

1 **Persistent SARS-CoV-2 infection with accumulation of mutations in a patient with poorly**  
2 **controlled HIV infection**

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**Abstract**

A 22-year-old female with uncontrolled advanced HIV infection was persistently infected with SARS-CoV-2 beta variant for 9 months, the virus accumulating >20 additional mutations. Antiretroviral therapy suppressed HIV and cleared SARS-CoV-2 within 6-9 weeks. Increased vigilance is warranted to benefit affected individuals and prevent the emergence of novel SARS-CoV-2 variants.

**Keywords**

SARS-CoV-2; uncontrolled HIV infection; immunocompromised; infectious virus; mutations; COVID-19; antiretroviral therapy; persistent infection.

## 1 **Background**

2 In the SARS-CoV-2 pandemic, South Africa so far has experienced four distinct waves of  
3 infections each driven by different variants. The first wave between June-August 2020 was  
4 attributed to a mix of SARS-CoV-2 lineages with low overall diversity; the second wave lasted  
5 from November 2020 until February 2021 and was driven by the beta variant of concern (VOC)  
6 (B.1.351); the third wave was dominated by the delta VOC (B.1.617.2) and occurred from May  
7 until October 2021. The most recent fourth wave, beginning in November 2021, was driven by  
8 the omicron VOC (B.1.1.529) [1].

9 The origins of new divergent variants are not yet understood. One hypothesis is that they arise  
10 in severely immunocompromised individuals, such as patients receiving cancer chemotherapy,  
11 organ transplant recipients, and people with uncontrolled advanced HIV disease. Failure to clear  
12 SARS-CoV-2 due to sub-optimal immune responses results in persistent infections that allow  
13 the accumulation of mutations that may confer immune evasive properties [2].

14 We here describe a case of persistent SARS-CoV-2 infection, lasting for a minimum of 9  
15 months, in a severely immunocompromised person with HIV that had challenges with  
16 adherence to antiretroviral therapy. This case report was approved by the Health Research  
17 Ethics Committee of Stellenbosch University and the patient provided informed consent.

## 18 **Case details, methods and results**

19 An outpatient HIV-infected female in her 20s first tested positive for SARS-CoV-2 by PCR on a  
20 respiratory sample while resident in a rural area of KwaZulu-Natal province of South Africa in  
21 January 2021. She was tested on the Allplex™ SARS-CoV-2 assay (Seegene Inc., Seoul,  
22 Republic of Korea) with Ct values of 18, 20 and 22 for the E, RdRp and N gene targets,  
23 respectively. Her SARS-CoV-2 infection was asymptomatic and she did not receive any COVID-

1 19-related treatment. At the time her CD4 count was 91 cells/ $\mu$ L and her plasma HIV viral load  
2 5.07  $\log_{10}$  viral RNA copies/ml. She was HIV-infected from birth and on an antiretroviral therapy  
3 (ART) regimen comprising tenofovir, emtricitabine and efavirenz.

4 In August 2021 she had moved from KwaZulu-Natal to Cape Town, Western Cape province,  
5 South Africa. There she was admitted with stridor to a tertiary hospital in mid-September 2021,  
6 with a one-week history of sore throat, malaise, poor appetite and dysphagia. She reported not  
7 being vaccinated against COVID-19.

8 On physical examination, the patient was wasted but had no palpable lymph nodes. She was  
9 awake and lucid, with no focal neurological deficits. She was not in respiratory distress, had  
10 normal breath sounds with no crackles or wheezes audible and an oxygen saturation of 98% on  
11 room air. The cardiovascular and abdominal examinations, renal function, white cell count and  
12 liver enzymes were without abnormalities. Her CD4 count was 9 cells/ $\mu$ l and her plasma HIV  
13 viral load 4.60  $\log_{10}$  viral RNA copies/ml, indicating advanced HIV infection, poorly controlled by  
14 ART due to self-reported challenges with adherence. Following adherence counselling,  
15 antiretroviral therapy was reinitiated one week after admission with a new ART regimen of  
16 tenofovir, efavirenz and dolutegravir.

17 During a prolonged hospital stay, the patient experienced multiple complications, developing  
18 middle cerebral artery (MCA) stroke and nosocomial pneumonia requiring treatment. As part of  
19 clinical work-up to determine the cause of her stridor, a nasopharyngeal swab obtained on 25  
20 September 2021 tested positive by the Alinity m SARS-CoV-2 routine diagnostic assay (Abbott  
21 Park, Illinois, U.S.A.); the threshold cycle (Ct) of 16 suggested a relatively high viral RNA load.  
22 The sample was serendipitously included in on-going routine genomic surveillance [3], using  
23 Oxford Nanopore Technologies (ONT) sequencing on the Nanopore GridION utilising ARCTIC  
24 version 3 primers as previously described [4]. The viral sequence belonged to the B.1.351

1 lineage (GISAID accession: EPI\_ISL\_5018695) and was therefore flagged for further  
2 investigation, for the beta variant of concern (VOC) was at that time responsible for <1% of  
3 genomically-confirmed cases and its evolution being monitored closely by members of the  
4 Network for Genomic Surveillance in South Africa (NGS-SA).

5 A second nasopharyngeal swab, obtained a month later on 26 October 2021 while still  
6 hospitalised, again tested positive, with Ct values by the Cepheid GeneXpert SARS-CoV-2  
7 assay (Sunnyvale, California, U.S.A.) of 15.3 for the E-gene and 18.2 for the N-gene targets  
8 suggestive of a persisting high viral RNA load. Genomic sequencing of the virus using  
9 Nanopore sequencing again revealed B.1.351 (GISAID accession: EPI\_ISL\_6227177). In  
10 addition, virus was isolated on Vero E6 cells. After the patient revealed her first positive SARS-  
11 CoV-2 test from January 2021, the archived sample was sequenced using published methods  
12 [1] which revealed B.1.351 (GISAID accession: EPI\_ISL\_6585229).

13 Another month later (while still hospitalised), on 25 November 2021, the patient's HIV viral load  
14 was <50 copies/ml and another nasopharyngeal SARS-CoV-2 PCR test was negative.  
15 Unfortunately, a CD4 count was not performed but suppressed HIV replication and clearance of  
16 SARS-CoV-2 infection suggest some degree of immune reconstitution at that stage. Antibodies  
17 against SARS-CoV-2 nucleocapsid and spike proteins were also not measured. The patient was  
18 subsequently discharged from TAH to a different facility for rehabilitation.

19 The three genome sequences from the patient were analysed against a global reference  
20 dataset of 7977 genomes, including 366 from South Africa, using a custom build of the SARS-  
21 CoV-2 NextStrain (<https://github.com/nextstrain/ncov>). The workflow performs alignment of  
22 genomes, phylogenetic tree inference, tree dating and ancestral state construction and  
23 annotation. The phylogenetic tree (Figure 1) was visualised using ggplot and ggtree.

1 Phylogenetic analysis confirmed that the infecting virus from all three swabs clustered together  
2 on a background of 7977 other SARS-CoV-2 sequences, which confirms persistent infection  
3 over at least 9 months rather than re-infection. Over this period, the virus acquired at least 10  
4 mutations in the spike glycoprotein and 11 mutations outside spike over and above the lineage-  
5 defining mutations for beta, as shown in Figure 1. The additional spike mutations included six in  
6 the spike receptor-binding domain (S371F, N450D, A475V, F490Y, S494P and Q498R); a  
7 deletion of amino acids residues 141-143 of the N-terminal domain (NTD) which leads to  
8 neutralizing antibody escape [5] and which seems to be frequently observed in chronic  
9 infections; and two substitutions in the S2 domain (D737Y and F888L). Due to a gap in the NTD  
10 sequence it is not known whether a further substitution (N30T) in the NTD may have been  
11 present from the beginning. We observed a reversion of some of the mutations between the first  
12 and second sequences generated in Cape Town, with the spike N30T and spike F888L present  
13 in the September sample but not detected in the October one.

#### 14 **Discussion**

15 Our case adds to the evidence that severe immunosuppression associated with uncontrolled  
16 HIV infection may lead to chronic SARS-CoV-2 infections [6, 7, 8]. These persistent infections  
17 not only allow continued shedding of infectious virions but also lead to the accumulation of  
18 mutations, some of which lead to immune escape that may result in emergence of new variants  
19 [9, 10]. Therefore, it is important that countries that have a high burden of HIV infection should  
20 encourage prompt diagnosis and treatment of HIV infections and compliance with antiretroviral  
21 therapy for those already receiving treatment to reduce the risk of persistent SARS CoV-2  
22 infections and continued shedding of infectious virus that pose a threat to controlling the  
23 pandemic.

1 The additional mutations in the receptor-binding domain of the spike glycoprotein (S371F,  
2 N450D, A475V, F490Y, S494P and Q498R) in the later genomes are at sites associated with  
3 escape from all four classes of neutralizing antibodies [11]. We observed similar mutations at  
4 spike positions 475 and 490 in the other case we reported of chronic SARS-CoV-2 infection in  
5 association with advanced HIV [7, 10]. It is also notable that these mutations are identical or at  
6 the same position as mutations in other variants of concern/interest (Q498R and S371L in  
7 omicron; and F490Y in lambda).

8 The point needs to be made, however, that no genomes identical to or originating from the  
9 September or October ones were identified by the wider genomic surveillance. While genomic  
10 surveillance efforts may well miss viruses occurring at low frequencies, because of low testing  
11 rates and low and patchy coverage of genomic surveillance, a "successful" new variant would  
12 likely increase over time and not escape detection for weeks or months. The history of the  
13 detection of the novel Omicron variant here in South Africa supports this notion [1].

14 There is thus no evidence that the evolved variants from this case successfully spread into the  
15 general population. This case, like others before, describes a potential pathway for the  
16 emergence of novel variants but it does not prove that any of the variants detected so far did  
17 originate from such a persistent infection in a severely immunocompromised host.

18 This case furthermore highlights the value of well-coordinated and thoroughly established  
19 genomic surveillance efforts. Fortuitously, the September sample from this patient was  
20 sequenced as part of the NGS-SA effort. It was flagged as warranting further investigation as a  
21 beta variant which by that stage had become rare by the sequencing and sequence analysis  
22 teams who contacted the diagnostic virologists and those the requesting clinician. Good  
23 connections between sequencing laboratories, routine diagnostic laboratories and frontline  
24 clinicians are indispensable to identify and investigate such cases.

1 Once again, our experience reinforces previous reports that effective ART is the key to  
2 controlling such events. Once HIV replication is brought under control and immune  
3 reconstitution commences, rapid clearance of SARS-CoV-2 is achieved, probably even before  
4 full immune reconstitution occurs. This underscores the broader point that gaps in the HIV care  
5 cascade need to be closed which will benefit other conditions and public health problems, too,  
6 including Covid-19 [12].

7

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14

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## 21 **Conflict of Interest**

22 All authors have no conflicts of interest to declare.

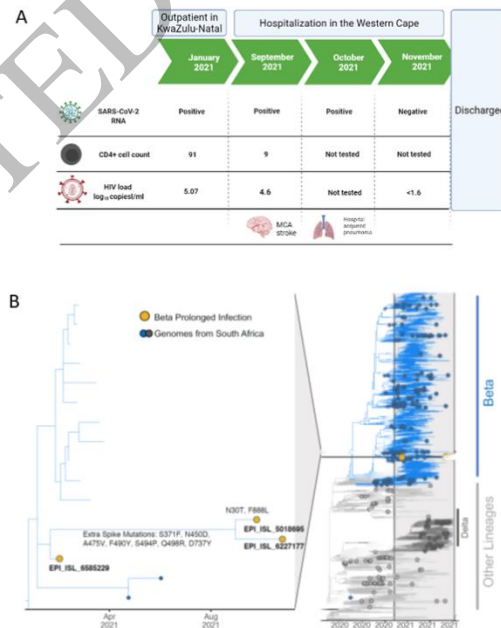


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1 **Figure 1: Clinical time-course and phylogenetic analysis of three SARS-CoV-2 whole**  
 2 **genome sequences from case with prolonged infection with the beta VOC of SARS-CoV-**  
 3 **2.**

4 A: Time course showing major clinical events during the period of prolonged infection with  
 5 SARS-CoV-2, starting with initial positive test in the KwaZulu-Natal province followed by period  
 6 of hospitalization in the Western Cape and eventual discharge from Tygerberg Hospital. B:  
 7 Timed maximum-likelihood phylogenetic tree with patient sequences (yellow) at three time-  
 8 points (January 2021: hCoV-19/South Africa/CERI-KRISP-K029499/2021, GISAID accession  
 9 ID: EPI\_ISL\_6585229; September 2021: hCoV-19/South Africa/Tygerberg\_2777/2021, GISAID  
 10 accession ID: EPI\_ISL\_5018695; October 2021 hCoV-19/South Africa/Tygerberg\_2967/2021,  
 11 GISAID accession ID: EPI\_ISL\_6227177) in relation to 336 representative South African and  
 12 7641 other global sequences. The zoomed-in view shows the finer phylogenetic relationship  
 13 between the three patient-derived sequences. Spike mutations accumulated in addition to the  
 14 known beta mutations are labelled.



18 **Figure 1**  
 19 **31x31 mm (3.2 x DPI)**  
 20