al.* 2004). Cluster analysis of the M38 family revealed that most of the BY protein expansions were found to be enriched in the highlighted three clusters (Fig. S10). Protein sequence classification of those three clusters revealed that M38 BY enrichment corresponds to an amidohydrolase/metal-dependent hydrolase (IPR011059, IPR006680/PF01979) domain containing proteins. In cluster III, beyond these domains, we detected the presence of a tryptophan synthase (IPR001926) domain expanded in *Herpotrichiellaceae* and *Cyphellophoraceae* (Fig. S10).

## Carbohydrate-active enzymes

Carbohydrate-active enzymes (CAZymes) are responsible for the degradation, modification, and biosynthesis of carbohydrates and glycoconjugates (Cantarel *et al.* 2009). The family classification system based on amino-acid sequence and structure similarities has been used to group the CAZymes into five classes of enzyme activities and one associated module: glycoside hydrolases (GHs), glycosyltransferases (GTs), polysaccharide lyases (PLs), carbohydrate esterases (CEs), auxiliary activities (AAs), and the associated module carbohydrate-binding modules (CBMs) (Cantarel *et al.* 2009). In this study we determined the CAZymes composition distributed across the black yeasts and compared this with other ascomycetes. In total, 154 CAZymes families were identified in the predicted protein sets. Generally, the highest and the lowest number of CAZymes were found in members of the jeanselmei- and dermatitidis-clades, respectively, although the variation observed between species within clades was considered low. Some CAZymes appeared to be clade-specific. For example, the GH62 family was only found in the europaea-clade (*Cyphellophoraceae*). On the other hand, several CAZymes were identified in all species examined (Table S10).

Some striking depletions were verified in CAZyme families involved in the degradation of plant material. Plant cell wall polysaccharides are subdivided into three categories: cellulose, hemicellulose (including xylan, xyloglucan, glucogalactomannan, galactan, and respective side chains), and pectin (composed of galacturonan, rhamnogalacturonan, and respective side chains) (Amselem *et al.* 2011). Most black yeast-like fungi lack the pectinases PL1, PL3, PL4, PL7, PL9, and PL10 (Table S10). Comparable depletions have been reported in species of *Onygenales* (Desjardins *et al.* 2011), and *Sporothrix* (Teixeira *et al.* 2014) while they are present in *Eurotiales*. The  $\beta$ -1,4-glycosyl hydrolase family 28 (GH28) is another family linked to the breakdown of pectins. This enzyme is absent in the dermatitidis-clade, jeanselmei-clade, and salmonis-clade, but present in the bantiana- and europaea-clades. Similarly, pectin methyl-esterase family CE8 and pectin acetyl-esterase family CE12 are absent in *Herpotrichiellaceae*. Comparable patterns are found in the xylan-associated enzyme family GH11 (endo- $\beta$ -1,4-xylanase), present only in the carrionii- and europaea clades, as well as in *Eurotiales*, and CE 1 (acetyl xylan esterase) missing in *Onygenales* and in all black yeasts examined.



**Table 2.** Summary of secondary-metabolite gene classes in black yeast.

Species	Terpene	III PKS	I PKS	NRPS	Terpene/Indole/ PKs I	NRPS + terpene	Phosphonate	Lantipeptide	I pks/terpene	I PKS/NRPS	NRPS + indole	Indole
<i>Capronia coronata</i>	4	1	2	4	0	0	0	0	0	0	0	0
<i>Exophiala dermatitidis</i>	4	1	2	5	0	0	0	0	0	0	0	0
<i>C. epimyces</i>	6	1	6	2	0	0	1	0	0	0	0	0
<i>Cladophialophora psammophila</i>	3	1	2	3	1	0	0	0	0	0	0	0
<i>E. aquamarina</i>	6	1	6	4	0	0	1	0	0	0	0	0
<i>C. carrionii</i>	5	1	4	3	0	0	0	0	0	0	0	0
<i>Phialophora attae</i>	4	1	3	1	0	0	0	0	0	0	0	0
<i>P. europaea</i>	4	0	2	2	0	0	0	0	0	0	0	0
<i>C. semiimmersa</i>	4	0	4	4	0	0	0	0	0	0	0	0
<i>E. xenobiotica</i>	4	1	6	2	0	0	1	0	0	0	0	0
<i>E. oligosperma</i>	4	1	3	4	0	0	0	0	0	0	0	0
<i>E. spinifera</i>	3	1	4	3	0	0	1	0	0	0	0	0
<i>Verruconis gallopava</i>	3	0	5	2	0	0	0	0	0	0	0	0
<i>E. mesophila</i>	4	1	1	2	0	0	0	0	0	0	0	0
<i>E. sideris</i>	4	2	2	2	0	0	2	1	0	0	0	0
<i>Coniosporium apollinis</i>	5	0	3	1	0	1	0	0	0	0	0	0
<i>Fonsecaea pedrosoi</i>	4	1	3	3	0	0	0	0	0	0	0	0
<i>Rhinocladiella mackenziei</i>	4	1	9	5	0	0	0	0	0	0	0	0
<i>C. bantiana</i>	3	1	2	2	1	0	0	0	0	0	0	0
<i>F. multimorphosa</i>	4	1	5	2	0	0	0	0	0	0	0	0
<i>C. immunda</i>	4	2	5	2	0	0	0	0	0	0	0	1
<i>C. yegresii</i>	5	1	2	3	0	0	0	0	0	0	0	0

Depletions were also verified in chitin-related enzymes, a critical component of the fungal cell wall (Latgé 2007). Black yeasts on average have 5 members of the chitinase family GH18 per species. In contrast, *Onygenales* and *Eurotiales* have 10 and 21 members per species on average, respectively (Table S10). Moreover, the Carbohydrate-Binding Module Family 18, which is often found attached to a number of chitinase catalytic domains, is depleted in black yeasts. These comparisons suggest that the breakdown of chitin is likely reduced compared to other filamentous fungi.

The family AA4 contains vanillyl-alcohol oxidase (VAO), which is missing in several other ascomycete fungi and is well represented in BY. VAOs catalyse the oxidation of a wide range of phenolic compounds and are abundant in black yeast genomes ranging from 10 copies in *Cladophialophora psammophila* to two copies in *Capronia coronata*. This finding is consistent with the ability of many black yeasts to degrade aromatic compounds (Isola et al. 2013).

## Cell-wall biosynthesis

The cell wall is an essential structure involved in protective functions against osmotic pressure and environmental stress (Bowman & Free 2006). The three major fungal cell wall constituents are chitin, mannan, and  $\beta$ -glucan. These components have been implicated in fungal virulence and represent targets for immune surveillance mechanisms (Bulawa et al. 1995). In agreement with previously published data (Chen et al. 2014), BY genomes encode an arsenal of genes involved in chitin synthesis. All 7 proposed classes of chitin synthase genes (CHS) previously described in fungi (Roncero 2002) were present in BY, except Class VI, which is missing in *Rhinoctadiella mackenziei*. This species is recognised as an important causative agent of cerebral phaeocephomycosis (Li & de Hoog 2009); mutants in CHS-VI are viable and less virulent (Bulawa et al. 1995). Proteins linked to the regulation and exportation of chitin synthase are conserved in BYs (Table S11). In contrast, comparative analysis of chitin degradation genes showed that black yeasts lack chitinase, which is conserved in *Saccharomyces cerevisiae* and *Schizosaccharomyces pombe* (Table S11). Additionally, BYs have fewer chitinase proteins belonging to the family GH18 compared to other filamentous fungi, as described above (Table S11). Chitin deacetylases, which are believed to be secreted exclusively during modification of chitin in the cell wall (Zhao et al. 2010b), are missing in the carrionii-clade and in *R. mackenziei*.

Investigation of the genes related to synthesis and processing of 1,3- $\alpha$ -glucan revealed they are altered significantly in the species analysed. The Ags family of 1,3- $\alpha$ -glucan synthase is absent only in *Exophiala dermatitidis*, but the Agn family of 1,3- $\alpha$ -glucanases is absent from the dermatitidis-, jeanselmei-, and salmonis-clades, and in *Rhinoctadiella mackenziei*, even though these families are present with multiple copies in *Aspergillus*. Furthermore, BYs possess a putative  $\alpha$ -amylase believed to be involved in the formation and/or modification of  $\alpha$ -glucans (Table S11).

## Transporters

Black yeasts like other filamentous *Ascomycota* possess a large proportion of genes associated with transporter activity. Our

InterProScan analysis revealed that the most abundant protein domain verified in several BYs contains several families of transporters, particularly the Major Facilitator Superfamily (MFS). To better understand the transporter mechanisms in BYs, we annotated transporter subfamilies across all 21 species based on their best match to the curated transporter database TCDB (Saier et al. 2006). Overall, black yeasts possess more MFS transporters than species of *Onygenales* and *Eurotiales*.

The most abundant transporter subfamily found in BYs is a potential nicotinate permease. It has 27 candidate genes in the outgroups *Verruconis gallopava* and *Coniosporium apollinis*, but up to 93 candidate genes in *Exophiala aquamarina*. This transporter belongs to the family of the Anion:Cation Symporter (ACS) (TC 2.A.1.14.11) of the major facilitator superfamily. Another MFS subfamily with a remarkably high number of predicted members is the trichothecene efflux pump (TC 2.A.1.3.47) of the Sugar Porter (SP) family. Since toxin efflux pumps are responsible for mediating both intrinsic and acquired resistance to toxic compounds, this result provides genomic insight into the known extremotolerance of black yeast-like fungi. Moreover, this finding is consistent with the expansion of the trichothecene efflux pump protein domain (IPR010573), as described above.

At family level, the Sugar Porter (SP) Family, the Anion:Cation Symporter (ACS) Family, and the Drug:H<sup>+</sup> Antiporter-1 (12 Spanner) (DHA1) Family are the most abundant in BYs. Interestingly, among the family DHA1 we verified that the subfamily 2.A.1.2.77, which confers phenylacetate resistance, is well-represented in the majority of the species examined. Other families verified in all BYs analysed comprise the Ferroportin (Fpn) Family (TC 2.A.100), the Proton-dependent Oligopeptide Transporter (POT/PTR) Family, and the Equilibrative Nucleoside Transporter (ENT) Family.

## Sexual and parasexual reproduction

Fungi exhibit a wide diversity of reproductive modes, including sexual, asexual, and parasexual cycles. Recombination is an important and needed process in any fungal life-cycle, and may alter virulence traits, increase fitness in new ecological niches, and eliminate deleterious mutations (Heitman 2006, Lee et al. 2010, Ni et al. 2011). We used models of sexual and parasexual cycles of *Aspergillus nidulans* and *Neurospora* for BY comparisons (Glass et al. 1990, Paoletti et al. 2007, Debuchy et al. 2010, Zhao et al. 2015). We first identified the mating-type idiomorph within each assembled genome. Homothallism of *Capronia coronata* and *Ca. epimyces* was confirmed by identifying both *MAT1-1* and *MAT1-2* *Aspergillus* homologues closely clustered in a single assembled scaffold (Fig. 1). With the exception of outgroup species *Verruconis gallopava* (*Venturiales*), the remaining analysed 20 genomes of asexual species harboured a single mating type idiomorph (either *MAT1-1* or *MAT1-2*) within each assembly, confirming that these fungi are heterothallic (Fig. 1).

We analysed the *MAT* locus organisation within the main groups of *Herpotrichiellaceae* and *Cyphellophoraceae* using genomic information from the *MAT* flanking genes. Among *Eurotiomycetes*, the flanking genomic regions of the *MAT* locus harbours *APN2*, *SLA2*, *APC5*, and *COX13* genes, which are conserved and organized in synteny (Coppin et al. 1997, Fraser et al. 2007, Paoletti et al. 2007). We first aligned and compared

the gene models from both dothideaceous species *V. gallopava* and *Coniosporium apollinis*. The *APN2*, *COX13*, *APC5*, and *CIA30* genes appear to be conserved in synteny and preserved in the right *MAT* flanking region (Fig. 7). However, the *SLA2* gene was not found in the *MAT* locus in these species, but is not genomic linked. *Coniosporium apollinis* is inferred to be a heterothallic species since it harbours a single copy of the *MAT1-1* gene in its genome. In the left flanking site of the *MAT* locus we found a homologous protein that was syntenically conserved between the two dothideomycete species (PV09\_01802/W97\_06799); in the genomic alignments it is adjacent to the *MAT1-1* genes of *Coniosporium apollinis* W97\_06800 and W97\_06801 (Fig. 7). Thus, the *MAT* locus of *V. gallopava* harbours two different ORFs: PV09\_01800 and PV09\_01801, which are not present in the *MAT* locus of the *Coniosporium apollinis* genome. According to the protein classifications and annotation, the ORF PV09\_01800 is unique to *V. gallopava* and no putative domains were found. On the other hand, the ORF PV09\_01801 encodes a homeodomain-like (HD) protein that carries a DNA-binding homeodomain motif (Fig. 7). This domain is found within the mating types 1 and 2 genes (*MAT/MTLa2*, *Pi* and *MAT/MTLa1*) in yeasts of *Saccharomycotina* and *Taphrinomycotina* in *Ascomycota*, as well in *Basidiomycota* (Martin *et al.* 2010). According to Lee *et al.* (2010), this domain was lost during speciation of *Pezizomycotina*, but our analysis of additional species revealed that the HD domain was recognized as a potential mating regulator in *Venturiales* (Fig. 7). On the other hand, we confirmed the lack of the HD in *Eurotiomycetes* (including BYs) once an  $\alpha$ -box and HMG were found in the *MAT* locus.

We detected the *MAT1-1* ( $\alpha$ -box) and *MAT1-1-5* genes within the mating type 1 locus and/or the *MAT1-2* (HMG) gene in *Chaetothyriales* (Fig. 1). The function of *MAT1-1-5* in mating is not well established, and appears poorly conserved with *MAT1-1-4* gene among *Onygenales* (Mandel *et al.* 2007, Burmester *et al.* 2011). As reported previously for some ascomycete species (Yun *et al.* 1999, Tsui *et al.* 2013), we obtained indirect evidence of a truncated version of the *MAT1-1* gene within the *MAT1-2* idiomorph, potentially driven by unequal recombination at the *MAT* locus in an ancestor of *Chaetothyriales* (Figs 8–12). The loss of a functional  $\alpha$ -box domain suggests that the truncated *MAT* gene might have diverged under low selective pressure after unequal recombination, or was silenced due to interference if both HMG and  $\alpha$ -box domains were present. The *COX13* gene appears not to be conserved among *Chaetothyriales* in the flanking regions of the *MAT* locus as usually observed in *Eurotiomycetes* (Coppin *et al.* 1997, Debuchy *et al.* 2010, Lee *et al.* 2010).

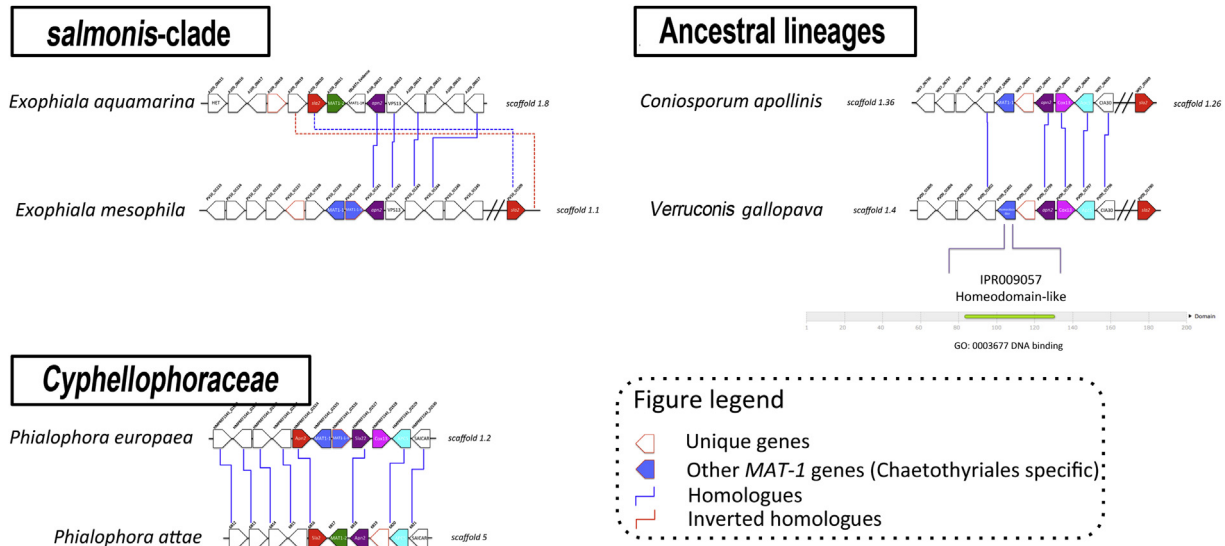
The *Cyphellophoraceae* species *Cyphellophora europaea* and *Phialophora attae* presented a rather conserved *MAT* locus structure compared to other *Eurotiomycetes*. The right *MAT* flanking domain harbouring the genes *SLA2*, *APC5*, and *SAI-CAR5* appeared to be conserved, and at the opposite side in the left flanking area of both species the *APN2* and other hypothetical proteins were organized in synteny (Fig. 7). Gene content within the *MAT* locus diverges in the *Cyphellophoraceae*: *Cyphellophora europaea* has *MAT1-1* and *MAT1-5* configuration, while *Phialophora attae* harbours the *MAT1-2* gene.

The structure of the *MAT* locus of *Herpotrichiellaceae* deviates from that of most other members of *Eurotiomycetes*. We observed an expansion or collapse of the canonical *MAT* structure compared to model species in, for example, *Aspergillus*. The

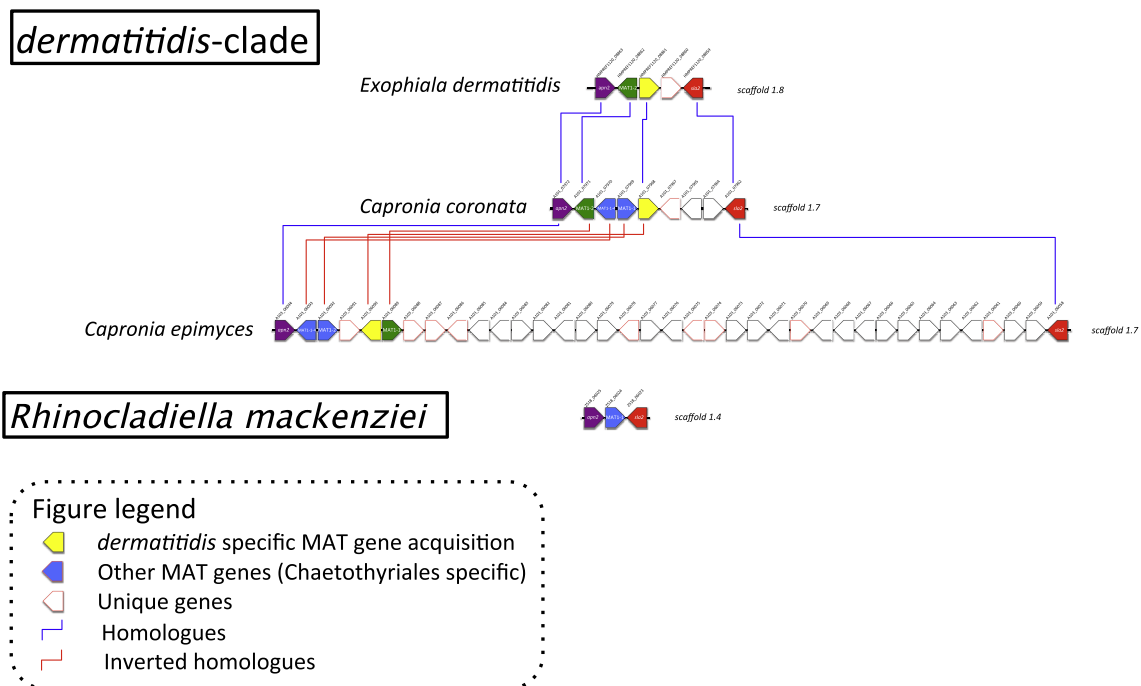
flanking site of the *MAT* genes of some BY was inflated with the accumulation of novel genes or was even unrelated to the *MAT* locus in other ascomycetes, which suggests a low selective pressure in this important genomic region within the family (Fig. 7). *Exophiala aquamarina* in the salmonis-clade had a heterothallic *MAT* locus structure with the *MAT1-1* gene, as well as flanking genes *SLA2*, *VPS13*, and *APN2* conserved in synteny with other *Eurotiomycetes*. The heterothallic *MAT* locus structure of *E. mesophila* lacked this structure. The right flanking area of *E. mesophila* showed homology and structural conservation with *SLA2* and *VPS13* genes of *E. aquamarina*, but lacked synteny in the left flanking region of the *MAT* locus (Fig. 7). No homology at the left flank of the *MAT* locus was detected between the two species. In addition, the *APN2* gene was located in another scaffold of *E. mesophila*, unrelated to the *MAT* locus. Within the dermatitidis-clade we detected an expansion of the *MAT* locus, which followed a speciation process of the three members of this clade. *Exophiala dermatitidis* is placed as the basal taxon of the dermatitidis-clade and presents a well-conserved, heterothallic *MAT* structure with other *Eurotiomycetes* (Fig. 8). On the other hand, we detected a chromosomal expansion at the right flanking site of both *Capronia* homothallic *MAT* loci, which was followed by gene inflation at this locus. This locus has some peculiar features. First, we identified a novel *MAT* gene that is found within the *MAT* locus only in those three species (HMPREF1120\_08861/A103\_06090/A101\_07968). Second, within the canonical *SLA2-APN2* *MAT* locus structure, unique genes were detected within each of the three species, with the highest frequency in *Ca. epimyces*, since this has a larger *SLA2-APN2* genomic range. We also detected an expansion of the *MAT* locus that is followed by a speciation process with acquisition and inflation of genes in the *Exophiala* species of the jeanselmei-clade, *E. xenobiotica*, *E. spinifera*, and *E. oligosperma* (Fig. 9). Frequent appearance of new and family-specific genes is observed throughout the *Herpotrichiellaceae* along the mating type genes, which might be a source of adaptive novelties of mating regulators.

*Exophiala sideris* is the most basal species in the jeanselmei-clade and its *MAT* locus structure followed the classical *SLA2-APN2* configuration. However, we detected a fused *MAT1-1/MAT1-2* gene configuration in this specie (Fig. S11). Protein classification analysis revealed that both  $\alpha$ -box and HMG domains are present within a single mating regulator gene, leading us to hypothesize this as an unusual gene fusion event potentially giving a homothallic status to this species. The protein was blasted against the Conserved Domain Database (CDD) (Marchler-Bauer *et al.* 2011) in order to achieve *MAT* gene configuration common to fungi, as found e.g. in the homothallic ascomycetes *Curvularia homomorpha*, *Bipolaris luttrellii*, and *Penicillium rubens* (Fig. S11). The disposition of both  $\alpha$ -box and HMG domains varies across the species panel analysed, either being separated along the gene or fused, where mostly HMG binding sites are found within the  $\alpha$ -box domain (Fig. S11). The latter case led us to speculate that HMG insertions could be an atavism from an ancient homothallic state, since the majority of the gene sequence is related to *MAT1-1*, or it could be a product of gene fusion and unequal crossing over of two opposite mating type strains. This last scenario confirms earlier reports where cryptic homothallism was proven to occur in *Curvularia homomorpha* and *Bipolaris luttrellii* (Yun *et al.* 1999). Possibly the fused *MAT1-1/MAT1-2* also plays a role in cryptic homothallism of our species under study. Homothallism as an ancestral state was demonstrated in the carrionii-clade, which





**Fig. 7.** Mating type locus structure of ancestral lineages *C. apollinis* and *V. gallopava* (top-right panel), the *Cyphellophoraceae* (bottom-left panel) and the *Herpotrichiellaceae* (top-left panel). Sexual loci for each fungal species are displayed in each respectively scaffold and the corresponding genes and accession numbers are displayed to each gene.



**Fig. 8.** Mating type locus structure of heterothallic species *R. mackenziei* (lower panel), *E. dermatitidis* and closely related homothallic *Ca. coronata* and *Ca. epimyces* (*dermatitidis*-clade upper panel). Mating type genes are represented in each corresponding assembled scaffold. Accession numbers are displayed to each gene.

differed from what was observed in the jeanselmei- and dermatitidis-clades (Fig. 10): *Capronia semiimmersa* harbours both *MAT1-1* and *MAT1-2* genes in a single haploid genome. In addition, these species exhibits an apparent expansion of the *MAT* locus in that the classical *SLA2-APN2* configuration was not found, while new genes were detected down/upstream the *MAT* genes (Fig. 10). On the other hand, the heterothallic species *Cl. carrionii* and *Cl. yegresii* displayed the *SLA2-APN2* structure, and new carrionii-clade-specific genes were detected along with the *MAT* genes. In addition, we detected a *Cl. carrionii*-specific gene acquisition within the *MAT* locus as represented by the yellow boxes in Fig. 10.

The most degenerated *MAT* locus structure within the *Herpotrichiellaceae* was found within the bantiana-clade. *Cladophialophora bantiana* (*MAT1-1*) and *Cl. psammophila* (*MAT1-2*)

shared a large degree of synteny at the left side of the *MAT* genes extending to the *SLA2* gene (Fig. 11). We also detected unique and shared genes between this specific *Herpotrichiellaceae* clade, represented by yellow boxes in Fig. 11. At the right flank of the *MAT* genes, *APN2* are poorly conserved between these two species. The *APN2* gene is assembled in a scaffold different from that of the *MAT* genes. The remaining species of the bantiana-clade, *Fonsecaea pedrosoi*, *F. multimorphosa*, and *Cladophialophora immunda* did not share any synteny at the flanking regions of the *MAT* genes.

Overall, we detected a low selective pressure within the *MAT* locus structure of *Chaetothyriales*, compared to other *Eurotiomycetes* (Coppin *et al.* 1997, Debuchy *et al.* 2010, Lee *et al.* 2010, Burmester *et al.* 2011). The species-specific, non-characterised genes and gene duplications near the *MAT* genes are

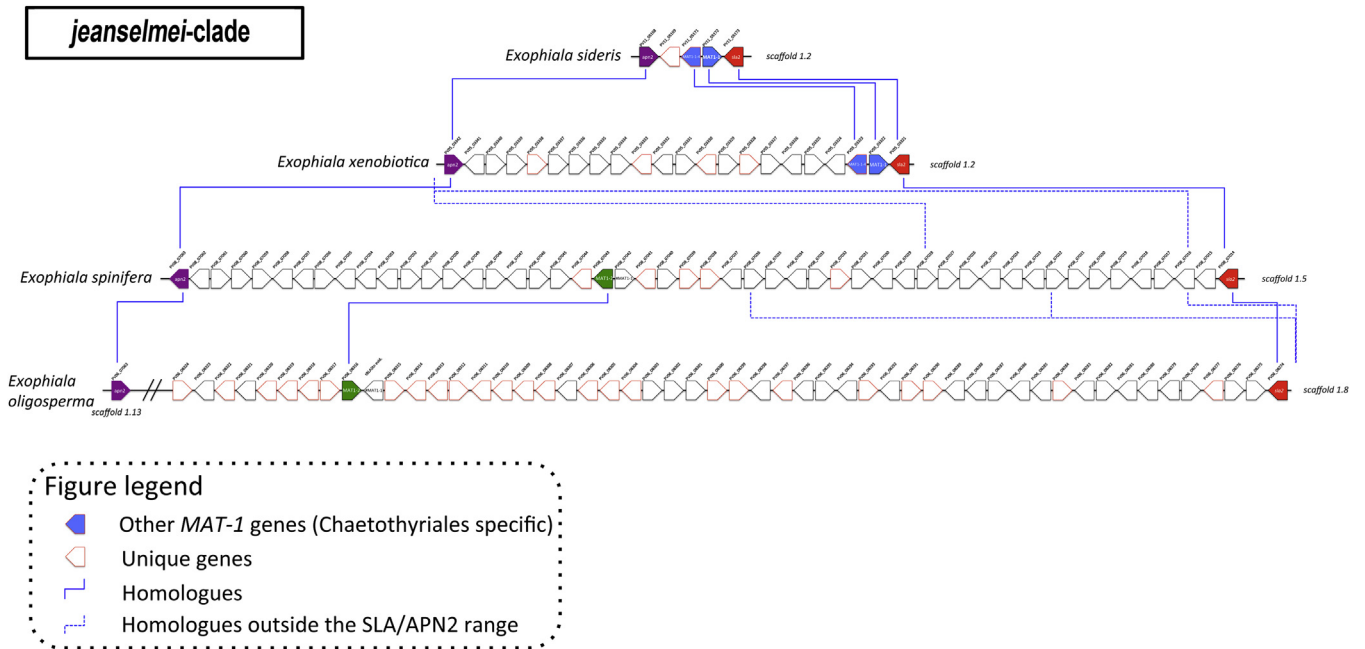


Fig. 9. Mating type locus organization of heterothallic species from *jeanselmei*-clade, *Herpotrichiellaceae*. Mating type genes are represented in each corresponding assembled scaffold. Accession numbers are displayed to each gene.

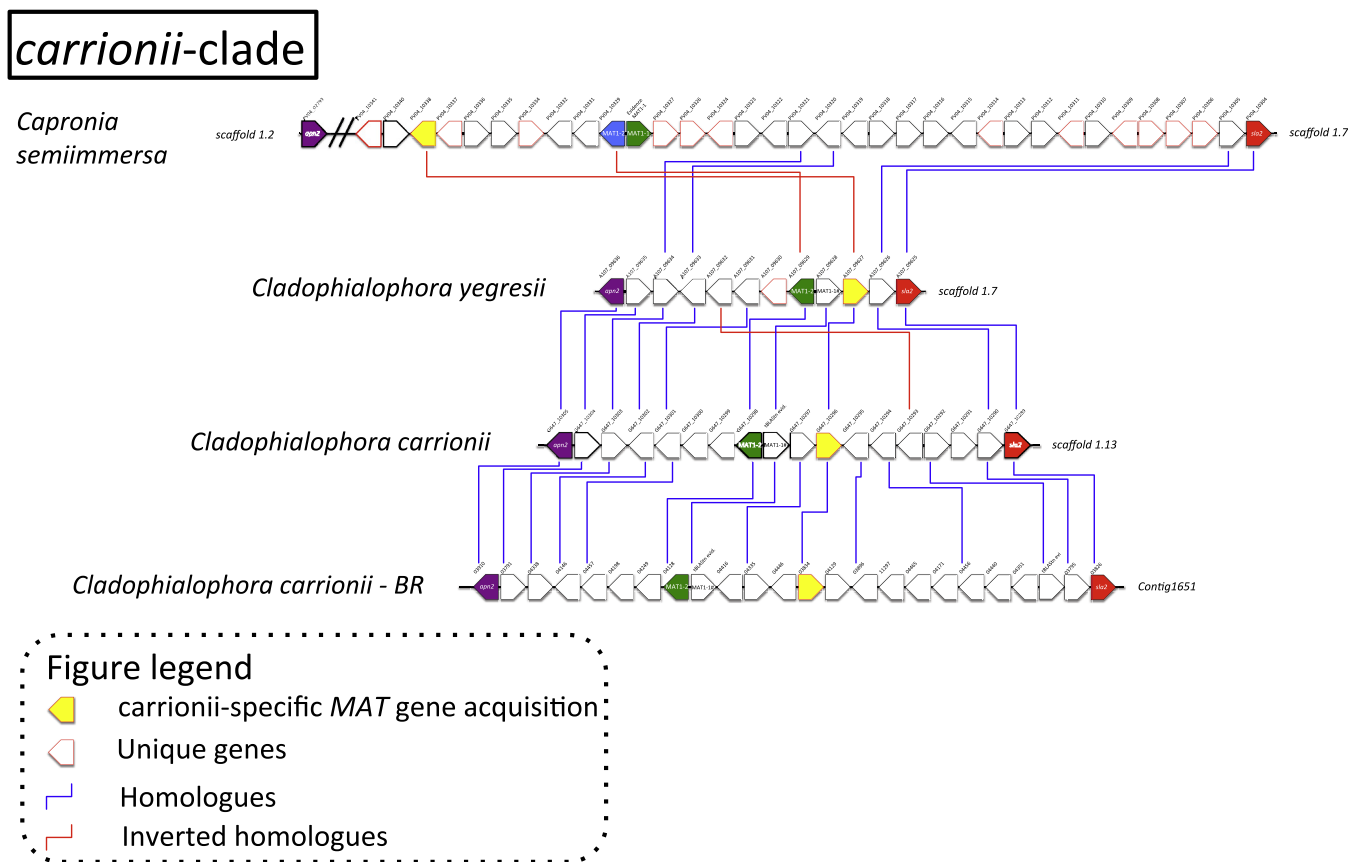
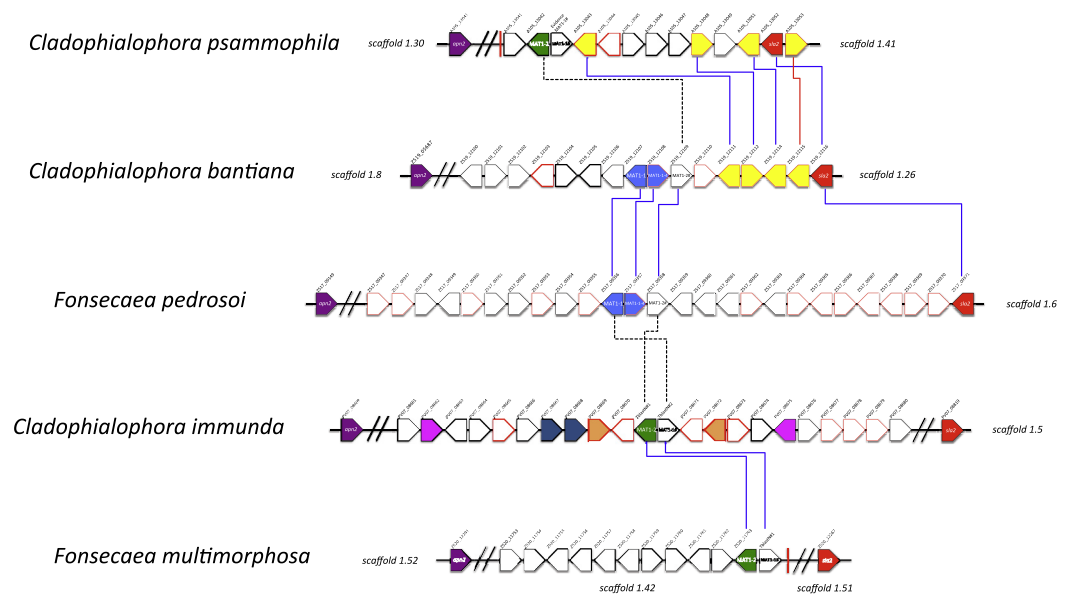


Fig. 10. Mating type locus structure of heterothallic species from *carrionii*-clade, *Herpotrichiellaceae*. Mating type genes are represented in each corresponding assembled scaffold. Accession numbers are displayed to each gene.

unique to *Chaetothyriales*. Despite the presence of components of the mating/pheromone-response pathway and their respective domains among *Chaetothyriales*, we hypothesize that due to the low selection of the *MAT* locus, those domains could represent an alternative system for generating diversity via parasexuality. The parasexual cycle has not been explored or characterised in

any species of *Chaetothyriales*. Parasexuality is a process triggered by cell-cell fusion and ploidy reduction through random chromosome loss and has been reported in various filamentous fungi including *Aspergillus nidulans*, *Neurospora crassa*, and *Podospora anserina* (Pontecorvo 1956, De Serres 1962, Labarere & Bernet 1977). Undifferentiated vegetative cells

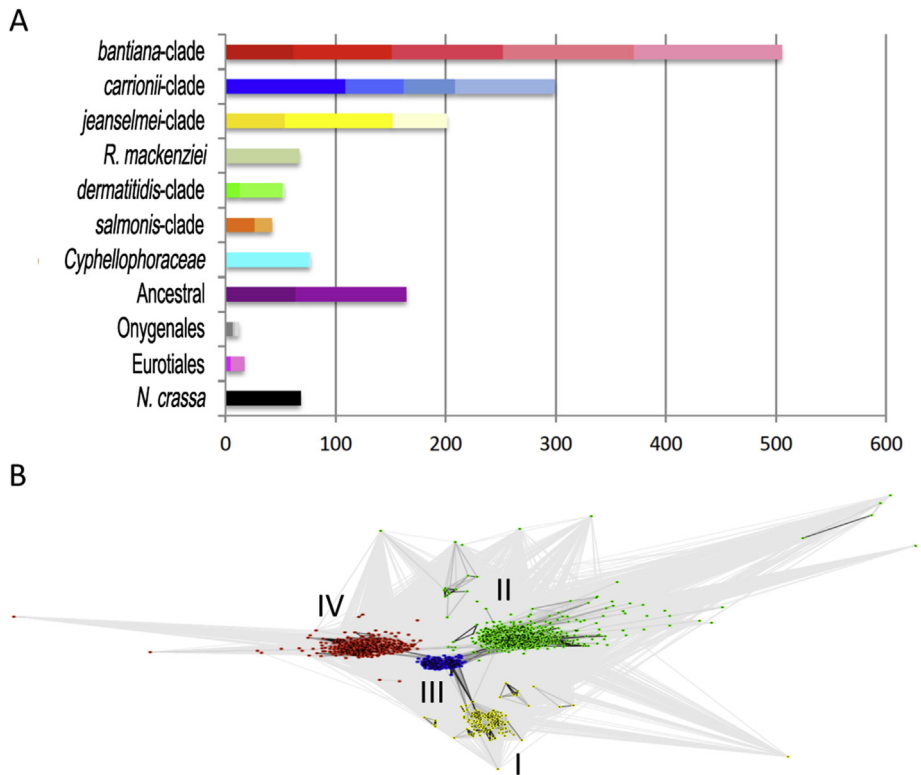
**bantiana-clade**



**Figure legend**

- └─┘ Homologues
- └─┘ Inverted homologous
- bantiana-specific *MAT* gene acquisition
- Other *MAT-1* genes (Chaetothyriales specific)
- Unique genes
- immunda-specific gene acquisition by genome duplication?

**Fig. 11.** Mating type locus organization of heterothallic species from *bantiana*-clade, *Herpotrichiellaceae*. Mating type genes are represented in each corresponding assembled scaffold. Accession numbers are displayed to each gene.



**Fig. 12.** Distribution of heterokaryon (*het*) containing genes in 23 black yeast-like fungi and related Ascomycota. (A) Total counts of *het* containing genes (IPR010730 domain) for each species. (B) Pairwise similarity graphs generated by clustering analysis of 1439 *het*-containing proteins from Chaetothyriales and related species.

undergo haploid cell fusion and produce heterokaryons, as with aberrant ploidy due to mitotic crossing-over (Tolmsoff 1983). Cell compatibility is dependent on a combination of loci known as heterokaryon (*het*) incompatibility loci (allorecognition loci) (Saupe & Glass 1997, Zhao et al. 2015). When incompatible, the

vegetative cells undergo genetically programmed cell death (Glass et al. 2000). There is strong evidence that recombination can be triggered by a parasexual cycle in some of the consistently asexual BYs: **(1)** Based on gene family evolution analysis of BY, we identified a dramatic increase of *het*-containing



proteins in most BY genomes. (2) The expansion of *het* proteins in BYs exceeds the number of allorecognition loci in *N. crassa* or *Aspergillus* species (Table S12). (3) Random gene duplication across BY genomes, which could be linked to impaired mitotic chromosomal reduction via aneuploidy.

With few exceptions, *het*-containing proteins are expanded in most BY genomes (Table S12). While species of *Eurotiales* harbour 2–12 *het*-containing proteins, in the *Chaetothyriales* the numbers range from a single copy in *E. dermatitidis* and *Cyphellophora europaea* to 134 copies in *Cl. psammophila*. Clustering analysis via CLANS of *het*-containing proteins (PF06985/IPR010730 – Pfam/InterPro) of 23 black yeasts, *Onygenales*, *Eurotiales*, and *N. crassa* did not show any significant sub-cluster within PF06985/IPR010730 containing genes. Alignment of the 1439 *het*-containing proteins in order to access the phylogenetic distribution did not return well-conserved alignment blocks due to high sequence dissimilarity. In the attraction graphs of the PF06985/IPR010730 family (Fig. 12), four clusters within *het*-containing proteins were visually defined in order to narrow down alignment discrepancies. We extracted the sequences related to each of the four identified groups and protein alignment was performed for phylogenetic analysis. All predicted *het* containing proteins of *N. crassa* (Zhao *et al.* 2015) were included, among which were three *het* loci, viz. *tol*, *het-6*, and *pin-c*. Phylogenetic analysis shows that most *het*-expanded BY harbour orthologues of well-characterised *Neurospora tol*, *het-6*, and *pin-c* (Fig. S12). We also identified a cluster of proteins that were related to the HET-E/D/R loci in *Podospora anserina* (Fig. S12). Beyond the *het* domain, this particular group of proteins display a GTP binding site followed by WD40 repeats, which play an important role in specificity of vegetative incompatibility in the *P. anserina* parasexual cycle (Espagne *et al.* 2002). BY genomes display a vast repertoire of heterokaryon incompatibility proteins and mechanisms of sexual or parasexual recombination, which needs further investigation.

## DISCUSSION

Black yeasts and similar fungi in the order *Chaetothyriales* are known for their morphological plasticity, asexual diversity, and divergent habitat choice. To date (01-01-2016), 23 species of the order have had their genomes sequenced (Fig. 1). The order contains four or five families according to Réblová *et al.* (2013) who upgraded the “europaea-clade” to family level (Fig. 1). Fig. 2 shows a phylogenetic tree of all available species based on LSU-sequences, which is as yet the only parameter alignable over the entire order. Two ecological, highly speciose groups affiliated to the *Chaetothyriales* have recently been detected but as yet have not been formally named: asexual species with ant-associated ecology and those parasitizing on lichens (Muggia *et al.* 2013, 2016). In addition, many described *Capronia* species (Barr 1972, Aptroot *et al.* 1997, Etayo *et al.* 2013) have not been genotyped. Some of the lichen-pathogens produce well-recognisable sexual morphs with single, small setose cleistothecia with pale to brown, septate ascospores resembling *Capronia* or *Trichomerium*, underlining that the sexual morphs in the order, quite in contrast to the asexual morphs, show little variation. Judging from the above, the *Chaetothyriales* in the phylogenetic tree of Fig. 2 show severe defects in taxon sampling. Therefore it is difficult to reconstruct a reliable phylogeny

with ancestral and derived families in the correct position. Phylogenomic data are thus far available for *Herpotrichiellaceae* and *Cyphellophoraceae* only.

Species of *Chaetothyriales* can be found on phanerogam leaf litter and plant debris, but in contrast to common litter fungi such as *Alternaria* and *Cladosporium*, decomposed, tannin-rich material is mostly preferred. Species selectively grow with the presence of aromates and etheric oils, e.g., on babassu coconut shells, which contain a remarkable diversity of black yeasts (Nascimento *et al.* 2016b). Many species even seem to prefer artificial, human-made habitats, such as gasoline tanks (Isola *et al.* 2013) and toxic mine waste rich in heavy metals (Seyedmousavi *et al.* 2011). Several studies have indicated that herpotrichiellaceous black yeasts and their filamentous counterparts are potent degraders of toxic monoaromates and are frequently found inhabiting industrial bio-filters (Middelhoven *et al.* 1989, Middelhoven 1993, Cox *et al.* 1997, Prenafeta-Boldú *et al.* 2006). This property is observed in members of nearly all clades (Woertz *et al.* 2001, de Hoog *et al.* 2006, Badali *et al.* 2011, Seyedmousavi *et al.* 2011). Phenolic and indolic compounds are substrate units for melanin formation, and the production of this pigment ultimately results from their oxidative polymerization (Jacobson 2000, Plonka & Grabacka 2006, Vavricka *et al.* 2010). Numerous additional studies have reported the presence of clinical and non-clinical species in oil-related environments (Phillips *et al.* 1998, Prenafeta-Boldú *et al.* 2001, 2006, Sterflinger & Prillinger 2001), and noted preference of creosoted wood over untreated wood (Seyedmousavi *et al.* 2011, Döğen *et al.* 2013a, b, Gümral *et al.* 2014). The fungi become nearly the sole colonizers when the material contains toxic hydrocarbons, e.g., on creosoted telephone poles and railway sleepers (Gümral *et al.* 2014).

Several possible routes of aromatic hydrocarbon metabolism have become known in black yeast-like fungi (Cox *et al.* 1996). Among these the degradation of benzene derivatives via phenylacetate and homogentisate seems to be one of the most important pathways in the family *Herpotrichiellaceae* (Gunsch *et al.* 2005). Overexpression of the genes 2-hydroxy phenylacetate (PHA) and homogentisate 1,2-dioxygenase (HGD) when the fungus is grown in the presence of ethylbenzene, supports the involvement of these enzymes in organic compound degradation (Gunsch *et al.* 2005). It has also been demonstrated that genes of catabolic pathways, which may enhance survival under different environmental conditions, are physically clustered preserving gene order and orientation (Keller & Hohn 1997). This organization might favour the co-inheritance and the co-expression of multiple enzymes, which handle toxic intermediate compounds (Tako & Rook 2012, McGary *et al.* 2013) and suggests an essential role for this ability in *Herpotrichiellaceae*. Regarding the phenolic compound catabolism, homologues of the styrene pathway were found present in the species studied, except in *Capronia coronata*: this species lacks the genes coding to 4-hydroxyphenylpyruvate dioxygenase and maleylacetate isomerase. This suggests that across the analysed black yeasts, only *Capronia coronata* would not be able to synthesize pyromelanin via the accumulation of homogentisate.

Remarkably, the same species described above can also be found in clear water environments that are very poor in nutrients. For example, *Exophiala dermatitidis* on the one hand occurs on creosoted wood (Döğen *et al.* 2013b) and in gasoline (Isola *et al.*

2013), but it is also common in steambath facilities (Matos *et al.* 2002), hot springs (Sudhadham *et al.* 2008) and dishwashers (Zalar *et al.* 2011). This strongly suggests that the natural competitive abilities of these fungi are very low, so that they evade confrontation with other microorganisms and escape in hostile environments (de Hoog 1993). Gostincar *et al.* (2012) classified *E. dermatitidis* as a polyextremotolerant fungus.

The above description holds true for the derived family of *Chaetothyriales*, the *Herpotrichiellaceae*. The origin of this ecology might be found in the life style of members of ancestral families, *Chaetothyriaceae*, *Epibryaceae*, and *Trichomeriaceae*. In the general phylogeny of Fig. 1, numerous members are epiphytic on plants, occurring as sooty moulds (Chomnunti *et al.* 2012b) or as rock-inhabiting fungi (Isola *et al.* 2016). These habitats require similar abilities as described above to cope with conditions that suppress most competitors, e.g., lack of nutrients, dryness, or extreme and changing temperatures (Middelhoven 1993, Vicente *et al.* 2008, Zhao *et al.* 2010a). A number of species have been isolated from green plants and have been regarded as host-specific plant pathogens, e.g., *Cladophialophora hostae* (Crous *et al.* 2007), *Exophiala eucalyptorum* (Crous *et al.* 2007), or *Metulocladosporiella musae* (Crous *et al.* 2006). CAZyme families, involved in the degradation of plant material, do not seem to play a major role in the black yeast-like fungi, as most of them lack the pectinases PL1, PL3, PL4, PL7, PL9, and PL10 (Table S10). Comparable depletions have been reported in species of *Onygenales*, which contain numerous obligate pathogens of humans and other vertebrates, while they are present in Eurotiales, with e.g. *Aspergillus fumigatus* essentially being a degrader of plant debris. Only the *Epibryaceae*, which have recently been associated with *Chaetothyriales* (Gueidan *et al.* 2014) after they had been regarded as members of *Dothideomycetidae* for decades (Stenroos *et al.* 2010), are known biotrophs. However, ancestral clades in *Chaetothyriales* including *Epibryaceae* show long branches (Fig. 2) and their affiliation with the order may be due to incomplete taxon sampling.

Voglmayr *et al.* (2011) isolated a large number of undescribed black fungi from ant domatia and cartons which appeared to be closely related to the *Chaetothyriales*. Ants and fungi have close symbiont relationships. The ecological roles of chaetothyrialean symbionts appear to be different between domatia and carton associations (Voglmayr *et al.* 2011). In the domatia they play important roles such as in recycling ant waste, and there is also evidence that the ants feed on the fungi, contributing to rapid recycling of nitrogen in the tripartite symbiosis of ant, plant and fungus (Little & Currie 2008, Defosse *et al.* 2011, Blatrix *et al.* 2012). In the carton association, ant tunnels or nests are largely made of black fungal material. It has been hypothesized that the fungus serves as building material (Lauth *et al.* 2011) and that the fungal layer on the carton walls could act as mechanical protection against radiation, humidity and microbial decomposition (Mayer & Voglmayr 2009, Zakharova *et al.* 2013), enhancing the durability. Commonly several black species co-occur on the same carton, forming complex associations, which rely on constant maintenance and care by the ants. Most carton species lack conidiation. In domatia a rather specific association with the host may be observed, the fungi producing a dense mat inside the domatium. These species are hyaline to light brown and show conidiation. Ants possess a great arsenal of exocrine glands (Moglich *et al.* 1974, Attygalle *et al.* 1989, Poulsen *et al.* 2002, Fernandez-Marin *et al.* 2006) secreting

organic compounds that are effective against fungi and bacteria (Schlüns & Crozier 2009). Some species of leaf-cutting ants exude antimicrobial flavonoid and tannin-like compounds and communicate by aliphatic hydrocarbons (Brandt *et al.* 2009). *Chaetothyriales* have been shown to use toxic compounds such as aromatic hydrocarbons as unique nutritional carbon sources (Prenafeta-Boldú *et al.* 2006, Zhao *et al.* 2010a), which thus act as key selective agents promoting the dominance of *Chaetothyriales* in ant nests. The evolutionary origin of *Formicinae* dates back to Cretaceous times, around 100 MYA. Given the large extant diversity of *Formicinae*, as well as of associated *Chaetothyriales*, it seems possible that ants and black yeast-like fungi have diversified in concert. We estimated that the common ancestor of *Herpotrichiellaceae*–*Cyphellophoraceae* emerged around 75–50 MYA, shortly after the Cretaceous–Paleogene (K–Pg) extinction event. In a similar study, Gueidan *et al.* (2011) calculated the ancestral groups of *Chaetothyriales* with a rock-inhabiting life-style and lichenised *Verrucariales* around 250 MYA. *Verrucariales* might have been the first to colonise barren rock after the meteor impact that marked the transition from Perm to Trias. Early *Chaetothyriales* were thought to be hyperparasites on lichens (Gostincar *et al.* 2012) lacking an algal component. Lichens produce large amounts of toxic metabolites, and thus the pathogens must have been able to cope with harsh climatic conditions as well as with life in toxic habitats. This may have been the period where early *Chaetothyriales* developed stress tolerance, nutritional oligotrophism, and physiological versatility to survive the wide array of toxic secondary metabolites produced by the lichens (Gostincar *et al.* 2010).

With these ecological and evolutionary speculations, two factors are of particular interest: melanin and action of the cytochrome p450 enzymes. The presence of eumelanin produced via the DHN and DOPA pathways, and pyromelanins via L-tyrosine degradation pathway (Alviano *et al.* 1991, Sun *et al.* 2011, Eisenman & Casadevall 2012, Li *et al.* 2016) are fundamental to the obligatory melanisation of the cell wall to enhance stress tolerance. Melanised fungi are resistant to environmental challenges found particularly in extreme habitats, including irradiation, nutrient depletions, and high temperature (Rosas & Casadevall 1997); this matches well with the conditions of a rock-inhabiting lifestyle. The melanised fungi are even able to survive in radioactive environments. Fungi growing on surfaces with direct sunlight exposure are highly adapted to cope with ionizing radiation via the constitutive presence of melanin, which acts as energy transduction molecules (Dadachova & Casadevall 2008). Melanised *Exophiala dermatitidis* cells exposed to ionizing radiation grow faster than non-exposed cells, suggesting a critical role of irradiated melanin and its conversion as a source of energy in herpotrichiellaceous fungi (Dadachova *et al.* 2007). Their energy transduction is multifunctional, also playing an essential role in resistance to oxidative burst of vertebrate phagocytes (Henson *et al.* 1999, Jacobson 2000, Eisenman & Casadevall 2012).

Melanins can also act as scavengers of free and oxidative radicals, are cross-linked to fungal cell wall carbohydrates, and also interact with surrounding molecules. These black pigments may therefore have a protective function against natural predators, such as amoebae (Nosanchuk & Casadevall 2003, de Almeida-Paes *et al.* 2012). In the most derived family, the *Herpotrichiellaceae*, the amoebic counterpart may have become the mammalian host's innate immune phagocyte, conferring protection against free radicals of host immune cell oxidative burst

(Little & Currie 2008, Defossez *et al.* 2011, Blatrix *et al.* 2012). Melanins thus have become a potential virulence factor. Indeed, we witness the largest number of systemic opportunistic species on humans and cold-blooded animals in the *Herpotrichiellaceae*, while this behaviour is nearly absent from other families, with *Arthrocladium fulminans* in the *Trichomeriaceae* as the only exception (Nascimento *et al.* 2016a).

Very little is known about the cell wall organization in BY and its dynamic composition. Loss of cell wall alpha-glucan synthase appears to be specific to *Exophiala dermatitidis* and the two outgroups *Verruconis gallopava* and *Coniosporium apollinis*. Other enzymes involved in 1,3-alpha-glucan processing are missing from remaining black yeast species studied. In contrast, the presence of the  $\alpha$ -amylases, believed to be involved in the formation and/or modification of  $\alpha$ -glucans (van der Kaaij *et al.* 2007), suggests that BY carry a cell wall that deviates from that of other filamentous fungi. Importantly, some recent studies have shown that the chronicity observed in chromoblastomycosis is due to a failure of pattern recognition receptor (PRR) costimulation (van der Kaaij *et al.* 2007). For example, innate recognition of *F. pedrosoi* is mediated by C-type lectin receptors (CLRs), but not by Toll-like receptors (TLRs), triggering an inadequate protective inflammatory response and leading to chronic infection (van der Kaaij *et al.* 2007). TLRs can recognize pathogen-associated molecular patterns (PAMPs), such as  $\alpha$ -glucans in fungi (Takeda *et al.* 2003). We therefore speculate that BY genetic variation associated with cell wall composition, the main source of PAMPs, might affect the pattern of recognition of invading pathogens by the host. Indeed, enzymatic treatment to modify  $\alpha$ -glucans from the conidial surface has been reported to decrease the phagocytic index in other ascomycetes (Bittencourt *et al.* 2006). Further studies will provide a better understanding of the role of  $\alpha$ -glucans in the induction of innate immune response as well as its structural organization in black yeasts. No specific virulence differences were found between closely related, pathogenic *versus* environmental siblings, e.g. between *Cladophialophora bantiana*/*Cl. psammophila*, and between *Cladophialophora carrionii*/*Cl. yegresii*. It may be concluded that pathogenicity of black fungi is primarily of opportunistic nature, enhanced by the combination of extremotolerance and assimilative abilities of aromatic compounds, similar to pathogenicity in *Cryptococcus*.

Genetic diversity plays an important role in the adaptation of fungal populations to changing environments (Milgroom 1996, Heitman 2006, Giraud *et al.* 2010). Increased genotypic variation allows some individuals in a given population to inherit variations of alleles that are more suitable for a specific condition such as virulence (Engering *et al.* 2013). Genetic diversity is favoured by recombination that positively eliminates deleterious alleles that have accumulated during clonal development (Heitman 2006, Barton 2010). The two main sources of recombination in ascomycetes are sexual and parasexual reproduction (Calo *et al.* 2013). Sexual reproduction in those fungi is orchestrated by the mating type locus (*MAT1-1* and/or *MAT1-2*), which codify for transcription factors ( $\alpha$ -box and HMG, respectively) that regulate genes required for mating and meiosis (Coppin *et al.* 1997). Two mating recognition systems are well described in *Ascomycota*: homothallic (self-fertile) species carry both mating-type alleles (*MAT1-1/MAT1-2* genes) in a single haploid genome, and sexual reproduction takes place in a single individual. Heterothallic (self-sterile) fungi are species that carry

a single allele (*MAT1-1* or *MAT1-2*) per haploid genome and need an opposite mating-type for sexual reproduction (Debuchy *et al.* 2010, Lee *et al.* 2010, Ni *et al.* 2011). The other main source of recombination in fungi is the parasexual cycle, where meiosis and development of sexual structures remain absent (Pontecorvo 1956). This non-sexual mechanism is governed and controlled by the *het* locus (heterokaryon incompatibility) that confers vegetative recognition of some filamentous ascomycetes (Glass *et al.* 2000). This process is initiated by cytoplasmic fusion in which different nuclei and cytoplasmic organelles co-occupy the same cellular space. Nuclear fusion takes place producing a diploid nucleus (karyogamy), which is, however, unstable and produces segregants by recombination, evoking the mitotic crossing-over followed by haploidisation.

There are two direct indications that recombination takes place in BY. First, the *Capronia* sexual morph is a homothallic (self-fertile) genus and is polyphyletic, distributed over *Herpotrichiellaceae* and *Cyphellophoraceae* (Untereiner 1995, Untereiner & Naveau 1998, Untereiner, 2000), and the *Trichomeriaceae* have, morphologically, a very similar sexual morph in *Trichomerium* (Chomnunti *et al.* 2012a). Second, by *in silico* methods, recombination has been reported to occur in *Cladophialophora carrionii* (Deng *et al.* 2015). However, as most species of *Chaetothyriales* lack a known sexual morph, only asexual morphs have been recognised. This leads us to hypothesize the following options: (1) species may lack a genomic apparatus for sexual reproduction, (2) species may be purely heterothallic but with cryptic sex taking place under specific conditions, or (3) species may have genomic signatures for a parasexual cycle under *het* locus control. In addition, we have identified a potential fused *MAT1-1/MAT1-2* gene configuration in *Exophiala sideris* disposing both  $\alpha$ -box and HMG domains (Fig. 9, Fig. S11). Cryptic homothallism was proven to occur in *Curvularia homomorpha* and *Bipolaris luttrellii*, which are ascomycete species presenting similar configurations as herein reported for *Exophiala sideris* (Fig. S11). Another remarkable finding was the occurrence of a mating regulator that codifies for a transcription factor carrying a DNA binding homeodomain motif in *V. gallopava* (Fig. 7). This domain is largely found in yeasts in *Ascomycota* and *Basidiomycota* (Martin *et al.* 2010) and, according to (Lee *et al.* 2010) this domain was lost during speciation of *Pezizomycotina*. We herein hypothesize that the HD domain is a potential mating regulator in *Venturiales* (Fig. 7) and suggest this domain was maintained more recently in *Eurotiomycetes* speciation.

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## APPENDIX A. SUPPLEMENTARY DATA

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.simyco.2017.01.001>.

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