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Investigation of the Phase Transition Mechanism in LiFePO₄ Cathode Using In Situ Raman Spectroscopy and 2D Correlation Spectroscopy during Initial Cycle

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Abstract: The phase transition of the LiFePO₄ and FePO₄ in Li-ion cell during charging-discharging processes in the first and second cycles is elucidated by Raman spectroscopy in real time. In situ Raman spectroscopy showed the sudden phase transition between LiFePO₄ and FePO₄. Principal component analysis (PCA) results also indicated that the structural changes and electrochemical performance (charge-discharge curve) are correlated with each other. Phase transition between LiFePO₄ and FePO₄ principally appeared in the second charging process compared with that in the first charging process. 2D correlation spectra provided the phase transition mechanism of LiFePO₄ cathode which occurred during the charging-discharging processes in the first and second cycles. PCA and 2D correlation spectroscopy are very helpful methods to understand in situ Raman spectra for the Li-ion battery.

Keywords: Li-ion Battery; LiFePO₄; two-dimensional correlation spectroscopy; in situ Raman spectroscopy

1. Introduction

Battery performance, duration, and stability can be determined during the initial cycle. Therefore, it is important to understand structural changes in cathode and anode materials, formation of solid electrolyte interphase (SEI) film, electrical performance, etc. in real time. In the past few years, many researchers have tried to monitor in real time reactions inside batteries during electrochemical performance by using XRD [1–4], XAS [5–7], Raman [8–17], NMR [18], and so forth [19,20]. However, the studies for electrochemical reactions during the initial cycle is still limited.

LiFePO₄ is one of the cathode materials having lower cost, environmental compatibility, high theoretical specific capacity of 170 mAhg⁻¹ and especially a superior safety performance [21,22]. Therefore, it is spotlighted for large size lithium-ion (Li-ion) batteries, such as the energy sources in hybrid-electric vehicles (HEVs) or electric vehicles (EVs), and energy grid storage. Nevertheless, application of LiFePO₄ cathode material is limited owing to low electronic conductivity and poor Li-ion diffusion [23]. To overcome these problems, LiFePO₄ cathode materials have been alternated using carbon coating [13,22] or extending the surface area [23].



LiFePO₄ cathode material has flat charging and discharging profiles at ~3.4 V vs. Li/Li⁺ resulting from LiFePO₄ phase transition [24]. Many researchers studied the phase transition of LiFePO₄ structure during charging-discharging processes. T. Yamanaka et al. [25] and other groups [26–28] reported that LiFePO₄ separates to LiFePO₄ and FePO₄ phases during the charging process, having a miscibility gap at the Li concentration between them. The so-called 'core shrinking' theoretical model of the phase transition has been described by A.S. Andersson et al. and V. Srinivasan et al. [29,30]. Recently, C. Delmas et al. reported another phase transition mechanism, which is named the 'domino-cascade' model [31]. They assume that phase transformations at particle-level are fast, and small particles appear either fully charged (FePO₄ phase) or discharged (LiFePO₄ phase) due to a very rapid two-phase front [4,31]. The phase transition mechanism of LiFePO₄ has been also investigated through in situ Raman spectroscopy [4,13]. Many models of phase transformation in LiFePO₄ were reported; however the understanding is still limited. The interpretation of the highly overlapped spectra obtained during the charging–discharging processes remains difficult because the electrochemical reactions in the batteries are very complicated [32].

Nowadays generalized two-dimensional correlation spectroscopy (2D-COS) is accepted as one of the tools applicable to the in-depth analysis of various spectral data. 2D-COS can sort out important information in systematic variations of almost any reasonable analytical signals observed with a variety of probes under various forms of applied external perturbations. The perturbation-induced spectral variation of systems can be analyzed by a form of cross correlation analysis in 2D-COS. This approach has been applied to better understand complex systems, such as Li-ion batteries, because 2D-COS provides better information that is not readily detected with conventional spectroscopic measurements. In our previous study, electrochemical reactions of electrode materials using 2D-COS have successfully elucidated [33–41] analysis of in situ Raman spectra of LiCoO₂/Li cell [32].

Principal component analysis (PCA) is a very popular analytical technique for spectroscopy. It can decompose the original data into the products of scores and loading vectors and provides a precise mathematical estimation of changes along sample and variable vectors. From PCA results, we can capture the dynamics of systems in response to perturbations and to display relationships among variables or among variables and systems [42–44].

In this study, PCA and 2D-COS were applied to in situ Raman spectroscopy for deeper understanding of phase transition of LiFePO₄ cathode. PCA results adequately explain the correlation of spectral changes and electrochemical performance. We also deduced the phase transition mechanism during the first and second charging-discharging processes using 2D correlation spectroscopy.

2. Results and Discussion

We measured in situ Raman spectra during charging-discharging processes in the first and second cycles. Figure 1A shows charging and discharging profiles of the first and second cycles of a Li-ion cell with LiFePO₄ cathode. There are plates region at 3.48 and 3.38 V and 3.44 and 3.40 V during the first and second charging-discharging processes, respectively. This is the most noticeable feature of LiFePO₄ material [24]. The sharp changes were observed in the other potential near the end of charging and near the end of discharging in the first and second cycles. From this result, we predict that lattices structure, Li ion diffusion rate, phase transition suddenly changed near the end of charging and discharging processes every 1 h, especially those that were recorded every 5 min and 2 min during near the end of charge in the first and second cycles, respectively, as shown in Figure 1B.

Unexpected spectral changes were detected near the end of charging in the first (10 h) and second cycles (9 h), respectively. The bands at 960 and 1020–1130 cm⁻¹, assigned to symmetric and asymmetric stretching of PO_4^{3-} in FePO₄ phase [13], suddenly appeared at the end of charging then suddenly disappeared after charging. On the other hand, a band at 950 cm⁻¹, corresponds to the symmetric stretching of PO_4^{3-} in LiFePO₄ phase, abruptly fades at the end of charging then abruptly came out after charging. As shown in in situ Raman spectra recorded near the end of charging of the first and

second cycles, the variations of the bands at 950, 960 and 1020–1130 cm⁻¹ also suddenly occurred, which were not gradual changes. This observation means that phase transition happened promptly near the end of charge. We also observed that the intensity of these bands decreased during the first and second charging-discharging processes. This result indicates that optical skin depth for the LiFePO₄ cathode may change without any thermal damages because of laser exposure. If the electrode is harmed by laser, a new band at 1003 cm⁻¹ assigned to a disordered phase of FePO₄ should have appeared [45].



Figure 1. (**A**) Charging and discharging profiles during the first and second cycles of a LiFePO₄/Li cell. (**B**) In situ Raman spectra of LiFePO₄ cathode in the 930–1800 cm⁻¹ region measured during the charging-discharging processes of the first and second cycles of LiFePO₄/Li cell.

Because the spectral region of $PO_4^{3^-}$ stretching between 930 and 980 cm⁻¹ is only presented as the existence of both LiFePO₄ and FePO₄ [13], we only focus this region as shown in Figure 2. In Figure 2, phase transition between LiFePO₄ and FePO₄ phases were obviously monitored. During the first and second cycles, the band at 950 cm⁻¹, observed in open circuit voltage (OCV), changed to 960 cm⁻¹ at the end of charging and then repositioned at 950 cm⁻¹ after charging. The band shift from 950 to 948 cm⁻¹ was observed only in the end of discharging of the first cycle, not in that of the second cycle. This difference clearly indicates that the more stable structure of LiFePO₄ may be formed after the first cycle. Therefore, we examined when the phase changes happened during the second charging process. Through Table S1, we speculate that the potential range that appeared during phase transition is between 3.6 and 3.7 V during the second charging process.

To understand the correlation between the structural changes and the performances of the Li-ion cell, we also carried out PCA for the in situ Raman spectra of LiFePO₄/Li cell during charging-discharging processes in the first and second cycles. We applied PCA to in situ Raman spectra obtained from Figure 1. Figure S1A–C presented plots of the first four scores, the loading

values, and the loading vectors in 930–980 cm⁻¹ region, respectively, of the in situ Raman spectra for LiFePO₄ cathode in Li-ion cell during the charging-discharging processes in the first and second cycles. Interestingly, the variation trends of score plots for the first principal component (PC 1) are in good agreement with those of CV curve of LiFePO₄/Li cell during the charging-discharging processes in the first and second cycles, as shown in Figure S2. As shown in loading vectors of Figure S1C, the first four principal components (PCs) of the bands at 948 and 951 cm⁻¹ have positive, positive, negative, and positive intensities, respectively, indicating that LiFePO₄ phase mainly changed at 1 h between 8 h 40 min in the charging process of the second cycle. In contrast, the band at 960 cm⁻¹ has negative, positive, positive, and positive intensities in the first four PCs, respectively, indicating that FePO₄ phase principally varied at 8 h 50 min and 8 h 52 min in charging process of the second cycle. PCA results clearly identify the LiFePO₄ and FePO₄ phases. The phase transition between LiFePO₄ and FePO₄ occurred even during the first charging process, but they are not clearly detected in PCA results. This result shows that phase transition of LiFePO₄ and FePO₄ in the first charging process was not as strong as the second charging process.



Figure 2. In situ Raman spectra in the PO_4^{3-} stretching region (930–980 cm⁻¹) of OCV, a–f: the first cycle (a: 1 h, b: 7 h, c: 10 h 30 min (end of charge), d: 11 h, e: 18 h, f: 20 h (end of discharge)) and g–i: the second cycle (g: 1 h, h: 7 h, i: 9 h 8 min (end of charge), j: 10 h, k: 15 h, l: 18 h (end of discharge)).

To deeper understand the structural changes in LiFePO₄ cathode in Li-ion cell during the charging-discharging processes, 2D-COS was applied to in situ Raman spectra of LiFePO₄/Li cell during the charging-discharging processes in the first and second cycles. Figures 3 and 4 displayed 2D correlation in situ Raman spectra during the charging-discharging processes in the first and second cycles.

We analyzed firstly in situ Raman spectra of LiFePO₄/Li cell during the charging-discharging processes of the first cycle. As shown in Figure 3, 2D correlation spectra of the charging-discharging processes of the first and second cycles were different. During the first charging process, two auto peaks at 946 and 960 cm⁻¹ were observed in the synchronous 2D correlation spectrum as shown in Figure 3A. Three positive cross peaks at the (950,946), (958,948), and (961,958) cm⁻¹ were observed in the asynchronous 2D correlation spectrum. They have positive, negative, and positive cross peaks, respectively, in the corresponding synchronous 2D correlation spectrum. From the sign of the cross peaks in the synchronous and asynchronous 2D correlation spectra, we determine the sequential order of the band intensity changes with time as follows; 950 (due to LiFePO₄ phase) \rightarrow 946 (due to

LiFePO₄ phase) \rightarrow 958 (due o FePO₄ phase) \rightarrow 961 (due to FePO₄ phase) cm⁻¹. This result means that LiFePO₄ phase distorted, then FePO₄ phase appeared, and finally FePO₄ phase distorted during the first charging process. During the first discharging process, one auto peak at 945 cm⁻¹ is detected in the synchronous 2D correlation spectrum as shown in Figure 3C. In the asynchronous spectrum (Figure 3D), a lot of cross peaks appeared associated with noise. This observation means that the intensity of a band corresponded distorted LiFePO₄ phase only changed in the first discharging process. In other words, phase transition in LiFePO₄ structure did not happen during the first discharging process.



Figure 3. (**A**,**C**) Synchronous and (**B**,**D**) asynchronous 2D correlation spectra of in situ Raman spectra during the (**A**,**B**) charging and (**C**,**D**) discharging processes in the first cycle obtained Figure 1.

2D correlation spectra during the charging-discharging processes in the second cycle are displayed as shown in Figure 4. In the second charging process, two cross peaks at (951,946) and (960,951) cm^{-1} appeared in the asynchronous spectrum (Figure 4B). Based on the sign of the cross peaks in 2D correlation spectra, we deduced the following sequence of the intensity variations: 960 (due to FePO₄ phase) \rightarrow 951 (due to LiFePO₄ phase) \rightarrow 946 (due to LiFePO₄ phase). Variation of FePO₄ phase occurred before changes of LiFePO₄ phase and finally LiFePO₄ phase distorted. Interestingly, FePO₄ phase changes appeared in advance unlike the first charging process. This indicates that the FePO₄ phase does not appear abruptly in near the end of charging during the second cycle. This observation means that variations of the FePO₄ phase were formed during the first cycle taking place before the LiFePO₄ phase change. During the second discharging process, two cross peaks at (953,948) and (960,953) cm^{-1} appeared in the asynchronous spectrum (Figure 4D). A band at 960 cm^{-1} is not detectable in 1D in situ Raman spectra during the second discharging process. These results indicate that the FePO₄ phase existed in a small of amount but not disappeared suddenly. From the 2D correlation analysis, the sequential order of the band intensity changes can be determined as follows: 948 (due to LiFePO₄ phase) \rightarrow 953 (due to LiFePO₄ phase) \rightarrow 960 (due to FePO₄ phase) cm⁻¹. The event of the band intensity changes during the second discharging process represented exactly opposite trend with that during the second charging process. In other words, reversible phase transition was shown after the first cycle. From this result we conclude that LiFePO₄ structure is getting stable after the first cycle. As shown in Figure S3, the power spectra, which were extracted along the diagonal line on the synchronous 2D correlation spectra of Figure 3A,C, and Figure 4A,B, indicate that the phase transition between LiFePO₄ and FePO₄ phases appeared more sensitively during the second charging process. This result is in a good agreement with the PCA result.



Figure 4. (**A**,**C**) Synchronous and (**B**,**D**) asynchronous 2D correlation spectra of in situ Raman spectra during the (**A**,**B**) charging and (**C**,**D**) discharging processes in the second cycle obtained Figure 1.

3. Materials and Methods

The electrochemical behavior of LiFePO₄ was investigated using a modified coin cell (2032 type). The modified coin cell was fabricated using the method described by Wu et al. [13]. In this study, a 1 mm hole was drilled in the back of a 2032 type coin cell (Wellcos Corporation, Gunpo, Korea), and the cell was used as the cathode can. A slurry consisting of 90 wt % LiFePO₄ (Nippon Chemical Co., Tokyo, Japan) powder, 5 wt % Super P (conductor), and 5 wt % polyvinylidene fluoride (PVdF, binder) dissolved in 1-methyl-2-pyrrolidinone (NMP) was prepared. Cathodes were made by coating the slurry (thickness was 200 μ m) onto a mesh-type aluminum foil substrate (Dexmet Corporation, Wallingford, CT, USA). Using these electrodes, the test cells were fabricated with metallic Li anodes and two separators (Celgard 2400, Celgard Co., NC, USA and Amotech Co., Incheon, South Korea) in an Ar-filled glove box. The electrolyte was a 1.0 M solution of LiPF₆ in EC: DEC = 1:1 by volume (PANAX ETEC Co., Busan, Korea). To evaluate the cell performance, the test cells were aged for 4 h at 40 °C in a vacuum oven. Then, the first and second charge-discharge cycles were galvanostatically performed at rates of 0.1 C, at a constant current of 3.0–4.2 V at room temperature using a CH660E electrochemical analyzer (CH Instruments Inc., Bee Cave, TX, USA).

The in situ Raman spectra were obtained at room temperature during the first and second charging-discharging processes using a Jobin Yvon/HORIBA HR evolution Raman spectrometer equipped with an integral BX 41 confocal microscope. Radiation from an air-cooled frequency-doubled Nd:YAG laser (532 nm) was used as the excitation source. Raman scattering was detected at a 180° geometry using a multichannel air-cooled (-60 °C) charge-coupled device (CCD) camera (1024×256 pixels). We performed Raman shift calibration with Si wafer, which has a characteristic Raman shift at 520 cm⁻¹. The in situ Raman spectra were collected with 4 s exposure time and 10 accumulations using $50 \times$ (NA 0.8) objective during the charging-discharging processes in the first and second cycles. The power and spot size of the laser were about 12.5 mW and 8 µm, respectively. All spectra were baseline corrected, smoothed and normalized using the PLS_Toolbox 8.1 software (Eigenvector Research, Inc., Wenatchee, WA, USA) for MATMAB R2017b (The Mathworks Inc., Natick, MA, USA). Smoothing was performed by Saviski-Golay method with 3 points filter width. Area normalization carried out in the 1150–1800 cm⁻¹ region corresponding to carbon of conductor (Super P) because we only focused on the spectral variation in 930–980 cm⁻¹ in this study. We also performed PCA analysis with PLS_Toolbox 8.1 software (Eigenvector Research, Inc., WA, USA) for

MATMAB R2017b (The Mathworks Inc., Natick, MA, USA). Synchronous and asynchronous 2D correlation in situ Raman spectra were obtained using MATLAB. The red and blue lines represent positive and negative cross peaks, respectively.

4. Conclusions

In situ Raman spectroscopy is a useful method to monitor the phase transition of LiFePO₄ cathode during the first and second charging-discharging processes. By applying the PCA and 2D-COS to in situ Raman spectra, we can get more information, which was not easily detected using only 1D spectra. As PC 1 score plots are in good agreement with the trend of charging and discharging profiles, spectral changes affect electrochemical performance. The phase transition mechanism of the LiFePO₄ cathode in LiFePO₄/Li ion cell can be examined using 2D correlation spectra obtained from in situ Raman spectra during the first and second charging-discharging processes.

Supplementary Materials: The following are available online. Figure S1. PAC results for in situ Raman spectra of LiFePO₄ cathode during the charging-discharging processes in the first and second cycles. The plots of the first four (A) scores, (B) loading vectors, and (C) loading vectors of 930–980 cm⁻¹ region, Table S1. Structural changes appeared voltage and time during the second charging-discharging processes., Figure S2. (A) Charging and discharging profiles during the first and second cycles of a LiFePO₄/Li cell; a–f: the first cycle (a: 1 h, b: 7 h, c: 10 h 30 min (end of charge), d: 11 h, e: 18 h, f: 20 h (end of discharge)) and g–i: the second cycle (g: 1 h, h: 7 h, i: 9 h 8 min (end of charge), j: 10 h, k: 15 h, l: 18 h (end of discharge)). (B) Score plot of PC 1. Figure S3. Power spectra extracted along the diagonal line on the synchronous 2D correlation spectra as shown in Figure 3A,C and Figure 4A,C.

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Sample Availability: Samples of the compounds are not available from the authors.



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