

A novel endoscopic assessment of the gastroesophageal junction for the prediction of gastroesophageal reflux disease: a pilot study



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Bibliography

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ABSTRACT

Background and aim Hiatal hernia and lower esophageal sphincter (LES) dysfunction play major roles in gastroesophageal reflux disease (GERD) pathogenesis. We developed a novel endoscopic assessment to evaluate the gastroesophageal junction (GEJ). This study aims to evaluate the feasibility of this method for the diagnostic prediction of GERD.

Methods A retrospective analysis of patients with GERD symptoms who underwent gastroscopy and esophageal

pH-impedance monitoring was conducted. The novel assessment evaluated the following in retroflex view: 1) Cardiac Opening (CO): diameter of the opening of the cardia, 2) Sliding Hernia (SH): length from the diaphragmatic crus to the squamocolumnar junction, 3) Scope Holding Time% (SHT%): the percentage of time that the Scope Holding Sign (SHS) was observed out of 30 seconds. The SHS is defined as the lower esophagus holding the endoscope under excessive insufflation. The results of this assessment and that of pH-impedance monitoring were compared.

Results In total, 61 patients (mean age \pm SD, 54.1 \pm 16.4 years, 32 males) were enrolled. CO and SH were significantly correlated with acid exposure time (AET) ($\rho=0.36$, $P=0.005$, and $\rho=0.36$, $P=0.004$). The optimal cutoff of CO for AET >6% was 3 cm (Sensitivity=72.4%, Specificity=46.9%, AUC=0.64) and that of SH was 2 cm (Sensitivity=55.2%, Specificity=75.0%, AUC=0.70). When the population was stratified according to this cutoff, patients with CO >3 cm and those with SH >2 cm presented higher AET (15.1 vs 4.1%, $P=0.037$, and 23.0 vs 3.6%, $P=0.026$). Optimal cutoff of SHT% for the number of all reflux episodes >80 was 75% (Sensitivity=81.8%, Specificity=54.6%, AUC=0.67). Patients with SHT% <75% presented a higher number of all reflux episodes (88 vs 65, $P=0.014$). Sensitivity, specificity, and accuracy of SHT% <75% for all reflux episodes >80 were 81.8% (95%CI: 67.7–91.8), 54.5% (95%CI: 40.4–64.5), and 68.2% (95%CI: 54.0–78.1).

Conclusion This novel endoscopic assessment of GEJ significantly predicted the presence of GERD and merits further testing in future studies.

Introduction

Gastroesophageal reflux disease (GERD) is a common gastrointestinal disorder defined as the presence of symptoms or complications resulting from the retrograde flow of gastric contents into the esophagus [1]. Various mechanisms exist in the pathophysiology of GERD, including morphological factors such as a hiatal hernia, and functional factors such as incompetence of

the lower esophageal sphincter (LES), impaired esophageal peristalsis and clearance [2].

Among the morphological factors, hiatal hernia (HH) has a high prevalence and is deemed to play a major role in GERD pathogenesis [3]. HH is endoscopically diagnosed when the separation between the squamocolumnar junction (SCJ) and the constriction of the stomach through the hiatus is greater than 2 cm [4]. This diagnosis is established by forward view of the

gastroesophageal junction (GEJ); however, it can be influenced by the degree of insufflation, respiration phase, retching and belching, which hinder the standardization of endoscopic assessment [3,4]. The Hill grade classification is used to assess the gastroesophageal flap valve function and has proven to be able to predict the presence of acid reflux [5]; however, this classification does not consider some endoscopic features that may enhance GERD prediction ability.

Therefore, we suggest a novel endoscopic assessment method to evaluate the GEJ morphologically and functionally. This study aims to evaluate the feasibility of the new endoscopic assessment for the diagnostic prediction of GERD.

Materials and methods

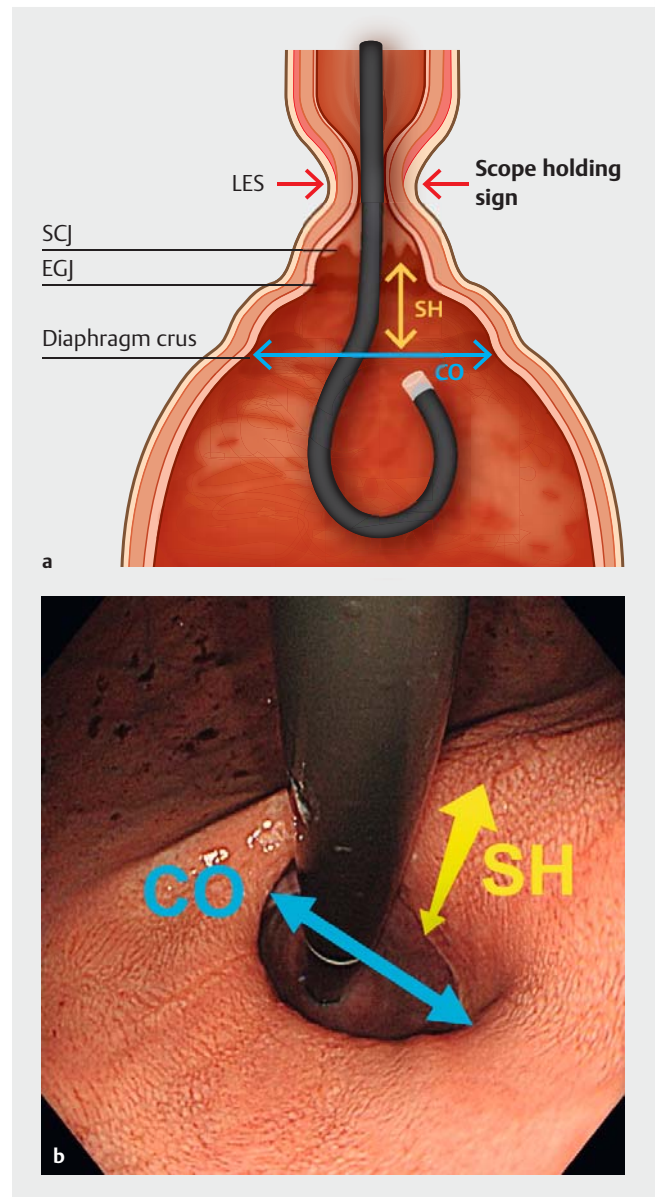
Study population

This is a retrospective, single-center study from a prospectively collected database performed between April 2016 and July 2018 at Showa University Koto Toyosu Hospital, Tokyo, Japan. Patients experiencing major GERD symptoms (heartburn, chest pain or belching) who underwent upper gastrointestinal endoscopy and esophageal multichannel intraluminal impedance-pH monitoring (pH-impedance monitoring) (ZepHr, Sandhill Scientific, Inc., Colorado, United States) were included. GERD diagnosis was based on pH-impedance monitoring. Patients with prior laparoscopic Nissen and Toupet fundoplication or Anti-Reflux Mucosectomy (ARMS) [6] were excluded. Proton pump inhibitors (PPIs) were suspended 7 days before the pH-impedance study.

Endoscopic assessment of the gastroesophageal junction

Endoscopic examinations were carried out using high definition endoscopes (GIF-H260Z/GIF-H290Z, Olympus Medical Systems Corp., Tokyo, Japan) with outer diameters of 10.8 mm and 9.9 mm, respectively. Intravenous propofol was used as sedation. Endoscopic examinations were performed by board-certified fellows of the Japan Gastroenterological Endoscopy Society.

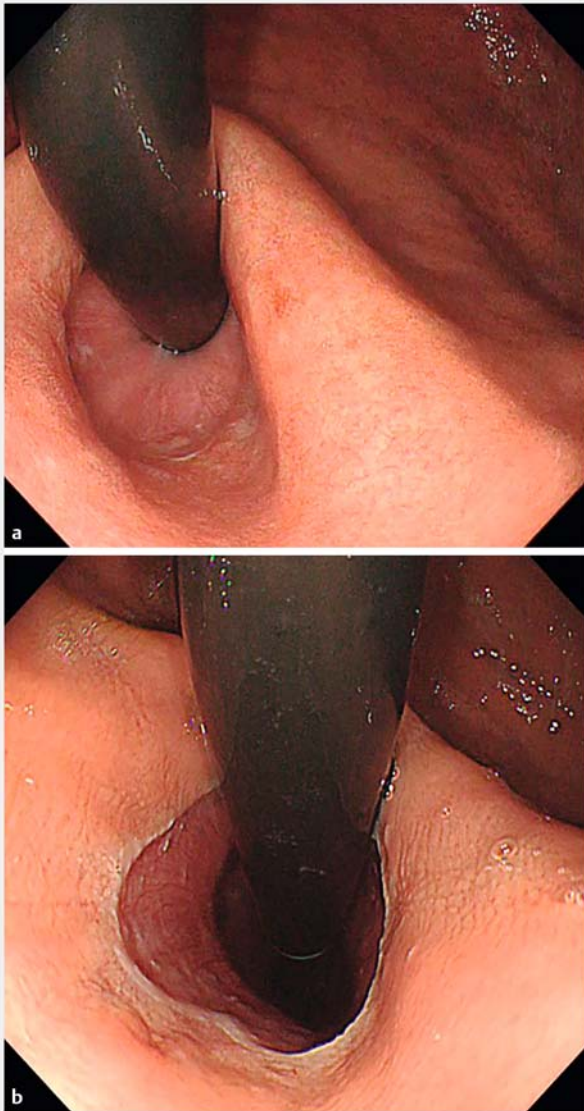
The novel assessment method of the GEJ was performed in retroflex view under excessive and high-flow insufflation (MAJ-1741, Olympus Medical Systems Corp., Tokyo, Japan) until the folds of the greater curvature flattened and maximum GEJ opening was observed. We evaluated: 1) Cardiac Opening (CO): the diameter of the opening of the cardia (cm), 2) Sliding Hernia (SH): the length from the diaphragmatic crus to the SCJ (cm) (► Fig. 1), 3) Scope Holding Sign (SHS): lower esophagus holding the endoscope (► Fig. 1, ► Fig. 2). Based on SHS, Scope Holding Time% (SHT%) is defined as the percentage of time that the SHS was observed out of 30 seconds in retroflex view. For patients who experienced belching before the greater curvature folds flattened upon insufflation, SHT% was measured before belching while under maximum insufflation. Length was measured using the scope diameter as a reference, which was approximately 1 cm. Still endoscopic images from endoscopic videos were reviewed by three endoscopists to assess CO and



► Fig. 1 CO, SH, and Scope Holding Sign: Schema and endoscopic image of hiatal hernia showing cardiac opening (CO), sliding hernia (SH), Scope Holding Sign, lower esophageal sphincter (LES), squamocolumnar junction (SCJ), and gastroesophageal junction (GEJ).

SH. For SHT%, endoscopic videos were analyzed. An agreement was made to show concordance.

The results of this assessment method and that of pH-impedance monitoring were compared. The primary outcome was acid exposure time (AET), and secondary outcomes were DeMeester composite score, the number of all reflux (liquid, gas or mixed, and acid or non-acid) episodes, and the number of proximal reflux episodes. AET is the percent time with pH<4, and AET of more than 6%, and the number of all reflux episodes >80 were considered to be definitively abnormal based on the 2018 Lyon Consensus [7].



► **Fig. 2** Scope Holding Sign positive and negative: Endoscopic image of Scope Holding Sign positive (a) and negative (b) during excessive and high-flow insufflation in retroflex view.

Statistical analysis

Mean, standard deviation (SD), median, and range were calculated for continuous variables, and frequency counts and percentages for categorical data. Chi-squared and Fisher's exact tests were used for categorical data. The Spearman correlation coefficient was used to test the correlation between the quantitative variables. Optimal cutoff points for CO and SH values were calculated with ROC curve analyses to maximize sensitivity and specificity, using the Youden J index. All analyses were two-tailed, and *P* values less than 0.05 were considered statistically significant. All statistical analyses were conducted using JMP 14 (SAS Institute Inc., Cary, North Carolina, United States).

► **Table 1** Patient characteristics (n = 61).

Variable	Value
Age, mean ± SD, years	54.1 ± 16.4
Male gender, n (%)	32 (52.4%)
BMI, mean ± SD, kg/m ²	21.9 ± 4.0
Los Angeles Classification (esophagitis), n(%)	
▪ Grade N	43 (70.5%)
▪ Grade A	6 (9.8%)
▪ Grade B	6 (9.8%)
▪ Grade C	5 (8.2%)
▪ Grade D	1 (1.6%)
GERD symptoms, n (%)	
▪ Heartburn	37 (60.7%)
▪ Chest pain	52 (85.2%)
▪ Belching	27 (44.3%)

SD, standard deviation; BMI, body mass index; GERD, gastroesophageal reflux disease.

Ethical considerations

The study protocol adhered to the principles of the Declaration of Helsinki and was approved by the Institutional Review Board (IRB) of Showa University Koto Toyosu Hospital (IRB Registration No: 18T7054). Written informed consent for the upper gastrointestinal endoscopy was obtained from all participants. In accordance with the IRB, individual informed consent for inclusion in this study was not required. The research outline was appropriately notified on the website of Showa University Koto Toyosu Hospital and an appropriate refusal opportunity was given for the use of medical record information.

Results

A total of 110 patients were screened. Among these, laparoscopic Nissen fundoplication (n=8), laparoscopic Toupet (n=7), and ARMS (n=34) were excluded. Consequently, a total of 61 patients were finally included. Mean age (±SD) was 54.1 ± 16.4 years, with 32 males (52.4%). Population characteristics are presented in ► **Table 1**.

Cardiac opening

CO was significantly correlated with AET ($\rho=0.36$, $P=0.005$) and DeMeester composite score ($\rho=0.35$, $P=0.006$). There was no correlation between CO and the number of all reflux episodes ($\rho=0.04$, $P=0.78$) and proximal reflux episodes ($\rho=-0.05$, $P=0.72$).

The optimal cutoff of CO for AET > 6% was 3 cm (Sensitivity = 72.4%, Specificity = 46.9%, Area under the ROC curve (AUROC) = 0.64). When the population was stratified according to this cutoff, patients with CO > 3 cm presented higher AET ($P=0.037$) and DeMeester composite score ($P=0.075$), as shown

► **Table 2** Statistical analysis for CO.

	CO ≤ 3 cm (n = 46)	CO > 3 cm (n = 15)	P value
AET%	4.1 (1.1 – 12.3)	15.1 (2.1 – 36.5)	0.037
DeMeester composite score	14.3 (5.1 – 41.9)	48.8 (8.2 – 109.1)	0.075
All reflux episodes	80 (55.3 – 112)	78 (61 – 119)	0.48
Proximal reflux episodes	31 (19.5 – 48)	25 (22 – 46)	0.22

AET, acid exposure time; CO, cardiac opening.

► **Table 3** Statistical analysis for SH.

	SH ≤ 2 cm (n = 53)	SH > 2 cm (n = 8)	P value
AET%	3.6 (1.1 – 13.7)	22.95 (10.2 – 46.3)	0.026
DeMeester composite score	13.3 (6.0 – 41.7)	70.9 (35.1 – 113.1)	0.044
All reflux episodes	78 (56 – 112)	77 (54.5 – 15.25)	0.49
Proximal reflux episodes	30.5 (20.25 – 48)	25 (22.25 – 30)	0.19

AET, acid exposure time; SH, sliding hernia.

in ► **Table 2**. When the patients were divided into two groups of CO > 3 cm and CO ≤ 3 cm, there was no statistically significant difference in age, gender, or body mass index.

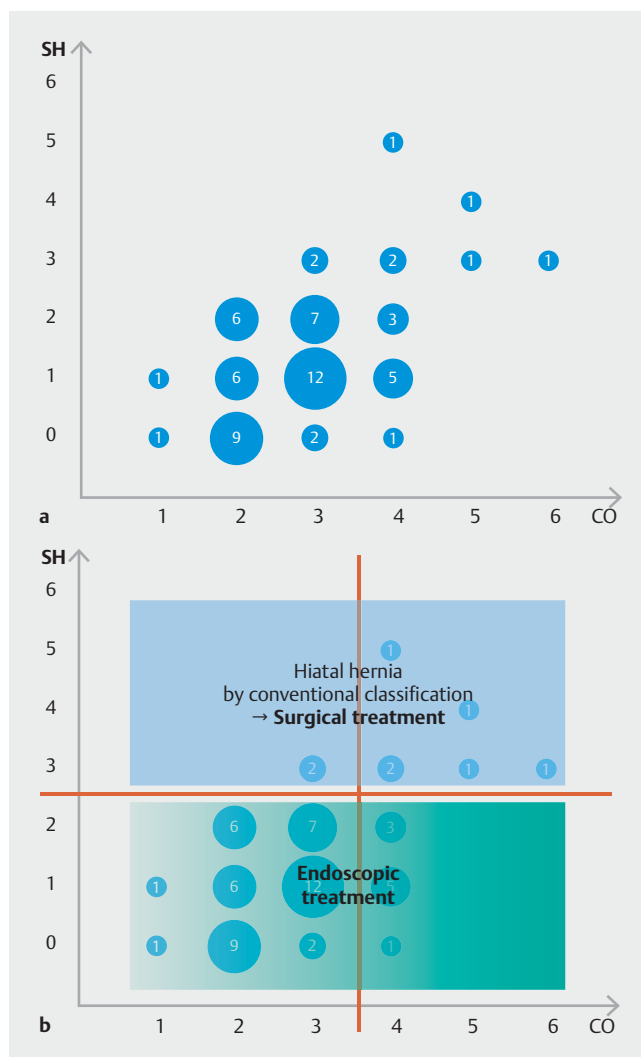
Sliding hernia

Eight patients (13.1 %) had a SH of more than 2 cm and 53 patients (86.9 %) had a SH of 2 cm or less. Nine patients (14.8 %) had a SH of 2 cm or less, and a CO of more than 3 cm.

SH was significantly correlated with AET ($\rho = 0.36$, $P = 0.004$) and DeMeester composite score ($\rho = 0.38$, $P = 0.003$). There was no correlation between SH and the number of all reflux episodes ($\rho = -0.08$, $P = 0.55$) and proximal reflux episodes ($\rho = -0.10$, $P = 0.43$).

The optimal cutoff of SH for AET > 6 % was 2 cm (Sensitivity = 55.2 %, Specificity = 75.0 %, AUROC = 0.70). When the population was stratified according to this cutoff, patients with SH > 2 cm presented higher AET ($P = 0.026$) and DeMeester composite score ($P = 0.044$), as shown in ► **Table 3**. When the patients were divided into two groups of SH > 2 cm and SH ≤ 2 cm, there was no statistically significant difference in age, gender, or body mass index.

The distribution of CO and SH for the patients in this study is shown in ► **Fig. 3**.



► **Fig. 3** Distribution of CO and SH: A distribution map of CO and SH for the patients in this study (a) showing the indication for surgical and endoscopic treatment of hiatal hernia (b).

► **Table 4** Statistical analysis for SHT%.

	SHT% < 75 % (n = 29)	SHT% ≥ 75 % (n = 16)	P value
AET%	4.8 (2.15 – 21)	1.3 (0.4 – 17.9)	0.81
DeMeester composite score	15.6 (8.6 – 70.9)	6.15 (2.6 – 55.4)	0.74
All reflux episodes	88 (61.3 – 128.3)	65 (52.0 – 82.3)	0.014
Proximal reflux episodes	33.5 (23.5 – 54.5)	21 (14.8 – 24.0)	0.0098

AET, acid exposure time; SHT%, scope holding time%.

► **Table 5** Diagnostic performance of SHT% < 75 %.

Specificity (%) (95%CI)	Sensitivity (%) (95%CI)	PPV (%) (95%CI)	NPV (%) (95%CI)	LR+ (95%CI)	LR- (95%CI)	Accuracy (%) (95%CI)
54.5 (40.4–64.5)	81.8 (67.7–91.8)	64.3 (53.2–72.1)	75.0 (55.5–88.7)	1.80 (1.14–2.56)	0.33 (0.13–0.80)	68.2 (54.0–78.1)

CI, confidence interval; PPV, positive predictive value; NPV, negative predictive value; LR, likelihood ratio.

Scope holding time %

There was no significant correlation between SHT% and AET ($\rho = -0.2$, $P = 0.17$), DeMeester composite score ($\rho = -0.23$, $P = 0.12$), the number of all reflux episodes ($\rho = -0.22$, $P = 0.14$), and the number of proximal reflux episodes ($\rho = -0.24$, $P = 0.12$).

The optimal cutoff of SHT% for the number of all reflux episodes > 80 was 75% (Sensitivity = 81.8%, Specificity = 54.6%, AUROC = 0.67). When the population was stratified into SHT% \geq 75% and SHT% < 75%, patients with SHT% < 75% presented a higher number of all reflux episodes ($P = 0.014$) and proximal reflux episodes ($P = 0.0098$), as shown in ► **Table 4**. The sensitivity, specificity, and accuracy of SHT% < 75% for all reflux episodes > 80 are summarized in ► **Table 5**.

Discussion

In this study, we performed a novel endoscopic assessment of the GEJ and the LES function using CO, SH, and SHT% to enhance the diagnostic prediction of GERD. The relationship between the degree of CO, SH, SHT%, and gastroesophageal reflux assessed by pH-impedance monitoring was systematically evaluated and a new assessment method is proposed. Our main results were that patients with CO > 3 cm or SH > 2 cm presented higher AET and DeMeester composite score, and patients with SHT% of less than 75% presented a higher number of all reflux episodes and proximal reflux episodes.

Previous studies have shown that an impairment of the gastroesophageal flap [5], and an increase in the cardia circumference cause an increase in the frequency of GERD [8]; however, earlier studies did not evaluate the degree of SH in the retroflexion view, and a method of simultaneously describing the degree of CO and SH had not been accomplished. In this study, CO and SH were intended to measure the size of the HH horizontally and vertically. An increase in CO and SH causes an increase in the volume of HH and probably reflects LES incompetence, leading to a build-up of gastric acid, hence causing more acid reflux [9]. As depicted here, CO and SH significantly correlated with acid reflux considering pH-impedance as the gold standard criteria.

While LES is not observed in a forward view, LES contraction is triggered upon sufficient insufflation and the state of holding the endoscope by the esophagus can be observed, which is thought to be the LES as shown in ► **Fig. 2**. This is what we have termed the SHS. Our data showed that patients with SHT% < 75%, which is equivalent to lower SHS, presented a higher number of all reflux episodes and proximal reflux episodes. Taking into account the fact that the diagnostic per-

formance of SHT% < 75% for all reflux episodes > 80 has high sensitivity and negative predictive value, SHT% can be useful for excluding GERD.

Based on CO and SH parameters, we propose a distribution map as a guide to determine the treatment method for GERD. Patients who have failed medical management with acid suppression are referred as an indication for surgical treatment [10], and those with SH of more than 2 cm, diagnosed as definitive HH in previous reports [4], are especially referred as an indication for surgical treatment such as Nissen and Toupet fundoplication [11]. In contrast, patients without HH (SH \leq 2 cm) are said to be a good indication for endoscopic therapy such as ARMS [6]. As shown in ► **Fig. 3**, by concomitantly evaluating CO and SH, we identify the subpopulation who may benefit from endoscopic therapy. Considering that a CO of more than 3 cm independently correlates with acid reflux, these patients may benefit more from endoscopic therapy. In our facility, the treatment method is determined by this protocol as depicted in ► **Fig. 3**, however, further evaluation is still required.

A possible adverse event during excessive and high-flow insufflation is overextension of the mucosa. However, since we evaluate CO, SH, and SHT% by retroflexion, the fornix and lesser curvature could also be observed simultaneously. If mucosal damage is noticed, insufflation can be stopped immediately. Yet, this risk seems more theoretical than real, and in our study, we did not experience any adverse events.

The limitations of this study must be acknowledged. Since this is a pilot study, the sample size is relatively small, suggesting that future studies on the same topic may be necessary involving a larger population to validate the results of this study. Since the CO and SH were measured under excessive CO₂ insufflation until the folds of the greater curvature flattened, the exact amount of CO₂ could not be measured. The lack of a healthy control group also poses some drawbacks, therefore, the differentiation between a control group and GERD patients could not be assessed. More so, the study population pertained to those with heartburn, chest pain, and belching only and therefore, no conclusions can be drawn about the application of this new method to those experiencing other GERD symptoms. Since SH is defined as the length from the diaphragmatic crus to SCJ, this method cannot be applied to patients with Barrett's esophagus. A number of SHT% and SHS data were missing, since this sign was not uniformly defined during the initial cases. Finally, since manometry has not been performed in this study, the LES was not accurately evaluated. Further studies are needed to compare SHT% in endoscopy and LES relaxation in manometry to clarify the relationship between them.

In summary, this study demonstrated that patients with CO of more than 3 cm or SH of more than 2 cm presented higher AET, and patients with SHT% of less than 75 % presented a higher number of all reflux episodes. This systematic endoscopic evaluation, taking into account the additional morphological and functional features of the GEJ, significantly predicted the presence of GERD and deserves future validation in a larger cohort.

Competing interests

H. Inoue is an advisor of Olympus Corporation and Top Corporation. He has also received educational grants from Olympus Corp., and Takeda Pharmaceutical Co. E. Rodriguez de Santiago is a Ramón y Cajal Health Research Institute grant holder. Y. Fujiyoshi, M.R.A. Abad, K. Sumi, Y. Iwaya, H. Ikeda, M. Onimaru, and Y. Shimamura have no conflicts of interest to declare.

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