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## Modulation of Cardiometabolic Disease Markers by Type I Interferon Inhibition in Systemic Lupus Erythematosus

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### Abstract

**Objective.**—Neutrophil dysregulation and the type I interferon (IFN) axis have been proposed to contribute to premature cardiovascular disease, a leading cause of mortality in patients with systemic lupus erythematosus (SLE). In the present study, we evaluated the ability of anifrolumab, a type I IFN receptor–blocking antibody, to reduce neutrophil extracellular trap (NET) formation and modulate cardiometabolic disease markers in comparison to placebo.

**Methods.**—Study subjects comprised patients with moderate-to-severe SLE who were enrolled in phase IIb of the MUSE trial (A Phase II, Randomized Study to Evaluate the Efficacy and Safety of MEDI-546 in Subjects with Systemic Lupus Erythematosus), with healthy individuals as controls. Blood samples were collected from SLE patients (n = 305) and healthy controls (n = 10–20) before the initiation of treatment (baseline) and from SLE patients after they had been

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Drs. Kaplan and White contributed equally to this work.

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#### AUTHOR CONTRIBUTIONS

All authors were involved in drafting the article or revising it critically for important intellectual content, and all authors approved the final version to be published. Dr. Casey had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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Data underlying the findings described in this article may be obtained in accordance with the AstraZeneca data sharing policy (<https://astrazenecagrouptrials.pharmacm.com/ST/Submission/Disclosure>).

#### ADDITIONAL DISCLOSURES

Author Casey is a current employee of Regeneron Pharmaceuticals, and authors Smith, L. Wang, and Illei are current employees of Viela Bio.

treated with 300 mg of anifrolumab (n = 99) or placebo (n = 102). Baseline IFN gene signature test status was determined, and the IFN gene signature (21-gene panel) was monitored over time. Serum proteins were measured by multiplex immunoassay or ultrasensitive Simoa assay. NET complexes, cholesterol efflux capacity (CEC), and glycoprotein acetylation (GlycA) and other lipid parameters were assessed in plasma.

**Results.**—Formation of NET complexes and levels of tumor necrosis factor (TNF) and interleukin-10 (IL-10) were correlated with extent of type I IFN pathway activity. NET complexes and IL-10 levels were up-regulated in SLE patients compared to healthy controls ( $P < 0.008$ ). The cardiometabolic disease markers CEC and GlycA were also found to be dysregulated in patients with SLE ( $P < 0.001$  versus healthy controls). Type I IFN receptor inhibition with anifrolumab significantly reduced NET complexes and GlycA and improved CEC compared to baseline ( $P < 0.05$ ) whereas no improvements were seen with placebo. Levels of TNF and IL-10 were reduced with anifrolumab compared to placebo ( $P < 0.05$ ).

**Conclusion.**—These data support a key role for type I IFNs in modulating factors contributing to SLE vasculopathy and suggest that inhibition of this pathway could decrease cardiovascular risk in individuals with SLE.

## INTRODUCTION

Cardiovascular disease (CVD) resulting from premature atherosclerosis is one of the predominant causes of mortality in systemic lupus erythematosus (SLE) (1). Traditional cardiovascular risk factors cannot fully account for this enhanced CVD (2). Immune dysregulation likely contributes to vascular damage in SLE (3). However, the exact cellular and molecular mechanisms underlying accelerated atherosclerosis in SLE remain unclear.

SLE patients frequently display an elevated type I interferon (IFN) gene signature (IFNGS) (4). Type I IFNs have direct and indirect deleterious effects on the vasculature (5-7) and have been implicated in the induction of insulin resistance (IR) (8). Subclinical markers of CVD have been shown to be associated with type I IFN activity in SLE, independent of Framingham CVD risk factors (6), and the observed concurrence of cardiometabolic manifestations and interferonopathy raises the question of a causal link between type I IFN and CVD (9).

Neutrophil dysregulation has also been implicated in cardiovascular damage in SLE (10-13). Neutrophils release neutrophil extracellular traps (NETs) in a cell death-associated process (14), and this phenomenon has proatherogenic effects (15). Immune complexes containing NET autoantigens induce plasmacytoid dendritic cells and other innate immune cells to aberrantly enhance type I IFN synthesis (16-18). In turn, type I IFNs can prime neutrophils to extrude NET complexes when exposed to SLE autoantibodies (18).

Increased levels of netting neutrophils and NET complexes have been identified in the tissues and blood of SLE patients (11,19,20). Moreover, low-density granulocytes (LDGs), a subset of neutrophils characterized by enhanced NET formation, are elevated in SLE and are associated with vascular inflammation and coronary plaque severity (10-12).

In addition, NETs increase cardiovascular risk through oxidant-generating enzymes, such as myeloperoxidase (MPO), which oxidizes high-density lipoprotein (HDL) (21). HDL oxidation promotes inflammatory responses in macrophages and impairs cholesterol efflux capacity (CEC), as demonstrated by a reduced capacity of plasma HDL to accept cholesterol from macrophages (21,22). Impaired CEC promotes accumulation of lipid-laden macrophages in atherosclerotic lesions, which are a hallmark of atherosclerosis (23).

Current practical CVD assessments (e.g., carotid and coronary plaque quantification) in SLE patients require expensive imaging technology and capture disease at late stages. Therefore, there is interest in the potential of circulating biomarkers to predict CVD risk in SLE (12). Indeed, impaired CEC is associated with CVD risk in the general population (21,22) and with greater non-calcified coronary plaque burden in SLE (12).

A recently described biomarker for cardiometabolic disease and systemic inflammation is glycoprotein acetylation (GlycA), a nuclear magnetic resonance (NMR) signal found on acute-phase response proteins (24). GlycA is a predictor of CVD risk and a measure of subclinical CVD in SLE, rheumatoid arthritis, and psoriasis (25,26).

We hypothesized that type I IFN pathway inhibition could reduce NET formation, immune mediators of endothelial dysfunction, and cardiometabolic disease biomarkers such as CEC and GlycA. We quantified markers of vascular damage in SLE patients before and after treatment with anifrolumab, a human monoclonal antibody specific for the type I IFN receptor IFNAR-1 that potently inhibits the IFNGS (27). These markers were assessed in samples from patients with moderate-to-severe SLE who participated in the phase IIb MUSE trial (A Phase II, Randomized Study to Evaluate the Efficacy and Safety of MEDI-546 in Subjects with Systemic Lupus Erythematosus) of anifrolumab (27).

## PATIENTS AND METHODS

### Patient population and sample collection.

Details of the randomized, double-blind phase IIb MUSE trial ([ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT01438489) identifier: [NCT01438489](https://clinicaltrials.gov/ct2/show/study/NCT01438489)) and patient population (including patient disposition, inclusion/exclusion criteria, and patient demographic/baseline characteristics) have been previously published (27). In the MUSE trial, blood samples were obtained from adults ages 18 to 65 years who fulfilled at least 4 of the 11 American College of Rheumatology 1997 classification criteria for SLE (28) and who had moderate-to-severe SLE as assessed by SLE Disease Activity Index 2000 (SLEDAI-2K) (29).

Patients were randomized at a 1:1:1 ratio to receive intravenous infusions of 300 mg of anifrolumab (n = 99), 1,000 mg of anifrolumab (n = 104), or placebo (n = 102) every 4 weeks along with standard therapy, with final doses administered at 48 weeks. Plasma/sera from fasting patients were collected on days 0, 169, and 365. IFNGS test status was measured prior to randomization, and oral glucocorticoid tapering was allowed after randomization (27). For healthy donor fasting samples, whole blood was collected after written informed consent was obtained from donors recruited by the MedImmune Blood Donor Program. Healthy donors consisted of MedImmune or AstraZeneca employees, who

were anonymously enrolled in the MedImmune Research Specimen Collection Program. The healthy controls were matched to the cohort of SLE patients by age, race, and sex. Analyses were performed using samples from the placebo group and the 300 mg anifrolumab group (the dosage selected for progression to phase III clinical trials), with the exception of baseline assessments of proteomics, complete blood cell count (CBC), and gene signature, where available samples from the full study cohort were assessed.

The present study was conducted in accordance with the Declaration of Helsinki and the International Council for Harmonisation Guidelines for Good Clinical Practice. Independent ethics committee or independent Institutional Review Board (IRB) approvals were obtained (Syneos; IRB no. 201300430), and all patients provided written informed consent in accordance with local requirements.

### Measurement of neutrophil numbers.

Blood samples from patients were collected before the initiation of treatment on day 1, and neutrophil numbers were derived from the CBC with the differential cell count.

### Analysis of IFNGS test status and expression in SLE patients.

**IFNGS test.**—An analytically validated 4-gene (*IFI27*, *IFI44*, *IFI44L*, and *RSAD2*) IFNGS test was conducted in whole blood by quantitative polymerase chain reaction (qPCR) as noted in a previous publication (27) in order to determine IFNGS test status. Patients were categorized into IFNGS test-high and IFNGS test-low groups at baseline using a predetermined  $C_t$ -based cutoff point in the trough of the bimodal distribution.

**A 21-gene IFNGS panel (21-IFNGS).**—The 21-IFNGS was generated using a 21-gene qPCR assay to measure the extent of type I IFN signaling dysregulation in patients with SLE as previously described (4).

### Measurement of NET complexes and LDG gene signature.

NET complexes in patient sera were quantified using capture enzyme-linked immunosorbent assays (ELISAs) that detect complexes of MPO-DNA, neutrophil elastase (NE)-DNA, or citrullinated histone H3 (CitH3)-DNA, as published previously (17). For detection of MPO-DNA, patient samples were incubated overnight on high-binding 96-well ELISA plates with a mouse anti-human MPO antibody (clone 4A4; AbD Serotec) at 4°C in Cell Death Detection kit (Roche) coating buffer. Nonspecific binding sites were blocked in 1% bovine serum albumin, and plasma samples diluted in blocking buffer were incubated overnight at 4°C. After washing, anti-DNA-peroxidase (Roche) detection antibody was incubated for 1.5 hours at room temperature, and 3,3',5,5'-tetramethylbenzidine substrate (Sigma) was added before stopping reagent (Sigma), and absorbance was measured at 450 nm. Similarly, NE-DNA and CitH3-DNA were detected using rabbit anti-human NE (Calbiochem) or rabbit anti-human CitH3 (Abcam ab5103) capture antibodies, respectively, followed by 1-hour incubations with the monoclonal mouse anti-double-stranded DNA primary antibody (Millipore) and anti-mouse IgG horseradish peroxidase-conjugated (Bio-Rad) secondary antibody.

The gene signature of LDGs (12) from patients with SLE was measured as described in the Supplementary Methods (available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>).

### Serum protein measurements.

Serum samples were stored at  $-80^{\circ}\text{C}$  and then shipped to Myriad RBM (Austin, Texas). Serum IFN $\alpha$ , IFN $\beta$ , IFN $\gamma$ , interleukin-10 (IL-10), and tumor necrosis factor (TNF) were quantified using a Simoa immunoassay. All other serum proteins were measured using a Lumines quantitative immunoassay according to standard procedures.

### Measurement of CEC.

HDL CEC assays were performed using J774 cells derived from a murine macrophage cell line according to previously published methods (30). Briefly,  $3 \times 10^5$  J774 cells per well were plated and radiolabeled with 2  $\mu\text{Ci}$  of  $^3\text{H}$ -cholesterol/ml. ATP-binding cassette transporter A1 was up-regulated via incubation for 16 hours with 0.3 mM of 8-(4-chlorophenylthio)-cAMP. Apolipoprotein B-depleted plasma (2.8%) was added to the efflux medium for 4 hours. Liquid scintillation counting was used to quantify the efflux of radioactive cholesterol from the cells. Efflux was calculated as follows: [ $\mu\text{Ci}$  of  $^3\text{H}$ -cholesterol in medium containing 2.8% apolipoprotein B-depleted subject plasma –  $\mu\text{Ci}$  of  $^3\text{H}$ -cholesterol in plasma-free medium]/[ $\mu\text{Ci}$  of  $^3\text{H}$ -cholesterol in medium containing 2.8% apolipoprotein B-depleted pooled control plasma –  $\mu\text{Ci}$  of  $^3\text{H}$ -cholesterol in pooled control plasma-free medium].

A CEC defect was identified based on values that were 2 SD below the mean level of CEC in plasma obtained from 5 healthy adult volunteers (Supplementary Figure 1, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). All assays were performed in duplicate.

### GlycA and LipoProfile NMR spectroscopy.

Based on the methods described in the study by Otvos et al (24), plasma samples were adjusted to a density of 1.22 g/ml in sodium bromide and centrifuged at 84,000g for 48 hours at a temperature of  $4^{\circ}\text{C}$  to separate the lipoprotein and protein fractions. The 2 fractions were dialyzed against NMR diluent (50 mM sodium phosphate, 120 mM KCl, 5 mM  $\text{Na}_2\text{EDTA}$ , 1 mM  $\text{CaCl}_2$ , pH 7.4) and adjusted for concentration before centrifugal passage through a 10-kd Centricon ultrafilter (Merck Millipore) to yield the desired molecular weight fraction. A standard 0.01-*M* *N*-acetylglucosamine sample was prepared in NMR diluent. GlycA and other lipid parameters were measured in plasma by NMR spectroscopy (LabCorp) using the NMR LipoProfile test spectrum (24). Cutoff values to determine the dysregulation of GlycA and lipids were defined as the mean  $\pm$  2 SD of values in healthy donors.

### Insulin resistance (IR).

IR was measured as described in the Supplementary Methods, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>.

### Statistical analysis.

Mann-Whitney 2-tailed U tests were used to compare the levels of CEC, GlycA, IL-10, IR, neutrophils, NET complexes, and TNF between SLE patients and healthy donors and between SLE patients who received 300 mg of anifrolumab and SLE patients who received placebo. Spearman's rank correlation was used to analyze associations of vascular inflammation marker levels, neutrophil numbers, and NET complexes with the 21-IFNGS analyses and IFN $\alpha$  protein levels. Associations of vascular inflammation markers, neutrophil numbers, and NET complexes with IFNGS test status, SLEDAI scores, and Cutaneous Lupus Erythematosus Disease Area and Severity Index (CLASI) scores were analyzed using Welch's *t*-test for group comparisons. Changes from baseline for the aforementioned markers following treatment with 300 mg of anifrolumab or placebo were compared using signed rank tests. Statistical analyses were performed using RStudio 1.1.383.

## RESULTS

### Association of IFN $\alpha$ with a type I IFNGS in SLE.

Due to low abundance, the relative contribution of the major type I IFN proteins, IFN $\alpha$  and IFN $\beta$ , to atherosclerosis pathogenesis in SLE remains unclear. Using ultrasensitive immunoassays, we analyzed the association of serum protein levels of IFN $\alpha$  and IFN $\beta$  with the type I IFNGS at baseline in the MUSE cohort. IFN $\alpha$  was quantifiable in serum samples obtained at baseline from the majority of patients (205 of 256, or 80.1%), including 96.3% (184 of 191) who were IFNGS test-high and 32.3% (21 of 65) who were IFNGS test-low (Figure 1A). In contrast, IFN $\beta$  was quantifiable in only 2.0% of patients (5 of 256), indicating that IFN $\alpha$  is the dominant type I IFN protein in circulation in lupus.

Significantly higher serum IFN $\alpha$  protein concentrations were observed in IFNGS test-high patients compared to IFNGS test-low patients (area under the curve [AUC] 0.92,  $P < 0.001$ ). Median IFN $\alpha$  protein level in IFNGS test-high patients was greater than the maximum level assessed in healthy donors (Figure 1A). While the 4-gene IFNGS test categorizes patients into 2 distinct subgroups, the 21-IFNGS test generates a continuous 21-IFNGS score (4). Serum IFN $\alpha$  protein levels correlated with the whole blood 21-IFNGS in SLE patients (Spearman's rank correlation  $R = 0.81$ ,  $P < 0.001$ ) (Figure 1B), supporting the theory that there is a direct contribution of IFN $\alpha$  to lupus IFN gene signatures.

Proteins that significantly correlated with both IFN $\alpha$  protein levels and the 21-IFNGS comprised several atherosclerosis- and vascular dysfunction-associated proteins, including TNF, IL-10, angiotensin 2, vascular cell adhesion molecule 1, macrophage inflammatory protein 3 $\beta$ , monocyte chemoattractant protein 1, progranulin, IFN $\gamma$ -inducible 10-kd protein, and von Willebrand factor (Table 1) (31-36).

### Modulation of NET levels in SLE by inhibition of type I IFN signaling.

Neutrophil dysregulation has been proposed as promoting vascular disease in SLE (37). To better understand the relationship between type I IFN signaling, neutrophil dysregulation, and associated biologic processes, we first assessed the correlation of IFN $\alpha$  protein levels and the 21-IFNGS with other analytes in sera. These and other serum



analyte correlations are reported in Supplementary Table 1, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>. Neutrophil numbers negatively correlated with serum IFN $\alpha$  protein levels in whole blood ( $R = -0.29$ ,  $P < 0.001$ ) (Figure 1C) and with the 21-IFNGS, whereas significantly fewer neutrophils were observed in IFNGS test-high patients compared to IFNGS test-low patients. These results support a direct association between neutrophils and multiple measures of type I IFN pathway activity.

Type I IFNs prime NET formation with specific immune complexes, and NETs reciprocally induce IFN $\alpha$  production (17,18). Circulating NET complex levels (CitH3-DNA, MPO-DNA, and NE-DNA) were elevated in SLE patients compared to healthy donors (Figure 1D) and were negatively correlated with neutrophil numbers (Supplementary Table 1, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). IFNGS test-high patients had a significantly elevated number of CitH3-DNA NET complexes compared to IFNGS test-low patients ( $P = 0.030$ ), and the numbers of CitH3-DNA complexes were correlated with the 21-IFNGS and IFN $\alpha$  protein levels (Table 1). Similar associations were observed between the levels of other NET complexes (MPO-DNA and NE-DNA) and measures of type I IFN pathway activity (Table 1 and Supplementary Table 1). Thus, increased numbers of circulating NET complexes in SLE are associated with reduced neutrophil numbers and elevated type I IFN activity.

Statistical correlations of the type I IFN pathway with NET complexes and neutrophils are shown in Figure 2. Associations with lupus disease activity are shown in Supplementary Figure 2 and the Supplementary Results, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>.

Given the association between NETs and the type I IFN pathway, we quantified circulating NET complexes on days 1 and 365 in patients who received the anti-IFNAR-1 antibody anifrolumab (300 mg) or placebo (Figure 3). Notably, median circulating NET complex levels were significantly decreased on day 365 in patients receiving anifrolumab. Patients who received placebo had increased levels of CitH3-DNA (but not the other NET complexes) on day 365 ( $P = 0.006$  versus day 1). There were no changes in LDG-associated gene signature (12) in patients who received anifrolumab. These results demonstrate that type I IFN pathway inhibition significantly reduced circulating NET complexes without an apparent reduction of gene expression previously associated with LDGs (12). This may indicate that anifrolumab suppressed NET complex formation without altering LDG number.

### **Modulation of cytokines associated with vascular dysfunction following anifrolumab treatment.**

Type I IFN signaling and NET formation have been shown to directly impact the vasculature (6,11), and our study showed that NET complexes were up-regulated in SLE patients (Figure 1). TNF and IL-10 also induce vascular dysfunction (7,38), but their association with type I IFN in SLE is not completely understood. Serum levels of TNF were elevated in IFNGS test-high patients compared to IFNGS test-low patients ( $P < 0.001$ ) (Supplementary Figure 3, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). The median TNF concentration in IFNGS test-high patients,

but not IFNGS test–low patients, was above the range of healthy donors. Levels of TNF, 21-IFNGS, and IFN $\alpha$  protein were observed to be significantly correlated in SLE patients (Figure 2 and Table 1).

IFNGS test–high patients had significantly higher IL-10 levels compared to IFNGS test–low patients ( $P = 4.4 \times 10^{-10}$ ) (Supplementary Figure 3 [<http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>]). Similar to TNF, serum IL-10 levels correlated with the 21-IFNGS ( $R = 0.506$ ,  $P < 10^{-14}$ ) and serum IFN $\alpha$  protein levels ( $R = 0.549$ ,  $P < 10^{-14}$ ) (Figure 2 and Table 1). TNF and IL-10 levels were correlated ( $R = 0.732$ ,  $P < 10^{-14}$ ) (Supplementary Table 1, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). Together, these results demonstrate an association between TNF, IL-10, and type I IFN signaling—3 putative key mediators of vascular dysfunction in SLE.

Levels of TNF and IL-10 significantly decreased in IFNGS test–high patients after anifrolumab treatment compared to patients who received placebo at various time points (Supplementary Figure 3). In IFNGS test–high patients, but not in IFNGS test–low patients, IL-10 levels decreased with anifrolumab treatment compared to placebo on day 169 ( $P = 0.037$ ) and day 365 ( $P = 0.016$ ), and TNF levels were decreased on day 85 ( $P = 0.013$ ), day 169 ( $P = 0.001$ ), and day 365 ( $P = 0.010$ ).

### **Modulation of cardiometabolic disease markers by inhibition of type I IFN signaling.**

**Cholesterol efflux capacity (CEC).**—Given that NETs can oxidize HDL and impact CEC (21,22), we assessed the impact of NET inhibition with anifrolumab on these parameters. Baseline CEC values were significantly reduced in SLE patients compared to healthy controls in both IFNGS test–low and IFNGS test–high patients, suggesting proatherogenic dysregulation of HDL function (Figure 4A). Whereas multiple proinflammatory and proatherogenic proteins were associated with the type I IFN pathway (Table 1), we found no association between CEC and IFNGS test status, serum IFN $\alpha$ , or the 21-IFNGS in this cohort (Figure 2 and Supplementary Table 1, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). In contrast, a significant negative correlation was observed between CEC and circulating NET complex levels (Figure 4B), a finding that was consistent with the observed aberrant HDL function resulting from NET complex–mediated oxidation.

To investigate whether treatment with anifrolumab could reverse the impairment of CEC in SLE patients (defined as CEC levels that were  $\geq 2$  SD below the mean value in healthy donors) (Supplementary Figure 1 [<http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>]), we measured CEC levels in patients before and after treatment. In IFNGS test–high patients who received anifrolumab treatment, CEC levels were significantly improved (increased 17.3%) on day 365 compared to baseline ( $P < 0.001$ ) (Figure 4C). In contrast, no significant improvement in CEC levels was observed in IFNGS test–low patients or patients who received placebo. This effect was more pronounced in patients with the greatest CEC impairments at baseline (Supplementary Figure 4, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). CEC was not altered by glucocorticoid tapering alone (Supplementary Figure



5, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>).

**Lipoproteins and traditional CVD risk factors.**—We also quantified lipoprotein levels (including particle count and size) by NMR in IFNGS test–high SLE patients. Levels of HDL cholesterol, HDL in the H3P size range, HDL particle count (medium and total), apolipoprotein A-I, and very large triglyceride-rich lipoprotein particles were significantly lower in SLE patients than in healthy controls, whereas levels of medium triglyceride-rich lipoprotein particles were significantly higher in SLE patients compared to healthy controls (Supplementary Table 2, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>).

Given that dysregulated HDL levels and function have been associated with CVD in SLE (12,39,40), we assessed the correlation of HDL cholesterol and total cholesterol with IFN $\alpha$  protein, the 21-IFNGS, and IFNGS test status. IFNGS test–high patients had reduced total cholesterol and HDL cholesterol levels compared with IFNGS test–low patients (Figure 2). Total cholesterol and HDL cholesterol levels negatively correlated with the 21-IFNGS and IFN $\alpha$ . Traditional CVD risk factors like body mass index (BMI) and age also had an inverse association with type I IFN measures (Supplementary Results, available on *the Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). These results indicate that, although IFNGS test–high patients had reduced traditional CVD risk factors (age, BMI, total cholesterol) compared with IFNGS test–low patients, they displayed higher levels of several immune markers of vascular dysfunction and neutrophil dysregulation and lower HDL cholesterol levels, thus supporting the possibility that elevated CVD risk is not predicted by traditional CVD risk factors alone.

To further examine the association between lipoprotein/ lipid parameters and the type I IFN pathway in SLE patients, we evaluated the effect of anifrolumab treatment on lipid parameters that were found to have defects in 10 patients at baseline (i.e., values defined as 2 SD below the mean value in healthy donors) (Supplementary Table 3, available on the *Arthritis & Rheumatology* website at <http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>). In patients with reduced levels of HDL in the H3P size range at baseline, the levels of H3P-sized HDL significantly increased from baseline following treatment with anifrolumab ( $P=0.022$ ), whereas no significant change was observed in patients who received placebo. There were no significant, treatment-specific changes in the levels of medium, small, or very small triglyceride-rich lipoprotein particles.

**IR status.**—As lipoprotein dysregulation and type I IFN signaling have been associated with IR, a CVD risk factor (8,41), we analyzed whether the level of IR was correlated with type I IFN measures at baseline. Analysis of all patients, regardless of IR status, showed no significant difference in the level of IR between IFNGS test–high patients and IFNGS test–low patients. No correlation was observed between level of IR and IFN $\alpha$  levels or the 21-IFNGS (Supplementary Table 1 [<http://onlinelibrary.wiley.com/doi/10.1002/art.41518/abstract>]). However, among the patients who had early IR ( $>1.9$  on the homeostatic model assessment for IR [HOMA-IR] of which 0.5–1.4 is the optimal range for insulin sensitivity) (42), IFNGS test–high patients had greater IR than IFNGS test–

low patients ( $P=0.046$ ). There was no reduction in the percentage of IR change from baseline with anifrolumab compared to placebo, even in patients in the upper quartile of IR on the HOMA-IR ( $>3.9$ ). Overall, these results do not support a role for type I IFN pathway inhibition in IR modulation in SLE, although there may be value in examining this relationship in a cohort further enriched for patients with varying levels of IR.

**Glycoprotein acetylation (GlycA).**—GlycA, a marker of cardiometabolic disease assessed by NMR, was previously found to be dysregulated in SLE (26). GlycA levels were significantly elevated in IFNGS test-high patients compared to healthy donors (AUC 0.84,  $P < 0.001$ ) (Figure 5A). We investigated the effect of anifrolumab treatment on GlycA levels in IFNGS test-high patients who had increased levels of GlycA at baseline. GlycA levels were significantly decreased by day 365 in 10 patients who received anifrolumab treatment ( $P=0.006$ ), but not in 11 patients who received placebo (Figure 5B). These results demonstrate that inhibition of the type I IFN signaling pathway in patients with SLE may significantly normalize the levels of GlycA to levels approaching those found in healthy donors.

## DISCUSSION

Significant advances have been made in understanding the underlying mechanisms by which aberrant activation of the type I IFN axis and neutrophil dysregulation drive SLE pathogenesis. Type I IFNs have also been linked to disturbances in vascular function, leading to increased incidence of cardiovascular events in patients with SLE. Based on our previous work, which identified associations between type I IFN signaling, neutrophil dysfunction, and vascular disease in SLE (6,12,16,17), and our current findings, we hypothesize that these dysregulated processes play prominent roles in increased CVD risk in SLE through a wide range of interdependent, downstream, immunopathologic effects.

In patients with moderate-to-severe SLE, we found significant correlations between type I IFN pathway measures and NET complexes, TNF, and IL-10. Some of these variables were directly associated with markers of cardiometabolic dysregulation. Importantly, we found that type I IFN pathway inhibition significantly improved various immunologic and subclinical cardiometabolic parameters implicated in vasculopathy.

The relative contributions of individual type I IFNs in driving downstream immune effects that underlie SLE pathogenesis and the type I IFNGS have been of significant interest. Here, we report the first assessment of the contributions of IFN $\alpha$  and IFN $\beta$  to IFNGS test status in the context of an interventional trial in patients with SLE. Previous use of a similar ultrasensitive immunoassay for IFN $\alpha$  identified elevated levels of circulating IFN $\alpha$  protein in plasma from SLE patients compared to controls, and this increase in IFN $\alpha$  levels correlated with the IFN-stimulated gene score (43); however, IFN $\beta$  was not assessed. We now confirm that IFN $\alpha$  protein has a positive association with IFNGS test status and the 21-IFNGS, whereas serum IFN $\beta$  was detected in only a small number of SLE patients. Thus, of the type I IFN proteins, serum IFN $\alpha$  is a major contributor to the IFNGS in SLE. Our results demonstrate a predominant role for IFN $\alpha$  at the systemic level in lupus, although a role for locally produced, tissue-specific IFN $\beta$  cannot be ruled out.

Treatment with anifrolumab provided the first opportunity to study the effect of type I IFN receptor inhibition on the levels of TNF protein. In a subset of patients with rheumatoid arthritis, treatment with anti-TNF–blocking antibody significantly elevated type I IFN signaling through a proposed counterbalance of the TNF and type I IFN pathways (44). In SLE, a positive association between TNF and IFN $\alpha$  activity has been described (45). In the present study, serum levels of TNF were significantly lowered with anifrolumab therapy, suggesting that the cross-regulation of TNF and type I IFN signaling pathways is complex and context dependent.

While the proinflammatory effects of TNF are well understood, less is known about the role of IL-10 in SLE vascular disease. We have previously described the impairment of endothelial cell differentiation by IL-10 (7). In SLE, type I IFN can impart proinflammatory functions on IL-10, a canonical antiinflammatory cytokine in other diseases (46). As serum IL-10 levels were also decreased with anifrolumab, results suggest that type I IFN pathway inhibition down-regulates 2 cytokines that may contribute to vascular dysfunction.

We observed that lower levels of neutrophils in SLE samples, which were previously observed to rapidly correct with anifrolumab treatment during the MUSE trial (47), correlated with levels of circulating NET complexes, consistent with enhanced cell death through NET formation. NET complex levels may predict worsening of SLE disease and have been shown to be associated with endothelial cell activation and cardiovascular comorbidity or arterial thrombosis (20). Extracellular release of oxidizing enzymes within NET complexes, such as MPO, can directly damage the vasculature and oxidize HDL, impairing its antiatherogenic functions (21). We found a significant correlation between levels of circulating NET complexes and impaired CEC resulting from the proatherogenic oxidized HDL. In our study, type I IFN pathway inhibition with anifrolumab significantly normalized NET complex levels and CEC, with limited effect on other lipid parameters and no detectable effect on IR. These findings are especially noteworthy from a mechanistic perspective given that statins do not systematically improve CEC in CVD (22). Our results suggest that NET complex formation and the proatherogenic effects of HDL are modulated by type I IFNs, supporting previous findings that type I IFN primes SLE neutrophils to produce NET complexes (18). As NET complexes also induce aberrant type I IFN production by plasmacytoid dendritic cells, our results support a mechanism by which type I IFNs and NET complexes may form a self-amplifying pathogenic loop (16-18).

Similar to the observed normalization of CEC, we found that type I IFN pathway inhibition decreased GlycA levels in SLE patients. GlycA was previously associated with neutrophil gene networks, and the major protein contributors to the GlycA NMR signal ( $\alpha_1$ -acid glycoprotein and haptoglobin) can be synthesized and secreted from neutrophil granules (48), indicating that type I IFN pathway inhibition improved a measure of neutrophil effector function. Additionally, multiple immunomodulatory analytes associated with atherosclerosis correlated with type I IFN measures and were previously shown to normalize toward baseline levels with anifrolumab treatment (47). Together, these findings support a model where vascular damage occurs through multiple mechanisms driven by the downstream effects of unabated IFN $\alpha$ -mediated inflammation.

Growing evidence suggests that abrogating chronic inflammation may be beneficial for reducing CVD risk. In the Canakinumab Anti-Inflammatory Thrombosis Outcomes Study, neutralization of IL-1 $\beta$  resulted in a decreased number of adverse cardiac events, independent of lipid levels (49,50). Whether other chronic inflammation pathways drive CVD, especially in autoimmune diseases, is an important question. We have provided the first foundational evidence in a clinical SLE setting that type I IFN-mediated inflammation may play a similar role in driving CVD progression, thereby paving the way for future studies to understand the potential benefit for SLE patients.

A limitation of this study is that impaired CEC and altered levels of GlycA are suggestive of the presence of subclinical vascular disease, rather than being direct clinical markers of CVD. While we have demonstrated that blocking type I IFN diminishes proatherogenic markers, further work is needed to show that inhibition of this pathway results in clinical improvement of SLE vascular dysfunction and of adverse cardiovascular events. However, CEC and GlycA are associated with signs of clinical CVD (coronary disease and plaque burden) (22,26) and represent accessible serum biomarkers that may enable earlier CVD detection in patients with SLE.

Direct measurements of aortic vascular inflammation in patients with CVD can be obtained with positron emission tomography/computed tomography and can be used as risk markers for CVD progression (12). Short-term changes in arterial inflammation are associated with long-term disease progression of atherosclerosis, validating the benefit of measuring subclinical early stage biomarkers to assess CVD risk (51). However, these advanced imaging techniques are not accessible to all patients. Furthermore, studying CVD risk in SLE using traditional approaches has low feasibility given disease incidence/prevalence and the relatively young age at which lupus is typically diagnosed. Using the identification of meaningful CVD risk biomarkers in the selection of patients for intervention is a promising approach to address such challenges. Our findings demonstrate that several subclinical markers with proposed physiologic links to SLE-associated CVD risk (enhanced NET formation, impaired CEC, and elevated GlycA levels) are significantly modulated by type I IFN pathway inhibition. Further studies are needed to determine how these subclinical markers, or other proatherogenic analytes assessed in this study, are associated with CVD progression in SLE and other autoimmune diseases. If such associations are established, these biomarkers have the potential to be practical tools to facilitate earlier CVD detection and improved symptom monitoring of CVD risk and vasculopathy.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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This study was sponsored by AstraZeneca. All authors interpreted the data, critically reviewed the manuscript for important intellectual content, approved the final draft, and agreed to its submission. Medical writing support was funded by AstraZeneca and provided by Rosie Butler, PhD, of JK Associates, Inc., a member of Fishawack Health. Authors Casey, Smith, L. Wang, and Illei were employees of AstraZeneca and authors Sinibaldi, Yu, S. Wang, and White are current employees of AstraZeneca.

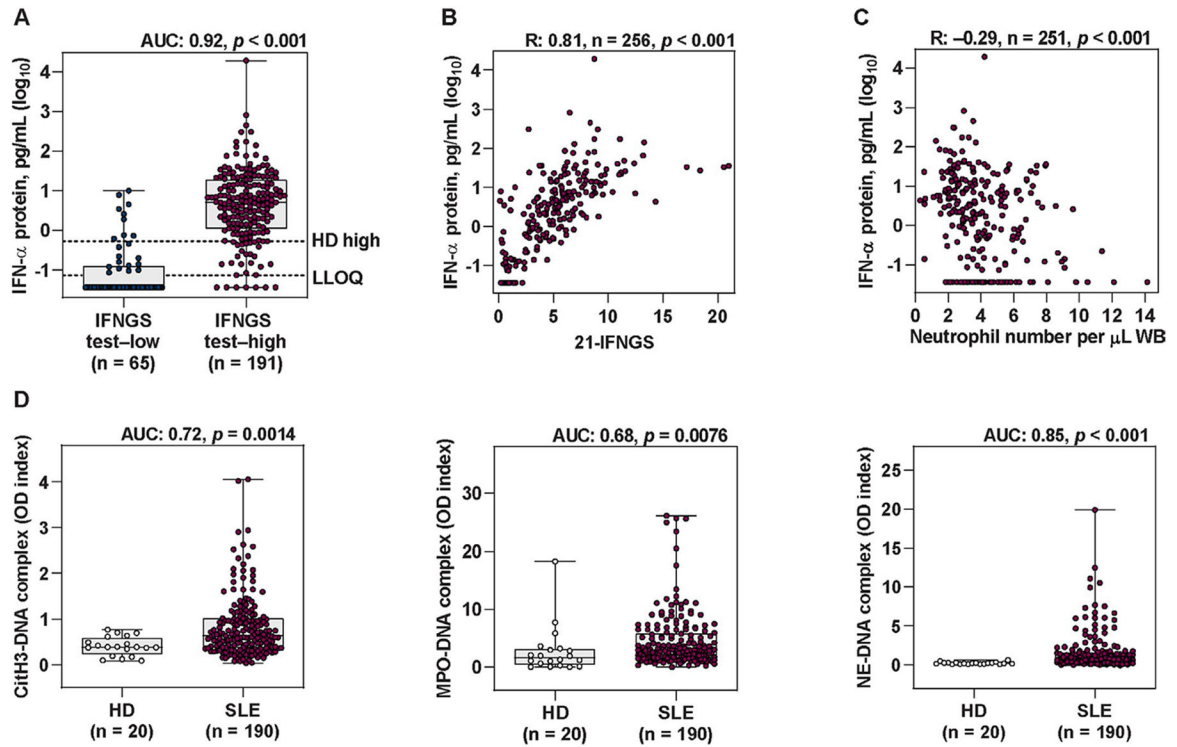
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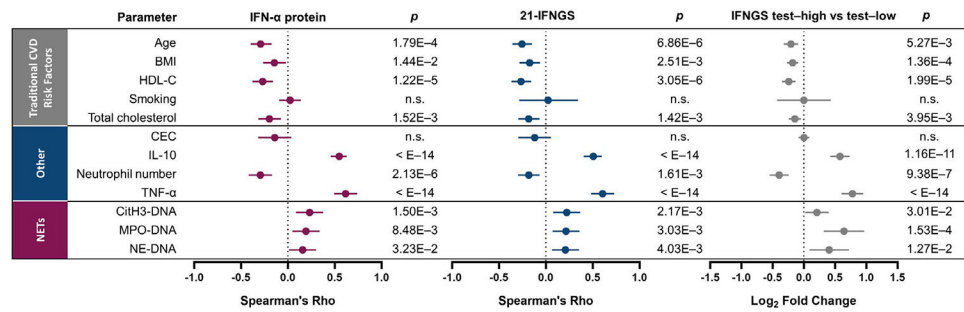


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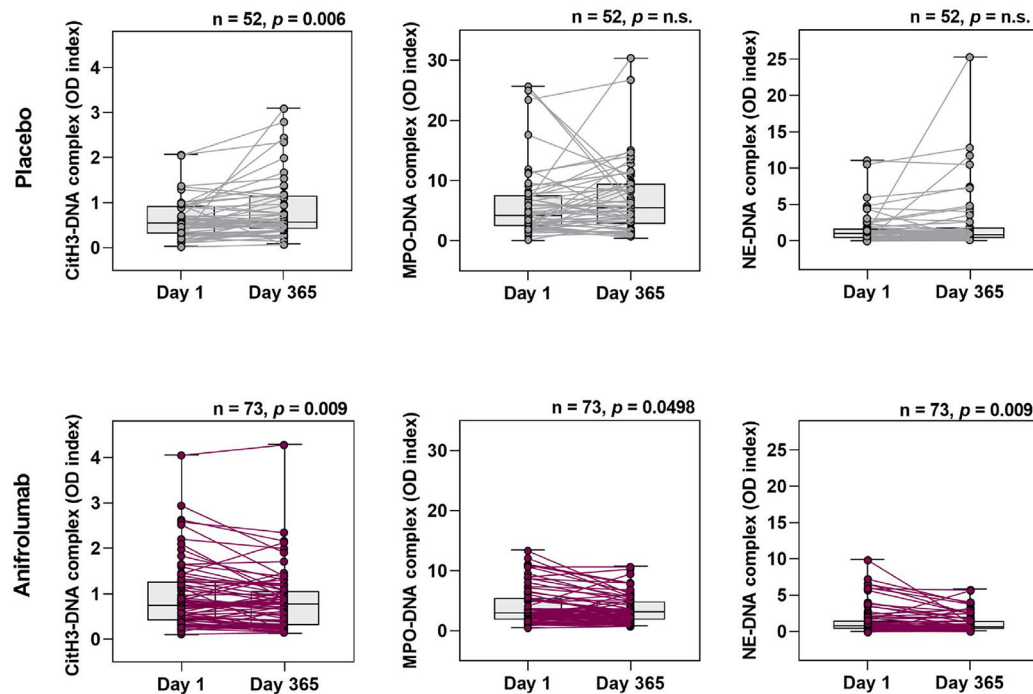
**Figure 1.**

Elevated type I interferon (IFN) pathway activity and neutrophil extracellular trap (NET) complex levels in patients with systemic lupus erythematosus (SLE). **A**, Levels of IFN $\alpha$  protein measured by quantitative Simoa immunoassay in type I IFN gene signature (IFNGS) test–low patients and IFNGS test–high patients with moderate-to-severe SLE. Broken lines represent the maximum IFN $\alpha$  level in healthy donors (HD high [0.53 pg/ml]) as well as the lower limit of quantification (LLOQ [0.073 pg/ml]). **B**, Levels of IFN $\alpha$  protein measured by Simoa immunoassay versus levels of 21-IFNGS expression. **C**, Number of neutrophils versus levels of IFN $\alpha$  protein measured by Simoa immunoassay. **D**, Levels of the NET complexes citrullinated histone H3 (CitH3)–DNA, myeloperoxidase (MPO)–DNA, and neutrophil elastase (NE)–DNA measured by capture enzyme-linked immunosorbent assay in healthy donors and in patients with moderate-to-severe SLE. Values in **A** and **D** are shown as box plots. Each box represents the 25th and 75th percentiles, lines inside the boxes represent the median, and whiskers represent the ranges. Symbols represent individual subjects. *P* values were calculated using a Mann-Whitney 2-tailed U test (**A** and **D**) or 2-tailed Spearman’s rank correlation (**B** and **C**). AUC = area under the curve; WB = whole blood; OD = optical density.



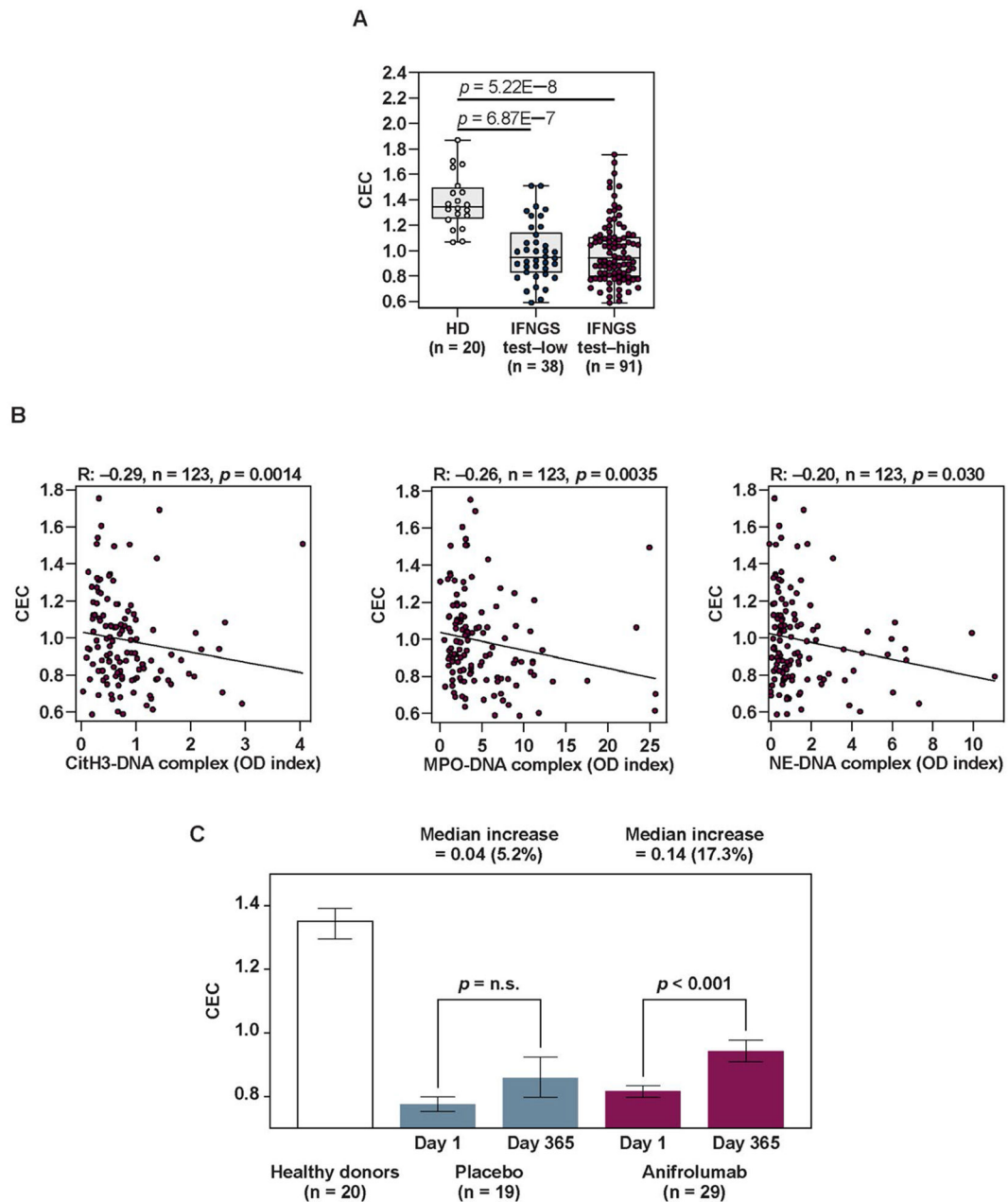
**Figure 2.**

Association of traditional risk factors for cardiovascular disease (CVD), levels of interleukin-10 (IL-10), number of neutrophils, levels of tumor necrosis factor (TNF), and number of NET complexes with the type I IFN axis in patients with SLE. Age, body mass index (BMI), levels of high-density lipoprotein cholesterol (HDL-C), smoking history, levels of total cholesterol, cholesterol efflux capacity (CEC), levels of IL-10 protein, numbers of neutrophils per microliter of whole blood, TNF protein levels, and levels of circulating NET complexes (CitH3–DNA, MPO–DNA, and NE–DNA) were compared to 3 measures of the type I IFN pathway: IFN $\alpha$  protein levels, the 21-IFNGS, and IFNGS test status (IFNGS test–high versus IFNGS test–low). Spearman’s rank correlation was used to analyze the correlation between the parameters and the 21-IFNGS and IFN $\alpha$  protein levels. Associations of parameters with IFNGS test status was analyzed by Welch’s *t*-test and was expressed as the log<sub>2</sub> fold change. Values are shown as forest plots with 95% confidence intervals. NS = not significant (see Figure 1 for other definitions).



**Figure 3.**

Significantly decreased levels of circulating NET complexes with type I IFN pathway inhibition following 1 year of treatment with anifrolumab. Levels of NET complexes (CitH3–DNA, MPO–DNA, and NE–DNA) were measured by capture enzyme-linked immunosorbent assay on day 1 and day 365 in patients with moderate-to-severe SLE who received 300 mg of the anti–IFNAR-1 antibody anifrolumab or placebo. Values are shown as box plots. Each box represents the 25th and 75th percentiles, lines inside the boxes represent the median, and whiskers represent the ranges. Symbols represent individual subjects. *P* values were calculated using Wilcoxon’s signed rank test. NS = not significant (see Figure 1 for other definitions).



**Figure 4.** Correlation of impaired cholesterol efflux capacity (CEC) in SLE patients at baseline with NET complex levels and normalization of CEC following type I IFN–signaling inhibition. **A**, Levels of CEC in apolipoprotein B (Apo-B)–depleted plasma samples from healthy donors, IFNGS test–low patients with SLE, and IFNGS test–high patients with SLE. Values are shown as box plots. Each box represents the 25th and 75th percentiles, lines inside the boxes represent the median, and whiskers represent the ranges. Symbols represent individual subjects. **B**, Association between CEC and NET complexes (CitH3–DNA, MPO–DNA, and NE–DNA) assessed in SLE patients using Spearman’s rank correlation. **C**, Change in CEC levels in Apo-B–depleted plasma samples from IFNGS test–high patients with moderate-to-

severe SLE who had defects in CEC at baseline and who were treated with 300 mg of anifrolumab or placebo. CEC defects were defined as levels  $\geq 2$  SD below the mean level in healthy donors (mean 0.96). CEC in healthy donors at baseline are shown for reference. Results are the mean  $\pm$  SEM. *P* values were calculated using Wilcoxon's signed rank test. NS = not significant (see Figure 1 for other definitions).

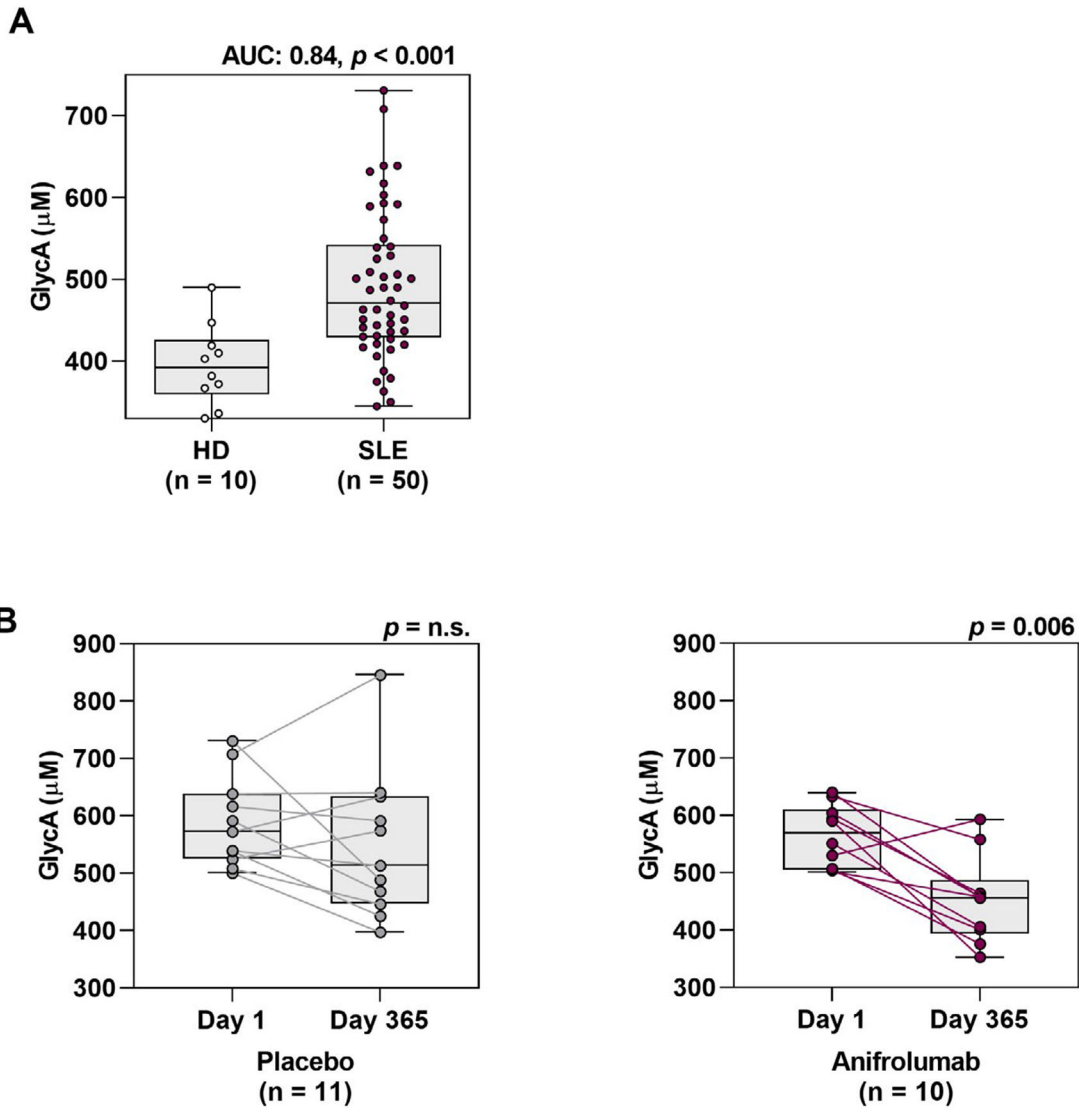
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**Figure 5.** Reduction from baseline in the levels of glycoprotein acetylation (GlycA) following type I IFN pathway inhibition in patients with SLE. **A**, GlycA levels measured at baseline by nuclear magnetic resonance spectroscopy in healthy donors or IFNGS test-high patients with SLE. The AUC is indicated, along with  $P$  values calculated by Mann-Whitney U test. **B**, GlycA levels measured on day 1 and day 365 in IFNGS test-high GlycA-high patients with SLE who were treated with 300 mg of anifrolumab or placebo. GlycA-high was defined as levels  $\geq 2$  SD above the mean level in healthy donors (mean  $500 \mu\text{M}$ ).  $P$  values were calculated using Wilcoxon’s signed rank test. Values are shown as box plots. Each box represents the 25th and 75th percentiles, lines inside the boxes represent the median, and whiskers represent the ranges. Symbols represent individual subjects. NS = not significant (see Figure 1 for other definitions).

Table 1.

Serum analytes showing a correlation with IFN $\alpha$  protein levels and IFN 21-gene signature expression in patients with systemic lupus erythematosus\*

Analyte <sup>†</sup>	IFN $\alpha$			21-IFNGS			
	No.	Rho	P	No.	Rho	P	FDR
IFN and IFN-inducible cytokines							
21-IFNGS	255	0.82	<10 <sup>-14</sup>	-	-	-	-
IFN $\alpha$	-	-	-	255	0.82	<10 <sup>-14</sup>	<10 <sup>-14</sup>
MCP-2	256	0.65	<10 <sup>-14</sup>	301	0.67	<10 <sup>-14</sup>	<10 <sup>-14</sup>
IP-10	256	0.63	<10 <sup>-14</sup>	301	0.63	<10 <sup>-14</sup>	<10 <sup>-14</sup>
BAFF	256	0.62	<10 <sup>-14</sup>	301	0.57	<10 <sup>-14</sup>	<10 <sup>-14</sup>
MIP-3 $\beta$	256	0.60	<10 <sup>-14</sup>	301	0.63	<10 <sup>-14</sup>	<10 <sup>-14</sup>
I-TAC	256	0.59	<10 <sup>-14</sup>	301	0.56	<10 <sup>-14</sup>	<10 <sup>-14</sup>
BLC	256	0.43	3.49 $\times$ 10 <sup>-13</sup>	301	0.43	4.44 $\times$ 10 <sup>-15</sup>	3.61 $\times$ 10 <sup>-14</sup>
MCP-1	256	0.37	7.48 $\times$ 10 <sup>-10</sup>	301	0.34	1.54 $\times$ 10 <sup>-9</sup>	7.93 $\times$ 10 <sup>-9</sup>
Eotaxin 2	256	-0.23	1.88 $\times$ 10 <sup>-4</sup>	301	-0.21	1.84 $\times$ 10 <sup>-4</sup>	6.10 $\times$ 10 <sup>-4</sup>
Vascular damage/lipid dysregulation							
TNF	254	0.62	<10 <sup>-14</sup>	253	0.61	<10 <sup>-14</sup>	<10 <sup>-14</sup>
IL-10	254	0.55	<10 <sup>-14</sup>	253	0.51	<10 <sup>-14</sup>	<10 <sup>-14</sup>
Angiopoietin 2	256	0.52	<10 <sup>-14</sup>	301	0.47	<10 <sup>-14</sup>	<10 <sup>-14</sup>
VCAM-1	256	0.49	<10 <sup>-14</sup>	301	0.47	<10 <sup>-14</sup>	<10 <sup>-14</sup>
vWF	255	0.32	1.42 $\times$ 10 <sup>-7</sup>	300	0.33	6.37 $\times$ 10 <sup>-9</sup>	3.15 $\times$ 10 <sup>-8</sup>
Apo A-I	50	-0.33	0.021	50	-0.37	0.009	0.021
Medium HDL particle count	50	-0.34	0.015	50	-0.36	0.010	0.023
HDL cholesterol	50	-0.37	0.009	50	-0.41	0.003	0.009
Total cholesterol	50	-0.39	0.005	50	-0.39	0.005	0.012
HDL in the H3P size range	50	-0.50	2.07 $\times$ 10 <sup>-4</sup>	50	-0.46	8.69 $\times$ 10 <sup>-4</sup>	0.003
Neutrophil dysregulation							
Progranulin	256	0.62	<10 <sup>-4</sup>	301	0.57	<10 <sup>-14</sup>	<10 <sup>-14</sup>
CitH3-DNA	185	0.23	0.001	188	0.22	0.002	0.006
MPO-DNA	185	0.19	0.008	188	0.21	0.003	0.009

Analyte <sup>†</sup>	IFN $\alpha$			21-IFNGS				
	No.	Rho	P	FDR	No.	Rho	P	FDR
Neutrophil number	251	-0.29	$2.13 \times 10^{-6}$	$8.89 \times 10^{-6}$	296	-0.18	0.002	0.005
Immune dysregulation/other								
$\beta_2$ -microglobulin	255	0.52	$<10^{-14}$	$<10^{-14}$	300	0.52	$<10^{-14}$	$<10^{-14}$
Ficolin 3	256	0.45	$3.02 \times 10^{-14}$	$2.28 \times 10^{-13}$	301	0.48	$<10^{-14}$	$<10^{-14}$
IL-2	253	0.43	$6.89 \times 10^{-13}$	$4.75 \times 10^{-12}$	252	0.40	$2.37 \times 10^{-11}$	$1.44 \times 10^{-10}$
IFN $\gamma$	256	0.42	$1.67 \times 10^{-12}$	$1.08 \times 10^{-11}$	255	0.46	$1.04 \times 10^{-14}$	$8.17 \times 10^{-14}$
IgE	256	0.37	$1.22 \times 10^{-9}$	$6.40 \times 10^{-9}$	301	0.33	$6.37 \times 10^{-9}$	$3.15 \times 10^{-8}$
TRAIL-R3	256	-0.22	$5.11 \times 10^{-4}$	0.002	301	-0.24	$2.20 \times 10^{-5}$	$8.08 \times 10^{-5}$
IL-1RII	256	-0.36	$4.08 \times 10^{-9}$	$2.05 \times 10^{-8}$	301	-0.29	$4.09 \times 10^{-7}$	$1.80 \times 10^{-6}$
Leukocyte number	251	-0.41	$7.62 \times 10^{-12}$	$47.9 \times 10^{-11}$	296	-0.33	$9.53 \times 10^{-9}$	$4.63 \times 10^{-8}$
Lymphocyte number	251	-0.48	$1.33 \times 10^{-15}$	$1.09 \times 10^{-14}$	296	-0.49	$<10^{-14}$	$<10^{-14}$

\* IFN $\alpha$  = interferon- $\alpha$ ; 21-IFNGS = 21-gene type I IFN gene signature; MCP-2 = monocyte chemoattractant protein 2; IP-10 = IFN $\gamma$ -inducible 10-kd protein; MIP-3 $\beta$  = macrophage inflammatory protein 3 $\beta$ ; I-TAC = IFN-inducible T cell  $\alpha$  chemoattractant; BLC = B lymphocyte chemoattractant; TNF = tumor necrosis factor; IL-10 = interleukin-10; VCAM-1 = vascular cell adhesion molecule 1; vWF = von Willebrand factor; Apo A-I = apolipoprotein A-1; HDL = high-density lipoprotein; CitH3-DNA = citrullinated histone H3-DNA; MPO-DNA = myeloperoxidase-DNA; IL-1RII = IL-1 receptor type II.

<sup>†</sup> Includes analytes measured with a *P* value of  $<0.05$  and a false discovery rate (FDR) of  $<0.05$ .