

New simple decontamination method improves microscopic detection and culture of mycobacteria in clinical practice

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Abstract: This study was carried out at Dr. Cetrángolo Hospital, Buenos Aires, Argentina. The objective was to compare two digestion–decontamination procedures: the N-acetyl-L-cysteine–sodium citrate–NaOH (NALC-NaOH) and a combination of 7% NaCl plus NaOH, the hypertonic saline–sodium hydroxide (HS-SH) method, in detection and recovery of mycobacteria. Microscopy detection rates before and after concentration of specimens by both methods, were also compared. The study had two phases. Phase I: comparison of the gold standard NALC-NaOH and HS-SH on paired samples involving respiratory clinical specimens by means of receiver operating characteristic curve analysis. Phase II: blinded, randomized trial to assess the performance of HS-SH versus NALC-NaOH in clinical practice. Phase I: Positive microscopy rate was significantly increased in around 2.2% after concentration in comparison to that of specimens without concentration. The calculated sensitivity values for microscopy detection increased between 15.2% (HS-SH: 73.5%) to 16.7% (NALC-NaOH: 75.0%) over those without concentration (58.3%). Phase II: similar diagnostic rates by microscopy and cultures were obtained by either HS-SH or NALC-NaOH. The clinical performances were also very similar. These results and the low cost of the HS-SH procedure indicate the possibility of its implementation in clinical laboratories with high burden of tuberculosis cases and low resources.

Keywords: tuberculosis, diagnosis, clinical practice

Introduction

Mycobacterium tuberculosis, the causative agent of tuberculosis (TB), infects almost the third part of the world population and kills around two million people worldwide each year. About 80% of the global TB burden occurs in low-income countries, where pulmonary disease and its transmission are most serious public health problems (Kent and Kubica 1985; IUATLD 2005). Among bacterial pathogens of man, *M. tuberculosis* is best known for its slow growth rate and its acid-fast lipid-rich cell wall. Culture of mycobacteria is too slow for practical diagnosis, while their acid-fastness allows rapid detection in clinical specimens (Kent and Kubica 1985; IUATLD 2000).

So far, acid-fast bacilli (AFB) detection by smear microscopy is still the most used amongst all methods currently employed worldwide in clinical laboratories for TB diagnosis on account of its simplicity, speed, low cost, and minimal requirement of equipment and technical skills. However it lacks sensitivity since a load of about 5,000 to 10,000 bacilli/mL of specimen is required to give a positive result after Ziehl-Neelsen staining (WHO 1998; Liehardt and Cook 2005).

Culture on Löwenstein-Jensen solid medium requires about 10 bacilli/mL of specimen for recovery of mycobacteria, and is the gold standard for microbiological diagnosis of TB in developing countries. Nevertheless the slow growth rate of the

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pathogen leads to a delay of 4–6 weeks in obtaining a definitive diagnosis (WHO 1998; IUATLD 2000).

Several tools have been tested for rapid detection of mycobacterial growth. Automated and semi-automated culture systems based on liquid media using radioactive materials, oxygen-quenching or redox reagents have been developed. Albeit most of these systems allow results as early as 5–7 days, they are still very costly for disease-endemic and low-income countries (Roberts et al 1988; Brunello et al 1999; Palomino et al 1999). In addition they require, prior to cultivation of respiratory specimens, that they be treated by the most commonly used digestion-decontamination method, N-acetyl-L-cysteine–sodium citrate–NaOH (NALC-NaOH), which also increases the total cost of the culture considerably (Kubica et al 1963; Palomino et al 1999). In this mixture, N-acetyl-L-cysteine liquefies respiratory specimens, which contain high proportions of mucus, while NaOH kills the contaminant bacteria present in such specimens. This method has also been recommended for inoculation of liquid media and recovery of nontuberculosis mycobacteria from different clinical specimens (Kent and Kubica 1985; Palomino et al 1999).

Since smear microscopy remains the cornerstone of TB diagnosis, operational research of sputum concentration techniques is a priority of TB control programs (IUATLD 2005). A more sensitive smear microscopy and a cheaper digestion–decontamination method would both be useful in clinic laboratories in order to achieve increased, rapid, and accurate TB diagnosis.

In this study the NALC-NaOH method was used as the gold standard and its results were compared to an alternate procedure for digestion-decontamination: the combination of hypertonic sodium chloride and sodium hydroxide (HS-SH) method as described previously (Ganoza et al 2003). In this mixture the mucolytic activity is exerted by the hypertonic NaCl solution.

The evaluation was designed in two phases. Phase I compared the two digestion-decontamination solutions, NALC-NaOH and HS-SH, on a paired sample basis involving respiratory clinical specimens. Phase II was a blinded and randomized trial which compared the performance of the two digestion-decontamination methods in diagnosing TB in patients.

Methods

Phase I

During a period of six months, a total of 683 respiratory clinical specimens were collected as: sputa (S), 595;

bronchial washings (BW), 60; broncho-alveolar lavages (BAL), 2; and gastric aspirates (GA), 26. The rates for AFB detection by direct smear examination before and after the concentration of the specimens by each method were also compared.

In a first step, sputum smears were prepared for direct AFB examination using Ziehl-Neelsen staining, and observed at 1000 × magnification. The remaining portion of each sample was divided into two equal parts and transferred to 20 mL plastic conical tubes. Decontamination was made simultaneously by the N-acetyl-L-cysteine and 4% NaOH (NALC-NaOH) following standard procedures, and the hypertonic saline, 7.0% NaCl plus NaOH (HS-SH). The final concentration of NaOH in both solutions was approximately 1.0% (2, 8, 9).

NALC-NaOH procedure

Two mL of a mixture composed by 1.0 mL 1.0% N-acetyl-L-cysteine (Merck, Germany) in 2.9% citric acid (ANEDRA, USA) and 1.0 mL 4.0% NaOH (Stanton, Argentina) were added to 2 mL volumes of each respiratory specimen and vortexed in a tube for 15–20 seconds and incubated at 37 °C for 20 minutes (Becton Dickinson 2004). Phosphate buffer pH 6.8 (Stanton) was then added and the tubes centrifuged at 3000 g for 15 minutes. The supernatant was then carefully discarded, and the sediment resuspended in 1–2 mL of phosphate buffer pH 6.8. This last suspension was used to inoculate culture media and to prepare smears for microscopic examination.

HS-SH procedure

To a 2 mL volume of the respiratory specimen were added 2 mL of 7% NaCl (Stanton) and 1.5 mL of 4% NaOH and the tube mixed for 15–20 seconds and then incubated at 37 °C for 20 min. After this period, phosphate buffer pH 6.8 was added and the tube centrifuged at 3000 g for 15 min. The supernatant was carefully discarded and the sediment used for preparing the smears and inoculating culture media.

Smears were prepared with 200 µL of each of the final decontaminated volumes and stained with the Ziehl-Neelsen stain for microscopical examination.

Also, 200 µL were taken from each decontaminate and inoculated onto Löwenstein-Jensen (LJ) slants in duplicate, and 500 µL were inoculated into MGIT 960 tubes (Becton Dickinson, Buenos Aires, Argentina). LJ slants were incubated at 37 °C in normal atmosphere for 60 days while MGIT960 system tubes were incubated and automatically

read for a total period of 42 days (Palomino et al 1999; Becton Dickinson 2004).

Phase II

This phase was designed as a blinded and randomized trial (after completion of Phase I) to compare the two digestion–decontamination methods in patients suspected of TB. Respiratory specimens were blinded and randomly assigned to be treated by either NALC-NaOH or HS-SH during a one-year period.

Table 1 shows the number and percentage of Phase II clinical specimens, which were treated by NALC-NaOH or HS-SH. After arriving in the laboratory the specimens were labeled by the administrative personnel and then transferred to the technicians in charge of the smears and culture procedures. Once a week the same personnel changed the digestion–decontamination method used. Another person, to whom the method used was completely unknown, did the microscopic examination of the smears. After being obtained, samples were also transferred to 20 mL plastic conical tubes and treated with either NALC-NaOH or HS-SH as described. Smears and cultures were prepared and the latter incubated as mentioned above. During the experimental phase of the study, all sample volumes, speed, and duration of centrifugation were the same to allow a better comparison of the methods being tested.

Statistical analysis

The MedCalc version 9.5.2.0 (MedCalc, Mariakerke, Belgium) software was used to obtain the summary statistics in both groups of clinical isolates and to perform the comparison of paired-sample results (Altman 1992). Additionally, sensitivity, specificity, predictive values, and area under the curve were also calculated using the receiver operating characteristic (ROC) curve method (McNeil and Hanley 1984; van Der Schouw et al 1995).

Table 1 Number and percentage of respiratory specimens included in the Phase II of the study

Clinical specimen	HS-SH		NALC-NaOH	
	N°	(%)	N°	(%)
S	1837	90.4	1191	87.7
BAL	38	1.9	28	2.0
BW	139	6.9	122	9.1
GA	17	0.8	16	1.2
Total	2031	100	1357	100

Abbreviations: S, sputum; BAL, bronchioalveolar lavage; BW, bronchial washing; GA, gastric aspirate; HS-SH, hypertonic saline – sodium hydroxide, NaCl plus NaOH; NALC, N-acetyl-L-cysteine – sodium citrate plus NaOH.

Results and discussion

Phase I

A total of 96 (14.1%) clinical specimens were detected as positive by smear examination and/or culture. Table 2 shows the distribution of positive, negative, and contaminated cultures and AFB direct smear examination results from the specimens included in this phase of the study. Similar results for both AFB smear examination and cultures were obtained by the two concentration methods.

The positive rates for AFB detection by HS-SH and NALC-NaOH were 10.4% (71/683) and 10.5% (72/683), respectively ($\chi^2 = 0.064$; $P = 0.7996$), while this rate for AFB smear examination before the concentration of the specimens was 8.2% (56/683) (HS-SH difference, $\chi^2 = 0.013$; $P = 0.9081$; NALC-NaOH difference, $\chi^2 = 0.019$; $P = 0.8915$).

Table 3 shows the statistical parameters found from the analysis of the results obtained by these two methods with paired samples. Sensitivity (S) for HS-SH and NALC-NaOH was 73.5% and 75.0% for microscopy examination ($\chi^2 = 0.330$, $P = 0.5673$) while specificity (SP) was 99.5% and 99.3%, respectively ($\chi^2 = 0.016$, $P = 0.8980$). When S was calculated from direct AFB smear examination before specimen concentration, a value of 58.3% was obtained. The differences between this value and those obtained by both HS-SH ($\chi^2 = 4.282$; $P = 0.0385$) and NALC-NaOH ($\chi^2 = 5.295$, $P = 0.0214$) were significant.

The analysis of the culture results showed that similar values of sensitivity and specificity were found when using LJ (HS-SH, S: 88.4% and SP: 100.0%; NALC-NaOH, S: 88.3% and SP: 99.8%) and MGIT960 (HS-SH, S: 92.6%, SP: 100.0%; NALC-NaOH, S: 91.5% and SP: 99.8%), although the global contamination rate for specimens treated by NALC-NaOH (MGIT 2.1%, LJ, 2.5%) was higher than those by HS-SH (MGIT 1.2%, LJ: 1.2%). No significant difference was observed in S, SP, area under the ROC curve and predictive values between NALC-NaOH and HS-SH results. The TB incidence rate (49 per 100,000 inhabitants, based on the number of notified cases) as an estimation of the north Buenos Aires region prevalence during the study period was used for predictive value calculations.

Phase II

Results are summarized in Table 4. Using HS-SH and NALC-NaOH solutions it was possible to diagnose 323 (15.9%) and 219 (16.1%) TB cases respectively ($\chi^2 = 0.003$, $P = 0.9545$). From these figures, 64.8% (142/219) and 65.9% (213/323) of the cases were diagnosed by AFB with NALC-NaOH and HS-SH respectively

Table 2 Phase I: Distribution of direct smear examination and culture results using paired samples from 683 clinical specimens

Result	Technique and decontamination procedure					
	AFB		MGIT960		LJ	
	HS-SH N° (%)	NALC-NaOH N° (%)	HS-SH N° (%)	NALC-NaOH N° (%)	HS-SH N° (%)	NALC-NaOH N° (%)
Positive	71 (10.4)	72 (10.5)	88 (12.9)	87 (12.7)	84 (12.3)	84 (12.3)
Negative	612 (89.6)	611 (89.5)	587 (85.9)	582 (85.2)	591 (86.5)	582 (85.2)
Contaminated	–	–	8 (1.2)	14 (2.1)	8 (1.2)	17 (2.5)

Abbreviations: AFB, smear examination; HS-SH, hypertonic saline – sodium hydroxide, NaCl plus NaOH; NALC, N-acetyl-L-cysteine – sodium citrate plus NaOH; MGIT960, culture system; LJ, Löwenstein-Jensen solid egg-based medium.

($\chi^2 = 0.030$, $P = 0.8633$). The overall yield of the cultures from specimens treated by NALC-NaOH and HS-SH were 35.2% (77/219) and 34.0% (110/323) respectively ($\chi^2 = 0.039$, $P = 0.8443$).

Table 4 shows that the only significant difference between results of the two methods was the proportion of contaminated cultures from AFB-positive specimens, 0.3% for HS-SH and 1.0% for NALC-NaOH, ($\chi^2 = 7.000$, $P = 0.0082$).

The HS-SH method showed higher sensitivity for microscopic detection of mycobacteria in comparison with NALC-NaOH, but the difference was not significant (7.6% vs 6.2%, $P = 0.8904$). Specificity, accuracy and predictive values were obtained by analyzing culture results processed by NALC-NaOH and HS-SH. These values also showed a high correlation with each other (see Table 5).

Rapid diagnosis of TB is becoming increasingly important to improve cure rates, lower the risk of airborne disease spread, and to prevent the transmission of emergent drug-resistant strains of *M. tuberculosis* and its severe implications, which are worse in HIV-infected patients.

During the last decade several methods, based on a wide spectrum of decontamination and digestion reagents, with

differences in the time they consume and in their cost have been reported. These methods for preparing samples for smear microscopy and cultivation of *M. tuberculosis* involve the use of 4% NaOH; 0.5% NALC and 2% NaOH; dithiothreitol and 2% NaOH; 13% trisodium phosphate with or without benzalkonium chloride (Zephiran); 5% oxalic acid; 1% cetylpyridium chloride and 2% NaCl (Smithwick et al 1975; Roberts et al 1988; Whittier et al 1993; Rattan et al 1994). A method for processing respiratory specimens by using Cis-Carboxypropylbetaine (CB-18w), a zwitterionic detergent has also been described for detection of mycobacteria (Thornton et al 1998). Household bleach (NaOCI) has also been used alone, for liquefaction of sputum and microscopy (van Deun et al 2000; Ramsay et al 2006). It uses overnight sedimentation, thus eliminating the use of a centrifuge, and smearing from the sediment. In 3 studies performed in Ethiopia and India, the use of the NaOCI method increased the number of samples positive for acid-fast bacilli in more than 100% (Gebre et al 1995). Another method adding chitin solution for aiding mucus digestion has been described by Farnia and colleagues (2002). Sensitivity and specificity for this method were reported as 80% and 96.7% (Farnia et al 2002).

Table 3 Phase I: Statistical parameters (in percentage) sensitivity, specificity, accuracy, and predictive values calculated for both decontamination methods

Technique	Digestion – decontamination procedure									
	HS-SH (Values in %)					NALC-NaOH (Values in %)				
	S	SP	AUC	PPV	NPV	S	SP	AUC	PPV	NPV
AFB	73.5	99.5	81.0	95.2	94.1	75.0	99.3	81.0	93.5	94.2
LJ	88.4	100	94.0	100	98.1	88.3	99.8	94.0	98.6	99.1
MGIT	92.6	100	96.0	100	98.8	91.5	99.8	96.0	98.7	98.6

Abbreviations: AFB, smear examination; MGIT960, culture system; LJ, Löwenstein-Jensen solid medium; HS-SH, hypertonic saline – sodium hydroxide, NaCl plus NaOH; NALC, N-acetyl-L-cysteine – sodium citrate plus NaOH; S, sensitivity; SP, specificity; PPV and NPV, positive and negative predictive values; AUC, area under receiver operating characteristic curve.

Table 4 Distribution of smears and culture results obtained from the specimens included in Phase II of the study and treated by HS-SH and NALC-NaOH, respectively

Smear and culture results	Specimens				Statistics	
	Decontamination/liquefaction Method				χ^2	P value
	HS-SH		NALC-NaOH			
	N°	%	N°	%		
AFB+/C-	30	1.5	14	1.0	1.620	0.2031
AFB+/C+	177	8.7	115	8.5	0.024	0.8777
AFB-/C+	110	5.4	77	5.6	0.071	0.7893
AFB-/Cc	89	4.4	75	5.5	0.001	0.9697
AFB+/Cc	6	0.3	13	1.0	7.000	0.0082
AFB-/C-	1619	79.8	1063	78.3	0.789	0.3743
Total	2031	100.0	1357	100.0	-	-

Abbreviations: AFB, acid-fast bacilli direct examination; C, culture; + and -, positive and negative results; c, contaminated; HS-SH, hypertonic saline-sodium hydroxide, 7% NaCl plus NaOH; NALC-NaOH, N-acetyl-L-cysteine - sodium citrate plus NaOH.

Several decontamination procedures require that the performance conditions – such as the exposure time to trisodium phosphate – be carefully controlled. Zephiran requires neutralization with lecithin and is not compatible with inoculation on egg-based culture media; the usefulness of chitin solutions for culture has not been evaluated yet; bleach solution is of course unsuitable for cultivation. For the recovery of *M. tuberculosis* after treatment with CB-18w, a separate protocol with three lytic enzymes, which increases the whole cost, is used in conjunction with CB-18w. However, the initial studies showed an important increase in sensitivity with this method when compared to the NALC-NaOH method (Thornton et al 1998; Scott et al 2002).

Another recently published method describes the use of phenol and ammonium sulphate combination (PhAS) for liquefaction of sputum. Besides the fact that this method needs an overnight sedimentation, it kills the mycobacteria, so it is not suitable for culture. Sensitivity and specificity

of these methods were reported as high as 85% and 97%, respectively (Selvakumar et al 2002).

In this study the AFB positive rate was significantly increased by about 2.2% after the concentration procedures in comparison to those without such treatment (10.4% and 10.5% vs 8.2%). Furthermore and independently of the method used, the calculated S values for AFB detection increased between 15.2% (HS-SH-S: 73.5%) to 16.7% (NALC-NaOH-S: 75.0%) above the value obtained without specimen concentration (58.3%).

The analysis of culture results showed that similar values were obtained when using LJ and MGIT960 after applying either HS-SH or NALC-NaOH methods.

The clinical performance of the HS-SH solution was assessed in the Phase II study by ROC curve analysis of the results. The overall technical performances were also very similar.

In our country the cost of the NALC-NaOH method is about US\$1.0 per clinical specimen when homemade

Table 5 Sensitivity, specificity, accuracy, predictive values, and positive rates calculated for both decontamination methods

SV	Technique for AFB		Difference	Technique for Culture		Difference
	HS-SH	NALC-NaOH	χ^2 (P)	HS-SH	NALC-NaOH	χ^2 (P)
S	73.5	75.0	0.877 (0.3490)	92.6	91.5	1.214 (0.2705)
SP	99.5	99.3	0.271 (0.6029)	100.0	99.8	1.951 (0.1625)
AUC	81.0	81.0	0.002 (0.9644)	96.0	96.0	0.008 (0.9287)
PPV	95.2	93.5	4.216 (0.0400)	100.0	98.7	24.091 (<0.0001)
NPV	94.1	94.2	0.002 (0.9627)	98.8	98.6	0.124 (0.7252)

Abbreviations: SV, statistical value; S, sensitivity; SP, specificity; PPV and NPV, positive and negative predictive value; HS-SH, hypertonic saline sodium hydroxide, 7% NaCl plus NaOH; NALC-NaOH, N-acetyl-L-cysteine – sodium citrate plus NaOH.

and US\$3.5 when using commercial kits (MycoPrep; Becton Dickinson, MD, USA). The cost when using HS-SH solutions was about US\$0.25 per sample. Besides, the HS-SH method is easy to set up in clinical laboratories devoted to detection and recovery of mycobacteria on LJ slants, since there is no need for special training of technical personnel.

The results obtained as well as the extremely low cost of the HS-SH digestion–decontamination procedure make its implementation possible in clinical laboratories with high burdens of TB cases and low resources.

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