### REVIEW



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# Emerging role of AMPA receptor subunit GluA1 in synaptic plasticity: Implications for Alzheimer's disease

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### Abstract

It is well established that GluA1 mediated synaptic plasticity plays a central role in the early development of AD. The complex cellular and molecular mechanisms that enable GluA1-related synaptic regulation remain to fully understood. Particularly, understanding the mechanisms that disrupt GluA1 related synaptic plasticity is central to the development of disease-modifying therapies which are sorely needed as the incidence of AD rises. We surmise that the published evidence establishes deficits in synaptic plasticity as a central factor of AD aetiology. We additionally highlight potential therapeutic strategies for the treatment of AD, and we delve into the roles of GluA1 in learning and memory. Particularly, we review the current understanding of the molecular interactions that confer the actions of this ubiquitous excitatory receptor subunit including post-translational modification and accessory protein recruitment of the GluA1 subunit. These are proposed to regulate receptor trafficking, recycling, channel conductance and synaptic transmission and plasticity.

### 1 | INTRODUCTION

Alzheimer's disease (AD) is a leading cause of age-related dementia in the developed world. Deficits in synaptic plasticity, defined as any experience (activity)-dependent changes that occur between neurons that alter their communication dynamics over time, have been a hallmark of the early aetiology of AD and similar age-related cognitive disorders.<sup>1-4</sup> Excess amyloid- $\beta$  (A $\beta$ ) has been demonstrated to induce dysregulation of excitatory glutamate receptors like *N*-Methyl-D-aspartic acid or *N*-Methyl-D-aspartate receptors (NMDARs) and  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors (AMPARs), one of the earliest steps in the pathogenesis of AD.<sup>5-11</sup>

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**FIGURE 1** GluA1 mediated synaptic plasticity in AD. In AD brain, deficits in synaptic plasticity that occur between neurons, which alter their communication dynamics, have been the aetiology of AD. GluA1 has been linked to AD through synaptic plasticity

# Synaptic modulations that lead to age-related neurodegenerative disease may occur through molecular changes such as post-translational modifications (PTMs), protein interactions and, ultimately, structural changes at either the pre-, post- or trans-synaptic regions.<sup>12-14</sup> Thus while neuron morphology may remain relatively stable over time, the structural plasticity of individual neurons may be sufficient to alter mammalian circuits such as those required for long-term memory or cognition.<sup>15</sup> Indeed, dysmorphia of neuronal microstructures and the distribution of AMPAR subunits in the synapse have all been linked to AD specifically, as detailed below.

At the memory epicentre, the hippocampus, AMPARs make up a large proportion of the excitatory synapse, up to 80% in the CA1 region.<sup>16,17</sup> AMPARs form tetramers of various combinations of four subunits (GluA1-4). In hippocampal CA1 neurons, the majority of AMPARs are made up of GluA1/GluA2 and GluA2/GluA3 heterotetramers, with a small presence of GluA1 homomers and an even smaller proportion of GluA1/GluA3 heterotetramers.<sup>18-20</sup> GluA1 and GluA4 contain long cytoplasmic tails, while GluA2 and GluA3 have shorter cytoplasmic tails. The composition of the receptor tetramer largely determines the functionality of the receptor. Consequently, the synaptic function is shaped by the collective receptor population. For example, some evidence has suggested that AMPARs with longer cytoplasmic tails are primarily targeted to synapses in response to neuronal activity, such as long-term potentiation (LTP) induction, while short-tailed receptors are constitutively targeted to synapses.<sup>21-25</sup>

AMPAR subunits are highly susceptible to regulation through a myriad of PTMs conferring changes to protein interactions, each distinctly altering the properties and function of the receptor.<sup>25</sup> The layers of modulation that occur through subunit composition, protein interactions, PTMs<sup>26</sup> and auxiliary subunits have been dubbed the 'AMPAR code' by Diering and Huaganir 2018, suggesting that as our understanding of these modulatory factors grows, the status of synaptic plasticity can be predicted.<sup>27</sup> Post-translational modification of the AMPAR subunits has also been correlated with receptor trafficking, insertion and overall abundance at the synapse.<sup>28</sup> While channel properties can also be affected by a variety of PTMs (ie conductance), it is more likely that functional receptor changes such as LTP and long-term depression (LTD) occur through changes in AMPAR abundance at the synapse.<sup>29</sup> Changes in synaptic strength can trigger changes in the structure and abundance of components such as dendritic spines and axonal boutons.<sup>15,30,31</sup> Pathological structural alterations in turn can form the basis of various diseases such as Alzheimer's, Parkinson's, schizophrenia and epilepsy.<sup>32-35</sup>

In this review, we outlined the role of aberrant synaptic plasticity in the aetiology of AD (predominantly amyloid  $\beta$ -driven and to a lesser extent tauopathy-driven) and dived into the role of GluA1 in synaptic plasticity, in the hippocampus as it pertains to induction and maintenance of LTP and LTD (Figure 1). We detailed the critical aspects of GluA1, such as trafficking and internalization of the subunit-containing receptors, microstructural adaptations, changes to channel properties and PTMs that alter the interaction of GluA1 with kinases, accessory proteins and other regulatory proteins.

### 2 | ROLE OF GLUA1 IN ABERRANT SYNAPTIC PLASTICITY IN DISEASE STATES

Synaptic plasticity is an essential component for learning and memory functions, endowing the ability for progressive modulations of a synapse in response to stimuli.<sup>36,37</sup> LTP refers to the likelihood of activation of NMDARs and subsequent calcium influx that occurs with repeated synaptic activation. Modulations like LTP lead to lasting changes in gene expression and the expression of synaptic proteins like kinases and post-translational modifiers which propagate the modified synaptic function. Similarly, LTD occurs via low-frequency stimulation to modulate synaptic strength in the opposite manner, reducing the likelihood of excitatory receptor activation. It is unsurprising then that a hallmark of many neurological diseases is synaptic dysfunction. For example, dysregulation of synaptic vesicle release machinery and age-related decline in synaptic proteins have been identified as the source of cognitive impairment in animal models of ageing and AD.<sup>38-41</sup> On the other hand, pathological triggers such as cerebral infarct or formation of amyloid plagues are positively correlated with spine turnover and neurite plasticity/dysmorphia.<sup>15,42</sup>

Alzheimer's brains are often characterized by the presence of A $\beta$  peptides plaques, aggregated tau protein, or neurofibrillary tangles.<sup>43-45</sup> The appearance of A $\beta$  plaques often follows the appearance of A $\beta$  oligomers, which are generally correlated with the onset of cognitive impairment, an early symptom of AD.<sup>46</sup> One of the earliest molecular signs of AD is deficits in synaptic AMPAR distribution and impaired LTP/LTD.<sup>47-51</sup> As such, special attention has been given to explorations of the role of synaptic dysfunction across various models of AD. For example, in an APP23 mouse model of age-related A $\beta$  accumulation, researchers found that working memory task stimulation led to a rapid decay of LTP, though no structural changes were observed.<sup>52</sup>

Researchers believe that a major contributor to synaptic dysfunction in AD is the disruption of AMPAR trafficking by A $\beta$  oligomers.<sup>53,54</sup> Specifically, it has been reported that the A $\beta$  oligomers may directly bind GluA2-containing complexes,<sup>55</sup> inducing acute increases in calcium-permeable (CP) AMPARs and excitotoxicity.<sup>56</sup> A $\beta$  can also interfere with CaMKII activity, disrupting phosphorylation-dependent AMPAR trafficking and causing subsequent deficits in LTP/LTD.<sup>57</sup> The post-synaptic protein Bin1 has been identified as a late-onset Alzheimer's disease-associated protein. Located in synaptic spines, reductions in Bin1 in AD models reduce exocytosis Cell Proliferation

recycling, causing a build-up of recycling endosomes, suggesting a regulatory role in trafficking. Indeed, Bin1 interacts with Arf6 and GluA1 to modulate the expression of AMPARs in the synapse.<sup>58</sup> In a similar vein, various actin-binding proteins that regulate the cytoskeleton are reduced in the hippocampi of 3xTg-AD, contributing to altered synaptic spine morphology and function.<sup>59</sup> One report proposes that age-related increases in GluA1 subunit ubiquitination deregulate AMPAR trafficking and internalization may be an underlying mechanism of AD.<sup>60</sup> In vitro, Tg2576 neurons secrete elevated levels of Aβ similar to that observed in vivo. In these cells, PSD-95 levels are reduced in tandem with a reduction in surface expression of GluA1-containing AMPARs,<sup>38</sup> supporting the role of synaptic dysfunction in the early progression of AD. These and other proposed AD pathways are illustrated in Figures 2 and 3.

Another potential mechanism of AD pathology is homeostatic synaptic scaling, the process by which synaptic strength is slowly modified to regulate the excitability of a neuron. Typically, this involves insertion, deletion and changes in the turnover of functional receptors to maintain neurons functioning within a physiological range. However, disruptions in this mechanism can destabilize synapses and, consequently, neuronal function. Using mice that carry a double knock-in mutation for the human gene presenilin-1 (amyloid precursor protein), investigators demonstrated that AMPAR-mediated (evoked and spontaneous) miniature currents are downscaled in an age-related manner. Electron microscopy and immunohistochemistry confirmed the loss of AMPARs selectively at the CA1 stratum radiatum axo-spinous synapses. Further, and functional tests further revealed the deficits in LTD/LTP and memory flexibility.<sup>61</sup> Another study of synthetic Aβ overexpression in primary hippocampal culture resulted in an aberrant up-regulation of AMPAR currents and cell surface expression, particularly in CP-AMPARs through GluA2-containing AMPARs were also affected.<sup>62</sup> One study reported that in presenilin-1 mutants, calcium signalling is abnormally elevated, as demonstrated in DIV14 cultured mutant hippocampal neurons transfected with GCaMP5, a genetically encoded Ca<sup>2+</sup> indicator. Further, they found that calcineurin was also elevated in these mutants. Elevated calcineurin subsequently decreased GluA1 phosphorylation at the S845 site and selectively decreased synaptic GluA1. Interestingly, pharmacological inhibition of calcineurin with the selective inhibitor FK506 reduced elevated calcineurin in the presenilin mutant neurons, inducing synaptic scaling and selective GluA1 trafficking to the synapse.<sup>63</sup> It is important to note that there are different types of amyloid plaques, and those soluble  $A\beta$  peptides appear to play the most critical roles in AD pathogenesis. Additionally,  $A\beta$  elevation is modelled differently across experimental studies; for example, in many studies, soluble A $\beta$  is injected intracranially wherein other studies A $\beta$  is manipulated through genetic ablation of proteins involved in  $A\beta$  production. Regardless of the model, it appears that inhibited LTP and enhanced LTD are common features of early-onset AD pathology that precedes amyloid plaques and neural degeneration, indicating that abnormal plasticity is responsible for much of the working memory loss in early AD.64



FIGURE 2 Alzheimer's related modulation of GluA1 and consequent pathology, A. M1 receptor activation can rescue cognitive impairment through modulation of GluA1 S845 phosphorylation and downstream incorporation with PSD-95, a pathway that is compromised by  $A\beta$  aggregation. B, A $\beta$  reduces PSD-95, a protein involved in recruiting and anchoring glutamate receptor subunits to the post-synaptic density. In agreement, we observed early reductions in surface expression of glutamate receptor subunit GluA1. C. Aß oligomers cause mislocalization of tau protein to the dendritic spines. There, calcineurin-mediated dephosphorylation of GluA1 S845 triggers a pathological cascade or rapid AMPAR insertion and acute neurotoxicity. D, Aβ oligomers impair synaptic function by decreasing the amplitude of mEPSCs

Interestingly, emerging studies have elucidated a possible role for insulin resistance in the aetiology of AD and associated cognitive deficits.<sup>41,65-67</sup> Defective insulin signalling in the brain is a hallmark of AD, and several studies have demonstrated improved cognition and memory performance in aged and AD patients upon insulin administration.<sup>68</sup> Insulin promotes trafficking of GluA1-containing AMPARs, which appears regulated by phosphorylation of S845. Thus, reductions in hippocampal insulin or insulin receptors could suppress hippocampal LTP. In animal models of the high-fat diet, palmitic acid is enhanced in the hippocampus leading to hippocampal insulin resistance to overexpression of the palmitoyltransferase zDHHC3. Consequently, GluA1 is hyperpalmitoylated and trafficking to the plasma membrane is inhibited. This phenomenon is concurrent with reduced AMPAR current amplitude, LTP and hippocampal-dependent memory.<sup>69,70</sup>

Progress in our understanding of the role of GluA1 and GluA1containing AMPARs in the brain will undoubtedly shed light on novel therapeutic strategies for the treatment of dementia and age-related cognitive disorders like AD. For example, activation of M1 receptors promotes the membrane insertion of GluA1 through phosphorylation of S845, a critical site for AMPAR trafficking to the synapse.<sup>71</sup> In that animal model, M1 receptor activation reversed learning and memory impairments through the modulation of GluA1 trafficking. In another study, the genetic ablation of endophilin2 (a regulatory of synaptic vesicle endocytosis) demonstrated resistance to oligomeric A $\beta$ -induced AMPAR dysfunction.<sup>72</sup> This study highlighted that the genetic silencing of endophilin2 interacts with AMPARs to regulate oligomeric A $\beta$ -mediated AMPAR endocytosis in primary hippocampal culture, indicating a possible avenue for therapeutic exploration. In the Tg2576 AD animal model, 7,8-dihyddroxyflavone, a selective TrkB agonist, increases synaptic GluA1 and GluA2 and protects against dendritic loss and preserving spatial memory despite no apparent changes to the accumulation of A $\beta$ .<sup>73</sup> Agonist-mediated TrKB receptor activation suggests a therapeutic role of TrKB in the AD brain.

An emerging concept in Alzheimer's disease therapeutics is that sub-toxic levels of endogenous and exogenous agents that induce oxidative stress may actually precondition the cell to future exposures such that beneficial pathways (maintenance and repair mechanisms) are triggered in response to the low-threshold insult. In C. elegans, olive oil-derived polyphenols demonstrated the ability to modulate stress response mechanisms to reduce the degradation of dopaminergic neurons.<sup>74</sup> The dose-dependent neuroprotective response of these plant polyphenols likely exert their hormetic effects by activating the Nr2f antioxidant response element, releasing detoxifying enzymes and positively regulating vitagenes like the longevity gene **FIGURE 3** Alzheimer's related modulation of GluA1 and subsequent pathology. A, A $\beta$  has been shown to impair synaptic plasticity through impairments in GluA1/GluA2-mediated LTP. B, A $\beta$  has been shown to impair AMPA trafficking and synaptic plasticity. C, A $\beta$  interferes with CaMKII activity, disrupting activitydependent AMPAR trafficking. D, The loss of post-synaptic AMPARs is mediated through the clathrin-dependent endocytosis pathway. Endophilin 2 colocalizes and interacts with GluA1to regulate oligomeric A $\beta$ -induced AMPAR endocytosis



DAF-16.75 In models of AD, exposure of sub-toxic levels of hydrogen peroxide and superoxide can trigger calcium release from the endoplasmic reticulum and enhance LTP in CA1 neurons, respectively. This response to mitochondrial ROS may indicate an adaptive stress response stemming from the regulation of transcription factors aimed at protecting the mitochondria from further oxidative damage.<sup>76</sup> Hormetic agents such as hydroxytyrosol (HT) not only act on the oxidative stress pathway but also can exert anti-inflammatory and anti-apoptotic properties as well. For example, the HT derivative HD has been shown to inhibit the nuclear translocation of NFkB in addition to reducing iNOS levels and reducing the level of pro-apoptotic Bax. This regulation of redox homeostasis ultimately led to preservation of dopaminergic neurons in HD-treated mice and the prevention of the pathogenic accumulation (proteotoxicity) of alpha synuclein.<sup>77</sup> Beyond plant polyphenols, naturally occurring, well-tolerated substances have been evaluated as hormetic agents in clinical trials. For example, a mushroom preparation was used experimentally in patients with Meniere's Disease (MD), a condition of cochlear neurodegeneration. In this study, nutritional supplementation activated a host of vitagenes (including HO-1, SIRT-1 and others) and increased ratio-reduced glutathione in plasma.<sup>78</sup> Similarly, in PC-12 cells, application of herbal extract Hericium Erinaceus protected against di(2-ethylhexyl)phthalate (DEHP)-induced cell death.

This hermetic action demonstrated both a stabilizing effect on mitochondrial membrane potential (due to reduction of intracellular ROS) and the activation of vitagenes regulated Nr2f.<sup>79</sup> Identifying and exploiting neuroprotective properties of safe and well-tolerated hormetic agents for protection against amyloid beta aggregation, or more fundamentally for the biophysical modulation of AMPA receptors is the new therapeutic frontier in the wake of limited progress with anti-inflammatory treatments for AD. Next, we dive into multiple aspects of the AMPAR subunit GluA1 which much of the AD literature has implicated.

### 3 | FUNCTIONAL PROPERTIES OF GLUA1

 $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors mediate the majority of excitatory activity in the brain and are essential for learning and memory. AMPARs are highly mobile and undergo both constitutive and activity-dependent trafficking to the synapse as well as recycling and degradation. Synapse function is dictated largely by the number, localization and subunit composition of AMPARs. GluA1containing AMPARs account for the majority of synaptic AMPARs in the hippocampus and are the only subunit capable of forming CP homotetramers.<sup>80</sup> In addition to activity-dependent changes in receptor Y-Proliferation

abundance or microstructural state, modulation of GluA1-containing receptors can yield changes in the functional properties of the single channel. For example, GluA1 phosphorylation can increase AMPAR currents. Conversely, LTP can induce phosphorylation-driven increases in single-channel conductance of AMPARs.<sup>81,82</sup>

Additional evidence of the functional changes of GluA1-containing receptors during synaptic plasticity is taken from GluA1 gene deletion studies in mice. One study found that GluA1 deletion generated impairments in spatial working memory though it enhanced spatial memory over a 24-hr period (long-term). These observations suggest that GluA1 plays a differential role in short-term and long-term memory.<sup>83</sup> Certainly, knockout of GluA1 inhibits the ability to generate LTP, a function that can be rescued in vivo with as little as 10% expression of recombinant GluA1. Below we described some of the mechanisms by which functional changes are achieved on GluA1-containing receptors.

Much of AMPAR function is shaped by the subunit type availability at the synapse. GluA2-containing AMPARs are continuously delivered to the spine in basal states. In contrast, GluA1-containing AMPARs are enhanced in the synaptic surface following stimulation, first in the dendritic region followed by movement into the spines.<sup>84,85</sup>

It is widely accepted that LTP in the hippocampus requires an increase in AMPARs within the post-synaptic density (PSD).<sup>86,87</sup> A large component of AMPAR abundance is the stabilization of cell surface and synaptic expression that occurs via transmembrane AMPAR regulatory proteins (TARPs).<sup>27</sup> Trafficking of GluA1-containing AMPARs is largely driven by post-translational modification signals that recruit kinases and accessory proteins to induce translocation to the PSD by exocytosis and lateral movement within the plasma membrane.<sup>88</sup> The interaction of GluA1 and 4.1N regulates the insertion of AMPARs and the reserve pool of AMPARs required for recruitment to the synapses during LTP.<sup>89</sup> Specifically, GluA1 interaction with the scaffolding protein 4.1N promotes exocytosis of GluA1 from intracellular endosomes.<sup>89,90</sup> On the other hand, calcium-mediated activation of CaMKII/PKC phosphorylates GluA1 831 to promote targeting of the subunit to the PSD<sup>81,91-93</sup> and phosphorylation of S845 promotes GluA1 targeting to the cell surface.<sup>94-96</sup> Further evidence of the importance of PTMs to the distribution of AMPARs comes from knock-in mutation studies of the GluA1 phosphorylation sites 831/845 wherein partially impaired hippocampal LTP<sup>97</sup> and inhibited delivery of GluA1 to the synapse were detected after CaMKII activation or LTP. Interestingly, double mutations do not impair LTP in the CA1 region, indicating the two sites may have a synergistic relationship on LTP expression.<sup>98</sup> Of the two, S845 phosphorylation seems to be involved in GluA1 targeting/stabilization to the cell surface, likely through GluA1 recycling and limiting endocytosis.<sup>95,96,99</sup> Finally, during hippocampal LTP, PKC mediated phosphorylation of GluA1 S818 may interact with 4.1N to maintain GluA1 exocytosis and propagate LTP as evidenced by deteriorating LTP signals in the presence of ph-GluA1 S818 mimics and 4.1N knockdown.<sup>89,100</sup>

During LTD, CP AMPARs (GluA1 homomers residing in the extrasynaptic region) are transiently recruited to the synapse via phosphorylation of GluA1 S845 by PKA.<sup>101-103</sup> Newly incorporated CP receptors could magnify the calcium influx at synapses, resulting in LTP stabilization during the first minutes of potentiation. During the induction of LTD, these CP AMPARs signal their own removal through activation of calcineurin (CaN).<sup>104</sup> Low calcium influx stimulation can activate high-affinity phosphatases like CaN, to dephosphorylate PSD proteins. Other studies of knock-in mutations in the GluA1 CaMKII and PKA sites exhibit deficits in LTD, indicating that dephosphorylation is important for LTD.<sup>97,105</sup>

Like the aforementioned 4.1N, AMPAR trafficking is regulated by a myriad of accessory proteins, and deficits in the expression of these proteins lead to aberrant AMPAR trafficking and synaptic expression.<sup>106</sup> For example, SAP97 (a member of the PSD-95 family) abundance directly influences the amount of synaptic AMPARs through the binding of the GluA1 C-terminal PDZ domain.<sup>90</sup> Similarly, PSD-95 binds GluA1 at the PDZ domains (of the N terminal) to mediate the insertion of AMPARs at synapses during LTP.<sup>107</sup> Singleparticle tracking has allowed the visualization of decreased lateral mobility of GluA1-containing AMPARs in response to auxiliary proteins like stargazing and PSD-95, a mechanism that is thought to facilitate 'trapping' of AMPARs at the synapses.<sup>108</sup> Other work further demonstrated that the first intracellular loop domain (Loop1) of the C-tail of GluA1 is particularly involved in targeting AMPARs to the synapse though not for the trafficking of receptors to the plasma membrane. The authors report that CaMKII phosphorylation of S567 is a key regulator of Loop-1-mediated AMPAR trafficking.<sup>109</sup> Finally, in addition to stabilization and recruitment of GluA1 for AMPAR induction, increases in synaptic GluA1 can be accomplished via sourcing from pre-existing surface populations, increases in exocytosis of AMPARs, or recycling endosomes that are trafficked to the synapse following LTP.<sup>110-112</sup>

While it has been hypothesized that age-related deficits in AMPAR subunit trafficking and instability may reorganize the synaptic structure and underlie age and disease related changes in cognitive ability, little direct evidence is currently available. Similarly, it has been proposed that the presence of A $\beta$  may increase AMPAR degradation, leading to synaptic decline. Particularly, the exogenous application of A $\beta$  activates NMDARs and triggers the removal of AMPARs.<sup>47</sup> This is consistent with reports of declining AMPAR function in aged subjects. In addition to A $\beta$ , there have been reports that hyperphosphorylated tau protein can accumulate in dendritic spines, dysregulating AMAR trafficking,<sup>113-115</sup> likely due to impairments in microtubules.

# 4 | GLUA1 AND STRUCTURAL ADAPTATIONS

 $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors can arrive at the synapse by insertion into the plasma membrane followed by lateral diffusion to the synapse.<sup>116</sup> Additionally, de novo synthesis of GluA1-containing AMPARs can occur through mRNA and translation machinery present at the dendrites, supplying AMPARs.<sup>106</sup> Though the overall structure of the brain is

generally unchanged in response to synaptic plasticity, microstructural changes have been documented. For example, increases in the synaptic insertion of GluA1 (such as occurs after LTP stimulus) can lead to increases in spine size in the hippocampus. In a two-photon microscopy analysis of AMPAR trafficking during whisker stimulation, investigators found a positive correlation between GluA1 intensity at the spine and shaft and spine size.<sup>117</sup> Newly synthesized GluA1 is recruited to the spines inducing increases in CA1 spine density.<sup>118</sup> This structural change (attributed to the incorporation of the cytoplasmic tail of GluA1 specifically) is proposed to drive both the structural stabilization which permits spine growth and increased synaptic strength via its ligand-gated ion channel.<sup>119</sup> Interestingly, incorporation of the cytosolic fragment of GluA1 to the post-synaptic density is sufficient to permit spine enlargement.<sup>119</sup> In fact, the C-terminal domains of AMPARs are reported to be the principal mediators of fast excitatory synaptic transmission, and genetic ablation showed that the CTD of GluA1 was critical for NMDAR-dependent LTP but not NMDAR-dependent LTD.<sup>120</sup> AMPAR mobility can be further regulated by neuronal activity or interaction with scaffold proteins.<sup>106</sup>

A $\beta$  induces synaptic aberrations by altering the morphology and composition of synapses that lead to significant dendritic spines loss.<sup>121</sup> In hippocampal neuronal culture, persistent addition of soluble A $\beta$ -derived oligomers resulted in the thinning of spines and reductions in spinal density. This structural deterioration was concurrent with decreases in cytoskeletal protein drebrin.<sup>122</sup> In a triple transgenic animal model of AD, dendritic spine density was reduced not only near A $\beta$  plaque sites but also in distal areas that accumulate both soluble A $\beta$  and hyperphosphorylated tau.<sup>123-125</sup> These reports substantiate a critical role of A $\beta$  in altering the synaptic microstructure.

# 5 | GLUA1 AND POST-TRANSLATIONAL MODIFICATIONS

Post-translational modifications of the GluA1 subunit can alter the performance of the subunit-containing receptor. This is achieved by altering the binding properties of the subunit, the recruitment of regulatory accessory proteins and complexes, and the overall probability of synaptic plasticity. One of the most common PTMs occurring in neurons is phosphorylation, which can regulate each of the four AMPAR subunits. Phosphorylation is critical for synaptic plasticity, with LTD induction generally associated with dephosphorylation of major PKA sites while LTP induction is associated with dephosphorylation of CaMKII sites.<sup>126</sup> Experiments with phosphomimetic knock-in mice have demonstrated that \$831 and \$845 are critical phosphorylation sites on the GluA1 subunit. For example, phosphorylation of GluA1 S831 increases channel conductance<sup>81,127</sup> while phosphorylation of \$845 increases single-channel open probability.<sup>94</sup> GluA1 phosphorylation has also been shown to alter the spiking patterns of CA1 cells in vivo via enhanced AMPAR-evoked spiking.<sup>128</sup> Notably, these sites exhibit lowered thresholds for LTP in Proliferation

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response to weak, theta-burst stimulation increasing the probability of synaptic plasticity.<sup>129</sup> RNAi experiments have further shown that phosphorylation of GluA1 at S845 is required for spatial memory formation.<sup>130</sup> The kinase PKC can increase GluA1 S818 phosphorylation which in turn recruits the exocytosis-associated protein 4.1N to maintain LTP.<sup>89,100</sup> In general, activity-dependent phosphorylation of GluA1 is associated with AMPAR delivery to the synapse, while dephosphorylation is associated with AMPAR endocytosis and synaptic weakening<sup>131</sup> (the role of PTMs in GluA1 distribution are covered in the section 'functional properties of GluA1'). Studies in rat hippocampus have further indicated that activation of different NMDA subpopulations greatly influences GluA1 phosphorylation, suggesting a mechanism for NMDAR-dependent synaptic plasticity.

 $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors are susceptible to lysine acetylation at the C-terminal, reducing AMPAR internalization and degradation, leading to increased cell surface localization and stabilization.<sup>53</sup> On the other hand, ubiquitination by E3 ligases, including Nedd4, facilitates AMPAR internalization and degradation.<sup>53</sup> Finally, S-nitrosylation of GluA1 at S831 has been shown to facilitate AMPAR conductance and endocytosis via AP2 binding in HEK293 cells overexpressing GluA1.<sup>132</sup> Diering and Huganir eloquently lay out all 11 known PTMs occurring on GluA1, including seven phosphorylations, two palmitoylations, one S-nitrosylation and one ubiquitination, each of which can uniquely modify the subunit to exhibit different properties.<sup>27</sup>

Due to the influence of PTMs on AMPAR insertion, trafficking and stability, they have been investigated in the aetiology of AD. To date, one class of post-translational modification has stood out in the body of AD research. AMPAR ubiquitination and subsequent removal of AMPARs from the plasma membrane has been demonstrated in cultured neurons exposed to soluble A $\beta$  oligomers. This exposure produced reductions in AMPAR currents as well as spine loss.<sup>133</sup> More recently, A $\beta$  exposure was shown to increase GluA1 ubiquitination (particularly at lysine 63) concurrent with increased AMPAR degradation in cortical neuron culture and AD brain lysates. This was observed to occur in tandem with increases in the E3 ligase Nedd4, while the expression of deubiquitinating enzymes was decreased.<sup>134</sup>

# 6 | GLUA1 AND SYNAPTIC PROTEIN INTERAACTIONS

GluA1 properties are heavily regulated by synaptic protein interactions. For example, the cytoskeletal protein Arc acts as an immediate early gene that can be induced in the nucleus in response to excitatory activity.<sup>135</sup> In the nucleus, Arc regulates GluA1 transcription to modulate synaptic strength. Arc specifically decreases GluA1 transcription by regulating endocytosis of AMPAR.<sup>136,137</sup> CaMKIImediated phosphorylation of the TARP stargazing promotes binding to PSD-95, subsequently promoting retention of GluA1-containing AMPARs to the synapse. If GluA1 binds SAP97 at the PDZ domain following CaMKII activation, GluA1-containing receptors are VILEY-

recruited to the synapse via binding of the motor protein myosin VI.<sup>138</sup> Finally, binding of the PDZ domain with nexin27 promotes the maintenance of basal AMPAR surface expression and in mediating AMPAR insertion during LTP.<sup>139</sup> An interesting feedback loop was found wherein retinoic acid (a regulator of GluA1 protein synthesis) is triggered by silencing of synaptic transmission, consequently stimulating GluA1 in the synapse.<sup>140</sup>

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Pathologically,  $A\beta$  has been shown to alter the synaptic distribution of the kinase CaMKII. For instance, in APP transgenic mice, the pool of CaMKII is reduced and in cortical neurons treated with  $A\beta$ oligomer, decreased CaMKII clusters are present at the synapse.<sup>57</sup> The restoration of CaMKII and AMPAR-mediated transmission further implicates  $A\beta$  as a regulator of the subcellular distribution of CaMKII and destabilizer of synaptic AMPARs and synaptic potentiation. On the other hand, animal models of tauopathy have shown through sophisticated imaging strategies that although the synaptic density of AMPARs, synaptic proteins like PSD-95, GluN1 and GluA1 are reduced.<sup>141</sup> These findings suggest that synapses exposed to aberrant tau may exert ultrastructural changes through the alteration of synaptic proteins which can significantly alter synaptic function in pathological states like AD.

# 7 | CONCLUSIONS AND FUTURE PERSPECTIVES

In the United States alone, AD impacts over 5 million and is estimated to bear a 200 billion dollar per year economic burden. The disease is particularly pressing as society faces an increased ageing population. Thus, elucidating the underlying mechanisms of cognitive and memory function and decline is especially important. An increasing body of evidence has implicated  $A\beta$  and tau aggregates to a host of deleterious neurobiological processes such as reductions in excitatory synaptic transmission, loss of dendritic spines and excitotoxic neuronal death. Indeed, a hallmark of AD aetiology includes dysregulated synaptic transmission that has been largely attributed to dendritic spine loss, deficits in glutamatergic synaptic transmission and impaired cognition, learning and memory capacity. Interestingly, these phenomena have been found to occur prior to the detection of pathological plaques and neuronal loss. This beckons the question of whether any of the above described molecular pathologies are consequence or cause. Regardless, the evidence is quite clear in that AD affected subjects distinctly suffer inhibited LTP due to reductions in excitatory neurotransmission. As a major excitatory receptor in the central nervous system, AMPARs have been a focus of AD research. Indeed, as previously discussed, AMPAR abundance, trafficking, localization and function have all been shown to be dysregulated across various AD models. The receptor subunit GluA1 is perhaps the most wellstudied component among AMPARs. Through a variety of molecular mechanisms including trafficking, recycling, modifications of microstructural properties, PTMs and modulations due to interactions with critical synaptic proteins, GluA1-containing AMPARs are a medium for the aetiology of AD and similar neurogenerative pathologies.

In this review, we discussed various aspects of GluA1's role in establishing synaptic plasticity, a fundamental component of cognitive processes like learning and memory. Specifically, we highlighted peer-reviewed findings in the areas of GluA1 trafficking to and from the synapse, microstructural adaptations caused by GluA1containing receptor modulation, single-channel functional modulation and well-studied PTMs that influence interaction of the subunit with regulatory proteins. Altogether, these variations provide ample possibilities for GluA1-containing AMPARs to modify synaptic transmission to shape LTD/LTP and subsequently learning and memory. Many questions stand including the resolution of a complex network of subunit diversity, PTMs and regulatory protein interactions. Additionally, potential crosstalk between PTM-conferring proteins. compensatory mechanisms and feedback loops remain to be fully characterized. Undoubtedly, the list of proteins able to regulate synaptic AMPAR levels and their activity remains incomplete. Further, it is likely that live, high-resolution microscopy has only begun to reveal the intricacies of molecular movement at the synapse. Finally, pathology-driven changes in GluA1 dynamics and novel therapeutic strategies to address these remain to undergo a battery of clinical investigation.

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#### CONFLICT OF INTEREST

The authors declare that they have no competing interests.

### AUTHOR CONTRIBUTIONS

WQ, BY and QL conceptualized and design the manuscript. WQ, JL, RC and XZ searched the literature and wrote the manuscript. WY and BL viewed, edited and approved the manuscript. All authors read and approved the final manuscript.

### DATA AVAILABILITY STATEMENT

Data sharing is not applicable to this article, so no new data were created or analysed in this study.

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