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Attitudinal variables and a possible mediating mechanism for vaccination practice in health care workers of a local hospital in L'Aquila (Italy)

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ABSTRACT

Active immunization is an important concern for health care workers (HCWs) susceptible subjects and potential sources of infection for patients. However, the vaccine coverage for vaccine preventable diseases (VPDs) is below recommended standards. The aims of the study were to estimate the hospitals' HCWs' susceptibility and vaccination coverage rates for VPDs and to analyze the role of HCWs' attitudes and knowledge as determinants of the immunization practices. A cross-sectional study enrolled 334 HCWs (physicians, nurses, others) at local hospital in L'Aguila (Italy). By means of an anonymous guestionnaire, self-report data about history of disease and active vaccination for seasonal influenza, chickenpox, measles-mumps-rubella and hepatitis B were collected, as well as attitudes and knowledge about vaccination in HCWs. The employees showed high levels of susceptibility and insufficient vaccination coverage rates, particularly for influenza. Specific trends were detected for different VPDs across age strata and professional categories, not always consistent with literature. Overall, the level of knowledge about recommended vaccination for HCWs was low, in all categories. The active immunization status against influenza was found the most clearly associated with difference levels in 3 psychometric variables: personal responsibility, beliefs on usefulness and beliefs on risk of vaccination. A mediation mechanism was analyzed between these constructs, and an interesting indirect effect was highlighted for beliefs that could enhance the advantage of increased responsibility for HCWs. Further effort in research is needed to evaluate the black-box of longitudinal intervention studies (education, environmental changes, policies), to improve HCWs immunization.

Introduction

Active immunization has a huge relevance in the fight against infectious diseases and it is an important concern for health care workers (HCWs). They are exposed to infections because of the close contact with patients and, at the same time, by protecting themselves against vaccine preventable diseases (VPDs), they avoid a further impairment of patients' health. From an economic and organizational point of view, HCWs vaccination would prevent the absenteeism, so ensure a better quality of care. Finally, HCWs are central figures in the promotion of healthy behaviors, and best immunization practice in people they take care.

However, despite the availability of effective vaccines and the recommendations from health institutions, the vaccine coverage is generally low in Italy, and in most European countries^{1,2} where vaccinations are not compulsory but recommended and payments not always refundable.^{3,4} Studies conducted in Italy to assess vaccination coverage of HCWs against the most common VPDs, have shown relatively high rates of coverage for hepatitis B⁵, but far below recommendations for measles, chickenpox, rubella, mumps and pertussis.^{5,6} **ARTICLE HISTORY**

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KEYWORDS

attitudinal predictors; health care workers; immunization coverage; mediation analysis; vaccine preventable diseases

Even for the vaccination against influenza, several Italian studies^{5,7-13} and foreign studies,¹⁴⁻²⁴ show coverage rates below the minimum target of 75% required by the European Commission, with physicians more vaccination compliant than nurses.^{12,17,19,22-27}

The reasons for not receiving influenza vaccination are: doubts about effectiveness,^{11,13,15,18,22-24,26,28,29} fear of side effects,^{15,19,20,22-^{24,28-31} perception of not being at risk,^{11,14,22,24,26,28,29} lack of time,^{4,21,24,28,29,31} forgetfulness¹³ and opinion that vaccine is not supported by adequate field trials.¹⁵ HCWs also believe that the influenza is a mild illness that does not require a specific prophylaxis^{7,11,13-15,19,23,26}, that it is safer to contract the disease¹⁵ and, in addition, that vaccination may result in the risk of contracting diseases.¹⁴ Mandatory vaccination against influenza has been adopted by very few European countries³, and there is a wide debate about the efficacy and ethical issues of a compulsory policy.³² However, an internet survey on USA Health Care Personnel revealed that influenza vaccination coverage was highest among HCP who were required by their employer to be vaccinated.²⁵}

A negative role is also played by the lack of knowledge.^{20,31} The importance of information has been confirmed by studies

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Characteristics	N (%)
Gender	
Female	241 (72,2)
Male	93 (27,8)
Age (in years)	
< 29 ¹	31 (9,3)
30–39	77 (23,1)
40–49	83 (24,8)
50–59	108 (32,3)
≥60	35 (10,5)
Occupation	
Physician	78 (23,3)
Nurse	177 (53,0)
Other HCWs	79 (23,7)

conducted on students in medical disciplines. In a sample of Italian physicians during their specialization courses, only 11.9% were vaccinated against seasonal influenza while 49.3% declared the need for training about immunization practices.^{11,33} These results are consistent with a survey conducted on English students.¹⁶

The aims of the present study were to estimate the hospitals' HCWs' susceptibility and vaccination coverage rates for VPDs, and to analyze the role of HCWs' attitudes and knowledge as determinants of the immunization practices, with particular reference to vaccination against seasonal influenza.

Results

Out of 334 HCWs enrolled, 241 were female (72.2%) and 191 were aged 40–59 y (57.1%). The nurses were more represented

(177, 53.0%) while either physicians and others reached about the 23% (Table 1).

The prevalence of "susceptible HCWs" was higher in the case of influenza (about or more than 80%) and there were not statistically significant differences between occupational or age categories. In the case of chickenpox and MMR, the prevalence never overshot the value of 40% for any occupational or age category without any statistically significant difference. The susceptibility to HBV was found to be the smallest one (in total less than 20%), but it shows a statistically significant increase with the age of HCWs: in the oldest subjects (60 y and over) it is more than double than in the middle age stratum and 5 times higher than in the youngest (respectively 38.2% vs 18.3% vs 7.5%) (Table 2).

Influenza immunization has a seasonal protection validity, so HCWs require annual vaccination every new season. Vaccines against MMR, chickenpox and HBV are routinely recommended for children in Italy, as in other countries. For this reason, in the case of influenza, more clearly than in other VPDs, to have vaccinated themselves during professional life could be considered a binary measure of an 'active protecting' behavior against an infection, so, against the risk of becoming a source of infectious disease for patients at the workplace. The proportion of HCWs actively protecting themselves against influenza (year of interest 2014– 2015), is very low (overall, less than 20%) without statistically significant differences between occupational or age categories.

Table 3 and Fig. 1 show the values on psychometric variables assessing attitudes and knowledge of HCWs toward self and others' vaccination. Overall, the stratification highlights a statistically significant 'worsening' trend from physicians to nurses to other HCWs.

The highest scores were obtained in 'Perceived susceptibility' to infectious diseases, particularly in the physicians (0.77 \pm

Table 2. Prevalence of susceptible HCWs (not-vaccinated AND/OR not-having had the disease).

Susceptible to	Physician		Nursing staff		Other HCP		Fisher's exact tes
		out of(1)		out of(1)		out of(1)	
Influenza	84.0%	75	79.1%	172	85.9%	71	n.s.
Chickenpox	39.4%	71	31.2%	170	35.4%	65	n.s.
MMR(2)	26.1%	69	28.8%	170	27.5%	69	n.s.
HBV(3)	16.9%	77	15.4%	175	20.0%	75	n.s.
	Up to 39 ys	From 40 to 59 ys	60 ys and more				
	. ,	out of(1)		out of(1)		out of(1)	
Influenza	85.7%	105	79.3%	179	82.4%	34	n.s.
Chickenpox	28.7%	101	37.4%	174	32.3%	31	n.s.
MMR	24.3%	103	28.0%	175	40.0%	12	n.s.
HBV	7.5%	107	18.3%	186	38.2%	34	p < 0.001

(1)Not-answering subjects have been excluded from the denominator.

(2) Measles-Mumps-Rubella (not distinguished).

(3)Hepatitis-B Virus.

Table 3. Likert scales scores for psychometric variables and knowledge stratified for different occupational categories (mean value and standard deviation) and statistical significance of differences and trend.

Interval scale from -1 to $+1$	Physicians(78)	Nursing staff (177)	Other HCP (79)	KW(1)test	Cuzick(2)test
Personal responsibility in HCWs' vaccination Beliefs on preventive usefulness of vaccines	$0.47 \pm 0.46 \\ 0.57 \pm 0.27$	0.40 ± 0.45 0.49 ± 0.34	0.31 ± 0.47 0.34 ± 0.35	n.s. p < 0.001	p < 0.05 p < 0.001
Beliefs on vaccines-related risks	-0.40 ± 0.42	-0.16 ± 0.43	-0.06 ± 0.48	p < 0.001	p < 0.001
Perceived susceptibility to infectious diseases Knowledge on recommended vaccines for HCWs	$\begin{array}{c} 0.77 \pm 0.35 \\ 0.20 \pm 0.37 \end{array}$	$\begin{array}{c} 0.69 \pm 0.38 \\ 0.01 \pm 0.35 \end{array}$	$0.49 \pm 0.65 \ -0.10 \pm 0.28$	p < 0.05 p < 0.001	p < 0.01 p < 0.001

(1)Kruskal - Wallis equality of populations rank test.(2)Cuzick nonparametric test for trend.

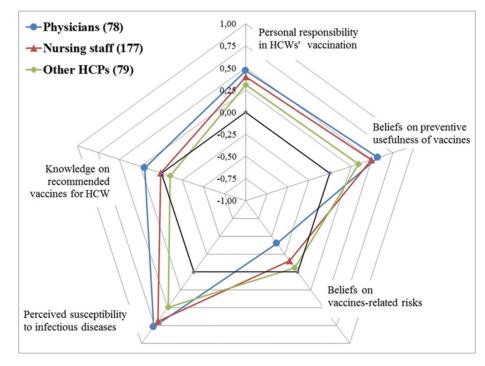


Figure 1. Likert scales mean scores for psychometric variables and knowledge stratified for different occupational categories.

0.35) and nurses (0.69 \pm 0.38) vs other HCWs (0.49 \pm 0.65; p < 0.05 at the Kruskal – Wallis' test and p < 0.01 at the Cuzick's test).

The 'Beliefs on preventive usefulness of vaccine' and 'Beliefs on vaccine-related risks' were found clearly different between the 3 occupational categories, particularly the second one for which the differences between physicians and the other HCWs were higher (respectively -0.40 ± 0.42 vs -0.16 ± 0.43 in nurses and -0.06 ± 0.48 in other HCWs) and significantly decreasing (p < 0.001 both at Kruskall-Wallis' and Cuzick's tests).

The 'Personal responsibility' does not appear different between categories when compared to each other (Kruskall-Wallis' test n.s.), but the trend from physicians (0.47 ± 0.46) to nurses (0.40 ± 0.45) to other HCWs (0.31 ± 0.47) was statistically significant (p < 0.05 at Cuzick's test).

Table 4. Likert scales scores for psychometric variables and knowledge stratified for HCWs actively 'protected' status against influenza (mean value \pm standard deviation and statistical significance of differences at non parametric Wilcoxon' rank-sum test).

	Aga	Against influenza		
Interval scale from -1 to $+1$	Actively protected	Not actively protected		
Personal responsibility in HCWs' vaccination	$\textbf{0.63} \pm \textbf{0.37}$	$\textbf{0.35}\pm\textbf{0.46}$	***	
Beliefs on preventive usefulness of vaccines	$\textbf{0.67} \pm \textbf{0.67}$	$\textbf{0.42} \pm \textbf{0.42}$	***	
Beliefs on vaccines-related risks	-0.51 ± 0.40	-0.13 ± 0.43	***	
Perceived susceptibility to infectious diseases	$\textbf{0.74} \pm \textbf{0.33}$	$\textbf{0.67} \pm \textbf{0.45}$	n.s.	
Knowledge on recommended vaccines for HCWs	$\textbf{0.00} \pm \textbf{0.30}$	$\textbf{0.04} \pm \textbf{0.37}$	n.s.	

Actively protected = HCWs vaccinated against influenza

Not actively protected = HCWs not-vaccinated against influenza

 $^{*}= p < 0.05; \ ^{**}= p < 0.01; \ ^{***}= p < 0.001.$

The lowest scores are attributable to the 'Knowledge on recommended vaccines for HCWs': the mean value for the physicians is 0.20 ± 0.37 , it almost equals zero for the nurses (0.01 ± 0.35) and falls below zero for the others HCWs (-0.10 ± 0.28) with significant differences between categories (p < 0.001 at Kruskall-Wallis test) and worsening trend (p < 0.001 at Cuzick test).

Table 4 reports the differences between HCWs 'actively protected' and 'not actively protected' against influenza concerning the level of psychometric variables and knowledge: neither 'Perceived susceptibility to infectious diseases' nor 'Knowledge on recommended vaccines for HCWs' were significantly different in any case; on the contrary, 'Personal responsibility in HCWs' vaccination' was higher in 'actively protected' HCWs than in 'not actively protected'; the 'Beliefs on preventive usefulness of vaccines' and 'Beliefs on vaccines-related risks' were found significantly and inversely different (p < 0.001 at Wilcoxon test)

Figure 2 focuses on the immunization against influenza and its potential determinants already tested as significantly different between 'actively protected' and 'not actively protected' subjects. A hypothetical mediation mechanism has been outlined and tested by means of 'medeff' function of STATA software. The mediation analysis revealed that there is a meaningful indirect effect on the relationship between Personal Responsibility and Active immunization against influenza attributable to the Beliefs on usefulness (0.142, C.I. 95% 0.049 – 0.251) and Beliefs on risks of vaccination (0.130, C.I. 95% 0.067–0.196). In other words, the influence of personal responsibility 'passes' in a large amount throughout the change in the positive (61.9% of total effect) and in the negative (63.6% of total effect) beliefs.

Discussion

The HCWs enrolled in this study show, overall, significant levels of susceptibility and insufficient rates of vaccination for VPDs recommended by Italian Minister of Health.³⁴

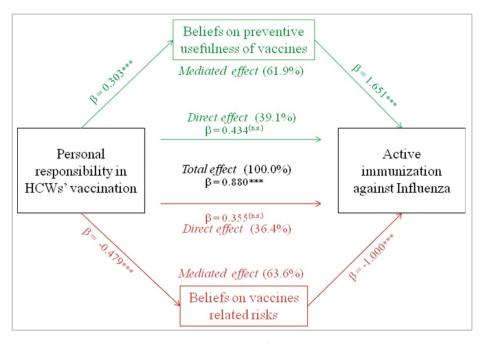


Figure 2. Relationship between personal responsibility and active immunization against influenza and hypothetical pathways mediated by beliefs on usefulness and risks of vaccinations. *** = p < 0.001.

This study highlights a proportion of susceptible HCWs for chickenpox and MMR respectively of 34.0% and of 28.0% in the total sample, values comparable with those of Fortunato et al⁵ but higher than those of Taddei et al⁶ and Cologni et al³⁵. The proportion of HCWs susceptible to hepatitis B is low but not negligible (16.8%), although lower than other national surveys.⁵

As frequently reported in literature, a high proportion of HCWs do not vaccinate for influenza^{14,15,17,19,21-24,36} and, as in other Italian and European surveys,^{57,10,18,37} a huge part of our sample (about 80%) were susceptible to seasonal influenza, despite national and international health recommendations that indicates a minimum vaccination coverage of 75%.³⁸

The uptake of vaccine against influenza appears associated with the HCWs' age since the proportion vaccinated in the youngest HCWs is lower than that in the oldest as in other surveys^{10,21,22,26,30,39} maybe because of a lack of consolidated role or a non clear perception of their own vulnerability to infections. In fact, the history of vaccination against influenza (probably increasing with seniority) has been shown to be a significant predictor of 'revaccination'^{26,36}, as well as disease experience.⁴⁰

Unlike other Italian surveys⁵ the proportion of subjects vaccinated against influenza among physicians is higher than in other HCWs.^{8,12,17,19,22-26}

All attitudinal and cognitive variables considered in our study had previously been found to be associated with vaccination behaviors and intention in original research, reviews or meta analyses.

The Susceptibility perception to infectious diseases was found to be the variable with the highest score in our sample, but there are not significant differences in relation to vaccine utilization in HCWs, in contrast with the literature in which it was indicated among the most important reasons to protect oneself by vaccinating.^{26,31,37} This construct was indicated as a significant predictor of active immunization against seasonal and pandemic influenza^{31,41}, as well as predictor of intention to use vaccine^{36,42} also against other VPDs.⁶

In all HCWs' categories, on average, the score of the variable Beliefs on vaccines-related risks was always found in the range of disagreement, revealing a general trusting attitude about the vaccine safety. In the specific case of influenza, we found statistically significant differences between HCWs actively protected and HCWs not-actively protected, confirming the importance of beliefs about the risks as a barrier to vaccination against these VPDs already shown by previous studies^{20,26,31,41} although not always as relevant.^{6,42} This observation confirms the importance of providing HCWs with additional education about vaccine safety, also because they are a source of information and recommendations for their patients.²⁶ This study revealed that less than one half of HCWs (47.0%) reported that they recommend vaccination to their patients at least 'sometimes' (data not showed in results). This 'proactive' behavior is statistically less frequent in the youngest HCWs than in the middle-aged and older HCWs as also found in Italian pediatricians.⁴³ Moreover, the physicians are more proactive than nursing staff and other HCWs, confirming the tendency reported by Torun et al.²³

The score on *Beliefs on preventive usefulness of vaccines* was always in the range of agreement, demonstrating a positive confidence in preventing diseases. We detected a statistically significant difference between HCWs actively protected and HCWs not-actively protected confirming the findings that not believing in the effectiveness of vaccines could be a barrier.³¹ Moreover, this construct is negatively associated with the failure to vaccinate for influenza^{20,37} or to not to have intention to get vaccinated for influenza.³⁶

Concerning *Knowledge on recommended immunization for HCWs*, we found, on average, an insufficient score and non statistically significant differences in relation to use of VPDs' vaccine. Nevertheless, in literature, this construct was indicated as

an important reinforcing and enabling factor, to be considered in initiatives promoting vaccination.^{31,37,42}

On average, the scores on *Personal responsibility toward vaccination* were located at intermediate level compared with other constructs. Only in this attitudinal variable there were not found any statistically significant differences between professional categories, however it shows the highest deviations between vaccinated vs not-vaccinated HCWs for influenza, as in a huge amount of studies, in which it was highly associated with vaccination behavior^{20,26,31,37} or with intention to get vaccinated.^{36,42}

It is particularly relevant to deepen the predictor of responsibility and analyze the relationship with other constructs. This analysis focused on influenza since the vaccination against this VPD can best highlight the motivation of protecting patients, for whom the influenza can be a very serious illness even with lethal consequences, rather than protecting oneself, as for HCWs it is more likely a favorable disease course. We used the mediation analysis as previous authors did on the same predictor.⁴² Starting from observation that high feeling of personal responsibility was associated with having been vaccinated, we found a meaningful mediation effect attributable to beliefs on risk and usefulness of vaccines. In other words, the influence of personal responsibility 'passes', in a large amount, through the change in the HCWs beliefs. So, with the aim of increasing the immunization prevalence among the HCWs, besides addressing the feeling of responsibility, it should be necessary to improve the positive beliefs and reduce negative beliefs (i.e. a correct information of workers) to enhance the overall effect.

The study highlighted some differences between the various professionals: in particular all predictors appear lower in nurses and other professionals than in physicians. This trend has been also observed in other studies where the effect of knowledge and of the attitudes on immunization practice is more pronounced among nurses, suggesting the importance of education programs targeted and 'profession-sensitive'.³¹ Moreover, it is a reason of concern in relation to a proactive behavior in recommending vaccination since nurses have close and more frequent contacts with patients compared with physicians.³⁷

The results confirmed the high susceptibility to VPDs of HCWs, in particular toward influenza, as a serious concern in healthcare settings. The intervention studies to improve vaccine uptake by HCWs could be realized at different levels: individual (i.e., education), setting (i.e. refunding or offering vaccination on-site), and overall policies (i.e., mandatory/voluntary). In Italy, as in most European countries, the vaccinations for HCWs are recommended but not mandatory.³ So, to sustain voluntary policies, it is relevant to consider the determinant at individual level (i.e. attitudinal) and to study the mechanisms of influencing them as a contribution to Public Health, that is the aim of this study.

In literature concerning the attitudinal determinants of HCWs' vaccination, there is a lack of homogeneity with respect to the type, number, methods of assessment, analysis and, finally, to the possible theoretical models underlying the studies, as already noted.³¹ So, as a novel contribution, our findings focused on the interesting mediation effect attributable to beliefs about risks and usefulness of vaccination that could enhance the advantage of increased responsibility for HCWs.

Those variables - significant mediators in our analyses - could be improved by means of education actions, that HICPAC and ACIP in the USA considered as the primary recommended place for influenza vaccination of Health-Care Personnel: "Educate HCP regarding the benefits of influenza vaccination and the potential health consequences of influenza illness for themselves and their patients [...] in accordance with their level of responsibility in preventing health-care associated influenza."²⁹

The self-report method of data collection about immunization status of HCWs is a significant limitation in this study. This is different from recent European studies using the serology.⁴⁴⁻⁴⁶ Concerning epidemiological reliability of data, in some surveys, there has been found a low predictive value of self-reported data on history of disease or vaccination.⁴⁷ Moreover, in the case of HBV, it is important to establish the real immune protection in the HCWs already vaccinated. The proportion of participants appear low, suggesting a possible response bias, but it is higher than in other surveys.³⁶ We used the mediation analysis on cross-sectional data. As a future research perspective, that analysis could be appropriate in evaluating the black-box of longitudinal intervention studies, such as HCWs' education, environmental changes, policies on active vaccination offer, and on quality of patient safety.²⁹

Material and methods

Design and data collection

A cross-sectional study was carried out in April-May 2015 at "San Salvatore" Hospital, with 363 inpatient-beds, located in L'Aquila (Abruzzo Region, Italy), and with 1,081 medical and paramedical employees. An anonymous self-report questionnaire, previously used in the European Hproimmune Project⁴⁸, was proposed to HCWs. 371 employees voluntarily joined the study, but only 334 questionnaires were valid for the analysis (participation rate of 30.9% of total employees).

The questionnaire comprises the demographic information and 7 questions (in total, 33 items) about immunization status, opinions and knowledge concerning vaccination recommended for HCWs by the Italian Health Ministry. The questionnaire was accompanied by a letter from the Hospital Sanitary Director and an informed consent form detailing the aims of the study and the scope of data utilization. Each HCW signed the informed consent form before filling the questionnaire.

The study protocol has been submitted to the institutional review board for ethical issues approval.

Variables

Immunization status against influenza, chickenpox, measlesmumps-rubella (MMR-not distinguished) and hepatitis B (HBV) was assessed as binary variables and proportions were calculated as follows: "susceptible HCWs" have been defined as subjects not vaccinated against a specific VPD *and/or* not have had the same disease (numerator) among all respondents (denominator). Only in the case of influenza, because the annual variability of human viruses, the "susceptible HCWs" are equivalent of "not-vaccinated HCWs," so we used the expression "actively protected HCWs" to define subjects

Table 5. Scaling and internal consistency of psychometric variables measuring the attitudinal predictors in HCWs.

Psychometric constructs	Items No.	Content	Range	Cronbach's alpha
Personal responsibility in HCWs' vaccination	2	I believe that immunization among HCWs is an indispensable requirement to work in a health care settingl believe that immunization among HCWs is a duty because HCWs should represent a model for their patients	From -1 to +1	0.75
Beliefs on preventive usefulness of vaccines	4	I believe vaccines are important for reducing or eliminating serious diseasesI believe that vaccines are useful in particular settings for example in the developing worldI believe in challenging natural immunity by contracting the disease rather than getting vaccinated [to reverse]I believe that vaccines aren't effective [to reverse]	From -1 to +1	0.68
Beliefs on vaccines-related risks	4	I don't believe in vaccination, I believe that they do more harm than goodI'm afraid of the side effectsI'm afraid of getting sick after vaccinationI am suspicious of the long-term effects on the health from vaccination	From -1 to $+1$	0.84
Perceived susceptibility to infectious diseases	1	I do not think I'm at risk of contracting any infectious disease	From -1 to $+1$	—

vaccinated against influenza (numerator) among all HCWs interviewed, during the 2014–2015 epidemic season.

Variables on psychometric constructs were measured on 5points Likert scale ranging from -1 (totally disagree) to +1(totally agree). By means of a preliminary factor analysis (not shown here for brevity), the items measuring the same underlying construct were averaged into a single quantitative scaling variable as shown in Table 5: *Personal responsibility in HCWs' vaccination; Beliefs on preventive usefulness of vaccines; Beliefs on vaccines-related risks; Perceived susceptibility to infectious diseases.* The level of knowledge about HCWs recommended immunization was measured by a single variable (quantitative scale ranging from -1 to +1) as average of 8 items concerning specific vaccinations.

Statistical analysis

Software STATA IC/12.1 was used for statistical analysis. Significance of association between categorical variables was tested by means of Fisher exact test. To demonstrate HCWs' attitudinal differences toward vaccination, non parametric tests were used to compare quantitative variables between categories (Wilcoxon's and Kruskall-Wallis' test) and to evaluate the significance of their trend (Cuzick's test), in particular considering the immunization against influenza.

To test the mediation effect in the relationship between one psychometric variable and the binary variable measuring active immunization against influenza, the STATA function 'medeff' was used.⁴⁹ An OLS regression model estimated the coefficients in the paths between continuous predictor and continuous mediators and a probit regression estimated the coefficients between continuous predictor or mediators and the binary outcome. The pathways are described as Average Causal Mediation Effect (ACME, or 'indirect effect'), as Average Direct Effect (ADE, or 'direct effect') both expressed also as percentage of 'Total effect'.

Abbreviations

HCWs Health Care Workers

VPDs	Vaccine Preventable Diseases
MMR	Measles-Mumps-Rubella
HBV	Hepatitis B Virus
OLS	Ordinary Least Squares
ACME	Average Causal Mediation Effect
ADE	Average Direct Effect

Disclosure of potential conflicts of interest

All the authors have no conflicts of interest to declare.

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