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A new grey quadratic polynomial OPEN model and its application in the COVID‑19 in China

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This paper develops a new grey prediction model with quadratic polynomial term. Analytical expressions of the time response function and the restored values of the new model are derived by using grey model technique and mathematical tools. With observations of the confrmed cases, the death cases and the recovered cases from COVID-19 in China at the early stage, the proposed forecasting model is developed. The computational results demonstrate that the new model has higher precision than the other existing prediction models, which show the grey model has high accuracy in the forecasting of COVID-19.

At the beginning of 2020, a new strain of coronavirus (COVID-19) was found from some patients in January 2020. This disease can lead to severe fever, and mainly acute respiratory failure syndrome^{[1](#page-25-0)}. It is proven that this coronavirus can be transmitted from person to person. The number of confirmed cases rose sharply since the January 2020, and governments have to promulgate various laws and policies to alleviate the spread of COVID-19. At now, the total confrmed cases has reached 137,866,311 cases all over the world. Moreover, there is no indication that the virus will disappear within a few months. Tus accurately prediction the tendency, particularly at the early stage of the disease, can give a guidance for the control and prevention of the coronavirus.

It is generally known that the statistical models like autoregressive model, moving average and autoregressive integrated moving average, and the computational intelligence methods are widely applied in COVID-19 diseases. Castillo and Melin^{[2](#page-25-1)} described a hybrid intelligent approach for efficient and accurate prediction COVID-19 time series combining fuzzy logic and fractal theory. Publicly available datasets of 10 countries are used to establish the fuzzy model, and the results show the new model can be considered good studying the complexity of this epidemic diseases. Chimmula and Zhang^{[3](#page-25-2)} proposed a new state-of-the-art Deep Learning forecasting model for COVID-19 outbreak in Canada. The possible trends and stopping time of COVID-19 in Canada are evaluated, and then compared transmission rates of Canada with Italy and USA. Anastassopoulou et al.⁴ used a Susceptible-Infectious-Recovered-Dead (SIDR) model to study the basic reproduction number, the per day infection mortality and the recovery rates of Hubei in China. Petropoulos and Makridakis⁵ introduced an objective method to predict the spread of confrmed cases, the number of deaths and recoveries of the COVID-19 under the assumption that the original data is reliable and the process of the disease following the past pattern. Shastri et al.^{[6](#page-25-5)} used neural network with Stacked LSTM, Convolutional LSTM and Bi-directional LSTM to study the confirmed cases and the death cases of COVID-19 in USA and India. Wang et al.⁷ developed a deep learning method with rolling mechanism to forecast the epidemic trend for Russia, Peru and Iran. Hawas^{[8](#page-25-7)} introduced the recurrent neural networks for forecasting the virus's daily infection in Brazil with limited raw data. Yonar et al.⁹ estimated the number of COVID-19 epidemic cases of Turkey, Germany, United Kingdom, France, Italy, Russia, Canada and Japan by Box-Jenkins (ARIMA), curve estimation models and Brown/Holt linear exponential smoothing methods. Melin et al.¹⁰ presented a multiple ensemble neural network with fuzzy logic method for the COVID-19 cases in Mexico where the errors are signifcantly lower than traditional neural networks. Sun and Wang¹¹ examined the data from January 23 to March 25 by ordinary differential equation model, which demonstrate that strongly controlled measured can minimize total infections. Castillo and Melin¹² proposed a hybrid intelligent fuzzy fractal method for COVID-19 classification of countries. Additionally, Luo et al.¹³, Sahin and Sahin^{[14](#page-26-2)}, Zhao et al.¹⁵ used grey models to study the number of patients infected with COVID-19. The *Chaos*, *Solitons and Fractals* launched an open focus issue for understanding and mitigating the efects of the current pandemic¹⁶. For more details about this topic, the interested readers can refer to^{[17–](#page-26-5)[23](#page-26-6)}. Moreover, the details of these work are summarized in Table [1.](#page-1-0)

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Table 1. Studies on COVID-19 analysis and forecasting.

It can be seen that the neural network models and statistical prediction models are widely used to study the COVID-19, and the grey prediction model is relatively few. As we know, the statistical models ofen require a large amount of historical data, at least thirty or more datasets, which obey a certain distribution. The neural network method needs a substantial amount of datasets for training to obtain system optimized parameters. However, the transmission mechanism of COVID-19 is not very clear, especially in the early stage owing to the limited information available. Tus it is very important to select a favorable technique for prediction the trend of the COVID-19 with limited information. The grey prediction method, proposed by Deng Julong^{[24](#page-26-7)}, is an efficient and accuracy method for solving uncertain problems with limited information. In the classical grey model $GM(1,1)$, the grey action quantity is a constant number, which is essentially a homogenous exponent model. When the raw of data is not a homogeneous exponent sequence, the model accuracy maybe low. So Cui et al.²⁵, Xie et al[.26](#page-26-9) put forward a non-homogeneous grey model with grey action quantity is *bt*. Chen and Y[u27](#page-26-10) based on the work of^{25,26} proposed a non-homogenous grey prediction model termed as NGM(1,1,k,c) in their work where the grey action quantity is $bt + c$. The whitening equation, the time response function and the restored values of the model are all derived with the grey techniques and mathematical tools. Tis model can simulate a homogeneous exponential sequence, a non-homogeneous exponential sequence and a linear sequence. However, we discover this non-homogeneous grey prediction model sometimes has large error with some sequences. To further improve the efectiveness and applicableness of grey models, we generalized the non-homogeneous grey forecasting model to a grey prediction model with quadratic polynomial term in this work.

At the early stage, the spreading mechanism of the COVID-19 is not clear, and there is limited available data to collect for us. Tus it is important for us to select an appropriate method to deal with the COVID-19, and obtain acceptable results. Under this situation, the grey forecasting model is chose to study the confrmed cases, the death cases and the recovered cases of COVID-19 in China at the early stage. With the grey theory and mathematical analysis, the grey quadratic polynomial model $GMQP(1,1)$ is systematically studied. The grey basic form, the system parameters, the time response function and the restored values are all derived. Based on these expressions, some special cases are all considered. Further, the new model is applied to study the confrmed cases, the death cases and the recovered cases from COVID-19 in China at the early stage. The computational results are compared with the classical grey model GM $(1,1)^{24}$ $(1,1)^{24}$ $(1,1)^{24}$, the discrete grey model DGM $(1,1)^{28,29}$ $(1,1)^{28,29}$ $(1,1)^{28,29}$ $(1,1)^{28,29}$ $(1,1)^{28,29}$, the non-homogeneous grey model NGM(1,1,k,c)^{[27](#page-26-10),[30](#page-26-13)}, the grey Verhulst model GVM(1,1)^{31–34} and the polynomial regression PR(2) in the application section. It is found that the new model outperforms the other prediction models and can obtain competitive results. In summary, the main contributions and originalities of this work are provided here. (1**)** The grey forecasting model with quadratic polynomial term is develop, which can solve quasi homogeneous

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and non-homogeneous exponential series, or even some fluctuating series. (2) The analytical solution of time response function and the matrix expression of system parameters are also determined by grey technique. (3**)** The proposed newly model is a general grey forecasting model, and the $GM(1,1)$ model, the NGM $(1,1,k)$ model and the NGM(1,1,k,c) model are all special cases of the proposed model. Moreover, the feasibility of the new model is verified through two examples. (4) The new model is used to study the confirmed cases, the death cases and the recovered cases of COVID-19 in China at the early stage, and results illustrate that the new model has higher precision than other forecasting models.

The rest of this paper is arranged as follows. Section [2](#page-2-0) discusses the existing grey forecasting models. The details of the grey prediction model with quadratic polynomial term is given in Sect. [3](#page-3-0). Section [4](#page-5-0) provides some numerical examples. Applications are studied in the Sect. [5](#page-7-0). Conclusions are placed in the last section.

Some existing grey forecasting models

Tis section provides a brief overview of some grey forecasting models which will used in the application section. They are the classical grey model $GM(1,1)$, the discrete grey model $DGM(1,1)$, the non-homogeneous grey model NGM(1,1,*k*,*c*) and the grey Verhulst model GVM(1,1). For concise, we only provide the whitening equation, the time response function and the restored values of them.

(1) The GM $(1,1)$ model

The classical grey model $GM(1,1)$ is the core of the grey forecasting theory. Since been putted forward, it has been widely applied in various fields including energy, economy and education. The whitening equation of GM(1,1) model is given by

$$
\frac{dx^{(1)}(t)}{dt} + ax^{(1)}(t) = b \tag{1}
$$

The time response function and the restored values are

$$
\hat{x}^{(1)}(k) = e^{-a(k-1)} \left(x^{(1)}(0) - \frac{b}{a} \right) + \frac{b}{a}
$$
\n(2)

$$
\hat{x}^{(0)}(k) = e^{-a(k-2)} \left(x^{(1)}(0) - \frac{b}{a} \right) \left(e^a - 1 \right) \tag{3}
$$

 (2) The DGM $(1,1)$ model

The discrete grey forecasting model $DGM(1,1)$ is initially provided by Xie and Liu^{[28](#page-26-11),[29](#page-26-12)}, the mathematical expression is

$$
x^{(1)}(k) = ax^{(1)}(k-1) + b \tag{4}
$$

and the recursive function is given by

$$
\hat{x}^{(1)}(k) = a^{k-1}x^{(0)}(1) + \frac{1 - a^{k-1}}{1 - a}b
$$
\n(5)

 (3) The NGM $(1,1,k,c)$ model

The whitening equation of the $NGM(1,1,k,c)$ is

$$
\frac{dx^{(1)}(t)}{dt} + ax^{(1)}(t) = bt + c \tag{6}
$$

The time response function and the restored values are

$$
\hat{x}^{(1)}(k) = e^{-a(k-1)} \left(x^{(1)}(0) - \frac{b}{a} + \frac{b}{a^2} - \frac{c}{a} \right) + \frac{b}{a}k - \frac{b}{a^2} + \frac{c}{a} \tag{7}
$$

$$
\hat{x}^{(0)}(k) = e^{-a(k-2)} \left(x^{(1)}(0) - \frac{b}{a} + \frac{b}{a^2} - \frac{c}{a} \right) \left(e^a - 1 \right) + \frac{b}{a} \tag{8}
$$

 (4) The GVM $(1,1)$ model.

This nonlinear grey model is first appeared in the book of Deng^{[34](#page-26-15)}, which is able to simulate and predict original observations with an inverted U shape or a signal peak feature. The whitening equation of GVM(1,1) model is

$$
\frac{dx^{(1)}(t)}{dt} + ax^{(1)}(t) = b\left(x^{(1)}(t)\right)^2
$$
\n(9)

Further, the time response function and the restored values are

$$
\hat{x}^{(1)}(k) = \frac{1}{\frac{b}{a} + \left(\frac{1}{x^{(0)}(1)} - \frac{b}{a}\right)e^{a(k-1)}}\tag{10}
$$

$$
\hat{\mathbf{x}}^{(0)}(k) = \begin{cases} \mathbf{x}^{(0)}(1), \\ \hat{\mathbf{x}}^{(1)}(k) - \hat{\mathbf{x}}^{(1)}(k-1) \end{cases}
$$
\n(11)

The grey model with quadratic polynomial term

This section discusses the grey model with quadratic polynomial term which is abbreviated as $GMQP(1,1)$ model in the present paper. We frst provide the defnition of the accumulated and inverse accumulated generation operators, and then discuss the new model GMQP(1,1) along with some properties.

Accumulated and inverse accumulated generation operator. Defnition 1 (Accumulated generation operator) First, we assume the original non-negative sequence is $X^{(0)} = (x^{(0)}(1), x^{(0)}(2), \dots, x^{(0)}(n))$, and A is a sequence operator such that $X^{(0)}A = X^{(1)} = (x^{(1)}(1), x^{(1)}(2), \dots, x^{(1)}(n))$, where the relationship is

given by $x^{(1)}(k) = \sum_{k=1}^{k} x^{(0)}(i)$, $k = 1, 2, \dots, n$. The operator A is named as the first-order accumulated generation operator (1-AGO) of original sequence $X^{(0)}$.
It follows from definition 1 that $X^{(m)} = X^{(0)}A^m = (x^{(m)}(1), x^{(m)}(2), \dots, x^{(m)}(n)), m = 1, 2, \dots$ where

 $x^{(m)}(k) = \sum_{k=1}^{k}$ $x^{(m-1)}(i), k = 1, 2, \cdots, n.$

Definition 2 (Inverse accumulated generation operator). The inverse accumulated generation operator is defined as $X^{(-m)} = X^{(0)}D^m = (x^{(-m)}(1), x^{(-m)}(2), \dots, x^{(-m)}(n)), m = 1, 2, \dots$, where $x^{(-m)}(k) = x^{(m-1)}(k) - x^{(m-1)}(k-1), k = 2, \dots$,

It follows from the defnition 1 and defnition 2 that the inverse accumulated generation operator is the inverse operation of the accumulated generation operator.

The grey quadratic polynomial model. Definition 3 Assume $X^{(0)}$ and $X^{(1)}$ are stated in definition 1, then the whitening diferential equation of the grey model with quadratic polynomial term is defned as.

$$
\frac{dx^{(1)}(t)}{dt} + ax^{(1)}(t) = bt^2 + ct + d \tag{12}
$$

where *a* is the development coefficient, and $bt^2 + ct + d$ is the grey action quantity.

Obviously, when system parameter $b = 0$ in Eq. ([12](#page-3-1)), the GMQP(1,1) model degenerates to the NGM(1,1,*k*,*c*) model.

When the parameters $b = 0$ and $c = 0$ in Eq. ([12\)](#page-3-1), the GMQP(1,1) model reduces to the classical GM(1,1) model.

Theorem 1 *The basic form of the GMQP*(1,1) *model is represented by.*

$$
x^{(0)}(k) + az^{(1)}(k) = (k^2 - k + 1/3)b + (k - 1/2)c + d
$$
\n(13)

where $z^{(1)}(k) = 0.5 \times \big(x^{(1)}(k-1) + x^{(1)}(k)\big), k = 2, 3, \cdots$, n is called the mean sequence or background values.

Proof The whitening equation is integral on interval $[k-1, k]$,

$$
\int_{k-1}^{k} dx^{(1)}(t) + \int_{k-1}^{k} ax^{(1)}(t)dt = \int_{k-1}^{k} bt^2 dt + \int_{k-1}^{k} c t dt + \int_{k-1}^{k} d dt
$$
\n(14)

It yields that

 $\sum_{i=1}$

$$
x^{(0)}(k) + a \int_{k-1}^{k} x^{(1)}(t)dt = b \frac{k^3 - (k-1)^3}{3} + c \frac{k^2 - (k-1)^2}{2} + d \tag{15}
$$

With the trapezoid formula $\int_{k-1}^{k} x^{(1)}(t)dt = \frac{x^{(1)}(k-1)+x^{(1)}(k)}{2} = z^{(1)}(k)$, and some mathematical calculations, we have

$$
x^{(0)}(k) + az^{(1)}(k) = (k^2 - k + 1/3)b + (k - 1/2)c + d
$$
\n(16)

this completes the proof.

Theorem 2 Let raw data sequence $X^{(0)} = (x^{(0)}(1), x^{(0)}(2), \dots, x^{(0)}(n))$ be the non-negative sequence, $X^{(1)} = (x^{(1)}(1), x^{(1)}(2), \dots, x^{(1)}(n))$ is the 1-AGO sequence of $X^{(0)}$, and the background value is $z^{(1)}(k)$. The c olumn parameter $(a, b, c, d)^T$ of the $GMQP(1,1)$ model is presented by the following relationship.

$$
(a, b, c, d)^{T} = \left(B^{T}B\right)^{-1}B^{T}Y
$$
\n
$$
(17)
$$

where

$$
B = \begin{pmatrix} -z^{(1)}(2) & \frac{7}{3} & \frac{3}{2} & 1 \\ -z^{(1)}(3) & \frac{19}{3} & \frac{5}{2} & 1 \\ \vdots & \vdots & \vdots & \vdots \\ -z^{(1)}(n) & n^2 - n + \frac{1}{3} & n - \frac{1}{2} & 1 \end{pmatrix}, Y = \begin{pmatrix} x^{(0)}(2) \\ x^{(0)}(3) \\ \vdots \\ x^{(0)}(n) \end{pmatrix}
$$

Proof Employing the mathematical induction considering $k = 2,3,...,n$ into Theorem [1](#page-3-2), we obtain that.

$$
\begin{cases}\n-az^{(1)}(2) + \frac{7}{3}b + \frac{3}{2}c + d = x^{(0)}(2), \\
-a z^{(1)}(3) + \frac{19}{3}b + \frac{5}{2}c + d = x^{(0)}(3), \\
\vdots \\
-a z^{(1)}(n) + (n^2 - n + \frac{1}{3})b + (n - \frac{1}{2})c + d = x^{(0)}(n)\n\end{cases}
$$

Converting the above equation system into the matrix form, we can get

$$
\begin{pmatrix} -z^{(1)}(2) & \frac{7}{3} & \frac{3}{2} & 1 \\ -z^{(1)}(3) & \frac{19}{3} & \frac{2}{2} & 1 \\ \vdots & \vdots & \vdots & \vdots \\ -z^{(1)}(n) & n^2 - n + \frac{1}{3} & n - \frac{1}{2} & 1 \end{pmatrix} \begin{pmatrix} a \\ b \\ d \end{pmatrix} = \begin{pmatrix} x^{(0)}(2) \\ x^{(0)}(3) \\ \vdots \\ x^{(0)}(n) \end{pmatrix}
$$
(18)

It is easily known that $(a, b, c, d)^T = (B^T B)^{-1} B^T Y$.

Theorem 3 *The analytical expression of the time response sequence of the GMQP*(1,1) *model is given by.*

$$
\hat{x}^{(1)}(k) = e^{-a(k-1)} \left(x^{(1)}(0) - \frac{b}{a} + \frac{2b}{a^2} - \frac{2b}{a^3} - \frac{c}{a} + \frac{c}{a^2} - \frac{d}{a} \right) + \frac{b}{a}k^2 - \left(\frac{2b}{a^2} - \frac{c}{a} \right)k + \frac{2b}{a^3} - \frac{c}{a^2} + \frac{d}{a}
$$
\n(19)

and the restored values $\hat{x}^{(0)}(k)$ can be derived by utilizing the 1-IAGO, that is

$$
\hat{x}^{(0)}(k) = e^{-a(k-2)} \left(x^{(1)}(0) - \frac{b}{a} + \frac{2b}{a^2} - \frac{2b}{a^3} - \frac{c}{a} + \frac{c}{a^2} - \frac{d}{a} \right) \left(e^a - 1 \right) + \frac{2b}{a}k - \frac{b}{a} - \frac{2b}{a^2} + \frac{c}{a}
$$
\n(20)

Proof It follows from the theory of the ordinary differential equation that the general solution of the whitening equation is

$$
x^{(1)}(t) = x^{(1)}(0)e^{-\int_1^t a dt} + \int_1^t (bs^2 + cs + d)e^{\int_t^s a dt} ds
$$

= $x^{(1)}(0)e^{-a(t-1)} + e^{-at} \left(b \int_1^t s^2 e^{as} ds + c \int_1^t s e^{as} ds + d \int_1^t e^{as} ds\right)$ (21)

\n No t in g\n th a t\n
$$
\int_{1}^{t} e^{as} \, ds = \frac{1}{a} \left(e^{at} - e^{a} \right), \int_{1}^{t} s e^{as} \, ds = e^{at} \left(\frac{t}{a} - \frac{1}{a^2} \right) - e^{a} \left(\frac{1}{a} - \frac{1}{a^2} \right)
$$
\n an d\n $\int_{1}^{t} s^{2} e^{as} \, ds = e^{at} \left(\frac{t^{2}}{a} - \frac{2t}{a^{2}} + \frac{2}{a^{3}} \right) - e^{a} \left(\frac{1}{a} - \frac{2}{a^{2}} + \frac{2}{a^{3}} \right)$ \n we can obtain\n

$$
x^{(1)}(t) = e^{-a(t-1)} \left(x^{(1)}(0) - \frac{b}{a} + \frac{2b}{a^2} - \frac{2b}{a^3} - \frac{c}{a} + \frac{c}{a^2} - \frac{d}{a} \right)
$$

+
$$
\frac{b}{a}t^2 - \left(\frac{2b}{a^2} - \frac{c}{a} \right)t + \frac{2b}{a^3} - \frac{c}{a^2} + \frac{d}{a}
$$
 (22)

Finally, we can discrete the expression of $x^{(1)}(t)$ to get the time response function, and the restored values $\hat{x}^{(0)}(k)$ of the GMQP(1,1) model.

Error checking method. The performance of model should include two aspects: the simulation performance and the ftting performance.

Assume a raw sequence $X^{(0)} = (x^{(0)}(1), x^{(0)}(2), \cdots, x^{(0)}(m), x^{(0)}(m+1), \ldots, x^{(0)}(n))$ where a subsequence composed of the first *m* entries of raw sequence $\hat{X}^{(0)}$ is applied to develop the newly proposed model, and simulation sequence is $\hat{X}^{(0)}_S = (\hat{x}^{(0)}(1), \hat{x}^{(0)}(2), \dots, \hat{x}^{(0)}(m))$. We utilize the grey forecasting

 ε_F , which are given as follows

$$
\varepsilon_S = (\varepsilon_S(1), \varepsilon_S(2), \cdots, \varepsilon_S(m)), \varepsilon_F = (\varepsilon_F(m+1), \varepsilon_F(m+2), \cdots, \varepsilon_F(n))
$$

where $\varepsilon_S(u) = |x^{(0)}(u) - \hat{x}^{(0)}(u)|$, $u = 1, 2, ..., m$ and $\varepsilon_F(u) = |x^{(0)}(u) - \hat{x}^{(0)}(u)|$, $u = m + 1, m + 2, ..., n$.
Here the shealute personates arror (ADE) the shealute arror (MAE) the mean squares arror (MSE) the mean

Here the absolute percentage error (APE), the absolute error (MAE), the mean squares error (MSE), the mean absolute percentage error (MAPE), the root mean square percentage error (RMSPE), the index of agreement (IA) and the correlation coefficient (R) are provided below.

The absolute percentage error

$$
APE(k) = \frac{\varepsilon_{S/F}(k)}{\chi^{(0)}(k)} \times 100\%, k = 1, 2, ..., n
$$
\n(23)

• The absolute error (MAE)

$$
MAE = \frac{1}{r - l + 1} \sum_{k=l}^{r} \varepsilon_{S/F}(k) \times 100\%
$$
\n(24)

where $l = 1, r = m$ is the mean absolute simulation percentage error MAE_{sim} , $l = m + 1, r = n$ is the mean absolute fitting percentage error MAE_{ft}, $l = 1$, $r = n$ is the total mean absolute percentage error MAE_{all}.

The mean squares error (MSE)

$$
MSE = \frac{1}{r - l + 1} \sum_{k=l}^{r} \left[\varepsilon_{S/F}(k) \right]^2 \times 100\%
$$
\n(25)

The mean absolute percentage error

$$
MAPE = \frac{1}{r - l + 1} \sum_{k=1}^{r} \frac{\varepsilon_{S/F}(k)}{x^{(0)}(k)} \times 100\%
$$
\n(26)

The root mean square percentage error

$$
RMSPE = \sqrt{\frac{1}{r - l + 1} \sum_{k=l}^{r} \left(\frac{\varepsilon_{S/F}(k)}{x(k)}\right)^2} \times 100\%
$$
 (27)

The index of agreement (IA)

$$
IA = 1 - \frac{\sum_{k=l}^{r} \left[\varepsilon_{S/F}(k) \right]^2}{\sum_{k=l}^{r} \left[\left| \hat{\chi}^{(0)}(k) - \overline{x} \right| + \left| \chi^{(0)}(k) - \overline{x} \right| \right]^2}
$$
(28)

where \bar{x} is the mean value of original sequence.

The correlation coefficient (R)

$$
R = \frac{\text{cov}\left(\hat{X}^{(0)}, X^{(0)}\right)}{\sqrt{\text{var}\left(\hat{X}^{(0)}\right)}\sqrt{\text{var}(X^{(0)})}}
$$
\n(29)

Moreover, the flowchart of the GMQP([1](#page-6-0),1) model is listed in the following Fig. 1.

Validation of theGMQP(1,1) model

To validation of the feasibility of the new model, this section gives two numerical example where datasets are collected from published papers.

Example 1 In this example, data are all collected from Table [2](#page-6-1) in Ref³⁵, where the total energy consumption in China (unit: 10000tce). These data are used to build the $GM(1,1)$ model, the $DGM(1,1)$ model, the NGM $(1,1)$,k,c) model, the GVM $(1,1)$ model and the GMQP $(1,1)$ model. The numerical results of these grey forecasting models are displayed in the following Tables [2](#page-6-1), [3](#page-7-1) and [4.](#page-7-2)

Figure 1. The flowchart of the GMQP(1,1) model.

Table 2. Te numerical results of the energy consumption of China (unit: 10,000 tce).

We can from Tables [2,](#page-6-1) [3](#page-7-1), and [4](#page-7-2) that the new model has better performance measures than other grey forecasting models in the energy consumption of China, which show that the new structure of GMQP(1,1) model can improve the precision of grey model.

Example 2 In this example, the raw data of the electricity consumption of China are collected from Table [2](#page-6-1) in Ref[.36,](#page-26-20) where the twelve data are all applied to build diferent kinds of grey models. Similarly, the computational results and evaluation measures are listed in the following Tables [5](#page-7-3), [6,](#page-8-0) and [7.](#page-8-1)

It is shown that the GM $(1,1)$ model, the DGM $(1,1)$ model, the NGM $(1,1,k,c)$ model and the GMQP $(1,1)$ model successfully catch the trend of the electricity consumption of China. Moreover, the new model has the best performance measures, while the GVM(1,1) model has the worst performance measures.

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Table 3. The APEs of these forecasting models in the energy consumption of China.

Table 4. The evaluation measures of these models in the energy consumption of China.

Table 5. The results of the electricity of China by different grey forecasting models.

It follows from example 1 and example 2 that the new grey model has best performance measures, which shows the new grey models with a more fexible structure can be a good way of improving the accuracy of model.

Applications in the COVID‑19 of China

In this section, we will use diferent grey forecasting models and the polynomial regression to study the confrmed cases, the death cases and the recovered cases from COVID-19 in China, which are the classical continuous grey model GM(1,1), the discrete grey model DGM(1,1), the non-homogeneous grey model NGM(1,1,*k*,*c*), the nonlinear grey Verhulst model GVM(1,1), the polynomial regression (PR) and the grey model with quadratic polynomial term GMQP(1,1). Moreover, the structure of the applications in the COVID-19 of China is shown in Fig. [2](#page-8-2).

year	GM(1,1)	DGM(1,1)	NGM(1,1,k,c)	GVM(1,1)	GMQP(1,1)
2002	0.0000	0.0000	0.0000	0.0000	0.0000
2003	10.6137	10.7024	6.5515	69.9418	0.0911
2004	5.5458	5.6381	5.3851	65.3124	0.4262
2005	2.3524	2.4494	4.3176	59.6119	0.9665
2006	1.7347	1.6344	5.4902	53.8885	0.7520
2007	5.5685	5.4652	7.5657	47.9606	3.3239
2008	2.4531	2.3392	3.6792	37.8830	0.3976
2009	1.0169	1.1422	0.1190	27.1787	3.8088
2010	1.5055	1.3761	3.0897	21.6449	0.4775
2011	3.1744	3.0401	5.7041	17.5821	2.4300
2012	0.8272	0.9745	3.2587	11.4411	0.0643
2013	3.3808	3.5393	2.6702	10.0763	0.4124

Table 6. The APEs of these grey models in the electricity consumption in China.

Figure 2. The structure of the application in the COVID-19 of China.

Figure 3. The plots of the confirmed cases from COVID-19 of China.

Table 8. The number of the confirmed cases from COVID-19 of China.

The confirmed cases from COVID-19 of China. In this subsection, we apply forecasting models to study the confirmed cases from COVID-19 of China. The raw data, starting 2020-01-21 to 2020-02-06, are collected from the website: [http://www.nhc.gov.cn,](http://www.nhc.gov.cn) and displayed in the following Table [8](#page-9-0) and Fig. [3.](#page-9-1)

With these raw data, we can deduce the mathematical expressions of diferent grey model. Here we take the GMQP(1,1) model as an example to details show the modelling procedures.

Step 1 pre-process the raw data.

It follows from Table [8](#page-9-0) that the original sequence is $X^{(0)} = (291, 440, 571, 830, 1287, 1975, 2744, 4515, 5974,$ 7711, 9692, 11,791, 14,380, 17,205, 20,438, 24,324, 28,018). Te frst 14 data are used to develop the GMQP(1,1) model of the confrmed cases of COVID-19, and the remaining three data are used to test. From the defnition 1, the first-order accumulating generated sequence is $X^{(1)} = (291, 731, 1302, 2132, 3419, 5394, 8138, 12,653, 18,627,$ 26,338, 36,030, 47,821, 62,201, 79,406, 99,844, 124,168, 52,186).

Step 2 System parameter estimation.

From theorem 2, and the values of $X^{(0)}$ and $X^{(1)}$, we calculate the matrix B and the matrix Y which are given by

With the help of the Eq. [\(17\)](#page-4-0), we can obtain the values of system parameters as

$$
\left\{\begin{array}{c} a = 0.0116, \\ b = 132.7801, \\ c = -536.6728, \\ d = 1008.4680. \end{array}\right.
$$

Step 3 Model construction.

Substituting the system parameters *a*, *b*, *c* and *d* into Eq. ([12\)](#page-3-1), we obtain that. $\frac{dx^{(1)}(t)}{dt} + 0.0116x^{(1)}(t) - 132.7801t^2 - 536.6728t + 1008.4680$

 $\frac{dI(t)}{dt} + 0.0116x^{(1)}(t) = 132.7801t^2 - 536.6728t + 1008.4680.$

And then we can obtain the expressions of Eq. (20) (20) (20) and Eq. (21) , respectively. Therefore, we can compute the simulation and prediction values of the confrmed cases of COVID-19 of China. By a similar argument to the other grey forecasting models which are provided below.

The $GM(1,1)$ model.

We can obtain system parameters $a = -0.2441$, $b = 1116.9454$ of the GM(1,1) model by the least squares method. And then the mathematical expression is given by.

 $\frac{d(t)}{dt}$ – 0.2441 $x^{(1)}(t)$ = 1116.9454.

The $DGM(1,1)$ model.

We directly deduce system parameters a = 0.2441, b = 1116.9454 of the DGM(1,1) model. And the mathematical formula is given by.

$$
x^{(1)}(k) = 0.2441^{k-1}x^{(0)}(1) + \frac{1 - 0.2441^{k-1}}{0.7559} \times 1116.9454.
$$

The $NGM(1,1,k,c)$ model.

We can derive system parameters $a = -0.1719$, $b = 463.7776$ and $c = -1124.6229$ of the NGM(1,1,k,c) model.

The whitening equation is built, there is.
 $\frac{dx^{(1)}(t)}{dt} - 0.1719x^{(1)}(t) = 463.7776t - 1124.6229.$

The $GVM(1,1)$ model.

We deduce system parameters $a = -0.3820$ and $b = -2.0528E-6$ of the GVM $(1,1)$ model with the least squares estimation method. Further, the whitening equation is put forward, there is.

 $\frac{dV(t)}{dt} - 0.3820x^{(1)}(t) = -0.000002(x^{(1)}(t))^2.$

The polynomial regression model.

We compute the values of parameters of the polynomial regression model where *a*=120.9911, *b*=−535.4727 and $c = 916.0495$, respectively. And then the mathematical expression is.

 $x^{(0)}(t) = 120.9911t^2 - 535.4727t + 916.0495.$

Once the specifc grey forecasting models are established, the computational results and error metrics can be easily obtained which are displayed in the following Tables [9,](#page-14-0) [10](#page-14-1), [11](#page-15-0) and Fig. [4.](#page-11-0) The MAE_{sim} , MAE_{fit} , and MAE_{all} of the GMQP(1,1) model are 93.9043%, 871.5592% and 239.7146%, the MSE_{sim} MSE_{fit}, and MSE_{all} of the GMQP(1,1) model are 14,610.4784%, 924,128.4138% and 185,145.0913%, the MAPE_{sim}, MAPE_{fit}, and MAPE_{all} of the GMQP(1,1) model are 4.8534%, 3.4346%, 4.5873%, the RMS $E_{\rm sim}$, RMS $E_{\rm fit}$, and RMS $E_{\rm all}$ of the GMQP(1,1) model are 7.1669%, 3.6842%, 6.6542%, the IA_{sim}, IA_{fit}, and IA_{all} of the GMQP(1,1) model are 0.9999%, 0.9990% and 0.9994%, the R_{sim} , R_{fit} , and R_{all} of the GMQP(1,1) model are 0.9998%, 0.9994% and 0.9996%, respectively.

It follows from these results that the $GM(1,1)$ model and the $DGM(1,1)$ model has worst performance measures, the NGM(1,1,*k*,*c*) model and the GVM(1,1) model have worse performance measures, and the new model GMQP(1,1) have good performance measures. This also demonstrates that the grey model with quadratic polynomial term is more powerful to deal with the data of the confrmed cases of COVID-19 of China.

The death cases from COVID‑19 of China. Tis subsection discusses the death cases from COVID-19 of China by employing grey models. The raw data are collected from the website: [http://www.nhc.gov.cn,](http://www.nhc.gov.cn) and displayed in the following Tables [12](#page-19-0), [13,](#page-19-1) [14](#page-20-0) and Fig. [5.](#page-16-0) The first 14 observations are used to build models, and the left three observation is used to test. Similar argument is applied to derive system parameters of each model, and then the mathematical expressions are given below.

The $GM(1,1)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.2074x^{(1)}(t) = 37.1439
$$

The $DGM(1,1)$ model.

$$
x^{(1)}(k) = 0.2074^{k-1}x^{(0)}(1) + \frac{1 - 0.2074^{k-1}}{0.7926} \times 37.1439
$$

The $NGM(1,1,k,c)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.1387x^{(1)}(t) = 12.0569t - 15.8702
$$

The $GVM(1,1)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.3367x^{(1)}(t) = -0.000065\left(x^{(1)}(t)\right)^2
$$

Figure 4. The plots of the confirmed cases of COVID-19 of China.

Table 10. The APEs of different model in the confirmed cases of COVID-19 of China, (%).

The polynomial regression model.

$$
x^{(0)}(t) = 2.298811t^2 - 3.0309t + 13.0714
$$

The $GMQP(1,1)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.0369x^{(1)}(t) = 9.2777t^2 + 1.7801t + 1.7402
$$

When the specifc mathematical expression of each model is derived, the computational results and error metrics are straightforward obtained, which are provided in the following Tables [12,](#page-19-0) [13,](#page-19-1) [14](#page-20-0) and Fig. [5.](#page-16-0) The $MAE_{sim} MAE_{fit}$ and MAE_{all} of the GMQP(1,1) model are 1.5865%, 3.5972% and 1.9635%, the $MSE_{sim} MSE_{fit}$ and MSE_{all} of the GMQP(1,1) model are 3.2758%, 23.8122% and 7.1264%, the $MAPE_{sim}$, MAPE_{fit}, and MAPE_{all} of the GMQP(1,1) model are 1.6496%, 0.5921%, 1.4513%, the RMSE $_{\rm sim}$, RMSE $_{\rm fit}$, and RMSE $_{\rm all}$ of the GMQP(1,1) model are 2.0616%, 0.7745%, 1.8883%, the IA_{sim}, IA_{fit}, and IA_{all} of the GMQP(1,1) model are 1.0000%, 0.9999% and 1.0000%, the R_{sim} , R_{fit} , and R_{all} of the GMQP(1,1) model are 0.9999%, 0.9997% and 0.9999%, respectively.

Table 11. The evaluation measures of different forecasting models in the confirmed cases.

Similarly, the $GM(1,1)$ model, the $DGM(1,1)$ model and the $GVM(1,1)$ model have the worst computational results, the NGM $(1,1,k,c)$ model has the worse computational results, and the GMQP $(1,1)$ has the most computational results. It indicates that the new model has higher precision than the other forecasting models in the death cases from COVID-19 of China.

The recovered cases from COVID-19 in China. This subsection discusses the recovered cases from COVID-19 of China by employing grey models. The raw data are collected from the website: [http://www.nhc.](http://www.nhc.gov.cn) [gov.cn,](http://www.nhc.gov.cn) and displayed in the following Tables [15](#page-24-0), [16](#page-24-1), [17](#page-25-11) and Fig. [6.](#page-21-0) The first 14 observations are used to build models, and the left three observation is used to test. Similar argument is applied to derive system parameters of each model, and then the mathematical expressions are given below.

The $GM(1,1)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.3091x^{(1)}(t) = 11.9339
$$

The $DGM(1,1)$ model.

$$
x^{(1)}(k) = 0.3091^{k-1}x^{(0)}(1) + \frac{1 - 0.3091^{k-1}}{0.6909} \times 11.9339
$$

The $NGM(1,1,k,c)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.3067x^{(1)}(t) = 0.7831t + 8.1075
$$

The $GVM(1,1)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.3359x^{(1)}(t) = -0.000007(x^{(1)}(t))^{2}
$$

The polynomial regression model.

$$
x^{(0)}(t) = 10.6655t^2 - 85.3389t + 177.7198
$$

The $GMQP(1,1)$ model.

$$
\frac{dx^{(1)}(t)}{dt} - 0.2501x^{(1)}(t) = 54.5571t^2 - 19.9387t + 2.3094
$$

When the specifc mathematical expression of each model is derived, the computational results and error metrics are straightforward obtained, which are provided in the following Tables [15](#page-24-0), [16](#page-24-1), [17](#page-25-11) and Fig. [6,](#page-21-0) respectively. The MAE_{sim}, MAE_{fit}, and MAE_{all} of the GMQP(1,1) model are 7.6964%, 17.8839% and 9.6065%, the MSEsim, MSEft, and MSEall of the GMQP(1,1) model are 150.7354%, 474.7209% and 211.4826%, the MAPEsim,

Figure 5. The plots of the death cases of COVID-19 of China.

Table 13. The Errors of different model in the death cases of COVID-19 of China, (%).

 MAPE_{fit} and MAPE_{all} of the GMQP(1,1) model are 4.6767%, 0.9435%, 3.9767%, the RMSE_{sim} RMSE_{fit}, and RMSE_{all} of the GMQP(1,1) model are 7.1431%, 1.1304%, 6.4573%, the IA_{sim}, IA_{fit}, and IA_{all} of the GMQP(1,1) model are 0.9998%, 0.9999% and 0.9999%, the R_{sim} , R_{fit} , and R_{all} of the GMQP(1,1) model are 0.9996%, 0.9996% and 0.9999%, respectively.

Similarly, the GVM(1,1) model has the worst computational results, the GM(1,1) model, the DGM(1,1) model and the NGM(1,1,*k*,*c*) model have the better computational results, and the GMQP(1,1) has the most best computational results. It indicates that the new model has higher precision than the other forecasting models in the recovered cases from COVID-19 of China.

Table 14. The evaluation measures of different forecasting models in the death cases.

Figure 6. The plots of the recovered cases of COVID-19 of China.

Table 15. The computational results of the recovered cases of COVID-19 of China.

Table 16. The Errors of different model in the recovered cases of COVID-19 of China, (%).

Table 17. The evaluation measures of different forecasting models in the recovered cases.

Conclusion

Tis paper studied the grey forecasting model with quadratic polynomial term, and applied it to the confrmed cases, the death cases and the recovered cases from COVID-19 of China at the early stage. By using the grey technique and some mathematical derivations, the grey basic form, the time response function and the restored values are all systematically analyzed. With raw datasets of COVID-19 in China, we compute the simulation and ftting values by diferent forecasting models. It follows from the computational results, we can observed the new model has higher precision than other models. Tis also implied that our generalized model has applicable value in the COVID-19.

In this work, the GMQP(1,1) model is an univariate grey forecasting model and some factors such as social isolation and lockdown, vaccines, active treatment cannot be considered. In addition, the integer order accumulating generated operation is used to preprocess the raw data. It is generally known that the fractional order accumulating generated operation or the new information priority to preprocess raw data can get more accurate results. Tus in the future, we will continuous consider such a model with other accumulating generated operator including new information priority, fractional accumulating generated operator. Further, other multivariate grey forecasting models can be constructed to study the COVID-19.

Data availability

The data used to support the findings of this study are available from the corresponding author upon request.

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Author contributions

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Additional information

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