Original Article

Upright magnetic resonance imaging of the lumbar spine: Back pain and radiculopathy

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Abstract

Background: Lumbar back pain and radiculopathy are common diagnoses. Unfortunately, conventional magnetic resonance imaging (MRI) findings and clinical symptoms do not necessarily correlate in the lumbar spine. With upright imaging, disc pathologies or foraminal stenosis may become more salient, leading to improvements in diagnosis. Materials and Methods: Seventeen adults (10 asymptomatic and 7 symptomatic volunteers) provided their informed consent and participated in the study. A 0.6T upright MRI scan was performed on each adult in the seated position. Parameters were obtained from the L2/3 level to the L5/S1 level including those pertaining to the foramen [cross-sectional area (CSA), height, mid-disc width, width, thickness of ligamentum flavum], disc (bulge, height, width), vertebral body (height and width), and alignment (lordosis angle, wedge angle, lumbosacral angle). Each parameter was compared based on the spinal level and volunteer group using twofactor analysis of variance (ANOVA). Bonferroni post hoc analysis was used to assess the differences between individual spinal levels. Results: Mid-disc width accounted for 56% of maximum foramen width in symptomatic volunteers and over 63% in asymptomatic volunteers. Disc bulge was 48% greater in symptomatic volunteers compared to asymptomatic volunteers. CSA was generally smaller in symptomatic volunteers compared to asymptomatic volunteers, particularly at the L4-L5 and L5-S1 spinal levels. Thickness of ligamentum flavum (TLF) generally increased from the cranial to caudal spinal levels where the L4-L5 and L5-S1 spinal levels were significantly thicker than the LI-L2 spinal level. Conclusions: The data implied that upright MRI could be a useful diagnostic option, as it can delineate pertinent differences between symptomatic volunteers and asymptomatic volunteers, especially with respect to foraminal geometry.

Key words: Foraminal stenosis, lumbar back pain, lumbar radiculopathy, magnetic resonance imaging (MRI), upright MRI

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INTRODUCTION

Lower back pain (LBP) and lumbar radiculopathy pose major medical and social problems that create a significant economic burden on society.^[1-4] LBP has an estimated lifetime prevalence of 60-90%^[4,5] where more than one-tenth of the population may become disabled.^[5] Moreover, the prevalence of lumbar radiculopathy ranges between 3% and 5%.^[6] Inadequate recovery rates and dissatisfaction create challenges for health care professionals.^[7] Lumbar spinal stenosis is a cause of LBP and lumbar radiculopathy.^[4] The condition results from degeneration of the intervertebral discs and facet joints, leading to constriction of the spinal canal and neural foramina.

Conventional magnetic resonance imaging (MRI), which places the patient in the supine position, has been a mainstay for the diagnosis of lumbar spinal stenosis. Unfortunately, conventional MRI findings and clinical symptoms do not necessarily correlate in the lumbar spine. Intervertebral disc pathologies associated with LBP and radiculopathy patients, including disc bulge, protrusion, or extrusion, are also prevalent in asymptomatic individuals.^[8,9] The lack of clinical correlation with pathological features identified using supine MRI may be due, in part, to the removal of the axial loading effects of the head and torso in the supine position, which changes spinal curvature and the relative orientation of adjacent structures.^[10] This can lead to relief or reduction in pain symptoms, possibly due to increased anteriorposterior (AP) dimensions of the foramen.^[11,12]

With the increasing availability of open-configuration MRI, upright imaging of the lumbar spine has become more achievable, gaining traction in recent years. With the upright position, disc pathologies or foraminal stenosis may become more salient, revealing potential nerve root compression not visible on supine images.^[13-16] To date, upright imaging has been used to provide primarily qualitative assessments of foraminal stenosis in symptomatic patients.^[4,11,13,16] Limited quantitative data are available for foramen dimensions in asymptomatic patients.^[15] The literature is scarce regarding the quantitative assessment of the intervertebral foramen in upright imaging as a comparison between symptomatic patients and asymptomatic patients. The purpose of this study is to:

- 1. Evaluate the foramen dimensions between patients with either LBP or lumbar radiculopathy and patients without symptoms *via* upright MRI of the lumbar spine,
- 2. Correlate findings with relationships to disc parameters, vertebral body parameters, and alignment parameters, and
- 3. Compare values with established literature metrics.

MATERIALS AND METHODS

Subjects

In the period between 2009 and 2012, we obtained informed consent for and enrolled 17 patients (10 asymptomatic patients, 7 symptomatic patients) for upright MRI imaging [Table 1]. There were 10 males and 7 females, with a mean age 29.6 ± 7.9 years.

Height and weight were obtained at the time of consent. Symptomatic patients had complaints of either lumbar back pain and/or radiculopathy. The study excluded patients who had a spinal deformity, an underlying pathology, or prior spinal injury or surgery.

Scanning protocol

A 0.6T upright MRI scanner (Fonar Corporation, Melville, NY, USA) imaged the lumbar spine with patients in the seated position. Five oblique sagittal images were obtained through the right and left intervertebral foramina from L2-L3 through L5-S1. Axial scout scans were used to align images parallel to the pedicle prior to image acquisition. Three-millimeter T2 scans were spaced at 3.5-mm intervals.

Imaging analysis

OsiriX image-processing software (Pixmeo, Bernex, Switzerland) was used to analyze magnetic resonance (MR) images to measure parameters pertaining to the intervertebral foramen, intervertebral disc, vertebral body, and lumbar spine alignment as indicated below [Figure 1].

Table 1: Patient demographics

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Clinical parameter	Asymptomatic	Symptomatic
Total	10	7
Males	5	5
Females	5	2
Age (years)	25.22±3.83	35.14±8.97
Height (cm)	170.06±5.17	178.83±8.18
Weight (kg)	89.77±43.70	81.27±12.61



Figure I:Various parameter measurements obtained from upright MRI scans. (a) Maximum foramen width (b) Foramen mid disc width (c) Thickness of ligamentum flavum (d) Foramen height (e) Foramen cross-sectional area (f) Disc bulge (g) Disc height at anterior and posterior disc locations (H) Disc width (I) Vertebral body width (J) Vertebral body height (K) Wedge angle (I) Lumbar height (M) Lumbar lordosis (n) Lumbosacral angle

Intervertebral foramen cross-sectional area^[14]

This is defined as the area bounded superiorly and inferiorly by adjacent pedicles, anteriorly by the posterior longitudinal ligament, and posteriorly by the ligamentum flavum.

Intervertebral foramen mid-disc width

This is defined as the AP width at the rostrocaudal midpoint of the intervertebral disc.

Intervertebral foramen width

This is defined as the maximum AP width of the foramen.

Intervertebral foramen height^[14]

This is defined as the maximum distance between the inferior aspect of the pedicle of the superior vertebra and the superior aspect of the pedicle of the inferior vertebra.

Thickness of ligamentum flavum^[14,15]

This is defined as the AP width of the ligament, and is measured from the midpoint between the attachments of the posterior fibers to the superior facet of the inferior vertebra to the midpoint between the attachments of the anterior fibers to the inferior facet of the superior vertebra.

Intervertebral disc bulge^[14]

This is defined as the perpendicular distance measured from a line drawn between the most posterior edge of adjacent ring apophyses to the most posterior point on the posterior margin of the bulging intervertebral disc.

Intervertebral disc height^[17-19]

This is defined as the intervertebral disc height in the anterior and posterior aspects of the disc. Anterior and posterior disc heights were measured as the distance from the most anterior/ posterior-inferior corner of the superior vertebrae to the most anterior/posterior-superior corner of the inferior vertebrae.

Intervertebral disc width

This is defined as the AP width of the intervertebral disc, measured in the midsagittal plane.

Vertebral body height

Rostrocaudal height of the vertebral body was measured in the midsagittal plane.

Vertebral body width

AP width of the vertebral body was measured in the midsagittal plane.

Lumbar spine length^[17,20]

This is defined as the horizontal distance from the anteriorsuperior corner of L1 and the anterior-inferior corner of L5 at the midsagittal section.

Lumbar spine lordosis angle^[4,17,21]

This is defined as the angle that subtends the upper endplate of L1 and the lower endplate of L5.

Lumbosacral angle^[4,22]

This is defined as the angle along the superior endplate of S1 relative to the horizontal plane.

Wedge angle^[21]

This is defined as the angle that subtends the inferior endplate of the superior vertebra and the superior endplate of the inferior vertebra, encompassing the disc space.

Statistical analysis

Measurements were initially completed by a single author (H.N.). Subsequently, five volunteers were randomly selected and measurements were repeated by the same author (H.N.) as well as by a second author (N.D.). All the measurements were grouped together by the observer, where interobserver and intraobserver correlation coefficients were calculated accordingly. Subsequently, each measurement was statistically compared based on the spinal level and volunteer group (asymptomatic versus symptomatic) using two-factor analysis of variance (ANOVA) with a significance level of P < 0.05. Bonferroni *post hoc* analysis was used to determine significant differences between individual spinal levels.

RESULTS

Intraobserver correlation coefficient was 0.995. Interobserver correlation coefficient was 0.957.

Table 2 summarizes the results regarding intervertebral foramen dimensions. Foramen height was significantly dependent (P < 0.05) on the spinal level but was not different for symptomatic patients compared to asymptomatic controls. *Post hoc* analysis revealed that foramen height across all volunteers was significantly smaller (P < 0.05) at the L4-L5 and L5-S1 spinal levels than at the L1-L4 spinal levels.

Foramen width was significantly dependent (P < 0.05) upon spinal level and volunteer group. Width was smallest at the L1-L2 spinal level, increased to L3-L4, then decreased to L5-S1. *Post hoc* analysis revealed that width at the L3-L4 level was significantly greater than L1-L2. Foramen width was an average of 16% greater in symptomatic compared to asymptomatic patients across all investigated spinal levels.

Foramen mid-disc width was not significantly dependent on either the spinal level or volunteer group. However, given the larger maximum foramen width dimension in symptomatic patients across all levels, mid-disc width was scaled to maximum foramen width by dividing mid-disc width by foramen width. That metric was significantly dependent (P < 0.05) on both the spinal level and volunteer group. Mid-disc width was approximately 50% of the maximum disc width at the L3-L4 and L4-L5 spinal levels and approximately 70% at the L1-L2 and L5-S1 levels. Across all levels, foramen mid-disc width was an average of 63% of the maximum width for asymptomatic volunteers and only 56% for symptomatic patients.

Foramen cross-sectional area (CSA) was significantly dependent (P < 0.05) on the spinal level and volunteer group. CSA was generally smaller in symptomatic patients compared to asymptomatic volunteers, particularly at the L4-L5 and L5-S1 spinal levels where the area was 16% and 20% smaller, respectively.

Table 2: Foramen parameters include height, width, mid-disc width, and CSA.All metrics are presented as mean ± standard deviation in millimeters. The unitless calculated metric of foramen mid-disc width divided by foramen width (MDW/W) is also presented. In addition to foraminal measurements, TLF, disc bulge, and anterior (ADH) and posterior (PDH) disc heights are also presented in millimeters. Disc width and vertebral body height and width are presented in millimeters. Two-factor ANOVA P values are presented for spinal level (SL) and group (G), with significant differences italicized

Clinical	SL P	G P	LI-L2		L2-L3	
parameter	value	value	Asymptomatic	Symptomatic	Asymptomatic	Symptomatic
Foramen						
Height	<0.0001	0.1887	19.1±1.7	18.4±3.9	18.8±1.9	19.9±4.7
Width	0.0124	<0.0001	6.9±1.4	8.9±0.9	7.7±1.0	8.6±1.8
Mid-disc width	0.0920	0.8881	5.2±2.1	5.7±2.2	4.8±2.0	5.5±3.0
MDW/W	<0.0001	0.0411	0.73±0.19	0.63±0.21	0.62±0.22	0.62±0.26
CSA	0.0202	0.0109	106±34	94±17	113±27	113±54
TLF	0.0050	<0.0001	1.2±0.4	1.9±0.7	1.5±0.7	2.2±0.9
Disc bulge	0.0280	<0.0001	0.8±0.3	1.1±0.8	1.1±0.5	1.3±0.7
ADH	<0.0001	0.0645	6.0±1.4	7.1±2.4	7.6±1.8	8.5±1.9
PDH	<0.0001	0.2325	4.6±1.5	5.0±2.0	6.0±1.1	5.8±1.8
Disc width	0.5172	<0.0001	33.0±4.2	35.7±3.8	33.9±3.4	39.6±4.0
Body height	0.9291	0.6141	24.5±1.1	24.3±1.8	24.3±1.6	24.8±1.7
Body width	0.2301	<0.0001	29.0±3.2	32.1±4.8	30.1±3.1	33.4±4.2

L3-L4		L4-L5		L5	L5-SI	
Asymptomatic	Symptomatic	Asymp	Symptomatic	Asymptomatic	Symptomatic	
18.2±1.7	19.8±2.4	16.5±1.5	15.5±5.0	14.7±4.9	16.9±4.1	
8.6±1.2	9.4±2.2	8.3±1.5	9.2±1.9	7.4±1.5	8.8±2.8	
4.4±1.7	4.6±1.6	4.4±1.5	4.4±1.4	5.8±2.1	4.9±2.1	
0.50±0.17	0.50±0.17	0.54±0.19	0.47±0.13	0.77±0.19	0.59±0.29	
112±32	100±26	96±23	81±25	104±27	83±30	
1.5±0.6	2.0±0.7	1.9±0.8	2.5±1.0	1.7±0.8	2.5±0.7	
1.0±0.4	1.3±0.8	1.2±0.5	1.8±0.5	0.9±0.3	1.9±0.7	
9.6±2.2	9.7±1.9	9.4±1.8	9.9±3.4	7.8±1.8	8.4±2.2	
6.0±1.4	6.7±1.9	5.7±0.9	5.7±1.4	4.6±0.9	5.1±1.1	
34.1±2.7	38.6±4.8	34.0±2.1	38.0±3.2	32.6±3.4	38.7±4.5	
24.1±1.5	24.8±2.3	24.6±1.2	24.6±2.3	24.1±2.0	24.0±2.4	
29.8±2.7	33.2±3.9	29.8±2.6	31.3±3.2	27.8±2.0	31.1±2.7	

Thickness of the ligamentum flavum was significantly dependent (P < 0.05) on the spinal level and volunteer group. Thickness of ligamentum flavum (TLF) generally increased from the cranial to caudal spinal levels, with *post hoc* analysis revealing that the L4-L5 and L5-S1 spinal levels had significantly greater thickness than the L1-L2 spinal level. Thickness was also 44% greater in symptomatic patients compared to asymptomatic patients. These group-based differences were distributed approximately evenly across the five investigated spinal levels.

Intervertebral disc bulge was significantly dependent (P < 0.05) on the spinal level and volunteer group. The magnitude of disc bulge was significantly greater (P < 0.05) in symptomatic patients and increased from the cranial to caudal levels, with disc bulge at L4-L5 and L5-S1 considerably greater than all

other investigated levels. The magnitude of disc bulge for asymptomatic controls was smaller and did not demonstrate clear level-by-level trends, being smallest at L1-L2 and L5-S1 and largest at L4-L5. The greatest difference in disc bulge for symptomatic patients compared to asymptomatic controls was evident at L4-L5 (+50%) and L5-S1 (+111%).

Anterior and posterior intervertebral disc heights were significantly dependent on the spinal level (P < 0.05) but not the volunteer group. Anterior disc height was marginally greater in the symptomatic patient group and generally increased from L1-L2 through L4-L5 with decreased height at L5-S1. Posterior disc height was generally smaller than the anterior disc height. That difference was exaggerated at the caudal levels with posterior disc height at the upper lumbar spinal levels being approximately 75% of the anterior disc height. However, posterior disc height was only 60% of the anterior disc height at the caudal spinal levels, which reflect the greater degree of segmental curvature at those levels.

Intervertebral disc width was significantly dependent on the volunteer group (P < 0.05) but not the spinal level. Across all spinal levels, disc width was 14% greater in the symptomatic group (38.2 ± 4.1 mm) compared to the asymptomatic volunteers (33.5 ± 3.2 mm), and significantly greater at the L2-L3 through L5-S1 levels.

Vertebral body height was not different between the volunteer groups or spinal levels. However, vertebral body width was significantly greater (P < 0.05) in symptomatic patients than asymptomatic volunteers although not different between the spinal levels. Specifically, across all spinal levels, vertebral body width was 10% greater in symptomatic patients and significantly greater at the L3 and L5 spinal levels.

Table 3 summarizes the results regarding lumbar alignment parameters. Lumbar spine lordotic angle was not significantly different between the volunteer groups although symptomatic patients demonstrated 18% decreased curvature. Lumbosacral angle was also not significantly different between the volunteer groups. However, symptomatic patients demonstrated 22% decreased angle. Symptomatic patients generally had a greater lumbar spine length although the difference was not statically significant. Wedge angle was significantly dependent (P < 0.05) on the spinal level but not significantly different between the volunteer groups. Wedge angle increased from the cranial to caudal levels for asymptomatic volunteers and was significantly lower at L1-L2 compared to L3-S1 spinal levels. Wedge angle at L2-L3 for asymptomatic volunteers was also significantly lower than L5-S1. Similarly, wedge angle for symptomatic patients also generally increased from the cranial to caudal levels, with the wedge angle at L1-L2 significantly lower than at the L5-S1 spinal level.

DISCUSSION

Attempts to improve the supine MRI include application of an axial load to simulate physiological conditions.^[14,23-25] Whether this approach faithfully simulates the effects of gravity and body weight on spinal orientation and body posture remains

unclear. More recently, an upright MRI has been emphasized to evaluate lumbar pathologies.^[26-28] The protocol permits imaging of patients with relative contraindications (obesity, claustrophobia, severe spinal kyphosis, severe congestive heart failure, or severe chronic obstructive pulmonary disease);^[29] it provides reasonable resolution without artifact^[30] and it offers the potential to diagnose occult stenosis, disc protrusion, or instability because patients can be scanned in a position that elicits symptoms.^[29]

Initial upright MRI studies on the foramen were qualitative. Wildermuth et al.^[31] and Gilbert et al.^[13] noted a tendency toward higher grades of stenosis in the upright position compared to the supine position in symptomatic patients. However, Weishaupt et al.[11] observed this relationship with their group of symptomatic patients only with upright extension while upright flexion resulted in lower grades of foraminal stenosis. Mauch et al.[32] indicated that stenosis occurred more frequently at the lower levels of the lumbar spine in their cohort of asymptomatic athletes. Overall, quantitative studies have reported similar patterns. Schmid et al.,^[15] while studying asymptomatic patients, noted that upright flexion had the largest overall foraminal CSA followed by upright neutral position; upright extension and supine extension had the narrowest CSA. Flexion movement increased CSA more at the L4-5 level than at the L5-S1 level; with extension, the size of the intervertebral foramen decreased more at the L4-5 level than at the L5-S1 level.

These prior quantitative studies did not compare the upright parameters between asymptomatic and symptomatic patients, as our study did with volunteers in the upright position. Absolute foramen dimensions were not indicative of pain symptoms, with foramen height, width, and mid-disc width dimensions generally equal to or larger than the same dimensions in asymptomatic volunteers. However, taller stature in the symptomatic group indicated greater body size, which was reflected by increase in lumbar spine length and vertebral body and intervertebral disc width. Therefore, normalizing mid-disc foramen width to maximum foramen width may provide a better estimate of stenotic changes associated with posterolateral disc bulge. Indeed, the normalized foramen width at the mid-disc height provided evidence of foraminal stenosis, with mid-disc width accounting for only 56% of maximum foramen width in symptomatic patients and over 63% in asymptomatic volunteers.

Clinical parameter	Lumbar level	Asymptomatic	Symptomatic	P value	
Lordosis angle (degree)		50.2±10.5	40.9±13.2	0.1269	
Lumbosacral angle (degree)		38.5±10.7	30.1±7.7	0.0952	
Lumbar spine length (mm)		172.8±10.6	177.8±11.2	0.3560	
Wedge angle (degree)	LI-L2	0.76±0.63	1.32±0.86	0.1432	
	L2-L3	2.80±1.63	3.02±2.67	0.8340	
	L3-L4	5.68±2.97	5.44±3.17	0.8722	
	L4-L5	7.14±2.32	4.86±2.50	0.0729	
	L5-SI	7.90±6.55	6.72±3.77	0.6742	

Moreover, CSA was generally smaller in symptomatic patients compared to asymptomatic patients, particularly at the L4-L5 and L5-S1 spinal levels. These findings provide some evidence of stenotic etiology due to narrow bony anatomy for pain symptoms in this population. Our results appear to be in line with Mauch *et al.*^[32] and Schmid *et al.*^[15] where the lower levels of the lumbar spine exhibited a tendency for foraminal stenosis. Our study did not directly evaluate upright extension/flexion or supine position.

Schmid *et al.*^[15] noted that the thickness of the ligamentum flavum (TLF) was significantly thinner with upright flexion compared to both extension positions (supine extension and upright extension); moreover, the TLF was also significantly thinner with upright neutral compared to both extension positions. The difference between supine extension and upright extension was less clear while there was no statistical significance between upright flexion and upright neutral. This appeared reasonable since the ligamentum flavum buckles in the extended position. Similarly, Fujiwara *et al.*^[33] also noted that the TLF decreases with flexion. Our study demonstrated that TLF was statistically larger along all disc space levels in the symptomatic group. This observation supports the idea that ligamentum flavum hypertrophy may play a role in causing LBP or radicular complaints.

Several studies have evaluated disc parameters with upright MRI. Ferreiro Perez et al.^[34] compared the diagnosis of posterior disc herniation on upright MRI versus supine MRI in symptomatic patients; the group noted that the latter protocol underestimated the disc pathology. Gilbert *et al.*^[20] noted similar findings, adding that the discrepancy was most prominent at L5-S1 followed by L4-L5 and L3-L4. On the other hand, Zamani et al.[16] noted no difference in the posterior disc bulge from supine to upright neutral. In addition, disc height may significantly reduce after activity^[35] and with increasing axial loads.^[36] In our study, the disc bulge was significantly larger at L4/5 and L5/S1 in the symptomatic group. Overall, disc bulge was 48% greater in symptomatic patients compared to asymptomatic controls while disc height had no significant findings. The findings were similar to those of Gilbert et al.,^[20] and suggests that disc pathology is more evident in the lower lumbar levels based on upright MRI and contribute to soft tissue findings for foraminal stenosis.

Lumbar alignment has been explored with upright MRI but the results have been conflicting. Lumbar lordosis have been shown to increase^[32] or decrease^[37] from supine to standing positions. According to Meakin *et al.*,^[38] the response to position may be individualized; those with a smaller than average curvature before loading straightened under load, whereas those with a greater than average curvature before loading showed an increase in curvature under load. The literature regarding wedge angles have been limited and unfruitful.^[39] In our study, the wedge angle, lumbar lordosis, lumbosacral angle, and lumbar spine length exhibited no significant findings between the two groups. Our results suggest that lumbar alignment parameters may not be the best parameters when evaluating symptomatic patients with upright MRI.

Our study had several limitations. Symptoms (back pain and radiculopathy) were grouped together due to the low volunteer sample size. Moreover, supine imaging was not obtained for direct comparison with upright imaging while symptomatic volunteers tended to be older compared to asymptomatic volunteers.

CONCLUSIONS

Normalized foramen width at the mid-disc height and disc bulge provided evidence of foraminal stenosis in symptomatic volunteers. This was reaffirmed, as CSA was generally smaller in the symptomatic group compared to the asymptomatic group. Moreover, results support the significance of ligamentum flavum hypertrophy as a component of symptoms. The data implied that upright MRI could be a useful diagnostic option, as it can delineate pertinent differences between symptomatic volunteers and asymptomatic volunteers, especially with respect to bony foraminal geometry, disc bulge, and TLF.

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Conflicts of interest

There are no conflicts of interest.

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