

# Activation of the PI3K/mTOR/AKT Pathway and Survival in Solid Tumors: Systematic Review and Meta-Analysis



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#### **Abstract**

**Background:** Aberrations in the phosphatidylinositol 3-kinase (PI3K)/mammalian target of rapamycin (mTOR)/AKT pathway are common in solid tumors. Numerous drugs have been developed to target different components of this pathway. However the prognostic value of these aberrations is unclear.

**Methods:** PubMed was searched for studies evaluating the association between activation of the PI3K/mTOR/AKT pathway (defined as PI3K mutation [PIK3CA], lack of phosphatase and tensin homolog [PTEN] expression by immunohistochemistry or western-blot or increased expression/activation of downstream components of the pathway by immunohistochemistry) with overall survival (OS) in solid tumors. Published data were extracted and computed into odds ratios (OR) for death at 5 years. Data were pooled using the Mantel-Haenszel random-effect model.

**Results:** Analysis included 17 studies. Activation of the PI3K/mTOR/AKT pathway was associated with significantly worse 5-year survival (OR:2.12, 95% confidence intervals 1.42–3.16, p<0.001). Loss of PTEN expression and increased expression/activation of downstream components were associated with worse survival. No association between *PIK3CA* mutations and survival was observed. Differences between methods for assessing activation of the PI3K/mTOR/AKT pathway were statistically significant (p = 0.04). There was no difference in the effect of up-regulation of the pathway on survival between different cancer sites (p = 0.13).

**Conclusion:** Activation of the PI3K/AKT/mTOR pathway, especially if measured by loss of PTEN expression or increased expression/activation of downstream components is associated with poor survival. *PIK3CA* mutational status is not associated with adverse outcome, challenging its value as a biomarker of patient outcome or as a stratification factor for patients treated with agents acting on the PI3K/AKT/mTOR pathway.

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### Introduction

Historically, the development of anti-neoplastic drugs has not focused on the targeting of specific molecular aberrations [1]. However, more recently, some targeted drugs have been developed against known oncogenes in selected patient populations. Examples of this are trastuzumab for HER2 over-expressing or amplified breast and gastric cancer [2,3], imatinib for chronic myeloid leukemia (CML) [4], vemurafenib for metastatic melanoma with (V600E) *B-RAF* mutations and crizotinib for non-small cell lung cancer patients with *anaplastic lymphoma kinase (ALK)* rearrangements [5,6]. In these examples, development of the drug was carried out in parallel with the identification of a biomarker

that permitted the selection of patients with a higher chance of response.

The discovery and validation of biomarkers has become an integral part of successful drug development, with few drugs under development lacking associated biomarker programs. Such biomarkers are typically biological surrogates that can guide in the identification of patients with a higher probability of response. A biomarker can be a known oncogenic alteration like a gene mutation, an overexpressed protein or a protein that reflects the activation status of a signaling pathway [7]. Other types of biomarkers may help monitoring response to treatment or provide information about prognosis and outcome [7]. In this latter case, markers associated with worse outcome can be informative of a

more aggressive phenotype potentially guiding the selection of more intensive treatment [8].

The phosphatidylinositol 3-kinase (PI3K)/mammalian target of rapamycin (mTOR)/AKT pathway has been linked to the pathophysiology of several neoplastic diseases [9,10]. Activation of this pathway can be a result of mutations in the PI3K or AKT genes, loss of phosphatase and tensin homolog (PTEN), or constitutive activation of upstream regulatory pathways such as receptor tyrosine kinases (Figure 1) [9,10]. Given the prooncogenic role of the PI3K/AKT/mTOR pathway in cancer, it has become a target of interest for drug development. Inhibition of mTOR with rapalogs has shown clinical efficacy against some solid tumors, including everolimus for angiomyolipoma associated with tuberous sclerosis, metastatic renal cell carcinoma, breast cancer, or pancreatic neuroendocrine carcinomas and temsirolimus for renal cell carcinoma [11-14]. Many other agents in clinical development are designed to inhibit the PI3K/AKT/ mTOR pathway at different levels and include pure PI3K inhibitors, dual PI3K-mTOR inhibitors, AKT inhibitors or mTOR inhibitors [15,16]. Despite the approval of some drugs and the clinical development of other agents targeting the PI3K/ AKT/mTOR pathway, little is known about which patients are more likely to benefit from targeting this pathway. Similarly, the relationship between alterations of this pathway and a more aggressive phenotype is unclear.

Here we report a systematic review and meta-analysis of studies assessing the association of activation of the PI3K/AKT/mTOR pathway and clinical outcome in solid tumors.

#### **Methods**

#### Identification and selection of studies

This analysis was conducted in line with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines [17]. Medline (Host: PubMed) was searched for studies published between January 2002 and December 2012, which evaluated the expression of components of the PI3K/AKT/mTOR pathway and survival in solid tumors. We used the MeSH terms "PIK3CA and cancer" and "PIK3CA-mTOR and cancer" and "PTEN loss and cancer" adding the limitation of human

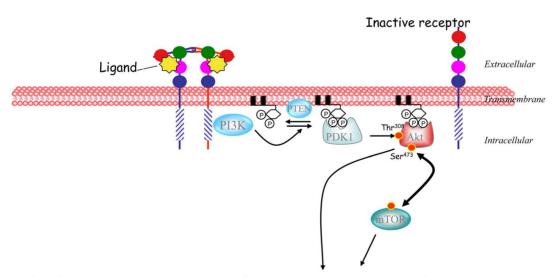
studies. In addition we used the entry "PIK3CA or mTOR" and the name of each specific solid tumor (e.g. PIK3CA or mTOR and breast cancer) to recognize additional studies. The search was restricted to publications in English. Additional studies were identified through reviews of citation lists (Figure S1 and figure S2). Eligibility criteria were the availability of survival data for at least 5 years in relation to three types of pathway aberrations; mutations in the PI3K gene in any domain as measured by polymerase chain reaction (PCR) or other genomic techniques, the lack of PTEN expression by immunohistochemistry (IHC) or western-blot, or the evaluation of downstream components of the pathway like phospho-S6, mTOR, phospho-mTOR, AKT or phospho-4EBP1 by IHC. Studies reporting outcome of patients who had received a specific targeted agent against the PI3K/ AKT/mTOR pathway or related pathways were excluded as were studies reporting only disease free survival or cancer-specific survival.

#### Data Extraction

Two authors (VSC and AO) extracted information independently using pre-prepared data abstraction forms. The following details were extracted: tumor type, number of patients, duration of follow-up, mechanism for activation of PI3K/AKT/mTOR pathway (PI3K mutation, activation of mTOR/AKT or PTEN loss), methods used for the evaluation of pathway activation, and cut-off used for defining pathway activation. The outcome of interest was five-year overall survival (OS). In all cases, survival data were estimated from Kaplan-Meier curves independently by two authors (FV and MA).

#### **Data Synthesis**

The effect of any aberration in the pathway on overall survival was analyzed initially. Subsequently subgroup analyses were conducted to explore the relationship between survival and different components of the PI3K/AKT/mTOR pathway that were evaluated in each study. Group one was termed "mTOR or AKT activation" and included studies that evaluated downstream components of the pathway including phosphorylated proteins such as mTOR, AKT, S6, and others (see table 1). Group two was termed "PIK3CA mutations" and included those studies that



Proliferation, angiogenesis, survival, motility, drug resistance, dissemination

Figure 1. Schematic representation of the PI3K/mTOR pathway. doi:10.1371/journal.pone.0095219.g001

Table 1. Characteristics of included studies.

| Article              | Group Subtype          | Tumor Subtype              | Follow-up time                           |
|----------------------|------------------------|----------------------------|--|
| Barbareschi, M [21]  | PIK3CA mutations       | Breast Cancer              | Not reported                             |
| Dong, Y [26]         | PIK3CA mutations       | Gynecological cancer       | Not reported                             |
| Kalinsky, K [27]     | PIK3CA mutations       | Breast Cancer              | Median 12.8 years (range not reported)   |
| Stemke-Hale, K [28]  | PIK3CA mutations       | Breast Cancer              | Not reported                             |
| <b>Li, SY</b> [29]   | PIK3CA mutations       | Breast Cancer              | Median 4.2 years (range 0.2–6.5 years)   |
| Lai, Y [30]          | PIK3CA mutations       | Breast Cancer              | Median, 6.4 years (range 0.1–9.3 years)  |
| Kirkegaard, T [31]   | mTOR or AKT activation | Breast Cancer              | Median 6.5 years (range 0.6–18.4 years)  |
| <b>Oh, M</b> [32]    | mTOR or AKT activation | Non-small Cell Lung Cancer | Median 2.9 years (range 0.1–12.7 years)  |
| <b>Xiao, L</b> [33]  | mTOR or AKT activation | Gastrointestinal tumors    | Median 5.6 years (range 0.02–12.2 years) |
| <b>Yu, Z</b> [34]    | mTOR or AKT activation | Head and Neck Cancer       | Mean 3.0 years (range not reported)      |
| <b>Yu, G</b> [35]    | mTOR or AKT activation | Gastrointestinal tumors    | Mean 3.1 years (1.8–6.1 years)           |
| Castellvi, J [24]    | mTOR or AKT activation | Gynecological cancer       | Mean 2.6 years (range 2.0–6.7 years)     |
| Hsu, CP [36]         | PTEN loss              | Gastrointestinal tumors    | Median 4.3 years (range 0.3–6.6 years)   |
| Lotan, LT [37]       | PTEN loss              | Prostate Cancer            | Median 16.0 years (range not reported)   |
| <b>Sawai, H</b> [38] | PTEN loss              | Gastrointestinal tumors    | Median 3.0 years (range not reported)    |
| <b>Sze, KM</b> [39]  | PTEN loss              | Gastrointestinal tumors    | Not reported                             |
| Terakawa, N [40]     | PTEN loss              | Gynecological cancer       | Not reported                             |

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evaluated mutations in the PI3K gene. The third included studies that evaluated loss of PTEN as measured by IHC. A second subgroup analysis included assessment based on the primary cancer site.

#### Statistical analysis

The proportion of patients surviving 5 years was estimated from the Kaplan-Meier curves for both normal (control group) and the presence of the molecular alteration (experimental group). The relative frequency of survival at 5 years between the control and experimental groups was expressed as an odds ratio (OR) and its 95% confidence interval (CI). Data were combined into a metaanalysis using RevMan 5.1 analysis software (Cochrane Collaboration, Copenhagen, Denmark). Estimates of ORs were weighted and pooled using the Mantel-Haenszel method. Cochran's Q (p< 0.10) and the I<sup>2</sup> index (>50%) were used to define inter-study heterogeneity. Due to significant heterogeneity, random effects modeling was used for all analyses. Analyses were conducted for all studies and differences between the subgroups were assessed using methods described by Deeks et al. [18]. All statistical tests were two sided, and statistical significance was defined as p<0.05. No corrections were made for multiple comparisons.

#### Results

#### Description of studies

We identified 17 studies that evaluated activation of the PI3K/mTOR/AKT pathway and survival in solid tumors. These studies comprised a total of 4746 patients with a median sample size of 279 patients. The characteristics of included studies are shown in table 1. Six studies evaluated the expression of the PI3K/AKT/mTOR pathway in breast cancer, five in gastrointestinal tumors, three in gynecological cancers, and one each in prostate, non-small cell lung cancer (NSCLC) and oropharyngeal cancers. Six studies were included in the group called "mTOR or AKT activation", six in the "PIK3CA mutation" group and five in the "PTEN loss"

group. The estimated median follow-up was  $4.3~{\rm years}$  (range =  $2.6~{\rm to}~16~{\rm years}$ ). The prevalence of pathway activation and the methods used for the analyses of molecular alterations of this pathway are shown in Table 2.

# Association of activation of PI3K/AKT/mTOR pathway and survival

Overall, there was an association between the presence of molecular alterations in the PI3K/AKT/mTOR pathway and worse 5-year survival (OR 2.12; 95% CI 1.42–3.16, p<0.001) (Figure 2). There was significant inter-study heterogeneity (Cochran's Q p<0.001,  $I^2 = 84\%$ ).

# Association of pathway activation and survival by tumor type

Studies in gastrointestinal tumors (n = 5) and gynecologic cancers (n = 3) showed a numerically higher association with worse survival (OR 2.51; 95% CI 1.83–3.43 and OR 4.78; 95% CI 1.14–20.1, respectively) compared with studies in breast cancer (n = 6) and other solid tumors (NSCLC, prostate and oropharyngeal, [n = 3]) which showed no association (OR 1.43; 95% CI 0.74–2.79 and OR 1.08; 95% CI 0.44–2.67, respectively). Of interest, in the one study in prostate cancer, there was a large magnitude of effect on survival (OR 7.51, 95% CI 0.98–57.74), but there was no obvious effect seen in studies of NSCLC and orophayngeal cancer (OR 0.73, 95% 0.52–1.03 and 0.85, 95% CI 0.30–2.37, respectively). However, these differences did not meet statistical significance (subgroup difference p = 0.13).

# Association of pathway activation and survival by type of activation

The results of the subgroup analysis based on the specific part of the PI3K/AKT/mTOR pathway are shown in figure 3. For studies assessing PIK3CA gene mutations (n = 6), there was no association with worse 5-years survival (OR: 1.24; 95% CI 0.70–2.20, p = 0.46). In contrast, among studies evaluating activated

 Table 2. Prevalence and analyses of the molecular alterations of the PI3K/mTOR/AKT pathway.

| mutation. 24 (33%) in exen 29 (Rinacida). 21 (47%) in exen 20 (Rinacida). 22 (47%) in exen 20 (Rinacida). 22 (47%) in exen 20 (Rinacida). 23 (27%) in exen 20 (Rinacida). 24 (27%) in exen 20  |                     |  |   |   |  |
|--|---------------------|--|---|---|--|
| mutation. 24 (39%) in exen 20 (Kinesia) exen 9 (Pielkodda) 21 (47%) in exen 20 (Kinesia) exen 9 (Pielkodda) 21 (47%) in exen 20 (Kinesia) exen 10 (Pielkodda) 21 (47%) in exen 20 (Kinesia) exen 10 (Pielkodda) 21 (47%) in exen 20 (Kinesia) exen 10 (Pielkodda) 21 (47%) in exen 20 (Kinesia) exen 10 (Pielkodda) exen 10 (Pielkodda | Article             | Prevalence   | Gene/protein  | Method used   | Cut-off or staining used   |
| Kalinsky, K (27) N: 590; 32.5% PRISCA mutations all cases for the three HS mutations (27) mutation (28) mutations (28) mutatio | Barbareschi, M (21) | mutation. 24 (53%) in<br>exon 9 (Helicoidal); 21   | E545K Exon 20: 20   | flanking intronic sequences and single-<br>strand conformation polymorphism (SSCP)  | Both are included in the Kaplan-maier<br>Exon 9, helical is poor prognosis   |
| Stemke-Hale, K (28) PICSCA mutation 34.5%; HEZP. 227% Basal-like tumors. 34.5%; Eson 9: 16%; Eson 20: 19%; Es | Dong, Y (26)        | N: 94; 29 (30.9%)  | Exon 9: 28; Exon 20: 16   | Procuts were sequenced and detected by  | stp1060R, T3205A. No association with  |
| HERZ: 22.7%; Basal-like tumors. 8.3%  Li, SY (29)  N. 250 (35%); Exon 7: 3%; Exon 9: 16%; Exon 20: 19% Exon 2 | Kalinsky, K (27)    | N: 590; 32.5%  | cases for the three HS  | MassARRAY system. The iPLEX Gold  | Patients with PIK3CA H1047R mutated tumors have significant improvement in overall survival ( $P = 0.03$ ) and breast cancer-specific survival ( $P = 0.004$ )   |
| Exon 9: 16% Exon 20: 19% reported in human cancer occur in exon 7, 9 and 20  Lai, Y (30)  N: 152 (26%) More than half in exon 20  Mutations of PIXSCA reported in exon 4 (codon N345), 1934SN, 1934SN, 1945SN, | Stemke-Hale, K (28) | HER2: 22.7%; Basal-like  |   | approach evaluating single nucleotide   | No difference in kinase domain versus all other (mainly helical domain)  |
| half in exon 20 reported in exon 4 (codon N430, N45K), 7 (Codon C420R), 9 (E542K, E545K, E545G), 246G), 20 (Codon Intl047L, H1047R, H1047Y, H1047Y, H1047Y, H1047R, H1047Y, H  | Li, SY (29)         |  | reported in human cancer occur in exon  | Using PCR and fluorescen t(F)-SSCP  | PIK3CA normal versus mutation (exon 7, 9, 20)  |
| cancers.    pAKT (Thr-308)(cell Signallic Tecnology, beverly, USA); pAKT(Ser-473)(Biosource international Inc, CA,USA); Antibody specificity was checked by western blotting using a standard protocol   N. 574 mTOR   | Lai, Y (30)         | , ,  | reported in exon 4<br>(codon N345I, N345K),<br>7 (Codon C420R), 9<br>(E542K, E545A, E545G,<br>E545G, E545K, Q546E),<br>20 (Codon H1047L,<br>H1047R, H1047Y, | System 9700). PCR products were<br>sequenced using the ABI PRISM BigDye<br>Terminator v3.1 cycle sequencing kit   | independent risk factors for overall   |
| Score 9: 22%, Score 0: 22%, Score 2: 13%, Score 3: 11%, Score 4: 15%, Score 4: 15%, Score 4: 15%, Score 5: 17%, Score 5: 17%, Score 6: 22%.  Xiao, L (33)  412 gastric carcinomas, 47 adenomas, 197 nonneoplastic mucosa. 70.1% adenomas, 61.2% gastric carcinomas.  Yu, Z (34)  P-AKT 18%  P  | Kirkegaard, T (31)  | •  | AKT (pAKTSer-473)   | pAKT (Thr-308)(Cell Signallic Tecnology,<br>beverly, USA); pAKT(Ser-473)(Biosource<br>International Inc, CA,USA); Antibody<br>specificity was checked by western  |  |
| 47 adenomas, 197 non- neoplastic mucosa. mTOR: 66.3% of non- neoplastic mucosa. mTOR: 66.3% of non- neoplastic mucosa. mTOR: 66.3% of non- neoplastic mucosa. mEvaluated: anti-phospho-p70 s6 kinase (pT389, Clone ID; E175, 1175-1, Epitomics, USA; 1:50) followed by exposure to the anti-rabbit Envison-PO(DAKO, USA) gastric carcinomas.  Yu, Z (34)  P-AKT 18%  P-Akt  Primary antibody to p-Akt (ser 473) Cell Signaling Technology (Beverly, MA)  Primary antibody to p-Akt (ser 473) Cell Signaling Technology (Beverly, MA)  PTOR: 50.8%; p-mTOR: MTOR, p-mTOR. (prognostic factor pmTOR)  MTOR (dilution 1:50; clone Y391; Abcam), Evaluated: p-mTOR (Ser 2448; dilution, matched normal tissue, a non expression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be similar to matched normal tissue, overexpress | Oh, M (32)          | Score 0: 22%,<br>Score 2: 13%,<br>Score 3: 11%,<br>Score 4: 15%,<br>Scored 5: 17%,                               | mTor and pAKT   | monoclonal antibody against mTOR (1:100, clone 49F9). AKT: rabbit monoclonal antibody against pAkt (1:50, clone 736E11) Evaluated: Tumor cells were judged as positive for pAkt if membranous, cytoplasmic and/or | immunohistochemical score for intensity of staining and the extent of staining. Intensity, a score of 0 to 3 (corresponding to negative, weak,   |
| Signaling Technology (Beverly, MA)  Cytoplasmic p-Akt. The lowest qua was compared with the rest of the cohort.  Yu, G (35)  m-TOR: 50.8%; p-mTOR: mTOR, p-mTOR. (prognostic factor pmTOR)  MTOR (dilution 1:50; clone Y391; Abcam), Evaluated: p-mTOR (Ser 2448; dilution, 1:100; clone 49F9;CST)  MTOR (dilution 1:50; clone Y391; Abcam), Evaluated: p-mTOR (Ser 2448; dilution, 1:100; clone 49F9;CST)  MTOR (dilution 1:50; clone Y391; Abcam), A semiquantitative scoring system was used. An underexpression wadefined as no staining positivity in tumor tissue being let than matched normal tissue, a nor expression as staining positivity be similar to matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, overexpression as staining positivity being let than matched normal tissue, over the matche | Xiao, L (33)        | 47 adenomas, 197 non-<br>neoplastic mucosa.<br>mTOR: 66.3% of non-<br>neoplastic mucosa,<br>70.1% adenomas,61.2% | m-TOR , pS6   | Y392, 1612-1, Epitomics, USA; 1:250)  Evaluated: anti-phospho-p70 s6 kinase (pT389, Clone ID; E175, 1175-1, Epitomics, USA; 1:50) followed by exposure to the anti-rabbit Envison-PO(DAKO, USA)                   |  |
| 46,5% (prognostic factor Evaluated: p-mTOR (Ser 2448; dilution, defined as no staining or staining positivity in tumor tissue being lethan matched normal tissue, a nor expression as staining positivity be similar to matched normal tissue, overexpression as staining positivity be ove | Yu, Z (34)          | p-AKT 18%  | p-Akt   |   | cytoplasmic p-Akt. The lowest quartile was compared with the rest of the   |
|  | Yu, G (35)          |  | (prognostic factor  | Evaluated: p-mTOR (Ser 2448; dilution,  | positivity in tumor tissue being less<br>than matched normal tissue, a normal<br>expression as staining positivity being<br>similar to matched normal tissue,<br>overexpression as staining positivity |

Table 2. Cont.

| Article           | Prevalence  | Gene/protein | Method used   | Cut-off or staining used  |
|-------------------|---|--------------|---|---|
| Castellvi, J (24) | p-4EBP1 (47.1%)                                       | p-4EBP1      | 4EBP1 Cell signaling Tech   | Scored the percentage of positive cells and intensity of the staining, which was assessed semiquantitatively. Samples that showed any positivity were grouped together for statistical purposes   |
| Hsu, CP (36)      | N: 133 CRC group:<br>89.2% → 53.4%                    | PTEN         | Primary anti-PTEN anti- body (1/200) at room temperature for 2 h                                    | Positive: more than 10%   |
| Lotan, LT (37)    | N: 397 146 PTEN<br>loss (36.8%).                      | PTEN         | Rabbit monoclonal anti-PTEN antibody(clone D4.3,-9188, cell Signaling Technologies                  | Using this system, each spot of tumor tissue was scored as negative positive for PTEN protein by comparing staining in malignant gland with that of adjacent benign gland and/or stroma which provided an internal positive control within each tissue core. Staining was classified as negative if the intensity was markedly decreased or entirely negative |
| Sawai, H (38)     | PTEN strongly expressed in<br>62,9% colorectal cancer | PTEN         | Anti-PTEN antibodies (clone 28116; Santa<br>Cruz Biotechnology, Santa Cruz, CA,USA                  | The intensity of tissue staining was graded semi quantitatively on a 4 point scale (-,+,++,+++). Likewise, the proportion of cells stained was assessed on a 4 point scale (1: 0–15%; 2: 25–50%; 3: 50–85% and 4: 85–100% cell stained). Tissues were classified into strongly staining and weakly staining   |
| Sze, KM (39)      | 47,5% PTEN underexpression                            | PTEN         | Cell signalling biotechnology, Denver;<br>MA  | Western-blot  |
| Terakawa, N (40)  | 103 endometrial cancers,<br>36% negative PTEN         | PTEN         | A mouse monoclonal anti PTEN<br>antibody, PTEN A2B1(Santa Cruz<br>Biotechnology, Santa Cruz CA,USA) | A positive case was defined as one in which all of the tumor cells were stained, a heterogeneous case was defined as one with both staining and non-staining tumor cells and a negative case was defined as one with no staining of any tumor cells   |

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components of mTOR or AKT (n = 6) a significant association with worse outcome was observed (OR: 2.50; 95% CI 1.22–5.14, p=0.01). Similarly, studies assessing PTEN loss by IHC (n = 5) showed a significant association with worse survival (OR 3.50; 95% CI 1.94–6.31, p<0.001). This difference between subgroups was significant (p = 0.04).

### Discussion

The identification of biomarkers that can inform the clinical behavior of a given tumor is important for patient education and treatment planning. In this study we explored the prognostic role of different components of the PI3K/AKT/mTOR pathway with the intention to identify tumors that rely on this molecular alteration. Such information may help to guide the clinical development of therapeutic strategies against this pathway.

Overall, there was evidence of an association between alterations in the PI3K/AKT/mTOR pathway and poor survival. When analyzing different components of the pathway we observed that those studies evaluating loss of PTEN and activated components of downstream proteins were linked with the poorest 5-years survival. Conversely, *PIK3CA* mutations were not linked with worse outcome in our analysis. The fact that PTEN is a major regulator of the activation of the PI3K pathway could explain its association with worse outcome [19,20] as those tumors with loss

of PTEN expression could have activation of the different components of this pathway. In contrast, although PIK3CA mutations are considered driver mutations because they are linked to cell survival and increased proliferation, in our study there was no association with poor outcome [9,10]. PIK3CA mutations compromise numerous molecular lesions including those affecting both the catalytic and helical domains. Mutations in the helical domain may favor the oncogenic capability of PIK3CA by facilitating its interaction with certain signaling intermediates linked to the transmission of pro-oncogenic signals [21]. In the individual studies included in our analysis, mutations at different domains were pooled and this could decrease the statistical power needed to detect a worse outcome in this group for specific mutations. In addition, mutations can have different functional roles and different clinical behavior depending on the tumor type. For example, recent studies have shown that PIK3CA mutations are associated with different outcomes in breast cancer depending on whether the tumor is estrogen receptor positive or negative, and whether HER2 is over-expressed or amplified compared to HER2-normal [22,23]. Regardless of this, the data presented challenges the clinical relevance of PIK3CA mutations as unique measures of PI3K/AKT/mTOR pathway activation. This is relevant, as some ongoing clinical trials with agents that target this pathway are using such mutational analysis as an indication of pathway activity, with mutations being used as biomarkers for

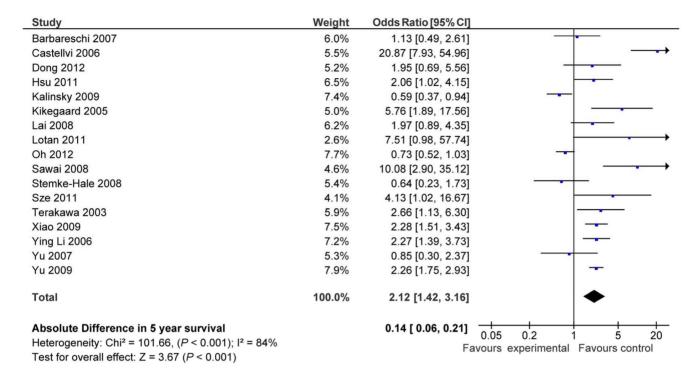


Figure 2. Odds ratio (OR) for 5-year overall survival (OS) in all studies. Forest plots of odds ratios for overall survival at 5 years based on activation of the PI3K/mTOR/AKT pathway. Odds ratios for each trial are represented by the squares, the size of the square represents the weight of the trial in the meta-analysis, and the horizontal line crossing the square represents the 95% confidence interval. The diamonds represent the estimated pooled effect based for each cohort individually (labeled subtotal) and for all cohorts together (labeled total). doi:10.1371/journal.pone.0095219.g002

selection of patients undergoing experimental treatments with PI3K inhibitors.

The analysis of studies evaluating downstream components by IHC showed a significant association with worse survival. These findings have substantial clinicopathological relevance, as evaluation of the activity of a protein appears more biologically relevant than the estimation of gene expression. Therefore, assessment of phosphorylated forms of signaling surrogates such as pS6 or pAKT may be more precise than evaluation of their total levels. However, results from these studies were heterogeneous as the markers evaluated belong to different components of the pathway (mTORC1 and mTORC2) [24].

These data may have relevance beyond prognostic value. Among solid tumors where targeted therapy has been developed against a known oncogene, presence of the oncogene has generally been associated with worse outcome. This is the case in HER2/ neu over-expressing or amplified breast or gastric cancers or BRAF-mutated melanoma. Consequently, it is possible that the effect of drugs targeting the PI3K/AKT/mTOR pathway will only be seen in patients where biomarkers consistently show a detrimental clinical outcome. Based on this hypothesis, it would be expected that PIK3CA mutations may not be associated with improvement in outcome from drugs targeting the PI3K/AKT/ mTOR pathway. This hypothesis is supported by data in breast cancer, which show little predictive value of PIK3CA with the mTOR inhibitor everolimus [15,16,25]. On the other hand, it is known that not all druggable molecular alterations in cancer are linked with worse outcome like the expression of estrogen receptors in breast cancer.

When analyzing the results by tumor type, alterations of the PI3K/AKT/mTOR pathway in breast cancer were not linked with worse outcome. However, most of these studies evaluated

PIK3CA mutations. Conversely, studies in gynecological tumors and gastrointestinal cancers were more enriched in studies evaluating PTEN and protein markers of "mTOR or AKT activation"; and these were linked with worse outcome. Only single studies in NSCLC, oropharyngeal and prostate cancers were available and these showed variable results. These studies were generally small and consequently reported wide confidence intervals which crossed the null boundary. Consequently, the relevance of these results in isolation remains unclear. The inconsistent measurement of pathway activation means that the independent effect of PI3K/mTOR/AKT activation in different tumor types cannot be evaluated with certainty

Our study has limitations. This is a meta-analysis of the literature and is therefore more likely to be compromised by selection bias with enrichment for studies reporting positive results. In addition, there is also substantial intra- and inter-study heterogeneity including differences in biomarkers of interest and variability in *PIK3CA* domain mutations. Despite the use of statistical methods to reduce the effects of such heterogeneity, there remains uncertainty regarding the accuracy of the pooled estimates. Furthermore, hazard ratios were not reported by most studies and therefore we estimated the odds of death at 5 years instead. This is a less robust measure for survival, but was the only feasible method using the available data.

Finally, despite these limitations, results of this study do have some implications for both clinical and translational research. It is shown that the activation of the PI3K/AKT/mTOR pathway is related to poor outcome, and it is particularly relevant in gastrointestinal and gynecological cancers. In addition, the evaluation of PTEN levels ideally complemented with concomitant evaluation of the activation status of proteins such as pS6 and

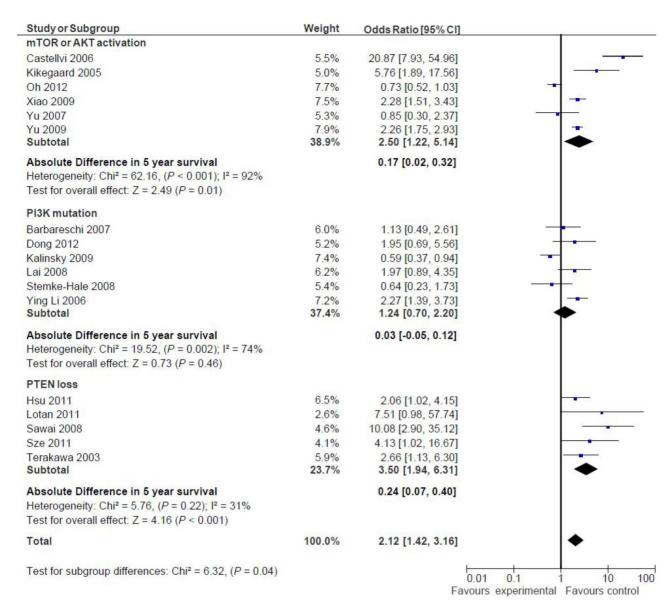


Figure 3. Odds ratio (OR) for 5-year overall survival (OS) according to the expression of different components of the PI3K/mTOR pathway (group subtype). Forest plots of odds ratios for overall survival at 5 years split by subgroups defined by type of activation of the PI3K/mTOR/AKT pathway. Odds ratios for each trial are represented by the squares, the size of the square represents the weight of the trial in the meta-analysis, and the horizontal line crossing the square represents the 95% confidence interval. The diamonds represent the estimated pooled effect based for each cohort individually (labeled subtotal) and for all cohorts together (labeled total). doi:10.1371/journal.pone.0095219.g003

AKT is linked with worse outcome probably identifying tumors that rely most on the PI3K/AKT/mTOR pathway.

### **Supporting Information**

Figure S1 Flow diagram of literature search. (TIFF)

Figure S2 PRISMA flowchart using MeSH terms. (PPT)

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## Checklist S1 (DOC)

### **Author Contributions**

Conceived and designed the experiments: AO FV MA AT VC BS AP EA. Performed the experiments: AO FV MA AT VC BS AP EA LD MC. Analyzed the data: AO FV MA AT VC BS AP EA LD MC. Contributed reagents/materials/analysis tools: AO FV MA AT VC BS AP EA LD MC. Wrote the paper: AO FV MA AT VC BS AP EA.

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