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The Coronavirus Disease 2019 Pandemic's Effect on Critical Care Resources and Health-Care Providers

A Global Survey



Sarah Wahlster, MD; Monisha Sharma, PhD; Ariane K. Lewis, MD; Pratik V. Patel, MD; Christiane S. Hartog, MD; Gemi Jannotta, PhD, ARNP; Patricia Blissitt, PhD, ARNP-CNS; Erin K. Kross, MD; Nicholas J. Kassebaum, MD; David M. Greer, MD, MA; J. Randall Curtis, MD, MPH; and Claire J. Creutzfeldt, MD



BACKGROUND: The coronavirus disease 2019 (COVID-19) pandemic has severely affected ICUs and critical care health-care providers (HCPs) worldwide.

RESEARCH QUESTION: How do regional differences and perceived lack of ICU resources affect critical care resource use and the well-being of HCPs?

STUDY DESIGN AND METHODS: Between April 23 and May 7, 2020, we electronically administered a 41-question survey to interdisciplinary HCPs caring for patients critically ill with COVID-19. The survey was distributed via critical care societies, research networks, personal contacts, and social media portals. Responses were tabulated according to World Bank region. We performed multivariate log-binomial regression to assess factors associated with three main outcomes: limiting mechanical ventilation (MV), changes in CPR practices, and emotional distress and burnout.

RESULTS: We included 2,700 respondents from 77 countries, including physicians (41%), nurses (40%), respiratory therapists (11%), and advanced practice providers (8%). The reported lack of ICU nurses was higher than that of intensivists (32% vs 15%). Limiting MV for patients with COVID-19 was reported by 16% of respondents, was lowest in North America (10%), and was associated with reduced ventilator availability (absolute risk reduction [ARR], 2.10; 95% CI, 1.61-2.74). Overall, 66% of respondents reported changes in CPR practices. Emotional distress or burnout was high across regions (52%, highest in North America) and associated with being female (mechanical ventilation, 1.16; 95% CI, 1.01-1.33), being a nurse (ARR, 1.31; 95% CI, 1.13-1.53), reporting a shortage of ICU nurses (ARR, 1.18; 95% CI, 1.05-1.33), reporting a shortage of powered air-purifying respirators (ARR, 1.30; 95% CI, 1.09-1.55), and experiencing poor communication from supervisors (ARR, 1.30; 95% CI, 1.16-1.46).

INTERPRETATION: Our findings demonstrate variability in ICU resource availability and use worldwide. The high prevalence of provider burnout and its association with reported insufficient resources and poor communication from supervisors suggest a need for targeted interventions to support HCPs on the front lines. CHEST 2021; 159(2):619-633

KEY WORDS: burnout; COVID-19; critical care; emotional distress; mechanical ventilation; resource use; survey

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ABBREVIATIONS: APP = advanced practice provider; ARR = absolute risk reduction; COVID-19 = coronavirus disease 2019; HCP = health-care provider; LMIC = low- and middle-income countries; MV = mechanical ventilation; PAPER = powered air-purifying respirator; PPE = personal protective equipment; RT = respiratory therapist

AFFILIATIONS: From the Department of Neurology (Drs Wahlster and Creutzfeldt), the Department of Anesthesiology and Pain Medicine (Drs Wahlster, Patel, Jannotta, and Kassebaum), and the Department of Neurological Surgery (Dr Wahlster), Harborview Medical Center, the Department of Global Health (Drs Sharma and Kassebaum),

As of August 27, 2020, the coronavirus disease 2019 (COVID-19) pandemic had resulted in 204,290,582 confirmed cases worldwide and taken 828,070 lives in 188 countries.^{1,2} With 5% to 38% of patients hospitalized with COVID-19 requiring admission to an ICU,³⁻⁵ and 75% to 88% of patients critically ill with COVID-19 requiring mechanical ventilation (MV),⁵⁻⁸ ICUs around the world have been facing major challenges, including determining the appropriate allocation of resources and balancing the care of patients with COVID-19 and that of other patients who are critically ill, while having to restructure workflows and

ensure the safety of patients, their families, and health-care providers (HCPs).

A better characterization of the pandemic's effects on ICU resources (three crucial elements: staff, staff, and space⁹) and on HCPs worldwide is important to identifying strategies to support health-care systems across the world in surmounting this crisis, as well as potential future disasters, when rationing of resources may be necessary. With this international survey, we aimed to assess rapidly the key concerns of interprofessional HCPs on the front lines caring for patients critically ill with COVID-19.

Materials and Methods

Survey Design

An interprofessional health-care team, including physicians, nurses, respiratory therapists (RTs), and advanced practice providers (APPs, defined as advanced registered nurse practitioners, physician assistants, and certified registered nurse anesthetists), developed a 41-question structured questionnaire in English (Appendix 1) to elicit perceptions of international HCPs in the context of available staffing, critical care resources, and space. We followed the Strengthening the Reporting of Observational Studies in Epidemiology guidelines for the reporting of cross-sectional studies.¹⁰ Data were collected using Research Electronic Data Capture tools hosted at the Institute of Translational Health Sciences.¹¹ Certain questions were displayed contingent on preceding responses. Before distribution, the survey was pilot tested by 30 HCPs from five countries who were not included in the final analysis.

Ethics Approval

The study was deemed exempt by the University of Washington Institutional Review Board because no personally identifying data were recorded and written consent was not required. Before

initiating the survey, respondents were informed that the survey was anonymous, participation was voluntary, and summary results would be shared with the scientific community.

Population

Our target population included physicians, nurses, APPs, and RTs who care for patients with COVID-19 hospitalized in an ICU. We asked survey respondents to self-attest to having direct involvement in the care of patients with COVID-19 requiring intensive care. Respondents who negated this question (n = 426) were excluded from the analysis, along with participants who completed only demographic information (n = 37).

Survey Administration

The survey was distributed electronically between April 23 and May 7, 2020, with the intention of capturing data during or close to the time of peak surges in many countries. HCPs were reached via the following strategies. The World Federation of Intensive and Critical Care e-mailed its 85 scientific member societies and encouraged them to distribute the survey among their membership. We collaborated with 16 critical care professional societies (e-Appendix 1) who shared the link with their membership (via e-mail or post on websites or social media). The survey link was distributed to relevant subgroups within the Global Sepsis Alliance and the Prevention and Early Treatment of Acute Lung Injury network. We e-mailed corresponding authors from clinical publications about patients critically ill with COVID-19 on the basis of a literature search of COVID-19 publications from February 1 to April 22, 2020. Personal contacts of the authors known to care directly for patients with COVID-19 in the ICU were invited to participate and asked to distribute the survey to their colleagues. Lastly, we distributed the link on Twitter and Facebook and shared it within intensive care and critical care forums focusing on COVID-19 that required medical credentials to approve members. Posts were sharable to facilitate widespread distribution.

We chose this convenience sampling approach to reach a large number of HCPs worldwide in a short period. We accepted that we would not be able to gauge accurate individual response rates because of various dissemination mechanisms (eg, critical care societies sharing the link on various websites and social media portals) and that we had limited ability to confirm how many respondents saw or received the link within these forums.

Variable Categorization

Countries were categorized according to World Bank region: East Asia and Pacific, Europe and Central Asia, Latin America and the Caribbean, Middle East and North Africa, North America, South

the Division of Pulmonary, Critical Care, and Sleep Medicine (Drs Kross and Curtis), the Department of Medicine, and the Cambia Palliative Care Center of Excellence (Drs Kross, Curtis, and Creutzfeldt), University of Washington, WA; the Departments of Neurology and Neurosurgery (Dr Lewis), New York University, NY; the Klinik für Anaesthesie und operative Intensivmedizin (Dr Hartog), Charité Universitätsmedizin Berlin, Berlin, and the Klinik Bavaria Kreischa (Dr Hartog), Germany; the Department of Biobehavioral Nursing and Health Informatics (Dr Blissitt), University of Washington School of Nursing, WA; the Department of Neurology (Dr Greer), Boston University, Boston, MA; and the Cambia Health Foundation (Drs Curtis and Creutzfeldt).

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CORRESPONDENCE TO: Sarah Wahlster, MD, Department of Neurology, Harborview Medical Center, University of Washington, 325 9th Ave, Seattle, WA 98104; e-mail: wahlster@uw.edu

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Asia, and sub-Saharan Africa. We categorized countries into before, during, and after the peak of deaths per day^{12,13} and calculated an indicator of how much a country was affected by COVID-19 at the time of survey administration (the severity index in e-Table 1 and data in e-Table 2) by using the average daily death rate by population.^{13,14} Mortality was chosen as a surrogate for peak and severity index instead of incidence because mortality is less confounded by testing availability and serves as an indicator of disease burden on ICUs.

Statistical Analysis

We used descriptive statistics to report respondent characteristics and survey outcomes. We used univariate binomial regression to assess associations among region, provider type, and prespecified outcomes

of interest. We conducted multivariate log-binomial regression to assess predictors of three main outcomes: limiting the use of MV for patients with COVID-19, changing policies or practices of CPR, and reporting emotional distress and burnout. These outcomes were selected as surrogates for ICU resource use (the first two items) and the psychological burden of the pandemic on HCPs (the third item). Exposures considered included provider type, sex, perceived lack of resources (organized by three crucial elements: stuff, staff, and space⁹), time from COVID-19 peak, and severity index. Exposures that were statistically significant in the univariate regression were considered for inclusion in the multivariate model. We performed a complete case analysis; respondents with missing data were removed from regressions. Analyses were conducted using software (R Software; R Foundation for Statistical Computing).¹⁵⁻¹⁷

Results

We identified and approached contacts in 95 countries and received 3,182 responses from 93 countries; 2,700 respondents from 77 countries were included in the analysis (81% of countries contacted) (Fig 1A). HCPs within China reported being unable to access the survey link. Reasons for excluding responses are outlined in e-Figure 1. Detailed respondent characteristics by World Bank region are displayed in Table 1. Most respondents were from North America (63%) and Europe and Central Asia (23%). The top responding countries (with > 50 respondents per country) were the United States, United Kingdom, Italy, Japan, Australia, and Germany. Survey respondents were physicians (41%), nurses (40%), RTs (11%), and APPs (8%). Most participants reported working in urban, large teaching hospitals (71%), and 65% were female. Among the 798 (30%) respondents who opted to disclose their institution, 422 different institutions were reported (e-Table 6). Most respondents listed critical care medicine as a subspecialty: 85% of attending physicians, 69% of physicians in training, and 93% of nurses (e-Table 3). Overall, 76% of respondents (n = 2,056) completed all survey questions.

Staff

Table 2 and e-Table 4 summarize perceived lack of resources, changes in clinical practice, and HCPs' concerns by region. Although 15% of respondents reported insufficient numbers of intensivists to care for patients critically ill with COVID-19, 32% reported insufficient numbers of ICU nurses. Regions with the highest report of insufficient numbers of intensivists were sub-Saharan Africa (50%) and Latin America and the Caribbean (37%) compared with North America (11%). The highest report of insufficient numbers of ICU nurses was in South Asia (57%) and Europe and Central Asia (47%) compared with North America

(27%). Figures 1B and 1C display the proportion of respondents reporting shortages of intensivists and ICU nurses by country.

Space

Shortages of ICU beds were reported by 13% of respondents (ranging from 11% in North America to 50% in South Asia) to care for patients critically ill with COVID-19 (Fig 1D) and by 17% (ranging from 13% in North America to 41% in Latin America and the Caribbean) for other patients requiring ICU care. e-Figure 2 displays reported measures that were implemented to mitigate the effect of ICU bed shortages, including the conversion of postoperative recovery rooms (reported by 20%) and operating rooms (12%).

Stuff

Testing: The severe acute respiratory syndrome coronavirus 2 real-time polymerase chain reaction test was available for all patients according to 35% of respondents and for "select patients based on symptoms" according to 56% (e-Table 4). For HCPs, the test was available for all according to 15% of respondents and for "select HCPs based on symptoms and area of work" according to 62%. Among the respondents who reported testing was available, 41% indicated that it required hospital approval. Few respondents reported absence of testing capabilities for patients (0.5%) or HCPs (6%).

Personal Protective Equipment: Surgical masks and gloves were reported to be always available according to 95% and 83% of respondents, respectively. Other personal protective equipment (PPE) was generally restricted to select HCPs or HCPs caring for patients with certain characteristics (Fig 2A): N95 masks (35% available for all HCPs, 57% restricted), dedicated eye protection (50% and 40%), and face shields

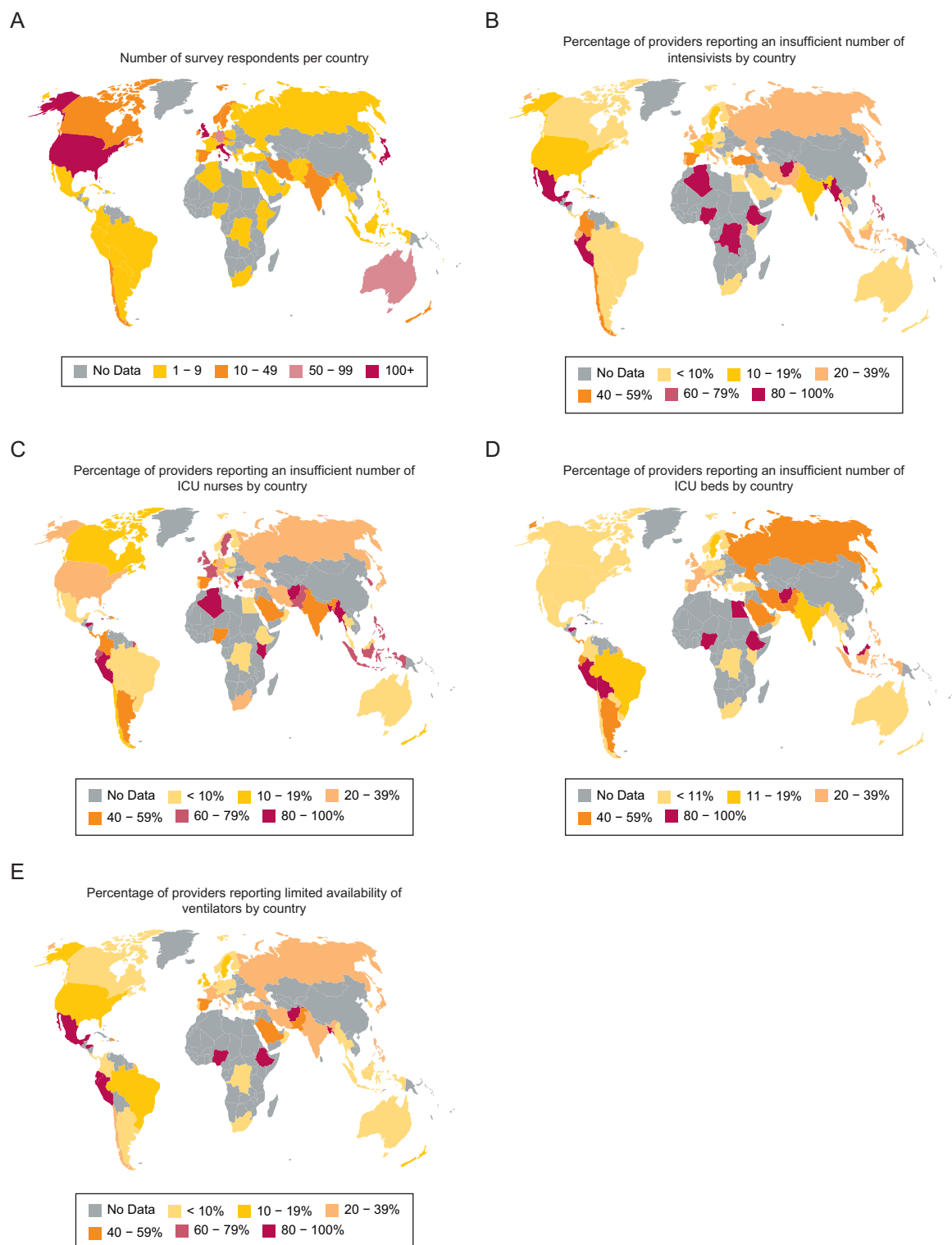


Figure 1 – World maps displaying number of survey respondents per country (A), percentage of health-care providers (HCPs) reporting an insufficient number of intensivists by country (B), percentage of HCPs reporting an insufficient number of ICU nurses by country (C), percentage of HCPs reporting an insufficient number of ICU beds by country (D), and percentage of HCPs reporting limited availability of ventilators by country (E).

(46% and 44%). The largest shortage was reported for powered air-purifying respirators (PAPRs; 14% available for all and 48% restricted), with 26% of respondents

reporting a complete lack of PAPRs in their hospital (least in North America at 12%). One in four respondents (23%) thought that their hospital's policy

TABLE 1] Respondent Characteristics by Region

Characteristic	East Asia and Pacific (n = 243)	Europe and Central Asia (n = 630)	Latin America and The Caribbean (n = 45)	Middle East and North Africa (n = 50)	North America (n = 1,696)	South Asia (n = 27)	Sub-Saharan Africa (n = 9)	Total (N = 2,700)
Sex, No. (%)								
Female	83 (34)	380 (60)	14 (31)	26 (52)	1,251 (74)	9 (33)	4 (44)	1,767 (65)
Male	158 (65)	244 (39)	30 (67)	23 (46)	432 (25)	16 (59)	5 (56)	908 (34)
Nonbinary	0 (0)	2 (0)	1 (2)	1 (2)	1 (0)	1 (4)	0 (0)	6 (0)
Not disclosed	2 (1)	4 (1)	0 (0)	0 (0)	12 (1)	1 (4)	0 (0)	19 (1)
Years in clinical practice, mean (SD)	18.4 (9.05)	15.7 (9.78)	16.9 (9.24)	14.3 (10.6)	11.6 (9.40)	17.7 (11.0)	12.7 (7.25)	13.3 (9.79)
No. of patients with COVID-19 cared for, No. (%)								
< 10	217 (89)	163 (26)	25 (56)	20 (40)	676 (40)	19 (70)	7 (78)	1,127 (42)
10-50	26 (11)	380 (60)	16 (36)	20 (40)	819 (48)	8 (30)	2 (22)	1,271 (47)
> 50	0 (0)	86 (14)	4 (9)	10 (20)	201 (12)	0 (0)	0 (0)	301 (11)
Hospital setting, No. (%)								
Rural, < 100 beds	1 (0)	6 (1)	2 (4)	5 (10)	33 (2)	2 (7)	0 (0)	49 (2)
Rural, ≥ 100 beds	12 (5)	28 (4)	1 (2)	2 (4)	89 (5)	0 (0)	0 (0)	132 (5)
Urban, no teaching, < 200 beds	4 (2)	19 (3)	8 (18)	4 (8)	83 (5)	3 (11)	0 (0)	121 (4)
Urban, no teaching, ≥ 200 beds	25 (10)	69 (11)	5 (11)	3 (6)	244 (14)	6 (22)	0 (0)	352 (13)
Urban, teaching, < 200 beds	6 (2)	34 (5)	9 (20)	3 (6)	78 (5)	1 (4)	0 (0)	131 (5)
Urban, teaching, ≥ 200 beds	195 (80)	473 (75)	20 (44)	33 (66)	1,168 (69)	15 (56)	9 (100)	1,913 (71)
Qualification, No. (%)								
Attending physician	181 (74)	295 (47)	34 (76)	29 (58)	349 (21)	23 (85)	6 (67)	907 (33)
Physician in training	21 (9)	59 (9)	2 (4)	11 (22)	109 (6)	3 (11)	2 (22)	207 (8)
Nurse	30 (12)	248 (39)	1 (2)	8 (16)	738 (44)	1 (4)	1 (11)	1,077 (40)
APP	5 (2)	22 (3)	0 (0)	1 (2)	183 (11)	0 (0)	0 (0)	211 (8)
RT	6 (2)	5 (1)	8 (18)	1 (2)	277 (16)	0 (0)	0 (0)	297 (11)

The number of respondents in each category varies slightly because some responses were optional; multiple responses were possible per respondent regarding area of specialization, so the most frequent subspecialties are reported. Not all percentages total 100% because of rounding. Years in clinical practice includes years in training. Regions are categorized using the World Bank classification of countries (<https://datatopics.worldbank.org/world-development-indicators/the-world-by-income-and-region.html>). APP = advanced practice provider; COVID-19 = coronavirus disease 2019; RT = respiratory therapist.

on PPE was not appropriate or safe (e-Table 4); in univariate regressions, this sentiment was significantly higher among nurses (48%), RTs (27%), APPs (19%), and physicians in training (21%) compared with attending physicians (7%) (e-Table 5) and was higher in North America (27%) than in other regions. $P < .05$ (P values are different but always statistically significant for each subspecialty compared to attending physicians).

Ventilators and Oxygenation Therapies: Limited availability (ie, only for select patients) was reported for MV (11%) (Fig 1E), noninvasive positive pressure ventilation (21%), and high-flow nasal cannula (23%) (Fig 2B). The percentage of respondents reporting limited ventilator availability varied across regions and was lowest in North America (7%) compared with sub-Saharan Africa (43%), the Middle East and North Africa (34%), and Europe and Central Asia (17%). No respondent reported a complete lack of ventilators, and only 1% reported simultaneously using the same ventilator for multiple patients.

Diagnostics: Tests and procedures for patients critically ill with COVID-19 were frequently restricted, with a substantial proportion of respondents reporting limiting the use of bronchoscopy (54%), CT scanning (60%), echocardiography (47%), MRI (44%), ultrasonography (41%), lumbar puncture (40%), and paracentesis (39%) to select patients. Approximately one-quarter of respondents reported not performing bronchoscopy (22%) or MRI (25%) despite availability to do so (Fig 2C).

Limiting the Use of MV in Patients With COVID-19

One in six (16%) respondents reported limiting the use of MV in patients with COVID-19 on the basis of clinical severity (54%), comorbidities (42%), age (29%), or health insurance or financial means (3%). In the multivariate regression, the likelihood of limiting MV was two to three times higher in all other world regions than in North America (Table 3), highest in settings where a lack of ventilators was reported (absolute risk reduction [ARR], 2.10; 95% CI, 1.61-2.74), and marginally associated with lack of PAPRs and caring for > 50 patients with COVID-19. Shortages of intensivists, nurses, and ICU beds were univariately associated with limiting MV, but these associations disappeared (ARR close to 1) after adjustment for other covariates.

Changes in CPR Practices, Shared Decision-making, and Palliative Care

Changes in CPR practices because of COVID-19 were reported by 66% of respondents, with 38% reporting

implementation of a new policy. In multivariate analyses, changes in CPR policy and practices were significantly lower in Europe and Central Asia than in North America (ARR, 0.86; 95% CI, 0.76-0.99) and were not associated with shortage of staff, ICU beds, or resources (Table 3).

The percentage of respondents who reported not performing CPR at all in patients with COVID-19 varied by region (from 1% in North America to 57% in sub-Saharan Africa). A number of factors were considered when deciding prospectively whether to perform CPR, including clinical severity (66% of respondents), comorbidities (31%), and patient age (18%). Among those who did perform CPR, respondents were split in their practices whether to base the decision on family or surrogate wishes vs physician determination. North America was the only region in which most respondents (67%) performed CPR on the basis of family or surrogate wishes; in all other regions, most respondents stated that this decision was made by the treating physicians (100% in sub-Saharan Africa, 88% in South Asia, 75% in Latin America and the Caribbean, and 74% in Europe and Central Asia).

When critical decisions had to be made regarding withholding or withdrawing life-sustaining treatments, 16% of respondents allowed families less participation in decision-making for patients with COVID-19 than for other patients in the ICU: 11% in North America and East Asia and Pacific compared with 22% in Europe and Central Asia and 27% in Latin America and the Caribbean. One-half of respondents (48%) reported consulting palliative care specialists for patients with COVID-19 in the ICU, with the highest proportion in North America (61%). In contrast, not consulting palliative care specialists for patients critically ill with COVID-19 despite availability of palliative care was reported by 50% of respondents from Europe and Central Asia vs 8% from North America. Overall, 39% thought that palliative care consultations had increased during the pandemic (45% in North America vs 18% in Europe and Central Asia).

Provider Concerns

The most common concerns among HCPs included transmitting infection to their families (61%), emotional distress and burnout (52%), concerns about their own health (44%), and experiencing social stigma from their communities (21%). All HCPs' concerns were highest in North America. A substantial minority (11%) expressed worries about their financial situation, most commonly

TABLE 2] Provider Perceptions Regarding Supplies, Treatment of Patients With COVID-19, and Concerns by Region

Perception	East Asia and Pacific (n = 243)	Europe and Central Asia (n = 630)	Latin America and the Caribbean (n = 45)	Middle East and North Africa (n = 50)	North America (n = 1,696)	South Asia (n = 27)	Sub-Saharan Africa (n = 9)	Total (N = 2,700)
Perceived lack of ICU resources by region								
Shortages reported								
Intensivists	40 (18)	115 (20)	15 (37)	13 (29)	191 (11)	7 (30)	4 (50)	385 (15)
ICU nurses	52 (24)	277 (47)	15 (37)	14 (31)	432 (27)	13 (57)	3 (38)	806 (32)
ICU beds	25 (13)	63 (13)	11 (34)	10 (29)	150 (11)	10 (50)	3 (50)	272 (13)
PPE availability limited								
Gloves	22 (10)	27 (5)	4 (11)	5 (11)	4 (3)	0 (0)	2 (24)	101 (4)
Gowns	56 (26)	133 (24)	11 (29)	16 (37)	348 (24)	8 (36)	6 (75)	578 (24)
Surgical masks	34 (16)	70 (12)	4 (10)	6 (14)	201 (14)	1(5)	4 (50)	320 (13)
Eye protection	95 (45)	213 (38)	18 (47)	22 (51)	561 (37)	13 (59)	7 (87)	929 (39)
Face shields	117 (57)	256 (45)	20 (53)	23 (54)	627 (42)	11 (50)	6 (75)	1,050 (44)
N95 masks	127 (60)	285 (53)	17 (45)	26 (61)	877 (58)	14 (64)	6 (75)	1,362 (57)
PAPRs	80 (38)	147 (27)	9 (24)	13 (31)	825 (55)	1 (5)	0 (0)	1,075 (46)
Ventilator supplies limited								
Mechanical ventilation	21 (10)	87 (17)	11 (31)	13 (34)	102 (7)	6 (27)	3 (43)	243 (11)
NIPPV	29 (14)	156 (30)	20 (57)	15 (38)	239 (17)	10 (45)	3 (43)	472 (21)
HFNC	29 (14)	189 (37)	15 (43)	14 (37)	271 (19)	9 (41)	0 (0)	527 (23)
Changes in resource use and provider concerns								
Limiting mechanical ventilation	32 (16)	161 (31)	7 (20)	13 (33)	140 (10)	7 (32)	2 (29)	362 (16)
CPR policy changes								
Unchanged	59 (29)	210 (41)	12 (34)	16 (41)	460 (32)	7 (32)	2 (29)	766 (34)
New policy implemented	83 (41)	198 (38)	11 (31)	12 (31)	547 (38)	5 (23)	2 (29)	858 (38)
No policy change but practice has changed	59 (29)	109 (21)	12 (34)	11 (28)	421 (29)	10 (45)	3 (43)	625 (28)
CPR in patients with COVID-19								
Not performed	18 (9)	19 (4)	7 (20)	4 (10)	17 (1)	5 (23)	4 (57)	74 (3)

(Continued)

TABLE 2] (Continued)

Perception	East Asia and Pacific (n = 243)	Europe and Central Asia (n = 630)	Latin America and the Caribbean (n = 45)	Middle East and North Africa (n = 50)	North America (n = 1,696)	South Asia (n = 27)	Sub-Saharan Africa (n = 9)	Total (N = 2,700)
Physicians determine	123 (61)	368 (71)	21 (60)	22 (56)	450 (32)	15 (68)	3 (43)	1,002 (45)
Families determine	60 (30)	130 (25)	7 (20)	13 (33)	961 (67)	2 (9)	0 (0)	1,173 (52)
Allow families to participate in critical decisions for patients with COVID-19								
More than other patients in the ICU	14 (7)	17 (3)	1 (3)	5 (13)	74 (5)	4 (18)	3 (43)	118 (5)
Same as other patients in the ICU	165 (82)	386 (75)	24 (71)	25 (64)	1,189 (84)	13 (59)	3 (43)	1,805 (81)
Less than other patients in the ICU	21 (10)	112 (22)	9 (26)	9 (23)	155 (11)	5 (23)	1 (14)	312 (14)
Palliative care consultations for patients with COVID-19								
≥ 50% of patients	9 (5)	31 (6)	1 (3)	5 (14)	411 (30)	1 (5)	1 (17)	459 (21)
< 50% of patients	42 (22)	111 (22)	9 (26)	4 (11)	416 (31)	0 (0)	2 (33)	584 (27)
Did not consult palliative care specialists	83 (44)	249 (50)	11 (32)	15 (43)	105 (8)	7 (35)	1 (17)	471 (22)
No palliative care specialists available	19 (10)	59 (12)	9 (26)	7 (20)	48 (4)	11 (55)	2 (33)	155 (7)
Not sure	36 (19)	48 (10)	4 (12)	4 (11)	376 (28)	1 (5)	0 (0)	469 (22)
Palliative care consultations								
More than before pandemic	6 (12)	26 (18)	3 (30)	3 (33)	371 (45)	1 (100)	1 (33)	411 (39)
Provider concerns								
Emotional distress and burnout	73 (30)	305 (48)	19 (42)	22 (44)	974 (57)	9 (33)	3 (33)	1,405 (52)
Worried about infecting family at home	122 (50)	345 (55)	21 (47)	25 (50)	1,119 (66)	17 (63)	5 (56)	1,654 (61)
Worried about own health	10 (31)	80 (50)	3 (43)	5 (38)	91 (65)	6 (86)	1 (50)	196 (54)

(Continued)

TABLE 2] (Continued)

Perception	East Asia and Pacific (n = 243)	Europe and Central Asia (n = 630)	Latin America and the Caribbean (n = 45)	Middle East and North Africa (n = 50)	North America (n = 1,696)	South Asia (n = 27)	Sub-Saharan Africa (n = 9)	Total (N = 2,700)
Social stigma from community	37 (15)	91 (14)	6 (13)	7 (14)	434 (26)	4 (15)	0 (0)	579 (21)
Feel that hospital unable to keep me safe	36 (15)	107 (17)	7 (16)	7 (14)	433 (26)	6 (22)	2 (22)	598 (22)
Poor communication from supervisors	30 (12)	134 (21)	3 (7)	8 (16)	366 (22)	4 (15)	2 (22)	547 (20)
Worries about financial situation	20 (8)	36 (6)	11 (24)	6 (12)	212 (13)	6 (22)	0 (0)	292 (11)

Data are presented as No. (%). The number of respondents in each category is slightly different because of missing data and some responses being optional. HFNC = high-flow nasal cannula; NIPPV = noninvasive positive pressure ventilation; PAPR = powered air-purifying respirator; PPE = personal protective equipment. See Table 1 legend for expansion of other abbreviation.

in Latin America and the Caribbean (24%) and South Asia (22%). Most HCPs (65%) stated that caring for patients with COVID-19 was mandatory at their institution. When not in the hospital, 12% of HCPs reported relocating to a separate residence from their families to protect them, and an additional 53% reported taking extra precautions while at home (e-Table 4).

In multivariate regression, emotional distress and burnout were significantly associated with being female (ARR, 1.16; 95% CI, 1.01-1.33) and being a nurse (ARR, 1.31; 95% CI, 1.13-1.53) (Table 4). Compared with providers who had cared for < 10 patients with COVID-19, those who had cared for 10 to 50 and > 50 patients had a 17% and 28% higher risk of burnout, respectively. Pandemic severity or time from peak within a respondent's country was not associated with burnout. Providers experiencing poor communication from their supervisors had a 30% higher likelihood of reporting burnout (95% CI, 1.16-1.46). Limited availability of PAPRs and shortages of nurses were associated with a 30% and 18% increased risk of burnout, respectively. Providers in Europe and Central Asia were 14% less likely to report burnout than were providers in North America (95% CI, 0.75-1.00).

Discussion

In this global survey of ICU providers during the COVID-19 pandemic, shortages of ICU staff and resources were reported frequently, as were emotional distress and burnout. Participants reported that the pandemic had changed practices concerning MV and CPR, in part based on resource availability. In addition, more than one-half of the respondents reported concerns about their own health and their families' health. Finally, our results highlight substantial variation across regions. For example, providers in North America reported higher levels of emotional distress or burnout, despite reporting fewer shortages of resources, and were also more likely to base CPR and other critical decisions on family wishes compared with findings in other world regions. Our results, which underscore the psychological burden on HCPs, complement results in recent reports about provider well-being from China, Italy, and the United States during the pandemic,¹⁸⁻²² as well as results from studies before the pandemic (3%-50% burnout rates across various types of ICU providers).²³⁻²⁶

We found modifiable and nonmodifiable predictors of burnout that may inform targeted interventions to

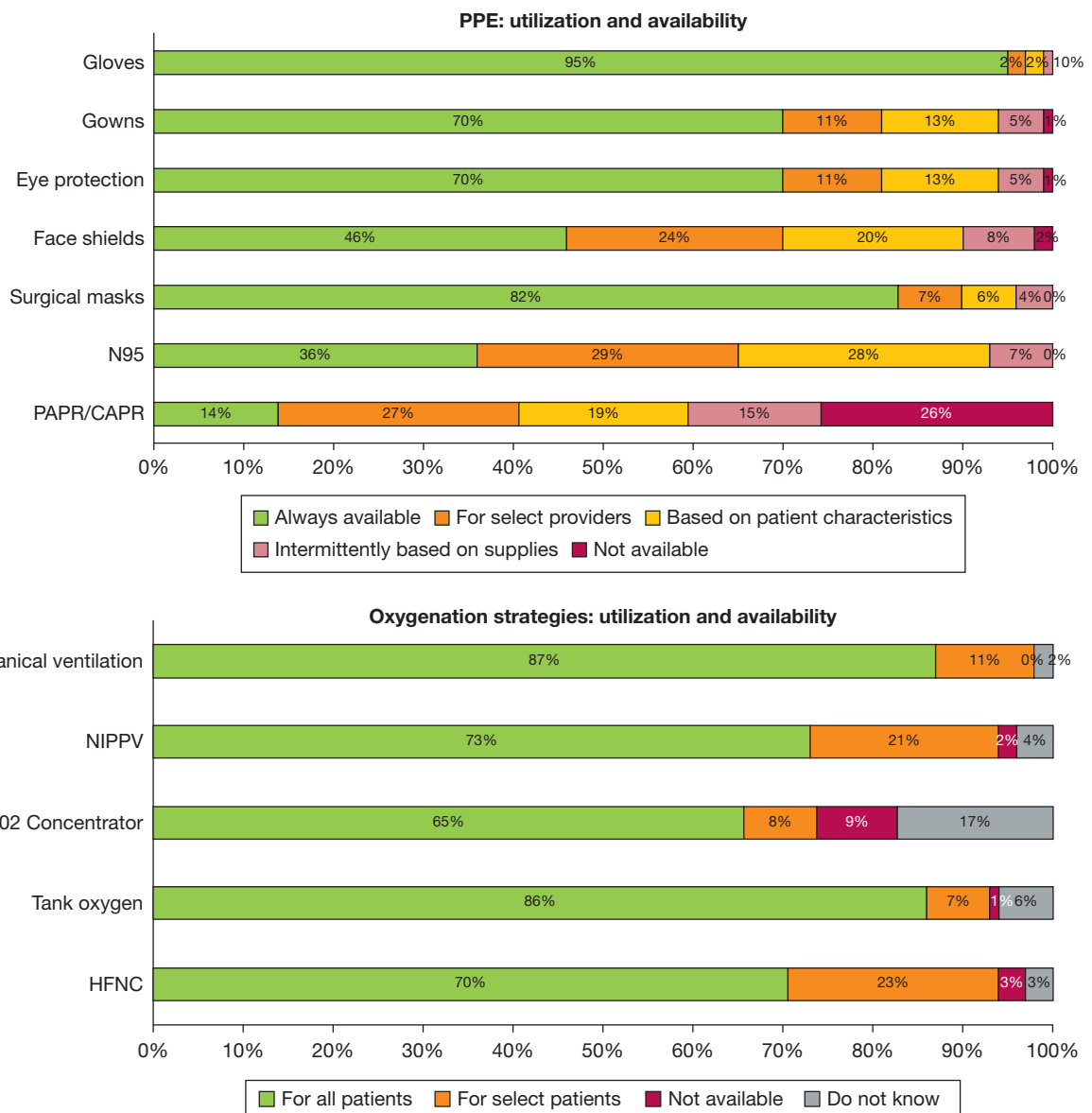


Figure 2 – ICU resource use and availability of personal protective equipment (A), oxygenation strategies (B), and medical tests and procedures (C) in patients with coronavirus disease 2019. Not all percentages across all rows total 100% because of rounding. CAPR = controlled air-purifying respirator; HFNC = high-flow nasal cannula; NIPPV = noninvasive positive pressure ventilation; PAPR = powered air-purifying respirator.

improve provider experiences and protect their mental well-being. First, across all regions, female HCPs and nurses were more likely to experience burnout. Second, provider burnout was independently associated with having cared for a larger number of patients with COVID-19. However, we did not find an association between pandemic severity and burnout. This finding likely indicates that the number of patients with COVID-19 an individual has cared for is a more reliable predictor of this individual's experiences than is the number of patients with

COVID-19 in a given region. Finally, burnout was associated with reporting a shortage of ICU nurses, insufficient PAPR availability, and poor communication from supervisors. A recently published survey of 9,120 ICU clinicians from the United States showed that the perceived need for both PPE masks and ICU staffing shortages exceeded all other resource challenges.²² Further analysis of our data showed that insufficient access to PPE was the strongest predictor of all provider concerns in the United States (data not shown). Communication in the COVID-19 era poses a

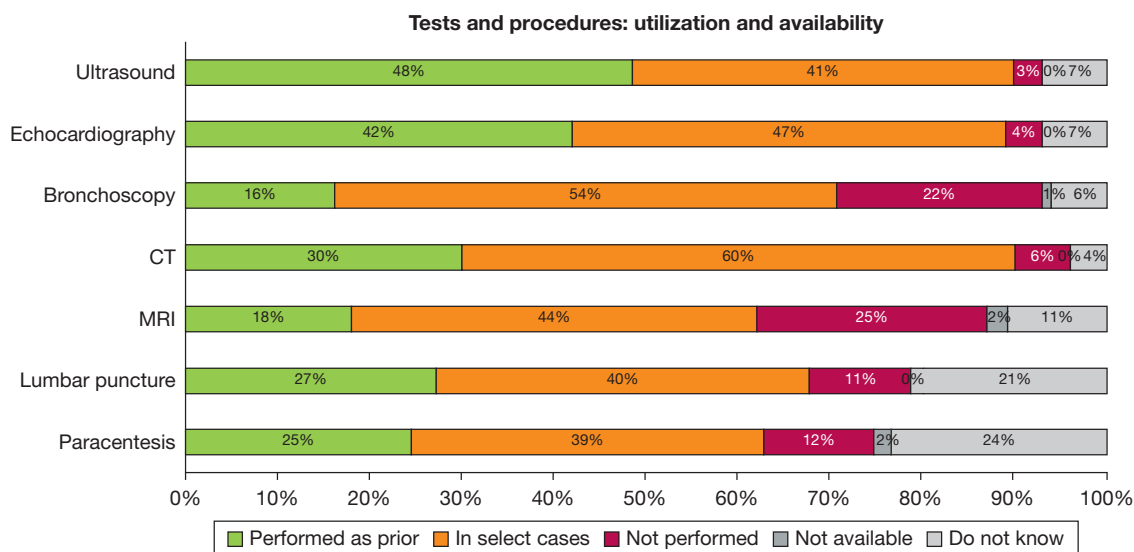


Figure 2 – Continued

major challenge, given the need constantly to adapt and implement new policies while remaining transparent to all affected HCPs.

Strengths of this study include its large sample size consisting of interprofessional HCPs at the front line of the pandemic in 77 countries. Furthermore, it was conducted during a time when many countries were severely affected by COVID-19, and we were able to capture the highest number of responses in many of the most affected countries (on the basis of case numbers, mortality, and case fatality rates). To our knowledge, this is the first global survey to assess of the pandemic’s effect comprehensively regarding ICU resources, practices, and provider well-being.

Several limitations need to be considered. First, the lack of a clearly defined sample introduces a substantial risk of response and sampling bias. We specifically targeted our distribution strategy to reach HCPs working in ICUs, but our convenience sampling approach may have limited the generalizability of our results. Also, because the survey was anonymous, we cannot exclude the possibility that respondents took the survey more than once. Second, most respondents were from North America and Europe and Central Asia, with low representation from low- and middle-income countries (LMIC). Future studies will need to target LMIC specifically to assess COVID-19’s effects in the context of resource-constrained health systems. Third, our survey was available only in English, and language barriers might have resulted in inaccurate responses and contributed to low numbers of participants in some countries. In addition, responses reflect the views of individual respondents but may not be representative

of all HCPs in any given country, particularly in countries with few participants. Fourth, respondents were mostly from large urban centers, which are likely to have more resources than rural hospitals have. However, these regions were also hardest hit in the COVID-19 pandemic. Fifth, reported practices during COVID-19 are rapidly changing as ICUs and HCPs continue to adjust to the burden imposed by the pandemic, so responses might differ within the 15-day window in which the survey was distributed. Also, practices captured in this survey were perceived by the respondents rather than reflecting actual practices. Sixth, changes in CPR practices might not purely reflect ICU resource use but rather represent measures to ensure the safety of HCPs. Finally, practice differences within regions, such as involving families in decision-making or limiting life-sustaining therapy, likely reflect cultural and medicolegal differences rather than a differential effect of the pandemic.²⁶

Our findings suggest an important need to create collaborative strategies for ventilatory support in resource-limited settings, in particular in anticipation of surges affecting LMIC,²⁷ as well as repeated surges in countries currently relaxing their strict measures to mitigate spread. Finally, our study emphasizes the personal sacrifices by HCPs, especially nurses, on the front lines worldwide and the need to support them proactively by implementing interventions to promote mental health and well-being.

Interpretation

COVID-19 has significantly affected ICU practices, resources, and staff. Across all regions, the reported lack

TABLE 3] Univariate and Multivariate Predictors of Limiting Mechanical Ventilation and Changes in CPR Policy

Characteristic	RR (95% CI)	P Value	ARR (95% CI)	P Value
Mechanical ventilation limited in patients with COVID-19				
Region				
North America	Ref		Ref	
East Asia and Pacific	1.58 (1.07-2.33)	.02	2.25 (1.05-4.85)	.04
Europe and Central Asia	3.17 (2.53-3.98)	< .001	2.95 (2.30-3.79)	< .001
Latin America and the Caribbean	2.09 (0.98-4.45)	.06	1.83 (0.76-4.41)	.17
Middle East and North Africa	3.38 (1.91-5.96)	< .001	2.93 (1.15-7.46)	.02
South Asia	3.55 (1.66-7.57)	< .001	4.20 (1.52-11.6)	.01
Sub-Saharan Africa	2.89 (0.72-11.7)	.14	2.90 (0.61-13.8)	.18
Reported lack of stuff, staff, and space				
Limited availability of PAPRs	1.62 (1.12-2.32)	.01	1.49 (0.98-2.27)	.06
Limited ventilator availability	2.99 (2.39-3.74)	< .001	2.10 (1.61-2.74)	< .001
Lack of intensivists	1.99 (1.58-2.52)	< .001	1.11 (0.83-1.50)	.47
Lack of nurses	1.78 (1.45-2.19)	< .001	1.07 (0.82-1.39)	.62
Lack of ICU beds	2.02 (1.56-2.61)	< .001	1.21 (0.88-1.65)	.24
No. of patients with COVID-19 cared for				
< 10	Ref		Ref	
10-50	1.16 (0.92-1.46)	.2	1.03 (0.78-1.35)	.19
> 50	1.73 (1.28-2.35)	< .001	1.40 (0.98-1.99)	.06
COVID-19 severity index^a				
Less severe	Ref		Ref	
Most severe	0.78 (0.60-1.02)	.07	1.34 (0.69-2.58)	.38
CPR and DNR policies and practices changed since COVID-19				
Region				
North America	Ref		Ref	
East Asia and Pacific	1.04 (0.87-1.24)	.68	1.23 (0.82-1.85)	.32
Europe and Central Asia	0.87 (0.77-0.99)	.04	0.86 (0.76-0.99)	.03
Latin America and the Caribbean	0.95 (0.63-1.46)	.83	1.04 (0.65-1.66)	.87
Middle East and North Africa	0.87 (0.58-1.32)	.51	1.02 (0.57-1.82)	.96
South Asia	1.03 (0.61-1.75)	.9	1.04 (0.59-1.80)	.9
Sub-Saharan Africa	1.05 (0.44-2.54)	.91	1.23 (0.47-3.19)	.67
Reported lack of stuff, staff, and space				
Limited availability of PAPRs	1.14 (0.98-1.34)	.09	1.12 (0.96-1.31)	.16
Limited ventilator availability	1.04 (0.89-1.21)	.61	...	
Lack of intensivists	1.09 (0.95-1.25)	.22	...	
Lack of nurses	1.11 (0.99-1.23)	.06	0.89 (0.80-1.00)	.05
Lack of ICU beds	1.12 (0.96-1.30)	.14	...	
No. of patients with COVID-19 cared for				
< 10	Ref		Ref	
10-50	1.03 (0.92-1.15)	.61	...	
> 50	1.08 (0.91-1.27)	.4	...	
COVID-19 severity index^a				
Less severe	Ref		Ref	
Most severe	1.02 (0.88-1.17)	.83	1.19 (0.82-1.72)	.37

^aThe severity index indicates daily deaths by population during the time of survey administration. Physicians in training include residents and fellows. Time from peak (mortality) was not associated with outcomes in univariate or multivariate regressions (data not shown). Variables not statistically associated with the outcomes in univariate regression or whose inclusion did not improve model fit were not included in the multivariate regression. No. of observations for multivariate regressions: mechanical ventilation limited (n = 2,231), CPR and DNR policies and practices changed since COVID-19 (n = 2,230), emotional distress and burnout (n = 2,477). ARR = absolute risk reduction; DNR = do not resuscitate; Ref = reference; RR = relative risk. See Tables 1 and 2 legends for expansion of other abbreviations.

TABLE 4] Univariate and Multivariate Predictors of Emotional Distress and Burnout

Emotional Distress and Burnout Predictor Characteristic	RR (95% CI)	P Value	ARR (95% CI)	P Value
Sex				
Male	Ref		Ref	
Female	1.36 (1.21-1.53)	<.001	1.16 (1.01-1.33)	.03
Region				
North America	Ref		Ref	
East Asia and Pacific	0.52 (0.41-0.66)	< .001	0.85 (0.52-1.37)	.5
Europe and Central Asia	0.84 (0.74-0.96)	.01	0.86 (0.75-1.00)	.04
Latin America and the Caribbean	0.71 (0.45-1.13)	.15	1.07 (0.63-1.80)	.8
Middle East and North Africa	0.78 (0.51-1.19)	.25	1.15 (0.63-2.09)	.65
South Asia	0.56 (0.28-1.11)	.1	0.84 (0.37-1.90)	.68
Sub-Saharan Africa	0.58 (0.19-1.80)	.34	0.89 (0.26-2.98)	.85
Provider type				
Attending physicians	Ref		Ref	
Physicians in training	0.97 (0.77-1.23)	.82	0.90 (0.71-1.15)	.41
Nurses	1.45 (1.28-1.65)	< .001	1.31 (1.13-1.53)	.01
APPs	1.30 (1.06-1.60)	.01	1.11 (0.89-1.39)	.35
RTs	1.29 (1.07-1.55)	.01	1.14 (0.93-1.40)	.2
Poor communication from my supervisors	1.85 (1.66-2.07)	< .001	1.30 (1.16-1.46)	< .001
Reported lack of stuff, staff, and space				
Limited availability of PAPRs	1.36 (1.15-1.62)	< .001	1.30 (1.09-1.55)	< .001
Limited ventilator availability	1.16 (1.00-1.35)	.04	1.03 (0.88-1.20)	.71
Lack of intensivists	1.14 (0.99-1.31)	.06
Lack of nurses	1.34 (1.21-1.50)	< .001	1.18 (1.05-1.33)	.01
Lack of ICU beds	1.19 (1.02-1.37)	.02
No. of patients with COVID-19 cared for				
< 10	Ref		Ref	
10-50	1.33 (1.18-1.49)	< .001	1.17 (1.04-1.33)	.01
> 50	1.41 (1.19-1.68)	< .001	1.28 (1.06-1.53)	.01
COVID-19 severity index^a				
Less severe	Ref		Ref	
Most severe	1.73 (1.45-2.07)	< .001	1.22 (0.80-1.85)	.35

^aThe severity index indicates daily deaths by population during the time of survey administration. Physicians in training include residents and fellows. Time from peak (mortality) was not associated with outcomes in univariate or multivariate regressions (data not shown). Variables not statistically associated with the outcomes in univariate regression or whose inclusion did not improve model fit were not included in the multivariate regression. No. of observations for multivariate regressions: mechanical ventilation limited (n = 2,231), CPR and DNR policies and practices changed since COVID-19 (n = 2,230), emotional distress and burnout (n = 2,477). See Tables 1 and 3 legends for expansion of abbreviations.

of ICU nurses was higher than that of intensivists, and the use of standard diagnostic tests has been largely limited in patients with COVID-19.

High rates of provider emotional distress and burnout are reported across geographic regions. Providers in North America report the highest levels of emotional distress or burnout, despite reporting fewer shortages of resources, and they were also more likely to base CPR and other critical decisions on family wishes compared with findings in other world regions.

MV was largely limited based on restricted ventilator availability. Strategies for allocating ventilatory support will be important in light of anticipated surges in developing countries. Female HCPs; nurses; and those reporting lack of ICU nurses, PAPRs, and poor communication were at highest risk for burnout. Targeted interventions to support HCPs by addressing modifiable risk factors, such as insufficient access to PPE and poor communication, are needed.

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Author contributions: On behalf of all authors, the corresponding author affirms that this manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained. S. W., M. S., P. V. P., and C. J. C. had full access to all of the data and take responsibility for the integrity of the data and the accuracy of the data analysis. All authors contributed substantially to the study design, data acquisition and analysis, and interpretation. M. S. and N. J. K. performed the statistical analysis. S. W. and C. J. C. wrote the manuscript, and all authors edited the manuscript.

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Additional information: The e-Appendix, e-Figures, and e-Tables can be found in the Supplemental Materials section of the online article.

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