



# Analysis of Differences in Preterm Birth Rates According to Household Occupation in Japan From 2007 to 2019

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**Objectives:** No studies have examined the association between preterm birth rates and socioeconomic factors in Japan using nationwide statistical data. We analyzed the association between preterm birth rates and household occupation using Vital Statistics data.

**Methods:** Aggregated Vital Statistics data from Japan from 2007 to 2019 were obtained from the Ministry of Health, Labour and Welfare. From the data, the number of births according to year, age group, gestational period, number of pregnancies, and household occupation were used in this study. Crude preterm birth rates and preterm birth rates adjusted by maternal age according to household occupation were calculated for each year. Poisson regression analysis was conducted to evaluate the association between household occupation and preterm births.

**Results:** Unemployed households had the highest preterm birth rate, and households with an occupation classification of “full-time worker 2” (an employee at a large company, civil servant, or board member) had the lowest preterm birth rate throughout each period. Poisson regression analysis revealed that unemployed households were statistically significantly associated with a high preterm birth risk. In contrast, the preterm birth rate adjusted by maternal age remained stable throughout each period regardless of household occupation, and preterm birth rates were found not to have increased in recent years in Japan.

**Conclusions:** Unemployed households had higher preterm birth rates than other household occupations. Further studies investigating the characteristics of unemployed households are needed to identify the reasons for this disparity.

**Key words:** Preterm birth, Occupations, Socioeconomic factors, Japan

## INTRODUCTION

Preterm birth is one of several adverse perinatal birth outcomes and has a known association with neonatal mortality or infant mortality [1,2] and adulthood mortality [3]. The global preterm birth rate increased from 9.8% in 2000 to 10.6% in

2014 [4], although trends vary between countries. An increasing trend was observed in China and multiple European countries [5,6], in part due to an increase in multiple pregnancies, among other factors [5]. Japan’s preterm birth rate is known to be relatively low compared to other countries presumably due to the regular prenatal visits provided by local governments in Japan [7]. However, the preterm singleton birth rate has increased over the past several decades in Japan [8,9], with an increase in the rate of cesarean section births having been identified as a factor [9]. This trend is affected by many other factors, and identifying the differences in preterm birth rates according to maternal characteristics is important for understanding this phenomenon.

Several socioeconomic characteristics have been identified

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as risk factors for preterm birth [10-13], and disparities based on race and education level have been observed in other countries. Several epidemiological studies have investigated the association between socioeconomic factors and preterm birth rates in Japan [13-15], observing disparities in preterm birth rates according to education level and employment status [13,15]. However, no study has investigated the association between socioeconomic factors and preterm births in Japan using Vital Statistics data. It is crucial to elucidate this association using nationwide data on births in Japan. Household occupation is often used as a socioeconomic factor since it is included in Vital Statistics data from Japan [16,17]. Studies analyzing the trend of infant and perinatal mortality rates using Vital Statistics data in Japan have found that unemployed households had higher rates of infant and perinatal mortality compared to other types of households [16,17], and preterm birth rates might also vary according to household occupation. In addition, the degree to which preterm birth rates increase or decrease according to household occupation is unknown.

In this study, we examined the differences and trends in preterm birth rates according to household occupation using Vital Statistics data from Japan.

## METHODS

### Data

Aggregated Vital Statistics data from Japan from 2007 to 2019 were used due to their availability. The data were obtained from the Ministry of Health, Labour and Welfare by requesting a made-to-order aggregation per Japan's Statistics Act (2007, Article 53). The data contained the number of births by year, age group, gestational period, number of pregnancies, and household occupation. Age groups were classified as <20 years, 20-24 years, 25-29 years, 30-34 years, 40-44 years, 45-49 years, 50-54 years, ≥55 years, and unknown. However, we only used data for the ages of 20-24 years, 25-29 years, 30-34 years, 35-39 years, and 40-44 years due to the relatively low numbers of births in the other age groups. Gestational periods were classified as <28 weeks, 28-31 weeks, 32-36 weeks, 37-41 weeks, ≥42 weeks, and unknown, and any births before 37 gestational weeks were classified as preterm births. The number of pregnancies was classified in terms of singleton or multiple pregnancies; however, we only used data on singleton births, which is consistent with the practices of previous studies [8,9].

The household occupation categories included farmer, self-

employed worker, full-time worker 1, full-time worker 2, other worker, and unemployed. The household occupation referred to the main occupation in a household and was considered to be classified based on the occupation of the household's highest earner. Unemployed households were defined as households with no employed occupants. "Full-time worker 1" referred to full-time workers at workplaces with fewer than 100 employees, and "full-time worker 2" referred to full-time workers at workplaces with 100 or more employees, civil servants, or board members. "Other workers" included part-time workers and contract employees who were employed for less than a year.

Anonymized data were obtained from Japan's Ministry of Health, Labour and Welfare with permission to analyze the data and publish the results. The data were already aggregated by the Ministry of Health, Labour and Welfare and did not include individual information. The results shown in this study were processed and analyzed by the authors and are not statistics published by the Ministry of Health, Labour and Welfare.

### Statistical Analysis

In the analysis, if the household occupation, maternal age group, or gestational age were unknown, the data for that birth were not used. We calculated the number of births by household occupation for each year. In addition, we calculated preterm birth rates by age group, household occupation, and year. Moreover, crude preterm birth rates and preterm birth rates

**Table 1.** Annual numbers of births by household occupation

Year	Household occupation, n					
	Farmer	Self-employed	Full-time worker 1 <sup>1</sup>	Full-time worker 2 <sup>2</sup>	Other worker	Unemployed
2007	19 895	81 632	373 173	424 171	91 472	19 408
2008	18 649	80 786	369 947	432 548	88 809	19 270
2009	18 018	76 964	360 363	428 088	88 182	20 685
2010	17 845	76 397	361 286	436 347	92 741	21 886
2011	16 193	72 880	353 464	430 716	89 436	20 411
2012	15 026	71 688	342 768	431 092	88 088	19 005
2013	14 198	71 535	336 010	435 790	85 303	17 411
2014	13 162	69 857	322 974	430 559	81 473	16 164
2015	12 734	69 331	323 473	446 204	81 252	16 699
2016	11 579	67 079	308 976	436 390	77 400	15 092
2017	10 788	63 820	293 077	431 803	72 562	13 723
2018	9972	60 801	281 555	423 117	71 187	12 398
2019	8736	57 248	259 752	400 801	70 202	11 281

<sup>1</sup>Full-time worker at a workplace with fewer than 100 employees.

<sup>2</sup>Full-time worker at a workplace with 100 or more employees, civil servant, or board member.

by household occupation adjusted for maternal age were calculated for each year. When calculating standardized preterm birth rates, the age composition of births across all household occupations in 2007 was used as the standard population.

Furthermore, Poisson regression analysis was used to evaluate the associations between household occupations and pre-

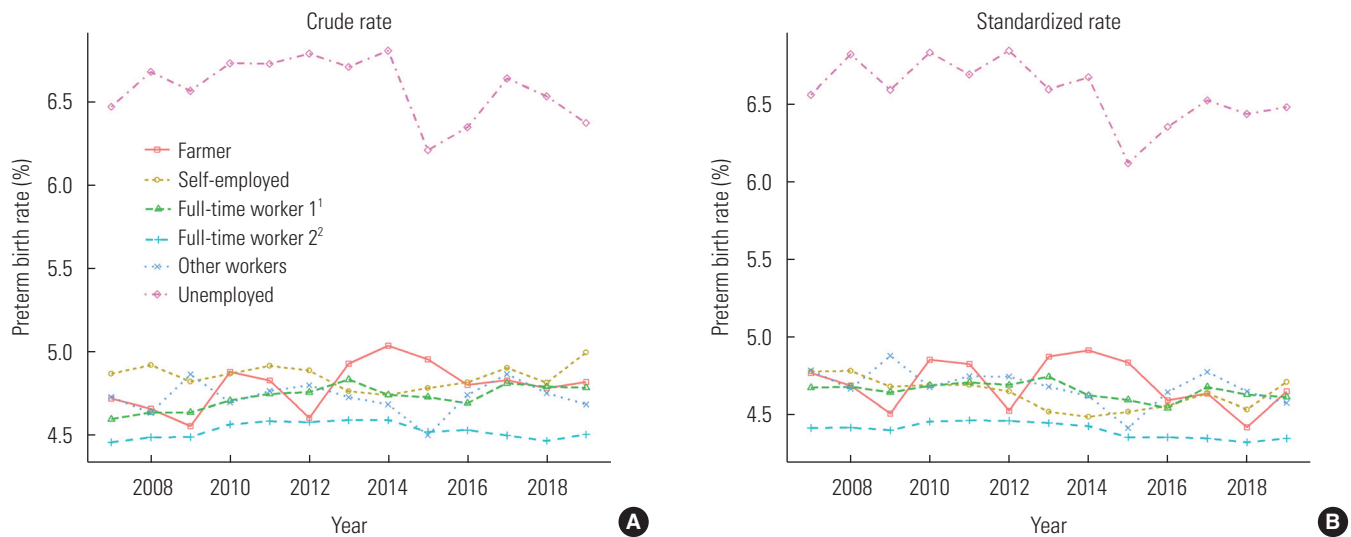
term births. The number of preterm births was used as the outcome, and the number of births was used as the offset term. Aggregated birth data on combined household occupation, maternal age group, and year were available, and observations from 390 (13×5×6) combinations of these variables were used in the regression analysis. Age group, year, and house-

**Table 2.** Preterm birth rate (%) by household occupation, age group, and year

Household occupation and age group (y)	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Farmer													
20-24	4.22	4.64	4.82	4.07	3.72	4.51	4.91	3.68	4.86	4.27	3.95	3.02	4.37
25-29	4.33	3.74	4.23	4.31	4.04	3.31	4.13	4.43	3.87	3.90	3.78	3.72	3.94
30-34	4.57	4.75	4.00	4.46	4.96	4.26	4.94	5.11	4.89	4.45	4.89	4.52	4.87
35-39	5.87	5.73	5.48	6.56	6.28	7.00	5.78	5.73	6.18	5.95	5.84	5.81	5.39
40-44	8.37	8.51	7.74	9.84	7.66	6.27	6.58	8.05	6.38	7.51	5.97	8.46	6.01
Self-employed													
20-24	4.10	4.18	4.17	4.00	3.98	4.46	4.30	4.09	4.53	4.41	4.38	4.25	4.49
25-29	4.28	4.19	4.20	4.27	3.97	3.89	3.98	4.06	3.99	4.09	4.12	4.13	4.15
30-34	4.63	4.66	4.60	4.64	4.77	4.61	4.45	4.36	4.39	4.43	4.61	4.42	4.64
35-39	6.05	6.03	5.69	5.60	5.90	5.87	5.38	5.46	5.39	5.48	5.51	5.37	5.74
40-44	7.78	8.36	7.22	7.84	7.36	7.07	7.37	6.87	7.00	6.57	6.74	6.97	6.59
Full-time worker 1 <sup>1</sup>													
20-24	4.09	4.17	4.13	4.29	4.14	4.13	4.28	4.28	4.08	3.96	4.26	4.28	4.12
25-29	4.02	3.99	4.12	4.16	4.17	4.09	4.20	4.14	4.02	3.95	4.07	4.06	4.16
30-34	4.61	4.59	4.54	4.61	4.68	4.71	4.75	4.52	4.59	4.55	4.72	4.62	4.52
35-39	5.91	5.94	5.72	5.65	5.72	5.73	5.66	5.57	5.60	5.65	5.68	5.52	5.57
40-44	8.02	8.42	7.70	7.68	7.48	7.30	7.35	7.34	7.21	6.98	6.53	7.34	7.35
Full-time worker 2 <sup>2</sup>													
20-24	4.00	3.94	3.91	4.03	4.05	4.19	4.23	4.00	4.12	3.84	4.12	4.03	4.19
25-29	3.93	3.90	4.05	3.96	3.93	4.01	3.95	3.94	3.78	3.89	3.91	3.90	3.81
30-34	4.40	4.37	4.25	4.41	4.45	4.41	4.34	4.38	4.32	4.36	4.30	4.26	4.27
35-39	5.25	5.39	5.35	5.34	5.40	5.22	5.40	5.39	5.29	5.21	5.07	5.14	5.28
40-44	6.85	7.20	6.95	7.15	6.82	6.74	6.71	6.57	6.58	6.54	6.73	6.30	6.41
Other worker													
20-24	4.14	4.16	4.22	3.86	4.25	4.78	3.93	4.36	4.41	4.07	4.88	4.25	4.06
25-29	4.30	4.11	4.30	4.24	4.10	4.10	4.18	4.09	3.90	4.00	4.29	4.08	4.08
30-34	4.69	4.56	4.86	4.51	4.72	4.51	4.74	4.50	4.22	4.74	4.58	4.60	4.44
35-39	5.77	5.67	5.90	5.84	5.87	5.97	5.58	5.69	5.36	5.56	5.64	5.71	5.75
40-44	8.60	8.64	8.57	8.62	7.78	7.69	7.30	6.74	7.33	7.52	7.34	7.10	7.14
Unemployed													
20-24	5.32	5.21	5.36	5.25	5.80	5.05	6.22	6.01	5.14	5.09	6.26	5.47	5.43
25-29	5.57	5.56	6.34	5.61	5.65	5.74	5.58	5.81	5.32	5.92	5.72	5.48	5.13
30-34	6.20	7.08	6.20	7.06	6.52	7.16	6.77	6.65	6.02	6.29	6.44	6.23	7.15
35-39	9.56	9.08	8.25	9.09	9.01	8.65	7.71	8.09	7.74	7.61	8.06	8.72	7.79
40-44	9.53	10.56	10.47	10.47	10.65	11.84	10.71	11.47	11.17	10.24	8.55	10.51	8.74

<sup>1</sup>Full-time worker at a workplace with fewer than 100 employees.

<sup>2</sup>Full-time worker at a workplace with 100 or more employees, civil servant, or board member.



**Figure 1.** (A) Crude preterm birth rates (%) and (B) rates adjusted for maternal age by household occupation and year. <sup>1</sup>Full-time worker 1 refers to full-time workers at companies with fewer than 100 employees. <sup>2</sup>Full-time worker 2 refers to full-time workers at a large company, civil servants, and board members.

hold occupation were used as explanatory variables. All statistical analyses were conducted using R version 4.1.3 (R Core Team, Vienna, Austria).

**Ethics Statement**

Aggregated data of the Vital Statistics were used in this study, and an ethical approval for the study was not mandatory.

**RESULTS**

A total of 12 723 467 births were experienced by mothers aged 20-44 years in the study period. The gestational age for 3317 births was unknown. In addition, the household occupation associated with 437 769 births was unknown. After removing births with missing data, 12 282 797 births were analyzed.

Table 1 shows the annual number of births by household occupation. The number of births associated with each household occupation decreased over time. The highest number of births each year was associated with a household occupation classification of full-time worker 2, which exceeded 400 000 births for every year in the study period.

Table 2 shows the preterm birth rate (%) by household occupation, age group, and year. Preterm birth rates were high among older mothers irrespective of household occupation and year, and the rate exceeded 10% for those aged 40-44 years from unemployed households for most of the years included

**Table 3.** Results of Poisson regression analysis

Variables	RR (95% CI)	p-value
Year	0.998 (0.997, 0.998)	<0.001
Age (y)		
20-24	1.019 (1.008, 1.029)	<0.001
25-29	1.000 (reference)	
30-34	1.119 (1.111, 1.126)	<0.001
35-39	1.374 (1.364, 1.385)	<0.001
40-44	1.760 (1.739, 1.780)	<0.001
Household occupation		
Unemployed	1.000 (reference)	
Farmer	0.721 (0.702, 0.740)	<0.001
Self-employed	0.708 (0.695, 0.721)	<0.001
Full-time worker 1 <sup>1</sup>	0.711 (0.699, 0.723)	<0.001
Full-time worker 2 <sup>2</sup>	0.671 (0.660, 0.682)	<0.001
Other worker	0.716 (0.703, 0.730)	<0.001

RR, relative risk; CI, confidence interval.

<sup>1</sup>Full-time worker at a workplace with fewer than 100 employees.

<sup>2</sup>Full-time worker at a workplace with 100 or more employees, civil servant, or board member.

in our analysis. Those aged 25-29 years tended to have the lowest preterm birth rate compared to the other age groups, but the rate still exceeded 5% for mothers aged 25-29 years from unemployed households.

Figure 1 shows the crude preterm birth rate (%) and the rate adjusted for maternal age by household occupation and year. The crude and standardized preterm birth rates associated with unemployed households were the highest throughout

the study period and exceeded 6% in all years. In contrast, the standardized rates associated with the other occupations were all below 5%, and the crude and standardized rates associated with the full-time worker 2 classification were the lowest. Crude preterm birth rates associated with some household occupations increased from 2007 to 2019, whereas the standardized preterm rates remained stable.

Table 3 shows the result of the Poisson regression analysis. The year was negatively associated with preterm births over time ( $p < 0.001$ ). The risk of preterm births was the lowest among those aged 25-29 years, and the relative risk for those aged 40-44 years compared to those aged 25-29 years was 1.760 ( $p < 0.001$ ). Compared to unemployed households, the relative risks associated with the other household occupations were significantly lower than 1.000. In particular, the relative risk of households with the full-time worker 2 classification compared to those with the unemployed classification was 0.671 ( $p < 0.001$ ).

## DISCUSSION

The results show that unemployed households had a higher preterm birth rate than households with other occupation classifications, and full-time worker 2 households had the lowest preterm birth rate. Therefore, household occupation was shown to be associated with the preterm birth rate in Japan. Previous studies using Vital Statistics data also found that unemployed households had the highest risk and full-time worker 2 households had the lowest risk of perinatal and infant mortality [16,17]. A similar phenomenon was also observed for preterm births. Although no studies have investigated the association between household income and household occupations using Vital Statistics data, it can be assumed that unemployed households are more likely to experience poverty due to the lack of work-related income. Unemployment is known to be associated with low socioeconomic status (SES), particularly low education levels [18,19]. In addition, according to Vital Statistics data from 2020, the proportion of legitimate infants among all births in Japan was 97.6% (820 795 of 840 835 births), while that among unemployed households was 46.8% (5875 of 12 542) [20]. Therefore, the proportion of non-legitimate infants is exceptionally high among unemployed households. In other words, the proportion of fatherless households among unemployed households is high. In addition, the proportion of poor households is known to be

high among fatherless households in Japan [21-23]. For these reasons, an unemployed household is considered to be an indicator of low SES. In addition, individuals employed at workplaces with at least 100 employees are more likely to earn more than those employed at workplaces with fewer than 100 employees [24]. Therefore, the average income of those with a classification of full-time worker 1 was assumed to be lower than that of those with a classification of full-time worker 2. We discuss below the possible reasons for the association between preterm births and unemployed households in Japan.

Smoking is a risk factor for preterm birth [25,26]. The smoking rate is known to vary based on SES in Japan. Low SES (low educational level, income, and occupational class) is associated with a higher smoking rate [27,28]. Fatherless and unemployed households are considered to have low incomes since they have a strong association with poverty [21-23]. Therefore, unemployed households with newborns may have a higher smoking rate; however, an epidemiological study is needed to verify this association.

Prenatal care attendance has also been found to be associated with preterm births in other countries [29,30]. Patient education about rest, early symptom recognition, and timely intervention in cases of worsening maternal diseases obtained through participation in prenatal care is likely a primary factor in this association [29]. While SES is known to be associated with participation in prenatal care in other countries [31,32], this association has not been examined in Japan. Beginning in 2009, medical fees for prenatal care are partially covered by public expenses until the 14th visit [33], and the number of women without prenatal care has subsequently decreased in recent years. However, not all expenses or examinations related to prenatal care are covered by public expenses. In addition, medical fees are not covered after the 14th visit. Therefore, SES can still affect prenatal care utilization. In addition, there may be some differences in knowledge or a negative bias against prenatal care based on household occupation. There may also be differences in medical care utilization related to preexisting diseases according to household occupation. These factors could have affected the high preterm birth rate related to unemployed households.

Low body mass index (BMI) is another risk factor for preterm birth [13]. Low SES is known to be associated with underweight in other countries [34,35], likely due to the poor nutritional status or depressive symptoms of individuals with

a low SES. In Japan, no association between underweight and low SES has been observed [36]. However, a high pre-pregnancy BMI was also shown to be associated with preterm births among Japanese women [37], and overweight status was shown to be associated with a low SES in Japan [36]. Apart from smoking, BMI, and prenatal care utilization, maternal stress is also a risk factor for preterm birth [38]. There is a disparity in psychological distress according to SES in Japan [39].

The trend in the preterm birth rate remained unchanged irrespective of household occupation, and the standardized rate also remained stable from 2007 to 2019 for all household occupations. In contrast, the crude and standardized preterm birth rates in Japan showed an increasing trend from 1979 to 2014 in a previous study [8] that aggregated data for every 6-year period, and 2009 to 2014 was aggregated as 1 period. Therefore, the change in the rate from 2009 to 2014 was not examined in the previous study [8], and this study found that the standardized rate had not increased since 2007. An increase in the rate of cesarean sections [40] is considered to be a major reason for the increase in preterm birth rates in Japan [9]. Therefore, there is a possibility that the spontaneous preterm birth rate has decreased in recent years since the preterm rate has remained relatively unchanged despite the increase in cesarean sections. Another possibility is that only the number of cesarean sections conducted after 37 gestational weeks is increasing, and the increase in cesarean sections did not contribute to an increase in the preterm birth rate.

Differences in health behaviors or health status likely affected differences in preterm birth rates depending on the household occupation. Although it might be difficult to eliminate differences in health status according to household occupation, guidance on health behaviors, particularly for unemployed households, may help to reduce this disparity in Japan. Making prenatal care completely free of charge for households with low incomes may also lower the preterm birth rate associated with unemployed households. In addition, publicly incentivizing participation in prenatal care is also needed.

There are some limitations to this study. First, we obtained aggregated Vital Statistics data from the Ministry of Health, Labour and Welfare, and the factors included in that single aggregated dataset were limited. Therefore, we could not obtain data on factors, such as parity and address in addition to the factors examined in this study. Moreover, we were only able to obtain data from 2007 to 2019, and the trends before 2007 are unknown. A study that includes data on these factors over a

long time period will be significant in the future. Moreover, we could not obtain data on the risk factors of preterm birth, such as BMI, education level, smoking, pregnancy complications, and the mother's chronic diseases since we analyzed the Vital Statistics data. Furthermore, we focused on household occupation as the sole socioeconomic factor in this study. Since no studies have investigated the association between family income or education level and household occupations using Vital Statistics data from Japan, further studies are needed to confirm our hypotheses about the disparity. Nationality is another factor that can be obtained from Vital Statistics data, and a study that includes nationality will also be significant. Third, information on whether preterm births were induced or spontaneous, which affects the association between socioeconomic factors and preterm births, was not available in the Vital Statistics data. Further studies investigating the association in terms of the mode of delivery should also be conducted in Japan. However, the strength of this study is that we used nationwide data from Japan, and the results represent the trends for all of Japan.

We observed trends in the preterm birth rates according to household occupation in Japan from 2007 to 2019 and analyzed their association using Poisson regression analysis. The results show that unemployed households had the highest preterm birth rate, and households with a classification of full-time worker 2 had the lowest preterm birth rate throughout the study period. Poisson regression analysis revealed that unemployed households were statistically significantly associated with a higher rate of preterm births. In addition, the preterm birth rate adjusted for maternal age did not increase over the study period regardless of household occupation. Further studies should be conducted to investigate the reasons for the high preterm birth rate associated with unemployed households.

## CONFLICT OF INTEREST

The authors have no conflicts of interest associated with the material presented in this paper.

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## AUTHOR CONTRIBUTIONS

Both authors contributed equally to conceiving the study, analyzing the data, and writing this paper.

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