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## Improving Outcomes After Allogeneic Hematopoietic Cell Transplantation for Hodgkin Lymphoma in the Brentuximab Vedotin Era

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### Abstract

Allogeneic hematopoietic cell transplantation (allo HCT) remains a valuable alternative for relapsed/refractory (R/R) Hodgkin lymphoma (HL). Data on allo HCT outcomes in the era of new HL therapies are needed. We evaluated 72 R/R HL patients who received reduced intensity conditioning (RIC) allo HCT and compared the time periods 2009-2013 (n=20) to 2000-2008 (n=52). Grafts included HLA-matched sibling (35%), unrelated donor (8%) and umbilical cord blood (UCB, 56%). In recent period, patients more often received brentuximab vedotin (BV, 60% vs 2%), had fewer comorbidities (Sorrer index 0: 60% vs 12%) and were in complete remission (50% vs 23%). Median follow-up was 4.4 years. Three-year progression-free survival (PFS) improved for patients treated between 2009-2013 (49%, 95% CI 26-68%) as compared to the earlier era (23%, 95% CI 13-35%, p=0.02). Overall survival (OS) at 3-years was 84% (95% CI 57-94%) vs 50% (95% CI 36-62%, p=0.01), reflecting lower non-relapse mortality and relapse rates. In multivariate analysis mortality was higher among those with chemoresistance (HR 3.83, 95% CI 1.38-10.57), while treatment during the recent era was associated with better OS (HR for period 2009-2013: 0.24, 95% CI 0.07-0.79) and PFS (HR 0.46, 95% CI 0.23-0.92). Allo HCT in patients with R/R HL is now a more effective treatment.

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## Keywords

Allogeneic transplantation; Hodgkin lymphoma; Brentuximab vedotin

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## Introduction

Hodgkin lymphoma (HL) is curable in the majority of patients however upwards of 25% will fail standard front-line chemotherapy.<sup>1, 2</sup> For these patients, high-dose chemotherapy followed by autologous hematopoietic cell transplantation (auto HCT) can be curative but half will eventually relapse with poor prognosis.<sup>3-5</sup> Median survival of patients who relapse after auto HCT has historically been approximately 2 years.<sup>6-8</sup> Allogeneic hematopoietic transplantation (allo HCT) has been applied for patients with advanced HL who relapse after auto HCT, are poorly responsive to chemotherapy, or fail to collect an adequate autologous graft. Reduced intensity conditioning (RIC) regimens decreased non-relapse mortality (NRM) after allo HCT as compared to myeloablative conditioning and are now widely applied for HL.<sup>9-12</sup> Brentuximab vedotin (BV), an anti-CD30 targeting antibody-drug conjugate has been studied for relapsed/refractory (R/R) HL since 2009<sup>13</sup> and demonstrated an overall response rate of 75% in patients relapsing after autoHCT.<sup>14</sup> Given this improvement in disease control and wider use of RIC and alternative donors, more patients with advanced HL can now consider a potentially curative alloHCT.<sup>15-17</sup> To guide treatment decisions in the current era and to counsel patients in whom allograft is considered, we present updated data on survival after allo HCT from a single institution.

## Patients and Methods

Using prospectively collected data from University of Minnesota Blood and Marrow Transplantation Database, we identified 72 consecutive patients with HL who underwent RIC allo HCT between 2000-2013. The review of all available medical records were supplemented by chart review for the use of BV therapy. To evaluate transplant outcomes over time, the 13-year period was divided into a historical cohort from 2000-2008 and more recent cohort during the BV era from 2009-2013. The University of Minnesota Institutional Review Board approved the study. Eligibility criteria included relapse after prior auto HCT or not an auto HCT candidate because of insufficient stem cell collection or chemorefractory disease. RIC conditioning consisted of 200 cGy of total body irradiation (TBI) plus fludarabine (Flu) 40 mg/m<sup>2</sup>/day intravenously (IV) for days -6 through -2 and either cyclophosphamide (CY) 50 mg/kg/day IV day -6 (n=60) or busulfan (BU) 1 mg/kg/day orally every 6 hours days -8 and -7 (n=12). Five patients received anti-thymocyte globulin (ATG). HLA-matched sibling donor, HLA-matched unrelated donor, and umbilical cord blood (UCB) donor sources were included. Choice of donor was based on availability and institutional preference and experience with cord blood transplantation.

For graft versus host disease (GVHD) prophylaxis, 69 patients received cyclosporine IV or orally targeting a therapeutic trough range of 200-400 mg/mL from day -3 for a minimum for 100 days, followed by a taper thorough day +180. Mycophenolate mofetil (1 gram IV or orally twice a day for 30 days) was increased to 1 gram three times a day in 2006<sup>18</sup>. All

patients received filgrastim (5 µg/kg/day IV) from day +1 until absolute neutrophil count (ANC) >2500/µL for 2 days. Comorbidities were scored according to the Sorror hematopoietic cell transplantation index (HCT-CI).<sup>19</sup> Acute and chronic GVHD were graded as extensive or limited prior to 2005<sup>20</sup> and by the NIH consensus criteria after 2005.<sup>21</sup> Disease evaluation using computed tomography, bone marrow biopsies, and variable number of tandem repeats (VNTR) engraftment analysis occurred on day 30, day 100, and years 1 and 2 after transplantation. Positron emission tomography (PET) was routinely used to measure disease status prior to transplant after 2004 and all patients underwent PET scan at day 100. Disease response was scored using standard International Working Group criteria.<sup>22</sup>

Patients and disease characteristics were summarized using descriptive statistics. Statistical comparisons of variables between 2 groups were completed by nonparametric Wilcoxon test for continuous factors and Pearson chi-square test for categorical factors. The endpoints were progression free survival (PFS), overall survival (OS), cumulative incidence of relapse (REL), and non-relapse mortality (NRM). The Kaplan-Meier method<sup>23</sup> was used to estimate the probabilities of PFS and OS, and the log-rank test was used for univariate comparisons between groups. Cumulative incidence estimator was used to calculate the probabilities of relapse reflecting nonevent deaths as a competing risk. The cumulative incidence of NRM was also calculated reflecting the relapse as a competing risk.<sup>24</sup> Fine and Gray regression analyses were used to compare the differences between cumulative incidence curves for the endpoints of relapse and NRM.<sup>25</sup> The Cox proportional hazard regression model was used to estimate adjusted cumulative incidence curves. Prognostic factor models for all endpoints were created using backward selection method considering a P value of <0.20. The cut-off significance level for all P values was 0.05. All statistical analyses were performed with Statistical Analysis System statistical software version 9.3 (SAS Institute, Inc., Cary, NC) and R Statistical Software (Foundation for Statistical Computing, Vienna, Austria, <http://www.R-project.org>).

## Results

### Patient and donor characteristics

We evaluated 72 patients with R/R HL who received RIC allo HCT and compared the outcomes of 52 patients treated between 2000-2008 to 20 patients treated between 2009-2013. Patient, disease, and treatment characteristics were similar between the groups (Table 1). For all patients, median age was 31 years (range 6-59), 58% were males, and 10% had Karnofsky performance status <80%. All patients had advanced HL; primary induction failure was non-significantly less common in recent era (5% vs 23%) possibly due to early intensification of chemotherapy based on interim PET. Most patients (82%) had failed prior auto HCT. Median time from diagnosis to allo HCT was 3 years in both groups. Patients received a median of 4 lines of prior therapy, and there was no significant difference between the two time periods. Graft source included HLA-matched sibling (35%) or UCB (56%) grafts, of which majority received double umbilical cord blood units (90%).

BV was used primarily after 2009 (before 2009 2% vs after 2009 60%) at a median of 4.5 cycles. Four patients received BV as bridge immediately prior to allo HCT, while nine other

patients received it at some point in therapy prior to allo HCT. Only one patient had received BV as maintenance therapy after auto HCT. Patients treated more recently (2009-2013) had significantly fewer comorbidities (HCT-CI 0: 60% vs 12%,  $p<0.01$ ), and attained higher rates of complete response (CR) pre-HCT (50% vs 23%,  $p=0.03$ ) than patients treated from 2000-2008. More than half of patients treated with BV pre-transplant experienced CR (54%) compared to 35% CR rate without BV ( $p=0.04$ ).

### Transplantation outcomes

PFS, OS, NRM and relapse after allo HCT are shown in Figure 1. PFS at 3-years was remarkably improved for patients treated from 2009-2013 (49%, 95% CI 26-68%) as compared to 23% (95% CI 13-35%,  $p=0.02$ ) for patients treated prior to 2009. Three-year OS was 84% (95% CI 57-94%) vs 50% (95% CI 36-62%,  $p=0.01$ ), reflecting lower 1-year NRM (6%, 95% CI 0-16% vs 16%, 95% CI 6-26%;  $p=0.15$ ) and 3-year relapse rates (40%, 95% CI 17-63% vs 50%, 95% CI 34-65%;  $p=0.11$ ) during the more recent treatment era. In univariate analysis, OS with UCB and HLA-matched sibling transplants were 70%, 95% CI 53-82% and 52%, 95% CI 29-70%, respectively;  $p=0.02$ . Median follow-up of surviving patients was 4.4 years (range 1-12.2 years). In multivariate analysis (MVA), treatment in 2009-2013 and chemosensitive disease was associated with improved PFS and OS (Table 2). Adjusted OS was comparable between HLA-matched sibling and UCB transplantation (HR 0.65; 95% CI 0.28-1.48;  $p=0.30$ ). Factors significant in UVA and MVA are summarized in Table 2. HCT-CI 1 was associated with increased NRM at 1 year, however this trend was not significant (HCT-CI 1 15% vs 0% in patients with HCT-CI=0;  $p=0.1$ ). Graft source, KPS, ATG use and CMV status did not impact on NRM, relapse rate, PFS, or OS. For the entire cohort, median PFS was 26 months (range 1-146) and median OS was 53 months (range 12-146). In MVA, chemoresistant disease increased mortality (3.83, 95% CI 1.38-10.57), while treatment from 2009-2013 was associated with better OS (HR 0.24, 95% CI 0.07-0.79) and PFS (HR 0.46, 95% CI 0.23-0.92; Table 2).

### Toxicity and GVHD

Cumulative incidence of grade 2 to 4 acute GVHD was 44% and declined in the recent time period (25% vs 52%,  $p=0.03$ ). The cumulative incidence of chronic GVHD was 33% and also decreased in the recent time period (21% vs 39%). Our group previously reported that GVHD improvements are partially due to increased MMF dosing (up to 3 grams per day) in UCB transplants.<sup>18</sup> Patients with evidence of chronic GVHD diagnosed before relapse experienced lower cumulative incidence of relapse at 3 years (27%, 95% CI 5-49%) than patients without chronic GVHD (53%, 95% CI 38-69%;  $p=0.02$ ) leading to better 3-year PFS of 46% (95% CI 21-69%) versus 25% (95% CI 15-37%;  $p=0.01$ ) suggesting a graft-versus-lymphoma effect. Grade III-IV acute GVHD was associated with worse 3-year OS (22%, 95% CI 7-43% vs 71%, 95% CI 56-81%;  $p<0.01$ ).

### Discussion

Allo HCT represents the only potentially curative option for patients who have R/R HL failing prior auto HCT. Here, we report that survival in patients with advanced R/R HL undergoing allo HCT improved from 2000-2008 to 2009-2013. The survival rate for patients

treated after 2009 was above 80%, while NRM has been steadily declined to below 10%. These findings suggest that donor transplantation remains a viable modality for suitable patients with advanced HL.

We defined two time periods for allo HCT for comparison (before and after 2009) based upon availability of BV. The differences in the two groups reflect the efficacy of BV. We showed improved health in potential candidates undergoing allo HCT. Patients in the recent era undergo allo HCT with less frequent use of prior radiation therapy, lower HCT-CI and better disease control. Both improvement in CR rates which occurred in recent era and the reduced morbidity may be attributable to the use of less toxic BV as a safer and more effective salvage alternative. Additionally, better selection of appropriate candidates for transplantation is possible. Our data suggest that improved survival of patients with HL undergoing allo HCT overtime resulted from both reduction in NRM and decreased early relapse. Decreased morbidity and mortality after allo HCT in lymphoma has been attributed to RIC conditioning regimen, advances in supportive care, and improved strategies to prevent GVHD. Improvements in supportive measures include better infection prophylaxis and treatment, more comprehensive physical therapy and rehabilitation, and early nutritional evaluation and intervention. We showed that GVHD, both acute and chronic, has declined overtime. The decrease in acute GVHD may be due to more effective GVHD prophylaxis, such as increased MMF dosing in UCB transplants at our institution.<sup>18</sup> Using better HLA-matched UCB units (less 4/6- matched), younger donors, and higher proportion receiving ATG in recent era may contribute to decreased chronic GVHD. Also, the potential role of BV in GVHD treatment has been suggested as CD30 appears increased on effector and memory CD8+ T cells and serum soluble CD30 levels are elevated in acute GVHD.<sup>26</sup> Further investigation is needed on whether BV is playing a beneficial role in GVHD control.

The efficacy of allo HCT for HL has been controversial. In a few series, two critical prognostic factors for allo HCT that are predictive of outcomes are disease status at transplant and patient comorbidity/performance status.<sup>15, 27</sup> Lack of effective therapies to control advanced HL prior to transplant and comorbidities in heavily treated patients historically limited the benefit of allo HCT. Previous reports on the feasibility of RIC allo HCT for R/R HL in the era prior to 2009 showed 2-year PFS of 23-29% (Fred Hutchinson), 32% (MD Anderson), and 3-year PFS of 22% (Dana Farber); similar to our 3-year PFS of 23% for time period 2000-2008.<sup>28-30</sup> Relapse rates were as high as 50%.<sup>11, 30-36</sup> Our data also support a recent study by *Chen et al.* from 2014 who reported 2-year OS of 71% and 2-year PFS of 59%, which is comparable to 3-year OS of 84% and 3-year PFS of 49% from our 2009-2013 period.<sup>37</sup> PFS and OS for allo HCT for R/R HL have more than doubled in the recent BV era.

Regarding donor selection, the majority of patients received UCB transplants in this study with excellent long-term outcomes. Although overall numbers were small, we speculate that cord transplants have better graft versus tumor effect than matched sibling. This supports smaller recent studies on UCB HCT in HL, which suggest promising survival in patients lacking a suitable adult donor<sup>38</sup>, but caution about higher risk of lymphoproliferative disorders (PTLD) with use of anti-thymocyte globulin.<sup>39</sup> At our center, we developed a strategy of prospective EBV titer monitoring and treat EBV viremia pre-emptively with

rituximab with marked reduction of PTLD.<sup>40</sup> Our data concur with recent registry data on alternative donor use in patients with lymphoma<sup>41</sup> and a review by Messer et al. which indicates that unrelated donor and alternative donor sources versus sibling donor allo SCT result in similar outcomes in advanced HL.<sup>42</sup>

A limitation of this study is that it is a retrospective and single institution study, yet the design is reflective of actual practice as it has transformed over the last decade. Only one patient had received BV as maintenance therapy after auto HCT given only recent approval of this indication per AETHERA trial.<sup>43</sup> We report on one of the largest series of HL patients treated with RIC allo HCT after BV therapy.

Use of the salvage treatment BV yields improved responses over conventional multi-agent chemotherapy with less toxicity, thereby providing better candidates for allo HCT. The improved disease control prior to transplant most significantly impacts survival, resulting in a doubling of OS.<sup>6-8</sup> Additionally, the impressive activity of novel regimens, such as PD1 inhibitors, has potential to further enhance responses and survival in HL; however the timing with respect to allo HCT needs to be judiciously studied.<sup>44</sup> Allo HCT for R/R HL is now a more effective treatment.

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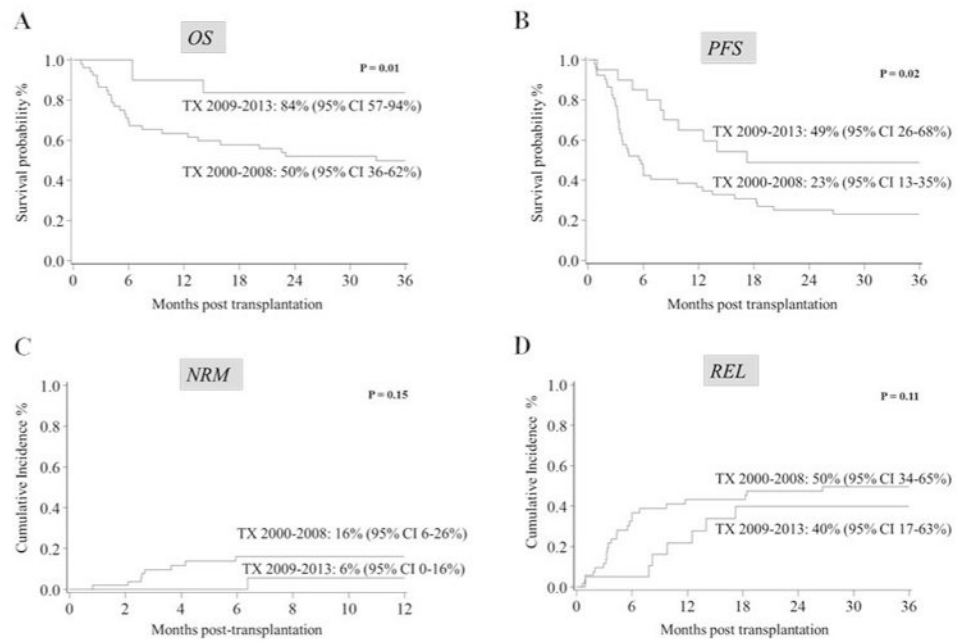
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### Figure 1. Transplantation Outcomes by Transplant Period

Progression-free survival (PFS), overall survival (OS), non-relapse mortality (NRM), and relapse (REL) comparing two transplant periods (TX): 2000-2008 (n=52) versus 2009-2013 (n=20). Median survival is 32.8 months and not reached, respectively. (A) Kaplan-Meier survival probabilities for 3-year OS. (B) Kaplan-Meier survival probabilities for 3-year PFS. (C) Cumulative incidence of NRM at 1-year. (D) Cumulative incidence of 3-year relapse for each time period. NRM were calculated as competing risks.

**Table 1**

**Patient, disease, and transplant characteristics**

Characteristics	All Groups (n=72)			2000-2008 (n=52)	2009-2013 (n=20)	p-value
Recipient age at allo HCT, median (range), yr	31 (6-58)	33 (6-58)	26 (17-54)			0.12
Donor age, median (range), yr	36 (14-57)	39 (14-57)	27 (15-39)			0.03
Recipient gender, male, n (%)	42 (58%)	29 (56%)	13 (65%)			0.48
Donor gender, male, n (%)	17 (24%)	11 (52%)	6 (60%)			0.69
Kamofsky Score >90, n (%)	7 (10%)	6 (12%)	1 (5%)			0.35
HCT-Cl, n (%)						<0.01
0	18 (25%)	6 (12%)	12 (60%)			
1-2	17 (24%)	14 (27%)	3 (15%)			
>=3	33 (46%)	28 (54%)	5 (25%)			
Stage at Diagnosis, n (%)						0.32
I	2 (3%)	2 (5%)	0			
II	23 (36%)	13 (30%)	10 (50%)			
III	23 (36%)	18 (41%)	5 (25%)			
IV	16 (25%)	11 (25%)	5 (25%)			
Primary refractory to induction, n (%)	13 (18%)	12 (23%)	1 (5%)			0.64
No. previous regimens, median (range)	4 (2-7)	4 (2-7)	4 (2-7)			0.52
Received BV, n (%)	13 (18%)	1 (2%)	12 (60%)			<0.01
No. cycles of BV, median (range)	4 (1-16)	1(1-1)	4.5 (2-16)			0.11
Prior auto HCT, n (%)	56 (82%)	38 (79%)	18 (90%)			0.29
Post auto-HCT relapse, n (%)						0.40
<1 year	20 (28%)	12 (23%)	8 (40%)			
>1 year	5 (7%)	4 (8%)	1 (5%)			
Absence of prior radiotherapy, n (%)	15 (21%)	6 (12%)	9 (45%)			0.03
CR status at allo HCT, n (%)	22 (31%)	12 (23%)	10 (50%)			0.03
Disease status at allo HCT, n (%)						0.07
CR	22 (32%)	12 (24%)	10 (53%)			
Chemoresistant	7 (10%)	6 (12%)	1 (5%)			
Chemoresistant non-CR	40 (58%)	32 (64%)	8 (42%)			

Characteristics	All Groups (n=72)	2000-2008 (n=52)	2009-2013 (n=20)	p-value
<i>Time from diagnosis to transplant, median (range), yr</i>	3 (1-19)	3 (1-19)	3 (1-9)	0.99
<i>Preparative regimen, n (%)</i>				
BU/CLAD/TBI	4 (6%)	4 (8%)	0	0.22
BU/FLU/TBI	8 (11%)	8 (15%)	0	
CY/FLU/TBI	55 (76%)	37 (71%)	18 (90%)	
CY/FLU/TBI/ATG	5 (7%)	3 (6%)	2 (10%)	
Received ATG, n (%)	5 (7%)	3 (6%)	2 (10%)	0.53
<i>Donor type, n (%)</i>				
SIB	26 (36%)	17 (33%)	9 (45%)	0.53
MUD	6 (8%)	4 (8%)	2 (10%)	
UCB	40 (56%)	31 (60%)	9 (45%)	
<i>Stem-cell source, n (%)</i>				
BM	7 (10%)	5 (10%)	2 (10%)	0.56
PB	24 (33%)	15 (29%)	9 (45%)	
UCB	40 (56%)	31 (60%)	9 (45%)	
<i>GVHD Prophylaxis, n (%)</i>				
CSA/MMF	69 (96%)	52 (100%)	17 (85%)	0.02
Siro/MMF	1 (1%)	0	1 (5%)	
Tacro/Siro/MTX	2 (3%)	0	2 (10%)	
<i>HLA match, n (%)</i>				
4/6	20 (28%)	18 (35%)	2 (10%)	0.11
5/6	19 (26%)	12 (23%)	7 (35%)	
6/6, 8/8	1 (1%)	22 (42%)	11 (55%)	
	18 (25%)			
<i>CD34+ cell count, median (range), cells ×10<sup>6</sup></i>				
SIB	0.7 (0.1-13.7)	0.7 (0.1-13.7)	2.3 (0.1-12.8)	0.20
MUD	6.2 (3.0-13.7)	6.0 (3.0-13.7)	6.2 (3.3-12.8)	1.00
	1.5 (0.8-5.3)	1.3 (0.8-1.8)	3.3 (1.2-5.3)	0.72

Characteristics	All Groups (n=72)	2000-2008 (n=52)	2009-2013 (n=20)	p-value
UCB	0.5 (0.1-1.2)	0.5 (0.1-1.2)	0.5 (0.1-0.7)	0.81
Follow-up of survivors, median (range), mo	53 (12-146)	66 (26-146)	32 (12-72)	

Abbreviations: allo HCT = allogeneic hematopoietic cell transplantation; CMV = cytomegalovirus; auto HCT = autologous hematopoietic cell transplantation; HCT-CI = hematopoietic comorbidity index; SIB=sibling; MUD = matched unrelated donor; UCB = umbilical cord blood; BM = bone marrow; PB = peripheral blood; CR = complete remission; BU = busulfan; CLAD = cladribine; FLU = fludarabine; TBI = total body irradiation; ATG = anti-thymocyte globulin; GVHD = graft-versus-host disease.; CSA = cyclosporine; MMF = mycophenolate; Siro = sirolimus; Tacro = tacrolimus; MTX = methotrexate; BV = brentuximab vedotin.

**Table 2**  
**Univariate and Multivariate Analyses of Prognostic Factors Post-Transplant**

Factor	Value	Univariate			Multivariate		
		Estimate	95% CI	p-value	HR	95% CI	p-value
<i>OS (3-year)</i>							
<i>Disease status</i>							
	Chemoresistant	62%	49-73%	<0.01	1.00		<0.01
	Chemoresistant	29%	4-61%		3.83	1.38-10.57	
<i>Donor type</i>							
	SIB	52%	29-70%	0.02	1.00		<0.01
	MUD	14%	1-46%		3.25	1.13-9.32	0.03
	UCB	70%	53-82%		0.65	0.28-1.48	0.30
<i>Transplant year</i>							
	2000-2008	50%	36-62%	0.01	1.00		0.02
	2009-2013	84%	57-94%		0.24	0.07-0.79	
<i>Acute GVHD II-IV</i>							
	No	81%	64-91%	<0.01			
	Yes	30%	15-46%				
<i>PFS (3-year)</i>							
<i>Disease status</i>							
	Chemoresistant	33%	22-45%	0.01	1.00		0.02
	Chemoresistant	0%			2.68	1.17-6.10	
<i>Transplant year</i>							
	2000-2008	23%	13-35%	0.02	1.00		0.03
	2009-2013	49%	26-68%		0.46	0.23-0.92	
<i>Chronic GVHD</i>							
	No	25%	15-37%	0.01			
	Yes	46%	21-69%				
<i>NRM (1-year)</i>							
<i>Acute GVHD II-IV</i>							
	No	25%	9-41%	0.03			

Factor	Value	Univariate			Multivariate		
		Estimate	95% CI	p-value	HR	95% CI	p-value
<i>Relapse (3-year)</i>							
	Yes	5%	0-12%				
<i>Chronic GVHD</i>							
	No	53%	38-69%	0.02	1.00		0.03
	Yes	27%	5-49%		0.34	0.13-0.89	

Abbreviations: HR=hazard ratio; OS=overall survival; PFS=progression-free survival; NRM=non-relapse mortality; CI=confidence interval; SIB=sibling; MUD = matched unrelated donor; UCB = umbilical cord blood; GVHD=graft-versus-host disease.