

RESEARCH ARTICLE

Stable nebulization and muco-trapping properties of regdanvimab/IN-006 support its development as a potent, dose-saving inhaled therapy for COVID-19

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Abstract

The respiratory tract represents the key target for antiviral delivery in early interventions to prevent severe COVID-19. While neutralizing monoclonal antibodies (mAb) possess considerable efficacy, their current reliance on parenteral dosing necessitates very large doses and places a substantial burden on the healthcare system. In contrast, direct inhaled delivery of mAb therapeutics offers the convenience of self-dosing at home, as well as much more efficient mAb delivery to the respiratory tract. Here, building on our previous discovery of Fc-mucin interactions crosslinking viruses to mucins, we showed that regdanvimab, a potent neutralizing mAb already approved for COVID-19 in several countries, can effectively trap SARS-CoV-2 virus-like particles in fresh human airway mucus. IN-006, a reformulation of regdanvimab, was stably nebulized across a wide range of concentrations, with no loss of activity and no formation of aggregates. Finally, nebulized delivery of IN-006 resulted in 100-fold greater mAb levels in the lungs of rats compared to serum, in marked contrast to intravenously dosed mAbs. These results not only support our current efforts to evaluate the safety and efficacy of IN-006 in clinical trials, but more broadly substantiate nebulized delivery of human antiviral mAbs as a new paradigm in treating SARS-CoV-2 and other respiratory pathologies.

KEYWORDS

antiviral, COVID-19, drug delivery, inhaled delivery, mAb, nebulization

1 | INTRODUCTION

Most viruses that cause acute respiratory infections (ARIs), including influenza,¹⁻⁴ RSV,⁵⁻⁹ PIV,¹⁰ and the betacoronavirus HKU1,¹¹ infect almost exclusively via the apical (luminal) side of the airway epithelium, as revealed by studies using well-differentiated, polarized human airway epithelial (WD-HAE) cultures grown at the air-liquid interface.¹² In contrast, there is little-to-no productive infection when viruses are introduced into the basal (serosal) compartment in WD-HAE cultures. Importantly, infected cells appear to predominantly shed progeny viruses back into the apical compartment (i.e., into airway mucus [AM] secretions), with only rare shedding of virus into the basal compartment. This unique pathophysiology is shared by SARS-CoV-1, which only productively infects WD-HAE cultures when the virus is inoculated apically, with no appreciable infection when the same amount of virus is inoculated basally.¹³ There is ~1000-fold greater virus shed into the apical compartment relative to the basal compartment. The near exclusive apical infection and shedding of SARS-CoV-1 is consistent with the apical trafficking of ACE2 in airway biopsy tissues¹⁴ and in WD-HAE cultures in vitro.^{13,15,16} Not surprisingly, given that SARS-CoV-2 binds the same ACE2 receptor as SARS-CoV-1 for cellular entry, SARS-CoV-2 also undergoes preferential apical infection and shedding.^{13,15,16} This pathophysiology is consistent with the substantial time window between initial appearance of upper respiratory tract symptoms and the development of pulmonary and systemic morbidities that necessitate hospitalization.

Given the concentration of SARS-CoV-2 in the respiratory tract and the resulting respiratory tract symptoms and morbidities direct delivery of potent neutralizing monoclonal antibodies (mAbs) to the site of infection should be preferred. Nevertheless, every antiviral mAb that has received full approval or emergency use authorization to date is dosed either by iv infusion or sc/im injections, despite prior studies showing only a small fraction of systemically dosed mAb reaches the respiratory tract in animal models¹⁷⁻²⁰ and in human studies.²¹ The lack of efforts advancing inhaled delivery of mAb is likely due to prior work suggesting mAbs can aggregate and lose binding activity following nebulization.^{22,23} This problem is particularly evident with jet and ultrasonic nebulizers, where droplet recirculation, as well as heat and shear stresses, increase the aggregation of biomolecules and leave large residual quantities of unnebulized drug.^{24,25} Indeed, an earlier study delivering omalizumab using jet nebulizers for asthma was thought to possibly generate protein aggregates.²⁶ To date, there has been no report on stable nebulization of a fully human mAb that has been advanced through late-stage clinical trials. Nevertheless, a number of protein therapeutics have been stably nebulized using vibrating mesh nebulizers (VMN) as part of chronic treatment regimens.²⁷⁻³⁰ This offers the potential that human mAbs, if appropriately formulated,²² can also be stably nebulized using VMNs, with no loss in binding and no aggregation.

Given the public health urgencies of the COVID-19 pandemic, we were motivated to advance an inhaled antiviral therapy using a full

length, broadly neutralizing mAb. Regdanvimab is one of few anti-SARS-CoV-2 neutralizing mAbs that have received approval from either the FDA or EMA. Regdanvimab, administered by intravenous (iv) infusion at 40 mg/kg, provided a 72% reduction in risk of hospitalization and shortened the recovery time by ~5 days compared to placebo in its global Phase 3 clinical trial.³¹ These results make regdanvimab a highly promising mAb for developing an inhaled COVID-19 therapy. Here, we report that IN-006, a reformulation of regdanvimab for nebulized delivery, can facilitate effective trapping of SARS-CoV-2 virus-like particles (VLPs) in human AM and can be stably nebulized across a range of mAb concentrations with no loss of activity and no detectable aggregation. These unique properties of IN-006 enable us to achieve very high mAb concentrations in the respiratory tract while offering the convenience of at-home dosing.

2 | RESULTS

2.1 | IN-006 effectively traps SARS-CoV-2 VLPs in human AM

To evaluate whether IN-006 can trap SARS-CoV-2 in human AM, we prepared fluorescent SARS-CoV-2 VLPs by coexpressing S protein with GAG-mCherry fusion construct and performed high resolution multiple particle tracking to quantify the mobility of hundreds to thousands of individual virions in each sample of fresh human AM isolated from extubated endotracheal tubes. In human AM treated with control mAb (motavizumab), SARS-CoV-2 VLPs exhibited rapid mobility that spanned microns within seconds (Figure 1a), as quantified by its ensemble averaged mean squared displacements ($\langle \text{MSD} \rangle$) over time (Figure 1b). The average effective diffusivity ($\langle D_{\text{eff}} \rangle$) of SARS-CoV-2 VLPs was $0.23 \mu\text{m}^2/\text{s}$ (Figure 1d), and rapid mobility of SARS-CoV-2 VLPs were observed in nearly all AM samples tested (Figure 1e,f). Such high diffusivities of SARS-CoV-2 VLPs are consistent with the high rates of SARS-CoV-2 transmission and imply that immune-naïve AM does not pose an adequate diffusional barrier to limit SARS-CoV-2 from reaching and infecting target epithelial cells along the respiratory tract.

We next assessed the mobility of SARS-CoV-2 in AM treated with IN-006 (to a final concentration of $1 \mu\text{g}/\text{ml}$). In sharp contrast to treatment with control mAb, the mobility of SARS-CoV-2 VLPs were far more restrained in AM treated first with IN-006 (Figure 1a), as indicated by the much smaller $\langle \text{MSD} \rangle$ over time scale, and the reduced slope of the $\langle \text{MSD} \rangle$ versus time scale plot (Figure 1b). IN-006-mediated trapping of SARS-CoV-2 was consistently observed in every AM sample tested, as reflected by the significant reduction in $\langle D_{\text{eff}} \rangle$ in every AM specimen tested (Figure 1f). On average, compared to naïve AM, IN-006 added to AM to a final concentration of $1 \mu\text{g}/\text{ml}$ and $333 \text{ ng}/\text{ml}$ reduced the $\langle D_{\text{eff}} \rangle$ of SARS-CoV-2 VLPs by ~10-fold and ~8-fold, respectively; the $\langle D_{\text{eff}} \rangle$ of virions in IN-006-treated AM were slowed ~190- and 160-fold in AM compared

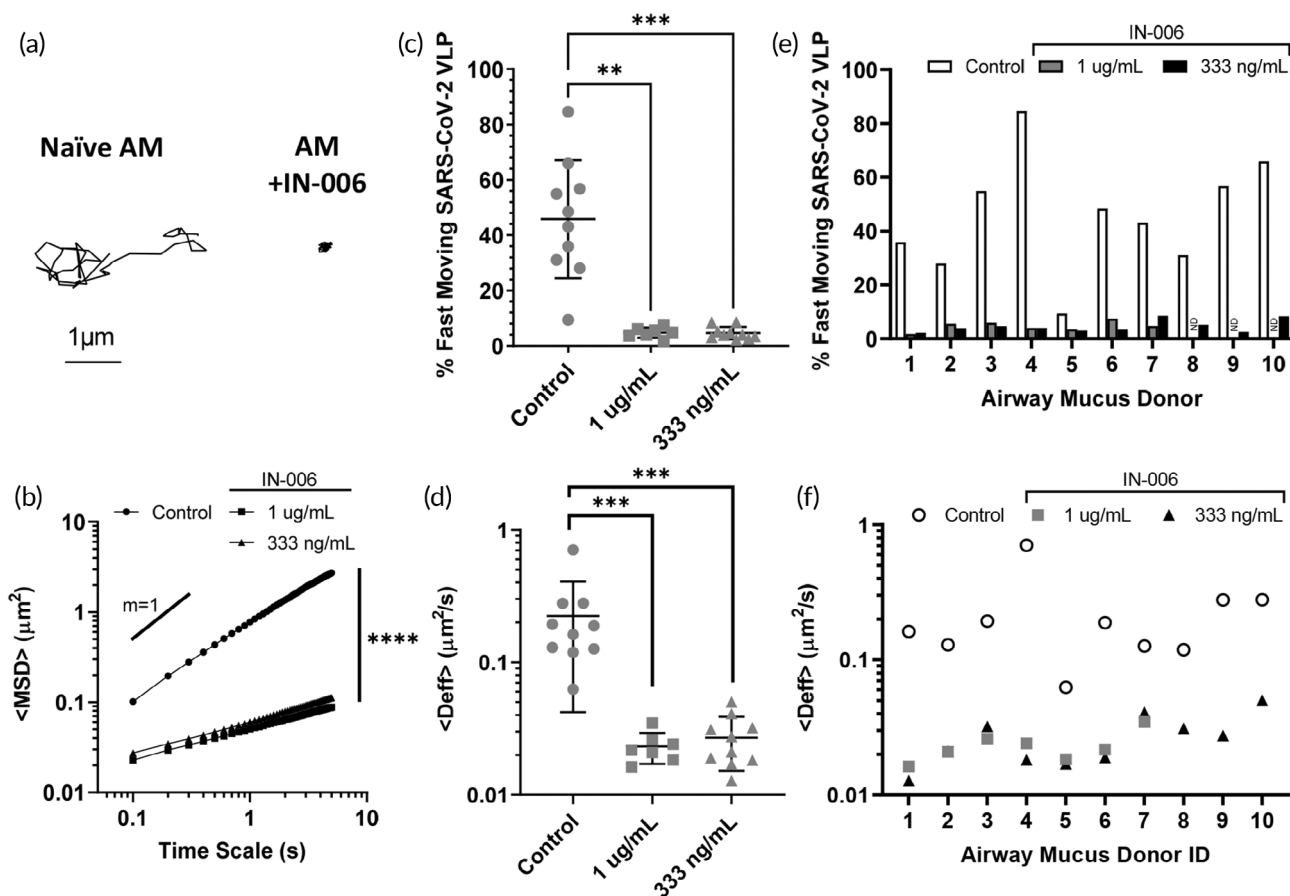


FIGURE 1 IN-006 effectively traps SARS-CoV-2 VLPs in fresh, undiluted human airway mucus (AM). (a) Representative traces of SARS-CoV-2 VLPs in AM treated with control mAb versus in AM treated with IN-006. (b) Mean squared displacements of SARS-CoV-2 VLPs over time scales. (c) Fraction of rapidly diffusing SARS-CoV-2 VLPs and (d) effective diffusivities ($\langle D_{eff} \rangle$) of SARS-CoV-2 VLPs in AM treated with control mAb or IN-006 to a final concentration of 1 $\mu\text{g/ml}$ and 333 ng/ml . A total of 10 independent donor samples were tested. (e) Fraction of rapidly diffusing SARS-CoV-2 VLPs and (f) effective diffusivities ($\langle D_{eff} \rangle$) of SARS-CoV-2 VLPs in each of the independent AM tested. Due to specimen volume limitations, we only assessed the muco-trapping potencies of IN-006 at 333 ng/ml for donor ID 8, 9 and 10 (ND = no data). Repeated measures one-way analysis of variance with post hoc Dunnett's test on log-transformed (diffusivity, MSD) or untransformed (% fast moving) data ($*p < 0.05$; $**p < 0.01$; $***p < 0.001$; $****p < 0.0001$)

to their theoretical diffusivities in water. These results confirmed IN-006 effectively reduced the rapid mobility of SARS-CoV-2 in human AM.

Virions that possess the greatest diffusivity (i.e., the most mobile fractions), by definition, are more likely to diffuse across the mucus layer and infect the underlying epithelium before mucus is eliminated by natural clearance mechanisms. Thus, we sought to assess the effect of IN-006 in limiting the fraction of SARS-CoV-2 VLPs that could most readily penetrate across AM. We quantitatively defined the fast-moving subpopulation of SARS-CoV-2 as virions that possess sufficient mobility to penetrate through a physiologically thick AM layer (50 μm) in 1 h, which yielded a minimum $D_{eff} \geq 0.347 \mu\text{m}^2/\text{s}$. This fast-moving population was reduced from 46% in naïve AM to 4.8% and 4.6% in AM containing 1 $\mu\text{g/ml}$ and 333 ng/ml of IN-006, respectively (Figure 1c), and was consistently observed in every AM specimen tested (Figure 1e). These results firmly underscore the effectiveness of muco-trapping IN-006 in limiting the mucus permeation of SARS-CoV-2 VLPs.

2.2 | IN-006 can be stably nebulized across a range of concentrations

The most efficient method to deliver mAb to the respiratory tract is by direct inhalation²⁰; we thus tested whether we could generate IN-006-containing aerosols that are suitable for pulmonary deposition using a VMN. We first determined the aerodynamic particle size distribution (APSD) of the aerosols, as the resulting droplet sizes directly influence the site of deposition within the airways.³² As a general guide, aerosols smaller than $\sim 2.5 \mu\text{m}$ are preferentially deposited in the deep lung, between 2.5 and 5 μm preferentially in the lower airways, and aerosols $\sim 5\text{--}10 \mu\text{m}$ in diameter preferentially in the upper airways, including nasopharyngeal and oropharyngeal regions.³² Based on earlier unpublished work with nebulizing mAbs against RSV, we first utilized the Copley Scientific Next Generation Impactor (NGI) to measure the APSD of IN-006 nebulized at 20 and 30 mg/ml . The mass median aerodynamic diameter (MMAD) was 5.7 ± 0.08 and $5.3 \pm 0.2 \mu\text{m}$ (Figure 2a), with

a fine particle fraction (FPF; particulates $<5 \mu\text{m}$) of $47 \pm 1\%$ and $51 \pm 1\%$, respectively.

To determine whether the binding affinity of IN-006 was preserved during nebulization, we collected nebulized IN-006 using a two-stage glass twin impinger setup in accordance with European Pharmacopeia 2.9.18, and measured the binding affinity (EC_{50}) of the recovered nebulized IN-006 via S-protein ELISA. In this impinger setup, aerosols with diameter greater than $\sim 6.4 \mu\text{m}$ are primarily collected in the upper chamber, and particles smaller than $6.4 \mu\text{m}$ are primarily collected in the lower chamber. For IN-006 nebulized at 20 mg/ml, the EC_{50} of pre-nebulized IN-006, as well as IN-006 collected in the upper and lower impinger chambers were measured to be 9 ± 4 , 12 ± 4 , and 10 ± 1 ng/ml, respectively (Figure 2b). Likewise, for IN-006 nebulized at 30 mg/ml, the EC_{50} for pre-nebulized IN-006 and IN-006 recovered from the upper and lower impinger chambers were 10 ± 2 , 10 ± 2 , and 10 ± 1 ng/ml, respectively. To determine if mAb aggregates were generated by nebulization, we performed dynamic light scattering (DLS) on the recovered nebulized IN-006. IN-006 recovered from both the upper and lower impinger chambers exhibited a monomer fraction of $>99\%$ ($n = 6$ independent nebulization experiments at each concentration). These results firmly

underscore that the binding affinity of IN-006 was fully preserved during the process of nebulization, and no aggregates were formed.

We next evaluated IN-006 nebulized at both higher (60 mg/ml) and lower (5 and 10 mg/ml) concentrations. The APSDs of aerosols generated at 5, 10, and 60 mg/ml were very similar, as reflected by the distribution of IN-006 deposition across different stages of the NGI (Figure 2a). The MMAD for IN-006 nebulized at 5, 10, or 60 mg/ml was consistently in the range of $\sim 4\text{--}5 \mu\text{m}$, with FPF $\sim 55\%$. The apparent difference in the MMAD compared to IN-006 nebulized at 20 and 30 mg/ml is likely due to the use of a different InnoSpire Go VMN (Koninklijke Philips N.V.). The binding affinity of post-nebulized IN-006 (EC_{50} 5 ± 2 , 5 ± 1 , and 5 ± 1 ng/ml for IN-006 nebulized at 5, 10, or 60 mg/ml, respectively) was virtually identical to the affinity of prenebulized IN-006 (6 ± 2 , 6 ± 2 , and 6 ± 2 ng/ml, respectively) (Figure 2b). DLS analysis again showed no appreciable generation of aggregates with IN-006 nebulized at 5, 10, or 60 mg/ml, with $>99\%$ of IN-006 scattering present in the monomer peak ($n = 6$ independent nebulization experiments at each concentration).

Together, these findings suggested that IN-006 can be stably nebulized at concentrations ranging from 5 to 60 mg/ml, with no loss of binding activity against SARS-CoV-2 S protein. Furthermore, the

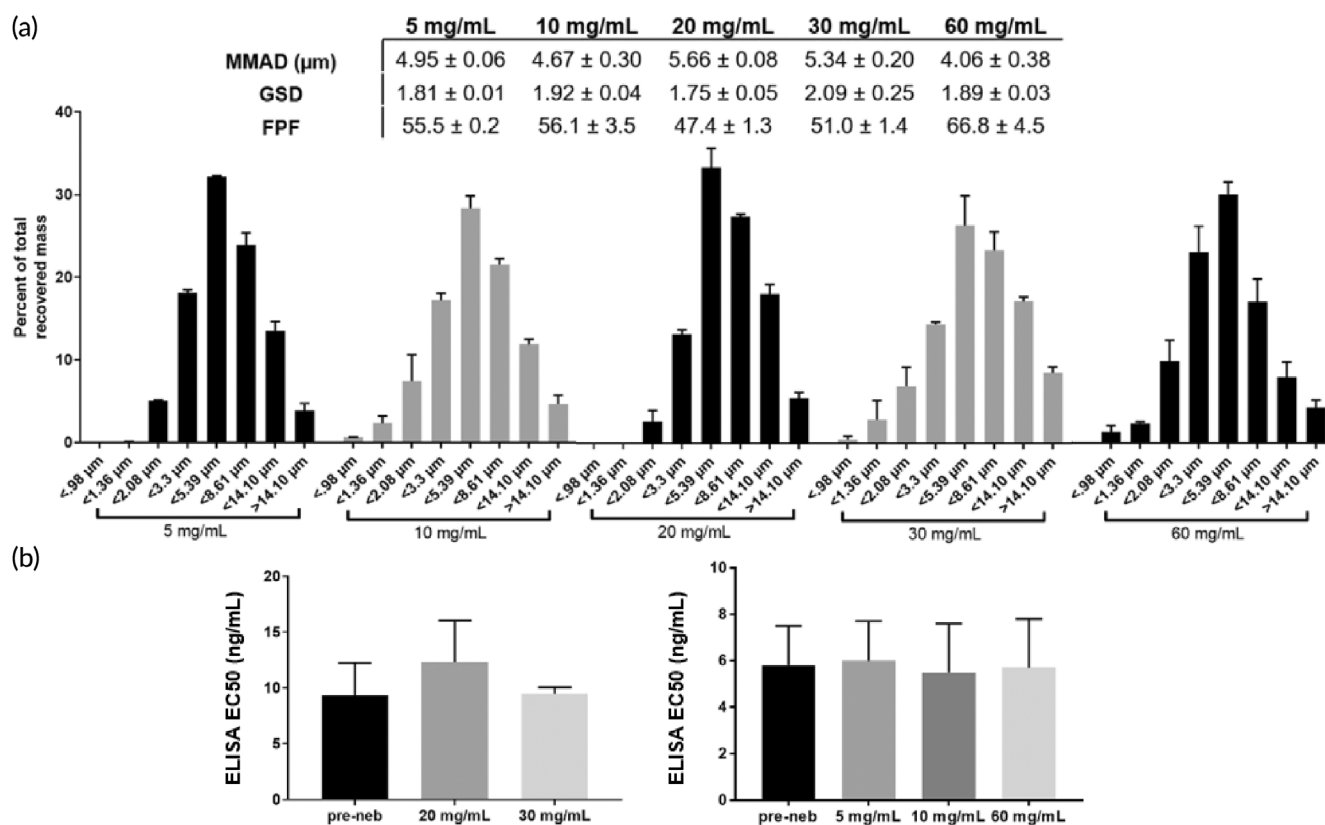


FIGURE 2 IN-006 retains stable binding activity after nebulization at various concentrations. IN-006 was formulated at 5, 10, 20, 30, or 60 mg/ml and nebulized using a Koninklijke Philips N.V. InnoSpire Go VMN into an NGI at 15 L/min. (a) Aerosol particle size distribution following nebulization at each concentration, plotted as a fraction of total dose recovered ($100 \times$ mass on NGI stage/sum of mass on all stages). Summary APSD characteristics of IN-006 nebulized at varying concentrations are shown in table. (b) Binding activity of IN-006 pre- and post-nebulization, as determined by anti-SARS-CoV-2 S protein ELISA and calculation of EC_{50} . Postnebulization samples were collected from Stage 4 of the NGI ($<5.39 \mu\text{m}$). EC_{50} experiments for 20 and 30 mg/ml formulations were conducted separately from those conducted subsequently with 5, 10, and 60 mg/ml, and are plotted separately

measured APSD, with substantial fraction of aerosols spanning both the range of FPFs suitable for delivery to the lower respiratory tract (i.e., $<5 \mu\text{m}$) as well as larger aerosols that are preferentially retained in the upper respiratory tract (i.e., $>5 \mu\text{m}$), increases the likelihood that IN-006 could be deposited in both the upper and lower respiratory tracts. We elected to advance our clinical formulation for IN-006 at 24 mg/ml, which supported our target daily dose of ~ 90 mg IN-006, to be administered over a span of no more than 5–7 min.

2.3 | Good laboratory practice nebulization characterization study of IN-006

To support a formal application to regulatory authorities to initiate human studies, we next conducted nebulization characterization studies that met good laboratory practice (GLP) guidelines. In these studies, the average MMAD of IN-006 aerosols generated across 3 independent InnoSpire Go devices, each evaluated in triplicate, was $\sim 4.6 \pm 0.13 \mu\text{m}$, with a FPF of $50 \pm 1.6\%$ (Figure 3a). The average duration to complete nebulization, with a 4.2 ml fill volume, was $\sim 6 \pm 0.1$ min (Figure 3a).

To validate the drug integrity of IN-006 following GLP nebulization, samples of nebulized IN-006 were recovered from the filters of the NGI, pooled, and evaluated against nonnebulized IN-006 stored and shipped identically as control. Comparing the relative binding affinity using a qualified RBD-coat ELISA assay, we found no difference between the pre- and post-nebulized samples with IN-006 formulated at 24 mg/ml (Figure 3b). We further confirmed the functional potency of IN-006 using pseudovirus neutralization assays. Pre- and post-nebulized IN-006 exhibited IC_{50} of 0.3 ± 0.05 and 0.2 ± 0.02 ng/ml, respectively, against D614G pseudotyped virus, and IC_{50} of 1.5 ± 0.2 and 1.6 ± 0.4 ng/ml, respectively, against E484K pseudotyped virus (Figure 3c). These results firmly underscore that IN-006 fully retained potent inhibition of SARS-CoV-2 infection after nebulization.

To further assess the structural stability of IN-006 following nebulization and sample recovery, we conducted a rigorous series of tests to determine structural integrity, including size exclusion chromatography, high pressure liquid chromatography (SEC-HPLC), ion exchange chromatography (IEC-HPLC), and analysis for subvisible particles (SVPs) (Figures 4 and S3; Table S2). Across all measures of drug integrity, the post-nebulized IN-006 retained excellent structural stability, with no evidence of aggregation, molecular shearing, or formation of

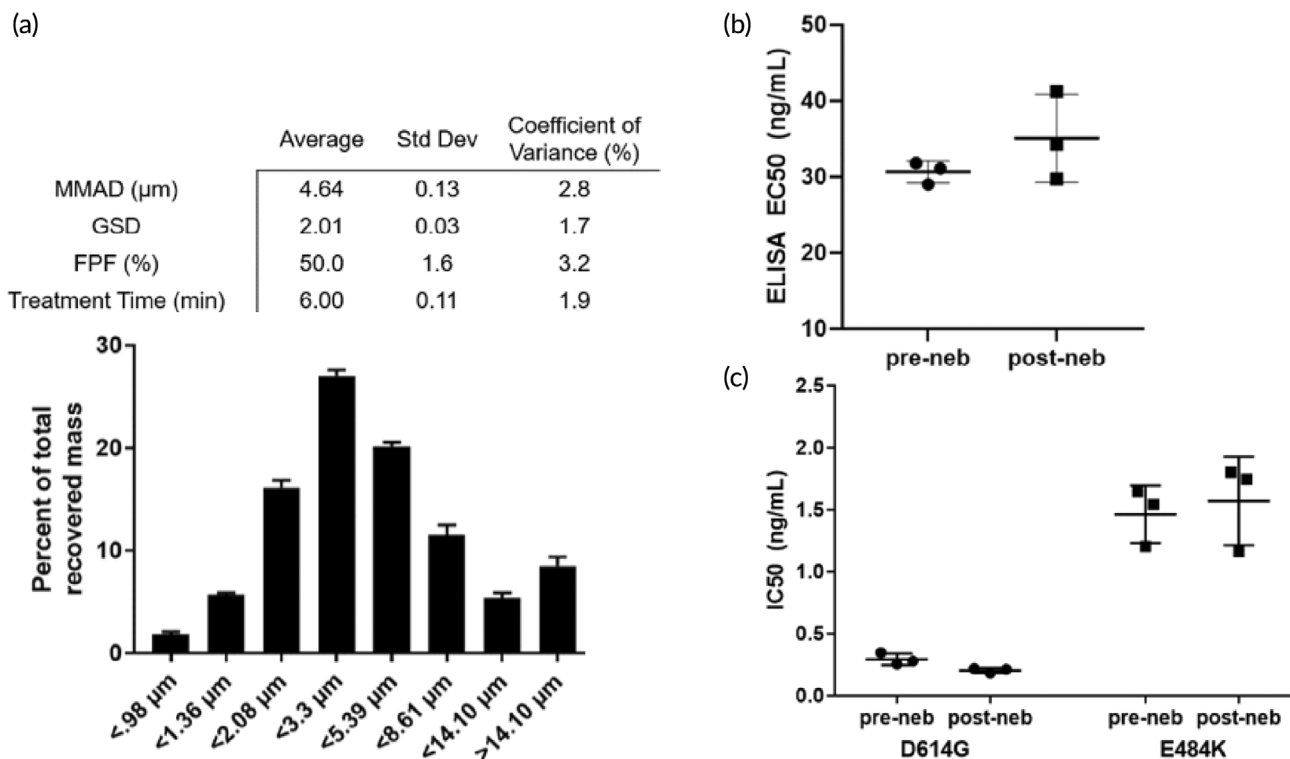


FIGURE 3 IN-006 in clinical formulation retains stable binding activity in GLP nebulization characterization study. IN-006 was formulated at 24 mg/ml and nebulized using a Koninklijke Philips N.V. InnoSpire Go VMN into an NGI at 15 L/min. (a) Aerosol particle size distribution following nebulization into NGI. Table shows summary statistics for nebulization of IN-006, including MMAD, GSD, FPF, and the treatment runtime of the nebulizer. (b) The affinity of IN-006 was measured via Spike-binding ELISA for samples pre- and post-nebulization of IN-006 in GLP nebulization characterization studies, and there was no significant change in the binding affinity following nebulization ($n = 3$ separate nebulization runs). (c) The neutralization potency of pre- and post-nebulized IN-006 was measured against pseudotyped virus with the D614G and E484K mutations. In both assays, nebulized IN-006 provided equally strong neutralization of infection in vitro

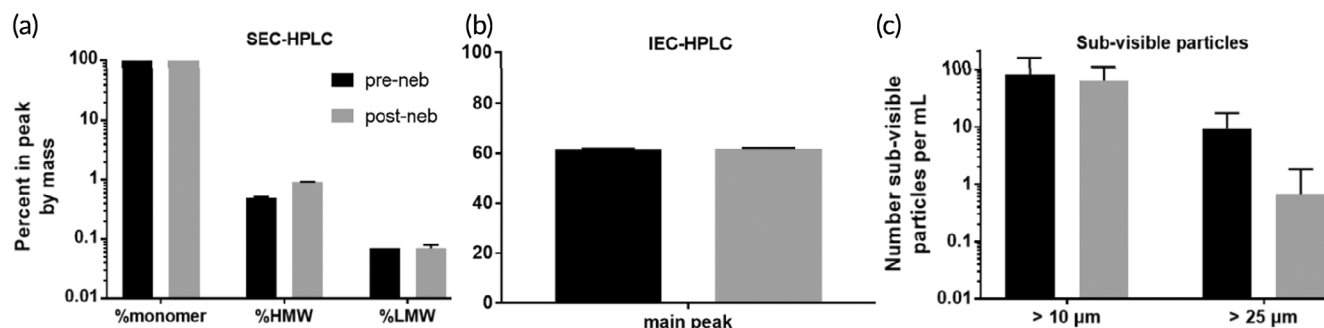


FIGURE 4 Molecular integrity of IN-006 mAb is maintained following nebulization. IN-006 was formulated at 24 mg/ml and nebulized using a Koninklijke Philips N.V. InnoSpire Go VMN into an NGI at 15 L/min. Pre- and post-nebulized IN-006 was analyzed for structural integrity and impurities. (a) SEC-HPLC analysis of the percent of mass contained in the peak representing the monomer, high molecular weight species (HMW), or low molecular weight species (LMW). (b) IEC-HPLC analysis of the percent of mass of IN-006 eluted in the main peak. (c) HIAC sub-visible particle analysis of the number of particles per ml that were at least 10 μm in diameter or at least 25 μm in diameter. In all physical categories assessed, nebulized IN-006 samples retained excellent physical quality attributes

particulate matter, compared to non-nebulized IN-006 controls. On SEC-HPLC analysis, the pre- and post-nebulized samples of IN-006 had average monomer fractions of $99 \pm 0.02\%$ and $99 \pm 0.02\%$, respectively (Figure 4a). Similarly, CE-SDS analysis for percent intact IgG of pre- and post-nebulized IN-006 showed $98 \pm 0.2\%$ and $98 \pm 0.1\%$ intact, respectively (not shown). IEC-HPLC showed no differences in the mass distribution eluted in the acidic peak, main peak, or basic peak for pre- versus post-nebulized IN-006, demonstrating stability of mAb charge variants (Figure 4b). Analysis of SVPs counts showed that prenebulized IN-006 had 83 ± 71 particles larger than 10 $\mu\text{m}/\text{ml}$ and 9 ± 8 particles larger than 25 $\mu\text{m}/\text{ml}$ (Figure 4c). In comparison, postnebulized IN-006 had 64 ± 45 particles larger than 10 $\mu\text{m}/\text{ml}$, and 1 ± 1 particles larger than 25 $\mu\text{m}/\text{ml}$ (Figure 4c). The reduction in larger SVPs following nebulization could possibly be due to a filtration effect by the mesh in the VMN, wherein larger particles are less likely to pass through a mesh with pores $<10 \mu\text{m}$. IEC-HPLC found equal signal for pre- and post-nebulized IN-006 in the main peak (61% and 62%, respectively), acidic group (13% and 13%), and basic group (25% and 25%). Together, these results indicate that the nebulization of IN-006 using a VMN generates a polydisperse aerosol suitable to efficiently deliver IN-006 throughout the upper and lower respiratory tract while retaining the structural and functional integrity of the molecule against SARS-CoV-2.

2.4 | Nebulized delivery of IN-006 achieves high concentration in the lung in vivo

Finally, we sought to determine what concentrations of IN-006 could be achieved in vivo in the lungs and in the systemic circulation following nebulized delivery. We treated Sprague Dawley rats with nebulized IN-006 formulated at 24 mg/ml, daily, for a period of 7 days, at a dose of either 10 or 40 mg/kg per day. From these animals, we collected broncho-alveolar lavage fluid (BALF) at 8 or 12 h following the final dose (on Day 7), and serum samples at the same timepoints, from which we determined IN-006 levels using a qualified ELISA procedure.

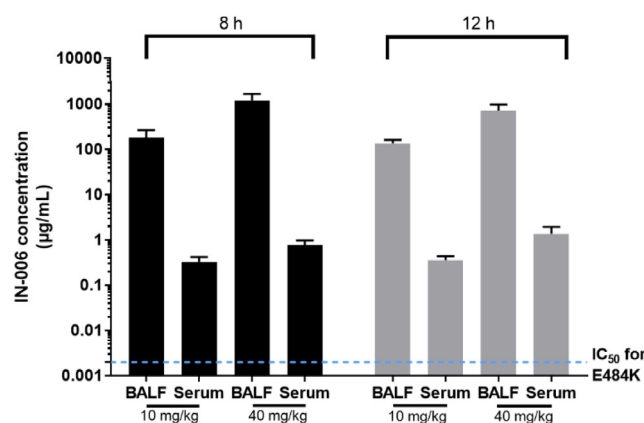


FIGURE 5 Serum and BALF concentrations of IN-006 following daily nebulized treatment in rats. Rats were treated daily with nebulized IN-006 for 7 days. (a) Concentrations of IN-006 in the BALF and Serum of rats at 8 or 12 h following the final nebulized dose on Day 7, in groups that received either 10 or 40 mg/kg. BALF IN-006 concentrations are adjusted for dilution (see Methods). Dotted blue line represents IC_{50} of IN-006 against E484K pseudovirus, $\sim 2 \text{ ng}/\text{ml}$

To estimate IN-006 concentrations before dilution due to BALF collection, we normalized the measured IN-006 concentrations in BALF by comparing urea levels in BALF vs. urea levels in serum collected at the same time.

In the group of rats that received 10 mg/kg IN-006 daily for 7 days, the IN-006 concentrations in the lungs at 8 and 12 h following the last dose were 183 and 136.5 $\mu\text{g}/\text{ml}$, respectively, resulting in a roughly estimated half-life of ~ 9.5 h, a coarse estimate that requires assuming steady-state, log-linear decay by 8 h after inhaled dosing (Figure 5). In the group that received 40 mg/kg IN-006, the concentrations of IN-006 in the lungs at 8 and 12 h were 1204 and 725 $\mu\text{g}/\text{ml}$, respectively, suggesting a half-life of ~ 5.5 h in the lungs under the same assumptions. The serum concentrations of IN-006 in the 10 mg/kg group at 8 and 12 h were 2.3 and 2.1 $\mu\text{g}/\text{ml}$, respectively, or ~ 60 – 80 -fold lower than the concentrations in the lungs. The serum

concentrations of IN-006 in the 40 mg/kg group at 8 and 12 h after the last dose were 7.4 and 7.1 $\mu\text{g/ml}$, respectively, or 100–160-fold lower than the concentrations in the lungs (Figure 5). Notably, while far more IN-006 was in the lungs than serum, the serum IN-006 levels were still roughly three orders of magnitude higher than the IC_{50} of IN-006 (~ 2 ng/ml, shown as dotted blue line in Figure 5). This suggests that nebulized delivery of IN-006 instantaneously achieves very high mAb levels in the lungs, but also delivers sufficient quantities of mAb into the serum to ensure effective systemic protection.

The repeat daily inhalation administration of IN-006 to rats for 7 days was well-tolerated in both sexes with no mortality at any dose levels. The clinical signs, body weight, food consumption, clinical pathology (hematology, clinical chemistry coagulation, and urinalysis), ophthalmology, functional observational battery (FOB) and respiratory parameters, organ weights, and macroscopic changes were unaffected by the treatment with IN-006 or vehicle control excipients compared to the saline control animals. There was no histopathologic evidence of local (respiratory tract tissues, including carina, nasal cavity, nasopharynx, larynx, trachea, lungs, bronchi, and tracheobronchial lymph node) or systemic toxicity following inhalation administration of IN-006 or vehicle control excipients to the rat for 7 days and following a 7-day recovery period.

3 | DISCUSSION

Many in the scientific community believe that COVID-19 will become endemic, despite the availability of effective vaccines.³³ This underscores the need to ensure broad availability and easy access to effective treatments that can prevent progression to severe COVID and hospitalization, particularly given the sizable population of individuals with vaccine hesitancy around the world. While highly effective mAb therapies were initially quickly advanced (e.g., REGN-COV2,³⁴ bamlanivimab + etesevimab,³⁵ sotrovimab³⁶), prior mAb treatment options enjoyed limited adoption because of critical access issues, as well as the large doses required. First, infusions at dedicated facilities not only create a substantial burden on healthcare system and subject healthcare workers to infection risk, but also require substantial time to administer (including time for wait/registration, health check, infusion times that can last ~ 30 – 60 min, and then an additional 60 min of monitoring time postinfusion³⁴). Until the creation of temporary infusion centers, many unused mAbs accumulated in healthcare facilities as physicians were simply unable to administer them to enough patients.³⁷ While select mAbs were allowed to be given by multiple subcutaneous (sc) injections instead of iv (e.g., REGEN-COV2), that change in delivery does not appreciably ease the burden on the healthcare system, in part because patients must still spend time to register, be screened, be treated, and then still must undergo at least 1 hour of post-injection monitoring. To improve access, we believe it is essential to have an effective therapeutic intervention that can be used by patients in an outpatient setting immediately following a positive diagnosis using point-of-care or otherwise rapid diagnostics. Second, the systemic delivery of mAbs necessitates large mAb doses. This

creates a major supply chain issue, as the sheer number of COVID-19 patients, coupled with the large mAb dose required per patient, translates to multimetric tons of mAbs needed, which in turn creates marked constraints on global mAb manufacturing capacity.

These realities suggest an ideal treatment should not require iv, intramuscular (im), or sc administrations that must be carried out by healthcare workers, or a period of monitoring following injection. Instead, the ideal treatment should be easily self-administered soon after diagnosis, allowing patients to be treated at home while still during early stages of the disease, without significant pulmonary morbidities. A safe and effective oral therapeutic clearly can meet many of the desired characteristics of convenient treatment. Two of the leading oral antivirals are molnupiravir and Paxlovid. Molnupiravir unfortunately only provides a modest $\sim 30\%$ reduction in relative risk of hospitalization when taken soon after out-patient diagnosis³⁸ (notably, substantially less efficacious than the $\sim 70\%$ – 87% reductions in risk provided by systemically administered mAbs^{34,35,39}), and possesses substantial safety concerns associated with mutagenesis. Paxlovid has demonstrated much greater efficacy, with an 88% reduction in relative reduction of risk of hospitalization when given to patients within 5 days of symptoms. Nevertheless, there are numerous medical contraindications for Paxlovid due to its inclusion of ritonavir, which inhibits CYP3A4, an enzyme that metabolizes many drugs (over 50% of all therapeutics⁴⁰). Patients who are at high risk for severe COVID are often taking multiple medications to manage other conditions, raising concerns for drug-drug interactions and potentially limiting the use of Paxlovid as a treatment option for the very patient populations who may need an antiviral for preventing severe COVID the most. Finally, both regimens involve swallowing a substantial number of pills (40 for molnupiravir, 30 for Paxlovid) over the course of the treatment regimen, which may prove to be difficult for pediatric, geriatric, and select populations with underlying conditions.⁴¹ While the overall prevalence of dysphagia in the Midwestern US population has been reported to be 6%–9%,⁴² its prevalence in people over age 50 years is estimated to be closer to 15%–22%,^{43–45} and possibly as high as 40%–60% of residents in assisted living facilities and nursing homes.^{41,45,46} For these reasons, even with the approval of Paxlovid and molnupiravir, we continue to believe inhaled treatment could address an important unmet need among COVID patients.

One of the key benefits of nebulized mAb therapy is that a much greater fraction of the overall drug dose is delivered directly to the primary site of infection and morbidity. Prior studies of antiviral mAbs for treatment of ARIs have encountered substantial roadblocks in clinical translation, likely due to inefficient and inadequate transduction of systemically administered mAb into the respiratory tract. Indeed, in a clinical study of CR6261 (an anti-influenza mAb),²¹ the C_{max} in the nasal swab samples was not achieved until Days 2–3 following iv dosing, in stark contrast to peak serum concentrations of 1×10^6 ng/ml reached within 15 min after infusion. More importantly, the mean peak concentration of CR6261 from nasal swabs was only ~ 600 ng/ml, or ~ 1700 -fold lower concentrations in the nasal mucosa than in plasma.²¹ CR6261 is not alone in its limited distribution into the lung airways; mAbs are large molecules with generally small volumes of

distribution that tend to remain in the serum and peripheral fluids in the absence of mechanisms of active transport. Previous nonhuman primate studies comparing the pharmacokinetics and biodistribution of systemically administered mAbs have consistently shown both slow and limited pulmonary distribution, despite achieving high concentrations in the plasma. Indeed, the concentration of mepolizumab in BALF, following iv injection, was ~500-fold lower than the concentration in plasma.¹⁷ Even greater differences in BALF versus plasma concentration were noted in biodistribution studies of motavizumab (anti-RSV mAb) in cynomolgus monkeys, where a 2000-fold difference between BALF (~100 ng/ml) and plasma concentrations (~200,000 ng/ml) was measured 4 days following an iv dose at 30 mg/kg.¹⁸ The poor distribution of motavizumab to the respiratory tract is likely responsible for its lack of efficacy as a treatment. In an article describing the inability of bamlanivimab to provide significant therapeutic benefit in COVID patients with severe disease, the authors hypothesized that the failure might be attributed to limited penetration into infected tissues.⁴⁷ In the case of rapidly multiplying viral infections, intervening early in the course of infection is key to effective therapy, and a few days of delay before achieving efficacious therapeutic concentrations at the site of infection may well represent the difference between clinical success and failure. For instance, treatment with oseltamivir (i.e., Tamiflu) and baloxavir marboxil (i.e., Xofluza), two oral anti-influenza antivirals, must be initiated within 48 h (and preferably within 24 h) of the emergence of symptoms in order to be efficacious.⁴⁸ Since IN-006 can achieve pulmonary C_{max} virtually instantaneously, we believe it is exceptionally suited as an early intervention to prevent progression to severe COVID.

The frequent reports of mAb aggregation following nebulization²² have led many in the field to believe fully human mAbs are too large or too unstable to be nebulized, and that smaller protein binders such as nanobodies (camelid antibodies that consist of only heavy chains, without light chains and without effector functions) are more suitable for nebulized delivery. In sharp contrast to this prevailing dogma, we showed here that nebulization of IN-006, a fully human IgG1 mAb, did not result in any appreciable increase in aggregation or fragmentation, a loss of binding/neutralization activity, or other impacts on physical integrity (e.g., monomer content). Although mAbs and nanobodies would both be expected to provide potent neutralization, the presence of an Fc domain on the full mAb confers additional effector functions, including opsonization, ADCC and ADC, and muco-trapping. Furthermore, since nanobodies are camelid in origin, there may be substantial immunogenicity, as previously reported for inhaled nanobodies for RSV treatment. For these reasons, we believe nebulized treatments using full length human IgG₁ mAbs may confer additional benefits over nebulized therapies based on nanobodies or Fabs.

The potential muco-trapping effector function of IgG—to physically trap pathogens in mucus—has only been appreciated recently. An inherent assumption by the field has been that, to trap a pathogen, Ab must bind tightly to mucins. However, many investigators reported seemingly negligible affinity of IgG to mucins^{49–53}; for instance, the diffusion rates of IgG in human cervical mucus are slowed only ~10% versus their rates in buffer, implying that 90% of the time an IgG is simply not bound to mucins.⁵⁴ This led most researchers to conclude that Ab have no meaningful function in mucus besides neutralization.

Instead, our discovery of the muco-trapping potential of IgGs is based on multiple weak and transient bonds between IgGs and mucins, and highlights two pivotal concepts: First, many IgGs can bind to the surface of a pathogen, and the resulting array of IgGs can generate high binding avidity to mucins (analogy: a Velcro patch can tightly bind two surfaces despite individually weak hooks). Second, IgG must possess a narrow range of weak and transient affinity to quickly accumulate on the invading pathogen surface while minimizing the number of pathogen-bound IgG needed to trap the pathogen^{55–58}; mAbs that bind too tightly to mucins would not be able to travel through mucus to bind pathogens. We have previously shown that IgG possessing suitable N-glycans on IgG-Fc is capable of immobilizing viruses in various mucus secretions, resulting in rapid clearance from the respiratory tract⁵⁹ and can provide effective protection against vaginal Herpes transmission.^{54,57} In good agreement with our previous findings, we showed here that IN-006 was able to effectively immobilize SARS-CoV-2 in AM. Trapped virions are unable to diffuse through AM to infect cells and are expected to be quickly eliminated from the respiratory tract by natural muco-ciliary or cough-driven mucus clearance mechanisms for sterilization in the low pH gastric environments. Indeed, trapped virions are cleared from the lung airways minutes to hours for sterilization in the low pH gastric environments,⁵⁹ and trapping viruses in mucus affords sterilizing immunity against mucosal transmission.⁵⁷ Thus, mAbs capable of this muco-trapping effector function provide a mechanism to *physically* remove viruses from infected airways.

The molecular target for SARS-CoV-2, ACE2, is expressed on cells throughout the entirety of the respiratory tract, in a gradient with greatest expression in the upper airways and progressively decreased expression in the lower respiratory tract.⁶⁰ Although SARS-CoV-2 infections most likely initiate in the nasal turbinates, it is impossible to predict, for each individual patient, whether the infection is still strictly localized in the nasal passage at the time of a confirmed positive diagnosis, or whether some of the virions may have already disseminated to the lower respiratory tract. Thus, for a topical therapeutic to be maximally effective for patients in all stages of early infection, we believe there is a need to deliver the drug to all parts of the respiratory tract, rather than target only the lungs (e.g., with dry powder inhalers) or target only the upper airways (e.g., with nasal drops). We believe nebulization, by generating diverse droplet sizes that enable simultaneous delivery to all parts of the respiratory tract, is uniquely suited for inhaled delivery of antivirals.

Finally, our study had some limitations. First, since collection of BALF is a terminal procedure in rats, we could collect BALF at the last two timepoints in the toxicokinetic portions of the GLP rat study (8 and 12 h after last dose). We are thus estimating the systemic half-life by extrapolating pulmonary elimination rates across a duration shorter than the actual half-life estimated. We do wish to point out that, while the rough estimates of lung PK are interesting, the key conclusion from these pulmonary measurements is not the elimination rate, but rather the relative concentrations achieved in the lung versus in serum following nebulized delivery. More rigorous investigation of the pulmonary and systemic PK of IN-006 will be conducted in clinical studies. Second, the *ex vivo* mucus trapping studies (Figure 1) were conducted on AM samples from otherwise healthy individuals who

did not have SARS-CoV-2 infection. We believe that the AM in patients with mild and moderate COVID-19 is likely similar to that of healthy individuals in this study, given that occasional reports of increased mucus accumulation is only observed with extensive pneumonia and bronchiolitis.⁶¹ Fortunately, even in cases of extreme hyperviscoelastic mucus (e.g., sputum from patients with cystic fibrosis), the microrheology still approaches that of water,⁶² and virus-sized nanoparticles that do not stick to mucins can still undergo rapid diffusion in CF sputum.⁶³ Thus, we believe that antibody-mediated trapping of virions may prove useful for slowing viral diffusion at all stages of infection.

4 | CONCLUSION

IN-006, a mAb against SARS-CoV-2, was able to be stably nebulized across a wide range of concentrations using a VMN, with no loss of binding or neutralization activity and no formation of aggregates. In a GLP inhalational toxicology study in rats, nebulized delivery of IN-006 was very well-tolerated and resulted in ~100-fold greater mAb concentrations in the lungs compared to serum. The inhaled delivery of mAbs represents a promising route for efficient treatment of respiratory infectious disease.

5 | MATERIALS AND METHODS

5.1 | Production of fluorescent VLPs

Fluorescent VLPs were made by cotransfection of pGAG-mCherry plasmid (kind gift from Gummuluru lab) and SARS-CoV-2 S protein plasmid in a 1:1 ratio. Nonreplicating lentivirus pseudotyped with SARS-CoV-2 UK spike protein was created using the following plasmids, in a 1:1:1:2 ratio: pMDLg/pRRE, pRSV-REV, SARS-CoV-2 UK Spike, and pLL7 GFP. The plasmids were transfected into LVMaxx using the LVMaxx Transfection kit. Each VLP was made in 60 ml cultures, and harvested after 48 h. The VLPs were purified using 25% sucrose (in 25 mM HEPES/130 mM NaCl) cushion spin protocol. Three milliliters of 25% sucrose solution was added to each Beckman Coulter ultracentrifuge tube, which then had 7 ml of cell culture supernatant gently layered on top. The tubes were then spun at 36,000 rpm for 2.5 h at 4°C. The sucrose/supernatant was then aspirated off, and 20 µl of 10% Sucrose solution was placed on top of the VLP pellet. After 24 h at 4°C, the VLPs were then aliquoted and stored at -80°C.

5.2 | Multiple particle tracking for quantifying mobility of SARS-CoV-2 VLPs in AM treated with IN-006

Fresh, undiluted human AM were collected from extubated and otherwise would be discarded endotracheal tubes, as previously described,⁵⁹ via a nonhuman subjects research designed protocol

approved by the University of North Carolina at Chapel Hill. All AM were kept on ice, treated with protease inhibitors, and used within 24–72 h of collection. Multiple particle tracking analysis of fluorescent SARS-CoV-2 VLPs in human AM was performed as described by Yang et al.⁵⁹ Briefly, solutions of fluorescent VLPs and IN-006 were added to ~10 µl of fresh, undiluted AM in custom-made glass chambers. The samples were then incubated at 37°C for ~30 min before microscopy. The same AM was used for all conditions to allow direct comparison among samples. Videos of VLPs diffusing in AM were recorded with MetaMorph software (Molecular Devices) at a temporal resolution of 66.7 ms. Videos were analyzed using NetTracker from AI Tracking Solutions to convert video raw data to particle traces. Time-averaged MSDs and effective diffusivity were calculated by transforming particle centroid coordinates were transformed into time MSDs with the formula $\langle \Delta r^2(\tau) \rangle = [x(t + \tau) - x(t)]^2 + [y(t + \tau) - y(t)]^2$, where τ = time scale or time lag.

5.3 | Non-GLP nebulization study

To test the feasibility of nebulizing IN-006 across a range of concentrations, IN-006 was formulated at various concentrations from 5 to 60 mg/ml and nebulized using an InnoSpire Go VMN. Generally, USP <1601> was adhered to for generation of data and calculation of MMAD and geometric standard deviation (GSD). As discussed in this report, FPF refers to particles collected on Stages 4 and smaller of the NGI (<5.39 µm at 15 L/min). Briefly, the NGI (MSP Corp) and collection stages were precooled to 4°C for at least 90 min before experiments. The nebulizer was loaded with enough mAb solution to ensure replicates could be performed sequentially, while avoiding sputtering (i.e., remaining above the manufacturer's minimum recommended volume). A custom silicone mouthpiece molded to the nebulizer/NGI inlet interface was used to affix the nebulizer to the inlet with a tight seal. A solenoid in line with the NGI and vacuum (set to 15 L/min) was used to collect sufficient nebulized mAb at a given concentration. The nebulizer was actuated, and the solenoid was switched on to begin collection. Following nebulization, the vacuum and nebulizer were switched off and the NGI stages and inlet were removed. Quickly, the next set of stages and inlet were swapped in to perform a second replicate nebulization. To collect deposited mAb, stages were rinsed with 5 ml of the formulation buffer, matching the buffer of nebulized material, and assayed for mAb mass deposition at A280. APSDs were plotted as cumulative percentage of drug mass undersize against aerodynamic stage cut-off diameter for IN-006 on a log-probability scale. The MMAD, GSD, and FPF were determined from this data.

In assessing APSD, the MMAD was defined as the aerosol diameter cut-off at which 50% of the mass of drug was in larger aerosols and 50% was in smaller particles. The FPF is calculated as the mass of drug contained in particles smaller than ~5 µm divided by the total emitted dose to roughly estimate the fraction of nebulized therapeutic that would be delivered to the lower airways.

5.4 | ELISA for determining binding affinity pre- and post-nebulization in non-GLP studies

ELISA binding assays were performed using 96 well half-area plates (Costar 3690; Thermo Fisher Scientific) coated with 0.5 µg/ml of S protein and incubated overnight at 4°C (Figure 2). Plates were washed with PBS with 1:2000 Tween 20. ELISA plates were blocked the following day with 5% (wt/vol) milk in PBS with Tween 20 at a 1:2000 dilution at room temperature for 1 h. Samples and standard curves were diluted in 1% (wt/vol) milk in PBS with Tween 20 at a 1:10,000 dilution. Samples were incubated at room temperature for 1 h. Plates were washed with PBS containing Tween 20 at a 1:2000 dilution three times. The detection antibody was a peroxidase-conjugated goat anti-human IgG Fc antibody (709-1317; Rockland), used at a 1:5000 dilution in 1% milk in PBS, and was incubated at room temperature for 1 h. The solution was then discarded and washed three times. Plates were developed with TMB solution, and development was stopped by adding 2 N HCl. The absorbances at 450 nm (signal) and 570 nm (background) were then measured with a microplate photodetector (Thermo Fisher Scientific).

5.5 | DLS for determining aggregates in non-GLP study

To detect the presence of aggregates following nebulization, postnebulization samples were collected from the NGI and measured via DLS (Zetasizer; Malvern Instruments; particle quantitation limit 0.3 nm–10 µm). Postnebulized IN-006 samples were added to a cuvette at the collected concentration (~1 mg/ml after dilution during sample recovery), and particle size polydispersity and average diameters were determined using volume-weighted analyses.

5.6 | GLP nebulization study and characterization

Three InnoSpire Go devices were tested in triplicate into a cascade impactor (Copley NGI), operated with an extraction flow of 15 ± 0.5 L/min and temperature of 5 ± 2°C. Each device was loaded with a total of 4.2 ml of IN-006. Gravimetric weights were recorded to enable full mass balance calculations. Devices were operated for 90 s into the NGI. At the end of the run, samples were collected from all stages of the NGI and analyzed by UV microplate reader (A280). This nebulization method was used to determine delivered dose, APSD, and the collected samples were used to assess drug integrity following nebulization (e.g., ELISA, neutralization assay, and HPLC).

5.7 | SARS-CoV-2 RBD binding ELISA (for GLP nebulization characterization study)

In switching to these GLP assays, we also changed the antigen coat in ELISA assay from full Spike protein to Spike RBD that was produced

to meet the more rigorous characterization requirements of regulatory authorities (Figure 3b). Post-nebulized samples were serially diluted (1200–0.00239 ng/ml, 10 points) and added to a 96-well microplate previously coated with 0.05 µg/ml of SARS-CoV-2 RBD manufactured by Celltrion. The bound primary sample was detected using anti-human IgG Fc-HRP conjugate. The signal was measured after TMB (3,3',5,5'-tetramethylbenzidine) treatment and acid stopping. The optical density values were fitted using 4 parameter logistic regression analysis and the relative binding activity of samples to SARS-CoV-2 RBD was determined from comparison of the EC₅₀ value of samples and that of CT-P59 in-house reference standard by PLA software.

5.8 | Pseudovirus neutralization

SARS-CoV-2 spike mutant pseudovirus was produced by transfection into HEK-293T with plasmid mixture such as third-generation Lenti-viral packaging vectors pMDLg/pRRE, pRSV-Rev, pCDH-CMV-Nluc-copGFP-Puro, a dual reporter vector expressing luciferase and GFP, and the pCMV3-SARS-CoV-2 spike plasmid expressing the mutant SARS-CoV-2 spike prepared through site-directed mutagenesis. A mixture of serially diluted antibodies at threefold ratio from 100 to 0.005 ng/ml, along with pseudovirus diluted to a predetermined number of copies, was co-incubated for 1 h and then added to stably expressing human ACE-2 HEK293T cells which were seeded into a 96-well plate the day before the test. After 24 h of infection, the medium was replaced with fresh medium. At 72 h postinfection, pseudovirus neutralizing activity was measured using Passive lysis buffer (Promega) and Nano-Glo Luciferase Assay Reagent (Promega) according to the manufacturer's manual. Inhibition% was calculated based on the average value of relative luminescence units compare to the virus-only control. Finally, the IC₅₀ value was calculated using a nonlinear regression model by GraphPad Prism 5.0.

5.9 | Size exclusion chromatography, high pressure liquid chromatography

SEC was performed to evaluate the relative abundance of aggregates, monomers, and fragments under non-denaturing conditions for pre- and post-nebulization samples. This assay was performed using a Waters HPLC Alliance system on a TOSOH TSKgel G3000SWXL column (7.8 mm × 300 mm) using aqueous buffered mobile phase at ambient temperature. The isocratic elution profile at a constant flow rate 1.0 ml/min was monitored using UV detection at 214 nm.

5.10 | Ion exchange chromatography, high pressure liquid chromatography

IEC was performed to evaluate the distribution of charge variants pre- and post-nebulization samples using cation exchange chromatography. The Waters HPLC Alliance system was equipped with a BioPro

IEX SF analytical column (4.6 × 100 mm) at ambient temperature. Gradient NaCl elution was performed at a constant flow rate of 0.8 ml/min and UV signals were obtained at 280 nm.

5.11 | SVPs using light obscuration

The number of SVPs in pre- and post-nebulization samples were measured by light obscuration method using the high accuracy (HIAC) liquid particle counting system. Analysis was performed by HACH ULTRA analytics, HIAC 9703 liquid particle counter equipped with 1.0 ml syringe and small bore probe at ambient temperature. Processing was performed using PharmSpec software.

5.12 | GLP rat nebulization study

To determine the concentrations of IN-006 achievable in vivo following inhaled dosing, we treated male and female Sprague Dawley Rats (*Rattus norvegicus*, age ~12 weeks at start of treatment) with nebulized IN-006 daily in an inhalation chamber, for 7 days, using an Aero-neb Solo VMN. All studies conducted in rats were approved by CCAC and AAALAC under protocol number 76065 in line with SOPs for GLP work. The weights ranged from 318 to 463 g for males and 209 to 288 g for females. The animal room environment was controlled (targeted ranges: temperature 21 ± 3°C, relative humidity 50 ± 20%, 12 h light, 12 h dark, a minimum of 10 air changes per hour) except during designated procedures such as during out of hours blood collections. Temperature and relative humidity were monitored continuously. A standard certified commercial rodent chow (Envigo Global 18% Protein Rodent Diet #2018C) was provided to the animals ad libitum except during designated procedures such as those requiring removal of the animal from the home cage.

A minimum 2-week acclimation period was allowed between receipt of the animals and the start of treatment to accustom the rats to the laboratory environment. For respiratory parameters, five main male animals were conditioned to restraint tubes used for the respiratory function measurements in order to accustom the animals to the experimental procedures. This conditioning occurred over a minimum 10-day period before test/control item administration and was performed for a period of 30 min per day.

Any reaction noted during acclimation to the restraint tubes was noted.

The exposure system used was a flow-past rodent inhalation exposure system that allowed for inhalation by nose-only exposure. The aerosol produced by the Aeronneb Solo nebulizers was discharged through a 40-mm diameter tube into a flow-past inhalation exposure system. The airflow rate through the exposure system was monitored and recorded manually during the aerosol generation. Airflow to the exposure system was controlled by the absolute volume of air supplying the aerosol generators using variable area flow meters. Control of the aerosol exhaust flow from the animal exposure system was achieved using a diaphragm valve, and the overall balance of airflows

in the exposure system was monitored using pressure gauges. The system (with a total of 48 ports opened) provided a minimum of 0.6 L/min atmosphere to each animal exposure port and was balanced to ensure a slight positive pressure at the site of the animal exposure. This ensured that there was no dilution of the generated aerosol. Stability of nebulized IN-006 in this exposure system was verified by collecting a sample of aerosolized material at one of the exposure ports and validating maintenance of anti-SARS-CoV-2 binding activity (via RBD-coated ELISA with EC₅₀ within 20% of prenebulization control). Exposure at a dosing level of 10 or 40 mg/kg per day was achieved by first determining the rate of IN-006 output in the exposure chamber through sampling aerosolized material using a glass fiber filter (Whatman glass microfiber filters, Grade GF/A circles). Then, we chose a corresponding duration of exposure to IN-006 nebulized at 24 mg/ml to achieve 10 or 40 mg/kg per day (i.e., we changed duration of exposure to IN-006 treatment and did not change the formulation concentration of IN-006 to achieve different dosages).

All animals were observed daily for mortality and clinical observations. Parameters monitored in main animals included mortality, clinical observations, body weight and food consumption and clinical pathology (clinical chemistry, hematology, coagulation, and urinalysis) assessed on Day 7, a FOB on Day 1 for five main animals, respiratory on Days 1 and 7 for five main animals and ophthalmology parameter assessments once at the end of the treatment period. All Main animals were euthanized upon completion of the treatment period, and selected tissues were weighed, retained, processed, and histopathological evaluation was performed on the selected tissues from all main animals. In addition, blood samples for toxicokinetic evaluation were collected on Days 1 and 7 from selected Toxicokinetic animals for drug analysis. Broncho-alveolar lavage samples from the right lobe were collected from selected Toxicokinetic animals for drug analysis on Day 7 at 8- and 12-h postexposure. Lung samples collected from the left lobe were also collected Day 7 at 8- and 12-h postexposure from Toxicokinetic animals for possible future drug analysis. The Recovery animals were kept for a 7-day treatment-free recovery period. Clinical observations, body weight, food consumption, and clinical pathology (clinical chemistry, hematology, coagulation, and urinalysis) were made during the recovery period on all recovery animals. Upon completion of the recovery period, the recovery animals were euthanized and their tissues were weighed, retained, and processed, and histopathological evaluation was performed. Tissues were collected from all control and high dose main animals (Groups 1 and 4) including respiratory tract tissues (including carina, nasal cavity, nasopharynx, larynx, trachea, lungs and bronchi, and tracheobronchial lymph node) and all gross lesions from all main and recovery animals.

5.13 | Urea measurement for determination of BALF dilution factor

We sought to determine the extent to which the BALF samples were diluted during collection (due to rinsing of the lungs with saline). During this collection process, the airway fluid is inherently diluted,

artificially decreasing the apparent concentration of therapeutics in the recovered BALF. We therefore measured the concentration of urea in the BALF samples and in the serum samples to determine the average extent of dilution (before dilution, urea concentrations are equal in the lung fluid and in the serum, allowing for the calculation of a dilution factor⁶⁴). On average, the urea concentrations in the BALF were 31.4-fold lower than those measured in the serum, suggesting an approximate dilution factor of 31.4× during BALF collection. The concentrations of urea in BALF and serum were measured using a commercial kit (ab83362; Abcam). The kit allows quantification of urea in a variety of biological samples such as serum, BALF, and urine. Samples of rat serum or BALF were diluted in a range of 1:50–1:200 for serum, or 1:10–1:20 for BALF, and the apparent concentrations of urea were determined through measurement of absorbance at 570 nm and comparison to a linear standard curve with standards between 0.5 nmol urea per well to 5 nmol urea per well. Fold-dilution of BALF was calculated as the concentration of urea in serum divided by the concentration of urea in BALF. Example: serum urea concentration (4 mM), BALF urea concentration (0.1 mM): fold-dilution of BALF (4 mM/0.1 mM = 40 × dilution factor).

AUTHOR CONTRIBUTIONS

Morgan D. McSweeney: Conceptualization (equal); data curation (equal); formal analysis (equal); investigation (equal); methodology (equal); visualization (equal); writing – original draft (equal); writing – review and editing (equal). **Ian Stewart:** Investigation (equal); methodology (equal). **Zach Richardson:** Investigation (equal). **Hyunah Kang:** Formal analysis (equal); investigation (equal); methodology (equal). **Yoona Park:** Formal analysis (equal); investigation (equal); methodology (equal). **Cheolmin Kim:** Formal analysis (equal); investigation (equal); methodology (equal). **Karthik Tiruthani:** Formal analysis (equal); investigation (equal); methodology (equal). **Whitney Wolf:** Investigation (equal); methodology (equal). **Alison Schaefer:** Investigation (equal); methodology (equal). **Priya Kumar:** Investigation (equal); methodology (equal). **Harendra Aurora:** Investigation (equal); methodology (equal). **Jeff Hutchins:** Project administration (equal); supervision (equal). **Jong Moon Cho:** Project administration (equal); supervision (equal). **Anthony J. Hickey:** Conceptualization (equal); project administration (equal); supervision (equal). **Soo Young Lee:** Project administration (equal); supervision (equal). **Samuel K. Lai:** Conceptualization (equal); funding acquisition (equal); methodology (equal); supervision (equal); writing – original draft (equal); writing – review and editing (equal).

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not be interpreted as necessarily representing the official policies or endorsements, either expressed or implied, of the U.S. Government or other funding organizations. The U.S. Government is authorized to reproduce and distribute reprints for Governmental purposes notwithstanding any copyright notation thereon.

CONFLICT OF INTEREST

Morgan D. McSweeney, Zach Richardson, and Jeff Hutchins are employees of Inhalon Biopharma/Mucommune, and hold shares in Inhalon Biopharma. Hyunah Kang and Cheolmin Kim are employees of Celltrion, Inc. Samuel K. Lai is founder of Mucommune, LLC and currently serves as its interim CEO. Samuel K. Lai is also founder of Inhalon Biopharma, Inc, and currently serves as its CSO as well as on its Board of Director and Scientific Advisory Board. Samuel K. Lai has equity interests in both Mucommune and Inhalon Biopharma; Samuel K. Lai's relationships with Mucommune and Inhalon are subject to certain restrictions under University policy. The terms of these arrangements are managed by UNC-CH in accordance with its conflict of interest policies.

PEER REVIEW

The peer review history for this article is available at <https://publons.com/publon/10.1002/btm2.10391>.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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