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## Effect of OTX-101, a Novel Nanomicellar Formulation of Cyclosporine A, on Corneal Staining in Patients With Keratoconjunctivitis Sicca: A Pooled Analysis of Phase 2b/3 and Phase 3 Studies

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**Background:** Keratoconjunctivitis sicca affects 5% to 33% of the population and is often accompanied by symptoms such as burning and dryness. This pooled analysis evaluated total and central corneal fluorescein staining (CFS) in patients receiving OTX-101 0.09% or vehicle in phase 2b/3 and 3 studies and whether improvements in corneal staining correlated with improved visual acuity.

**Methods:** In these randomized, vehicle-controlled studies, patients received 1 drop of OTX-101 or vehicle in both eyes twice daily. Corneal staining was performed at baseline and days 28, 56, and 84.

CFS was evaluated in each zone (0-to-4 scale); total corneal staining (0-to-20 scale per eye) was averaged over both eyes. Pooled safety assessments included adverse event monitoring.

**Results:** Mean baseline CFS total scores (SD) were 4.2 (2.5) and 4.3 (2.6) for the OTX-101 (n = 523) and vehicle (n = 525) groups, respectively. For total corneal staining, least squares mean changes from baseline (standard error) were -0.9 (0.08) versus -0.5 (0.08) for OTX-101 and vehicle, respectively (P = 0.0008), on day 28 and -1.4 (0.09) versus -0.9 (0.09) on day 84 (P = 0.0002). There was a significantly high correlation (P = 0.0117) between reduced central

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corneal staining and improved visual acuity on day 84. Treatmentrelated adverse events were mostly mild, with instillation site pain reported by 21.8% and 4.0% of patients receiving OTX-101 and vehicle, respectively.

**Conclusions:** Treatment with OTX-101 led to greater improvements versus vehicle in corneal surface staining as early as 4 weeks, and further improvements were seen up to 12 weeks. OTX-101 was well tolerated in patients with keratoconjunctivitis sicca.

Key Words: keratoconjunctivitis sicca, dry eye disease, nanomicelles, OTX-101

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Dry eye disease, also known as keratoconjunctivitis sicca (KCS), is a multifactorial disease of the ocular surface characterized by a loss of homeostasis of the tear film and is accompanied by ocular symptoms in which tear film instability and hyperosmolarity and ocular surface inflammation and damage play etiological roles.<sup>1</sup> Dry eye disease is a vicious cycle in which hyperosmolarity leads to an inflammatory cascade that results in ocular surface damage.<sup>2</sup>

The clinical presentation of KCS varies from patient to patient, and the relationship between signs and symptoms is not linear.<sup>3</sup> Symptoms of KCS may include burning, stinging, grittiness, foreign body sensation, and dryness. Although the prevalence of KCS is difficult to determine because of inconsistent definitions and populations, studies have shown that the prevalence ranges from 5% to 33%.<sup>4</sup>

Treatment of KCS progresses in a stepwise approach, starting with education, lid hygiene, and modification of environmental factors and then progressing to nonpharmacologic and pharmacologic management.<sup>5</sup> There are currently 2 topical ocular pharmacologic treatments in use to treat KCS, cyclosporine 0.05% ophthalmic emulsion (Restasis; Allergan, Irvine, CA) and liftegrast 5% ophthalmic solution (Xiidra; Shire US Inc, Lexington, MA).<sup>6,7</sup>

The current pharmacologic treatments of KCS have several limitations. Almost 20% of patients with dry eye are dissatisfied with their overall treatment, which may include artificial tears, ocular lubricants, and prescription medications; areas of dissatisfaction include symptom relief, treatment side effects, and amount of time it takes to experience symptom relief.<sup>8</sup> In addition, lipophilic drugs such as cyclosporine A (CsA) have low ocular tissue bioavailability and require innovative approaches to get more of the drug into ocular tissue.<sup>9,10</sup> This highlights the unmet need for an improved ophthalmic drug delivery system.

OTX-101 (CsA 0.09%, CEQUA; Sun Pharmaceutical Industries, Inc, Cranbury, NJ) is approved by the Food and Drug Administration to increase tear production in patients with KCS.<sup>11</sup> OTX-101 is a novel nanomicellar solution of CsA that improves the bioavailability of the active ingredient in ocular tissues, with negligible systemic exposure (see Supplemental Figure 1, Supplemental Digital Content 1, http://links.lww.com/ICO/A798).<sup>12</sup> In the phase 2b/3 and 3 studies, OTX-101 was superior to vehicle in increasing tear production and improving conjunctival and corneal staining

and demonstrated improved corneal fluorescein staining (CFS) as early as 4 weeks with an acceptable tolerability profile.<sup>13</sup>

Patients with KCS may have increased CFS because of corneal surface damage; conversely, an improvement in CFS may indicate an improvement in corneal surface integrity.<sup>14</sup> This pooled analysis evaluated both total and central CFS in subjects receiving either OTX-101 0.09% or vehicle in the phase 2b/3 and phase 3 studies. In KCS, impaired visual function is associated with central corneal epithelial damage, which may adversely affect daily functioning.<sup>15</sup> An improvement in central corneal staining scores may relate to an improvement in visual acuity (VA).<sup>15</sup> Therefore, this secondary analysis also assessed whether improvements in corneal staining after treatment with OTX-101 0.09% correlate with improvements in VA in KCS and whether VA improved in patients treated with OTX-101 versus vehicle.

#### **METHODS**

#### **Study Design**

This is a pooled analysis of a phase 2b/3 and a phase 3 study that evaluated the effect of OTX-101 0.09% on CFS compared with vehicle. Both were randomized, multicenter, double-masked, vehicle-controlled studies of 12-week duration. Patients were enrolled at 29 and 45 sites across the United States for phase 2b/3 and phase 3 studies, respectively. The details of these registered studies (ClinicalTrials.gov: phase 2b, NCT02254265; phase 3, NCT02688556) were published previously.<sup>13</sup> All study documents including informed consent were approved by a central institutional review board, and the studies were conducted in accordance with the International Council for Harmonisation guidelines.

#### **Study Time Points and Treatments**

Each study design (phase 2b/3 and phase 3) is shown in Supplemental Digital Contents 2 and 3 (see Supplemental Figure 2, http://links.lww.com/ICO/A799 and http://links.lww.com/ICO/A800). In the phase 2b/3 study, all patients first entered a run-in period for 14 to 17 days before being randomized in a 1:1:1 ratio to vehicle, OTX-101 0.05%, or OTX-101 0.09%. Patients returned for visits at baseline and days 14, 28, 42, 56, and 84 or at early discontinuation. In the phase 3 study, the run-in period was 14 to 20 days, and patients were randomized to either vehicle or OTX-101 0.09%. Patients returned for visits on days 0 (baseline), 28, 56, and 84 or at early discontinuation.

During the run-in period, all enrolled patients received 1 drop of vehicle per eye twice daily to wash out any residual effect of previous ocular medications. The composition of vehicle was identical to that of OTX-101, except for omission of CsA. The current pooled analyses focus on the FDA-approved 0.09% dosing<sup>11</sup> and include observations from the common time points of the 2 studies: days 0, 28, 56, and 84 or early discontinuation. Patients and all study personnel were masked to randomization. Patients were instructed to administer 1 drop of treatment to both eyes twice daily for 84 days and were followed through the end of treatment.

## Key Eligibility Criteria

Eligibility criteria for both studies were the same and were published previously in their entirety.<sup>13</sup> Briefly, both studies enrolled adults aged  $\geq 18$  years. Key inclusion criteria were the following: self-reported history of  $\geq 6$ months of KCS; clinical diagnosis of bilateral KCS; lissamine green conjunctival staining sum score of 3 to 9 out of a possible score of 12, excluding the superior zones, in the same eye at both screening and baseline visits; Snellen VA better than 20/200 in each eye; and willingness to discontinue any current dry eye treatment for the duration of the study. Key exclusion criteria for both studies were the following: use of cyclosporine ophthalmic emulsion 0.05% within 3 months of screening, previous treatment failure with cyclosporine ophthalmic emulsion 0.05%, diagnosis of Sjögren disease >5 years before screening, and corneal refractive surgery within 6 months of screening.

#### Efficacy Assessments

The pooled analyses included CFS measurements performed at baseline and common study days 28, 56, and 84 or early discontinuation. One drop (10  $\mu$ L) of 0.5% fluorescein solution was instilled by a pipette into the conjunctival cul-de-sac, followed by adequate blinking. CFS was evaluated in each eye and graded on a 0-to-4-point scale (0.5-point increments; 0 = no staining/clearand 4 = severe diffuse macropunctate NaFl stain spots; Table 1; see Supplemental Figure 3, Supplemental Digital Content 4, http://links.lww.com/ICO/A801). Total corneal staining was scored on a 0-to-20-point scale (total possible 4 points for each of the 5 corneal zones) per eye and was averaged over both eyes at each assessment. Although the eye with higher lissamine green conjunctival staining was chosen as the study eye in the phase 2b/3 analyses, phase 3 included observations from both eyes; the pooled analysis included both eyes.

#### **Other Signs and Symptoms**

Additional subjective and objective assessments included Snellen VA, slit-lamp examination, lissamine green

Score	Description		
0	No punctate stain in the area		
0.5	1-5 micropunctate stain spots		
1.0	6-15 micropunctate stain spots		
1.5	More than 15 micropunctate stain spots		
2.0	Moderate macropunctate stain spots (involving <50% of the area)		
2.5	Moderate macropunctate stain spots (involving >50% of the area)		
3.0	Clumped macropunctate stain spots (involving <50% of the area)		
3.5	Clumped macropunctate stain spots (involving >50% of the area)		
4.0	Severe diffuse (coalescent) macropunctate stain of the area		

conjunctival staining, and Symptom Assessment iN Dry Eye to assess the frequency and severity of dryness and/or irritation and were performed at days 0, 28, 56, and 84; the unanesthetized Schirmer test to assess signs of KCS was

the unanesthetized Schirmer test to assess signs of KCS was performed on days 0 and 84; intraocular pressure and dilated ophthalmoscopy for fundus examination was performed on day 84. Snellen VA was collected as a safety end point in the phase 2b/3 and phase 3 studies and used in the pooled efficacy analysis in an exploratory manner under the post hoc hypotheses of a relationship to central CFS and to OTX-101 treatment. Adverse events (AEs) were monitored at each of the study visits.

#### **Statistical Analysis**

Data from randomized patients in the intent-to-treat populations from the phase 2b/3 and phase 3 studies who received either OTX-101 0.09% or vehicle were pooled at the common study time points (baseline and study days 28, 56, and 84). Summary statistics (n, mean, median, minimum, and maximum) were used to describe observed continuous variables and by-patient arithmetic changes from baseline. For the changes from baseline that could not be calculated because of missing data, values were not imputed.

The relationship between treatment and change in CFS from baseline was assessed using a restricted maximum likelihood repeated-measures mixed model on changes from baseline values as the dependent variable, treatment group as the fixed effect, baseline CFS as a covariate, and visit in relation to paired eyes within a patient as repeated measures. Differences between treatments were compared using analysis of covariance. The relationship between central CFS and Snellen VA as continuous variables was assessed in 2 steps. First, the t tests of the mean differences in CFS and VA scores between treatments were performed to test whether OTX-101 treatment influenced changes observed relative to vehicle therapy postbaseline. Second, test statistics were developed from a restricted maximum likelihood repeated-measures mixed model with VA as the dependent variable, central CFS scores as the fixed effect, and baseline VA as a covariate. Analyses and models fit using CFS and Snellen VA describe single eyes; analyses of CFS alone in relation to treatment describe single subjects.

Comparison of binary measures of response was derived from reported CFS scores, and the extent of nonrandom association between CFS and VA categories was evaluated using the Fisher exact test. Analyses and models fit using CFS and VA describe single eyes; analyses of CFS alone in relation to treatment describe single patients.

## RESULTS

# Patient Disposition and Demographic Characteristics

The disposition for all screened patients (N = 1508) is presented in Figure 1. Of 1200 enrolled patients, 523 patients were randomized to OTX-101 0.09% and 525 to vehicle, and 487 and 505 patients, respectively, completed the 12-week phase 2b/3 and 3 studies (Fig. 1). The mean (SD) age of patients was 58.6 (14.2) years for the OTX-101 group and 59.5 (14.4) years for the vehicle group (Table 2). The majority of the study population was female (83.6%) and white (83.4%) for the OTX-101 group and 82.1% and 81.0%, respectively, for the vehicle group. Baseline characteristics including CFS scores were similar between the 2 groups.

### Efficacy

#### **Corneal Staining**

Mean baseline CFS total scores (SD) for both eyes for the OTX-101 and vehicle groups were 4.2 (2.5) and 4.3 (2.6), respectively (Table 2). For total CFS, the least squares mean changes from baseline were significant for all common postbaseline time points in OTX-101 versus vehicle (P = 0.0008, 0.0013, and 0.0002 for days 28, 56, and 84, respectively, Fig. 2A). Four of 5 zones (central, inferior, lateral, and medial) had significantly improved corneal clearing with OTX-101 versus vehicle at all time points, except for the lateral zone on day 28 (Figs. 2B–E). Superior zone CFS scores were similar for both groups at all time points (Fig. 2F). In patients with CFS >0 at baseline, OTX-101 significantly improved corneal staining at all time points versus vehicle (Fig. 3).

There was a significantly high correlation between reduced central corneal staining and improved Snellen VA on day 84 (P = 0.0117, Fig. 4A). There was a statistically significant relationship between treatment with OTX-101 and modest improvement of VA at days 56 and 84 (P = 0.0399 and 0.0152, respectively) (Fig. 4B).

#### **Other Signs and Symptoms**

The primary efficacy end point of  $\geq 10$  mm increase from baseline in the Schirmer test score on day 84 was met for both studies, with a clinically meaningful and significant increase in tear production (16.6% of eyes vs 9.0% of eyes for OTX-101 0.09% and vehicle, respectively, P < 0.0001).<sup>13</sup> Similarly, the mean decrease from baseline at day 84 in conjunctival staining was significantly greater for OTX-101 0.09% versus vehicle.<sup>13</sup> Subjective improvement in symptoms of dryness and irritation was similar for both treatment groups.

#### Safety

Treatment-emergent adverse events (TEAEs) in the pooled population were mostly mild to moderate in nature and resolved without any treatment. Conjunctival hyperemia was the most frequent ocular AE that occurred in 30 (5.7%) and 19 (3.6%) patients in the OTX-101 and vehicle groups, respectively. Instillation site pain (typically described as mild stinging and/or burning after each instillation) was the most frequent administration site TEAE, reported by 114 (21.8%) and 21 (4.0%) patients in the OTX-101 and vehicle groups, respectively (Table 3). Other administration site TEAEs occurred in less than 1% of the study population and were similar between the 2 groups.

There were no clinically significant changes from baseline in VA. There were no clinically significant abnormalities noted for intraocular pressure measurements in both studies. Although the fundus examinations in the phase 2b/3 study were within the normal range, a clinically significant shift was recorded in 1 patient each from OTX-101 0.09% and vehicle groups in the phase 3 study. No serious TEAEs related to the study medications were observed in either study.

## DISCUSSION

The results of this pooled analysis showed that OTX-101 0.09% improved corneal staining at 4 weeks of treatment and continued through the study end. The currently available pharmacologic dry eye treatments show statistically significant improvement versus vehicle in corneal staining scores at day 84.<sup>16,17</sup>

The nanomicelle formulation of CsA (OTX-101) showed a >10-time increase in aqueous concentrations of



**FIGURE 1.** Patient disposition. Patients who received OTX-101 0.05% only in the phase 2b/3 study are not included in the present pooled analysis.

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	OTX-101 0.09% (N = 523)	Vehicle (N = 525)	Overall (N = 1048)
Age, yr, mean (SD)	58.6 (14.23)	59.5 (14.40)	59.0 (14.31)
Sex			
Male	86 (16.4)	94 (17.9)	180 (17.2)
Female	437 (83.6)	431 (82.1)	868 (82.8)
Ethnicity			
Hispanic or Latino	86 (16.44)	84 (16.0)	170 (16.22)
Non-Hispanic or Latino	437 (83.56)	441 (84.0)	878 (83.78)
Race			
White	436 (83.37)	425 (81.0)	861 (82.16)
American Indian or Alaskan Native	1 (0.19)	0	1 (0.09)
Native Hawaiian or other Pacific Islander	0	3 (0.57)	3 (0.29)
Black or African American	54 (10.33)	65 (12.38)	119 (11.35)
Asian	18 (3.44)	15 (2.86)	33 (3.15)
Other	14 (2.68)	17 (3.24)	31 (2.96)
Baseline CFS score, mean (SD)			
Total	4.16 (2.52)	4.34 (2.64)	4.25 (2.59)
Central zone	0.65 (0.64)	0.70 (0.71)	0.67 (0.67)
Inferior zone	1.32 (0.78)	1.36 (0.79)	1.34 (0.79)
Lateral zone	0.73 (0.61)	0.78 (0.60)	0.76 (0.61)
Medial zone	1.00 (0.70)	0.99 (0.70)	1.00 (0.70)
Superior zone	0.46 (0.48)	0.51 (0.54)	0.48 (0.51)

**TABLE 2.** Pooled Demographic and Baseline Clinical

 Characteristics

Data presented as n (%) unless otherwise indicated.

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CsA in comparison to an oil-based formulation of CsA, which allows for a higher distribution of the drug into the target tissues to address the underlying cause of dry eye disease.<sup>12</sup> The change from baseline for total CFS was significant at all time points for patients receiving OTX-101 versus vehicle. There was also significant improvement seen in corneal staining in 4 of the 5 corneal zones, and particularly the central corneal zone, which is integral for maintaining visual function, for patients receiving OTX-101 versus vehicle.<sup>15</sup>

There is a correlation between dry eye disease and a decrease in VA. This is believed to be due to the changes on the ocular surface regulating the factors needed to form clear visual images.<sup>18–20</sup> This study demonstrates that OTX-101 may improve VA, along with CFS, as early as 2 months after treatment begins. Improving VA helps increase the quality of life for patients with dry eye disease. Additional studies of longer duration are needed to determine how long an improvement in VA correlates with an improvement in CFS.

Overall, OTX-101 0.09% seemed to have acceptable tolerability and a low incidence of instillation site AEs. The rate of instillation site AEs for OTX-101 0.09% was similar to the currently available pharmacologic KCS treatments.<sup>16,17</sup> In the present studies, instillation site pain (burning and stinging) was reported by 21.8% of patients receiving OTX-101; most of the complaints of instillation site pain were transient and rated mild in intensity. In the phase 3 study for the currently marketed CsA formulation, a total of 19.7% of patients experienced burning and stinging.<sup>17</sup> In both studies, the OTX-101 0.09% group had no incidence of dysgeusia, which is the most commonly reported nonocular AE in patients receiving liftegrast.<sup>16</sup>



FIGURE 2. Least squares mean change from baseline on days 28, 56, and 84 in corneal fluorescein staining in patients treated with OTX-101 versus vehicle in the pooled population for (A) total score, (B) central zone, (C) inferior zone, (D) lateral zone, (E) medial zone, and (F) superior zone. *P* values for OTX-101 0.09% versus vehicle.



**FIGURE 3.** Mean central corneal staining score over time in patients with baseline scores >0. *P* values for OTX-101 least squares mean change from baseline versus vehicle.

A limitation of the pooled analysis is that both studies were of relatively short duration. Although improvement in CFS was seen at day 28 and continued through day 84, it is not known whether this improvement would continue to show





TABLE 3. Summary of Administration Site Treatment-Emergent Adverse Events

Instillation Site TEAE	OTX-101 0.09% (N = 524)	Vehicle ( $N = 524$	
Pain	114 (21.8)	21 (4.0)	
Lacrimation	4 (0.8)	0	
Pruritus	4 (0.8)	1 (0.2)	
Reaction	4 (0.8)	3 (0.6)	
Hypersensitivity	1 (0.2)	0	
Foreign body sensation	1 (0.2)	0	
Warmth	1 (0.2)	0	
Discomfort	0	3 (0.6)	
Irritation	0	1 (0.2)	

significance if the study length was extended. Another limitation of both studies was the use of vehicle only, rather than an active comparator. The assessment of VA as a safety end point on days 28, 56, and 84 may have been performed in a discretionary manner, thereby possibly resulting in a weaker correlation with CFS than if VA data had been collected as an efficacy end point. Finally, the vehicle was used in both studies as the comparator, rather than the currently available formulation of CsA. This limits the ability to make direct comparisons of the superiority of OTX-101 over the currently available formulation of CsA with regard to corneal staining.

Overall, treatment with OTX-101 0.09% led to greater improvements versus vehicle in corneal surface integrity in patients with KCS as early as 4 weeks. The improvements were maintained through 12 weeks, as demonstrated by reduced total and central CFS scores in both eyes. Statistically significant improvements in VA correlated with reduced central corneal staining on day 84 and are noted between treatments as early as day 56. OTX-101 0.09% was well tolerated in both studies. A faster time to efficacy in KCS treatment may help increase patient satisfaction and persistence with their medication and treatment. In addition, an improvement in corneal staining that correlates with an improvement in VA also may help increase patient satisfaction. These findings support the use of OTX-101 0.09% in patients with KCS.

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