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Scientific Article

# The role of bone marrow and spleen irradiation in the development of acute hematologic toxicity during chemoradiation for esophageal cancer

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#### Abstract

**Purpose:** The purpose of this study was to determine the impact of splenic and thoracic bone marrow irradiation on hematologic toxicity in the setting of chemoradiation therapy for esophageal cancer. **Methods and materials:** We analyzed 60 patients with carcinoma of the distal esophagus or gastroesophageal junction who received concurrent chemoradiation in the preoperative or definitive setting. Dosimetric and volumetric parameters were calculated for the spleen, thoracic spine, and posterior ribs. The primary endpoint was grade  $\geq$ 3 hematologic toxicity (HT3+). Associations were assessed using logistic and linear regression models.

**Results:** Twenty-one patients (35%) experienced HT3+, including 18 patients with leukopenia and 5 with thrombocytopenia. Higher spleen V5-V20 was correlated with a lower risk of HT3+ on multivariable analysis (odds ratio: 0.83 per 10 cm<sup>3</sup> increase in V10; P = .013). A dose-dependent decrease in spleen volume was observed after radiation therapy, and a greater decrease was independently associated with a lower risk of HT3+ (odds ratio: 0.93 per 1% volume decrease; P = .014). Dosimetric parameters of the thoracic spine were not significantly associated with HT3+.

**Conclusions:** A greater decrease in spleen size after radiation therapy and a higher spleen V5-V20 were independently associated with a lower risk of severe hematologic toxicity. Splenic irradiation may mitigate leukopenia associated with chemoradiation therapy.

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# Introduction

Since the publication of the Chemoradiotherapy for Oesophageal Cancer Followed by Surgery Study, neoadjuvant chemoradiation therapy has been firmly established as the standard of care for locally advanced, resectable esophageal

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and gastroesophageal junction (GEJ) cancer.<sup>1</sup> However, morbidity associated with chemoradiation can be significant, particularly with respect to hematologic toxicities. In the Chemoradiotherapy for Oesophageal Cancer Followed by Surgery Study, leukopenia (60%) and thrombocytopenia (54%) were quite common, and 8% of patients experienced a grade  $\geq$ 3 hematologic toxic effect of any type.<sup>2</sup> Several potential factors contribute to these findings, including chemotherapy-related and radiation-related effects on hematopoiesis.

Several studies have previously investigated radiation dose to the bone marrow and its effect on acute hematologic toxicity for various disease sites, including anal, cervical, and thoracic.<sup>3-5</sup> However, radiation therapy for esophageal cancer is unique from the aforementioned sites because the spleen, which is an additional hematopoietic organ, is often within the radiation field. The spleen is known to serve several functions, including serving as a reservoir for lymphocytes, platelets, and potentially other cell types.<sup>6</sup>

The effects of radiation on the normal functions of the spleen remain largely unknown, and the organ is not routinely designated as an organ at risk with applicable dosimetric constraints. One recent study found a dosedependent decrease in spleen size after postoperative radiation therapy for gastric cancer.<sup>7</sup> However, the clinical significance of this finding has not been clearly elucidated. The current study investigates the relationship between changes in blood cell counts and dosimetric parameters of the spleen and thoracic bone marrow, specifically among patients who received treatment to the distal esophagus or GEJ, given the anatomic level of the spleen. An improved understanding of the role of the spleen in acute hematologic toxicity and its relation to bone marrow suppression after radiation therapy may allow for improved design of radiation therapy fields to minimize adverse hematologic events.

## Methods and materials

## **Patient selection**

In this study, which was approved by the Institutional Review Board of Stanford University, we conducted a retrospective analysis of 60 patients with stage IB-IV adenocarcinoma or squamous cell carcinoma of the distal esophagus or GEJ who received concurrent chemoradiation in the preoperative or definitive setting at our institution between January 2007 and February 2015. Patients with metastatic disease were included if they received highdose radiation therapy to the primary tumor, as per our institutional practice described later. Patients underwent computed tomography (CT) of the thorax, whole-body positron emission tomography/CT, and upper endoscopy with endoscopic ultrasound as part of the routine staging process. Treatment planning CT imaging from the T1 to L1 vertebral levels and encompassing the entire spleen was required for the analysis. Follow-up data were collected from the first 2 surveillance CT scans performed for each patient after the initial treatment planning scan.

### Treatment planning

Radiation treatment was delivered using a 3-dimensional conformal or intensity modulated radiation therapy (IMRT) technique, primarily via volumetric modulated arc therapy. Our institutional practice for IMRT is to treat the elective regional nodal basins to a dose of 45 Gy at 1.8 Gy per fraction with a simultaneous integrated boost to the primary tumor and to treat any gross nodal disease to 50 to 54 Gy at 2 Gy per fraction. The initial clinical target volume (CTV) receiving 45 Gy includes the primary tumor with a 3 to 4 cm margin along the mucosa, elective periesophageal nodes, and any grossly involved lymph nodes. For tumors in the distal esophagus or GEJ, the celiac axis and perigastric nodes within the 3 to 4 cm mucosal margin are also included. The CTV receiving the boost includes the primary tumor and grossly involved nodes with a 1 to 2 cm margin. Our normal tissue constraints for IMRT plans typically include a total lung mean dose <12 Gy, total lung V20 <15%, and heart mean dose <25 Gy. Chemotherapy was delivered concurrently with radiation therapy in all cases. The majority of patients received weekly carboplatin and paclitaxel<sup>2</sup> but additional drug regimens included 5-fluorouracil, capecitabine, cisplatin, oxaliplatin, and trastuzumab. Respiratory gating was typically used for distal esophageal tumors to account for any significant organ motion.

#### Dosimetric parameters

For each patient, the thoracic spine, posterior ribs, and spleen were delineated on the treatment planning CT scan by a trained radiation oncologist. The thoracic spine was contoured as the vertebral bodies, transverse processes, and posterior elements from the T1 to L1 vertebral levels, inclusive. The posterior ribs included the T1 to T12 ribs from the costovertebral joint to the midscapular line bilaterally. Dose volume histogram data were generated in MIM (version 6.5.7, MIM Software Inc.; Cleveland, OH) and the following data were recorded for each structure: volume; mean dose; and absolute and relative volume receiving at least 5 (V5), 10 (V10), 15 (V15), 20 (V20), 30 (V30), and 40 Gy (V40).

#### Laboratory data

Complete blood counts with differential, including white blood cell (WBC), absolute neutrophil, hemoglobin, and platelet counts, were obtained within 1 week prior to the start of radiation therapy and at weekly intervals throughout the treatment course as part of routine clinical care for each patient. Laboratory results were also recorded for up to 2 months after completion of radiation therapy to observe potential delayed changes in counts. Hematologic toxicity was graded in accordance with the Common Terminology Criteria for Adverse Events, version 4.0, as per the established cutoff values.<sup>8</sup>

#### Follow-up spleen size assessment

The spleen was contoured on available follow-up CT scans for each patient, and organ volume was recorded. Our institutional practice is to obtain the first follow-up scan approximately 1 month after completion of radiation therapy, whether treatment is delivered with definitive or neoadjuvant intent. Should no further local therapy be performed, we typically obtain surveillance imaging every 3 months thereafter for at least the first year. The spleen was contoured on each of the follow-up scans, and the change in spleen volume was expressed as a percentage of the pretreatment spleen volume on the radiation treatment planning CT scan.

## Statistical analysis

The primary endpoint was any grade  $\geq 3$  hematologic toxicity (HT3+). Secondary endpoints included maximum relative and absolute changes in blood cell laboratory values between baseline and nadir. Associations between baseline characteristics and HT3+ were evaluated using Fisher's exact test. Differences between means were analyzed using paired or independent samples t testing. The associations between dosimetric parameters and study endpoints were assessed using logistic and linear regression models. Parameters with P < .10 on univariable analyses were included in multivariable regression models. All tests were 2-sided with an alpha level of 0.05. Change in spleen size was modeled in a mixed effects model, which accounts for within-patient correlation. Analyses were performed using SPSS (version 22, IBM; Armonk, NY) and SAS software (version 9.4, SAS Institute; Cary, NC).

# Results

## Patient characteristics

Baseline demographics and tumor characteristics by severity of hematologic toxicity are presented in Table 1. The median age at time of radiation therapy was 65 years (range, 28-91 years). The median dose and dose per fraction delivered to the primary planning target volume were 50 Gy (range, 44-59 Gy) and 2 Gy (range, 1.8-2.2 Gy), respec-

Table 1	Patient and treatment characteristics by severity of he-
matologic	toxicity

Characteristic	No HT3+		HT3+		P-value <sup>a</sup>	
	n	(%)	n	(%)		
Total	39	100.0	21	100.0		
Sex					.606	
Female	2	5.1	2	9.5		
Male	37	94.9	19	90.5		
Histology					.039	
Adenocarcinoma	39	100.0	18	85.7		
Squamous cell carcinoma	0	0.0	2	9.5		
Adenosquamous	0	0.0	1	4.8		
Stage					.326	
IB	2	5.1	0	0.0		
IIA	6	15.4	2	9.5		
IIB	7	17.9	4	19.0		
IIIA	20	51.3	9	42.9		
IIIB	2	5.1	2	9.5		
IIIC	1	2.6	0	0.0		
IV	0	0.0	3	14.3		
N/A	1	2.6	1	4.8		
Treatment intent					.143	
Neoadjuvant	35	89.7	15	71.4		
Definitive	4	10.3	6	28.6		
Radiation therapy modality					.119	
IMRT	39	100.0	19	90.5		
3-dimensional CRT	0	0.0	2	9.5		
Concurrent chemotherapy					.154	
Carboplatin and paclitaxel	29	74.4	12	57.1		
5-FU/capecitabine with	8	20.5	9	42.9		
platinum agent						
5-FU/capecitabine alone	2	5.1	0	0.0		

5-FU, 5-flurouracil; CRT, conformal radiation therapy; HT3+, grade ≥3 hematologic toxicity; IMRT, intensity modulated radiation therapy. <sup>a</sup> Fisher's exact test *P*-value.

tively. Nearly all treatments (97%) were delivered using IMRT, and the majority of patients (68%) received concurrent carboplatin and paclitaxel.

## Hematologic toxicity

The mean pretreatment and nadir laboratory values are reported in Table 2. There was a statistically significant difference between the pretreatment and nadir values for each blood cell line (P < .001 for each). Twenty-one patients (35%) experienced HT3+, the majority of which were attributed to grade  $\geq 3$  leukopenia (18 patients). Five patients (8%) experienced grade  $\geq 3$  thrombocytopenia. No grade  $\geq 3$  neutropenia or anemia was observed in our study cohort.

#### Spleen volume

The mean baseline volume of the spleen was  $266 \pm 117$  cm<sup>3</sup>. The data were approximately normally

<b>Table 2</b> Change in blood cell counts				
Blood cell parameter	Value			
Overall HT3+, n (%)	21 patients (35.0%)			
WBC				
Baseline, mean (SD)	7.2 (3.0) k/uL			
Nadir, mean (SD)	2.3 (0.7) k/uL			
Grade 3 + , n (%)	18 patients (30.0%)			
ANC				
Baseline, mean (SD)	5.0 (2.8) k/uL			
Nadir, mean (SD)	2.0 (0.9) k/uL			
Grade 3 + , n (%)	0 patients (0%)			
Hemoglobin				
Baseline, mean (SD)	12.7 (2.4) g/dL			
Nadir, mean (SD)	11.4 (1.7) g/dL			
Grade 3+, n (%)	0 patients (0%)			
Platelets				
Baseline, mean (SD)	234 (87) k/uL			
Nadir, mean (SD)	107 (43) k/uL			
Grade 3 + , n (%)	5 patients (8.3%)			

ANC, absolute neutrophil count; HT3+, grade  $\geq$ 3 hematologic toxicity; SD, standard deviation; WBC, white blood cells.

distributed, with a median volume of 257 cm<sup>3</sup>. Fortyeight patients had follow-up imaging available for review with a median time to first imaging of 10 weeks after the start of radiation (range, 2-64 weeks) and a median time to second imaging of 22 weeks (range, 10-68 weeks). The mean spleen volume at first follow-up was  $213 \pm 95$  cm<sup>3</sup>, representing an average change of -14.8% from baseline (*P* < .001). At second follow-up, the mean spleen volume was 200 ± 91 cm<sup>3</sup> (-23.2% change from baseline; *P* < .001; Fig 1). A higher absolute spleen V5-V30 was associated with a greater decrease in spleen volume (*P* < .05 for each; Supplementary Fig S1).

#### Dosimetric and volumetric predictors of HT3+

Dosimetric and volumetric data of the organs at risk are presented in Table 3 with respect to HT3+ status. The overall mean doses to the spleen, thoracic spine, and posterior ribs were  $23.4 \pm 4.5$ ,  $20.4 \pm 5.2$ , and  $12.4 \pm 4.7$  Gy, respectively. There was no statistically significant difference in mean dose to any of the 3 structures among patients who experienced any grade  $\geq 3$  hematologic toxicity compared with those who did not. The absolute and relative volume of the spine and ribs that received between 5 and 40 Gy was also not different between groups. However, those who did not experience HT3+ were found to have significantly larger volumes of spleen receiving at least 5 to 30 Gy of total radiation over the course of their treatments (P < .05for each). These patients also had a larger baseline spleen volume by an average of 95 cm<sup>3</sup> (P = .002), as well as a greater percentage decrease in spleen volume at first followup (22.9% vs 0.3%; P = .009).



**Figure 1** Decrease in absolute spleen volume over time. Black line depicts a mixed-effects model of change in spleen volume. Gray lines depict individual patient data.

 Table 3
 Organ and dosimetry characteristics by severity of hematologic toxicity

Parameter	No HT3+		HT3+		P-value <sup>a</sup>
	Mean	SD	Mean	SD	
Baseline spleen volume (cm <sup>3</sup> )	299	119	204	85	.002
Percent decrease in spleen volume at first follow-up (%)	22.9	14.5	0.3	30.0	.009
Percent decrease in spleen volume at second follow-up (%)	28.8	19.3	11.6	40.4	.118
Spleen dosimetry					
Mean dose (Gy)	23.8	6.5	22.7	9.2	.593
V5 (cm <sup>3</sup> )	276.9	116.5	165.1	82.0	<.001
V10 (cm <sup>3</sup> )	247.3	106.8	153.1	77.2	.001
$V15 (cm^3)$	216.7	104.7	136.5	72.1	.003
V20 (cm <sup>3</sup> )	179.2	103.8	105.5	57.5	.004
V30 (cm <sup>3</sup> )	92.7	76.0	54.6	41.5	.038
V40 (cm <sup>3</sup> )	35.9	32.4	26.2	31.3	.267
Thoracic spine dosimetry					
Mean dose (Gy)	20.2	5.5	21.0	4.7	.581
V5 (cm <sup>3</sup> )	377.7	85.8	372.6	79.6	.824
V10 (cm <sup>3</sup> )	355.2	86.0	350.8	75.0	.842
V15 (cm <sup>3</sup> )	335.1	86.6	324.8	71.1	.643
V20 (cm <sup>3</sup> )	303.3	91.8	288.5	72.6	.525
V30 (cm <sup>3</sup> )	205.9	101.6	199.0	89.4	.794
V40 (cm <sup>3</sup> )	92.9	58.2	90.7	69.3	.895
Posterior rib dosimetry					
Mean dose (Gy)	12.4	5.1	12.5	4.2	.932
V5 (cm <sup>3</sup> )	91.1	33.8	88.1	34.7	.744
V10 (cm <sup>3</sup> )	79.1	28.6	74.2	27.4	.526
V15 (cm <sup>3</sup> cm <sup>3</sup> )	65.3	26.0	57.1	21.6	.218
$V20 (cm^3 cm^3)$	47.1	26.1	38.1	18.0	.167
V30 (cm <sup>3</sup> )	16.4	21.3	13.3	14.6	.555
V40 (cm <sup>3</sup> )	3.6	7.9	3.3	8.3	.909

HT3+, grade  $\geq$ 3 hematologic toxicity; SD, standard deviation; Vx, volume in cc that receives at least x Gy.

<sup>a</sup> Independent samples *t* test *P*-value.

Demographic and treatment-related predictors of hematologic toxicity were investigated using logistic regression models. On univariable analysis, baseline spleen volume, percentage change in spleen volume at first follow-up, and absolute volume of the spleen receiving at least 5 to 20 Gy (V5-V20) were significantly correlated with both overall HT3+ and grade  $\geq$ 3 leukopenia (Table 4). On multivariable analysis, only the percentage change in spleen volume at first follow-up and absolute spleen V5 to V20 remained correlated with incidence of overall HT3+ (Table 5). Relative spleen dosimetry parameters were not significantly associated with HT3+ on univariable or multivariable analysis. Additional factors, including chemotherapy type and dosimetric parameters of the thoracic spine and posterior ribs, were not predictive of HT3+ or leukopenia.

With respect to individual blood count values, when controlling for baseline WBC count, a 10% decrease in spleen volume at first follow-up was associated with a 0.1 k/uL higher WBC nadir (P = .020), but a 10 cm<sup>3</sup> increase in absolute spleen V5, V10, and V15 was each associated with a 0.02 k/uL higher nadir (P = .002, .005, and .032, respectively; Supplementary Fig S2). No additional associations were found between spleen dose and other blood count values. Furthermore, dose to the thoracic spine or ribs was not significantly associated with changes in individual laboratory values.

# Discussion

Previous studies have found that a higher radiation dose volume to the bone marrow is associated with acute cytopenias,<sup>3-5,9</sup> but the impact of splenic irradiation on hematologic toxicity remains relatively unknown. In the current study, we did not note any relationship between radiation dose to the thoracic spine or ribs and the development of hematologic toxicity for patients treated with chemoradiation therapy to the distal esophagus. However, our data revealed that splenic irradiation was associated with a lower rate of hematologic toxicity. Furthermore, we demonstrated a dose-dependent change in spleen volume after radiation therapy, which inversely correlated with the development of hematologic toxicity.

Parameter	Grade ≥3 hematologic toxicity			Grade ≥3 leukopenia		
	OR	95% CI	P-value	OR	95% CI	P-value
Age	1.02	0.97-1.08	.461	0.99	0.94-1.05	.832
Male	0.51	0.07-3.94	.521	1.31	0.13-13.49	.822
Chemotherapy type						
Carboplatin/paclitaxel	0.46	0.15-1.42	.175	0.63	0.20-2.01	.433
Other	Reference	_		Reference	_	_
Baseline spleen volume	0.89 <sup>a</sup>	0.83-0.96	.004	0.91 <sup>a</sup>	0.85-0.98	.014
Percent decrease in spleen volume at first follow-up	0.95	0.91-0.98	.006	0.96	0.93-1.00	.029
Percent decrease in spleen volume at second follow-up	0.98	0.94-1.01	.182	0.97	0.93-1.01	.129
Spleen dosimetry						
Mean dose	0.98	0.91-1.05	.587	1.00	0.92-1.07	.885
V5	0.87 <sup>a</sup>	0.80-0.94	.001	0.89 <sup>a</sup>	0.82-0.96	.003
V10	0.88 <sup>a</sup>	0.81-0.95	.002	0.89 <sup>a</sup>	0.83-0.96	.004
V15	0.89ª	0.82-0.96	.004	0.90 <sup>a</sup>	0.83-0.97	.008
V20	0.88 <sup>a</sup>	0.79-0.96	.005	0.88 <sup>a</sup>	0.80-0.97	.010
V30	0.89 <sup>a</sup>	0.78-1.00	.049	0.90 <sup>a</sup>	0.79-1.01	.083
V40	0.90 <sup>a</sup>	0.75-1.08	.267	0.92 <sup>a</sup>	0.76-1.12	.405
Thoracic spine dosimetry						
Mean dose	1.03	0.93-1.14	.575	1.00	0.90-1.11	.988
Posterior rib dosimetry						
Mean dose	1.01	0.90-1.12	.931	0.96	0.84-1.09	.492

Table 4 Univariate logistic regression models of predictors of hematologic toxicity

CI, confidence interval; OR, odds ratio; Vx, volume in cm<sup>3</sup> that receives at least x Gy.

<sup>a</sup> ORs reported per 10 cm<sup>3</sup> increase in volume.

A dose-dependent change in spleen size has been observed previously after chemoradiation therapy for gastric cancer.<sup>7</sup> Similar to Trip et al, we demonstrated an average spleen volume reduction of 15% measured at a median of 10 weeks from the start of radiation therapy. However, a relationship between change in spleen size and hematologic outcomes had not been shown previously. Trip et al

Table 5	Multivariate logistic regression models of predictors
of hemato	logic toxicity

Parameter	Grade ≥3 hematologic toxicity				
	Odds ratio	95% CI	P-value		
Baseline spleen volume	1.16 <sup>a</sup>	0.90-1.51	.264		
Percent decrease in spleen	0.94	0.89-0.99	.014		
volume at first follow-up					
Spleen dosimetry					
V5	0.75 <sup>a</sup>	0.57-0.98	.037		
V10	0.83 <sup>a</sup>	0.72-0.96	.014		
V15	0.86 <sup>a</sup>	0.75-0.98	.029		
V20	$0.87^{a}$	0.76-0.99	.040		
V30	0.89 <sup>a</sup>	0.74-1.06	.181		
V40	0.94ª	0.75-1.21	.649		

CI, confidence interval; Vx, volume in cc that receives at least x Gy. Spleen Vx parameters were added individually to separate multivariate models. Odds ratios for nondosimetric parameters represent results that control for spleen V5.

<sup>a</sup> Odds ratios reported per 10 cm<sup>3</sup> increase in volume.

observed evidence of hyposplenism (ie, infectious events) after high-dose splenic irradiation, but the risk of infection was not correlated with any spleen dosimetric parameters.<sup>7</sup>

In our study, both change in spleen volume after radiation therapy and radiation dose to the spleen were significantly correlated with the risk of HT3+ (ie, greater decrease in spleen volume and higher absolute spleen V5-V20 were independently associated with a lower risk of toxicity). Larger baseline spleen volume was associated with lower risk of HT3+ on univariable analysis, but the effect did not remain significant after controlling for change in spleen volume and dosimetric parameters. Interestingly, relative spleen dosimetry parameters were not significantly associated with hematologic toxicity on univariable or multivariable analysis. Our results suggest that the absolute volume of spleen irradiated may have a greater effect on blood cell counts than the relative volume, even after controlling for baseline spleen volume.

Radiation therapy has been used for many decades in the palliative setting for symptomatic splenomegaly, including for cytopenias in the setting of myeloproliferative disorders.<sup>10</sup> In contrast, no patients in our cohort demonstrated a pathologically enlarged spleen or known history of myeloproliferative disorder. Our data suggest that irradiation of the normal spleen may have physiologic effects similar to those of irradiation for symptomatic splenomegaly and may limit cytopenias associated with other etiologies. A large multi-institutional retrospective study from Germany found that low-dose splenic irradiation ranging from 30 to 1600 cGy resulted in a 74% response rate with respect to improvement in blood cell counts in the setting of symptomatic splenomegaly.<sup>11</sup> Although the biological mechanisms that lead to cytopenia may be different, irradiation of a non-pathologically-enlarged spleen in the setting of therapeutic radiation therapy to the abdomen or lower thorax may serve to mitigate decreased hematopoiesis due to radiation-induced bone marrow suppression. In our study, hematologic toxicity was primarily driven by leukopenia, with 18 of 21 patients with HT3+ experiencing grade  $\geq$ 3 leukopenia.

The mechanism of the spleen's effect on peripheral WBC count remains unclear. One possible mechanism of action relates to the release of sequestered cells, including lymphocytes, from the spleen and other organ tissues.<sup>6</sup> This hypothesis is supported by data that suggest that splenic irradiation is potentially effective in palliating hypersplenisminduced cytopenias due to congestion<sup>12,13</sup> and is consistent with the observed reduction in spleen size after radiation therapy. The concept of radiotherapeutic splenectomy, with high radiation doses over 20 Gy leading to functional asplenism, has also been discussed in the past.<sup>14</sup> Furthermore, a study of blood cell migration in mice suggests that there is a shift in the proportion of WBCs from tissue cavities to the blood stream after surgical removal of the spleen.<sup>15</sup> Radiotherapeutic splenectomy may possibly affect peripheral WBC count via a similar mechanism. Another hypothesis is that the spleen acts as a negative regulator of WBC production in the bone marrow or as a positive regulator of peripheral WBC apoptosis via humoral effects, as also evidenced by studies of leukocytosis after surgical splenectomy<sup>15-17</sup> and data that support improved hematologic tolerance to radiation therapy in splenectomized patients.<sup>18</sup>

Prior studies investigating the impact of radiation dose on the spleen have found varying effects on hematologic outcomes.<sup>7,19,20</sup> Chadha et al found that lymphopenia after definitive chemoradiation therapy for locally advanced pancreatic cancer was associated with a higher mean spleen dose (MSD) and higher spleen V10-V20.<sup>19</sup> The median MSD was 6.8 Gy among patients in their cohort, compared with 23.1 Gy in our study. Higher radiation doses to the spleen and larger volumes irradiated could have a paradoxical effect on WBC counts by reducing the functional splenic volume. This is supported by the findings of Trip et al, which demonstrate that average leukocyte count was significantly higher than baseline 4 years after high-dose splenic irradiation (median MSD, 40 Gy).<sup>7</sup> However, the relatively high rate of severe infectious events that they observed in their cohort suggests that WBC count may not be an adequate marker of immune function after radiation. The relationship between splenic dose and functional outcomes requires further investigation.

It is also possible that splenic irradiation affects separate blood cell lines differently. Thrombocytopenia after palliative splenic irradiation has previously been reported, albeit primarily in the setting of extramedullary hematopoiesis due to myelofibrosis.<sup>21,22</sup> In our study, we did not find a significant association between radiation dose to the spleen and change in platelet count or nadir. However, the lack of an observed effect may be related to the small sample size or minimal effect of extramedullary hematopoiesis in our cohort.

Although the impact of the spleen was unexpected, the lack of correlation of dose to the thoracic bones and ribs on the development of hematologic toxicity was equally surprising and suggests that chemotherapy may have been the predominant driver of hematologic toxicity in our series. Most patients received concurrent weekly carboplatin and paclitaxel, and no significant differences in hematologic toxicity were observed between the chemotherapy types.

Nevertheless, there are several limitations to our study, including its retrospective nature and relatively small sample size. There is inherent heterogeneity in the study sample, and the effects of potential confounding variables, including chemotherapy type, cannot be eliminated completely. Furthermore, follow-up was limited for many of our patients, and we were unable to demonstrate long-term persistence of effect or any recovery of spleen size.

# Conclusions

In our study, radiation to the thoracic spine and ribs did not correlate with the development of hematologic toxicity during chemoradiation for esophageal cancer. However, splenic irradiation appeared to be associated with a lower rate of severe hematologic toxicity. Our results demonstrated that patients who did not experience hematologic toxicity had a larger baseline spleen volume, greater absolute volume of spleen irradiated, and greater decrease in spleen volume after radiation. Given the association with these factors, we hypothesize a possible mechanism that may involve the release of sequestered cells from the spleen into the circulation after irradiation. Although our study did not include patients with a pathologically enlarged spleen, prior studies examining the hematologic impact of palliative radiation therapy for symptomatic splenomegaly have shown similar effects on blood cell counts.

Before recommending routine irradiation of the spleen, further studies will be required to confirm these findings and to investigate the underlying mechanism of dynamic changes in blood cell counts with respect to splenic irradiation, as well as its effect on immune system function. Although the spleen is not routinely considered an organ at risk for the purposes of radiation therapy treatment planning, our results suggest that further attention should be paid to this organ and its relationship with hematologic toxicity. Supplementary material for this article (https://doi .org/10.1016/j.adro.2018.02.005) can be found at www .practicalradonc.org.

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