



# Comparative Effectiveness of Emergency Resuscitative Thoracotomy versus Closed Chest Compressions among Patients with Critical Blunt Trauma: A Nationwide Cohort Study in Japan

Kodai Suzuki<sup>1</sup>\*, Shigeaki Inoue<sup>2</sup>, Seiji Morita<sup>2</sup>, Nobuo Watanabe<sup>2</sup>, Ayumi Shintani<sup>3</sup>, Sadaki Inokuchi<sup>2</sup>, Shinji Ogura<sup>1</sup>

- 1 Department of Emergency and Disaster Medicine, Gifu University Graduate School of Medicine, Gifu, Japan, 2 Department of Emergency and Critical Care Medicine, Tokai University School of Medicine, Isehara, Kanagawa, Japan, 3 Department of Clinical Epidemiology and Biostatistics, Osaka University Graduate School of Medicine, Suita, Osaka, Japan
- \* koudysir@gifu-u.ac.jp



# OPEN ACCESS

Citation: Suzuki K, Inoue S, Morita S, Watanabe N, Shintani A, Inokuchi S, et al. (2016) Comparative Effectiveness of Emergency Resuscitative Thoracotomy versus Closed Chest Compressions among Patients with Critical Blunt Trauma: A Nationwide Cohort Study in Japan. PLoS ONE 11(1): e0145963. doi:10.1371/journal.pone.0145963

**Editor:** Philip Alexander Efron, University of Florida, UNITED STATES

Received: July 6, 2015

**Accepted:** December 2, 2015 **Published:** January 14, 2016

Copyright: © 2016 Suzuki et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

orcalica.

**Data Availability Statement:** All relevant data are within the paper.

**Funding:** The authors have no support or funding to report.

**Competing Interests:** The authors have declared that no competing interests exist.

# Abstract

# Background

Although emergency resuscitative thoracotomy is performed as a salvage maneuver for critical blunt trauma patients, evidence supporting superior effectiveness of emergency resuscitative thoracotomy compared to conventional closed-chest compressions remains insufficient. The objective of this study was to investigate whether emergency resuscitative thoracotomy at the emergency department or in the operating room was associated with favourable outcomes after blunt trauma and to compare its effectiveness with that of closed-chest compressions.

## **Methods**

This was a retrospective nationwide cohort study. Data were obtained from the Japan Trauma Data Bank for the period between 2004 and 2012. The primary and secondary outcomes were patient survival rates 24 h and 28 d after emergency department arrival. Statistical analyses were performed using multivariable generalized mixed-effects regression analysis. We adjusted for the effects of different hospitals by introducing random intercepts in regression analysis to account for the differential quality of emergency resuscitative thoracotomy at hospitals where patients in cardiac arrest were treated. Sensitivity analyses were performed using propensity score matching.

#### Results

In total, 1,377 consecutive, critical blunt trauma patients who received cardiopulmonary resuscitation in the emergency department or operating room were included in the study. Of



these patients, 484 (35.1%) underwent emergency resuscitative thoracotomy and 893 (64.9%) received closed-chest compressions. Compared to closed-chest compressions, emergency resuscitative thoracotomy was associated with lower survival rate 24 h after emergency department arrival (4.5% vs. 17.5%, respectively, P < 0.001) and 28 d after arrival (1.2% vs. 6.0%, respectively, P < 0.001). Multivariable generalized mixed-effects regression analysis with and without a propensity score-matched dataset revealed that the odds ratio for an unfavorable survival rate after 24 h was lower for emergency resuscitative thoracotomy than for closed-chest compressions (P < 0.001).

#### **Conclusions**

Emergency resuscitative thoracotomy was independently associated with decreased odds of a favorable survival rate compared to closed-chest compressions.

#### Introduction

Trauma is one of the most notable and leading causes of death in all age groups, particularly among children, adolescents, and young adults  $[\underline{1}]$ . Despite improvements in pre-hospital transport and management of trauma victims  $[\underline{2}-\underline{4}]$ , the annual death toll still exceeds 5,800,000 worldwide  $[\underline{5}]$ . Severe trauma often leads to cardiac arrest and a low survival rate (5.6%; 0%-17%)  $[\underline{6}]$ .

Thoracic trauma caused by penetrating or blunt trauma accounts for 25%–50% of all casualties [7] and 50% of casualties among patients with civilian trauma [8]. More than 50% of cases involving trauma-induced cardiac arrest result from blunt trauma [6], which leads to higher mortality than penetrating trauma [6]. Blunt thoracic trauma frequently occurs as a result of rapid deceleration or crushing in traffic accidents followed by massive hemothorax, great vessel disruption, pulmonary contusion, and cardiac injury [9]. These multiple and complex mechanisms of injury contribute to higher mortality in critical blunt trauma patients.

Emergency resuscitative thoracotomy (ERT) is performed as a salvage maneuver for selected patients in extremis or with cardiac arrest shortly after emergency department (ED) arrival [10]. The goals of ERT include pericardial tamponade release, intrathoracic vascular and/or cardiac hemostasis, massive air embolism or bronchopleural fistula control and management, openchest cardiopulmonary resuscitation, and temporary descending thoracic aorta occlusion [11–13]. Some reports have demonstrated better survival rates after ERT among patients with penetrating trauma than among those with blunt trauma [14–17]. However, appropriate resuscitative maneuvers for critical blunt trauma patients remain unclear because of the lack of published information on the effectiveness of ERT in such patients. Therefore, the overall aim of this study was to test the hypothesis that ERT is associated with favorable outcomes among critical blunt trauma patients by evaluating its effectiveness compared to that of manual closed-chest compressions (CCC), a conventional method of cardiopulmonary resuscitation [18], using clinical data collected from multiple hospitals registered in the nationwide Japan Trauma Data Bank (JTDB) (https://www.jtcr-jatec.org/traumabank/dataroom/ethics\_intro.htm).

#### **Materials and Methods**

### Study design and participants

The JTDB is a Japanese trauma registry organization established by the Trauma Registry Committee of the Japanese Association for the Surgery of Trauma and the Committee for Clinical



Care Evaluation in the Japanese Association for Acute Medicine. This study was approved by the Institutional Review Board for Clinical Research of Gifu University. Trauma patients were registered in the JTDB upon admission to any of the 221 hospitals included in the database. No consent was required because the data were extracted from the registry and analyzed anonymously.

In this study, cardiac arrest was defined as the inability to detect the blood pressure of a patient upon ED arrival and the failure to detect any palpable arteries. In the registry, blood pressure was recorded as 40 mmHg if the pulse was palpable, even if the actual pressure was undetectable, and 0 mmHg if the pulse was not palpable. The indications for ERT were extremis or cardiac arrest shortly after ED arrival. ERT was defined as thoracotomy conducted within 24 h of ED arrival. The ERT group consisted of all patients who underwent ERT regardless of receiving prior CCC, while the CCC group consisted of patients who only received CCC during resuscitation.

A total of 123,462 trauma patients were registered in the JTDB between January 2004 and December 2012. Of these patients, 6,188 who underwent ERT or received CCC at the ED or in the operating room were included in the study. Among these patients, data were excluded for those: (a) with cardiac arrest and loss of signs of life on ED arrival, (b) without blunt trauma, (c) who underwent ERT more than 24 h after ED arrival, (d) who underwent ERT at the accident site, and (e) those with incomplete data. Seventeen patients were excluded because of insufficient data regarding outcome (Fig 1). The data were analyzed for the remaining 1,377 blunt trauma patients who underwent ERT or received CCC (Fig 1).

# Study endpoints

The primary outcome was the 24-h survival rate, defined as survival for > 24 h after ED arrival. The secondary outcome was the 28-d survival rate after ED arrival, defined as survival for > 28 d after ED arrival.

## Statistical analyses

To assess the independent effects of ERT compared with those of CCC, it was important to adjust for factors associated with a likelihood of undergoing ERT and mortality. Regression adjustment was used for the primary analysis, where potential confounders (covariates) were simultaneously included with the ERT or CCC variables in multivariable generalized mixed-effects regression analysis. Covariates were selected *a priori* based on factors associated with mortality within a permissible number computed using the 10 events per variable rule. We adjusted for the effects of different hospitals by introducing random intercepts in regression analysis to account for the differential quality of ERT at hospitals where the patients who went into cardiac arrest were treated.

We also conducted sensitivity analyses using propensity score matching (PSM), where the propensity score was computed as the probability of undergoing ERT as a function of potential confounders selected *a priori* on the basis of biological plausibility and *a priori* knowledge. The variables included age, sex, onset year, transfer process, transporter, cause of trauma, vital signs on ED arrival (i.e., systolic blood pressure, respiratory rate, heart rate, Glasgow Coma Scale score, and temperature), injury severity score (ISS), the rate of positive focused assessment with sonography for trauma results, and blood transfusion within 24 h of ED arrival. The choice of these factors was discussed with trauma surgeons, and the factors were then included as covariates in a logistic regression model with either ERT or CCC as the dependent variable.

PSM was performed with one-to-one matching using a caliper with 0.25 standard deviations of the linear propensity score, resulting in a sample size of 852. The data were analyzed



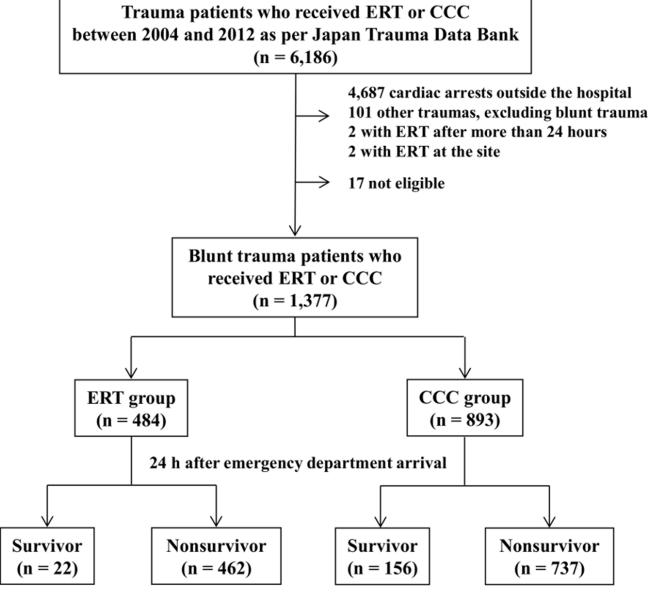


Fig 1. Flow chart of patients included in the study. In total, 1,377 patients were enrolled from the Japan Trauma Data Bank between 2004 and 2012. Emergency resuscitative thoracotomy (ERT): 484. Closed-chest compressions (CCC): 895. Survivors for > 24 h after emergency department arrival: ERT group, 22; CCC group, 156.

doi:10.1371/journal.pone.0145963.g001

using IBM SPSS statistic 22 (IBM SPSS, Chicago, IL, U.S.A.) and R version 3.0.1 (www. r-project.org). The data are presented as the means  $\pm$  standard deviations. Differences between the ERT and CCC groups were analyzed using the Mann–Whitney U-test for nonparametric data or the Chi-square test. Statistical significance was set at a two-sided P < 0.05. Finally, we used multiple imputation in a logistic regression model to compute the propensity score to handle missing values at the time of modeling using predictive mean matching [19].



### **Results**

## Patient characteristics

A total of 1,377 patients who were registered in the JTDB between 2004 and 2012 were analyzed in this study. These included 484 patients in the ERT group and 893 in the CCC group (Fig\_1). The patient demographics were compared between both groups (Table 1) and between the 24-h survivors and nonsurvivors (S1 Table).

The average age and mean systolic blood pressure were significantly lower in the ERT group than in the CCC group, while the mean respiratory rate and heart rate were significantly higher in the ERT group than in the CCC group (P < 0.001 for all, Table 1). The abbreviated injury scale (AIS) score for the thorax, and the abdomen and pelvis was significantly higher for the ERT group than for the CCC group (P < 0.001 and P = 0.003, Table 1). The rates of positive focused assessment with sonography for trauma results and the mean ISS were significantly higher in the ERT group than in the CCC group (P < 0.001 for both, <u>Table 1</u>). The number of patients who underwent resuscitative endovascular balloon occlusion of the aorta or intra-aortic balloon occlusion was significantly higher in the ERT group than in the CCC group (P < 0.001, Table 1). The 24-h and 28-d survival rates were significantly lower in the ERT group than in the CCC group (24-h survival, 4.5% with ERT vs. 17.5% with CCC, P < 0.001; 28-d survival, 1.2% with ERT vs. 6.0% with CCC, P < 0.001; Table 1). The proportion of patients transported by air to the ED, systolic blood pressure, and the Glasgow Coma Scale score were significantly higher, while the AIS score for the thorax, and abdomen and pelvis was significantly lower among the survivors 24 h after ED arrival than among the nonsurvivors (P < 0.05 for all, S1 Table). PSM revealed a well-balanced ERT (n = 371) and CCC (n = 371) cohort, with no significant differences between groups in any parameter except the 24-h survival rate and onset year (Table 2). Onset year was included because it was unbalanced even in the matched cohort.

# Multivariable generalized mixed-effects regression analysis for 24-h survival

According to multivariable generalized mixed-effects regression analysis, the odds ratio (OR) for survival 24 h after ED arrival was significantly lower for the ERT group than for the CCC group [OR, 3.78; 95% confidence interval (CI), 1.77–8.08; P < 0.001; Table 3]. Analysis with a propensity score-matched dataset showed a similar result (OR, 2.83; 95% CI, 1.57–5.12; P < 0.001; Table 3).

# Subgroup analysis

<u>S2 Table</u> shows the data for the 22 ERT survivors 24 h after ED arrival. Patients who were discharged to home or to other hospitals (n = 10) tended to have higher respiratory and heart rates, and a lower ISS compared with those who later died in the hospital (n = 9).

#### **Discussion**

In this nationwide Japanese observational study of critical blunt trauma patients, the patients who underwent ERT had a lower survival rate compared to those who received CCC. Furthermore, ERT was independently associated with decreased odds of a favorable survival rate compared with CCC. It has been reported that the survival rate after ERT was lower among blunt trauma patients than those with penetrating trauma [14–17]. However, to the best of our knowledge, this is the first report showing that ERT is associated with a reduced possibility of



Table 1. Characteristics of critical blunt trauma patients who received emergency resuscitative thoracotomy or closed-chest compressions.

Variable		CPR r	method	
	Total (n = 1377)	ERT (n = 484)	CCC (n = 893)	<i>P</i> -valu
Age, y (mean ± SD), n (%)	57 ± 23	53 ± 22	59 ± 23	<0.001
0–17	52 (4)	14 (3)	39 (4)	
18–64	704 (51)	295 (61)	409 (46)	
65<	615 (45)	172 (36)	443 (50)	
Missing	5 (0)	3 (1)	2 (0)	
Male, n (%)	921 (67)	345 (71)	576 (65)	0.011
Onset year, n (%)				0.21
2004–2006	205 (15)	61 (13)	144 (16)	
2007–2009	493 (36)	179 (37)	314 (35)	
2010–2012	679 (49)	244 (50)	435 (49)	
Cause of trauma, n (%)				0.559
Accident	1,034 (75)	354 (73)	680 (76)	
Self-inflicted injury (suicide)	199 (14)	78 (16)	121 (14)	
Injury	6 (0)	2 (0)	4 (0)	
Workplace accident	82 (6)	31 (6)	51 (6)	
Missing	56 (4)	19 (4)	37 (4)	
Transfer mode, n (%)				0.229
Transported directly from the injury site	1,235 (90)	433 (90)	802 (90)	
Transferred from other hospital	108 (8)	40 (8)	68 (8)	
Ambulance except	10 (1)	1 (0)	9 (1)	
Missing	24 (2)	10 (2)	14 (2)	
Transport type, n (%)	· ,	, ,	. ,	0.555
Ambulance	1,095 (80)	383 (79)	712 (80)	
Ambulance with physician	77 (6)	24 (5)	53 (6)	
Private automobile	6 (0)	1 (0)	5 (0)	
Air ambulance	170 (12)	67 (14)	103 (12)	
Missing	29 (2)	9 (2)	20 (2)	
Pre-hospital CPR, n (%)	144 (10.5)	38 (7.9)	106 (11.8)	0.021
Vital signs on ED arrival (mean ± SD)	, ,		,	
Systolic blood pressure, mmHg	86 ± 42	76 ± 37	91 ± 43	<0.001
<90	812 (59)	334 (69)	478 (54)	
≥90	565 (41)	150 (31)	415 (47)	
Heart rate, beats/min	101 ± 35	106 ± 37	99 ± 34	<0.001
<60	148 (11)	54 (11)	94 (11)	
60–99	421 (31)	111 (23)	310 (35)	
≥100	744 (54)	298 (62)	446 (50)	
Missing	64 (5)	21 (4)	43 (5)	
Respiratory rate, breaths/min	22 ± 14	24 ± 14	21 ± 13	<0.001
<10	206 (15)	74 (15)	132 (15)	
10–29	557 (40)	165 (34)	392 (44)	
≥30	384 (28)	180 (37)	204 (23)	
Missing	230 (17)	65 (13)	165 (18)	
Glasgow Coma Scale	6.8±4.4	7.1 ± 4.3	6.6 ± 4.4	0.053
Missing	71 (5)	17 (4)	54 (6)	5.556
Temperature, °C	35.4 ± 1.5	35.3 ± 1.5	35.5 ± 1.5	0.238
Missing	483 (35)	183 (38)	300 (34)	3.200

(Continued)



Table 1. (Continued)

	CPR method				
Variable	Total (n = 1377)	ERT (n = 484)	CCC (n = 893)	<i>P</i> -value	
AIS (mean ± SD)					
1 (Head)	3.8 ± 1.3	3.5 ± 1.3	3.9 ± 1.3	<0.001	
2 (Face)	1.7 ± 1.1	1.6 ± 1.1	1.7 ± 1.1	0.915	
3 (Neck)	2.8 ± 2.2	1.8 ± 1.5	$3.0 \pm 2.3$	0.224	
4 (Thorax)	2.9 ± 2.1	3.6 ± 1.9	2.5 ± 2.1	<0.001	
5 (Abdomen and Pelvic Contents)	3.6 ± 1.6	3.8 ± 1.5	3.5 ± 1.7	0.003	
6 (Cervical Spine)	2.9 ± 1.4	2.6 ± 1.2	3.1 ± 1.5	0.019	
7 (Upper Extremity)	2.1 ± 0.7	2.2 ± 0.6	$2.0 \pm 0.8$	0.021	
8 (Lower Extremity)	3.4 ± 1.3	3.5 ± 1.2	3.4 ± 1.3	0.171	
9 (External)	1.1 ± 0.2	1.1 ± 0.3	1.0 ± 0.2	0.326	
ISS (mean ± SD)	36.1 ± 15.8	38.4 ± 15.3	34.9 ± 16.0	< 0.001	
0–24	239 (17)	64 (13)	175 (20)		
25–44	736 (53)	256 (53)	480 (54)		
45<	334 (24)	139 (29)	195 (22)		
Missing	68 (5)	25 (5)	43 (5)		
FAST, n (%)				<0.001	
Positive	470 (34)	252 (52)	218 (24)		
Negative	717 (52)	184 (38)	533 (60)		
Not conducted	122 (9)	33 (7)	89 (10)		
Missing	68 (5)	15 (3)	53 (6)		
Blood transfusion, n (%)				<0.001	
Transfusion	918 (67)	409 (85)	509 (57)		
No transfusion	434 (32)	66 (14)	368 (41)		
Missing	25 (2)	9 (2)	16 (2)		
IABO, n (%)	184 (13.4)	85 (17.6)	99 (11.1)	0.001	
REBOA, n (%)	221 (16.0)	212 (43.8)	9 (1.0)	<0.001	
IABO or REBOA, n (%)	370 (26.9)	265 (54.8)	105 (11.8)	<0.001	
Drinking, n (%)	57 (4)	17 (4)	40 (4)	0.002	
Missing	655 (48)	262 (54)	393 (44)		
Survival, n (%)					
24 h	178 (13)	22 (5)	156 (17)	<0.001	
24-h survivors who underwent pre-hospital CPR, n (%)	31 (21.5)	1 (2.6)	30 (28.3)	0.001	
24-h survivors who underwent IABO or REBOA, n (%)	16 (1.1)	10 (2.1)	5 (0.6)	<0.001	
28 d	60 (4)	6 (1)	54 (6)	<0.001	
Survival to discharge	93 (7)	9 (2)	84 (9)	<0.001	

ERT: Emergency resuscitative thoracotomy

CCC: Closed-chest compressions

CPR: Cardiopulmonary resuscitation

SD: Standard deviation

ED: Emergency department

FAST: Focused assessment with sonography for trauma

AIS: Abbreviated injury scale

ISS: Injury severity score

IABO: Intra-aortic balloon occlusion

REBOA: Resuscitative endovascular balloon occlusion of the aorta

doi:10.1371/journal.pone.0145963.t001



Table 2. Characteristics of the matched cohorts of critical blunt trauma patients who received emergency resuscitative thoracotomy or closed-chest compressions.

	CPR r	CPR method		
Variable	ERT (n = 371)	CCC (n = 371)	Combined (n = 742)	<i>P</i> -value
Age, y (mean ± SD)	55 ± 22	55 ± 24	54 ± 23	0.43
Male, n (%)	252 (68)	249 (67)	501 (68)	0.81
Onset year, n (%)				0.034
2004–2006	60 (16)	53 (14)	113 (15)	
2007–2009	165 (44)	137 (37)	302 (41)	
2010–2012	146 (39)	181 (49)	327 (44)	
Cause of trauma, n (%)				0.79
Accident	278 (78)	280 (79)	558 (79)	
Self-inflicted injury (suicide)	56 (16)	58 (16)	114 (16)	
Other	21 (6)	17 (5)	57 (7)	
Transfer mode, n (%)				0.79
Transported directly from the injury site	327 (88)	332 (89)	659 (89)	
Transferred from another hospital	33 (9)	30 (8)	63 (8)	
Ambulance except	1 (0)	2 (1)	3 (0)	
Missing	10 (3)	7 (2)	17 (2)	
Transport type, n (%)				0.77
Ambulance	289 (80)	294 (79)	583 (81)	
Air ambulance	55 (15)	48 (13)	103 (14)	
Other	18 (5)	18 (5)	36 (5)	
Vital signs on ED arrival (mean ± SD)				
Systolic blood pressure, mmHg	79 ± 37	78 ± 36	78 ± 36	0.78
Heart rate, beats/min	106 ± 37	103 ± 35	104 ± 36	0.25
Respiratory rate, breaths/min	24 ± 13	23 ± 13	23 ± 13	0.15
Glasgow Coma Scale	7.2 ± 4.4	6.9 ± 4.5	7.1 ± 4.4	0.35
Temperature, °C	35.4 ± 1.4	35.3 ± 1.7	35.3 ± 1.6	0.65
ISS (mean ± SD)	38 ± 16	38 ± 16	38 ± 16	0.73
FAST, n (%)				
Positive	168 (50)	152 (49)	320 (49)	0.74
Blood transfusion, n (%)				
Transfusion	301 (83)	293 (80)	594 (82)	0.36
Survival, n (%)				
24 h	352 (95)	326 (88)	678 (91)	<0.001

ERT: Emergency resuscitative thoracotomy

CCC: Closed-chest compressions

CPR: Cardiopulmonary resuscitation

SD: Standard deviation

ED: Emergency department

FAST: Focused assessment with sonography for trauma

AIS: Abbreviated injury scale ISS: Injury severity score

doi:10.1371/journal.pone.0145963.t002



Table 3. Analysis of the effects of emergency resuscitative thoracotomy vs. closed-chest compressions after adjusting for potential confounders.

	OR	95% CI	P-value
Multivariable generalized mixed-effects regression analysis			
with adjustment of covariate	3.78	1.77-8.08	< 0.001
with propensity score-matched dataset	2.83	1.57-5.12	< 0.001

ERT: Emergency resuscitative thoracotomy

CCC: Closed-chest compressions

OR: Odds ratio
CI: Confidence interval

doi:10.1371/journal.pone.0145963.t003

survival compared with CCC in critical blunt trauma patients, after adjustment using several types of statistical methods.

ERT is an established procedure for the treatment of life-threatening chest injuries [20–22]. The goals of ERT include pericardial tamponade or tension pneumothorax release, intrathoracic hemorrhage and massive air embolism or bronchopleural fistula control and management, open cardiac massage, and cross-clamping of the thoracic aorta, thus restoring and maintaining perfusion to the heart and brain and preventing additional blood loss from distal hemorrhage sites [11–13, 23]. However, the use of ERT for critically injured patients has remained debatable since its inception in the 1960s [10]. ERT is a useful technique for the resuscitation of patients with penetrating trauma who are in extremis, particularly those with penetrating thoracic trauma or cardiac injuries [16]. In patients presenting with vital signs after a penetrating thoracic injury, the survival rate after ERT may be as high as 38% [11].

Large retrospective studies over the past two decades have revealed a decreased survival rate after ERT for blunt trauma patients (0% to 6%) compared to those of patients with penetrating trauma [14, 24–30]. In a large retrospective meta-analysis based on 25 years of published data, Rhee et al. described 24 studies that included a total of 4,620 ERT cases [16]. These data showed an overall survival rate of 7.4%, with normal neurological outcomes in 92.4% survivors. Furthermore, the survival rate was higher for patients with penetrating trauma (8.8%), particularly cardiac injuries (19.4%) and stab wounds (16.8%), than for those with blunt trauma (1.4%) [16]. The American College of Surgeons' Committee on Trauma reviewed 42 studies that included 7,035 ERT cases in its *Practice Management Guidelines for Emergency Department Thoracotomy*. These data showed an overall survival rate of 7.8% and survival rates of 11.2% and 1.6% for patients with penetrating and blunt trauma, respectively [17]. Therefore, the eighth edition of the *Advanced Trauma Life Support* guidelines provides specific recommendations for performing ERT in the setting of penetrating thoracic trauma with detectable electrical activity, but not in the setting of blunt trauma with electrical cardiac activity in a patient without a detectable pulse [31].

Despite abundant evidence for the ineffectiveness of ERT in blunt trauma patients (and a negative statement regarding the ineffectiveness that is included in most guidelines), ERT is still performed. Passos et al. reported that 51% of the ERTs performed on 123 patients were considered inappropriate, which resulted in substantial expenses, a waste of resources, an increased risk of exposure of health-care workers to possible blood-borne infections, and no survival benefits [32–33]. Brown et al. reported that ERT was cost-effective for penetrating trauma, but not for blunt trauma considering the reported survival rate and risk of neurological impairment [34]. Moreover, the prevalence of human immunodeficiency virus (HIV) seropositivity in the trauma patient population is reportedly 24%, with an even higher prevalence of



hepatitis [35]. Taken together, the total additional cost incurred from accidental viral exposure associated with thoracotomy was \$1,377, with a high probability of HIV and chronic hepatitis C seroconversion [33].

Since Kouwenhoven et al. first reported the effectiveness of CCC over open cardiac massage for cardiac arrest in 1960 [36], it has been administered as a conventional resuscitation maneuver for cardiac arrest and was recommended by the American Heart Association [37]. Our data demonstrate that CCC results in a higher survival rate compared with ERT in critical blunt trauma patients, indicating that CCC may be more beneficial than ERT as a resuscitative maneuver in these patients. However, it was difficult to interpret the survival differences shown in Table 1 because there were several significant differences among the populations analyzed. Therefore, to further confirm the results, multivariable generalized mixed-effects regression analysis, logistic regression with multiple imputation, and multivariable analysis of the original cohort using inverse probability weighting were performed. All of the analyses showed that CCC resulted in better outcomes than ERT (data not shown).

This study has several limitations. First, there was no information regarding the optimal time for performing ERT in critical patients in the JTDB. This is an important issue because the effectiveness of ERT for trauma patients depends on the time from cardiac arrest to ERT [38]. In a previous study, the time from loss of pulse to thoracotomy was significantly shorter in the survivor group [39]. This is biologically reasonable and is supported by some evidence in the literature; indeed, better outcomes were observed in patients who underwent ERT within 30 minutes of injury than in patients who underwent ERT after 30 minutes [40]. However, the patients selected in this study showed signs of life on arrival. Therefore, we believe there may have been more patients who received excessive CCC for a prolonged duration before receiving ERT. Second, there was no information regarding the length of extremis, signs of life prior to ERT, the time of cardiac arrest or resumption of spontaneous circulation, and the presence or absence of bystander resuscitation in the JTDB. There was also no information regarding neurologic outcomes with sustained CCC or the overall disposition of the patients in the JTDB. Finally, unmeasured confounders were not considered because this was a retrospective nationwide cohort study and the collection of more detailed data would have been difficult. Thus, the observed results may have resulted in unmeasured confounding. Despite these limitations, this study demonstrates the limited efficacy of ERT in critical blunt trauma patients.

## **Conclusions**

ERT was independently associated with decreased odds of a favorable survival rate compared with CCC in critical blunt trauma patients. The criteria for performing ERT for the treatment of blunt trauma must be reconsidered.

## **Supporting Information**

S1 Table. Characteristics of critical patients who received emergency resuscitative thoracotomy or closed-chest compression after sustaining blunt trauma. (XLSX)

S2 Table. Characteristics of 24-h survivors who received emergency resuscitative thoracotomy after sustaining blunt trauma.

(XLSX)



### **Author Contributions**

Conceived and designed the experiments: KS S. Inoue SM AS S. Inokuchi SO. Performed the experiments: KS S. Inoue SM. Analyzed the data: KS S. Inoue SM AS. Wrote the paper: KS S. Inoue NW AS.

#### References

- Fingerhut LA, Warner M. Injury chartbook. Health, United States, 1996–1997. Hyattsville, Maryland: National Center for Health Statistics. 1997.
- Shackford SR, Hollingworth-Fridlund P, Cooper GF, Eastman AB. The effect of regionalization upon the quality of trauma care as assessed by concurrent audit before and after institution of a trauma system: a preliminary report. J Trauma. 1986; 26: 812–820. PMID: 3746956
- West JG, Trunkey DD, Lim RC. Systems of trauma care. A study of two counties. Arch Surg. 1979; 114: 455–460. PMID: 435058
- Arreola-Risa C, Mock CN, Lojero-Wheatly L, de la Cruz O, Garcia C, Canavati-Ayub F, et al. Low-cost improvements in prehospital trauma care in a Latin American city. J Trauma. 2000; 48: 119–124. PMID: 10647576
- Injuries and violence: the facts. WHO Press. 2010. Available: <a href="http://www.who.int/violence\_injury\_prevention/key\_facts/en/">http://www.who.int/violence\_injury\_prevention/key\_facts/en/</a>.
- 6. Soar J, Perkins GD, Abbas G, Alfonzo A, Barelli A, Bierens JJ, et al. European Resuscitation Council Guidelines for Resuscitation 2010 Section 8. Cardiac arrest in special circumstances: Electrolyte abnormalities, poisoning, drowning, accidental hypothermia, hyperthermia, asthma, anaphylaxis, cardiac surgery, trauma, pregnancy, electrocution. Resuscitation. 2010; 81: 1400–1433. doi: 10.1016/j. resuscitation.2010.08.015 PMID: 20956045
- LoCicero J 3rd, Mattox KL. Epidemiology of chest trauma. Surg Clin North Am. 1989; 69: 15–19. PMID: 2911786
- 8. Westaby Stephen, Odell John A.. Cardiothoracic trauma: Arnold Oxford Univerity Press; 1999.
- Kemmerer WT, Eckert WG, Gathright JB, Reemtsma K, Creech O Jr. Patterns of thoracic injuries in fatal traffic accidents. J Trauma. 1961; 1: 595–599. PMID: 14455068
- Edens JW, Beekley AC, Chung KK, Cox ED, Eastridge BJ, Holcomb JB, et al. Longterm outcomes after combat casualty emergency department thoracotomy. J Am Coll Surg. 2009; 209: 188–197. doi: <u>10.</u> 1016/j.jamcollsurg.2009.03.023 PMID: <u>19632595</u>
- Baxter BT, Moore EE, Moore JB, Cleveland HC, McCroskey BL, Moore FA. Emergency department thoracotomy following injury: critical determinants for patient salvage. World J Surg. 1988; 12: 671– 675. PMID: 3149819
- Cogbill TH, Moore EE, Millikan JS, Cleveland HC. Rationale for selective application of Emergency Department thoracotomy in trauma. J Trauma. 1983; 23: 453–460. PMID: 6864836
- Sanders AB, Kern KB, Ewy GA. Time limitations for open-chest cardiopulmonary resuscitation from cardiac arrest. Crit Care Med. 1985; 13: 897–898. PMID: 4053635
- Lorenz HP, Steinmetz B, Lieberman J, Schecoter WP, Macho JR. Emergency thoracotomy: survival correlates with physiologic status. J Trauma. 1992; 32: 780–785; discussion 785–788. PMID: 1613839
- Pahle AS, Pedersen BL, Skaga NO, Pillgram-Larsen J. Emergency thoracotomy saves lives in a Scandinavian hospital setting. J Trauma. 2010; 68: 599–603. doi: 10.1097/TA.0b013e3181a5ec54 PMID: 19918200
- Rhee PM, Acosta J, Bridgeman A, Wang D, Jordan M, Rich N. Survival after emergency department thoracotomy: review of published data from the past 25 years. J Am Coll Surg. 2000; 190: 288–298. PMID: 10703853
- Practice management guidelines for emergency department thoracotomy. Working Group, Ad Hoc Subcommittee on Outcomes, American College of Surgeons-Committee on Trauma. J Am Coll Surg. 2001; 193: 303–309. PMID: <a href="https://doi.org/10.1007/j.nc/10.10
- Field M, Hazinski MF, Sayre MR, Chameides L, Schexnayder SM, Hemphill R, et al. Part 1: executive summary: 2010 American Heart Association Guidelines for Cardiopulmonary Resuscitation and Emergency Cardiovascular Care. Circulation. 2010; 122: S640–656. doi: 10.1161/CIRCULATIONAHA.110. 970889 PMID: 20956217
- Horton NJ, Kleinman KP. Much ado about nothing: A comparison of missing data methods and software to fit incomplete data regression models. Am Stat. 2007; 61: 79–90. PMID: <u>17401454</u>



- Brautigan MW. Patient selection in emergency thoracotomy. Resuscitation. 1991; 22: 103–108. PMID: 1658891
- Champion HR, Danne PD, Finelli F. Emergency thoracotomy. Arch Emerg Med. 1986; 3: 95–99. PMID: 3730085
- Lewis G, Knottenbelt JD. Should emergency room thoracotomy be reserved for cases of cardiac tamponade? Injury. 1991; 22: 5–6. PMID: 2030034
- 23. Hunt PA, Greaves I, Owens WA. Emergency thoracotomy in thoracic trauma-a review. Injury. 2006; 37: 1–19. PMID: 16410079
- Brown SE, Gomez GA, Jacobson LE, Scherer T 3rd, McMillan RA. Penetrating chest trauma: should indications for emergency room thoracotomy be limited? Am Surg. 1996; 62: 530–533; discussion 533– 534. PMID: 8651546
- Clevenger FW, Yarbrough DR, Reines HD. Resuscitative thoracotomy: the effect of field time on outcome. J Trauma. 1988; 28: 441–445. PMID: 3352006
- Feliciano DV, Bitondo CG, Cruse PA, Mattox KL, Burch JM, Beall AC Jr, et al. Liberal use of emergency center thoracotomy. Am J Surg. 1986; 152: 654–659. PMID: 3789290
- Ivatury RR, Kazigo J, Rohman M, Gaudino J, Simon R, Stahl WM. "Directed" emergency room thoracotomy: a prognostic prerequisite for survival. J Trauma. 1991; 31: 1076–1081; discussion 1081–1082. PMID: 1875433
- Kavolius J, Golocovsky M, Champion HR. Predictors of outcome in patients who have sustained trauma and who undergo emergency thoracotomy. Arch Surg. 1993; 128: 1158–1162. PMID: 8215876
- Mazzorana V, Smith RS, Morabito DJ, Brar HS. Limited utility of emergency department thoracotomy. Am Surg. 1994; 60: 516–520; discussion 520–521. PMID: 8010566
- Millham FH, Grindlinger GA. Survival determinants in patients undergoing emergency room thoracotomy for penetrating chest injury. J Trauma. 1993; 34: 332–336. PMID: 8483170
- Kortbeek JB, Al Turki SA, Ali J, Antoine JA, Bouillon B, Brasel K, et al. Advanced trauma life support, 8th edition, the evidence for change. J Trauma. 2008; 64: 1638–1650. doi: 10.1097/TA. 0b013e3181744b03 PMID: 18545134
- Passos EM, Engels PT, Doyle JD, Beckett A, Nascimento B Jr, Rizoli SB, et al. Societal costs of inappropriate emergency department thoracotomy. J Am Coll Surg. 2012; 214: 18–25. doi: 10.1016/j.jamcollsurg.2011.09.020 PMID: 22112417
- Sikka R, Millham FH, Feldman JA. Analysis of occupational exposures associated with emergency department thoracotomy. J Trauma. 2004; 56: 867–872. PMID: 15187755
- Brown TB, Romanello M, Kilgore M. Cost-utility analysis of emergency department thoracotomy for trauma victims. J Trauma. 2007; 62: 1180–1185. PMID: 17495722
- Esposito TJ, Jurkovich GJ, Rice CL, Maier RV, Copass MK, Ashbaugh DG. Reappraisal of emergency room thoracotomy in a changing environment. J Trauma. 1991; 31: 881–885; discussion 885–887. PMID: 2072424
- Kouwenhoven WB, Jude JR, Knickerbocker GG. Closed-chest cardiac massage. JAMA. 1960; 173: 1064–1067. PMID: 14411374
- Dabrowska A, Telec W. [New guidelines of Basic and Advanced Cardiopulmonary Resuscitation and Emergency Cardiovascular Care (ECC) American Heart Association (AHA)]. Wiad Lek. 2011; 64: 127– 131. PMID: 22026279
- Fialka C, Sebok C, Kemetzhofer P, Kwasny O, Sterz F, Vecsei V. Open-chest cardiopulmonary resuscitation after cardiac arrest in cases of blunt chest or abdominal trauma: a consecutive series of 38 cases. J Trauma. 2004; 57: 809–814. PMID: 15514535
- Morrison JJ, Poon H, Rasmussen TE, Khan MA, Midwinter MJ, Blackbourne LH, et al. Resuscitative thoracotomy following wartime injury. J Trauma Acute Care Surg. 2013; 74: 825–829. doi: 10.1097/TA. 0b013e31827e1d26 PMID: 23425742
- Frezza EE, Mezghebe H. Is 30 minutes the golden period to perform emergency room thoratomy (ERT) in penetrating chest injuries? J Cardiovasc Surg. 1999; 40: 147–151.