1 Genetic-Dependent Brain Signatures of Resilience: Interactions

2 among Childhood Abuse, Genetic Risks and Brain Function

Han Lu^{1, 2}, Edmund T. Rolls³, Hanjia Liu¹, Dan J. Stein⁴, Barbara J. Sahakian^{5, 6}, Rebecca Elliott⁷,

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4 Authors:

Tianye Jia¹, Chao Xie¹, Shitong Xiang¹, Nan Wang⁸, Tobias Banaschewski⁹, Arun L.W. Bokde¹⁰, 6 Sylvane Desrivières¹¹, Herta Flor^{12, 13}, Antoine Grigis¹⁴, Hugh Garavan¹⁵, Andreas Heinz¹⁶, Rüdiger 7 Brühl¹⁷, Jean-Luc Martinot¹⁸, Marie-Laure Paillère Martinot¹⁹, Eric Artiges²⁰, Frauke Nees^{9,12,21}, 8 Dimitri Papadopoulos Orfanos¹⁴, Herve Lemaitre²², Luise Poustka²³, Sarah Hohmann²⁴, Nathalie Holz⁹, 9 Juliane H. Fröhner²⁵, Michael N. Smolka²⁵, Nilakshi Vaidya²⁶, Henrik Walter¹⁶, Robert Whelan²⁷, 10 11 Gunter Schumann^{26,28}, Jianfeng Feng^{1,29}, Qiang Luo^{1,2,30,*}, for the IMAGEN Consortium. 12 13 Affiliations: 14 ¹ National Clinical Research Center for Aging and Medicine at Huashan Hospital, MOE Key 15 Laboratory of Computational Neuroscience and Brain-Inspired Intelligence, Institute of Science and 16 Technology for Brain-Inspired Intelligence, Fudan University, Shanghai 200433, China. 17 ² State Key Laboratory of Medical Neurobiology and Ministry of Education Frontiers Center for Brain 18 Science, Human Phenome Institute, Shanghai 200438, China. 19 Oxford Centre for Computational Neuroscience, Oxford, UK; Department of Computer Science, 20 University of Warwick, Coventry, UK. 21 22 Department of Psychiatry and Mental Health, University of Cape Town, Cape Town, South Africa. Department of Psychiatry, University of Cambridge, Cambridge CB2 0SZ, UK. 23 ⁶ Behavioural and Clinical Neuroscience Institute, Department of Psychology, University of Cambridge, 24 Cambridge, CB2 3EB, UK. 25 Department of Psychology and Mental Health, University of Manchester, Manchester, Greater 26 Manchester, UK. 27 ⁸ Student Affairs Department, Fudan University, Shanghai 200433, China 28 ⁹ Department of Child and Adolescent Psychiatry and Psychotherapy, Central Institute of Mental Health, 29 Medical Faculty Mannheim, Heidelberg University, Square J5, 68159 Mannheim, Germany. 30 ¹⁰Discipline of Psychiatry, School of Medicine and Trinity College Institute of Neuroscience, Trinity 31 College Dublin, Dublin, Ireland; Centre for Population Neuroscience and Precision Medicine (PONS), Institute of Psychiatry, 32 33 Psychology & Neuroscience, SGDP Centre, King's College London, United Kingdom; 34 ¹² Institute of Cognitive and Clinical Neuroscience, Central Institute of Mental Health, Medical Faculty 35 Mannheim, Heidelberg University, Square J5, Mannheim, Germany; ¹³ Department of Psychology, School of Social Sciences, University of Mannheim, 68131 Mannheim, 36 37 Germany: 38 ¹⁴ NeuroSpin, CEA, Université Paris-Saclay, F-91191 Gif-sur-Yvette, France; 39 ¹⁵ Departments of Psychiatry and Psychology, University of Vermont, 05405 Burlington, Vermont, 40 USA. 41 ¹⁶ Department of Psychiatry and Psychotherapy CCM, Charité – Universitätsmedizin Berlin, corporate 42 member of Freie Universität Berlin, Humboldt-Universität zu Berlin, and Berlin Institute of Health, 43 Berlin, Germany;

- ¹⁷ Physikalisch-Technische Bundesanstalt (PTB), Braunschweig and Berlin, Germany;
- ¹⁸ Institut National de la Santé et de la Recherche Médicale, INSERM U 1299 "Trajectoires
 développementales & psychiatrie", University Paris-Saclay, CNRS; Ecole Normale Supérieure
 Paris-Saclay, Centre Borelli; Gif-sur-Yvette, France
- ¹⁹ Institut National de la Santé et de la Recherche Médicale, INSERM U 1299 "Trajectoires
 développementales & psychiatrie", University Paris-Saclay, CNRS; Ecole Normale Supérieure
 Paris-Saclay, Centre Borelli; Gif-sur-Yvette; and AP-HP. Sorbonne University, Department of Child
- 51 and Adolescent Psychiatry, Pitié-Salpêtrière Hospital, Paris; France
- 52 ²⁰ Institut National de la Santé et de la Recherche Médicale, INSERM U 1299 "Trajectoires

- 53 développementales & psychiatrie", University Paris-Saclay, CNRS; Ecole Normale Supérieure
- Paris-Saclay, Centre Borelli; Gif-sur-Yvette; and Psychiatry Department, EPS Barthélémy Durand,
 Etampes; France.
- ²¹ Institute of Medical Psychology and Medical Sociology, University Medical Center Schleswig
 Holstein, Kiel University, Kiel, Germany
- ²² Institut des Maladies Neurodégénératives, UMR 5293, CNRS, CEA, Université de Bordeaux, 33076
 Bordeaux, France;
- ²³ Department of Child and Adolescent Psychiatry and Psychotherapy, University Medical Centre
 Göttingen, von-Siebold-Str. 5, 37075, Göttingen, Germany;
- 62 ²⁴ Department of Child and Adolescent Psychiatry, Psychotherapy and Psychosomatics, University
- 63 Medical Center Hamburg-Eppendorf, Hamburg, Germany.
- ⁶⁴ ²⁵ Department of Psychiatry and Neuroimaging Center, Technische Universität Dresden, Dresden,
 ⁶⁵ Germany;
- ²⁶ Centre for Population Neuroscience and Stratified Medicine (PONS), Department of Psychiatry and
 Neuroscience, Charité Universitätsmedizin Berlin, Germany
- ²⁷ School of Psychology and Global Brain Health Institute, Trinity College Dublin, Ireland;
- 69 ²⁸ Centre for Population Neuroscience and Precision Medicine (PONS), Institute for Science and
- 70 Technology of Brain-inspired Intelligence (ISTBI), Fudan University, Shanghai, China
- ²⁹ Department of Computer Science, University of Warwick, Coventry, UK.
- ³⁰ Shanghai Research Center of Acupuncture & Meridian, Shanghai 200433, China
- 73 74 75

5 * Corresponding Author:

- 76 Qiang Luo, Ph.D., National Clinical Research Center for Aging and Medicine at Huashan Hospital,
- 77 MOE Key Laboratory of Computational Neuroscience and Brain-Inspired Intelligence, Institute of
- 78 Science and Technology for Brain-Inspired Intelligence, Fudan University, Shanghai 200433, China
- 79 Tel: +86-21-65648454
- 80 E-mail: <u>qluo@fudan.edu.cn</u>
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86 Summary

Resilience to emotional disorders is critical for adolescent mental health, especially 87 88 following childhood abuse. Yet, brain signatures of resilience remain undetermined 89 due to the differential susceptibility of the brain's emotion processing system to 90 environmental stresses. Analyzing brain's responses to angry faces in a longitudinally 91 large-scale adolescent cohort (IMAGEN), we identified two functional networks 92 related to the orbitofrontal and occipital regions as candidate brain signatures of 93 resilience. In girls, but not boys, higher activation in the orbitofrontal-related network 94 was associated with fewer emotional symptoms following childhood abuse, but only 95 when the polygenic burden for depression was high. This finding defined a 96 genetic-dependent brain (GDB) signature of resilience. Notably, this GDB signature 97 predicted subsequent emotional disorders in late adolescence, extending into early 98 adulthood and generalizable to another independent prospective cohort (ABCD). Our 99 findings underscore the genetic modulation of resilience-brain connections, laying the 100 foundation for enhancing adolescent mental health through resilience promotion.

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102 Keywords: brain signatures of resilience, childhood abuse, genetic-dependent,

103 emotional disorders, brain function, emotion processing

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157 Author contributions

- 158 Q.L. has full access to all the data in the study and takes responsibility for the
- 159 integrity of the data and the accuracy of the data analysis. H.L. and HJ.L. designed
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168 **Declaration of interest**

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180 Introduction

Resilience, which is crucial for mental health, refers to the capacity for positive 181 182 adaptation in coping with stress¹. Childhood abuse (e.g., emotional abuse, physical abuse and sexual abuse), affecting over a billion people globally², heightens the risk 183 of emotional disorders such as depression and anxiety³. These disorders have been 184 185 linked to dysfunctions in the brain's emotion processing system (e.g., brain regions activated during emotion perception and emotion regulation)⁴, which is influenced by 186 genetics during adolescent brain development^{5,6}. Advanced knowledge of the genetic 187 188 influences on resilience-brain associations can enhance prediction of emotional 189 disorders following childhood abuse and aid in accurately identifying vulnerable 190 individuals to facilitate early intervention.

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192 In population-based neuroimaging studies, instead of categorizing resilient individuals 193 from vulnerable ones, a neuroimaging marker (*i.e.*, a brain signature) of resilience is 194 often detected by an association where a higher level of this marker is associated with fewer emotional symptoms following childhood abuse^{1,7}. This is highly relevant since 195 196 there is an extensive literature showing that more emotional symptoms during childhood and adolescence are associated with higher risks (odds ratio = 1.85) of 197 developing major depressive disorders during adulthood⁸. Previous studies of the 198 199 brain's signatures of resilience often focused on the fronto-limbic regions (e.g., the 200 orbitofrontal cortex (OFC), medial prefrontal cortex, anterior cingulate cortex, 201 amygdala, etc.)⁹. However, current findings in the literature are far from conclusive. For example, both hyper-¹⁰ and hypo-¹¹ responses of the amygdala to negative 202 203 emotional stimuli have been associated with fewer emotional symptoms following 204 childhood abuse. Another example is that stronger spontaneous OFC activation has 205 been associated with higher resilience as measured by the Connor-Davidson resilience scale in boys, but lower in girls ¹². One source of these inconsistencies is that 206 207 resilience can be built from optimized functions of various brain regions in different 208 individuals as long as these optimizations can enhance the brain's capability of

209 emotion processing¹³. Therefore, instead of individual brain regions, the brain's
210 signatures of resilience might be better identified by the brain's functional networks
211 for emotion processing.

212

As hypothesized by the differential susceptibility theory ¹⁴, another source of these 213 214 inconsistencies arises from the complex three-way interactions among childhood 215 abuse, the brain's emotion processing system, and genetic risk for depression. In the 216 literature, various genetic variations in depression-related genes, such as 5HTTLPR¹⁵ and FKBP5¹⁶, interact with childhood maltreatment and alter the functional 217 218 connectivity of the amygdala within the brain's emotion circuit. A high polygenic risk 219 score for major depressive disorder (PRS_{MDD}) has been reported to interact with 220 childhood trauma, increasing the susceptibility to developing more emotional 221 symptoms¹⁷. Therefore, it is possible to detect a genetic-dependent brain signature of 222 resilience (GBDSR) by a three-way interaction, where PRS_{MDD} modulates the 223 association between a higher level of this brain signature and fewer emotional 224 symptoms following childhood abuse. However, previously the understanding of the 225 three-way interaction was limited, mainly due to the lack of neuroimaging data with a 226 sufficiently large sample size activating the brain's emotion processing system. The IMAGEN study, a large-scale neuroimaging cohort ¹⁸, used the emotional face task in 227 228 a functional magnetic resonance imaging experiment to probe the brain's emotion 229 processing system¹⁹.

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231 To address the above problems, we aim to answer the following four main questions 232 regarding the brain signatures of resilience to developing more emotional symptoms 233 following childhood abuse in the context of genetic predispositions for depression 234 (Figure 1). (1) Can we isolate distinct functional networks in the brain's emotion 235 processing system as candidate signatures for resilience? (2) Can we identify the 236 GDBSR by detecting significant three-way interactions among these functional 237 networks, childhood abuse and PRS_{MDD} in relation to emotional symptoms? (3) To be 238 clinically relevant, can these identified GDBSR predict subsequent emotional

- 239 disorders following childhood abuse? (4) Are these predictions generalizable to other
- 240 developmental stages and independent datasets?
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- 242

243 **Results**

244 Summary of experimental steps

245 Using a large longitudinal sample of adolescents at ages 14.42±0.41 and 19.02±0.75 years old (i.e., the IMAGEN cohort¹⁸, N=809, 430 girls), we first decomposed brain 246 247 responses to angry faces into distinct functional networks as the candidate signatures 248 for resilience by sparse non-negative matrix factorization (sNMF). We also 249 characterized these networks in terms of neuroanatomy, function, development, and 250 sex difference. Second, we examined the genetic modulation of the resilience-brain 251 associations by testing the three-way interaction on emotional symptoms, involving 252 the candidate networks, childhood abuse and polygenic risk score for depression 253 (PRS_{MDD}). The GDB signatures of resilience can be identified when the 254 PRS_{MDD}-by-network reduces the impact of childhood abuse on emotional symptoms. 255 Third, we built prediction models using the identified GDB signature of resilience at 256 age 14 to predict emotional disorders at age 19. Finally, we tested the generalizability 257 of the prediction models using both the latest follow-up data at age 23 in the 258 IMAGEN cohort and another independent cohort, namely the Adolescent Brain Cognitive Development (ABCD) cohort ²⁰ (Figure 1). 259

260

261 Identification of two functional networks as candidate signatures for resilience

The brain's emotion processing system was activated by an fMRI face task¹⁸. We analyzed the angry>neutral contrast map for activations responding to angry faces higher than those to neutral faces (Figure 1b). By applying the sNMF with optimal parameters to these brain activation data (Figure S2), we identified two distinct networks as the candidate signatures for resilience, including the orbitofrontal- and occipital-related networks (Figures 2b). The orbitofrontal-related network mainly

268 covered the lateral orbitofrontal cortex (OFC), ventromedial prefrontal cortex 269 (vmPFC), medial superior prefrontal cortex, anterior cingulate cortex (ACC), 270 precuneus, posterior cingulate cortex and dorsolateral prefrontal cortex (dlPFC). The 271 occipital-related network was mainly located in visual cortical regions: the lingual 272 gyrus, cuneus, part of the inferior occipital gyrus (including the occipital face area, 273 OFA), fusiform gyrus (including the fusiform face area, FFA), insula, amygdala, and 274 Heschl's gyrus (Figure 2c, Table S1). Using a database of brain functions (i.e., the 275 NeuroSynth), we found that the orbitofrontal-related network was mainly related to 276 high-level cognitive terms, such as episodic memory, memory retrieval and 277 self-reference, while the occipital-related network showed associations with 278 perceptual terms, such as vision and perception (Figure 2d). Furthermore, by 279 conducting gene set enrichment analysis, we found that the orbitofrontal-related 280 network but not the occipital-related network was associated with the dopaminergic 281 synapse pathway (Figure S4, S5).

282

283 Sex differences in these networks

284 Sex differences in neurodevelopmental patterns of the brain's emotion processing system may yield distinct brain signatures of resilience for boys and girls²¹. 285 286 Therefore, we explored the sex differences of the candidate signatures for resilience 287 and found significant sex differences in these two networks at age 19 years and in 288 their developmental trajectories between ages 14 and 19 years. Compared with boys 289 at age 19, we found that the network activation (i.e., the factor weight) of the 290 occipital-related network smaller in was girls 291 $(\beta = -0.230, 95\% \text{ CI} = [-0.369, -0.090], p = 0.001$; Table S2). During the 5-year 292 follow-up period, we found that the activation of the orbitofrontal-related network increased in both boys ($\eta_p^2 = 0.012$, F = 4.509, p = 0.034) and girls ($\eta_p^2 =$ 293 294 0.010, F = 4.142, p = 0.042). Meanwhile, the activation of the occipital-related network significantly increased in boys ($\eta_p^2 = 0.012$, F = 4.593, p = 0.033) but not 295 in girls (p = 0.643; Tables S3-4). Our results highlighted the importance of exploring 296 297 the brain signatures of resilience for boys and girls respectively.

298

299 Genetic-dependent brain signatures of resilience

300 As expected, higher levels of childhood abuse were associated with more emotional 301 symptoms at age 19 in both boys (β =0.205, 95% CI=[0.091, 0.319], p=0.0004, 302 N=379) and girls (β =0.146, 95%CI=[0.059, 0.234], p=0.001, N=430). Indeed, we 303 found significant three-way interactions among childhood abuse, PRSMDD, and both 304 the activations of the orbitofrontal-related (W=0.989, p=0.159 in the Wilk-Shapiro 305 test; $\beta = -0.128,95\%$ CI = [-0.224, -0.031], p = 0.009 for the linear regression 306 model) and the occipital-related networks (W=0.980, p=0.118 in the Wilk-Shapiro test; 307 $\beta = -0.148,95\%$ CI[-0.253, -0.043], p = 0.005 for the linear regression model) in 308 predicting emotional symptoms in girls at age 19 (Table S5). For illustration purposes, 309 childhood abuse was binarized by clinical cut-offs to indicate exposure and 310 non-exposure. High and low PRS_{MDD} were determined by a median split, as were high 311 and low network activation. Decomposing the interaction concerning the 312 orbitofrontal-related network revealed that among the individuals carrying high 313 PRS_{MDD}, higher activation of this network was associated with fewer emotional 314 symptoms following childhood abuse (Figure 3a). Therefore, among girls, high 315 PRS_{MDD} together with high activation of the orbitofrontal-related network defined a 316 GDBSR. Similarly, we found that low PRS_{MDD} together with low activation of the 317 occipital-related network defined another GDBSR for girls (Figure 3b). No such 318 genetic modulations were significant in boys, and therefore we focused on girls in the 319 following analyses.

320

321 Sensitivity analyses

The three-way interactions identified above remained significant in the following sensitivity analyses. First, these interactions were confirmed when the childhood abuse was binarized by clinical cut-offs (Table S6). Second, these interactions remained significant after additionally controlling for the age, childhood neglect, IQ

and substance use (Table S7). Next, these interactions were specific to emotional symptoms only and were not significant for the other four types of behavioral problem scores in the SDQ. Finally, these interactions on the emotional symptoms were specific to PRS_{MDD} and were not significant for either PRS_{ADHD} or PRS_{SCZ} (Table S8).

331

332 Prospective analyses of the genetic-dependent brain signature of resilience

333 We used the cross-lagged panel model to delineate the directionality in the 334 associations between network activations and emotional symptoms. After adjusting 335 for both childhood abuse and PRS_{MDD}, we found only one significant directionality in 336 girls from the orbitofrontal-related network at age 14 to emotional symptoms at age 337 19 ($\beta = 0.015,95\%$ CI = [0.002, 0.027]; Figure S6). This finding was confirmed by 338 the prospective prediction model using this network at age 14 to predict the increase 339 in emotional symptoms during the 5-year follow-up period ($\beta = 0.128,95\%$ CI = 340 [0.029, 0.227]; p = 0.010 by 1000 permutations), but not the other way around 341 (Figure S7; Table S9). In summary, these results implied the potential predictability of 342 the orbitofrontal-related network for emotional disorders following childhood abuse 343 for individuals carrying high genetic risks for depression.

344

345 Prediction of emotional disorders using the genetic-dependent brain signature of 346 resilience

347 We built machine learning models (i.e., the support vector machine) using data at age 348 14 to predict emotional disorders at age 19 (See Methods for more details). The 349 baseline model considered the following variables: childhood abuse, emotional 350 symptom score, sites of data collection, handedness, pubertal status, socioeconomic 351 status, and BMI. Based on the orbitofrontal-related GDBSR identified above, we also 352 built a GDBSR model by adding the network activation and its interaction with 353 childhood abuse into the baseline model. By the 5-fold cross-validation with 10 354 repetitions, we found that among girls with high PRS_{MDD} (N = 215, of whom 105 355 were cases) using the GDBSR model outperformed the baseline model

356 (*AUC*: 0.757 ± 0.059 , $\Delta AUC = 0.016$; t_{49} = 3.462, p = 0.001; Table 2). As a control 357 condition, the GDBSR model could not improve the prediction accuracy for the girls 358 with low polygenic risks for depression (N = 215, of whom 85 were cases; Table 2).

359

360 Prediction model was extended to early adulthood

To test whether the predictability of the GDBSR for emotional disorders can be extended to early adulthood, we used the data at age 19 to predict emotional disorders age 23 in the IMAGEN cohort. We confirmed that the GDBSR model again outperformed the baseline model for girls with high PRS_{MDD} (N=128, of whom 63 were cases; $AUC: 0.748 \pm 0.014$, $\Delta AUC = 0.011$; $t_{49}= 8.563$, p < 0.001; Table 2).

366

367 **Prediction model was generalizable to the ABCD cohort**

368 To test the generalizability of the above finding to independent samples, we used the population-based ABCD cohort²⁰. Applying the matrix factorization established above 369 370 using the IMAGEN sample to the brain activations measured by the negative>neutral 371 contrast of the EN-back task in the ABCD cohort²², we estimated the activations of 372 the orbitofrontal- and occipital-related networks. Again, as compared with the 373 baseline model, the GDBSR model using the orbitofrontal-related network at age 10 374 improved the prediction of emotional disorders at age 11 among the girls with high 375 polygenic risks for depression (N=739, of whom 118 were cases; $AUC: 0.856 \pm$ 376 $0.035, \Delta AUC = 0.009; t_{49} = 4.248, p < 0.001;$ Table 2).

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- 378

379 **Discussion**

Using a discovery sample, a validation sample and another independent test cohort, the current study revealed genetic-dependent brain signatures of resilience. To identify functional networks as candidates for brain signatures of resilience, we used the sNMF approach and decomposed the brain responses to angry faces into the activations of only two distinct networks, the orbitofrontal- and occipital-related

385 networks. These networks had different developmental patterns and significant sex 386 differences. For girls, but not boys, we found two GDBSR, including one defined by 387 high activations of the orbitofrontal-related network together with high polygenic 388 burden for depression, and the other one defined by low activations of the 389 occipital-related network together with low polygenic burden for depression. We 390 found only the orbitofrontal-related signature had the prospective association with 391 emotional symptoms, and this signature at age 14 predicted emotional disorders at age 392 19. Notably, this prediction was extendable into early adulthood and generalizable to 393 another independent cohort. These findings highlighted the genetic modulation of the 394 orbitofrontal function for resilience, laying the foundation for enhancing adolescent 395 mental health through resilience promotion.

396

397 Our findings discovered two separable and interacting networks processing the angry 398 facial expressions in adolescents. Existing literature has hypothesized that there are 399 multiple interconnected emotional circuits in the brain for facial emotion processing 23 , 400 and these systems have hierarchically developmental trajectories during adolescence²⁴. 401 Here, combined a longitudinally functional neuroimaging sample of the emotional 402 face task for adolescents with an advanced matrix factorization approach, we 403 identified a two-network system underlying the angry face processing. Many key parts of the orbitofrontal-related network, including the $vmPFC^{25}$, the ACC²⁶ and the 404 lateral OFC²⁷, have long been implicated in the neural representations of negative 405 emotion²⁸. Notably, this network covering more than 80% of the lateral OFC but less 406 407 than 23% of the medial OFC (Table S1) provided a strong evidence supporting the theory of the positive-to-negative gradient in the medial-to-lateral OFC^{29} . Meanwhile, 408 409 the occipital-related network is well supported by a 2022 meta-analysis of 141 fMRI 410 studies showing the occipital cortex as a key part of the facial emotion processing 411 system³⁰. Longitudinally, the medial prefrontal activity in the orbitofrontal-related 412 network implicated in emotion regulation grows throughout adolescence³¹, while the 413 occipital activity including those in the face-selective regions (i.e., the fusiform gyrus) 414 in the occipital-related network often shows substantial developmental changes before

415 adolescence³². These changes in the two-network facial emotion processing system
416 may confer some adaptive advantages, such as greater flexibility in adjusting one's
417 intrinsic motivations and goal priorities amidst changing social contexts in
418 adolescence.

419

420 The current findings emphasize the key role of genetic modulations in the brain's 421 capability of resilience. Previous studies have reported inconsistent findings on the 422 relationship between the brain's facial emotion processing system and resilience ^{10,11}. 423 This inconsistency may be partially explained by our finding of the genetic 424 modulation. Such modulation is not so surprising as the genetic risks for depression 425 have already been associated with both structures and functions of the brain's facial 426 emotion processing system³³. Our finding of the resilience-related advanced 427 maturation of the orbitofrontal function provided strong evidence of the stress acceleration hypothesis for resilience³⁴. The stronger function 428 of the 429 orbitofrontal-related network, including the dlPFC, OFC and hippocampus, may be 430 linked to resilience through a better neurocognitive function of the top-down suppression of traumatic memories³⁵. This link was further supported by a clinical 431 432 rTMS study of patients with MDD, where depression symptoms were ameliorated through enhanced activations in both OFC and hippocampus³⁶. This is also supported 433 434 by the overlap between this network and the default mode network (DMN), 435 particularly medial frontoparietal regions, which have been implicated in remembering the past and self-referencing ³⁷. In an imaging genetic study, the 436 437 alterations of the DMN have been associated with both childhood trauma and the gene expression of SLC6A4³⁸. Furthermore, our enrichment finding of the dopaminergic 438 439 synapse pathway provided a neurobiological link between the orbitofrontal-related network and the dopaminergic signature of resilience³⁹. Our finding of non-significant 440 441 three-way interactions in boys may be due to the fact that boys have fewer emotional 442 symptoms at age 19 when compared with girls (β =-0.668, 95%CI=[-0.798, -0.537], 443 p < 0.001 in the IMAGEN sample)⁴⁰.

445 Our findings also have significant clinical implications for promoting adolescent 446 mental health. One step beyond the association, the unidirectional cross-lagged 447 association from the orbitofrontal-related network to emotional symptoms indicated 448 the possibility of building resilience through enhancing the function of this network. 449 Our findings using the validation sample and the independent sample further show 450 that the time window for this intervention is open at least from preadolescence to late 451 adolescence. Recently, neurofeedback trainings, such as the real-time fMRI feedback training of OFC⁴¹ and amygdala⁴², have been used to enhance emotion regulation 452 453 skills and reduce emotional symptoms. However, the intervention results are mixed. 454 Our findings suggest that the OFC-targeted interventions might be particularly 455 effective for those individuals carrying high genetic risks for depression. Therefore, 456 the genetic-informed and neuroimaging-targeted approach might offer a promising 457 way of promoting adolescent mental health.

458

459 The current study is not without limitations. First, we focused only on the brain 460 function of the facial emotion processing. Future studies are needed to test the 461 generalizability of our findings to other types of emotional processing, which might 462 lead to the discovery of additional brain signatures for resilience. Second, apart from 463 the covariates considered in the current study, many other psychosocial and 464 environmental factors (e.g., intervention program, school engagement, etc.) can also contribute to the recovery from the exposure to childhood abuse 43 . Future researches 465 466 with comprehensively characterized information of these factors are needed to assess 467 the effects of these factors on resilience. Third, the clinical value of building 468 resilience through the genetic-informed and neuroimaging-targeted intervention 469 strategy needs to be confirmed by randomized clinical trials.

470

Taken together, our study uncovered genetic-dependent brain signatures of resilience.

472 This work emphasizes that the brain mechanisms underlying resilience might be473 better understood in the context of environment-gene-brain interactions.

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475

476 Figure legends







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490

491 Figure 2 Identification of two networks as candidate signatures of resilience. (a)

492 Brain responses to angry faces were decomposed into functional networks and

493 corresponding network activation. (b) Brain maps represent the orbitofrontal-related

494 network and the occipital-related network. The bright color indicates a high

495 contribution at the spatial location of the network. (c) The voxel proportion of AAL2

496 regions covered by these two networks. CING, cingulate cortex; INS, insula; SM,

497 sensorimotor. (d) NeuroSynth decoding of the networks. The lollipop charts show the

498 correlation coefficients for each network with the top 10 terms.





501 Figure 3 Identification of the GDBSR. Three-way interaction effects. For illustration 502 purposes, childhood abuse was dichotomized into exposure and non-exposure based on 503 clinical cut-offs (Methods). PRS_{MDD} levels were categorized into high and low using a 504 median split. In the bottom of each panel, network activation was also dichotomized 505 into high and low using a median split. (a) The GDBSR was identified by high 506 activations of the orbitofrontal-related network together with high PRS_{MDD} . (b) The 507 GDBSR was defined by low activations of the occipital-related network together with 508 low PRS_{MDD}. * represents p<0.05.

510 Tables

511 **Table 1 Demographic characteristics of the IMAGEN sample in this study**

Variables	Age 14 (N=809)	Age 19 (N=809)	
Age, Years	14.42 (±0.41)	19.02 (±0.75)	
Sex, Male, n (%)	379 (46.85%)	379 (46.85%)	
BMI	20.59 (±3.19)	22.76 (±3.97)	
Social Economic Status	2.61 (±2.39)	2.61 (±2.39)	
Hand, Right, n (%)	696 (86.03%)	696 (86.03%)	
Research Site (%)			
London	146 (18.05%)	146 (18.05%)	
Nottingham	107 (13.23%)	107 (13.23%)	
Dublin	51 (6.30%)	51 (6.30%)	
Berlin	73 (9.02%)	73 (9.02%)	
Hamburg	111 (13.72%)	111 (13.72%)	
Mannheim	97 (11.99%)	97 (11.99%) 117 (14.46%)	
Paris	117 (14.46%)		
Dresden	107 (13.23%)	107 (13.23%)	
Pubertal status	4.14 (±0.97)		
Childhood abuse	2.49 (±4.04)	2.49 (±4.04)	
PRS _{MDD}	-0.001(±8e-05)	-0.001(±8e-05)	
Emotional symptoms	2.63 (±2.05)	2.82 (±2.31)	

512 BMI, body mass index; PRS_{MDD}, Polygenic risk scores for major depression disorder.
513 Numbers of subjects are presented as integers (percentage), and quantitative

514 measurements are presented as mean values \pm standard deviations.

515

516

517

Prediction	Girls with high PRS _{MDD}			Girls with low PRS _{MDD}				
	GDBSR model	Baseline model	t	р	GDBSR model	Baseline model	t	р
IMAGEN Age 14 ↓ Age 19	0.757±0.059	0.741±0.059	3.462	0.001	0.605±0.092	0.601±0.096	0.209	0.835
IMAGEN Age 19 ↓ Age 23	0.748±0.014	0.737±0.013	8.563	<0.001	0.582±0.016	0.583±0.014	-0.410	0.684
ABCD Age 10 ↓ Age 11	0.856±0.035	0.847±0.031	4.248	<0.001	0.850±0.035	0.849±0.035	1.235	0.223

519 Table 2 Comparison of model performance for the prediction of emotional disorders in girls.

520 PRS_{MDD}, polygenic risk score for major depressive disorder. GDBSR, genetic-dependent brain signature of resilience. AUC, area under the curve. 521 The mean and the standard deviation established by repeating a 5-fold cross validation 10 times were reported before and after the ' \pm ', 522 respectively. The paired t-test was used to test the significance of the difference in AUC between the GDBSR models and the baseline models 523 and both the t-value and p-value were reported.

524 STAR Methods

525 **Participants**

526 Participants were drawn from the IMAGEN project, a multicenter longitudinal study 527 of adolescent brain development and mental health that recruited 2000 participants in Europe and the UK¹⁸. This study involves the data of each participant at ages 14 and 528 529 19. After quality control, 809 adolescents (430 girls) with complete neuroimaging 530 data and behavioral scores at both ages 14.42 ± 0.41 and 19.02 ± 0.75 years old were 531 included in this study (Table 1; Figure S1). The local research ethics committees 532 approved this study, and written consent was obtained from each participant and a 533 parent or guardian.

534

535 Measurements

536 Behavioral and emotional problems

The Strengths and Difficulties Questionnaire (SDQ) is a valid and reliable assessment and is often used to measure the emotional and behavioral problems in adolescents, including emotional symptoms, conduct problems, hyperactivity/inattention, peer relationship problems, and prosocial behavior⁴⁴. SDQ questionnaires gathered directly from adolescents themselves are more reliable than those from their parents, especially for the emotional symptom subscale ⁴⁵. Therefore, the self-reported versions of the SDQ at ages 14 and 19 were used in this study.

544

545 Childhood abuse measurements

The Childhood Trauma Questionnaire (CTQ^{46}) is a 28-item self-report inventory used to assess the history of abuse and neglect before the age of 19 years. Since the IMAGEN study focused on a population-based cohort, the severity of each type of abuse may be underestimated. Therefore, three abuse subscales (*i.e.*, emotional abuse, physical abuse and sexual abuse) were summed to generate a composite measure of childhood abuse⁴⁷. The higher the abuse score, the greater the severity of childhood 552 abuse.

553

554 Polygenic risk scores

555 Since emotional disorders are not single-gene diseases, it is promising to use PRS to 556 reflect the complex genetic architecture in the context of environment-gene-brain interactions ⁷. We used the GWAS summary data provided by the Psychiatric 557 558 Genomics Consortium as the discovery sample. 493,592 single nucleotide 559 polymorphisms (SNPs) were shared by the discovery sample and the IMAGEN cohort. 560 After the quality control measures (Method S1), a total of 123,481 SNPs were 561 selected to compute the PRS_{MDD} in our sample using the genetic analysis tool PLINK. 562 The means of the PRSs at 7 p-value thresholds (*i.e.*, 0.001, 0.05, 0.10, 0.20, 0.30, 0.40, and 0.50) were used in the current study in keeping with a previous study 48 . 563

564

565 Nuisance covariates

566 Pubertal status was assessed using the Pubertal Development Scale. A total neglect 567 score was generated from the summation of two types of neglect (i.e., emotional 568 neglect and physical neglect) in the CTQ. Socioeconomic status was rated according 569 to the total score of the family stress subsection of the Development and Well-being 570 Assessment. The IQ score of each participant was calculated as the total score derived 571 from the Wechsler Intelligence Scale for Children-Fourth Edition (WISC-IV). 572 Substance use was measured using the European School Survey Project on Alcohol 573 and Drugs (ESPAD) as ever/never smoking cigarettes, drinking alcohol, or using 574 illicit drugs.

575

576 The face task and fMRI preprocessing

577 The face task paradigm was used to elicit strong activation in the facial emotion 578 processing system. In this task, participants passively watched 18-second blocks of 579 either a face movie (presenting faces with angry, happy or neutral expressions) or a

580 control stimulus (concentric circles). Details can be found in the initial report on this paradigm¹⁹. In this study, we explored the neural reactivity associated with angry 581 582 expressions, as neuroimaging data on these expressions was available at both ages 14 583 and 19. After the fMRI pre-processing (Method S2), the contrast map of angry vs. 584 neutral faces was obtained for each participant. The angry>neutral (*i.e.*, the 585 activations responding to angry faces were higher than those to neutral faces) 586 activations were used to measure the activation of the facial emotion processing 587 system in the brain responding to angry faces. Although the mechanisms underlying 588 the neutral>angry activations remained unclear, we still examined such activations in 589 the supplementary materials to enhance the comprehensiveness of our study. The voxels within the automated anatomical labeling (AAL2) template⁴⁹ for grev matter 590 591 were considered in the following analyses (47,640 voxels).

592

593 Matrix decomposition

594 We constructed an activation matrix for the angry>neutral activations. The activation 595 matrix has a number of rows equal to the voxel count (m=47,640) and a number of 596 columns corresponding to the number of subjects (n=809). Sparse non-negative 597 matrix factorization (sNMF) was employed to decompose the activation matrix at age 598 19 into a factor matrix and a weight matrix (Figure 2a). To facilitate meaningful sparse representation, we explicitly incorporated ℓ^0 -sparseness constraints⁵⁰ on the 599 600 columns of the factor matrix. Meanwhile, each row of the factor matrix can have only 601 one non-zero value to ensure that no overlapping voxels among the latent factors are 602 obtained by the decomposition (Method S3). To determine the optimal parameter for 603 sparsity ($\lambda = L/m$, L is the maximal number of non-zeros voxels in each factor, m is 604 the total number of voxels) and the optimal number of factors (K), we tested both the 605 reconstruction error and the reproducibility of the obtained decompositions by a 606 random half split for 80 times (Method S4).

608 Characterization analysis of the functional networks

609 Neuroanatomical characterization

- 610 We identified the respective positions of the non-zero values in each column of the
- 611 factor matrix (i.e., each latent factor) within 47,640 voxels in the AAL2 template.
- 612

613 Functional characterization

As recommended by the previous work ⁵¹, we compared the spatial pattern of the networks (i.e., factors) to the functional anatomy of the human brain using NeuroSynth (http://www.neurosynth.org/)⁵², an online platform for meta-analysis of functional neuroimaging literature. Specifically, we sorted all correlation coefficients for each network in descending order and adopted the top ten terms to characterize each network. Similar terms (e.g., "percept" and "perception") were merged into a base form to avoid selecting repetitive terms.

621

622 Gene set enrichment analyses

623 To examine the neurobiological links between the identified networks and the dopaminergic signature of resilience reported in the literature³⁹, we used the 624 625 transcriptomic data from six neurotypical adult brains in the Allen Human Brain Atlas (AHBA) (http://human.brain-map.org)⁵³. Following a preprocessing pipeline 626 627 recommended by previous work (Method S5)⁵⁴, we obtained a 1531 (number of 628 tissue samples from the cerebral cortex) \times 15,408 (number of genes) matrix. Genes 629 were considered significant if their expression levels differed between tissue samples 630 inside and outside the functional networks, with a significance threshold of p $<3.25 \times 10^{-6}$ (0.05/15408). Next, we used the R packages "BiocManager" and 631 632 "clusterProfiler" to identify sets of genes associated with Gene Ontology terms of 633 biological processes and Kyoto Encyclopedia of Genes and Genomes pathway. Gene 634 sets were considered significantly enriched with FDR q values < 0.05.

635

636 Sex difference

We built a linear regression model between the activation of each network (i.e., the weights of each factor) at age 19 and sex. Research sites, socioeconomic status, BMI at age 19⁵⁵ and handedness⁴⁸ were regressed out as basic covariates in this analysis and the following analyses.

641

642 Developmental trajectory

643 We applied the NMF back-reconstruction algorithm to compute the activation of each 644 network of each participant at age 14 (Method S6). Next, for boys and girls separately, 645 we carried out repeated measures analyses of variance (ANOVAs) to investigate the 646 developmental trajectories of the network activations. The age 14 and age 19 network 647 activations were the within-subject variables. In addition to the basic covariates, we 648 incorporated pubertal status as an additional covariate, considering the relationship 649 between pubertal maturation and the reactivity of facial emotion processing systems 650 during early adolescence⁵⁶.

651

652 Modulation analysis

653 For boys and girls separately, associations were assessed by a linear regression model 654 between emotional symptoms at age 19 and childhood abuse before age 19. Next, to 655 identify the GDBSR, we examined the three-way interaction among PRS_{MDD}, the 656 activations of the above identified functional networks, and childhood abuse, in 657 relation to emotional symptoms at age 19. The coefficient (standardized β) of the 658 linear regression models and its 95% confidence interval (CI) are reported. The 659 applicability of linear model in this case was confirmed by the Shapiro-Wilk normality test for model residuals ⁵⁷. A significant three-way interaction indicates that 660 661 PRS_{MDD} modulates the association between a higher level of this brain signature and 662 fewer emotional symptoms following childhood abuse.

663

664 Sensitivity analyses

665 We tested whether the three-way interaction remained significant when the childhood 666 abuse score was binarized using the following cut-offs as recommended in the literature⁵⁸, including a cut-off of 8 for emotional abuse, 7 for physical abuse, and 5 667 668 for sexual abuse. If any type of the above abuse occurred, childhood exposure to 669 abuse was scored as "1"; if not, a score of "0" was recorded. We also included age, 670 childhood neglect, IQ or substance use as an additional covariate in the modulation 671 models to examine their potential confounding effects. To investigate the specificity 672 of the modulation effects, we reran the models while 1) replacing the emotional 673 symptom scores with behavioral problem scores from the other four dimensions in the 674 SDQ; 2) replacing the PRS_{MDD} with the PRS_{ADHD} or the PRS_{SCZ} .

675

676 **Prediction models**

677 Prospective associations

For significant modulation effects, we employed a two-wave cross-lagged panel model (CLPM) using the network activations and emotional symptoms at ages 14 and 19 years. In addition to the basic covariates, we incorporated BMI at age 14, pubertal status, childhood abuse and PRS_{MDD} as additional covariates, considering the potential association between emotional symptoms and both childhood abuse and PRS_{MDD} . We established the 95% CI of the statistics by 1000 bootstraps. We also used linear regression models to verify such directionality (Method S7).

685

686 Building prediction models for late-adolescence emotional disorders

Using the networks that have significant prospective associations with subsequent emotional symptoms, we built prediction models for emotional disorders at age 19. The emotional disorders were indicated by an emotional symptom score above a clinical cut-off of 4, which has been recommended to favor the instrument's (*i.e.*, SDQ) sensitivity in identifying depression and generalized anxiety⁵⁹. The high-risk group was identified as participants with above-median genetic risk for depression 693 (*i.e.*, PRS_{MDD}>median PRS_{MDD}); otherwise, the low-risk group was defined. We built 694 the following prediction models for each group. The baseline model was a support 695 vector machine with a linear kernel using the measurements at age 14 years, including 696 childhood abuse, emotional symptom score, sites of data collection, handedness, 697 pubertal status, socioeconomic status, and BMI. Next, based on the GDBSR identified 698 above, we built the GDBSR models by adding the network activation and its 699 interaction with childhood abuse into the baseline model. To evaluate model 700 performance, we repeated a 5-fold cross-validation 10 times to obtain the mean area 701 under the curve (AUC). The paired t-test was used to test the significance of the 702 difference in AUC between the GDBSR models and the baseline models.

703

704 Generalizability of the prediction models

705 Generalizability in early adulthood

Using the latest follow-up data at age 23 in the IMAGEN study, we tested the model performance among 256 girls. We applied the aforementioned trained models, without retraining (i.e., fixed weights), to see whether emotional disorders at age 23 can be predicted by the model using measurements at age 19.

710

711 Generalizability in an independent dataset

712 To test whether the GDBSR models could be generalized to an independent dataset, 713 we used the data from the ABCD cohort (the ABCD data used in this study came from 714 Data Release 5.0, http://dx.doi.org/10.15154/8873-zj65) to rerun the prediction 715 models. This independent dataset recruited 11,875 children between 9 and 10 years of age from 21 sites across the United States²⁰. The negative>neutral activations during 0 716 back in the EN-back task²² were used. We applied the NMF back-reconstruction 717 718 algorithm again to compute the activations of the functional networks for each 719 participant in the ABCD cohort. After quality control (the same as the IMAGEN 720 cohort), 1478 participants with complete neuroimaging data, PRS_{MDD} , adverse

721 childhood experiences $(ACEs)^{60}$, and the basic covariates at baseline, as well as the internalizing symptoms of the Child Behavior Checklist⁶¹ at both baseline and the 722 723 1-year follow-up were analyzed . The emotional disorders were indicated by an internalizing symptom t score above a cut-off of 60^{62} . Similarly, we first built the 724 725 baseline model using the baseline measurements to predict emotional disorders at the 726 1-year follow-up for both the high and low genetic risk groups. Next, we added the 727 network activation and its interaction with ACEs into the baseline model to form the 728 GDBSR model.

729

730

731 Data availability

732 The IMAGEN data are available by application to the consortium coordinator Dr. 733 Schumann (http://imagen-europe.com) after evaluation according to an established 734 procedure. The ABCD data are publicly released on an annual basis through the 735 National Institute of Mental Health (NIMH) data archive (NDA, 736 https://nda.nih.gov/abcd). The ABCD study data are openly available to qualified 737 researchers for free. Access be requested can at 738 https://nda.nih.gov/abcd/request-access. An NDA study has been created for the data 739 used in this report under the doi: 10.15154/agv5-7v56.

740

741 Code availability

The code used by the current study is made available at the following webpage:

- 743 <u>https://github.com/hanluyt/modulation_emotionalBrain</u>.
- 744

745

746

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Reshape

Subjects

b

d

Episodic memory

Memory retrieval

Self reference

Theory of mind

Social recognition

Mental states

Remembering

Reward

Value

Valence

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0

0. 0.15 0.2 0.25

Subjects



Orbitofrontal-related network

Orbitofrontal-related network

0.0279

Occipital-related network



Occipital-related network



Correlation coefficients

0.350

0,4

°.,



Subject 1

Subject 2

С

