



# Adenoviral gene transfer of bioactive TGF $\beta$ 1 to the rodent eye as a novel model for anterior subcapsular cataract

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**Purpose:** To produce a gene-transfer model of rodent anterior subcapsular cataracts (ASC) using a replication-deficient, adenoviral vector containing active TGF $\beta$ 1. Establishment of this model will be important for further investigations of TGF $\beta$ -induced signaling cascades in ASC.

**Methods:** Adenovirus containing the transgene for active TGF $\beta$ 1 (AdTGF $\beta$ 1),  $\beta$ -galactosidase (AdLacZ), green fluorescent protein (AdGFP) or no transgene (AdDL) was injected into the anterior chamber of C57Bl/6, Smad3 WT and Smad3 KO mice. Four and 21 days after injection, animals were enucleated and eyes were processed and examined by routine histology. Immunolocalization of markers indicative of epithelial to mesenchymal transition (EMT), fibrosis, proliferation and apoptosis was also carried out.

**Results:** By day 4, treatment with AdLacZ demonstrated transgene expression in multiple structures of the anterior chamber including the lens epithelium. In contrast to AdDL, treatment with AdTGF $\beta$ 1 produced  $\alpha$ SMA-positive subcapsular plaques in all three groups of mice, which shared features reminiscent of human ASC. At day 21, plaques remained  $\alpha$ SMA-positive and extensive extracellular matrix deposition was observed. The AdTGF $\beta$ 1 model was further employed in Smad3 deficient mice and this resulted in the development of small ASC.

**Conclusions:** Gene transfer of active TGF $\beta$ 1 using an adenoviral vector produced cataractous plaques four days postinjection, which were found to develop independent of functional Smad3.

The family of transforming growth factor beta (TGF $\beta$ ) molecules is a group of secreted polypeptides with functions in cellular proliferation, differentiation and migration as well as extracellular matrix metabolism [1]. Considerable evidence has shown that TGF $\beta$ 1 and 2 are potent profibrotic molecules that have been implicated in numerous fibrotic diseases including diabetic nephropathy, liver and pulmonary fibrosis, rheumatoid arthritis, and more recently, ocular fibrotic diseases [2].

Ocular pathologies associated with aberrant levels of active TGF $\beta$  include cataracts, proliferative vitreoretinopathy (PVR), as well as glaucoma [3-6]. The active form of TGF $\beta$  has been detected in the ocular media from patients suffering with cataracts [7,8] and in patients undergoing intraocular lens implantation [9]. Moreover, patients treated with TGF $\beta$ 2 to treat retinal macular holes all developed cataracts after treatment [10]. Two specific types of cataracts are associated with elevated levels of activated TGF $\beta$ , including anterior subcapsular cataracts (ASC) and posterior capsular opacification (PCO), also known as secondary cataract.

In ASC, focal opacities develop beneath the lens capsule in the anterior region of the lens. These opacities or plaques have been shown to be derived from an aberrant proliferation of lens epithelial cells (LECs). A proportion of these cells also undergo a transition into myofibroblasts, which express al-

pha-smooth muscle actin ( $\alpha$ SMA), through a mechanism known as epithelial to mesenchymal transformation (EMT) [11]. As the cataracts further develop, the plaque cells secrete extracellular matrix (ECM) components not found in the normal lens, such as collagen type I and IV, contributing to the loss of lens transparency. PCO remains the major complication of modern cataract surgery with intraocular lens implantation [12]. In PCO, the LECs which remain within the capsule after cataract surgery are triggered to proliferate and migrate to the posterior lens capsule [13] and like ASC, some of these cells undergo a transition into myofibroblasts that secrete aberrant ECM.

Multiple in vitro and in vivo rodent models have been developed for studying the mechanism(s) of TGF $\beta$ -induced ASC. In vitro models include excised rat lenses, lens epithelial explants and cell cultures that when exposed to TGF $\beta$  undergo morphological changes similar to that seen in human ASC including LEC transformation and inappropriate ECM deposition [14-18]. Ectopic expression of active TGF $\beta$ 1 in the lens of transgenic mice under the transcriptional control of the  $\alpha$ A-crystallin [19] or  $\beta$ B-crystallin [20] promoter also results in ASC plaques that resemble those observed in humans. More recently, two in vivo injury models for ASC have been developed in mice including direct injury to the lens with a hypodermic needle, as well as an alkali burn to the ocular surface [21,22]. In both of these models LECs are stimulated to undergo EMT through activation of TGF $\beta$ 2. While the TGF $\beta$  signaling intermediate, Smad3, was shown to be required for the EMT observed in the lens injury model, in the alkali burn model both EMT and ASC were shown to occur in the ab-

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sence of Smad3, albeit the effect was attenuated. Similarly, our lab has shown that when the lens specific-TGF $\beta$ 1 transgenic mice were bred onto the Smad3 knockout mouse background, small ASC formed [23]. Thus, multiple models have shown that alternative TGF $\beta$  signaling pathways participate in the development of ASC.

Our group has shown that transient overexpression of bioactive TGF $\beta$ 1 and  $\beta$ 3 using adenoviral vectors results in accumulation of parenchymal extracellular matrix and EMT of epithelial cells, as demonstrated by increased expression of  $\alpha$ SMA in both the lung [24] and in the peritoneum [25]. Moreover, we have shown that adenoviral transfer of TGF $\beta$ 1 produces transgene detectable by RNase protection assay, ELISA and a functional reporter assay, confirming transgene activity [24,26,27]. We posited whether adenoviral transfer of TGF $\beta$ 1 to the anterior segment of the rodent eye could be used to induce EMT of LECs and create a novel model of ASC that would not require injury or developmental expression of a TGF $\beta$  transgene. Using adenoviral-LacZ (AdLacZ) we have shown successful adenoviral transduction of a number of tissues within the anterior segment of the eye, including the lens epithelium. We further demonstrate that transfer of adenoviral-(active) TGF $\beta$ 1 (AdTGF $\beta$ 1) to cells of the rodent anterior segment resulted in the development of ASC plaques, within 4 days of injection, which continued to progress into large fibrotic plaques by day 21. Finally, we employed this new model to show that delivery of AdTGF $\beta$ 1 to the anterior chamber of genetically modified mice deficient in Smad3, results in the formation of ASC plaques, confirming our earlier findings that Smad3-independent signaling mechanisms participate in the EMT of LECs in ASC formation.

## METHODS

**Recombinant adenoviruses:** Full-length porcine TGF $\beta$ 1 cDNA was mutated at serines 223 and 225 (TGF $\beta$ 1<sup>223/225</sup>) to render the expressed protein product constitutively and biologically active [28]. This mutated cDNA was used to construct a recombinant, replication-deficient type-5 adenovirus. The E1 region was replaced by the human cytomegalovirus promoter, driving expression of TGF $\beta$ 1<sup>223/225</sup> followed by the SV40 polyadenylation signal [24]. The resulting replication-deficient virus (AdTGF $\beta$ 1) was amplified and purified by cesium chloride (CsCl) gradient centrifugation and concentrated using a Sephadex PD-10 chromatography column, and finally plaque-titered on 293 cells. The control vectors, AdDL, with no insert in the deleted E1 region, or adenoviral vector expressing the  $\beta$ -galactosidase gene (AdLacZ), coding for  $\beta$ -galactosidase, or adenoviral vector expressing GFP (AdGFP), were produced by similar methods [24,29].

**Animal treatment:** All animals were treated in accordance with the guidelines of the Canadian Council on Animal Care and according to the ARVO Statement for the Use of Animals in Ophthalmic and Vision Research. Female, 6- to 8-week-old C57BL/6 and FVB/n mice were purchased from Charles River Laboratories (Montreal, PQ, Canada). Smad3 knockout mice were generated by the removal of exon 8 of the Smad3 gene in mice of background 129SV/EV x C57BL/6 [30].

Smad3 heterozygous mice were bred under special pathogen-free conditions. The genotypes of both wildtype (WT) and Smad3 knockout (Smad3KO) mice were determined by PCR analysis on tail DNA obtained from 3-wk-old animals. Tail biopsies were digested overnight at 65 °C in buffer containing 0.1 M TrisHCl (pH 8.5), 5 mM EDTA (pH 8), 0.2% SDS, 200 mM NaCl and 0.2 mg/ml Proteinase K (Bioshop Canada, Burlington, ON). Digested samples were spun down and supernatants were mixed with 100% ethanol to precipitate genomic DNA, which was then diluted in 100  $\mu$ l of sterile distilled water. Primer sequences were as follows: S3P1 5'-CCA CTT CAT TGC CAT ATG CCC TG-3'; S3P2 5'-CCC GAA CAG TTG GAT TCA CAC A-3'; S3P3 5'-CCA GAC TGC CTT GGG AAA AGC-3'. The wild type allele was generated using primers S3P1 and S3P2 giving a 400 bp fragment; the knockout allele was generated using primers S3P1 and S3P3 giving a 250 bp fragment. PCR product was run on a 2% TAE agarose gel and visualized by ethidium bromide staining under UV light. All experiments were performed with littermates to rule out any background effects. All animals were housed under specific pathogen free conditions and rodent laboratory food and water were provided ad libitum. All animal procedures were performed under inhalation anesthesia with isoflurane (MTC Pharmaceuticals, Cambridge, ON, Canada). AdTGF $\beta$ 1 or AdDL or AdLacZ (5x10<sup>8</sup> pfu) were administered in a volume of 5  $\mu$ l phosphate-buffered saline (PBS). Briefly, mice were anesthetized with isoflurane and placed under a dissecting microscope, in order to visualize general eye structures. A volume of no more than 5  $\mu$ l of virus solution was injected into the anterior chamber using a 33 gauge needle affixed to a 10  $\mu$ l Hamilton syringe. Eyes were covered with Lacri-lube® after injection and animals were allowed to recover before returning to their cages. Animals were sacrificed and enucleated 4 or 21 days after injection.

**Histology:** After fixation in 10% buffered formalin for 48 h, tissues were embedded in paraffin by routine methods. Three-micrometer thick midsagittal sections were cut and stained with hematoxylin and eosin to visualize general tissue architecture. Additional sections were stained with Masson's trichrome and periodic acid Schiff (PAS) to demonstrate ECM components. Immunohistochemistry using an antibody against  $\alpha$ -smooth muscle actin ( $\alpha$ SMA, clone 1A4; DakoCytomation, Mississauga, ON) was used to localize contractile elements. The brown color reaction was performed with the Animal Research Kit (DakoCytomation, Mississauga, ON). Studies to fluorescently colocalize  $\alpha$ SMA employed a monoclonal antibody conjugated to FITC (clone 1A4; Sigma-Aldrich, Oakville, ON). In addition, sections were stained with polyclonal antibodies to  $\beta$ - and  $\gamma$ -crystallin (kindly provided by Dr. J.S. Zigler, National Eye Institute, Bethesda, MD), collagen IV (Cedarlane Laboratories, Hornby, ON), PCNA, and TGF $\beta$ 1 (Santa Cruz Biotechnologies, Santa Cruz, CA). Secondary antibodies included goat anti-rabbit rhodamine and goat anti-rabbit FITC (Bioshop Canada, Burlington, ON). TUNEL staining was carried out using the ApopTag® Fluorescein In Situ Apoptosis Detection Kit according to the manufacturer's instructions (Millipore, Temecula, CA).

**LacZ staining and GFP visualization:** All reagents were purchased from Bioshop Canada (Burlington, ON). Directly after enucleation, eyes were placed whole in fixative buffer containing 0.2% glutaraldehyde, 5 mM EGTA pH 7.3, 2 mM  $MgCl_2$  in 0.1 M sodium phosphate buffer pH 7.3 for 30 min. They were then washed three times of 5 min each with wash buffer containing 2 mM  $MgCl_2$ , 0.01% deoxycholate, 0.02% Nonidet P-40 in 0.1 M sodium phosphate buffer, pH 7.3. Staining was carried out overnight at 37 °C in staining buffer containing 2.0 ml of 25 mg/ml X-gal, 0.106 g potassium ferrocyanide and 0.082 g potassium ferricyanide in 50 ml of wash buffer. After staining, tissues were washed three times of 5 min each and placed in 10% neutral buffered formalin for an additional 24 h to ensure adequate fixation prior to sectioning. Three micrometer thick midsagittal sections were cut and counterstained with nuclear fast red using routine methods.

Frozen sections from eyes treated with AdGFP were kept at -20 °C until use. Sections were then allowed to come to room temperature, washed three times for 5 min with PBS and coverslipped with Vectashield® mounting medium with DAPI (Vector Laboratories, Burlingame, CA).

## RESULTS

**Anterior structures of the eye can be transduced with adenovirus four days post injection:** To determine the cell and tissue types in the anterior chamber of the eye that can be infected with adenovirus, we injected reporter constructs, AdLacZ ( $5 \times 10^8$  pfu or  $1 \times 10^9$  pfu) or AdGFP ( $5 \times 10^8$  pfu or  $1 \times 10^9$  pfu) intracamerally into mice of a C57BL/6 or FVB/n background, respectively. LacZ staining was then performed to localize transgene expression. Grossly, LacZ positive eyes could be distinguished from eyes injected with control virus containing no transgene (AdDL) simply by the bluish corneal opacity (Figure 1A) in contrast to the clear corneas of the AdDL-treated mice. Histological midsagittal sections of AdLacZ treated eyes revealed that after 4 days, LacZ staining was observed in multiple structures of the anterior chamber, including the corneal endothelium, iris, ciliary body and trabecular meshwork (Figure 1B). Transgene was also detectable in the lens epithelium (Figure 1C) in absence of capsular break, but typically at the higher dose ( $1 \times 10^9$  pfu). Animals injected with the AdDL vector (Figure 1D) did not show any LacZ staining. Transduction of lens epithelial cells was also

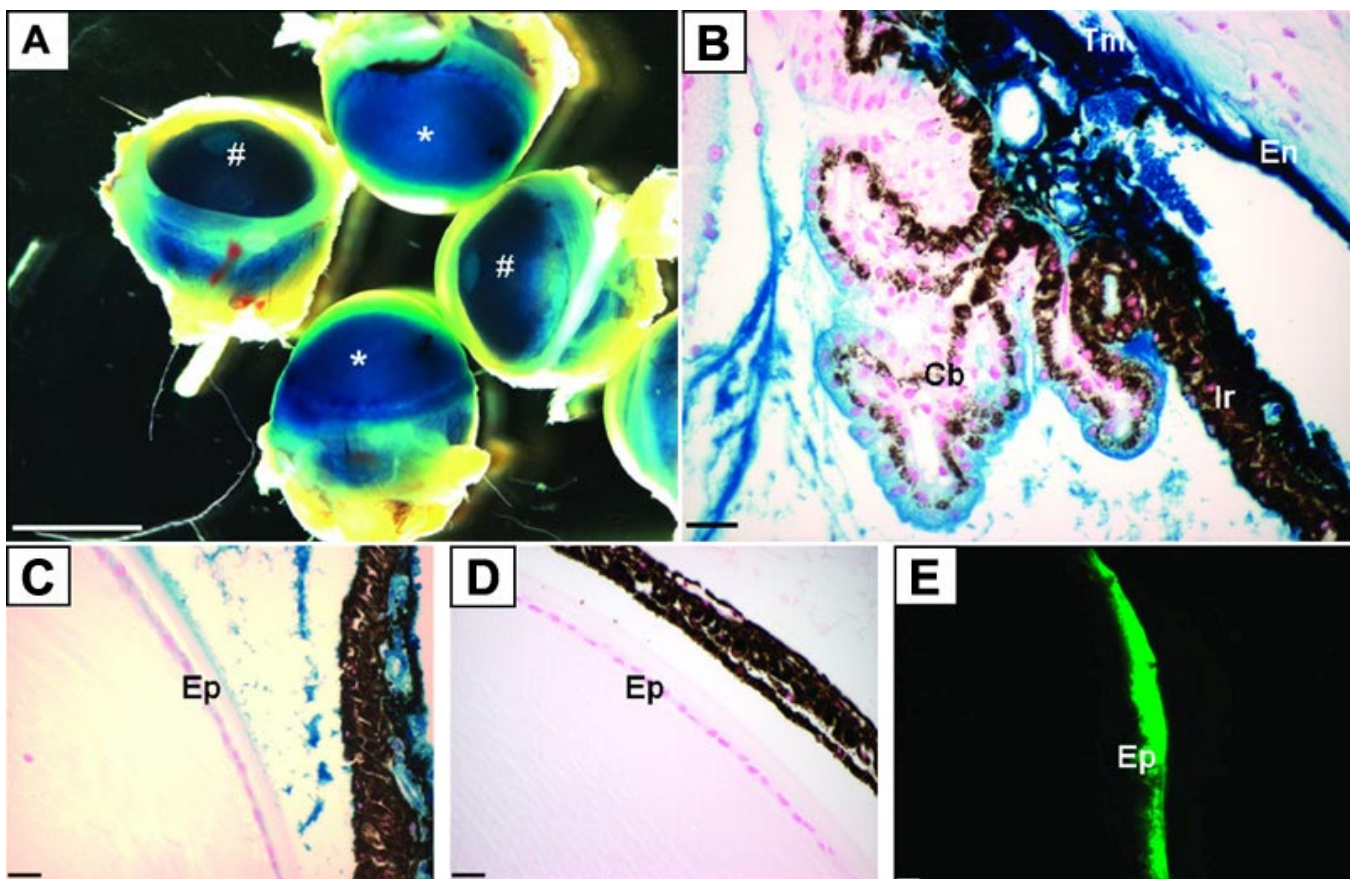


Figure 1. Expression of adenovirally transferred LacZ and GFP. Animals were injected with AdLacZ (A-C), AdDL (D), or AdGFP (E) intracamerally. Four days post injection eyes were removed and wholemount stained for LacZ activity (A) followed by paraffin sectioning (B,C,D) or frozen sectioning and visualized using a GFP filter (E). Blue corneal opacity (asterisks in A) indicates that cellular infection and production of transgene was successful. AdDL eyes remained clear (hash marks in A). B and C demonstrate transgene expression in the corneal endothelium (En), iris (Ir), ciliary body (Cb), trabecular meshwork (Tm), and lens epithelium (Ep). Transgene was absent in control vector treated eyes (D). Transgene expression is confirmed in the lens epithelium (Ep) using AdGFP (green). The scale bars in A = 1 mm, in B-D = 25  $\mu$ m, and in E = 50  $\mu$ m.

confirmed by expression of GFP (Figure 1E) after intracameral injection with AdGFP. In comparison to 4 days post-injection, 21 days after injection with AdLacZ, only faint LacZ staining was observed in the trabecular meshwork and corneal endothelium and none was observed in the lens (data not shown).

**Gene transfer of active TGF $\beta$ 1 to the anterior chamber induces ASC formation:** One eye of each mouse (6-8 weeks old; C57BL) was injected with AdTGF $\beta$ 1 or AdDL. Four days post injection, 13 lenses out of 15 eyes treated with AdTGF $\beta$ 1 (Figure 2A) showed distinct ASC plaques consisting of a focal multilayering of LECs beneath the intact anterior lens capsule. Each of the AdTGF $\beta$ 1-treated eyes commonly exhibited one plaque, typically found in a central location of the anterior region of the lens. In comparison to those treated with AdTGF $\beta$ 1, 2 lenses out of 15 eyes injected with AdDL exhibited subcapsular plaques in the absence of any lens rupture. However, these eyes also showed a moderate amount of inflammation, suggesting that the cataracts may have developed in response to excessive injury in the anterior segment of the eye. The remaining 13 eyes, showed a normal, lens epithelial monolayer (Figure 2C). Injection with either AdTGF $\beta$ 1 or AdDL also produced a mild inflammatory response as evi-

denced by presence of a small number of neutrophils and macrophages both in the aqueous humor and within the corneal stroma. These effects were transient and absent by day 21. AdTGF $\beta$ 1 treated eyes, however, demonstrated persistent thickening and increased cellularity of the corneal stroma, as well as a reduction in the number of corneal epithelial layers. Adhesions between the corneal endothelium and iris were also common. These non-lens features have also been reported in the TGF $\beta$ 1-transgenic mouse models, whereby active TGF $\beta$ 1 is overexpressed in lens fiber cells during embryogenesis [19,20]. These shared features are likely a result of TGF $\beta$  overexpression and not due to adenoviral infection. Importantly, AdDL animals did not show these features at any time-point examined and the contralateral/uninjected eyes remained normal during the entire course of the study (data not shown).

To determine whether plaques consisted of myofibroblasts, as previously reported in human ASC and animal models of ASC, sections of the day 4 AdTGF $\beta$ 1- and AdDL-treated eyes were subjected to immunohistochemical staining for  $\alpha$ SMA. In AdTGF $\beta$ 1-treated eyes, distinct expression of  $\alpha$ SMA was observed in a proportion of the cells in the plaques of the lenses which exhibited subcapsular plaques

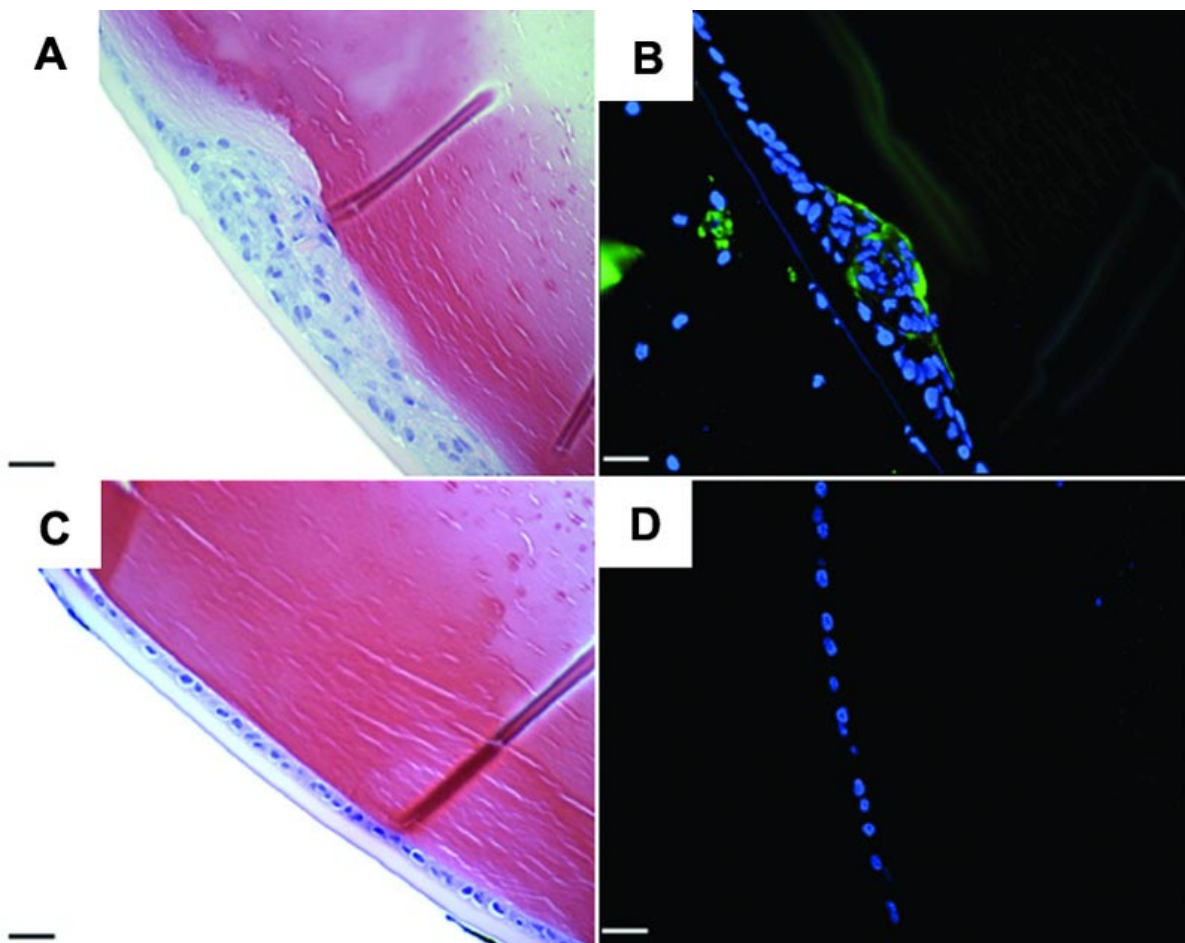


Figure 2. Effects of adenoviral gene transfer of active TGF $\beta$ 1 after four days. Histological sections from C57 mice injected with AdTGF $\beta$ 1 (A and B) or AdDL (C and D). Sections were stained with H&E (A and C) or subjected to immunostaining for  $\alpha$ SMA (B and D). Focal multilayering occurs in animals treated with AdTGF $\beta$  and is associated with induction of  $\alpha$ SMA (A, B) in contrast to AdDL treated eyes (C, D). The green stain is  $\alpha$ SMA and the blue stain is DAPI. The scale bar is equal to 25  $\mu$ m.

(Figure 2B). Expression was also observed in some of the adjacent monolayer of cells in the anterior lens region. However, the monolayer lens epithelium distal to the site in AdTGF $\beta$ 1-treated eyes did not express  $\alpha$ SMA. In comparison, AdDL treated eyes, showed no detectable expression of  $\alpha$ SMA in the lens (Figure 2D).

To determine if injection with AdTGF $\beta$ 1 resulted in overexpression of TGF $\beta$ 1 in the lens, we performed immunohistochemistry on paraffin sections from naïve, AdTGF $\beta$ 1 and AdDL treated eyes. Naïve lenses (Figure 3A) and those of AdDL (Figure 3B) did not show any TGF $\beta$ 1 expression. However, animals treated with AdTGF $\beta$ 1 showed positive expression of TGF $\beta$ 1 in the lens plaques and adjacent lens epithelium at both days 4 (Figure 3C) and 21 (Figure 3D). Additionally, treatment with AdTGF $\beta$ 1 resulted in strong expression of TGF $\beta$ 1 protein in the corneal epithelium, stroma, and endothelium. Naïve and AdDL animals showed only faint expression limited to the cornified layer of the corneal epithe-

lium and corneal endothelium and no expression in the stroma (data not shown).

To determine if the effects of AdTGF $\beta$ 1 delivery to the anterior chamber were transient or chronic, we examined lenses of treated animals at 21 days post injection. Plaques seen in AdTGF $\beta$ 1 treated eyes showed a marked increase in plaque size (Figure 4A). A proportion of these cells exhibited positive  $\alpha$ SMA (Figure 4B) expression. AdDL treated eyes again showed no change in histological architecture (Figure 4C), lacked  $\alpha$ SMA immunoreactivity (Figure 4D), and were strikingly similar to untreated lenses (data not shown).

*AdTGF $\beta$ 1 induces expression of markers associated with fiber cell differentiation:* As shown above, not all of the cells in the plaques expressed  $\alpha$ SMA. In order to clarify the nature of the cellular heterogeneity found in AdTGF $\beta$ 1 induced ASC, we examined crystallin expression. It has been shown previously that LECs which do not express  $\alpha$ SMA, expressed  $\beta$ -crystallin, an early fiber cell marker [31]. We therefore exam-

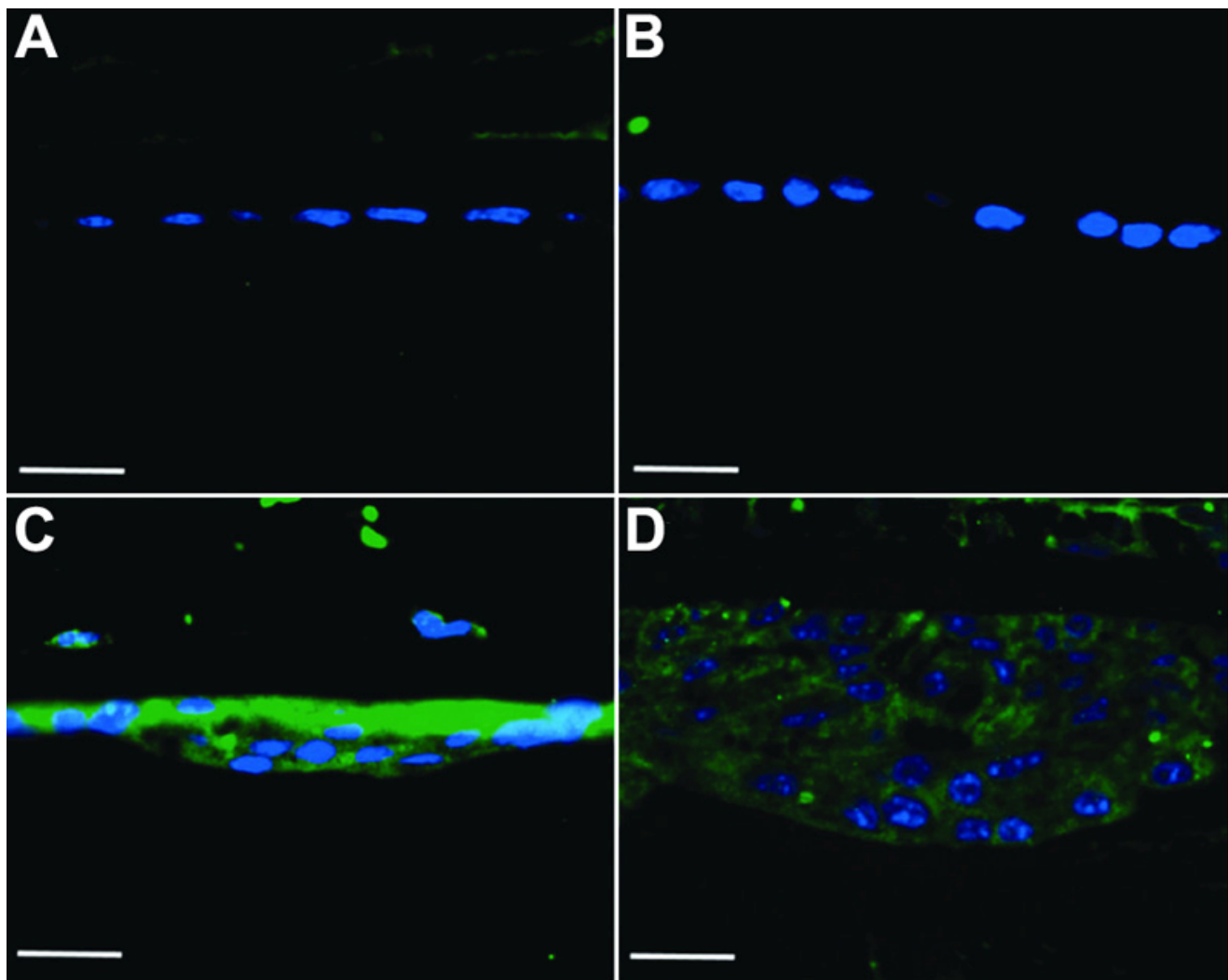


Figure 3. Immunolocalization of TGF $\beta$ 1. Naïve (A), AdDL injected (B), and AdTGF $\beta$ 1 injected eyes (C and D) were sectioned and subjected to TGF $\beta$ 1 immunohistochemistry at 0 (A), 4 (B and C) and 21 (D) days after injection. Both naïve and AdDL eyes show no immunolocalization of TGF $\beta$ 1 in the lens epithelium. Animals injected with AdTGF $\beta$ 1 demonstrate prominent expression of TGF $\beta$ 1 in the lens epithelium. The green stain is TGF $\beta$ 1 and the blue stain is DAPI. The scale bar is equal to 25  $\mu$ m.

ined expression of  $\beta$ -crystallin in the subcapsular plaques of the AdTGF $\beta$ 1 lenses at 4 and 21 days post injection. We observed detectable  $\beta$ -crystallin staining in a subpopulation of cells in the plaques of AdTGF $\beta$ 1-treated eyes at both 4 (Figure 5A) and 21 days (Figure 5C) but no such detection in the epithelial monolayer of AdDL treated eyes at both time points (Figure 5B,D, respectively). Moreover, we were also able to detect expression of  $\gamma$ -crystallin in a subpopulation of cells in the plaques of AdTGF $\beta$ 1 (Figure 5E) but not in the epithelial monolayer of AdDL (Figure 5F) lenses at 21 days.

*AdTGF $\beta$ 1 induces aberrant expression of ECM components:* Inappropriate deposition of ECM is a characteristic feature of human ASC, and is also well documented in the transgenic mouse ASC model. We therefore examined the matrix composition of the ASC plaques in mice injected with AdTGF $\beta$ 1 at both 4 and 21 days. To do so we employed the use of routine PAS histochemistry to detect carbohydrate deposition as well as Masson's trichrome to detect collagen deposition. Since both of these stains highlight the lens capsule in normal untreated lenses, we used this as an internal, positive control. At day 4 post-injection with AdTGF $\beta$ 1 (Figure 6A,E) the subcapsular plaques demonstrated detectable amounts of matrix deposition in contrast to treatment with AdDL (Figure 6B,F). However, at 21 days post injection, the ASC plaques in the AdTGF $\beta$ 1-treated eyes exhibited notable deposits of both carbohydrate (Figure 6C) and collagen (Figure 6G). AdDL treated animals showed no matrix accumulation at this time (Figure 6D,H).

Collagen IV is the most abundant collagen found in the lens capsule but is absent in the lens epithelium. We therefore used immunohistochemistry to reveal whether collagen type IV was aberrantly expressed in the epithelial cells comprising the plaque. Animals treated with AdTGF $\beta$ 1 showed collagen IV accumulation at both days 4 and 21 (Figure 7A,C, respectively) whereas those animals treated with AdDL showed no accumulation at either time point (Figure 7B,D).

*AdTGF $\beta$ 1 induces ASC in Smad3-knockout mice:* With our findings that AdTGF $\beta$ 1 delivery to the anterior chamber resulted in the development of ASC reminiscent of that observed in other animal models and human ASC, we next employed this model to further confirm our earlier findings [23] that ASC can develop in the absence of the Smad3 signaling intermediate. We tested this by delivering AdTGF $\beta$ 1 to the anterior chamber of Smad3KO eyes. Four days post injection of AdTGF $\beta$ 1, the lenses of Smad3KO and WT mice showed distinct subcapsular plaques consisting of a focal multi-layering of cells in the central, anterior region (Figure 8A,B, respectively) that were positive for  $\alpha$ SMA expression (Figure 8C,D, respectively). However, Smad3KO mice exhibited plaques that were reduced in size relative to those seen in WT littermates. To further investigate why the plaques in the Smad3KO mice were smaller than WT littermates we performed experiments to assess both cellular proliferation and apoptosis. To detect cellular proliferation, we immunostained sections with proliferating cell nuclear antigen (PCNA). These experiments showed no observable difference in the amount

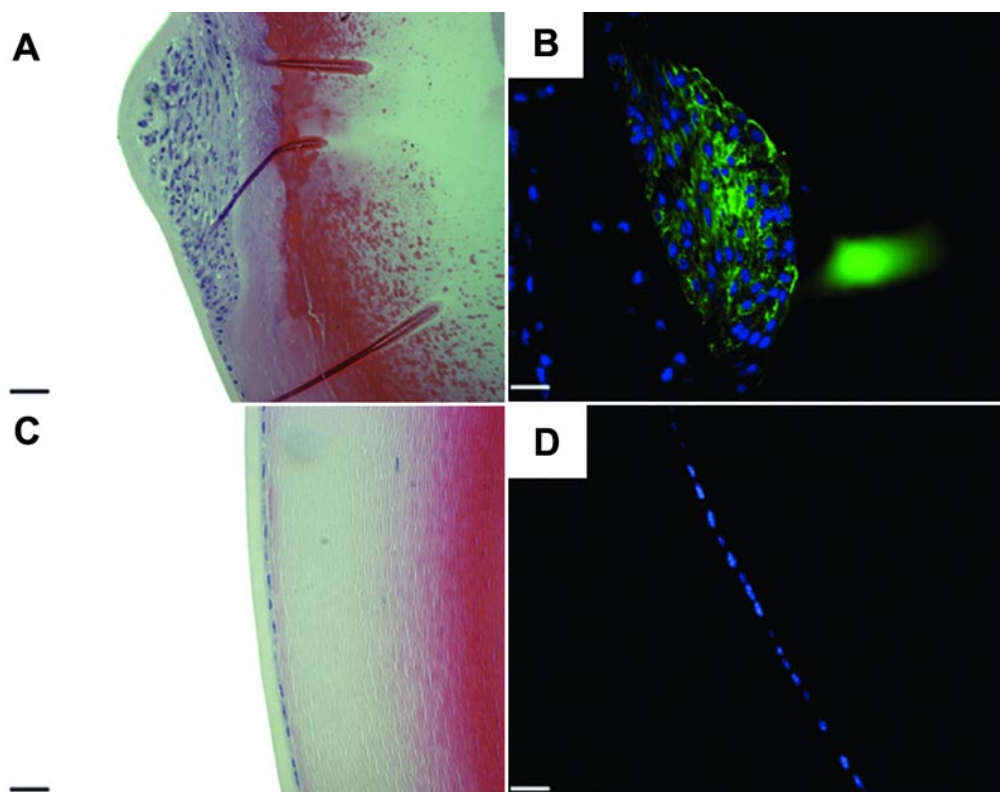


Figure 4. Effects of adenoviral gene transfer of active TGF $\beta$ 1 after twenty one days.. Histological sections from C57 mice injected with AdTGF $\beta$ 1 (A and B) or AdDL (C and D). Sections were stained with H&E (A and C) or subjected to immunostaining for  $\alpha$ SMA (B and D). At 21 days post injection AdTGF $\beta$ 1 treated eyes demonstrate large plaques which express a considerable amount of  $\alpha$ SMA in contrast to AdDL treated eyes. The green stain is  $\alpha$ SMA and the blue stain is DAPI. The scale bar is equal to 25  $\mu$ m.

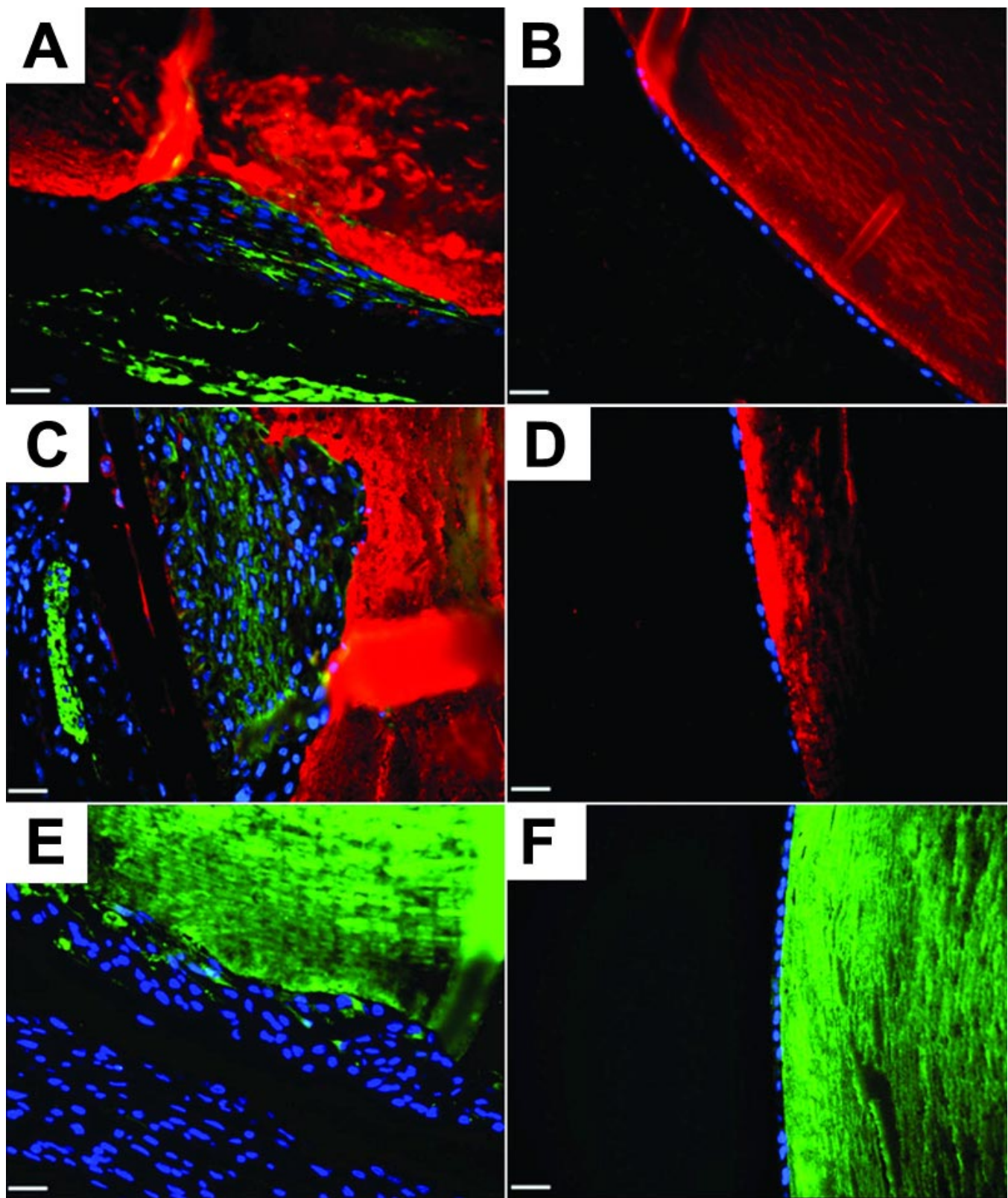


Figure 5. Crystallin expression in AdTGF $\beta$ 1 treated eyes.. Sections were stained with anti- $\beta$  (A-D; red) or anti- $\gamma$ -crystallin (E and F; green) antibodies and counterstained with DAPI (blue). Additionally,  $\beta$ -crystallin stained sections were colocalized with  $\alpha$ SMA (A-D; green). Sections were taken at four days (A and B) and at twenty one days (C-F) of both AdTGF $\beta$ 1 (A, C, and E) and AdDL (B, D, and F). Both  $\beta$ - and  $\gamma$ -crystallin expression can be seen with in the plaques of AdTGF $\beta$ 1 but not AdDL treated eyes. Epithelia of AdDL treated eyes showed no presence of crystallin or  $\alpha$ SMA expression. The scale bar is equal to 25  $\mu$ m.

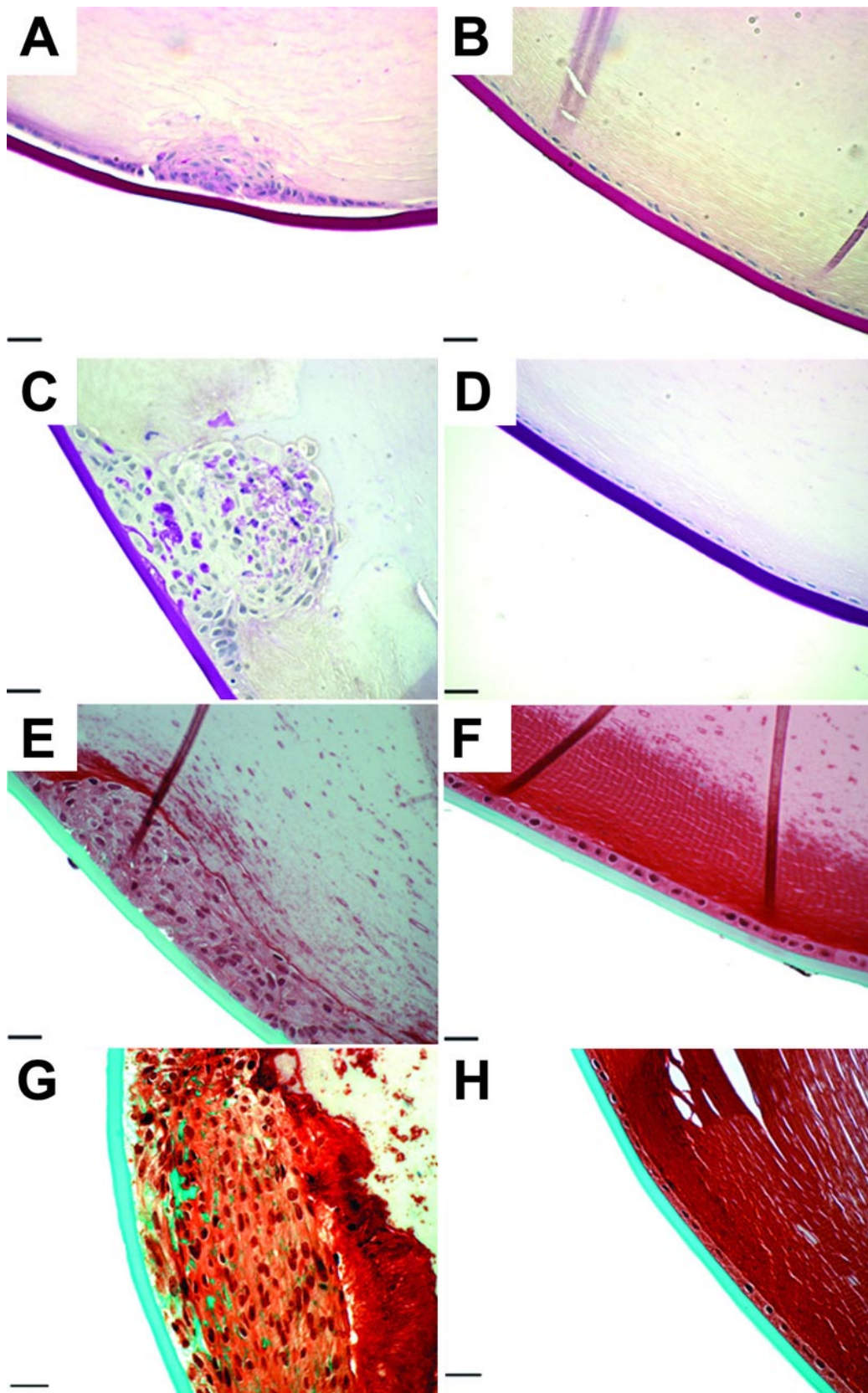


Figure 6. Matrix staining of AdTGF $\beta$  injected eyes.. Sections of AdTGF $\beta$ 1 (A, C, E, and G) and AdDL (B, D, F, and H) lenses taken on days 4 (A, B, E, and F) and 21 (C, D, G, and H) were stained with PAS (A-D) to detect carbohydrates (purple) and Masson's trichrome (E-H) to detect collagens (green). AdTGF $\beta$ 1 treated lenses showed accumulation of matrix which was barely detectable on day 4, but prominent on day 21. In contrast, AdDL treated lenses showed no matrix accumulation at any time point. The scale bar is equal to 25  $\mu$ m.



of cells immunoreactive to PCNA in the lens plaques of AdTGF $\beta$ 1-treated Smad3KO mice (Figure 8E) versus plaques in the AdTGF $\beta$ 1-treated WT littermates (Figure 8F). However, TUNEL staining, used to detect apoptotic cells, revealed that plaques from the AdTGF $\beta$ 1-treated Smad3KO mice had substantially more apoptotic cells than plaques of the AdTGF $\beta$ 1-treated wild-type littermates (Figure 8G,H, respectively).

### DISCUSSION

In this study we have developed a novel model for ASC. Adenoviral delivery of TGF $\beta$ 1 to the anterior chamber of the mouse eye produced the formation of distinct subcapsular cataracts, 4 days post injection, which exhibited features of ASC plaques described in humans and other rodent models, including an induction in  $\alpha$ SMA expression and aberrant deposition of ECM. Unlike TGF $\beta$ 1-transgenic mouse models, whereby

active TGF $\beta$ 1 is overexpressed in lens fiber cells during embryogenesis [19,20], the AdTGF $\beta$ 1 gene delivery model does not require the postnatal period (21 days after birth) that the transgenic models require for subcapsular plaques to be observed. Also, since heterozygote lines of the transgenic mice are typically used for examination, due to the severity of the homozygous phenotype, each mouse or embryo of the TGF $\beta$ 1-transgenic line to be examined must be genotyped. The AdTGF $\beta$ 1 model does not require crossbreeding or genotyping and can therefore be more easily employed in studies utilizing genetically modified mice.

Intravitreal injection of recombinant TGF $\beta$  into the rat eye [32] has been shown to produce ASC following 15 weeks post injection, however the ASC were not well defined and were accompanied by a number of other lens defects, including cortical clouding, disrupted bow region, nucleated fiber cells, vacuolation of fiber cells, and posterior subcapsular cata-

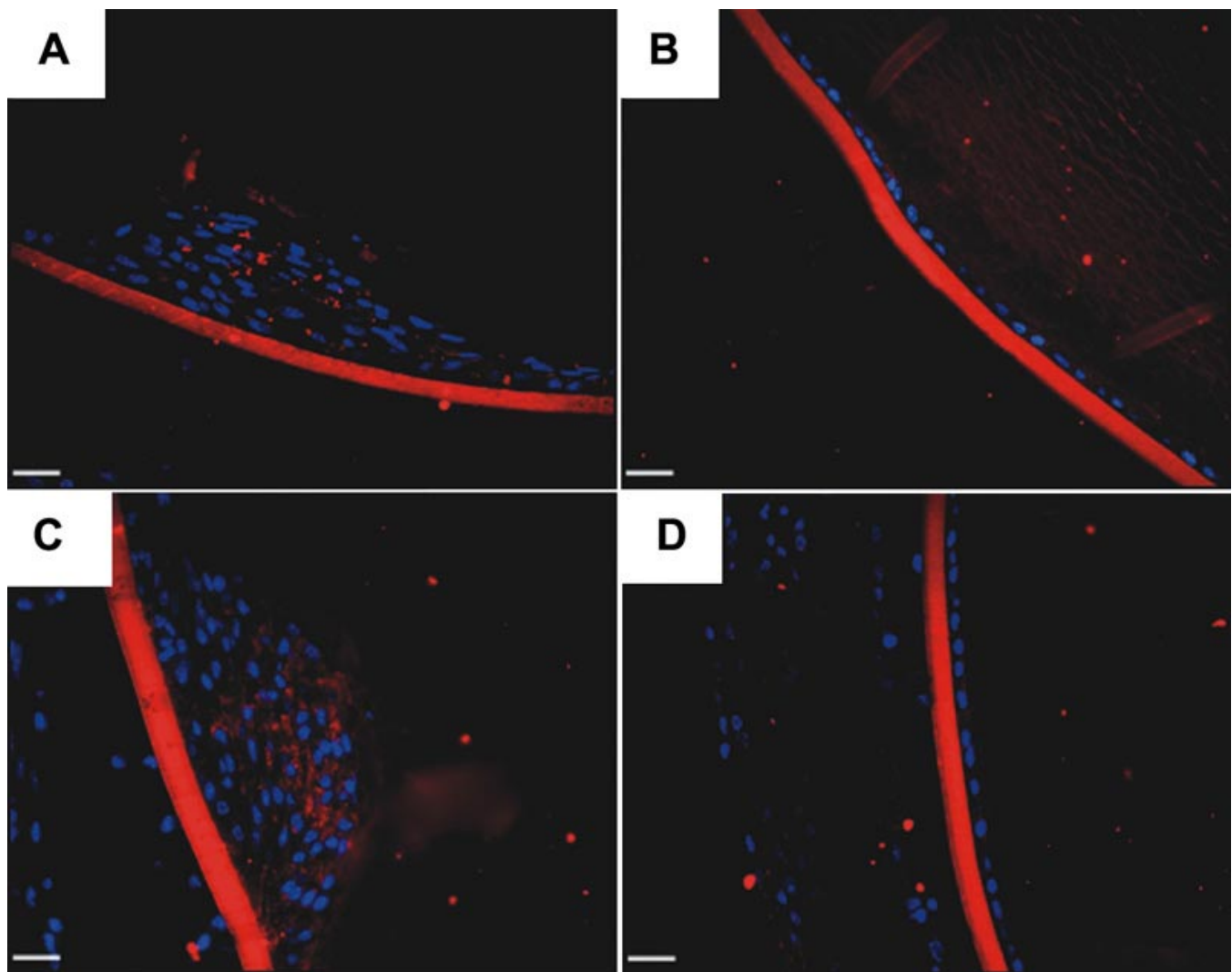


Figure 7. Collagen IV expression.. Immunolocalization of collagen IV was performed on paraffin sections of AdTGF $\beta$ 1 (A and C) and AdDL (B and D) lenses on days 4 (A and B) and 21 (C and D). AdTGF $\beta$ 1 treated eyes showed a marked accumulation of collagen IV in the plaques which was absent in epithelia of AdDL treated eyes. The red staining is collagen IV and the blue staining is DAPI. The scale bar is equal to 25  $\mu$ m.

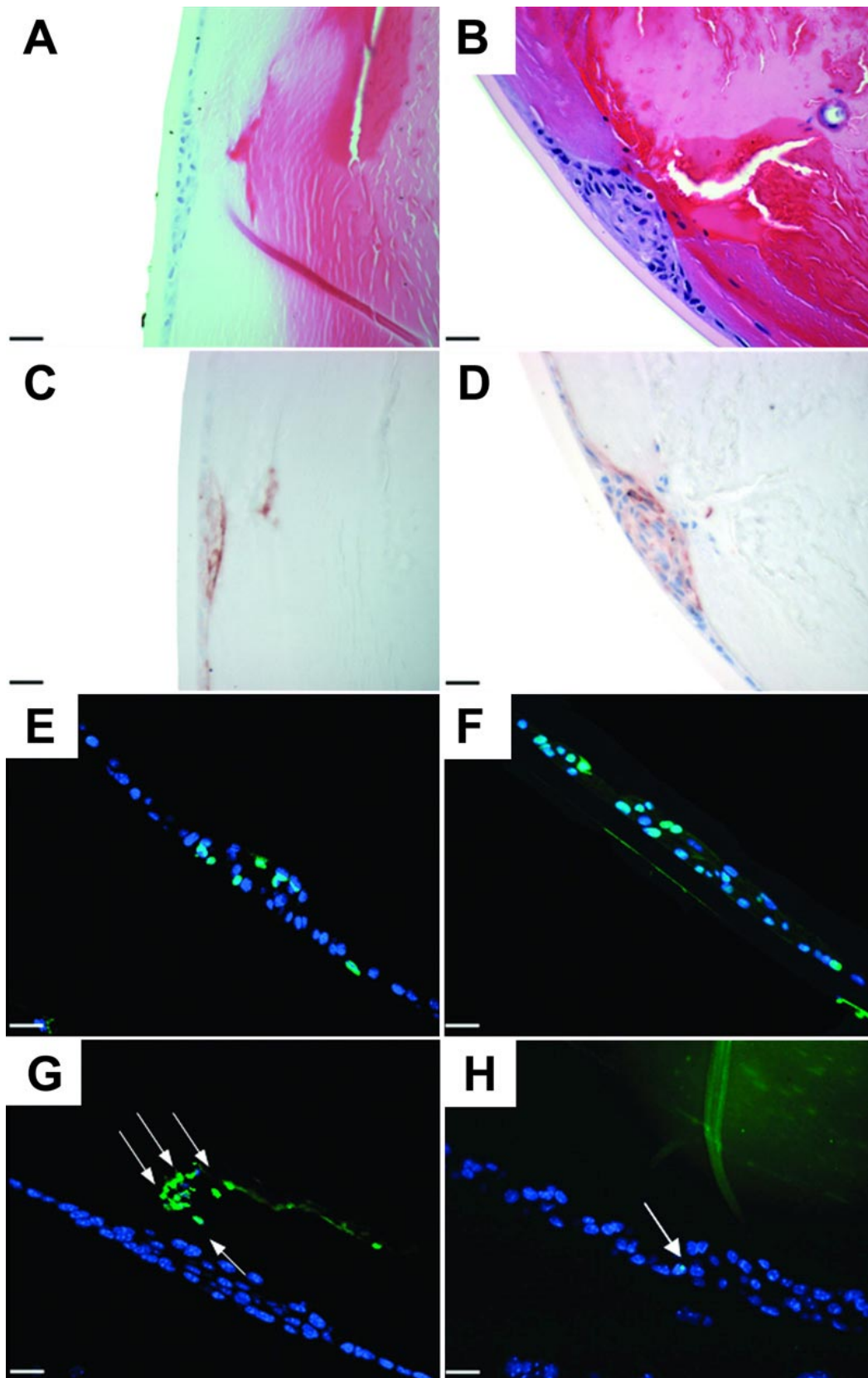


Figure 8. Effects of adenoviral gene transfer of active TGFβ1 to Smad3KO eyes after four days.. Histological sections from Smad3KO (A, C, E, and G) and WT (B, D, F, and H) treated with AdTGFβ1. Both groups developed αSMA (brown; C and D) expressing plaques, and showed no difference in cellular proliferation by the PCNA (green) stain (E and F). Smad3 KO (G) animals demonstrated more TUNEL (green) positive cells (arrows) compared to WT (H). The scale bar is equal to 25 μm.

tracts containing swollen and degenerating cells. These features are not typically found in patients with ASC and none of these features were observed in our AdTGF $\beta$  model, even at 3 weeks post injection. Injection into the vitreous cavity as opposed to the anterior chamber may have different outcomes. For example, Oksala et al. [33] found that intracameral injection of calcitonin gene-related peptide (CGRP) increased, whereas intravitreal injection of CGRP decreased intraocular pressure. Thus, the difference in response to TGF $\beta$  in the two models may be related to the site of delivery. Intracameral delivery of recombinant TGF $\beta$  in rodents has not been described, and this may be due to the fact that it is quickly turned over in the anterior chamber through drainage of the aqueous humor [34].

Another *in vivo* model of ASC is a lens injury model in mice [35]. In this model, a hypodermic needle is inserted trans-corneally to disrupt the lens capsule, resulting in the EMT of LECs and ASC formation. While this model produces many of the features observed in human ASC, one aspect of this model is rupture of the lens capsule, which is not associated with ASC development. Disruption of the capsule in the lens injury model results in exposure of the lens crystallins to resident antigen presenting cells and may elicit an immune response that may not normally be found in ASC patients [36,37]. Similarly, the recent ASC model developed using topical alkali application to the ocular surface elicits wound responses in multiple tissues of the anterior segment [22]. Use of adenoviral vectors *in vivo* [38] typically induces both innate and adaptive immune responses to the viral proteins [39]. Anterior chamber injections, however, typically do not produce systemic responses and do not induce delayed type hypersensitivity when animals are re-challenged with antigen [40]. Thus, in the AdTGF $\beta$ 1 model, the lens capsule remains intact and only a minimal immune response directed against the adenoviral vector is observed. Additionally, since transgene expression is transient, a small initial dose may be all that is required [41].

While many laboratories have shown that adenovirus can transduce lens epithelial cells in culture [42], the ability of the adenovirus to transduce the lens epithelium *in vivo* has been a matter of debate [43]. However, using both AdGFP and AdLacZ vectors we demonstrated that adenoviral delivery to the anterior chamber resulted in transduction of the mouse lens epithelium, in the absence of a capsular break. Additionally, AdTGF $\beta$ 1 treated eyes showed upregulated levels of TGF $\beta$ 1 in the lens epithelium. Recent work has shown that intravitreal injection of AAV vectors encoding enhanced green fluorescent protein can also transduce the lens epithelium [44]. In addition to the lens, we found that multiple structures of the anterior chamber, including the corneal endothelium and stromal cells, were also transduced by AdLacZ. Thus, delivery of the AdTGF $\beta$ 1 may have resulted in the transduction of many of these tissues which as a result may have contributed to development of the AdTGF $\beta$ 1-induced ASC. At the present time it is not known whether transduction of the lens is required for development of ASC. Nonetheless, the intracameral

delivery of AdTGF $\beta$ 1 represents a quick and reproducible model for ASC in mice.

Employment of the AdTGF $\beta$ 1 model to the Smad3 deficient mice confirmed our earlier findings demonstrating that while Smad3 is sufficient for, it is not necessary for the EMT of LECs during ASC formation *in vivo*. In our recent study, TGF $\beta$ 1 transgenic mice expressing active TGF $\beta$ 1 under the  $\alpha$ A-crystallin promoter, were bred onto a Smad3KO background and exhibited ASC plaques, albeit they were smaller than those found in their wild-type littermates [23]. This was correlated with an increase in apoptosis in the subcapsular plaques and a decrease in expression of collagen type IV. Similar to these findings, we also observe that AdTGF $\beta$ 1 induced ASC were smaller in Smad3KO animals compared to their wildtype littermates and exhibited higher numbers of apoptotic cells. We further extended this finding to show that proliferation of cells in the ASC, as assessed by PCNA immunostaining, did not appear to differ between the AdTGF $\beta$ 1-treated Smad3KO and wild-type mice. Thus together these data suggest that decreased cell survival is likely to be the underlying cause of the smaller sized plaques in the Smad3KO mice.

Findings for the models described above, as well as the recently developed alkali burn model, are in contrast to earlier work by Saika and colleagues which showed that Smad3 deficient mice were resistant to ASC formation in response to lens injury [45]. One possible explanation for the difference, as also pointed out by these authors [22], is that the levels of active TGF $\beta$  produced in each of the models may be different. The adenoviral gene transfer and transgenic approaches may have produced larger amounts of active TGF $\beta$  than that of the lens injury model. Indeed different transgenic promoters with different strengths were shown to result in variability in the severity of anterior chamber and lens defects in mice [19,20]. Finally, individual susceptibility among mouse strains has been well documented and may explain some of these differences. For example, strains that are susceptible to fibrosis-inducing stimuli include C57BL/6, which were used in these studies [46-50].

In summary, we have developed a novel model of ASC involving adenoviral delivery of active porcine TGF $\beta$ 1 to the anterior segment of rodent eye. AdTGF $\beta$ 1 induced ASC consisting of epithelial multilayering beneath the lens capsule and induction of  $\alpha$ SMA expression within 4 days, which was sufficient to further induce aberrant deposition of ECM in mice out to 21 days post infection. We also confirm that TGF $\beta$ 1-induced EMT of LECs as evidenced by  $\alpha$ SMA expression is not Smad3-dependent in that Smad3 deficient mice can develop small ASC plaques that are  $\alpha$ SMA positive, further demonstrating that additional TGF $\beta$  signaling cascades participate in the EMT of LECs and ASC formation.

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