

# Application of Tumor Burden Score for predicting conversion outcome in patients with initially unresectable colorectal liver metastases after first-line systemic therapy

Jianhong Peng<sup>\*</sup> , Yujun Liu<sup>\*</sup> , Weihao Li<sup>\*</sup>, Yuzhu Lin, Hui Sun, Zhizhong Pan, Xiaojun Wu, Wenhua Fan and Junzhong Lin

## Abstract

**Background:** Patients with initially unresectable colorectal liver metastases (CRLM) could achieve survival benefit from successful conversion therapy. Recently, Tumor Burden Score (TBS) was proposed as a valuable index to predict outcome following resection of CRLM. The study is aimed to investigate the association of TBS with conversion outcome.

**Methods:** A total of 234 patients who underwent first-line treatment in our center were enrolled as training cohort. The validation cohort was developed from 89 patients in our previous study. Cut-off value of TBS was calculated to stratify patients into two groups. Significance test and logistic regression model were used to examine the prediction value of TBS for conversion outcome after first-line systemic therapy. Kaplan–Meier method and Cox proportional hazard model were applied to assess the prognostic value of TBS.

**Results:** TBS showed good discriminatory power [area under curve (AUC) 0.726,  $p < 0.001$ ] with cut-off value defined as 14.3 in training cohort, which was validated in the validation cohort. Increasing TBS was related to adverse chemotherapy response and conversion outcome. Low TBS group had three times higher conversion rate than that in high TBS group (57.3% versus 19.0%,  $p < 0.001$ ). Multivariate analysis indicated that high TBS [odds ratio (OR) = 3.676, 95% confidence interval (CI) 1.671–8.429,  $p = 0.002$ ], first-line treatment response as stable disease (SD) or progressive disease (PD) (OR = 9.247; 95% CI 4.736–18.846,  $p < 0.001$ ), and absence of targeted therapy (OR = 2.453, 95% CI 1.139–5.455,  $p = 0.024$ ) were three independent risk factors for failure conversion outcome. High TBS was significantly associated with conversion outcome whatever chemotherapy response, addition of targeted therapy, and Kirsten rat sarcoma viral oncogene homolog (KRAS) status. High TBS was also associated with worse overall survival.

**Conclusion:** TBS should be applied in clinical practice to predict conversion outcome in patients with initially unresectable CRLM.

**Keywords:** colorectal liver metastases, conversion therapy, Tumor Burden Score

Received: 2 September 2021; revised manuscript accepted: 24 November 2021.

## Highlights

- Patients with higher Tumor Burden Score (TBS) tend to have worse objective response and conversion outcome.
- TBS predicts conversion outcome for patients with initially unresectable CRLM.
- TBS is a prognostic marker of overall survival for patients with initially unresectable CRLM.

*Ther Adv Gastroenterol*

2021, Vol. 14: 1–16

DOI: 10.1177/  
17562848211066206

© The Author(s), 2021.  
Article reuse guidelines:  
sagepub.com/journals-  
permissions

Correspondence to:

**Junzhong Lin**  
Department of Colorectal  
Surgery, Sun Yat-sen  
University Cancer Center,  
651 Dongfeng Road East,  
Guangzhou 510060,  
Guangdong, P.R. China

State Key Laboratory of  
Oncology in South China,  
Guangzhou, P.R. China

Collaborative Innovation  
Center for Cancer  
Medicine, Guangzhou, P.R.  
China

[linjzh@sysucc.org.cn](mailto:linjzh@sysucc.org.cn)

**Wenhua Fan**  
Department of Colorectal  
Surgery, Sun Yat-sen  
University Cancer Center,  
651 Dongfeng Road East,  
Guangzhou 510060,  
Guangdong, P.R. China

State Key Laboratory of  
Oncology in South China,  
Guangzhou, P.R. China  
Collaborative Innovation  
Center for Cancer  
Medicine, Guangzhou, P.R.  
China

[fanwh@sysucc.org.cn](mailto:fanwh@sysucc.org.cn)

**Jianhong Peng**  
**Weihao Li**  
**Yuzhu Lin**  
**Zhizhong Pan**  
**Xiaojun Wu**

Department of Colorectal  
Surgery, Sun Yat-sen  
University Cancer Center,  
Guangzhou, P.R. China

State Key Laboratory of  
Oncology in South China,  
Guangzhou, P.R. China;  
Collaborative Innovation  
Center for Cancer Medicine,  
Guangzhou, P.R. China

**Yujun Liu**

**Hui Sun**  
Zhongshan School of  
Medicine, Sun Yat-sen  
University, Guangzhou,  
P.R. China

\*Jianhong Peng, Yujun Liu  
and Weihao Li contributed  
equally to this work

## Introduction

Colorectal cancer (CRC) has become one of the leading malignant diseases with high incidence and mortality rates in the world,<sup>1</sup> and liver is the most common site for metastases, accounting for more than half of patients with metastatic CRC.<sup>2</sup> Hepatic resection is recommended as the most important curative measure for colorectal liver metastases (CRLM).<sup>3</sup> However, nearly 80% of patients with CRLM were considered unresectable at the time of diagnosis.<sup>4,5</sup> After systemic chemotherapy, 22.5–51.9% of these patients were qualified for liver local curative therapy to achieve the status of no evidence of disease (NED).<sup>6,7</sup> As a result, 5-year survival rate could be raised from 9% to 32% compared with systemic therapy alone.<sup>5,8</sup> Therefore, conversion treatment followed by liver local curative therapy is of great benefit for patients with initially unresectable CRLM and thus should be carefully considered. Although various studies had been conducted to find out the optimal conversion approach,<sup>9,10</sup> distribution of conversion rate in different patient cohorts and factors associated with conversion rate still remained unclear. Hence, exploring novel parameters to identify various conversion risk subgroups to guide personalized treatment is urgently needed.

Recently, Kazunari Sasaki *et al.* first reported that the ‘Tumor Burden Score’ (TBS), which was developed by tumor size and number of liver metastases, showed superior prognostic discriminatory power for CRLM patients compared with Fong score.<sup>11,12</sup> While the original model was established based on tumor size and number obtained from pathological specimen after resection, imaging TBS using radiographic data also helped to predict survival outcomes preoperatively and had similar performance as pathological TBS.<sup>13</sup> As such, imaging TBS could be utilized to determine the optimal strategy for patients before initial treatment. However, few studies have investigated the clinical significance of baseline TBS for patients with initially unresectable CRLM.

Therefore, this study was designed to investigate the baseline TBS in patients with initially unresectable CRLM receiving first-line systemic treatment. Accordingly, we aimed to (1) describe the distribution characteristics of baseline TBS in unresectable CRLM and (2) investigate the

association of TBS with conversion outcome in order to identify TBS as a valuable parameter to stratify patients into different conversion risk groups.

## Methods

### Study population

A total of 234 patients who were diagnosed with initially unresectable CRLM and underwent first-line treatment from December 2012 to January 2020 at Sun Yat-sen University Cancer Center were enrolled in the training cohort. All patients met the following inclusion criteria: (1) histologically confirmed colorectal adenocarcinoma, (2) all metastatic lesion limited to liver, (3) no previous liver resection or interventional therapy, and (4) explicit conversion outcome. To evaluate the efficacy of TBS for prediction of conversion outcome and prognosis, the validation cohort included patients who received first-line treatment from May 2009 to June 2013 at our center in our previous study.<sup>7</sup> The patients in the validation cohort also met the inclusion criteria as the training cohort and there is no overlap of patients between the two cohorts. Informed consent for the use of the clinical data was obtained from the patients before first-line treatment. All procedures were performed based on the Declaration of Helsinki. The study was approved by the Institutional Research Ethics Committee of Sun Yat-sen University Cancer Center (approval number: B2020-309-01).

### Definition of parameter and cut-off value

Clinical T stage and N stage of primary CRC were determined according to the seventh edition of the UICC-TNM staging system for CRC. The characteristics of liver metastases, including number, maximum diameter, distribution, and invasion of blood vessels, were assessed based on enhanced abdominal nuclear magnetic resonance imaging (MRI) or computed tomography (CT). TBS was calculated according to the following mathematical equation:  $(TBS)^2 = (\text{maximum tumor diameter in cm})^2 + (\text{number of tumor})^2$ .<sup>11</sup> The carcinoembryonic antigen (CEA) and cancer antigen (CA) 19-9 (CA19-9) levels were measured before systemic therapy, and the cut-off value was 10 ng/ml and 35 U/ml, respectively, according to our previous study.<sup>14</sup>

### *Treatments outcomes*

The treatment strategy and operability of the liver metastases of each patient were determined based on the final agreement of the multidisciplinary team (MDT), including staffs from Department of Colorectal Surgery, Hepatobiliary Surgery, Medical Oncology, Medical Imaging, and Invasive Technology. Tumor response or progression after first-line treatment was determined according to the Response Evaluation Criteria in Solid Tumors 1.1.<sup>15</sup> Conversion success is defined as liver metastases deemed to be resectable after first-line systemic treatment and patients achieving NED status contributed by local treatment including surgery and radiofrequency ablation (RFA), while conversion failure is defined as liver metastases remaining unresectable after first-line systemic treatment and patients failing to receive curative local treatment. Overall survival (OS) was defined as the interval from the date of first-line systemic treatment to the date of death from any cause or to the last follow-up. The final follow-up visit occurred in April 2021 for training cohort and September 2021 for validation cohort.

### *Statistical analysis*

Categorical variables were presented as whole numbers and percentages, and continuous variables as medians and interquartile ranges. Comparison between variables were assessed with chi-square test or Fisher's exact test when appropriate. The receiver operating curve (ROC) analysis was performed to examine the discriminative power of TBS in conversion outcome and then to determine the cut-off value, the applicability of which was then assessed in the validation cohort. The logistic regression model was applied to identify the risk factors associated with conversion outcome. Parameters with a value of  $p < 0.05$  in univariate analysis were included in the multivariate analysis. Odds ratios (ORs) and 95% confidence intervals (CIs) were subsequently calculated. Kaplan–Meier method was used to estimate OS, and differences between groups were assessed with log-rank test. The Cox proportional hazards model was applied to identify the risk factors associated with OS. Parameters with a value of  $p < 0.05$  in univariate analysis were included in the multivariate analysis. Results were reported as hazard ratios (HRs) and 95% CIs. All analyses were conducted using SPSS 20.0 software (IBM, Chicago, IL, USA), GraphPad Prism 7 software (GraphPad Software Inc, San Diego,

CA, USA), and R software packages. The reporting of this study conforms to the 'Guidelines for reporting case series of tumours of the colon and rectum. Techniques in Coloproctology'.<sup>16</sup>

## **Results**

### *Patients characteristics and systemic therapy*

The demographic and clinicopathological characteristics and treatment approaches of patients in training cohort are summarized in Table 1. The median age was 55 years (IQR 47–62 years), with 168 (71.8%) males and 68 (28.2%) females. Of 194 patients with available Kirsten rat sarcoma viral oncogene homolog (KRAS) status, 69 (35.6%) had KRAS mutational type tumors; 150 (64.1%) patients received oxaliplatin-based chemotherapy regimen, 21 (9.0%) patients received irinotecan-based, and 40 (17.1) patients received FOLFOXIRI regimen. Most patients were given targeted drugs ( $n = 170$ , 72.7%), with 101 (59.4%) of them receiving Cetuximab and 69 (40.6%) receiving Bevacizumab. After first-line systemic therapy, 112 (47.8%) patients presented tumor partial response (PR), 64 (27.4%) patients were found to have stable disease (SD), and 58 (24.8%) patients were confirmed to develop into progressive disease (PD). Finally, 102 (56.4%) patients were successfully converted to NED. Among the 102 patients, 53 (52.0%) underwent both tumor resection and RFA, while 49 (48.0%) received tumor curative resection alone. Clinicopathological characteristics of validation cohort are summarized in Table S1 in the Supplemental material.

### *Distribution of TBS in initially unresectable CRLM*

Distribution of TBS is demonstrated, with conversion rate and objective response rate (ORR) in each group of training cohort depicted in Figure 1(a) and (b), respectively. The range of TBS was 3.3–100.7, with conversion rate and ORR to be 69.2–10.5% and 76.9–13.2%, respectively. As TBS increased, both conversion rate and ORR decreased. In particular, there was a sharp drop on both curves when TBS was greater than 17.0. In addition, the two curves shared similar shape and trend by visual interpretation, suggesting that conversion rate and ORR were positively correlated. To better stratify patients, ROC curve analysis showed that the area under curve (AUC) for

**Table 1.** Baseline characteristics of patients in training cohort.

Parameters	All patients (n = 234)	
	n	%
Age, years, median (IQR)	55 (47–62)	
Sex		
Male	168	71.8
Female	66	28.2
Primary tumor site		
Right-sided colon	53	22.6
Left-sided colon	111	47.4
Rectum	70	30.0
Clinical T stage		
T1–T3	120	51.2
T4	114	48.8
Clinical N stage		
0	41	17.5
1	65	27.8
2	128	54.7
Tumor differentiation		
Well/moderate	188	80.3
Poor	46	19.7
Size of largest CRLM (cm)		
Median (IQR)	5.9 (3.7–8.7)	
≤5.9	117	50.0
>5.9	117	50.0
Number of CRLM		
Median (IQR)	8 (4–15)	
≤8	129	55.1
>8	105	44.9
Timing of CRLM		
Synchronous	217	92.7
Metachronous	17	7.3
Distribution of liver metastasis		
Unilobar	50	21.4
Bilobar	184	78.6

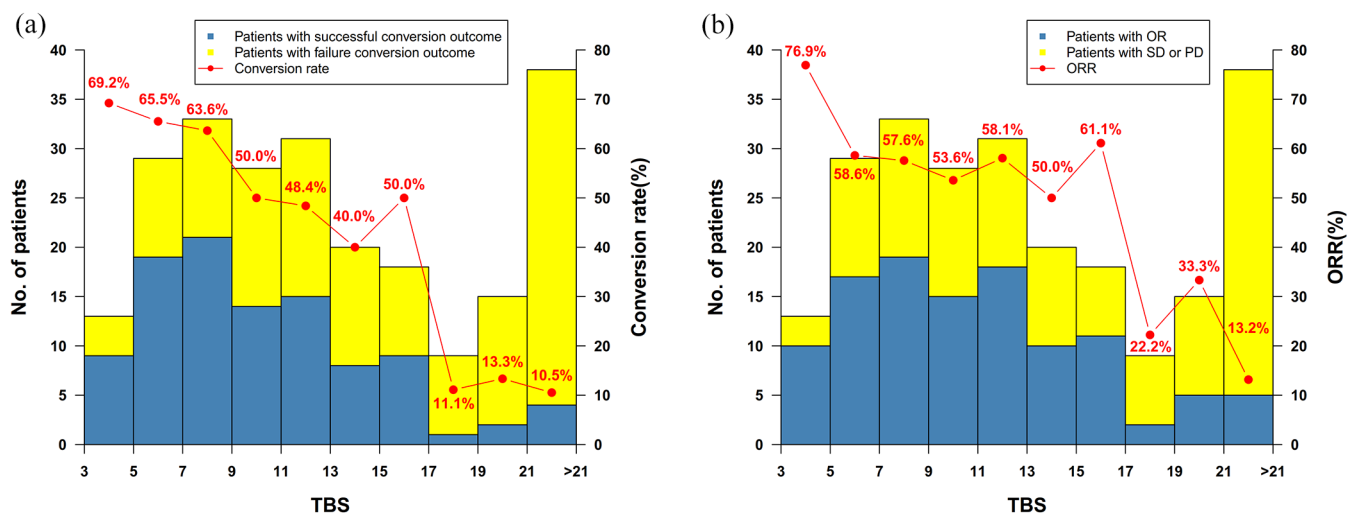
(Continued)

**Table 1.** (Continued)

Parameters	All patients (n = 234)	
	n	%
Baseline CEA (ng/ml)		
≤10	32	13.7
>10	202	86.3
Baseline CA19-9 (U/ml)		
≤35	72	30.8
>35	162	69.2
KRAS status <sup>a</sup>		
Wild type	147	75.8
Mutation	47	24.2
First-line chemotherapy regimen		
Oxaliplatin-based regimen	150	64.1
Irinotecan-based regimen	21	9.0
FOLFOXIRI	40	17.1
FUDR HAI	23	9.8
Targeted therapy		
None	64	27.3
Cetuximab	101	43.1
Bevacizumab	69	29.4
RECIST response		
PR	112	47.8
SD	64	27.4
PD	58	24.8
Conversion outcome		
Success	102	56.4
Failure	132	43.6

CA19-9, carbohydrate antigen 19-9; CEA, carcinoembryonic antigen; CRLM, colorectal liver metastases; FOLFOXIRI, folinic acid, 5-fluorouracil, oxaliplatin and irinotecan; FUDR HAI, 5-fluoro-2'-deoxyuridine hepatic artery infusion; IQR, interquartile range; KRAS, Kirsten rat sarcoma viral oncogene homolog; PD, progressive disease; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumors; SD, stable disease.

<sup>a</sup>Data of 194 patients were available.



**Figure 1.** Distribution of TBS among total patients in training cohort. (a) Conversion rate among patients with different TBS intervals. (b) ORR among patients with different TBS intervals. ORR, objective response rate.

conversion outcome based on baseline TBS was 0.726 (95% CI 0.662–0.789,  $p < 0.001$ ) (Figure 2). The optimal TBS cut-off value was 14.3 at the highest Youden index of 0.358. We then examined the applicability of 14.3 to distinguish patients with conversion success and patients with conversion failure in validation cohort. The result showed the discriminatory performance of this cut-off value for conversion outcome as AUC = 0.676 (95% CI 0.535–0.818,  $p = 0.028$ ), sensitivity = 75.0%, and specificity = 60.3% (Figure S1 in the Supplemental material).

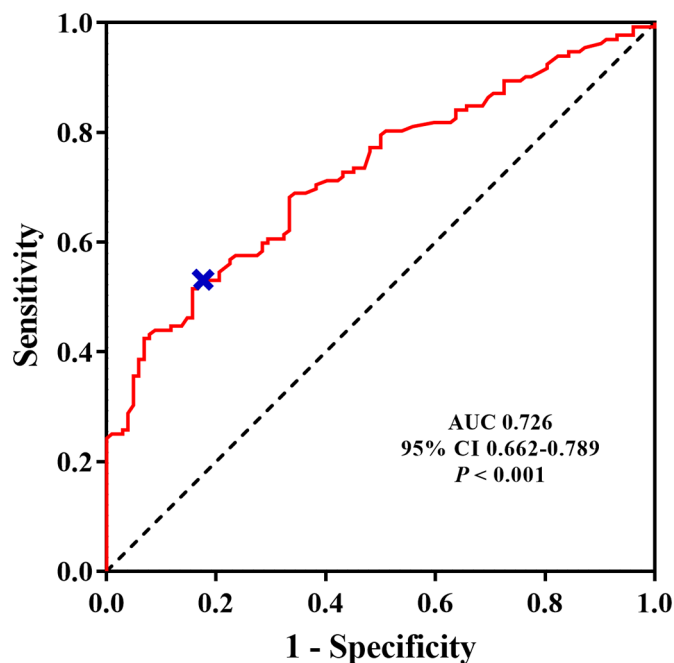
#### Factors associated with TBS

In order to investigate clinicopathological parameters related to TBS in initially unresectable CRLM, patients were stratified into two groups according to the cut-off value in training cohort. As a result, 150 (64.1%) patients were divided into low TBS group (TBS  $\leq$  14.3), and the remaining 84 (35.9%) patients into high TBS group (TBS > 14.3). Baseline clinicopathological characteristics were included in chi-square test or Fisher's exact test and the results are shown in Table 2. Low TBS group had three times higher conversion rate than that in high TBS group (57.3% versus 19.0%,  $p < 0.001$ ). In addition, compared with patients with low TBS, patients with higher TBS tended to have bilobar metastases (96.4% versus 68.7%,  $p < 0.001$ ), higher level of CEA (94.0% versus 82.0%,  $p = 0.010$ )

and CA19-9 (78.6% versus 64.0%,  $p = 0.021$ ), chemotherapy response of SD or PD (70.2% versus 42.0%,  $p < 0.001$ ), invasion of hepatic vein (81.0% versus 50.7%,  $p < 0.001$ ), and portal vein (63.1% versus 29.3%,  $p < 0.001$ ). However, no significant association was found between TBS and systemic treatment including both chemotherapy regimen and targeted therapy.

#### Risk factors of failure conversion outcome

Because of collinearity, the number and size of liver metastases were not included in the univariate and multivariate logistic regression models in training cohort. As shown in Table 3, in univariate logistic regression analysis, bilobar metastases (OR = 2.895, 95% CI 1.527–5.635,  $p = 0.001$ ), synchronous CRLM (OR = 4.674, 95% CI 1.595–17.019,  $p = 0.009$ ), high level of baseline CEA (OR = 2.439, 95% CI 1.145–5.398,  $p = 0.023$ ) and CA199 (OR = 2.380, 95% CI 1.356–4.224,  $p = 0.003$ ), high TBS (OR = 5.711, 95% CI 3.094–11.050,  $p < 0.001$ ), chemotherapy response (OR = 11.364, 95% CI 6.232–21.484,  $p < 0.001$ ), absence of targeted drug (OR = 2.050, 95% CI 1.128–3.825,  $p = 0.021$ ), invasion of hepatic vein (OR = 1.905, 95% CI 1.119–3.262,  $p = 0.018$ ), and portal vein (OR = 3.136, 95% CI 1.812–5.537,  $p < 0.001$ ) were remarkably related to failure conversion outcome. Multivariate analysis indicated that TBS > 14.3 (OR = 3.676, 95% CI 1.671–8.429,  $p = 0.002$ ), first-line treatment response as SD or PD (OR = 9.247,



**Figure 2.** Receiver-operating curve analysis examining the discriminative ability of TBS to predict conversion outcome in training cohort.

95% CI 4.736–18.846,  $p < 0.001$ ), and absence of targeted therapy (OR=2.453, 95% CI 1.139–5.455,  $p = 0.024$ ) were three independent predictive factors for failure conversion outcome. According to the same stratification method, data of validation cohort demonstrated the significant association between TBS and conversion outcome (29.3% versus 8.3%,  $p = 0.013$ , Figure S2 in the Supplemental material).

#### *Effect of TBS on conversion outcome stratified by first-line treatment response, addition of targeted therapy, and KRAS status*

Results in training cohort revealed that whatever chemotherapy responses were, lower TBS was significantly related to higher conversion rates (PR: 78.2% versus 52.0%,  $p = 0.003$ , Figure 3(a); SD or PD: 28.6% versus 6.8%,  $p = 0.002$ , Figure 3(b)). In addition, whether targeted therapy was added to chemotherapy or not, low TBS and successful conversion outcome are significantly associated (addition of targeted therapy: 63.1% versus 23.1%,  $p < 0.001$ , Figure 3(c); chemotherapy alone: 47.2% versus 5.3%,  $p = 0.003$ , Figure 3(d)). Similarly, for patients with KRAS wild type, conversion rate was significantly higher in low TBS group than high TBS group (60.4% versus 21.6%,

$p < 0.001$ , Figure 3(e)). For patients with KRAS mutational type, conversion rate was also significantly higher in low TBS group than high TBS group (62.1% versus 11.1%,  $p < 0.001$ , Figure 3(f)).

#### *Prognostic value of TBS and risk factors for OS*

At a median follow-up of 43.5 months, 81 patients died of tumor. Patients with low TBS had significantly higher 3-year OS rate than patients with high TBS in training cohort (58.2% versus 43.2%, log-rank  $p = 0.003$ , Figure 4). The results of univariate and multivariate Cox analysis for OS in training cohort are shown in Table 4. Multivariate analysis revealed that poor tumor differentiation (HR 2.539, 95% CI 1.446–4.458,  $p = 0.001$ ), clinical T4 stage (HR 1.920, 95% CI 1.104–3.339,  $p = 0.021$ ), and high TBS (HR 2.202, 95% CI 1.302–3.722,  $p = 0.003$ ) were the independent prognostic factors for OS. OS analysis in validation cohort revealed similar results that patients with low TBS had better 3-year OS rate than patients with high TBS (43.1% versus 20.0%, log-rank  $p = 0.047$ , Figure S3 in the Supplemental material).

#### **Discussion**

In this current study, we selected a newly established TBS and explored its value in predicting outcome of conversion therapy and some other associated factors and its role as a prognostic marker. Our final results revealed that baseline TBS had good discriminative ability to stratify patients into two groups. High TBS ( $> 14.3$ ) indicated the significantly lower possibility to achieve successful conversion outcome and also long-term survival than those with low TBS ( $\leq 14.3$ ). Moreover, multivariate logistic analysis and Cox analysis demonstrated that TBS was the independent factor of conversion outcome and OS.

According to previous researches investigating patients with initially resectable CRLM, the median size of largest CRLM was 2.5–3 cm, the median number of CRLM was 2, and the median TBS was 3.6,<sup>11–13</sup> whereas our data revealed that they were 5.9 cm, 8, and 11.7, respectively. This suggested that TBS, which combines the size and number of liver metastases, reflected a much higher tumor burden in patients with initially unresectable CRLM than those with initially resectable CRLM. Therefore, a more accurate and effective TBS cut-off value is needed to distinguish patients with initially unresectable

**Table 2.** Association of TBS and clinical characteristics in training cohort.

Parameters	Low TBS ( $\leq 14.3$ , n, %)	High TBS ( $> 14.3$ , n, %)	p value
Age, years			0.982
$\leq 60$	102 (68.0)	57 (67.9)	
$> 60$	48 (32.0)	27 (32.1)	
Sex			0.263
Male	104 (69.3)	64 (76.2)	
Female	46 (30.7)	20 (23.8)	
Primary tumor site			0.393
Colon	108 (72.0)	56 (66.7)	
Rectum	42 (28.0)	28 (33.3)	
Clinical T stage			0.425
1–3	74 (49.3)	46 (54.8)	
4	76 (50.7)	38 (45.2)	
Clinical N stage			0.006
0	34 (22.7)	7 (8.3)	
1–2	116 (77.3)	77 (91.7)	
Tumor differentiation			0.860
Well/moderate	120 (80.0)	68 (81.0)	
Poor	30 (20.0)	16 (19.0)	
Timing of CRLM			0.270
Synchronous	137 (91.3)	80 (95.2)	
Metachronous	13 (8.7)	4 (4.8)	
Distribution of liver metastasis			$< 0.001$
Unilobar	47 (31.3)	3 (3.6)	
Bilobar	103 (68.7)	81 (96.4)	
Baseline CEA (ng/ml)			0.010
$\leq 10$	27 (18.0)	5 (6.0)	
$> 10$	123 (82.0)	79 (94.0)	
Baseline CA19-9 (U/ml)			0.021
$\leq 35$	54 (36.0)	18 (21.4)	
$> 35$	96 (64.0)	66 (78.6)	

*(Continued)*

**Table 2.** (Continued)

Parameters	Low TBS ( $\leq 14.3$ , n, %)	High TBS ( $> 14.3$ , n, %)	p value
KRAS status <sup>a</sup>			0.653
Wild type	96 (76.8)	51 (73.9)	
Mutation	29 (23.2)	18 (26.1)	
Invasion of hepatic vein			<0.001
No	74 (49.3)	16 (19.0)	
Yes	76 (50.7)	68 (81.0)	
Invasion of portal vein			<0.001
No	106 (70.7)	31 (36.9)	
Yes	44 (29.3)	53 (63.1)	
First-line chemotherapy regimen			0.961
Oxaliplatin-based regimen	95 (63.3)	55 (65.5)	
Irinotecan-based regimen	13 (8.7)	8 (9.5)	
FOLFOXIRI	27 (18.0)	13 (15.5)	
FUDR HAI	15 (10.0)	8 (9.5)	
Targeted therapy			0.224
No	45 (30.0)	19 (22.6)	
Yes	105 (70.0)	65 (77.4)	
RECIST response			<0.001
PR	87 (58.0)	25 (29.8)	
SD	36 (24.0)	28 (33.3)	
PD	27 (18.0)	31 (36.9)	
Conversion outcome			<0.001
Success	86 (57.3)	16 (19.0)	
Failure	64 (42.7)	68 (81.0)	
CA19-9, carbohydrate antigen 19-9; CEA, carcinoembryonic antigen; CRLM, colorectal liver metastases; FUDR HAI, 5-fluoro-2'-deoxyuridine hepatic artery infusion; FOLFOXIRI, folinic acid, 5-fluorouracil, oxaliplatin and irinotecan; KRAS, Kirsten rat sarcoma viral oncogene homolog; PD, progressive disease; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumors; SD, stable disease; TBS, Tumor Burden Score.			
<sup>a</sup> Data of 194 patients were available.			

CRLM. We then conducted ROC analysis to stratify patients into two groups according to the effects of TBS on conversion rate. Based on the cut-off value which was defined as 14.3,

conversion rate was 57.3% in low TBS group, whereas conversion rate was only 19.0% in high TBS group (Table 2). Importantly, this cut-off value also performed well in our validation cohort.



**Table 3.** Univariate and multivariate logistic regression analyses of baseline characteristics for conversion failure outcome in training cohort.

Parameters	Univariate analysis		Multivariate analysis	
	OR (95% CI)	<i>p</i> value	OR (95% CI)	<i>p</i> value
Age, years		0.845		
≤60	Ref			
>60	1.057 (0.608–1.848)			
Sex		0.822		
Male	Ref			
Female	1.068 (0.602–1.910)			
Primary tumor site		0.313		
Colon	Ref			
Rectum	1.342 (0.762–2.393)			
Tumor differentiation		0.096		
Well/moderate	Ref			
Poor	1.780 (0.915–3.593)			
Clinical T stage		0.330		
1–3	Ref			
4	1.293 (0.771–2.177)			
Clinical N stage		0.155		
0	Ref			
1–2	1.636 (0.831–3.245)			
Baseline CEA (ng/ml)		0.023		0.370
≤10	Ref		Ref	
>10	2.439 (1.145–5.398)		1.590 (0.580–4.472)	
Baseline CA19-9 (U/ml)		0.003		0.425
≤35	Ref		Ref	
>35	2.380 (1.356–4.224)		1.362 (0.635–2.920)	
KRAS status <sup>a</sup>		0.600		
Wild type	Ref			
Mutation	1.194 (0.618–2.338)			
Timing of CRLM		0.009		0.134
Metachronous	Ref		Ref	
Synchronous	4.674 (1.595–17.019)		2.846 (0.770–12.531)	

*(Continued)*

**Table 3.** (Continued)

Parameters	Univariate analysis		Multivariate analysis	
	OR (95% CI)	p value	OR (95% CI)	p value
Distribution of liver metastasis		0.001		0.210
Unilobar	Ref		Ref	
Bilobar	2.895 (1.527–5.635)		1.716 (0.743–4.048)	
Invasion of hepatic vein		0.018		0.510
No	Ref		Ref	
Yes	1.905 (1.119–3.262)		0.762 (0.334–1.700)	
Invasion of portal vein		<0.001		0.146
No	Ref		Ref	
Yes	3.136 (1.812–5.537)		1.837 (0.809–4.208)	
TBS		<0.001		0.002
≤14.3	Ref		Ref	
>14.3	5.711 (3.094–11.050)		3.676 (1.671–8.429)	
Targeted therapy		0.021		0.024
Yes	Ref		Ref	
No	2.050 (1.128–3.825)		2.453 (1.139–5.455)	
RECIST response		<0.001		<0.001
PR	Ref		Ref	
SD or PD	11.364 (6.232–21.484)		9.247 (4.736–18.846)	

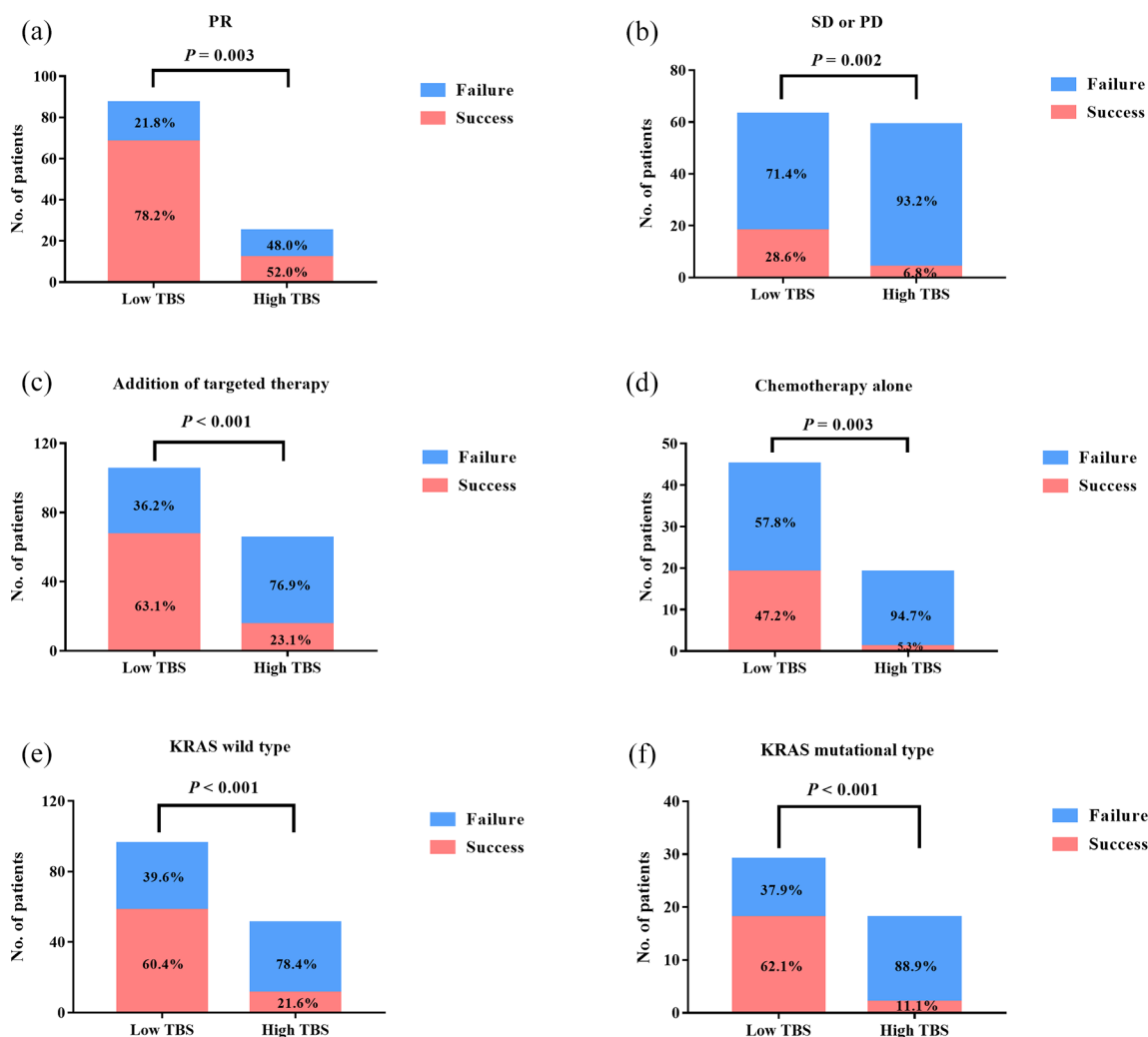
CA19-9, carbohydrate antigen 19-9; CEA, carcinoembryonic antigen; CI, confidence interval; CRLM, colorectal liver metastases; KRAS, Kirsten rat sarcoma viral oncogene homolog; OR, odds ratio; PD, progressive disease; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumors; Ref, reference; SD, stable disease; TBS, Tumor Burden Score.

<sup>a</sup>Data of 194 patients were available.

Because of the strong correlation between TBS and conversion outcome, we consider TBS as 14.3 can be served as a powerful reference to define patients with initially unresectable CRLM as potentially resectable or non-resectable to be converted.

The strong correlation between TBS and conversion rate can be explained from two aspects. First, higher baseline TBS directly reflects more aggressive tumor burden and further remarkably increases the technical difficulty and the feasibility of curative liver resection. Although there is no

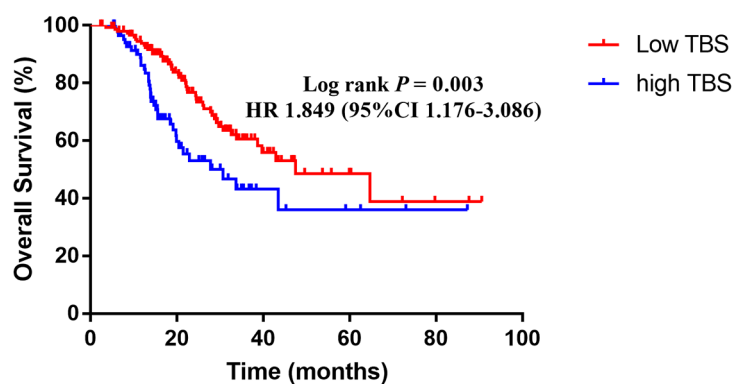
consensus on the indications and contradictions of resection for CRLM, several latest guidelines suggested whether complete resection can be achieved and whether adequate liver volume can be remained as important factors for liver resection.<sup>17,18</sup> For example, Shanghai international consensus on diagnosis and comprehensive treatment of CRLM 2019 suggested complete removal (R0) and preservation of adequate liver function (remnant liver volume ≥ 30–40%) as one of the criteria for surgical treatment and vice versa.<sup>18</sup> Although the number and size of tumor have been excluded from the constantly evolving



**Figure 3.** Conversion rate in low and high TBS groups in training cohort. (a) Conversion rate among patients who had PR response to first-line systemic therapy stratified by TBS. (b) Conversion rate among patients who had SD or PD response to first-line systemic therapy stratified by TBS. (c) Conversion rate among patients who had targeted therapy stratified by TBS. (d) Conversion rate among patients who had chemotherapy alone stratified by TBS. (e) Conversion rate among patients who had KRAS wild type stratified by TBS. (f) Conversion rate among patients who had KRAS mutational type stratified by TBS. PD, progressive disease; PR, partial response; SD, stable disease.

criteria, these two parameters are still closely related with the operability of liver metastases. Radical resection cannot be guaranteed in case of numerous lesions. Besides, large tumor tends to invade the major hepatic blood vessels, which is an important risk factor of inadequate liver volume. TBS, based on both the number and size of tumor, is a potential parameter to quantify the criteria for liver resection. In addition, our results revealed that high TBS is strongly correlated with bilobar metastases distribution as well as vascular invasion, further indicating the significant

relation between high TBS and operability. Second, patients with increasing TBS reflected gradually declining ORR in our study, suggesting that increasing tumor burden was associated with poor chemotherapy sensitivity. As a result, patients with high TBS might receive less benefits from first-line systemic therapy, and thus were more likely to have failure conversion outcome compared with those with low TBS. Even if patients showed PR to down-sizing chemotherapy or gained considerable shrinkage of tumor, conversion rate was still significantly lower in high



**Figure 4.** Kaplan–Meier estimates of overall survival stratified by TBS in training cohort.

TBS group than in low TBS group (52.0% versus 78.2%,  $p=0.003$ , Figure 3(a)). These implied that baseline tumor morphological characteristics exerted strong influences on treatment strategies and outcome.

While it is widely believed that response to chemotherapy is a powerful indicator for survival,<sup>19</sup> some studies have also demonstrated that response rate is significantly correlated with conversion rate<sup>20,21</sup> and addition of targeted therapy, such as bevacizumab or cetuximab to chemotherapy, could further yield improvements in response rate and resectability.<sup>10,22–25</sup> In the present study, the result of multivariate logistic regression revealed that poor chemotherapy response (SD or PD) and absence of targeted drug were two independent factors associated with failure conversion outcome. Our data also revealed that high TBS, as an another independent risk factor, was demonstrated to be significantly correlated with conversion outcome whatever chemotherapy response was, whether targeted therapy was implemented or whether KRAS mutated. The results indicated that TBS was more likely to be an inherent indicator reflecting conversion outcome to system treatment, no matter how effective the treatment regimen and KRAS status was. In addition to predict conversion outcome, TBS was also a prognostic predictor for OS. Several scoring systems have been established to stratify patients with initially resectable CRLM into different groups with different prognosis. For example, the original TBS model used 3 and 9 as two cut-off values to divide patients into three zones and showed good prognostic performance.<sup>11,13</sup> Genetic and Morphological Evaluation (GAME)

score is another scoring system invented for patients with initially resectable CRLM, which incorporated not only TBS but also some other clinicopathological characteristics. This model, also using the same cut-off values for TBS as the original model, demonstrated good discriminatory ability.<sup>26</sup> However, the cut-off values used in the above two models are not applicable for patients with initially unresectable CRLM because of their relatively much higher TBS, as we have discussed before. Hence, the larger cut-off value 14.3 was used to stratify patients, and the result demonstrated its excellent performance. Patients with TBS > 14.3 had significantly worse long-term OS compared with those with TBS ≤ 14.3, which was also confirmed in the validation cohort. Also, TBS was independently correlated with OS together with tumor differentiation and clinical T stage.

Hence, TBS should be utilized to help determine the optimal individualized treatment strategies by considering the patients' baseline characteristics, physical conditions, and the possible conversion outcome. For patients with low TBS and presenting good general conditions, comprehensive and intensive strategies could be assigned accordingly. Studies have reported that triplet regimen FOLFOXIRI, although at the expense of increased toxicity, confers superior efficacy in OS, recurrence-free survival (RFS), and ORR compared with standard doublet regimens FOLFOX and FOLFIRI.<sup>10,27–29</sup> However, for patients with high TBS and poor underlying conditions, because of the low probability to achieve successful conversion, palliative and supporting therapy is more recommended. Taken together, we suggested MDT should carefully select the optimal treatment strategy for patients based on TBS and physical conditions.

There are some limitations to this retrospective study. First, the number of included patients may be inadequate, and selection bias may exist. Hence, larger amounts of patients are required for external validation. Second, several biomarkers were identified as crucial prognostic factors for survival and recurrence, including KRAS, NRAS, and BRAF, while effects of some other genes, such as phosphatidylinositol 3 kinase (PI3K) and tumor protein P53 (TP53), were still under debate.<sup>30–33</sup> In this study, we only included KRAS into analysis and future studies should

**Table 4.** Univariate and multivariate Cox regression analyses of baseline characteristics for overall survival in training cohort.

Parameters	Univariate analysis		Multivariate analysis	
	HR (95% CI)	<i>p</i> value	HR (95% CI)	<i>p</i> value
Age, years		0.726		
≤60	Ref			
>60	1.086 (0.686–1.718)			
Sex		0.013		0.142
Male	Ref		Ref	
Female	1.788 (1.133–2.823)		1.512 (0.87–2.629)	
Primary tumor site		0.673		
Colon	Ref			
Rectum	0.901 (0.556–1.462)			
Tumor differentiation		0.041		0.001
Well/moderate	Ref		Ref	
Poor	1.679 (1.02–2.764)		2.539 (1.446–4.458)	
Clinical T stage		0.003		0.021
1–3	Ref		Ref	
4	1.951 (1.249–3.049)		1.920 (1.104–3.339)	
Clinical N stage		0.043		0.732
0	Ref		Ref	
1–2	1.935 (1.022–3.663)		0.865 (0.378–1.979)	
Baseline CEA (ng/ml)		0.036		0.103
≤10	Ref		Ref	
>10	2.292 (1.054–4.985)		2.074 (0.864–4.98)	
Baseline CA19-9 (U/ml)		0.109		
≤35	Ref			
>35	1.494 (0.914–2.44)			
KRAS status <sup>a</sup>		0.040		0.305
Wild type	Ref		Ref	
Mutation	1.754 (1.025–3.001)		1.358 (0.757–2.436)	
Timing of CRLM		0.227		
Metachronous	Ref			
Synchronous	1.859 (0.68–5.086)			

*(Continued)*

**Table 4.** (Continued)

Parameters	Univariate analysis		Multivariate analysis	
	HR (95% CI)	p value	HR (95% CI)	p value
Distribution of liver metastasis		0.157		
Unilobar	Ref			
Bilobar	1.536 (0.847–2.783)			
Invasion of hepatic vein		0.875		
No	Ref			
Yes	0.965 (0.62–1.502)			
Invasion of portal vein		0.469		
No	Ref			
Yes	1.178 (0.757–1.833)			
TBS		0.004		0.003
≤14.3	Ref		Ref	
>14.3	1.910 (1.227–2.973)		2.202 (1.302–3.722)	

CA19-9, carbohydrate antigen 19-9; CEA, carcinoembryonic antigen; CI, confidence interval; CRLM, colorectal liver metastases; HR, hazard ratio; KRAS, Kirsten rat sarcoma viral oncogene homolog; Ref, reference; TBS, Tumor Burden Score.  
<sup>a</sup>Data of 194 patients were available.

take more gene status into consideration to obtain further understanding of the influence of these biomarkers on TBS and conversion outcome.

### Conclusion

TBS presents significant association with conversion outcome after first-line systemic therapy in patients with initially unresectable CRLM. By stratifying patients into low and high TBS groups, TBS should be applied in clinical practice as an important parameter in the determination of optimal individualized treatment strategy.

### Acknowledgements

We greatly appreciate the help from all of our colleagues in the Department of Colorectal Surgery at Sun Yat-sen University Cancer Center who were involved in administering the treatments in the current study.

### Author contributions

JHP, YJL, and WHL performed data analysis and drafted the manuscript; JHP, HS, and YJL collected the clinical data and follow-up results; ZZP, XJW, WHF and JZL supervised the current study; JZL and WHF proofread the manuscript. All authors read and approved the final manuscript.

### Conflict of interest statement


The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### Funding

The authors disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This study was funded by grants from the National Natural Science Foundation of China (no. 82003051 and no. 81772595).

**ORCID iDs**

Jianhong Peng  <https://orcid.org/0000-0002-0769-8195>

Yujun Liu  <https://orcid.org/0000-0002-0450-8448>

**Availability of data and materials**

The datasets used and analyzed during the current study are available from the corresponding author on reasonable request. The authenticity of this article has been validated by uploading the key raw data onto the Research Data Deposit public platform ([www.researchdata.org.cn](http://www.researchdata.org.cn)), with the approval RDD number as RDDA2021410973.

**Supplemental material**

Supplemental material for this article is available online.

**References**

1. Bray F, Ferlay J, Soerjomataram I, *et al.* Global cancer statistics 2018: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *CA Cancer J Clin* 2018; 68: 394–424.
2. Zarour LR, Anand S, Billingsley KG, *et al.* Colorectal cancer liver metastasis: evolving paradigms and future directions. *Cell Mol Gastroenterol Hepatol* 2017; 3: 163–173.
3. Jones RP, Kokudo N, Folprecht G, *et al.* Colorectal liver metastases: a critical review of state of the art. *Liver Cancer* 2016; 6: 66–71.
4. Adam R, Wicherts DA, de Haas RJ, *et al.* Patients with initially unresectable colorectal liver metastases: is there a possibility of cure? *J Clin Oncol* 2009; 27: 1829–1835.
5. Adam R, Delvart V, Pascal G, *et al.* Rescue surgery for unresectable colorectal liver metastases downstaged by chemotherapy: a model to predict long-term survival. *Ann Surg* 2004; 240: 644–657; discussion 657–658.
6. Lam VW, Spiro C, Laurence JM, *et al.* A systematic review of clinical response and survival outcomes of downsizing systemic chemotherapy and rescue liver surgery in patients with initially unresectable colorectal liver metastases. *Ann Surg Oncol* 2012; 19: 1292–1301.
7. Lu ZH, Peng JH, Wang FL, *et al.* Bevacizumab with preoperative chemotherapy versus preoperative chemotherapy alone for colorectal cancer liver metastases: a retrospective cohort study. *Medicine* 2016; 95: e4767.
8. Adam R and Kitano Y. Multidisciplinary approach of liver metastases from colorectal cancer. *Ann Gastroenterol Surg* 2019; 3: 50–56.
9. Huiskens J, van Gulik TM, van Lienden KP, *et al.* Treatment strategies in colorectal cancer patients with initially unresectable liver-only metastases, a study protocol of the randomised phase 3 CAIRO5 study of the Dutch Colorectal Cancer Group (DCCG). *BMC Cancer* 2015; 15: 365.
10. Tomasello G, Petrelli F, Ghidini M, *et al.* FOLFOXIRI plus bevacizumab as conversion therapy for patients with initially unresectable metastatic colorectal cancer: a systematic review and pooled analysis. *JAMA Oncol* 2017; 3: e170278.
11. Sasaki K, Morioka D, Conci S, *et al.* The Tumor Burden Score: a new ‘metro-ticket’ prognostic tool for colorectal liver metastases based on tumor size and number of tumors. *Ann Surg* 2018; 267: 132–141.
12. Oshi M, Margonis GA, Sawada Y, *et al.* Higher tumor burden neutralizes negative margin status in hepatectomy for colorectal cancer liver metastasis. *Ann Surg Oncol* 2019; 26: 593–603.
13. Sasaki K, Margonis GA, Andreatos N, *et al.* The prognostic utility of the ‘Tumor Burden Score’ based on preoperative radiographic features of colorectal liver metastases. *J Surg Oncol* 2017; 116: 515–523.
14. Lin JZ, Peng JH, Zhao YX, *et al.* Early recurrence in patients undergoing curative resection of colorectal liver oligometastases: identification of its clinical characteristics, risk factors, and prognosis. *J Cancer Res Clin Oncol* 2018; 144: 359–369.
15. Therasse P, Arbuck SG, Eisenhauer EA, *et al.* New guidelines to evaluate the response to treatment in solid Tumors. *J Natl Cancer I* 2000; 92: 205–216.
16. Rubino M and Pragnell MVC. Guidelines for reporting case series of tumours of the colon and rectum. *Tech Coloproctol* 1999; 3: 93–97.
17. Xu J, Fan J, Qin X, *et al.* Chinese guidelines for the diagnosis and comprehensive treatment of colorectal liver metastases (version 2018). *J Cancer Res Clin Oncol* 2019; 145: 725–736.
18. Ren L, Zhu D, Benson AB 3rd, *et al.* Shanghai international consensus on diagnosis and comprehensive treatment of colorectal liver

- metastases (version 2019). *Eur J Surg Oncol* 2020; 46: 955–966.
19. Van Cutsem E, Cervantes A, Adam R, *et al.* ESMO consensus guidelines for the management of patients with metastatic colorectal cancer. *Ann Oncol* 2016; 27: 1386–1422.
  20. Folprecht G, Grothey A, Alberts S, *et al.* Neoadjuvant treatment of unresectable colorectal liver metastases: correlation between tumour response and resection rates. *Ann Oncol* 2005; 16: 1311–1319.
  21. Okuno M, Hatano E, Nishino H, *et al.* Does response rate of chemotherapy with molecular target agents correlate with the conversion rate and survival in patients with unresectable colorectal liver metastases? A systematic review. *Eur J Surg Oncol* 2017; 43: 1003–1012.
  22. Bokemeyer C, Bondarenko I, Hartmann JT, *et al.* Efficacy according to biomarker status of cetuximab plus FOLFOX-4 as first-line treatment for metastatic colorectal cancer: the OPUS study. *Ann Oncol* 2011; 22: 1535–1546.
  23. Van Cutsem E, Kohne CH, Hitre E, *et al.* Cetuximab and chemotherapy as initial treatment for metastatic colorectal cancer. *New Engl J Med* 2009; 360: 1408–1417.
  24. Van Cutsem E, Kohne CH, Lang I, *et al.* Cetuximab plus irinotecan, fluorouracil, and leucovorin as first-line treatment for metastatic colorectal cancer: updated analysis of overall survival according to tumor KRAS and BRAF mutation status. *J Clin Oncol* 2011; 29: 2011–2019.
  25. Garcia-Alfonso P, Ferrer A, Gil S, *et al.* Neoadjuvant and conversion treatment of patients with colorectal liver metastasis: the potential role of bevacizumab and other antiangiogenic agents. *Target Oncol* 2015; 10: 453–465.
  26. Margonis GA, Sasaki K, Gholami S, *et al.* Genetic And Morphological Evaluation (GAME) score for patients with colorectal liver metastases. *Br J Surg* 2018; 105: 1210–1220.
  27. Marques RP, Duarte GS, Sterrantino C, *et al.* Triplet (FOLFOXIRI) versus doublet (FOLFOX or FOLFIRI) backbone chemotherapy as first-line treatment of metastatic colorectal cancer: a systematic review and meta-analysis. *Crit Rev Oncol Hematol* 2017; 118: 54–62.
  28. Montagnani F, Chiriatti A, Turrisi G, *et al.* A systematic review of FOLFOXIRI chemotherapy for the first-line treatment of metastatic colorectal cancer: improved efficacy at the cost of increased toxicity. *Colorectal Dis* 2011; 13: 846–852.
  29. Leal F, Ferreira FP and Sasse AD. FOLFOXIRI regimen for metastatic colorectal cancer: a systematic review and meta-analysis. *Clin Colorectal Cancer* 2017; 16: 405–409.
  30. Tosi F, Magni E, Amatu A, *et al.* Effect of KRAS and BRAF mutations on survival of metastatic colorectal cancer after liver resection: a systematic review and meta-analysis. *Clin Colorectal Cancer* 2017; 16: e153–e163.
  31. Tsilimigras DI, Ntanasis-Stathopoulos I, Bagante F, *et al.* Clinical significance and prognostic relevance of KRAS, BRAF, PI3K and TP53 genetic mutation analysis for resectable and unresectable colorectal liver metastases: a systematic review of the current evidence. *Surg Oncol* 2018; 27: 280–288.
  32. Yamashita S, Chun YS, Kopetz SE, *et al.* Biomarkers in colorectal liver metastases. *Br J Surg* 2018; 105: 618–627.
  33. Zimmitti G, Shindoh J, Mise Y, *et al.* RAS mutations predict radiologic and pathologic response in patients treated with chemotherapy before resection of colorectal liver metastases. *Ann Surg Oncol* 2015; 22: 834–842.