

## Original Article

**Efficacy and Safety of a Traditional Herbal Medicine, *Hochu-ekki-to* in the Long-term Management of *Kikyo* (Delicate Constitution) Patients with Atopic Dermatitis: A 6-month, Multicenter, Double-blind, Randomized, Placebo-controlled Study****Hiromi Kobayashi<sup>1</sup>, Masamitsu Ishii<sup>1</sup>, Satoshi Takeuchi<sup>2</sup>, Yoichi Tanaka<sup>3</sup>, Takahiro Shintani<sup>4</sup>, Atsushi Yamatodani<sup>5</sup>, Tadashi Kusunoki<sup>6</sup> and Masutaka Furue<sup>2</sup>**

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*Hochu-ekki-to* is a traditional herbal (Kampo) medicine that has been shown to be effective for patients with *Kikyo* (delicate, easily fatigable, or hypersensitive) constitution. Previous case reports have suggested that this herbal drug was effective for a certain subgroup of patients with atopic dermatitis (AD). We aimed to evaluate the efficacy and safety of *Hochu-ekki-to* in the long-term management of *Kikyo* patients with AD. In this multicenter, double blind, randomized, placebo-controlled study, 91 *Kikyo* patients with AD were enrolled. *Kikyo* condition was evaluated by a questionnaire scoring system. All patients continued their ordinary treatments (topical steroids, topical tacrolimus, emollients or oral antihistamines) before and after their protocol entry. *Hochu-ekki-to* or placebo was orally administered twice daily for 24 weeks. The skin severity scores, total equivalent amount (TEA) of topical agents used for AD treatment, prominent efficacy (cases with skin severity score = 0 at the end of the study) rate and aggravated rate (more than 50% increase of TEA of topical agents from the beginning of the study) were monitored and evaluated. Seventy-seven out of 91 enrolled patients completed the 24-week treatment course (*Hochu-ekki-to*:  $n = 37$ , placebo:  $n = 40$ ). The TEA of topical agents (steroids and/or tacrolimus) was significantly ( $P < 0.05$ ) lower in the *Hochu-ekki-to* group than in the placebo group, although the overall skin severity scores were not statistically different. The prominent efficacy rate was 19% (7 of 37) in the *Hochu-ekki-to* group and 5% (2 of 40) in the placebo group ( $P = 0.06$ ). The aggravated rate was significantly ( $P < 0.05$ ) lower in the *Hochu-ekki-to* group (3%; 1 of 37) than in the placebo group (18%; 7 of 39). Only mild adverse events such as nausea and diarrhea were noted in both groups without statistical difference. This placebo-controlled study demonstrates that *Hochu-ekki-to* is a useful adjunct to conventional treatments for AD patients with *Kikyo* constitution. Use of *Hochu-ekki-to* significantly reduces the dose of topical steroids and/or tacrolimus used for AD treatment without aggravating AD.

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**Keywords:** atopic dermatitis–*Hochu-ekki-to*–Kampo medicine–randomized controlled trial–steroid–tacrolimus–(traditional) herbal medicine

## Introduction

Atopic dermatitis (AD) is a common, chronic, relapsing eczematous skin disease with severe pruritus (1–3). The incidence of AD appears to be increasing worldwide (4,5), among which the percentage of adolescent- and adult-type AD cases have also been increasing (6,7). The precise pathogenesis of AD remains obscure and appears complex. Topical steroids, topical tacrolimus, emollients and oral antihistamines are used as the first-line treatments in standard therapeutic guidelines for AD (2,3,8). However, long-term application of topical steroids could induce local adverse effects such as skin atrophy and telangiectasia in a substantial number of patients. Topical tacrolimus is a potent calcineurin inhibitor that does not exhibit the hormonal adverse effects associated with steroid therapy (9,10). The major adverse effect in using topical tacrolimus is sensation of skin burning or irritation after application (11). In addition, the Food and Drug Administration (FDA) has issued a public health advisory to inform healthcare professionals and patients of a potential cancer risk by the use of topical calcineurin inhibitors (<http://www.fda.gov/bbs/topics/ANSWERS/2005/ANS01343.html>). This concern is based on animal studies, case reports with a small number of patients, or knowledge of the drug's action mechanism as immunosuppressant. According to the FDA statement, it may take human studies of 10 years or longer to determine if the use of topical calcineurin inhibitors is actually linked to cancer development in human. These adverse effects and emotional fear of long-term use of topical steroids and/or tacrolimus have caused topical steroid/tacrolimus phobia in substantial number of patients with AD worldwide who would like to avoid these topical agents if possible (12). Moreover, clinical experience has shown that some of AD patients are really refractory to these conventional treatments, and indeed current AD therapeutic guidelines recommend further intensive treatments such as ultraviolet phototherapy or oral cyclosporine for such patients (8).

In Japan, an alternative approach has been pursued to treat these grave and/or refractory AD patients with traditional herbal medicine such as *Saiko-seikan-to*, *Shohu-san*, *Oren-gedoku-to*, *Byakko-ka-ninjin-to*, *Tokaku-joki-to*, *Unkei-to*, *Hochu-ekki-to* and so on (13–33). These prescriptions comprise several elemental herbs, combined use of which is thought to help increase the treatment effects and to diminish adverse reactions of each individual herb. Among these prescriptions, formula

like *Tokaku-joki-to* are mainly aimed at eliminating disease factors, whereas *Hochu-ekki-to* is aimed at correcting abnormal homeostasis of the body. Each prescription is selected individually according to the pharmacological features and the constitution of each patient. *Hochu-ekki-to* is composed of hot water extracts from 10 species of herbal plants and is used for patients with *Kikyo* constitution. *Kikyo*, or deficiency of *Ki*, is defined as delicate, easily fatigable, or hypersensitive constitution typically associated with poor gastrointestinal functions, anorexia and night sweats (34). In experiments using animal models, orally administered *Hochu-ekki-to* exhibits various immunopharmacological effects, especially anti-allergic properties. They include suppression of serum IgE level and eosinophil infiltration and improvement of dermatitis through controlling Th1/Th2 balance possibly by inducing interferon  $\gamma$  production from intraepithelial lymphocytes (35–42). In addition, *Hochu-ekki-to* helps to correct leukocytopenia of mice treated with anti-cancer agents and augments the resistance against bacterial infections (43,44). These results suggest that *Hochu-ekki-to* may be applicable to the treatment of AD patients who have *Kikyo* constitution. Although accumulating reports have shown clinical efficacy of *Hochu-ekki-to* for AD patients (13,15,17,20,21,25,27,29–33), no placebo-controlled study has previously been conducted.

In this double-blind, placebo-controlled, randomized clinical trial, we address a question of whether *Hochu-ekki-to* has beneficial effects for *Kikyo* patients with AD who have been treated with conventional modalities.

## Methods

### Hochu-ekki-to

*Hochu-ekki-to* fine granules of 7.5 g contain hot water extract (6.4 g) from 10 species of medicinal plants including *Ginseng radix* (4.0 g), *Atractylodis rhizoma* (4.0 g), *Astragali radix* (4.0 g), *Angelicae radix* (3.0 g), *Zizyphi fructus* (2.0 g), *Bupleuri radix* (2.0 g), *Glycyrrhizae radix* (1.5 g), *Zingiberis rhizoma* (0.5 g), *Cimicifugae rhizoma* (1.0 g) and *Aurantii nobilis Pericarpium* (2.0 g).

### Assessment of *Kikyo* Condition

*Kikyo* condition was evaluated by a questionnaire scoring system. As shown in Table 1, the scoring questionnaire consisted of one 'must have' major sign (10 points) and 10 minor signs (2 points each). The patients who had the

**Table 1.** Questionnaire scoring system for Kikyo constitution

Items	Signs and conditions	Scores
Major sign (must-have)	Easy fatigability or lack of perseverance	10
Susceptibility to infections	Susceptible to cold	2
	Delayed recovery from cold	2
	Vulnerable to other infectious diseases (herpes virus etc)	2
Anorexia	Susceptible to suppuration	2
	Recent very little eating	2
	Appetite loss	2
	Easily-becoming full stomach	2
Digestive symptom	Nahrungsverweigerung	2
	Diarrhea (laxity)	2
Others	Easy drowsiness especially after meals	2
Total scores		30

Patients who have the major sign and earn 18 points or more in this questionnaire scoring system are determined as Kikyo constitution.

major sign and earned 18 points or more using the questionnaire were determined as *Kikyo* constitution.

### Study Population

Patients (20–40 years of age) with *Kikyo* constitution who fulfilled the diagnostic criteria of Japanese Dermatological Association for AD were eligible for this study. All the 91 AD patients enrolled had been treated with topical steroids (mild, strong, or very strong rank) and/or topical tacrolimus for more than 4 weeks prior to the study, and were expected to continue the same therapeutic regimen after the initiation of the study. Patients were not eligible for the study if they had been treated with only weak topical steroids (without stronger topical steroids or tacrolimus), strongest topical steroids, systemic steroids, oral suplatast tosilate, allergen desensitization therapy, or any other herbal medicines for <4 weeks prior to the study. The study was approved by the responsible ethics committee and was performed in accordance with the Declaration of Helsinki and with Good Clinical Practice. An informed witnessed consent was obtained from all the patients.

### Study Protocols

The study was performed in a multicenter, double blind, randomized, placebo-controlled parallel-group design. The patient number was randomly assigned a treatment code of either *Hochu-ekki-to* or placebo using a block size of 10 (5 per each group) by an independent controller of the investigators. This code was concealed from

the investigators. During trial period, patients were randomized to receive twice daily either *Hochu-ekki-to* fine granules (Kracie Co., Ltd, Tokyo, Japan) or its inactive placebo which were indistinguishable by its appearance, odor and savor. The daily doses were 7.5 g. All patients continued their ordinary treatments such as topical steroids (other than strongest class), topical tacrolimus, emollients or oral anti-histamines. The skin severity score, amounts of topical agents, adverse effects and laboratory examination including serum IgE, lactate dehydrogenase (LDH) or eosinophil counts were monitored at pre (0-week)-, mid (12-week)- and post (24-week)-treatment. The prominent efficacy (skin severity score = 0 at the end of the study) rate and the aggravated rate (more than 50% increase of amounts of topical agents from the beginning of the study) were also evaluated.

### Assessment of Skin Severity Scores

The skin severity scores of AD patients were assessed using the scoring system by the Atopic Dermatitis Severity Evaluation Committee of Japanese Dermatological Association (issued 2001). The skin severity scores were composed of eruption intensity score and affected skin area score. The body surface was divided into five sites, namely head and neck, anterior trunk, posterior trunk, upper extremities and lower extremities. The eruption intensity and affected skin area were evaluated and scored. Eruption intensity scores were evaluated using three eruption items: (i) erythema/acute papules, (ii) oozing/crusts and excoriation and (iii) lichenification/chronic papules and nodules) in the severest area scored from 0 to 3 points (0 = absent, 1 = mild, 2 = moderate, or 3 = severe) for each item in each body site. The affected skin area was also evaluated and scored in each body site as 0, 1, 2, 3 points when affected skin area was absent, less than one-third, one-third to two-third, more than two-third of each body site, respectively. Thus, the skin severity score ranges from 0 to 60 points.

### Assessment of the Dosage of Topical Steroids and Topical Tacrolimus

At each patient visit, the actual amounts used of topical steroids and/or topical tacrolimus were measured by weighing the returned ointment tubes from examinees. The amount of topical agents (steroids and tacrolimus) per day was expressed as total equivalent amount (TEA) (gram arbitrary unit; gau) by multiplying potency equivalent factors as follows; weak rank steroids =  $\times 1$ , mild rank steroids =  $\times 2$ , strong rank steroids =  $\times 4$ , very strong rank steroids =  $\times 8$ , tacrolimus =  $\times 4$ . Percent change of TEA to that of the beginning of the study

was calculated for each patient at 12th and 24th week of treatment using the following formula;

$$\text{Percentage changes of TEA} = \frac{\text{TEA at 12th or 24th week} - \text{Initial TEA}}{\text{Initial TEA}} \times 100 (\%)$$

### Statistical Analysis

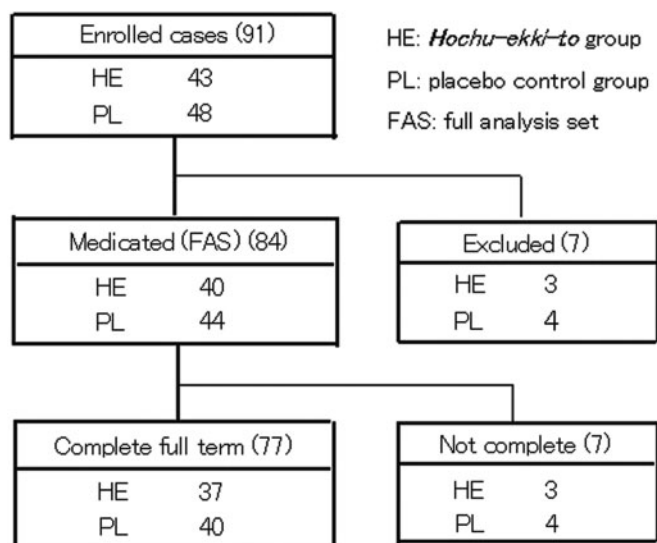
Statistical analysis was performed using SAS statistical software (version 8.02; SAS Institute, Cary, NC) under the Windows XP operating system. Data were expressed as the means  $\pm$  standard deviation (SD) or standard error (SE). A *P*-value of  $<0.05$  was considered to indicate statistical significance. Treatment efficacy was analyzed by comparing the difference between *Hochu-ekki-to* and placebo control groups using unpaired Student's *t*-test or Fisher's exact test.

## Results

### Patient Enrollment and/or Exclusion

In total, 91 patients were enrolled and randomized in this trial from February to November 2002. Seven out of the initial 91 enrolled patients were excluded from subsequent analysis for the following reasons; agreement acquisition violation ( $n = 4$ ), eligibility violations ( $n = 2$ ) and failure to take trial medicine at all ( $n = 1$ ). Thus the number of patients who actually received medication (hereafter termed 'full analysis set: FAS' group) and who were analyzed was 84 (*Hochu-ekki-to*:  $n = 40$ , placebo:  $n = 44$ ). Seventy-seven out of the 84 patients completed the

### Case inclusion/exclusion



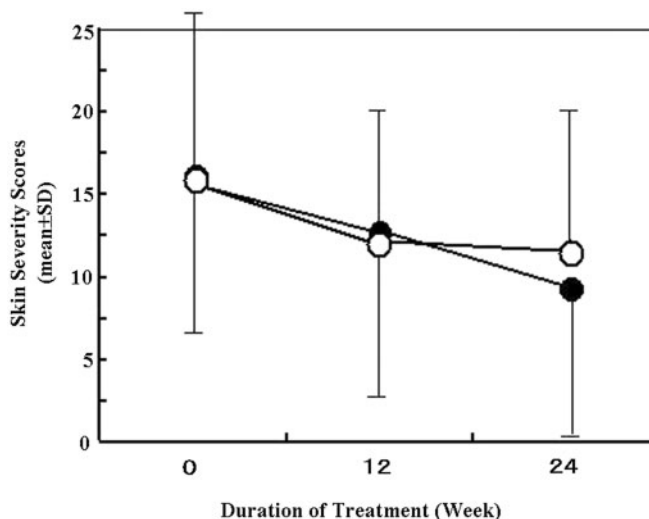
**Figure 1.** The chart of case enrollment and exclusion. Among the 91 enrolled AD patients, 84 patients were medicated and 77 out of the 84 patients completed full term (for 24 weeks) of trial.

24-week treatment course (Fig. 1), including a patient in whom TAE of topical agents could not be assessed because of the insufficient descriptions on the item. There were no statistically significant differences between *Hochu-ekki-to*- and control groups in terms of age, sex, physique, duration of AD morbidity, incidence of past- or present history of other allergic or non-allergic diseases, *Kiyo* score, skin severity score, initial TAE of topical agents per day (Supplementary data 1). Two out of the 7 dropped-out cases during the trial (One each in placebo and *Hochu-ekki-to* group) were excluded because of a significant aggravation of skin eruption and occurrence of headache, respectively. Others were found unfit for further analyses (dismissal of medication etc).

### Clinical Efficacy of *Hochu-ekki-to*

The overall skin severity score gradually decreased as examination went on and was slightly lower in *Hochu-ekki-to* group (closed circle) than in placebo group (open circle) at 24th week (Fig. 2). The TEA of topical agents gradually increased in the placebo group during the trial period, whereas such increase of TEA was minimal to unchanged in the *Hochu-ekki-to* group. The percent change of TEA at 24th week was significantly ( $P < 0.05$ ) lower in the *Hochu-ekki-to* group (closed circle) than in the placebo control group (open circle) (Fig. 3).

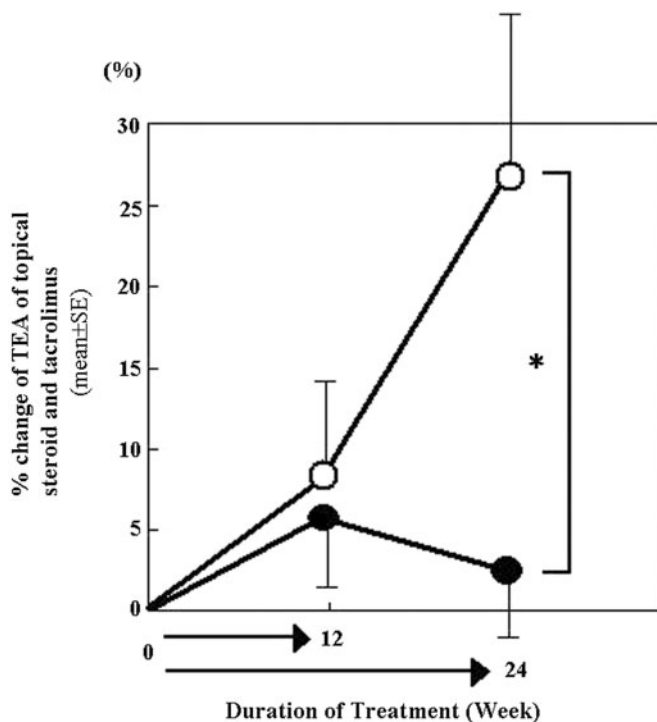
Since *Hochu-ekki-to* was thought to be a slow-acting herbal medicine and the present trial was relatively long-term, we wondered if a striking beneficial effect was observed in a certain population of patients by long-term use of *Hochu-ekki-to*. Therefore, we analyzed a prominent efficacy rate, the rate of patients whose skin severity



**Figure 2.** The time course change of skin severity score during examination. Skin severity scores were assessed at pre-, mid (12-week)- and post (24-week)-treatment in *Hochu-ekki-to*- (closed circle) and placebo group (open circle). Data were expressed as the mean  $\pm$  SD. There is no significant difference between *Hochu-ekki-to*- and placebo groups.



score became 0 at the end of the study. The prominent efficacy rate was indeed moderately higher in the *Hochu-ekki-to* group (19%; 7 of 37) than in the placebo group (5%; 2 of 40), although there was not a significant difference ( $P = 0.06$ ). Furthermore, the aggravated rate, defined as ratio of patients whose TEA had increased more than 50% at 24 weeks from the beginning of the study, was significantly lower in the *Hochu-ekki-to* group (3%; 1 of 37) than in the placebo group (18%; 7 of 39) ( $P < 0.05$ ). There was no significant difference in the serum IgE, LDH, or eosinophil counts in peripheral blood in both groups (data not shown).



**Figure 3.** The time course change of equivalent dosage of topical agent during examination. The percent changes of TEA of topical agents were assessed at pre-, mid (12-week)- and post (24-week)-treatment in *Hochu-ekki-to*- (closed circle) and placebo group (open circle). Data were expressed as the mean  $\pm$  SE. The TEA of topical agents gradually increased in the placebo group as trial went, while such increase was minimal to unchanged in the *Hochu-ekki-to* group. \* $P < 0.05$ .

## Adverse Events and Abnormal Laboratory Findings

The adverse events, including those of unclear causality with treatment using tested agents, were observed in 13 of 40 patients (32.5%, total 33 events) in the *Hochu-ekki-to* group and in 12 of 44 patients (27.3%, total 20 events) in the placebo group. All the adverse events were moderate symptoms such as nausea and diarrhea etc or slight increase or decrease of laboratory data (Table 2).

## Discussion

The present study demonstrates that 24-week oral administration of *Hochu-ekki-to* has a substantial benefit over placebo in the treatment of *Kikyo* patients with AD. The administration of *Hochu-ekki-to* significantly reduces dosage of topical steroids and/or tacrolimus, compared with placebo, although there was no significant difference in the mean skin severity scores between both groups. These results indicate that the patients in the placebo group need significantly more topical steroids and/or tacrolimus in order to control their skin conditions. In keeping with this notion, the post-treatment prominent efficacy rate tends to be higher in the *Hochu-ekki-to* group than in the placebo group. In contrast, the aggravated rate was significantly lower in the *Hochu-ekki-to* group than in the placebo group. It was surprising that 19% of patients in the *Hochu-ekki-to* group were devoid of skin eruption after the 24-week treatment. Considering the long-term history of the majority of the 7 'eruption-free' patients (10–34 years, mean  $\pm$  SD:  $21.9 \pm 8.0$ ), this marked improvement would indicate the beneficial effects of *Hochu-ekki-to*, as 'spontaneous' healing was not likely to take place in those severe AD patients during the course of trial.

*Hochu-ekki-to*, however, did not seem to be effective for all the AD patients in this trial. Individual difference of intestinal flora has been proposed to be one of the major reasons for such disparity of the efficiency of Kampo therapy. It is because active components of orally administered herbal drugs are known to be assimilated through the intestinal mucosa under the influence of the intestinal flora (45). Although we generally advise patients to choose traditional Japanese diet in usual

**Table 2.** Adverse effects

	The number of cases with adverse effects	Adverse effects (the number of cases if not one), including those of unclear causality with treatment using tested agents
Hochu-ekki-to group	13/40 (32.5%)	Symptoms: nausea (2), diarrhea (2), stomach discomfort (2), enlarged feeling of abdomen, epigastralgia, anorexia, loose stools, right hypochondrium pain, malaise, dizziness, headache, light-headed feeling, rhinitis, acne pustulosa, feverish thirstiness, dental caries. Laboratory data: eosinophilia (3), GPT elevation, IgE elevation, BUN decline, serum K elevation.
Placebo-control group	12/44 (27.3%)	Symptoms: ovarian disorder (2), diarrhea, epigastric discomfort, anorexia, malaise, hives, insomnia, feverish limbs. Laboratory data: eosinophilia (4), LDH elevation (2), GOT elevation (2), $\gamma$ -GTP elevation, serum total protein decline, hemoglobin decline.

Kampo treatment as intestinal flora can be affected by daily diet, we have avoided intensive intervention during this trial period, assuming that such intervention could be a considerable stress for certain patients and could significantly influence their clinical course of skin symptoms by increasing itch sensation etc. The clinical action of *Hochu-ekki-to* appears to be rather mild and limited; however, the present study clearly demonstrates an add-on beneficial effect of this herbal drug over the conventional treatments. Kampo herbal drugs are broadly classified into two categories, immediate acting- and slow acting ones. Since *Hochu-ekki-to* is considered to work in the latter manner, supporting the inactive *Kikyo* body to become warm and active. Thus, it needs to be administered for a long time to be shown effective. A long-term (for 24 weeks) administration was adopted in our protocol design because of the relatively slow acting profile of *Hochu-ekki-to*. The significant clinical benefits indeed appeared at 24th week rather than 12th week, as shown by the reduction of TEA of topical agents and of the reduced aggravated rates.

Kampo herbal drugs are usually prescribed according to patient's *Sho* (constitution/condition) such as *Yin* (negativity) and *Yang* (positivity) or *Kyo* (deficiency) and *Jitsu* (fullness) and to target components to treat such as *Ki* (energy, spirit and function), *Ketsu* (blood and organs) and *Sui* (fluid), all of which are considered to be basic components constituting human body. *Hochu-ekki-to* is recommended to be used for *Kikyo* condition, a state of functional deficiency. In our trial, only AD patients with *Kikyo* constitution were selected eligible by using the questionnaire scoring system. This selection may favorably contribute to carve in relief of the clinical significance of *Hochu-ekki-to* over placebo, thus it remains to be tested if the herbal drug has such beneficial effect on AD patients without *Kikyo* constitution.

Although considerable attention has been paid on traditional herbal medicines as a treatment option for AD (13–33), there are only a few reports examining their efficacy in a randomized, double blind manner, as reviewed by Armstrong and Ernst (46). Sheehan *et al.* (47–49) have reported the usefulness of the composite herbs of Zemaphyte® in a randomized, double blind cross-over trial, where it was shown that the Zemaphyte's composite herbs possess anti-inflammatory and anti-congestive function (48). However, a subsequent trial by Fung *et al.* (50) failed to confirm its superiority over placebo. Although efficacies of herbal medicines are now being recognized even outside the Asian countries (51), there has been no report of double-blind, randomized and placebo-controlled trial of herbal drugs as treatment for AD other than Zemaphyte® before the present study.

In conclusion, our results indicate that *Hochu-ekki-to* is a useful adjunct to conventional treatments for *Kikyo* patients with AD. We contend that it can reduce the

dosage of topical steroids and tacrolimus without aggravating the clinical course of AD.

## Supplementary Data

Supplementary data are available at *eCAM* online.

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