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First-principles investigation of the ferroelectric, piezoelectric and nonlinear optical properties of LiNbO₃-type ZnTiO₃

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The newly synthesized LN-type ZnTiO₃ (J. Am. Chem. Soc. 2014, 136, 2748) contains cations with the electronic configurations nd^{10} ($Zn^{2+}: 3d^{10}$) along with second-order Jahn-Teller (SOJT) nd^0 ($Ti^{4+}: 3d^0$) cations. This is different from traditional ferroelectrics with the electric configurations of d^0 transition metal ions or/and lone pair electrons of ns^2 . Using a first-principles approach based on density functional theory, we investigate the electronic structure, zone-center phonon modes, piezoelectric and nonlinear optical properties of the LiNbO₃-type ZnTiO₃. The electronic structure indicates that this compound is a wide direct-band-gap insulator. The results reveal that this compound is a good ferroelectric material with a large spontaneous polarization of $90.43 \mu C/cm^2$. The Raman scattering peaks of A_1 and E modes are assigned to their zone-center optical modes. Additionally, the large piezoelectric and nonlinear optical susceptibilities reveal that LiNbO₃-type ZnTiO₃ is a high-performance lead-free piezoelectric and nonlinear optical crystal.

In recent years, noncentrosymmetric (NCS) compounds have attracted great interest in physics and material science because of their symmetry-dependent ferroelectric, piezoelectric and second-order nonlinear optical (NLO) properties, etc.^{1,2}. When searching for other polar oxides, researchers pay special attention to two classes of compounds, specifically those that include second-order Jahn-Teller distorted (SOJT) cations (Te^{4+} , Sn^{4+} , Ti^{4+} , Mo^{6+} , Nb^{5+} , V^{5+} , etc.) and cations with stereoactive lone pair electrons of ns^2 (Bi^{3+} , Pb^{2+} , Se^{4+} , etc.)^{3–12}. For the NCS compounds, there are two famous structures: perovskite-type (Pv-type) and LiNbO₃-type (LN-type) phases. Taking into account the structural relationship between the above two, the LiNbO₃-type structure can be regarded as the derivative of the perovskite structure¹³. In general, most of the LN-type compounds cannot be synthesized under general conditions and instead require high temperature and high pressure.

In 2014 a new NCS compound, the LN-type ZnTiO₃, was synthesized by Inaguma *et al.* under high temperature and high pressure¹⁴. Their results show that LN-type ZnTiO₃ has a large spontaneous polarization and greater second harmonic generation (SHG) response compared to LiNbO₃ and LN-type ZnSnO₃. According to Bartram *et al.*¹⁵, Ito *et al.*¹⁶ and Inaguma *et al.*'s reports¹⁴, two paraelectric phases of ZnTiO₃ are ilmenite (IL)-type (hexagonal space group $R\bar{3}$) and Pv-type (orthorhombic space group $Pnma$) structures, respectively. Ito *et al.* reported that IL-type ZnTiO₃ decomposes into ZnO and TiO₂ under a pressure of 20~25 GPa¹⁶; inspired by this, Inaguma *et al.* successfully synthesized LN-type ZnTiO₃ at less than 20 Gpa. As discussed by Navrotsky¹³ and Linton *et al.*¹⁷, the LN-type compounds such as ZnSnO₃, FeTiO₃, MnTiO₃ and ZnGeO₃, etc. are metastable and quenched products from the high-pressure perovskite phases^{18–24}. This means that LN-type ZnTiO₃ is regarded as a retrograde product from the high-pressure perovskite phase on pressure release¹⁴. The crystal structures of ZnTiO₃ in the ferroelectric and two paraelectric phases are presented in Figs. 1 and 2, respectively.

Notice that recently-synthesized LN-type ZnSnO₃ and CdPbO₃ are novel polar oxides (hexagonal space group $R\bar{3}c$) that include only the cations with the electronic configurations of nd^{10} (Zn^{2+} $3d^{10}$, Cd^{2+} $4d^{10}$, Sn^{4+} $4d^{10}$, Pb^{4+} $5d^{10}$) and provide a new strategy for synthesizing new polar compounds. The LN-type ZnTiO₃ contains not only Zn^{2+} ($3d^{10}$), but also Ti^{4+} ($3d^0$), the former and later belonging to nd^{10} and SOJT nd^0 cations, respectively. Although LN-type ZnTiO₃ and ZnSnO₃ have the similar crystal structures, experimental results have shown

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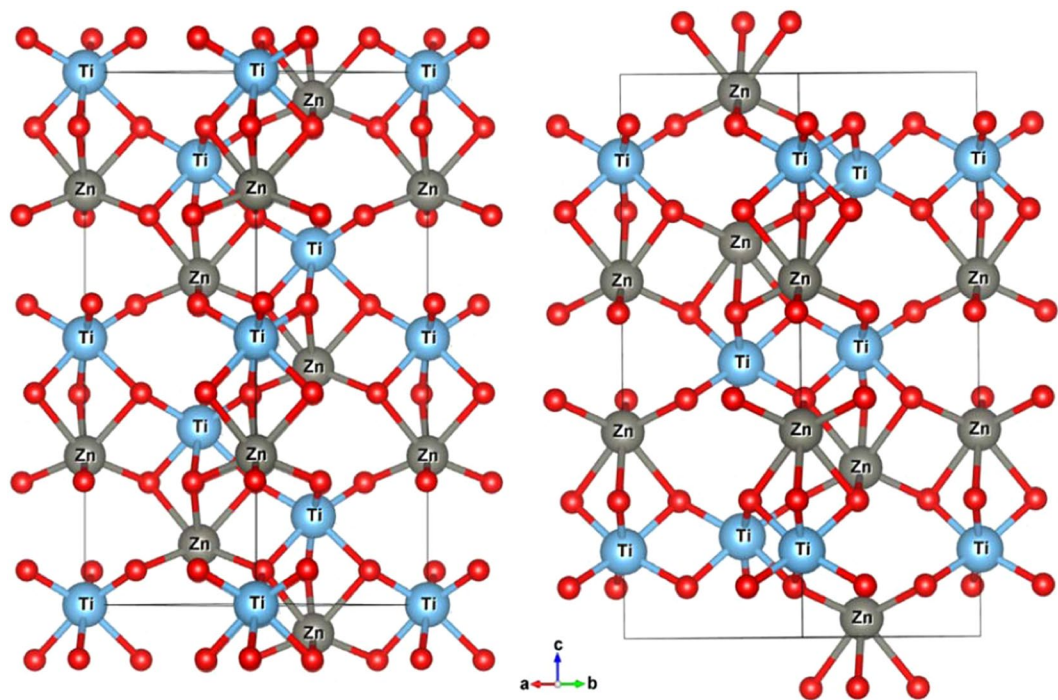


Figure 1. The crystallographic structures of LiNbO₃ (LN)-type ZnTiO₃ (left, space group R3c) and ilmenite (IL)-type ZnTiO₃ (right, space group R $\bar{3}$) along the z-axis.

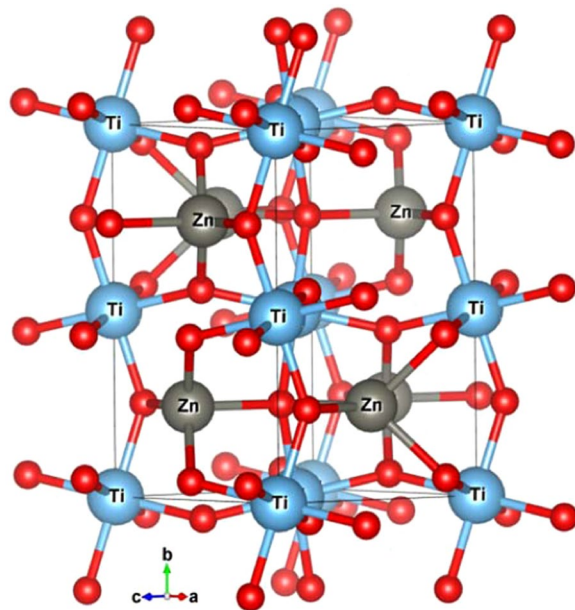


Figure 2. A view of the crystal structure of perovskite (Pv)-type ZnTiO₃ (space group Pnma) along the y-axis.

that the SHG intensity of LN-type ZnTiO₃ is 24 times greater than that of LN-type ZnSnO₃¹⁴. The space group of LN-type ZnTiO₃ belongs to the 3m polar point group, so this compound is a promising candidate as a piezoelectric and nonlinear optical material. Therefore, LN-type ZnTiO₃ provides a perfect example for comparing the influence that the nd¹⁰ and SOJT nd⁰ cations have in producing the ferroelectric, piezoelectric and nonlinear optical behavior.

Nowadays, first-principles calculations based on density functional theory (DFT) play an important role in meeting the needs of experimenters because they can accurately predict the properties of new materials at the atomic scale. In general, the measurements of piezoelectric and nonlinear optical properties require very high crystal quality, specialized laboratories, and are expensive, so first-principles calculations provide an alternative to predicting optical and piezoelectric properties, and can be microscopically explain the relationship between

structure and properties. First-principles calculations including the modern theory of polarization^{25,26}, linear corresponding approaches, as well as the density functional perturbation theory (DFPT)^{27–29}, can help us study the ferroelectric, piezoelectric, and nonlinear optical properties of materials. In addition to traditional ferroelectrics, first-principles calculations have made great progress in predicting and investigating new nonlinear optical crystal^{30,31}, multiferroic materials^{32,33}, two-dimensional ferroelectrics³⁴ and ferroelectric metal^{35,36}, etc. In order to study the intrinsic correlation from the paraelectric to ferroelectric phase transition, we have investigated the zone-center phonon modes of the ilmenite, perovskite and LiNbO₃-type ZnTiO₃ phases, respectively. Additionally, there have been no prior reports on the piezoelectric and nonlinear optical properties, including nonlinear optical susceptibilities and electro-optical coefficients, of LN-type ZnTiO₃, and so in this paper we carry out first-principles calculations to investigate the origin of the ferroelectric behavior, and analyze the piezoelectric and nonlinear optical properties of LN-type ZnTiO₃ for the first time. Since the piezoelectric and nonlinear optical properties of this type of novel material with nd¹⁰ and SOJT nd⁰ cations have not been investigated previously, our calculations provide an important complement to experimental research.

Method

Our calculations are based on the framework of density functional theory (DFT). We mainly used the ABINIT package^{37,38}. In order to calculate the electronic structure, spontaneous polarization, zone-center phonon modes, piezoelectric and nonlinear optical properties, we adopt norm-conserving pseudopotentials based on the local density approximation (LDA) as exchange-correlation potentials. An $8 \times 8 \times 8$ Monkhorst-Pack k-point mesh is used with a plane wave cut-off of 45 hartrees. The Zn 3d, 4s and Ti 3d, 4s, as well as O 2s, 2p electrons are regarded as valence states to construct the pseudopotentials. The electronic structures are also calculated by adopting ultrasoft pseudopotentials³⁹ based on the Vienna ab initio simulation package (VASP)^{40–42}, and the exchange-correlation potentials are based on LDA and the general gradient approximation (GGA) with Perdew-Burke-Ernzerhof (PBE) functional^{43,44}, respectively. Additionally, due to DFT systematically underestimate band gaps with respect to experiment, the Heyd-Scuseria-Ernzerhof (HSE) screened hybrid functional (with the version HSE06)^{45,46} is used to calculate the band structures.

The calculations of the polarization and piezoelectric properties are based on linear response functions, while the computations of nonlinear optical properties are based on nonlinear response functions. The linear and nonlinear response calculations are performed using density functional perturbation theory (DFPT). In order to calculate the above physical properties, the homogeneous electric fields, atomic displacements and stresses are regarded as perturbations. When stress is applied to a piezoelectric crystal, its interior will produce a polarization phenomenon where opposing positive and negative charges appear on its two opposite surfaces. This phenomenon is called the positive piezoelectric effect. On the contrary, when the electric field is applied in the polarization direction of the piezoelectric crystal, it will also deform. After the electric field is removed, the deformation of the piezoelectric crystal disappears. This phenomenon is called the reverse piezoelectric effect. In this work, we calculate the piezoelectric stress tensor from the zero-field derivative of polarization by using stress as a perturbation:

$$e_{\alpha i} = \left. \frac{\partial p_{\alpha}}{\partial \eta_i} \right|_E \quad (1)$$

where η and i represent second-rank stress tensor and the Cartesian coordinate direction. In the actual computation, the piezoelectric stress tensor comes from the response from fixed ions and relative displacement of the ions:

$$e_{\alpha i} = \left. \frac{\partial p_{\alpha}}{\partial \eta_i} \right|_{\mu} + \sum_k \frac{\partial p_{\alpha}}{\partial \mu_{\alpha}(k)} \frac{\partial \mu_{\alpha}(k)}{\partial \eta_i} \quad (2)$$

where μ represents the displacement of the ions. For an insulator, the relation between the polarization and macroscopic electric field can be described as follows:

$$P_i = P_i^s + \sum_{j=1}^3 x_{ij}^{(1)} \varepsilon_j + \sum_{j,l=1}^3 x_{ijl}^{(2)} \varepsilon_j \varepsilon_l + \dots, \quad (3)$$

where P_i^s represents spontaneous polarization in zero electric field; $x_{ij}^{(1)}$ are linear dielectric constants, and $x_{ijl}^{(2)}$ are second-order nonlinear optical (NLO) coefficients. The NLO and electro-optic (EO) coefficients can be calculated from third-order energy derivatives within the $2n+1$ theorem⁴⁷. Like LiNbO₃ and LN-type ZnSnO₃, we use the more convenient d tensor to represent NLO coefficients $x_{ijl}^{(2)} (d_{ijl} = \frac{1}{2} x_{ijl}^{(2)})$. When applying an electric field to some crystals, especially piezoelectric crystals, their refractive index changes. This characteristic of a crystal can be described by refractive index ellipsoids. The phenomenon is known as the linear electro-optic (EO) effect or Pockels Effect:

$$\Delta \left(\frac{1}{n^2} \right)_i = \sum_{j=1}^3 r_{ij} \varepsilon_j \quad (4)$$

where n and r_{ij} represent refractive index and linear EO coefficients ($i=1\sim 6$, and $j=1\sim 3$), respectively. Pockels effect exists only in the NCS crystals. The electro-optical (EO) tensor arises from the sum of three contributions: the electronic part, ionic contribution and piezoelectric effects^{48,49}.

| ZnTiO ₃ (<i>R</i> $\bar{3}$) | a (Å) | b (Å) | c (Å) | V (Å ³) |
|---|-------------|-------------|------------|---------------------|
| Expt ref. ¹⁵ | 5.079 | 5.079 | 13.9270 | 311.1319 |
| Present (LDA-NC) | 5.0564 | 5.0564 | 13.9001 | 307.7801 |
| Present (GGA-NC) | 5.1647 | 5.1647 | 14.3519 | 331.5384 |
| Present(GGA-PBEsol-NC) | 5.1169 | 5.1169 | 14.1530 | 320.9172 |
| ZnTiO ₃ (Pnma) | a (Å) | b (Å) | c (Å) | V (Å ³) |
| Present (LDA-NC) | 5.2026 | 7.4146 | 5.0631 | 195.3118 |
| Present (GGA-NC) | 5.3258 | 7.5722 | 5.18200 | 208.9797 |
| Present(GGA-PBEsol-NC) | 5.2751 | 7.4955 | 5.131523 | 202.8997 |
| ZnTiO ₃ (R3c) | a (Å) | b (Å) | c (Å) | V (Å ³) |
| Expt ref. ¹⁴ | 5.09452(12) | 5.09452(12) | 13.7177(3) | 308.332(12) |
| Present (GGA-NC) | 5.2089 | 5.2089 | 13.9559 | 327.9307 |
| Present (LDA-NC) | 5.0878 | 5.0878 | 13.5610 | 304.0071 |
| Present(GGA-PBEsol-NC) | 5.1579 | 5.1579 | 13.7210 | 316.1275 |
| Present (GGA- ultrasoft) | 5.1384 | 5.1384 | 13.9478 | 318.9250 |
| Present (LDA- ultrasoft) | 5.0211 | 5.0211 | 13.5725 | 296.3407 |
| Present (HSE06) | 5.0678 | 5.0678 | 13.6395 | 303.3668 |

Table 1. The calculated Lattice constants and volumes of the ilmenite (IL)-type (space group *R* $\bar{3}$) and Perovskite (Pv)-type (space group Pnma) and LiNbO₃-type (space group R3c) ZnTiO₃ based on norm-conserving (NC), ultrasoft pseudopotentials and Heyd-Scuseria-Ernzerhof screened hybrid functional (HSE06).

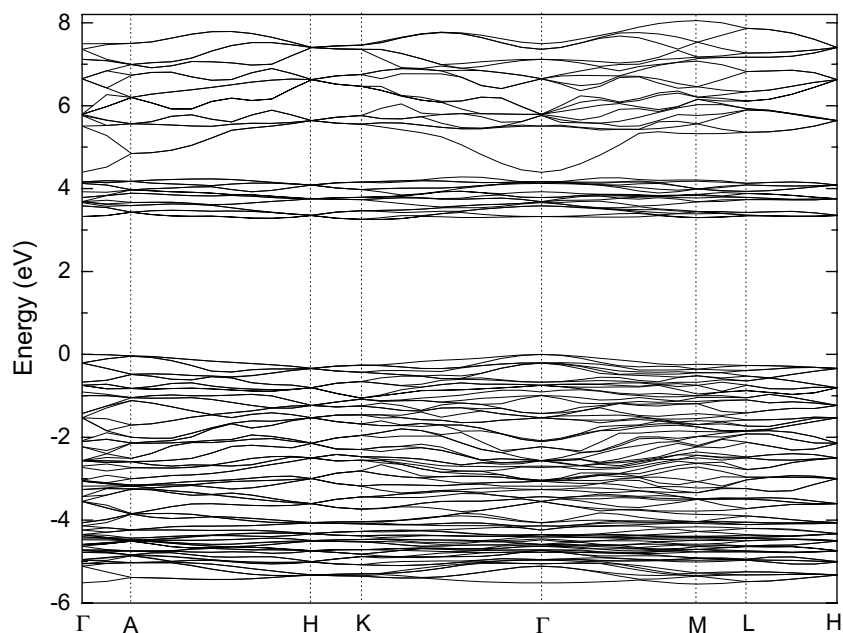


Figure 3. The band structures of LiNbO₃-type ZnTiO₃ along the high symmetry directions in the Brillouin zone based on norm-conserving pseudopotentials within GGA.

Results and Discussions

Electronic structure. Firstly, we perform the full geometry optimization to determine the crystal parameters in the paraelectric and ferroelectric phases. According to the optimized geometry (see Table 1), we have performed the electronic structure calculations of the LiNbO₃-type ZnTiO₃ based on ultrasoft and norm-conserving pseudopotentials and HSE06, respectively. Figures 3 and 4 plots the energy band structure of this compound along the high symmetry points in the first Brillouin zone based on norm-conserving pseudopotentials within GGA and HSE06. When calculating the energy band based on HSE06, more high symmetry points in the first Brillouin zone are used. From Fig. 3, it is found that the top of the valence band (VB) and the bottom of the conduction band (CB) are both located at $\Gamma(0.0, 0.0, 0.0)$, so this compound is a direct band gap insulator. The calculated energy gaps (e_g) are as follows: 3.054 eV (LDA) and 3.252 eV (GGA) based on norm-conserving pseudopotentials; 2.860 eV (LDA) and 2.956 eV (GGA) based on ultrasoft pseudopotentials. Therefore, the energy gap of norm-conserving pseudopotentials is slightly larger than that of ultrasoft pseudopotentials. From Fig. 4, it is found that the e_g based on HSE06 (see in Fig. 4) are 4.25 eV. So, the band gap based on HSE06 is the largest

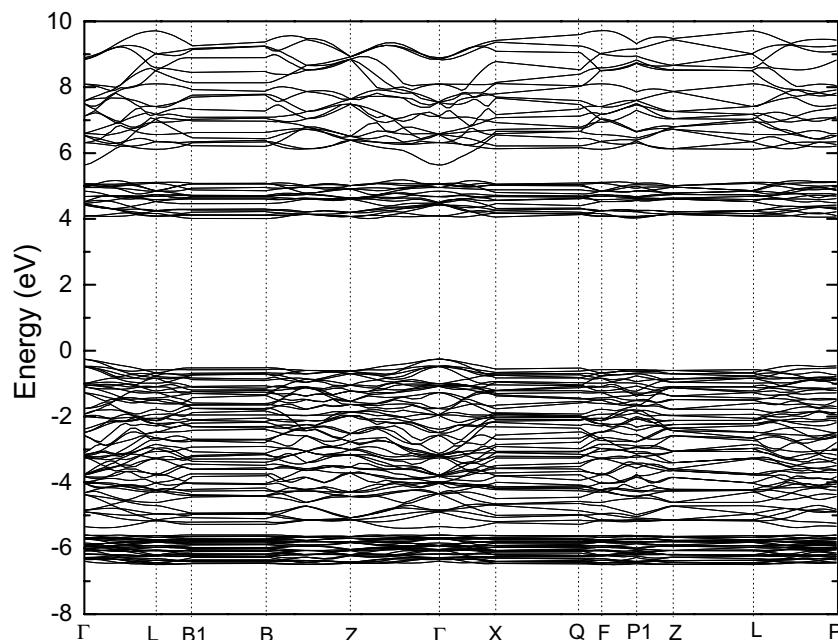


Figure 4. The band structures of LiNbO₃-type ZnTiO₃ along the high symmetry directions in the Brillouin zone based on HSE06.

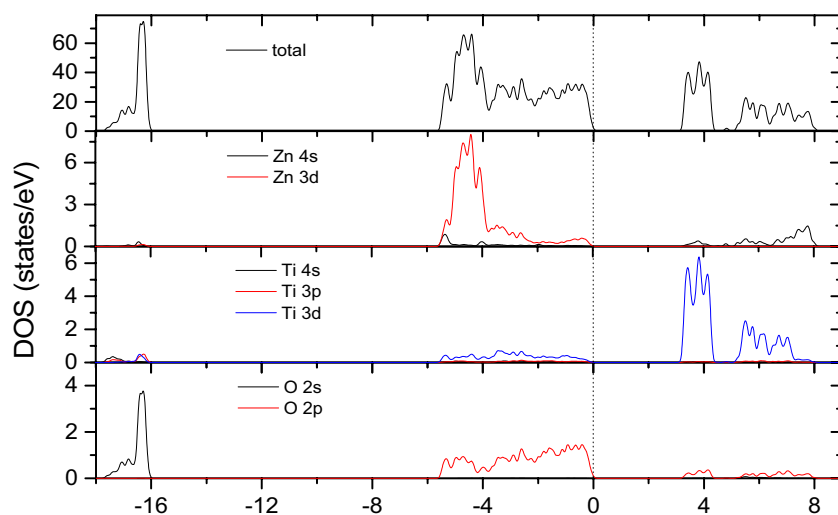


Figure 5. Total and partial density of states (DOSs) of LN-type ZnTiO₃ based on norm-conserving pseudopotentials within GGA with the Fermi levels at 0 eV.

and is about 1 eV higher than that of GGA based on norm-conserving pseudopotentials. From Table 1, one can see that the lattice parameters of LDA and GGA are slightly lower and higher than the experimental values. The difference in the band gaps between GGA and LDA result from the difference in calculated lattice parameters. Compared with the results of LDA and GGA, the lattice parameters based on HSE06 are the lowest, however the band gap is the largest. Until now, as far as we know there is no experimental report on the band gap of LN-type ZnTiO₃. Although the band gap of HSE06 is relatively larger than that of LDA and GGA, the agreement with the experimental band gap needs further verification.

Figure 5 shows the total and partial density of states (DOSs) of LN-type ZnTiO₃ based on norm-conserving pseudopotentials within GGA. According to this figure, in the energy region from -18 to -16 eV, the total DOS mainly arises from O 2p electrons, with a small contribution from Zn 4s electrons and Ti 3p, 3d and 4s electrons. In the energy range from -6 to -0 eV, the total DOS mainly arises from Zn 3d, Ti 3d and O 2s electrons, and there are obviously hybridizations between Zn 3d-O 2p and Ti 3d-O 2p states. Above the Fermi level, in the range 3 to 8 eV, the total DOS mainly arises from Ti 3d, Zn 3d and O 2p electrons. The calculated Mulliken charge populations of this compound are as follows: for Zn atom, the charge populations of the s, p and d orbitals are 0.27, 0.70 and 9.98; for Ti atom, the charge population of the s, p and d orbitals are 2.37, 6.43 and 2.15; for O atom,

| Atom | Z_{xx}^* | Z_{yy}^* | Z_{zz}^* | Z_{xy}^* | Z_{yx}^* | Z_{xz}^* | Z_{zx}^* | Z_{yz}^* | Z_{zy}^* |
|----------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Zn | 2.59 | 2.59 | 2.29 | 0.24 | -0.24 | 0.00 | 0.00 | 0.00 | 0.00 |
| Ti | 6.16 | 6.16 | 5.34 | -0.54 | 0.54 | 0.00 | 0.00 | 0.00 | 0.00 |
| O ₁ | -2.19 | -3.64 | -2.54 | -0.58 | -0.55 | -0.31 | -0.35 | -1.28 | -1.32 |
| O ₂ | -3.77 | -2.06 | -2.54 | -0.35 | -0.34 | 1.27 | 1.32 | 0.37 | 0.37 |
| O ₃ | -2.79 | -3.04 | -2.54 | 0.90 | 0.92 | -0.96 | -0.97 | 0.91 | 0.95 |

Table 2. The calculate Born effective charge of Zn, Ti, O_{1~3} in LN-type ZnTiO₃.

the charge populations of the s and p orbitals are 1.85 and 4.85. In addition, the calculated charge populations between nearest-neighboring (1.8652 Å) and next nearest-neighboring (2.1589 Å) Ti-O bonds are 0.56 and 0.30; the charge populations between the nearest-neighboring (2.0421 Å) and next nearest-neighboring (2.2671 Å) Zn-O bonds are only 0.29 and 0.04. The number of charges on the different bonds can reflect the covalent and ionic properties. Obviously, the chemical bonding of the Ti-O and nearest-neighboring Zn-O bonds are of mixed covalent-ionic character, however the next nearest-neighboring Zn-O bonds show ionic character. As discussed above, the hybridization of Zn-O bonds and Ti-O bonds mainly occurs in their 3d and 2p orbitals. The charge distribution of Zn-O and Ti-O bonds indicates that the empty 3d orbital in Ti⁴⁺ (3d⁰) more easily forms a covalent bond with O atoms than the fully occupied 3d orbital in Zn²⁺ (3d¹⁰). This may be the reason why the piezoelectric and nonlinear optical properties of LN-type ZnTiO₃ are superior to those of the LN-type ZnSnO₃ and LN-type ZnGeO₃ (see the results below). In the latter two compounds, it is noted that the all the cations are fully occupied 3d orbitals.

Spontaneous polarization. The most basic characteristics of ferroelectric materials is the existence of spontaneous polarization over certain temperature ranges, and the fact that the polarization can be reversed by the external electric field. Using the Berry-phase approach proposed by R. D. King-Smith and D. Vanderbilt^{25,26}, we have calculated the spontaneous electric polarization of LN-type ZnTiO₃ based on finite electric field calculations. The results show that the polarizations of P_x and P_y are almost equal to zero, so the polarization lies mainly along the z-axis direction. The total polarization is composed of the ionic (P_{ion}) and electronic (P_{ele}) contributions. The results based on LDA show that the total polarization is 90.43 μC/cm², and the values of P_{ion} and P_{ele} are 89.07 μC/cm² and 1.36 μC/cm², respectively. Therefore, the electric polarization mainly arises from the ionic contribution. Based on the result of our GGA calculation, the total polarization is 93.14 μC/cm², the values of P_{ion} and electronic P_{ele} are 91.73 μC/cm² and 1.41 μC/cm². According to ref. ¹⁴, the values of polarization obtained by Born effective charges and nominal charge are 88 μC/cm² and 75 μC/cm². Our results are slightly larger than their values and consistent with their results based on Born effective charges.

The Born effective charges of the LN-type ZnTiO₃ are presented in Table 2. The nominal charges of Zn, Ti and O are +2, +4 and -2, respectively. By comparing the Born effective charges of these ions and their nominal values, it is found that charge numbers Z^{*} of Zn, Ti and O (especially for Z_{xx}^{*} and Z_{yy}^{*} in Ti atom, Z_{yy}^{*} in O₁, and Z_{xx}^{*} in O₂ atoms) are obvious anomalous, which is an important characteristic in ferroelectric materials. From Table 2, one can see that Z^{*} of Zn and Ti atoms mainly occur on the diagonal elements, and the values of the non-diagonal elements are small or equal to zero. This is in consistent with the spontaneous polarization being mainly along the z-axis direction. Compared with Z^{*} of Zn and Ti atoms, the Z^{*} tensor of O atoms displays strong anisotropy, and this should be attributed to the structural distortions caused by the Zn 3d-O 2p and Ti 3d-O 2p orbital hybridizations.

Zone-center phonon modes. In ferroelectric crystals that have undergone the paraelectric to ferroelectric transition, the relative displacements of anions and cations will lower the symmetry and lead to spontaneous polarization. This phenomenon can be explained by the theory of soft zone-center phonon modes. Firstly, we perform the symmetry analyses in ZnTiO₃ and divide its zone-center phonon modes into irreducible representations. The two paraelectric phases of ZnTiO₃ are ilmenite (IL)-type (hexagonal space group R $\bar{3}$) and Perovskite (Pv)-type (orthorhombic space group Pnma) structures, and the ferroelectric phase is LiNbO₃-type structure (hexagonal space group R3c). In this compound, there exists a continuous phase transition from ilmenite-type structure to perovskite-type, and then to LiNbO₃-type structure.

In high-symmetry IL-type ZnTiO₃ (space group R $\bar{3}$), there are 10 atoms in the primitive cell. All the 30 modes (including 3 acoustic modes) are composed of 4 irreducible representations (irreps).

$$\Gamma(R\bar{3}) = 5A_g \oplus 10E_g^* \oplus 5A_u \oplus 10E_u^* \quad (5)$$

In these modes, the three acoustic modes are composed of one A_u and two E_u^{*} modes. In the optical modes, A_g and E_g^{*} are Raman active, while A_u and E_u are infrared (IR) active. The corresponding zone-center optical phonon modes are presented in Table 3. In its perovskite-type paraelectric phase (space group Pnma), there are 20 atoms in the primitive cell. All the 60 phonon modes at Γ point (including three acoustic modes) are composed of 8 irreps.

$$\Gamma(Pnma) = 7A_g \oplus 5B_{1g} \oplus 7B_{2g} \oplus 5B_{3g} \oplus 8A_u \oplus 10B_{1u} \oplus 8B_{2u} \oplus 10B_{3u} \quad (6)$$

Among them, three acoustic modes are in B_{1u}, B_{2u} and B_{3u}, respectively. The optical modes of A_g, B_{1g}, B_{2g} and B_{2g} are Raman active; the optical modes of B_{1u}, B_{1u} and B_{3u} are IR active, while the A_u modes are silent. We present

| A_u | A_g | E_u | E_g |
|--------|--------|--------|--------|
| 182.88 | 137.31 | 187.49 | 172.99 |
| 368.25 | 213.20 | 267.39 | 248.74 |
| 469.14 | 356.79 | 387.31 | 309.73 |
| 660.88 | 442.07 | 481.90 | 453.06 |
| | 678.17 | | 578.94 |

Table 3. The calculated frequency (cm^{-1}) of zone-center optical phonon modes of ilmenite (IL)-type ZnTiO_3 (space group $R\bar{3}$).

| A_g | A_u | B_{1g} | B_{2g} | B_{3g} | B_{1u} | B_{2u} | B_{3u} |
|--------|--------|----------|----------|----------|----------|----------|----------|
| 105.09 | 74.20 | 173.82 | 137.82 | 131.12 | 63.56 | 124.12i | 101.57i |
| 153.48 | 102.07 | 244.52 | 163.07 | 304.52 | 111.09 | 74.88 | 95.45 |
| 241.88 | 113.28 | 356.88 | 250.55 | 454.52 | 183.91 | 127.15 | 178.83 |
| 300.53 | 151.47 | 467.27 | 370.45 | 462.60 | 305.34 | 219.98 | 223.22 |
| 434.00 | 283.65 | 654.80 | 467.71 | 747.05 | 332.94 | 397.35 | 325.38 |
| 451.84 | 379.67 | | 509.34 | | 370.06 | 413.30 | 366.11 |
| 509.22 | 394.47 | | 729.64 | | 440.83 | 472.24 | 430.89 |
| | 490.47 | | | | 461.78 | | 489.77 |
| | | | | | 516.84 | | 547.12 |

Table 4. The frequencies (cm^{-1}) of zone-center optical phonon modes in the perovskite (Pv)-type ZnTiO_3 .

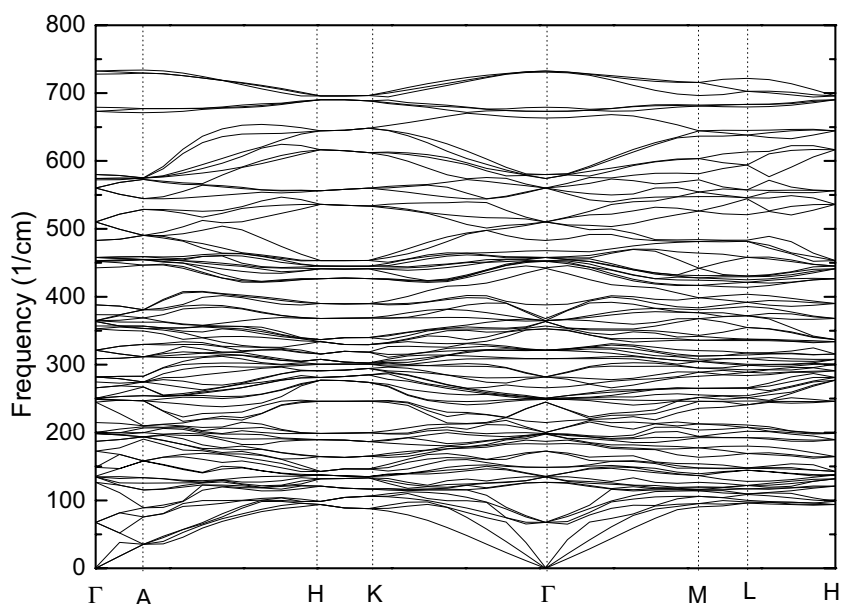


Figure 6. The phonon dispersion relations of the ilmenite (IL)-type ZnTiO_3 (space group $R\bar{3}$) along high symmetry directions based on GGA.

the corresponding zone-center optical phonon modes in Table 4. From this table, it is found that there are two imaginary frequencies in B_{2u} (124.12i) and B_{3u} (101.57i), respectively. However, in its high-symmetry IL-type ZnTiO_3 , according to Table 3, it is found that there are no imaginary frequencies in the zone-center phonon optical modes; additionally, we also calculated its phonon dispersion spectrum, and it is found that there are no imaginary frequencies in these phonon modes. In order to verify the stability of the R3c and R-3 structures, we also carried out the phonon dispersion relationship based on GGA. Figures 6 and 7 present the phonon dispersion relations of the IL-type (space group $R\bar{3}$) and LN-type ZnTiO_3 (space group R3c) along high symmetry directions. According to these two figures, it can be clearly seen that phonon frequencies of the two structures at the Γ point are both zero. The calculated total energies based on norm-conserving pseudopotentials in its paraelectric (IL-type $R\bar{3}$ and Pv-type pnma) and ferroelectric phases (LN-type R3c) are -8308.8749 ($R\bar{3}$), -8308.37359 (pnma) and -8308.5650 (R3c) eV within LDA; and -8321.4057 ($R\bar{3}$), -8320.7809 (pnma) and

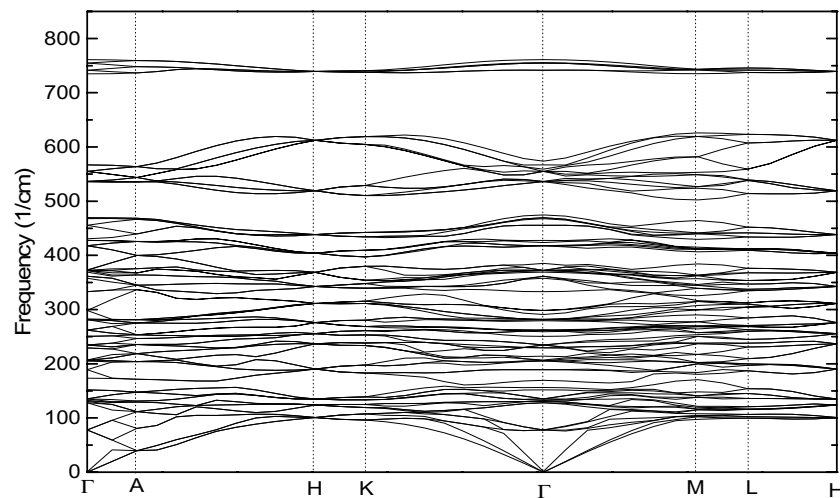


Figure 7. The phonon dispersion relations of the LN-type ZnTiO₃ (space group R3c) along high symmetry directions based on GGA.

| A ₁ (TO) | A ₁ (LO) | E (TO) | E (LO) | A ₂ |
|---------------------|---------------------|--------|--------|----------------|
| 142.56 | 165.26 | 141.16 | 152.71 | 120.69 |
| 281.53 | 325.70 | 194.64 | 204.87 | 363.21 |
| 387.55 | 436.30 | 223.63 | 223.88 | 417.04 |
| 653.83 | 826.10 | 265.30 | 267.41 | 468.54 |
| | | 321.53 | 325.71 | 839.51 |
| | | 343.16 | 428.20 | |
| | | 436.96 | 479.11 | |
| | | 639.91 | 656.14 | |
| | | 657.30 | 848.01 | |

Table 5. The frequencies (cm⁻¹) of transverse optical (TO) and longitudinal optical (LO) zone-center optical phonon modes of the LiNbO₃-type ZnTiO₃.

−8321.0790 (R3c) eV within GGA. The results show that the energy of its IL-type paraelectric phase is the lowest, the energy of Pv-type paraelectric phase is the highest, and the energy of LN-type ferroelectric phase lies in the middle. In order to verify whether it is due to the inaccuracy of the exchange correlation, we have carried out total energy calculation based on PBEsol, which is intended to improve on PBE for equilibrium properties such as bond lengths and lattice parameters. The calculated lattice parameters of PBEsol are also presented in Table 1. The results show that total energies are −8302.8974 (R $\bar{3}$), −8302.3911 (pnma) and −8302.5810 (R3c) eV based on PBEsol. This is consistent with the above conclusion $E(R\bar{3}) < E(R3c) < E(pnma)$. This should be attributed to the synthesis of LN-type ZnTiO₃ under high pressure.

In the ferroelectric phase (space group R3c) in ZnTiO₃, the primitive cell contains 10 atoms, so there are 30 phonon modes at the Γ point. The three zero-frequency acoustic modes comprise one in A₁ and two in E. The zone-center phonon optical modes are as follows:

$$\Gamma(R3c) = 4A_1 \oplus 5A_2 \oplus 9E \quad (7)$$

In these modes, A₁ and E optical modes are both Raman and infrared (IR) active, while A₂ modes are silent. The optical modes of the LN-type ZnTiO₃ (see in Table 5) infer that the imaginary frequencies disappear from perovskite-type paraelectric phase to LiNbO₃-type ferroelectric phase. Due to A₁ and E optical modes being IR active, these frequencies are splitting into LO and TO modes described by the Lyddane–Sachs–Teller (LST) relation⁵⁰. Generally, the large Born effective charge corresponds to a large LO–TO splitting. From Table 5, one can see that three A₁ modes (281.53~325.70 cm⁻¹, 387.55~436.30 cm⁻¹, and 653.93~826.10 cm⁻¹) and three E modes (343.16~428.20 cm⁻¹, 436.96~479.11 cm⁻¹, and 657.30~848.01 cm⁻¹) show large LO–TO splitting. In Table 6, we present the mode effective charges and mode-oscillator strengths corresponding to the zone-center transverse optical (TO) modes of LN-type ZnTiO₃.

Piezoelectric properties. In order to investigate the structural stability and piezoelectric properties of LN-type ZnTiO₃, we calculate the elastic and piezoelectric constants by treating homogeneous strains as perturbations based on DFPT. According to the calculated results, the six independent elastic coefficients (Voigt notations) of this compound are C₁₁, C₁₂, C₁₃, C₁₄, C₃₃, and C₄₄, respectively. Additionally, it should be pointed out

| A ₁ modes (ZnTiO ₃) | | | E modes (ZnTiO ₃) | | |
|--|-----------------------------|----------------|-------------------------------|-----------------------------|----------------|
| ω | Z _m [*] | S _m | ω | Z _m [*] | S _m |
| 142.56 | 3.61 | 1.97 | 141.16 | 2.47 | 1.09 |
| 281.53 | 6.51 | 10.05 | 194.64 | 2.50 | 1.01 |
| 387.55 | 0.50 | 0.08 | 223.63 | 0.30 | 0.02 |
| 653.83 | 5.63 | 10.35 | 265.30 | 1.18 | 0.40 |
| | | | 321.53 | 3.68 | 2.54 |
| | | | 343.16 | 6.02 | 10.07 |
| | | | 436.96 | 1.33 | 0.59 |
| | | | 639.91 | 6.15 | 12.06 |
| | | | 657.30 | 1.73 | 0.84 |

Table 6. The calculated mode effective charges ($|e|$), and mode-oscillator strengths (within 10^{-4} atomic units) corresponding to the zone-center transverse optical phonon modes of LiNbO₃-type ZnTiO₃.

| Elastic stiffness coefficients C _{ij} (10 ¹¹ N/m ²) | | | | | | | |
|---|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| material | C ₁₁ | C ₁₂ | C ₁₃ | C ₁₅ | C ₃₃ | C ₄₄ | C ₆₆ |
| ZnTiO ₃ | 3.675 | 1.875 | 1.442 | 0.031 | 2.877 | 0.800 | 0.899 |
| ZnSnO ₃ ref. ⁴³ | 1.94 | 0.63 | 1.01 | 0.001 | 1.69 | 1.17 | 0.92 |
| | C ₁₁ | C ₁₂ | C ₁₃ | C ₁₄ | C ₃₃ | C ₄₄ | C ₆₆ |
| LiNbO ₃ ref. ⁴⁴ | 2.03 | 0.53 | 0.75 | 0.09 | 2.45 | 0.60 | 0.75 |
| LiTaO ₃ ref. ⁴⁴ | 2.298 | 0.440 | 0.812 | -0.104 | 2.798 | 0.968 | 0.929 |

Table 7. The Elastic stiffness coefficients of LN-type ZnTiO₃.

| Piezoelectric stress constants e _{ij} (C/m ²) | | | | |
|--|-----------------|-----------------|-----------------|-----------------|
| Material | e ₁₁ | e ₁₅ | e ₃₁ | e ₃₃ |
| ZnTiO ₃ | -0.93 | 1.00 | 1.01 | 2.51 |
| PbTiO ₃ ref. ^{54a} | | 6.63 | 2.06 | 4.41 |
| PbTiO ₃ ref. ^{54b} | | 6.66 | 2.07 | 4.48 |
| ZnSnO ₃ ref. ⁴³ | -0.15 | 0.26 | 1.23 | 0.29 |
| ZnGeO ₃ ref. ⁴³ | -0.27 | 0.36 | 0.65 | 2.81 |
| | e ₂₂ | e ₁₅ | e ₃₁ | e ₃₃ |
| LiNbO ₃ ref. ⁴⁴ | 2.43 | 3.76 | 0.23 | 1.33 |
| LiTaO ₃ ref. ⁴⁴ | 1.67 | 2.72 | -0.38 | 1.09 |

Table 8. The piezoelectric stress constants (relaxed ion) of LN-type ZnTiO₃, it is noted that a and b in PbTiO₃ represents DFPT finite strain method, respectively.

that $C_{66} = (C_{11} - C_{12})/2$. In Table 7, we give the corresponding elastic stiffness coefficients (relaxed ion) of LN-type ZnTiO₃. The point group of LN-type ZnTiO₃ belongs to the triangle crystal. As discussed in refs. ^{51,52}, the triangle crystals need to satisfy the following Born mechanical stability criterion.

$$\begin{aligned}
 C_{11} - |C_{12}| &> 0 \\
 (C_{11} + C_{12})C_{33} - 2C_{13}^2 &> 0 \\
 (C_{11} - C_{12})C_{44} - 2C_{15}^2 &> 0
 \end{aligned} \tag{8}$$

The results presented in Table 7 reveal that the elastic constants of the LN-type ZnTiO₃ satisfy the constraints of the Born stability condition. Therefore, the structure of LN-type ZnTiO₃ is stable. By using homogeneous strains and electric fields as perturbations, we can calculate the piezoelectric properties of LN-type ZnTiO₃. The obtained piezoelectric tensor of this compound has the four independent elements (Voigt notations) e_{11} , e_{15} , e_{31} , e_{33} :

$$e = \begin{pmatrix} e_{11} & -e_{11} & 0 & 0 & e_{15} & 0 \\ 0 & 0 & 0 & e_{15} & 0 & 0 \\ e_{31} & e_{31} & e_{33} & 0 & 0 & 0 \end{pmatrix} \tag{9}$$

Obviously, this tensor is the same as that of LN-type ZnSnO₃ and ZnGeO₃, however it is a little different from that of LiNbO₃ and LiTaO₃ since independent elements of the piezoelectric tensor in LiNbO₃ and LiTaO₃ are e_{22} , e_{15} , e_{31} , and e_{33} . In Table 8, we present the piezoelectric constants of LN-type ZnTiO₃, ZnSnO₃ and ZnGeO₃

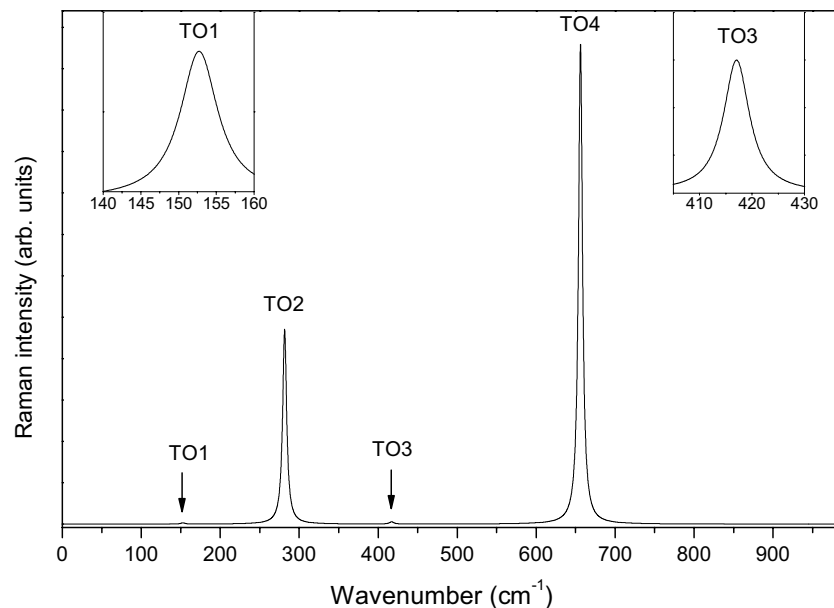


Figure 8. The calculated Raman spectra of LiNbO₃-type ZnTiO₃ for a x(zz)y scattering configuration in the range of 0~1000 cm⁻¹. The spectra displays the TO of A₁ modes.

together with LiNbO₃ and LiTaO₃^{30,53}. From this table, the piezoelectric constants e_{11} , e_{15} , e_{31} , e_{33} are -0.93 , 1.00 , 1.01 and 2.51 C/m², respectively. It is well known that lead titanate (PbTiO₃) piezoelectric ceramics have excellent piezoelectric properties^{54,55}. By comparing the piezoelectric coefficients of LN-type ZnTiO and that of PbTiO₃⁵⁵ calculated by density functional perturbation theory and finite strain method (see Table 8), it is found that values of e_{31} , e_{33} of LN-type ZnTiO₃ are close to and exceeds one-half of that of PbTiO₃, and the value of e_{15} is relatively small compared to that of PbTiO₃. The large piezoelectric constants reveal that LN-type ZnTiO₃ is a promising candidate piezoelectric material.

Nonlinear optical properties. As mentioned above, the zone-center phonon optical modes of ferroelectric phase ZnTiO₃ can be divided into $4A_1 \oplus 5A_2 \oplus 9E$, and A₁ and E modes are both Raman and infrared active. The Raman scattering efficiencies of the phonon modes can be calculated using the following formula^{56,57}:

$$\frac{dS}{d\Omega} = \frac{(\omega_0 - \omega_m)^4}{c^4} |\mathbf{e}_s \cdot \boldsymbol{\alpha}_m \cdot \mathbf{e}_0|^2 \frac{\hbar}{2\omega_m} (n_m + 1) \quad (10)$$

where α_m , c , \hbar and n_m are the Raman susceptibility, the speed of light in vacuum, the Planck constant and Bose-Einstein factor, respectively; ω_0 and ω_m are the frequencies of an incoming photon and the m th zone-center phonon mode, the frequency of scattered outgoing photon is $(\omega_0 - \omega_m)$, \mathbf{e}_s and \mathbf{e}_0 indicate incoming and outgoing polarizations within an angle Ω . According to the structural symmetry, the Raman tensors of A₁ mode (along z axis) and E mode (in the x-y plane) of LN-type ZnTiO₃ are similar to that of LiNbO₃ and described as follows:

$$A_1(z) = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & b \end{pmatrix} \quad (11)$$

$$E(x) = \begin{pmatrix} c & 0 & d \\ 0 & -c & 0 \\ d & 0 & 0 \end{pmatrix} \quad E(y) = \begin{pmatrix} 0 & c & 0 \\ c & 0 & -d \\ 0 & -d & 0 \end{pmatrix} \quad (12)$$

Figure 8 displays the calculated Raman spectrum of LiNbO₃-type ZnTiO₃ for the x(zz)y scattering configuration. In this configuration, as in the case of LiNbO₃ or PbTiO₃, only the transverse A₁ modes can be detected. In order to see the peaks of TO1 and TO3 clearly, we have made two enlarged small illustrations in this figure. The results show that TO2 and TO4 modes have a very strong Raman scattering efficiency. In comparison, the Raman scattering efficiencies of TO1 and TO3 are very weak and are much smaller than that of the TO2 and TO4 modes. As presented in Tables 5 and Table 6, notice that TO2 and TO4 of A₁ modes have large LO-TO splittings and oscillator strengths, and the two frequencies just correspond to large Raman peaks. Figure 9 presents the calculated Raman spectrum of LiNbO₃-type ZnTiO₃ for the x(yz)y configuration. In this configuration, the same as LiNbO₃, only the TO and LO of E modes can be detected. From this figure, it is found that five transverse modes (TO3, TO4, TO6 and TO7 and TO8) and five longitudinal modes (LO2, LO3, LO4, LO7 and LO9) have strong Raman scattering efficiency. Due to the LO-TO splittings of LO3(223.63 cm⁻¹)-TO3(223.88 cm⁻¹) and LO4(265.30 cm⁻¹)-TO4(267.41 cm⁻¹) being very small, the two Raman intensity peaks almost completely overlap.

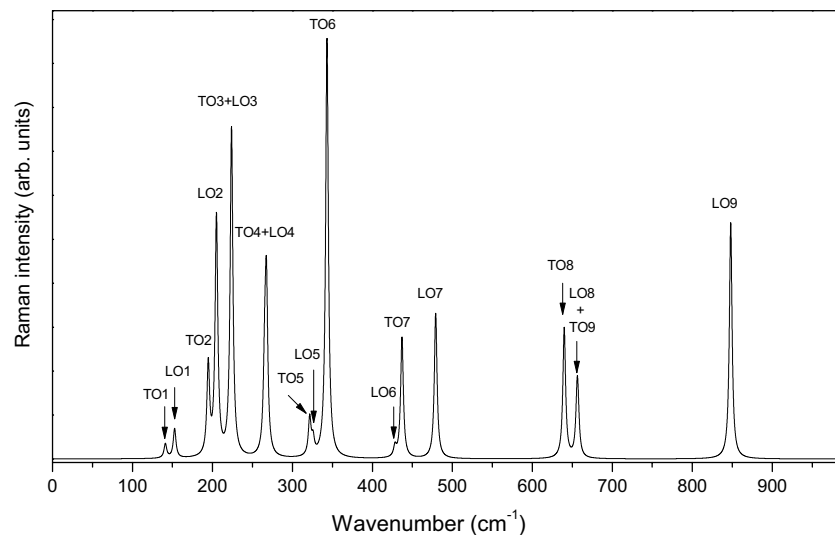


Figure 9. The calculated Raman spectra of the LiNbO₃-type ZnTiO₃ for a x(yz)y scattering configuration of E modes in the range of 0~1000 cm⁻¹.

| Nonlinear optical susceptibilities d_{ij} (Pm/V) | | | |
|--|----------|----------|----------|
| | d_{12} | d_{31} | d_{33} |
| ZnTiO ₃ | 1.37 | 1.46 | -20.18 |
| ZnSnO ₃ ref. ³¹ | 13.09 | 1.73 | 11.06 |
| ZnGeO ₃ ref. ³⁰ | 3.14 | 6.16 | 8.45 |
| | d_{22} | d_{31} | d_{33} |
| LiNbO ₃ ref. ⁵⁶ | -6.25 | 3.60 | -37.5 |
| LiTaO ₃ ref. ⁵⁷ | -1.07 | -1.07 | -16.40 |

Table 9. The calculated nonlinear optical susceptibilities of LN-type ZnTiO₃.

The LN-type ZnTiO₃ belongs to the 3m point group, therefore this compound is a candidate promising nonlinear optical material. As discussed by Inaguma *et al.*¹⁴, the SHG response of LN-type ZnTiO₃ is 24 times greater than that of LN-type ZnSnO₃ and has about 5% of the SHG response of LiNbO₃. By using electric fields and atomic displacements as perturbations based on DFPT, we have calculated the nonlinear optical (NLO) susceptibilities and electro-optic (EO) coefficients of LN-type ZnTiO₃. According to reports by M. Veithen, *et al.*⁴⁸, the NLO properties of various semiconductors, such as LiNbO₃, PbTiO₃, and AlAs, etc. were calculated by DFPT based on the ABINIT package. The comparison between the theoretical results of NLO and EO coefficients with the experimental data shows that the method is reliable. The calculated results show that the three independent elements of the NLO tensor of this compound are d_{12} , d_{15} and d_{33} (Voigt notations).

$$d = \begin{pmatrix} -d_{12} & d_{12} & 0 & 0 & d_{15} & 0 \\ 0 & 0 & 0 & d_{15} & 0 & d_{12} \\ d_{15} & d_{15} & d_{33} & 0 & 0 & 0 \end{pmatrix} \quad (13)$$

The NLO tensor form of LN-type ZnTiO₃ is the same as that of LN-type ZnSnO₃ and ZnGeO₃, but it is not the same as that of LiNbO₃ and LiTaO₃ since the independent elements of NLO tensor of the latter are d_{22} , d_{31} , d_{33} . The calculated NLO susceptibilities of LN-type ZnTiO₃ together with those of LN-type ZnGeO₃⁴³, LN-type ZnSnO₃³¹, LiNbO₃⁵⁸ and LiTaO₃⁵⁹ are presented in Table 9. From this table, the NLO susceptibilities d_{12} , d_{15} and d_{33} are 1.37, 1.46 and -20.18 Pm/V, respectively, and one can see that the biggest susceptibility (d_{33}) of this compound is larger than that of ZnSnO₃, and lower than that of LiNbO₃. For the electro-optic (EO) coefficients of LN-type ZnTiO₃, the results reveal that its independent elements are γ_{11} , γ_{13} , γ_{33} , and γ_{51} .

$$\gamma = \begin{pmatrix} \gamma_{11} & 0 & \gamma_{13} \\ -\gamma_{11} & 0 & \gamma_{13} \\ 0 & 0 & \gamma_{33} \\ 0 & \gamma_{51} & 0 \\ \gamma_{51} & 0 & 0 \\ 0 & -\gamma_{11} & 0 \end{pmatrix} \quad (14)$$

| Electro-optic tensors γ_{ij} (Pm/V) | | | | |
|--|---------------|---------------|---------------|---------------|
| | γ_{11} | γ_{13} | γ_{33} | γ_{51} |
| ZnTiO ₃ | 0.46 | 3.71 | 17.17 | 1.62 |
| ZnSnO ₃ ref. ³⁰ | 1.23 | 1.57 | −2.78 | 0.54 |
| ZnGeO ₃ ref. ³¹ | 0.44 | 1.68 | 5.56 | 0.85 |
| | γ_{22} | γ_{31} | γ_{33} | γ_{33} |
| LiNbO ₃ ref. ⁵⁸ | 8.6 | 30.8 | 3.4 | 28 |
| LiTaO ₃ ref. ⁵⁹ | 35 | 8.2 | 20 | 0.5 |

Table 10. The calculated Electro-optic tensor of LN-type ZnTiO₃.

As can be seen, the EO tensor is the same as that of LN-type ZnSnO₃ and ZnGeO₃, but is different from that of LiNbO₃ and LiTaO₃ since the independent elements of latter are γ_{13} , γ_{33} , γ_{22} and γ_{51} . The EO coefficients can be divided into three contributing parts: electronic, ionic and piezoelectric. In Table 10, we give the calculated EO coefficients of LN-type ZnTiO₃. For ease of comparison, the EO coefficients of LN-type ZnGeO₃, LN-type ZnSnO₃, LiNbO₃⁶⁰ and LiTaO₃⁶¹ are also listed in this table. Our obtained EO coefficients γ_{11} , γ_{13} , γ_{33} , and γ_{51} for LN-type ZnTiO₃ are 0.46, 3.71, 17.17 and 1.62 Pm/V, respectively. Obviously, the coefficients γ_{13} and γ_{33} are much larger than that of LN-type ZnSnO₃ and ZnGeO₃. The large NLO susceptibilities and EO coefficients reveal that the LN-type ZnTiO₃ is a high performance lead-free nonlinear optical crystal.

Conclusions

In this work, the electronic structure, zone-center phonon modes, piezoelectric and nonlinear optical properties of LN-type ZnTiO₃ are investigated by first-principles calculations based on DFT. The electronic structure shows that this compound is a wide direct-band-gap insulator. By investigating the zone-center phonon modes of the paraelectric and ferroelectric phases, it is found that there are two imaginary frequencies in B_{2u} and B_{3u} in the perovskite paraelectric phase, and subsequently the imaginary frequencies disappear in the ferroelectric phase. The calculated spontaneous polarizations are 90.43 $\mu\text{C}/\text{cm}^2$ and 93.14 $\mu\text{C}/\text{cm}^2$ based on LDA and GGA, respectively. Our results are in good agreement with the experimental results, and the large spontaneous polarization reveals that this compound is a good ferroelectric material.

The elastic constants of LN-type ZnTiO₃ satisfy the constraints of the Born stability condition, and therefore this compound has a stable structure. The obtained piezoelectric tensor has four independent elements e_{11} , e_{15} , e_{31} , e_{33} , with values −0.93, 1.00, 1.01 and 2.51 C/m². This shows that this compound is a promising piezoelectric crystal. Like LiNbO₃, the calculated Raman spectrum for the $x(zz)y$ and $x(yz)y$ configurations correspond to A₁ and E modes, and the Raman scattering peaks of A₁ and E modes are assigned to their zone-center optical modes, respectively. The independent nonlinear optical susceptibilities of this compound are d_{12} , d_{15} and d_{33} with values 1.37, 1.46 and −20.18 Pm/V, respectively. For the EO coefficients, the independent elements are γ_{11} , γ_{13} , γ_{33} , and γ_{51} with values 0.46, 3.71, 17.17 and 1.62 Pm/V, respectively. The results show that LN-type ZnTiO₃ exhibits better nonlinear optical properties than LN-type ZnSnO₃. The large piezoelectric and nonlinear optical susceptibilities reveal that this compound is a high-performance lead-free piezoelectric and nonlinear optical crystal.

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Author contributions

Jing Zhang and San-Huang Ke designed calculational model; Bin Xu and Yu-Sheng Wang carried out calculations of electronic structures and ferroelectric properties; Jing Zhang and Zhen Qin carried out calculations of piezoelectric properties and nonlinear optical properties; Jing Zhang and San-Huang Ke analyzed the theoretical results; Jing Zhang wrote the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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