



# Cholesterol Efflux Capacity and Its Association With Adverse Cardiovascular Events: A Systematic Review and Meta-Analysis

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Lee JJ, Chi G, Fitzgerald C, Kazmi SHA, Kalayci A, Korjian S, Duffy D, Shaunik A, Kingwell B, Yeh RW, Bhatt DL and Gibson CM (2021) Cholesterol Efflux Capacity and Its Association With Adverse Cardiovascular Events: A Systematic Review and Meta-Analysis. Front. Cardiovasc. Med. 8:774418. doi: 10.3389/fcvm.2021.774418 **Background:** Serum high-density lipoprotein cholesterol (HDL-C) levels are inversely associated with cardiovascular disease events. Yet, emerging evidence suggests that it is the functional properties of HDL, in particular, reverse cholesterol transport, which is a key protective mechanism mediating cholesterol removal from macrophage cells and reducing plaque lipid content. Cholesterol efflux capacity (CEC) measures the capacity of HDL to perform this function. A systematic review and meta-analysis were conducted to explore the association of CEC and adverse cardiovascular events.

**Methods:** A comprehensive literature review of Embase, PubMed, and Web of Science Core Collection from inception to September 2019 was performed for all studies that examined the association between CEC and cardiovascular outcomes. The primary outcome was adverse cardiovascular events, which were inclusive of atherosclerotic cardiovascular disease (ASCVD) or mortality.

**Results:** A total of 20 trials were included. Compared with low CEC levels, high CEC levels were associated with a 37% lower risk of adverse cardiovascular events (crude RR = 0.63; 95% CI, 0.52–0.76; P < 0.00001). Every SD increase of CEC was associated with a 20% lower risk of adverse cardiovascular events (HR = 0.80; 95% CI, 0.66–0.97; P = 0.02). The association remained significant after adjusting for cardiovascular risk factors, medications, and HDL-C levels (HR = 0.76; 95% CI, 0.63–0.91; P = 0.004). A significant CEC-endpoint relationship was observed (P = 0.024) such that for every 0.1 unit increase in CEC, there was a 5% reduced risk for adverse cardiovascular events (RR = 0.95; 95% CI, 0.91–0.99).

**Conclusions:** Higher CEC is associated with lower adverse cardiovascular outcomes. These findings warrant further research on whether CEC is merely a biomarker or a mechanism that could be targeted as a pharmacologic intervention for improving clinical outcomes.

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# INTRODUCTION

An inverse relationship between high-density lipoprotein cholesterol (HDL-C) concentration and atherosclerotic cardiovascular disease (ASCVD) has been established through numerous observational studies and clinical trials (1, 2). However, the mechanisms underlying this association are not completely understood. Pharmacological studies have challenged the hypothesis that increasing levels of HDL-C would decrease ASCVD risk (3, 4). Mendelian randomization studies have demonstrated that genetic variants associated with high HDL-C levels were not associated with low ASCVD risk (5–7). Rather than crude HDL-C concentrations, emerging evidence has suggested that a quantitative measure of HDL functionality may be a better predictor of ASCVD risk.

A key mechanism by which HDL mitigates the development of atherosclerosis is through reverse cholesterol transport, which promotes cholesterol efflux from macrophages within atherosclerotic plaques. HDL functions to transport excess cholesterol to the liver, thereby reducing the formation of foam cells, which is a key component of atherosclerosis development. Cholesterol efflux capacity (CEC) measures the ability of HDL to promote cholesterol efflux from macrophages, the first step in reverse cholesterol transport. Greater CEC or improved HDL function, rather than higher HDL-C concentrations, is hypothesized to be a mechanism of ASCVD risk reduction.

Recent literature has shed light on the association between increased CEC and decreased ASCVD risk in the outpatient setting. Most notably, the Dallas Heart Study reported an inverse relationship between CEC and incident cardiovascular events, and the EPIC-Norfolk study found consistent results using a nested case-control design (8, 9). The present study aimed to review and synthesize the current evidence regarding the association between CEC and adverse cardiovascular events.

# **METHODS**

## **Search Strategies and Selection Criteria**

Systematic literature searches were performed in Embase, PubMed, and Web of Science Core Collection. The searches included a set of keywords, wildcards, truncation and medical subject headings, including cholesterol efflux capacity, atherosclerotic cardiovascular disease, atherosclerosis, coronary artery disease (CAD), acute coronary syndrome, myocardial infarction, stroke, cerebrovascular event, mortality, and death. The search terms were organized in thematic building blocks that could be combined as required. Human studies, published as original research articles, letters, or abstracts, that reported measurement of cholesterol efflux capacity at baseline as well as adverse cardiovascular events, including ASCVD or mortality were included. All searches were limited to English language and the time from inception to September 2019. Duplicates were removed before screening references. Detailed queries are provided in **Supplementary Table S1**.

# **Data Extraction**

Data extracted from each study included baseline characteristics, methods for CEC measurement, CEC levels, and frequencies or risk estimates for adverse cardiovascular events. Database search, article screening, and study selection were performed independently by two investigators using a standardized approach. Disagreement in extracted data was adjudicated by a third investigator. A flow diagram depicting the process of literature search and screening is provided in **Supplementary Figure S1**.

### **Quality Assessment**

Two independent investigators assessed the quality of casecontrol studies and cohort studies in accordance with the Newcastle–Ottawa Scale. Disagreement in the quality assessment was resolved by discussion and consensus. The quality assessment criteria and forms are provided in **Supplementary Tables S2–S5**.

## Study Endpoints and CEC Measurements

The primary endpoint is adverse cardiovascular events, defined as the composite of ASCVD or all-cause mortality. ASCVD was inclusive of acute coronary syndrome, stroke, arterial revascularization, atherosclerotic plaque (including coronary carotid and femoral atherosclerotic detected by angiography or ultrasonography), and cardiovascular death. Death from all causes and death from cardiovascular causes were also evaluated.

Global CEC was captured from each study for assessment of association with adverse cardiovascular events. Normalized CEC levels (expressed as arbitrary units [AU]) in reference to the CEC of serum controls were used in assessing the strength of the CEC-endpoint relationships. To document the methodological variability of quantifying CEC, information regarding the type of cholesterol donor cell (mouse macrophage cell line [J774] or human macrophage cell line [THP-1 macrophages] and cholesterol tracer were extracted from each study.

# **Statistical Analysis**

Several approaches were deployed to investigate the relationship between CEC and endpoints (including adverse cardiovascular events, ASCVD, death from all causes, and death from

Abbreviations: ASCVD, atherosclerotic cardiovascular disease; AU, arbitrary unit; CAD, coronary artery disease; CEC, cholesterol efflux capacity; CI, confidence interval; CKD, chronic kidney disease; df, degree of freedom; eGFR, estimated glomerular filtration rate; HR, hazard ratio; HDL-C, high-density lipoprotein cholesterol; HR, hazard ratio; IV, inverse variance; OR, odds ratio; RR, relative risk; SD, standard deviation; SE, standard error.

cardiovascular causes). First, the relative risk (RR) of high CEC group vs. low CEC group was examined. High CEC group was defined as the group above the median CEC or the top quartile or tertile (i.e., better CEC), whereas the low CEC group was the group below the median CEC or the bottom quartile or tertile (i.e., worse CEC). Second, the risk of outcomes associated with each standard deviation (SD) increment of CEC was assessed. Third, the strength of CEC-endpoint relationships were explored using the dosresmeta package in R. In brief, the relationship between the log-transformed CEC and endpoint for each study was estimated by fitting a linear regression model based on the number of cases and controls as well as cohort size from at least three quantitative exposure categories. The generalized least squares method was applied to estimate the covariances and the vector of the regression coefficients. The CEC concentration assigned to each level of functionality category was approximated from the mean or median as reported by the studies. Pooled RR with Wald-type confidence interval (CI) associated with every 0.1 unit increase in CEC was calculated. Subsequently, to test the potential non-linear association, a restricted cubic spline model was constructed, with three knots located at 10th, 50th, and 90th percentiles of the aggregated exposure distribution. Non-linearity was assessed under the null hypothesis that the coefficient of the second spline (i.e., between 10th and 50th percentiles) was equal to zero. The two regression coefficients and the variancecovariance matrix within each study were then combined in a random-effects meta-analysis. Last, a separate analysis was performed among the case-control studies to compare the mean CEC level between cases (individuals with adverse cardiovascular events, with ASCVD, or died) and controls (individuals without adverse cardiovascular events, without ASCVD, or survived).

Measures of effect included relative risk (RR), odds ratio (OR), and hazard ratio (HR), with or without adjustment as reported by the studies. The DerSimonian-Laird random-effects model was fitted to derive the combined overall estimate of the treatment effects. Heterogeneity among the studies was evaluated using Cochran's Q test (with the threshold of P > 0.10) and Higgins's I<sup>2</sup> statistic (with the values of 0.25, 0.50, and 0.75 indicating a low, moderate, and high degree of heterogeneity, respectively).

Contour-enhanced funnel plots (with a significance level of 1, 5, and 10%) and Egger's test were employed to detect small-study effects for the endpoints with a study number of ten or more. The trim-and-fill method was used to adjust for publication bias. Subgroup analysis was performed to examine the robustness of the association among three subsets: (1) individuals without cardiovascular risk factors or chronic kidney disease (CKD); (2) individuals with cardiovascular risk factors (e.g., with underlying or a history of CAD, dyslipidemia, family history of myocardial infarction); and (3) individuals with CKD (e.g., estimated glomerular filtration rate [eGFR] <90 ml/min/1.73 m<sup>2</sup>, patients on dialysis, or renal transplant recipients). All analysis was performed using R software (Version 3.5.2; The R Foundation for Statistical Computing), Review Manager (Version 5.3; The Nordic Cochrane Center, The Cochrane Collaboration), Stata (StataCorp. 2019. Stata Statistical Software: Release 16. College Station, TX: StataCorp LLC), and SAS (Version 9.4; SAS Institute Inc.).

# RESULTS

A total of 25,132 subjects from 20 studies were included in the meta-analysis and summarized in **Table 1** (8–27). Ten studies included individuals with cardiovascular risk factors, four studies included patients with CKD, and six studies included general populations without cardiovascular risk factors or CKD. The mean age ranged from 42 to 69 years. The proportion of males ranged from 26.4 to 100%. The follow-up duration varied from 1 to 16 years. Three and 17 studies used THP-1 (human) and J774 (mouse) as the macrophage cell type donating labeled cholesterol in the CEC assay, respectively. Five studies measured the efflux of a fluorescent sterol (BODIPY-cholesterol), whereas 15 used radioisotope labeling ( $[^{3}H]$ -cholesterol) in the CEC assays. The quality of the studies was generally high, with scores ranging from 5 to 9, as evaluated with the Newcastle-Ottawa Scale (**Supplementary Tables S2–S5**).

# Association With Adverse Cardiovascular Events

Increased CEC was significantly associated with reduced adverse cardiovascular events. Compared with the lowest CEC, the highest levels of CEC were associated with a 37% lower risk of adverse cardiovascular events (RR = 0.63; 95% CI, 0.52 to 0.76; P < 0.00001; Figure 1). Every SD increase of CEC was associated with a 20% lower risk of adverse cardiovascular events (HR = 0.80; 95% CI, 0.66-0.97; P = 0.02; Figure 2). The association remained significant even after adjusting for cardiovascular risk factors (e.g., with underlying or a history of CAD, dyslipidemia, family history of myocardial infarction), medications, and HDL-C levels (HR = 0.76; 95% CI, 0.63–0.91; P = 0.004; Figure 3). The I<sup>2</sup> values ranged from 82 to 89%, indicating a high degree of heterogeneity. There were significant small-study effects as determined by the funnel plots (Supplementary Figures S16, S17) and Egger's test (Supplementary Table S6). After controlling for publication bias, high CEC remained associated with an improved cardiovascular outcome (RR = 0.79; 95% CI, 0.65-0.97; Supplementary Table S6), and the risk of adverse cardiovascular events was 19% lower with every SD increment of CEC (HR = 0.81; 95% CI, 0.66–0.98; **Supplementary Table S6**).

In the restricted cubic spline model, the relationship between CEC levels and adverse cardiovascular events are depicted in **Figure 4**. The risk of adverse cardiovascular events did not vary with CEC concentrations in a log-linear fashion (non-linearity P = 0.075). A significant CEC-adverse cardiovascular relative risk relationship was observed (P = 0.024) such that for every 0.1 unit increase in CEC, there was a 5% reduced risk for adverse cardiovascular events (RR = 0.95; 95% CI, 0.91–0.99).

Subgroup analyses on the association with adverse cardiovascular events are summarized in Supplementary Figures S19, S20. Compared with the low CEC group, the high CEC group had a lower risk among the individuals without cardiovascular risk factors or CKD (RR = 0.54; 95% CI, 0.41-0.71; P < 0.0001) and individuals

### TABLE 1 | Summary of included studies.

Author (Year)	Study Design	Population	N	Mean Age	Male	Follow-Up	Endpoints [number of events/cases]	Donor Cell	Labeling	NOS
Ebtehaj et al. (10)	Case-control; prospective	General population	705	59.0	71.2%	12 years	ASCVD (cardiovascular death and hospitalization for MI/coronary revascularization) [351]	J774	[ <sup>3</sup> H]-Cholesterol	8
Cahill et al. (11)	Case-control; prospective	General population	1,397	63.0	100%	16 years	ASCVD (nonfatal MI and fatal CHD) [701]	J774	[ <sup>3</sup> H]-Cholesterol	8
Guerin et al. (12)	Cohort; prospective	Patients with acute MI	1,609	63.0	75.7%	1.9 years	Death (all-cause mortality) [239]	THP-1	[ <sup>3</sup> H]-Cholesterol	7
Chindhy et al. (13)	Cohort; prospective	CKD vs non-CKD patients	2,805	NR	NR	11.3 years	ASCVD (nonfatal MI, stroke, cardiovascular death) [131]; CVD [187]	J774	BODIPY-Cholesterol	8
Tejera-Segura et al. (14)	Case-control; cross-sectional	RA patients	401	57.2	26.4%	N/A	ASCVD (presence of atherosclerotic plaque in carotid artery) [66]	J774	BODIPY-Cholesterol	6
Khera et al. (15)	Case-control; prospective	Individuals with LDL-C <130 mg/dL and hsCRP ≥2.0 mg/L	1,050	69	71.6%	1.9 years	ASCVD (MI, hospitalization for unstable angina, arterial revascularization, stroke, or cardiovascular death) [314]	J774	[ <sup>3</sup> H]-Cholesterol	8
Bauer et al. (16)	Cohort; prospective	CKD patients	526	65	59%	4.6 years	ASCVD (MI, arterial revascularization, stroke, lower extremity amputation, or cardiovascular death) [114]	J774	[ <sup>3</sup> H]-Cholesterol	7
Gall et al. (17)	Case-control; cross-sectional	Patients with dyslipidemia	1,202	56.4	51.3%	N/A	ASCVD (presence of atherosclerotic plaque in carotid [7] or femoral artery [72])	J774	[ <sup>3</sup> H]-Cholesterol	8
Kopecky et al. (18)	Cohort; prospective	Patients with T2DM on hemodialysis	1,147	66.3	54.8%	4.1 years	ASCVD (cardiovascular death, nonfatal MI, and stroke) [423]; Death [561]	THP-1	[ <sup>3</sup> H]-Cholesterol	7
Javaheri et al. (19)	Cohort; prospective	Cardiac transplant recipients with CAV	35	44.4	85.7%	1 year	Death (all-cause mortality) [15]	J774	[ <sup>3</sup> H]-Cholesterol	7
Mody et al. (20)	Cohort; prospective	General population and a subgroup with FHx of MI	1,972	44.9	44%	9.4 years	ASCVD (nonfatal MI, nonfatal stroke, coronary revascularization, or cardiovascular death) [97]	J774	BODIPY-Cholesterol	9
Liu et al. (21)	Cohort; prospective	Patients with CAD	1,737	63.5	65.2%	3.8 years	Death (all-cause mortality) [166]; CVD (cardiovascular death) [122]	J774	BODIPY-Cholesterol	9
Zhang et al. (22)	Cohort; prospective	Patients with SAP or ACS	429	66.2	74.8%	3 years	ACS [214]; ASCVD (nonfatal MI, nonfatal stroke, or cardiovascular death) [34]; CVD [22]	J774	[ <sup>3</sup> H]-Cholesterol	8
Ogura et al. (23)	Cohort; cross-sectional	Patients with HeFH	227	57	44.5%	N/A	ASCVD (MI, stroke, angina pectoris with significant stenosis >75% on coronary angiogram, and coronary revascularization) [76]	J774	[ <sup>3</sup> H]-Cholesterol	5
Annema et al. (24)	Cohort; prospective	Renal transplant recipients	495	51.6	54.3%	7.0 years	Death [102]; CVD [54]	THP-1	[ <sup>3</sup> H]-Cholesterol	8
Ishikawa et al. (25)	Case-control; cross-sectional	Patients with suspected CAD	254	65.7	78.0%	N/A	ASCVD (native coronary atherosclerosis with >50% stenosis) [182]	J774	[ <sup>3</sup> H]-Cholesterol	8

(Continued)

#### TABLE 1 | Continued

Author (Year)	Study Design	Population	N	Mean Age	Male	Follow-Up	Endpoints [number of events/cases]	Donor Cell	Labeling	NOS
Saleheen et al. (9)	Case-control; prospective	General population	3,494	65.5	64.5%	12 to 16 years	ASCVD (unstable angina, stable angina, and fatal/nonfatal MI) [1745]	J774	[ <sup>3</sup> H]-Cholesterol	7
Rohatgi et al. (8)	Cohort; prospective	General population	2,924	42	43%	9.4 years	ASCVD (nonfatal MI, stroke, cardiovascular death, and coronary revascularization) [132]; CVD (cardiovascular death) [42]	J774	BODIPY-Cholesterol	9
Li et al. (26)	Case-control; cross-sectional	General population (angiography & outpatient cohort)	1,727	60.6	54.1%	N/A	ASCVD (MI/CAD/coronary revascularization) [1017]	J774	[ <sup>3</sup> H]-Cholesterol	7
Khera et al. (27)	Cohort; cross-sectional	Patients with CAD and controls	996	57.5	58.5%	N/A	ASCVD (angiographically confirmed coronary artery disease with >50% stenosis in a major coronary vessel) [442]	J774	[ <sup>3</sup> H]-Cholesterol	7

ACS, acute coronary syndrome; ASCVD, atherosclerotic cardiovascular disease; CAD, coronary artery disease; CAV, cardiac allograft vasculopathy; CHD, coronary heart disease; CKD, chronic kidney disease; CVD, cardiovascular death; FHx, family history; HeFH, heterozygous familial hypercholesterolemia; hsCRP, high-sensitivity C-reactive protein; LDL-C; low-density lipoprotein cholesterol; MI, myocardial infarction; N/A, not applicable; NOS, Newcastle–Ottawa Scale; NR, not reported; RA, rheumatoid arthritis; SAP, stable angina pectoris; T2DM, type 2 diabetes mellitus.



FIGURE 1 | Adverse cardiovascular event: High CEC vs. low CEC (RR) (14 studies). CEC, cholesterol efflux capacity; CI, confidence interval; df, degree of freedom; FHx, family history; IV, inverse variance; MI, myocardial infarction; RR, risk ratio; SE, standard error.

with cardiovascular risk factors (RR = 0.54; 95% CI, 0.38– 0.78; P = 0.001). Of note, the inverse relationship was not observed in CKD patients (RR = 1.08; 95% CI, 0.86–1.38; P = 0.50). The association with adverse cardiovascular events was heterogeneous across subgroups (P = 0.0002,  $I^2 = 88.6\%$ ). Similarly, for each SD increment of CEC, a significantly decreased risk was observed in individuals without cardiovascular risk factors or CKD (HR = 0.69; 95% CI, 0.59 –0.82; P < 0.00001) and individuals with cardiovascular risk factors (HR = 0.49; 95% CI, 0.29–0.82; P = 0.006), but not in patients with CKD (HR = 1.05; 95% CI, 0.87–1.27; P = 0.62). There was a significant difference across subgroups (P = 0.0007,  $I^2 = 86.3\%$ ).

# Association With Atherosclerotic Cardiovascular Disease

Higher CEC (i.e., better CEC) was significantly associated with lower ASCVD risk. Compared with the lowest CEC (i.e., worse CEC), the highest levels of CEC were associated

Study or Subgroup	log[Hazard Ratio]	SE	Weight	Hazard Ratio IV, Random, 95% CI	Hazard Ratio IV, Random, 95% CI
Annema 2016 [1]	-0.094311	0.103435	21.1%	0.91 [0.74, 1.11]	
Guerin 2018	-0.415515	0.068952	24.3%	0.66 [0.58, 0.76]	+
Javaheri 2016	-0.446287	0.303465	7.5%	0.64 [0.35, 1.16]	
Kopecky 2016 [1]	-0.040822	0.047475	26.0%	0.96 [0.87, 1.05]	+
Rohatgi 2014 [1]	-0.287682	0.102037	21.2%	0.75 [0.61, 0.92]	
Total (95% CI)			100.0%	0.80 [0.66, 0.97]	•
Heterogeneity: Tau <sup>2</sup> = 0 Test for overall effect: 2	0.03; Chi² = 22.77, df Z = 2.32 (P = 0.02)	0.1 0.2 0.5 1 2 5 10 Favors High CEC Favors Low CEC			

FIGURE 2 | Adverse cardiovascular event: Per SD increment of CEC (HR) (5 studies). CEC, cholesterol efflux capacity; CI, confidence interval; df, degree of freedom; HR, hazard ratio; IV, inverse variance; SD, standard deviation; SE, standard error.



FIGURE 3 | Adverse cardiovascular event: Per SD increment of CEC (adjusted HR) (10 studies). CEC, cholesterol efflux capacity; CI, confidence interval; CKD, chronic kidney disease; df, degree of freedom; FHx, family history; HR, hazard ratio; IV, inverse variance; MI, myocardial infarction; SE, standard error.

with a 34% lower risk of ASCVD (RR = 0.66; 95% CI, 0.55–0.80; P < 0.0001; Supplementary Figure S2). After adjustment for cardiovascular risk factors, medications, and HDL-C levels, high CEC remained associated with a 21% lower risk compared with low CEC (RR = 0.79; 95% CI, 0.65-0.97; P = 0.02; Supplementary Figure S3). With respect to predicting ASCVD risk with each SD increase of CEC, there was no significant association either without adjustment (HR = 0.86; 95% CI, 0.68–1.10; *P* = 0.23; Supplementary Figure S4) or with adjustment (HR = 0.80; 95% CI, 0.64-1.00; P =0.05; Supplementary Figure S5). With respect to differentiating ASCVD cases from controls, each SD increase of CEC was associated with a 20% lower odds of ASCVD (OR = 0.80; 95% CI, 0.66–0.97; P = 0.02; Supplementary Figure S6) and 19% lower odds after adjustment (OR = 0.81; 95% CI, 0.73–0.90; P = 0.0002; Supplementary Figure S7). The I<sup>2</sup> values ranged from 79 to 91%, indicating a high degree of heterogeneity. There were significant small-study effects as determined by the funnel plots (Supplementary Figure S18) and Egger's test (Supplementary Table S6). After controlling for publication bias, high CEC remained associated with an improved cardiovascular outcome (RR = 0.78; 95% CI, 0.64–0.94; **Supplementary Table S6**).

Subgroup analysis on the association with ASCVD was summarized in **Supplementary Figure S21**. Compared with the low CEC group, the high CEC group had a lower risk among individuals without cardiovascular risk factors or CKD (RR = 0.54; 95% CI, 0.41–0.71; P < 0.0001) and individuals with cardiovascular risk factors (RR = 0.75; 95% CI, 0.60–0.93; P = 0.009). Of note, the inverse relationship was not observed in patients with CKD (RR = 1.08; 95% CI, 0.75–1.56; P = 0.67). There was a significant heterogeneity across subgroups (P = 0.01,  $I^2 = 77.8\%$ ).

# Association With Death From All-Causes and Death From Cardiovascular Causes

The high CEC group did not have a significantly different risk of all-cause mortality compared with the low CEC group (RR = 0.61; 95% CI, 0.27–1.41; P = 0.25; **Supplementary Figure S8**). The risk of mortality did not vary significantly with per SD



increment of CEC either without adjustment (HR = 0.81; 95% CI, 0.64–1.02; P = 0.08; **Supplementary Figure S9**) or with adjustment (HR = 0.77; 95% CI, 0.58 –1.02; P = 0.07; **Supplementary Figure S10**). The I<sup>2</sup> values ranged from 81 to 94%, indicating a high degree of heterogeneity.

Similarly, a significant association between CEC and cardiovascular mortality was not observed. The high CEC group had a comparable risk of all-cause mortality with the low CEC group (RR = 0.48; 95% CI, 0.14–1.62; P = 0.24; **Supplementary Figure S11**). The risk of cardiovascular mortality did not vary per SD increment of CEC after adjustment (HR = 1.08; 95% CI, 0.72–1.62; P = 0.71; **Supplementary Figure S12**). The I<sup>2</sup> values ranged from 71 to 89%, indicating a high degree of heterogeneity.

# Difference in CEC Between Cases and Controls

In this separate analysis of case-control studies, mean CEC levels between cases (individuals with adverse cardiovascular events, with ASCVD, or died) and controls (individuals without adverse cardiovascular events, without ASCVD, or survived) were compared. Compared with controls, a lower level of CEC was observed in cases who developed adverse cardiovascular events (mean difference, -0.08; 95% CI, -0.12 to -0.04; P < 0.00001; **Supplementary Figure S13**), cases who developed ASCVD (mean difference, -0.09; 95% CI, -0.16 to -0.02; P = 0.007; **Supplementary Figure S14**), and cases who died (mean difference, -0.07; 95% CI, -0.11 to -0.04; P < 0.0001;

**Supplementary Figure S15**). The  $I^2$  values ranged from 83 to 92%, indicating a high degree of heterogeneity.

# DISCUSSION

In this meta-analysis, higher CEC levels were associated with favorable cardiovascular outcomes (**Figure 5**). Compared with the lowest CEC group, the highest CEC group had a 37 and 34% reduced risk of adverse cardiovascular events and ASCVD, respectively. Every SD increase in CEC [equivalent to 0.27 unit in the study by Ebtehaj et al. (10)] was associated with a 20% lower risk of adverse cardiovascular events. When fitting a restricted cubic spline model, there was an inverse concentration-dependent relationship, with a 5% lower risk of adverse cardiovascular events of cEC.

The conventional "HDL hypothesis" posits that interventions that increase the plasma level of HDL-C reduce the risk of coronary heart disease. However, HDL-C-raising therapies, such as fibrates, niacin, and cholesteryl ester transfer protein inhibitors have not consistently demonstrated cardiovascular benefits (28). Furthermore, Mendelian randomization studies did not demonstrate a causal relationship between genetically-altered plasma HDL-C levels and cardiovascular risk (29-33). Rather than focusing on HDL-C levels, emerging evidence has highlighted the functional aspects of HDL in improving cardiovascular outcomes, known as the "HDL flux hypothesis"(34) In contrast to the HDL hypothesis, the HDL flux hypothesis postulates that interventions promoting CEC and reverse cholesterol transport may stabilize atherosclerosis



and reduce the risk of coronary heart disease, regardless of whether it affects plasma HDL cholesterol levels (35). Similar to our findings, a previous meta-analysis of 14 studies showed that there was a relationship between CEC and cardiovascular risk (36). Additionally, the highest CEC group was associated with 44% reduced risk of cardiovascular events compared with the lowest CEC group, and per SD increase in CEC was associated 13% reduced risk.

Although the association of CEC with all-cause mortality was not statistically significant (**Supplementary Figures S8–S10**), the current analysis demonstrates that there may be a trend toward lower mortality with higher CEC. Among the three studies available for all-cause mortality sub-analysis (**Supplementary Figure S8**), the inverse association was evidence in one study where the adjusted HR of the highest CEC quartile compared to the lowest quartile was 0.24 (95% CI, 0.13–0.44; P < 0.001) (21). Moreover, deceased patients had significantly lower mean levels of CEC, as opposed to the survived patients (<0.0001; **Supplementary Figure S15**). Results from the current analysis of 20 studies were generally consistent with the work

by Qiu et al. The present analysis further demonstrated that there was a significant difference in the mean CEC between cases (those who had adverse cardiovascular events or ASCVD) and controls. In addition to all-cause mortality, the present analysis shows that the association with cardiovascular mortality was not significant. Notably, the performance of CEC as a prognostic indicator of cardiovascular risk among patients with CKD was shown to be limited compared to its performance among patients with normal renal function. Of the three studies included in this analysis, the definitions of the CKD varied vastly, and included renal transplant recipients, (24) patients with eGFR 15 to 89 ml/min/1.73m<sup>2</sup> (2, 16), and patients on hemodialysis (18). This suggests a significant heterogeneity in patients with CKD that were included in this analysis. Accordingly, further primary research among patients with CKD is required to explore whether CEC or other functional properties of HDL particles can assist with cardiovascular risk prediction.

The gold standard for measuring CEC in humans has not yet been established. It is possible that the choice of CEC assay may influence its association with adverse cardiovascular outcomes.

For instance, the Cohort on Diabetes and Atherosclerosis Maastricht (CODAM) study found no association between CEC and subclinical or clinical atherosclerosis among participants with normal glucose metabolism, prediabetes, or diabetes using human THP-1 cells as the cholesterol donor (37). In contrast, a significant correlation was observed when remeasured using murine J774 cells among a subset of samples, suggesting the impact of cholesterol donor on CEC measurement (37). In this study, the majority (85%) of the included studies used J774 as the cholesterol donor. In the stratified analysis by the type of cholesterol donor (J774 vs. THP-1), high CEC was associated with a lower risk of adverse cardiovascular event among the 12 studies that used J774 as the donor (Supplementary Figure S22). In contrast, among the two studies that used THP-1 as the donor, high CEC was related to a greater risk. Regarding the association of per SD increment of CEC with adverse cardiovascular event (Supplementary Figures S23, S24), there was no significant difference between the J774 subgroup and THP-1 subgroup. More studies using THP-1 as the cholesterol donor are needed to examine the relationship between CEC and adverse cardiovascular event. In the stratified analysis by the cholesterol tracer ([<sup>3</sup>H]-Cholesterol vs. BODIPY-Cholesterol), high CEC was associated with a lower risk of adverse cardiovascular event among the 10 studies that used [<sup>3</sup>H]-Cholesterol as well as in the four studies that used BODIPY-Cholesterol (Supplementary Figure S25), with a greater magnitude of association observed in the BODIPY-Cholesterol subgroup (RR = 0.40 [95% CI, 0.26-0.61]) than in the  $[^{3}H]$ -Cholesterol subgroup (RR = 0.74 [95% CI, 0.61– 0.89]). Regarding the association of per SD increment of CEC with adverse cardiovascular event (Supplementary Figures S26, **S27**), there was no significant difference between the [<sup>3</sup>H]-Cholesterol subgroup and BODIPY-Cholesterol subgroup. Last, the difference in laboratory protocols (e.g., using whole serum vs. apolipoprotein B-depleted serum) across studies may have contributed to the heterogeneity of results.

Several interventions have been shown to improve the HDL function. For instance, in the STAMPEDE sub-study, bariatric surgeries, including Roux-en-Y gastric bypass and sleeve gastrectomy), were found to improve HDL functionality as evaluated by the CEC assay at five years. (38) In addition, eicosapentaenoic acid (EPA) supplementation has been associated with a dose-dependent increase of CEC from macrophages mediated by ATP-binding cassette transporter A1 (ABCA1) (39), which may help explain the antiatherogenic properties and cardiovascular benefits of EPA in high-risk patients from recent trials (40). Furthermore, CETP inhibitors have been shown to significantly improve CEC along with HDL level (41-43). In further support of the HDL flux hypothesis, a novel infusible ApoA-I agent named CSL112 has been associated with an immediate and pronounced increase in CEC in patients with stable atherosclerotic disease and in healthy individuals (44). To test the safety and tolerability of CSL112, the AEGIS-I trial (ApoA-I Event Reducing in Ischemic Syndromes I) was a multicenter, randomized double blind placebo controlled trial that demonstrated four weekly infusions of CSL112 were feasible, well tolerated, and not associated with significant changes in hepatic or renal function among patients with an acute myocardial infarction (45). Importantly, the AEGIS-I trial demonstrated that compared with placebo, CSL112 was associated with improved CEC (45). To determine if improving cholesterol efflux is associated with improved cardiovascular outcomes, the AEGIS-II trial (ApoA-I Event Reducing in Ischemic Syndromes II) is underway and will evaluate the efficacy and safety of CSL112 in reducing the risk of major adverse cardiovascular events in patients with acute myocardial infarction.

## Limitations

This meta-analysis has several limitations that should be considered. First, the follow-up duration and case definitions for adverse cardiovascular events and ASCVD vary across the studies. Therefore, this analysis was unable to ascertain the association of CEC with specific components of the composite endpoints. Second, covariates included in the multivariable models (such as cardiovascular risk factors, medications, and the lipid panel) were not consistent and may impact the accuracy of the adjusted risk estimates. Third, only three endpoint comparisons had ten or fewer studies available. For this analysis, tests of small-study effect and subgroup analyses were performed. Few studies were available for examining the association with all-cause death and cardiovascular death; however, the lack of association with these endpoints may reflect a lack of statistical power. More data are warranted to validate the association of CEC with specific cardiovascular outcomes while accounting for individual risk profile and CEC method. Last, the cutoff value for defining high versus low CEC varied across the included studies. As this was a study-level meta-analysis based on aggregated data, a uniform cutoff value of CEC could not be applied to the analysis. Future patient-level meta-analysis is required to validate the findings.

# CONCLUSION

The meta-analysis demonstrates an inverse relationship between CEC levels, a quantitative measure of HDL functionality, and the risk of adverse cardiovascular events or atherosclerotic cardiovascular disease. Future studies should examine whether CEC can serve as a therapeutic target for improving cardiovascular outcomes.

# DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

# **AUTHOR CONTRIBUTIONS**

JL and GC: concept and design, acquisition, analysis, or interpretation of data, and drafting of the manuscript. CF, SK, AK, SK, DD, AS, BK, RY, DB, and CG: critical revision of the

manuscript for important intellectual content. CG: supervision. All authors have read and agreed to the published version of the manuscript.

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# SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcvm. 2021.774418/full#supplementary-material

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