1	Immune checkpoint inhibitor treatment does not impair ovarian or endocrine function in			
2	a mouse model of triple negative breast cancer			
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27 ABSTRACT

28	Background: Representing 15-20% of all breast cancer cases, triple negative breast cancer
29	(TNBC) is diagnosed more frequently in reproductive-age women and exhibits higher rates of
30	disease metastasis and recurrence when compared with other subtypes. Few targeted treatments
31	exist for TNBC, and many patients experience infertility and endocrine disruption as a result of
32	frontline chemotherapy treatment. While they are a promising option for less toxic therapeutic
33	approaches, little is known about the effects of immune checkpoint inhibitors on reproductive and
34	endocrine function.
35	Results: Our findings in a syngeneic TNBC mouse model revealed that therapeutically relevant
36	immunotherapies targeting PD-1, LAG-3, and TIM-3 had no effect on the quality and abundance
37	of ovarian follicles, estrus cyclicity, or hormonal homeostasis. Similarly, in a tumor-free mouse
38	model, we found that ovarian architecture, follicle abundance, estrus cyclicity, and ovulatory
39	efficiency remain unchanged by PD-1 blockade.
40	Conclusions: Taken together, our results suggest that immunotherapy may be a promising
41	component of fertility-sparing therapeutic regimens for patients that wish to retain ovarian and
42	endocrine function after cancer treatment.
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44	KEYWORDS
45	breast cancer, TNBC, PD-1 inhibitor, immune checkpoint inhibition, oncofertility, ovarian reserve
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53 INTRODUCTION

54 Representing 15-20% of all breast cancer cases, triple negative breast cancer (TNBC) is 55 an aggressive form of breast cancer that is diagnosed more frequently in reproductive-age women 56 than other sub-types^{1,2}. Because TNBC lacks expression of the estrogen receptor (ER), 57 progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2), it is 58 unresponsive to many of the targeted treatments that are used for other subtypes of breast 59 cancer^{3,4}. Thus, cytotoxic chemotherapies, which are associated with several severe systemic 60 side effects, are often key components of frontline treatments⁵. Indeed, these side effects can 61 impact the reproductive system, and many as half of women who receive conventional chemotherapies experience reproductive and endocrine dysfunction as a result⁶. However, recent 62 63 advances in cancer immunotherapy have led to the rapid integration of Pembrolizumab, a 64 programmed cell death protein 1 (PD-1) inhibitor, into standard-of-care treatment regimens for TNBC, which may allow for reduced toxicity during treatment^{7,8}. 65

66 The development of immunotherapies has shown great promise for the targeted treatment 67 of a variety of cancers, and they lack many of the side effects observed with standard of care 68 chemotherapeutics⁹. One such class of immunotherapies that have seen clinical success is immune checkpoint inhibitors, which target immune checkpoint regulators such as PD-1 and its 69 70 ligand PD-L1⁵. These ligands act as modulators in normal tissue to promote self-tolerance but are 71 overexpressed in tumor cells as a mode of evading immunosuppression¹⁰. Immune checkpoint 72 inhibitors such Pembrolizumab employ monoclonal antibodies to block immune checkpoint 73 interactions with the goal of activating tumor-specific cytotoxic T cells and promoting immune cell-74 mediated killing⁴.

Though the introduction of immune checkpoint inhibitors has revolutionized cancer treatment paradigms and patient quality of life, the systemic blocking of immune checkpoint interactions can cause immune-related adverse events (irAEs) associated with a lack of immune tolerance of self-tissues¹¹. While these effects are not as common as those associated with

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79 cytotoxic chemotherapy and are usually mild, they can occasionally be severe and may affect a variety of organs systems⁹. Indeed, endocrine irAEs are some of the most commonly reported 80 81 irAEs in the clinic and include hyper- and hypothyroidism, hypophysitis, hypogonadism, and Type 82 I diabetes^{12,13}. In a 2022 study by Winship et al., anti-CTLA-4 and anti-PD-L1 monoclonal 83 antibodies were associated with an increase in intra-ovarian immune cells and tumor necrosis factor- α (TNF- α) expression, as well as a decrease in ovarian follicle quality and abundance¹⁴. 84 However, no studies have evaluated the ovarian and endocrine effects of standard-of-care PD-1 85 86 inhibitors or blockade of other exploratory targets such as lymphocyte-activation gene 3 (LAG-3) 87 or T cell immunoglobulin and mucin domain 3 (TIM-3).

88 In the mammalian ovary, immature oocytes are stored in a quiescent state as primordial follicles in a finite population known as the ovarian reserve^{15,16}. After puberty, these primordial 89 90 follicles are continuously activated to begin folliculogenesis, the process of transforming into larger, mature follicles that produce steroid hormones and may eventually be ovulated^{17,18}. This 91 92 process occurs continuously through the entire reproductive lifespan until the ovarian reserve is depleted, at which point menopause begins^{19,20}. Because new oocytes cannot be generated after 93 94 birth, it is critical that primordial follicles must be available in sufficient amounts and be maintained 95 through the reproductive lifespan of the host to ensure fertility and endocrine function^{21,22}. 96 Cytotoxic chemotherapies are among the foremost causes of ovarian reserve damage, often resulting in the condition of Primary Ovarian Insufficiency (POI)^{6,23}. Caused by the depletion of 97 98 the ovarian reserve, POI can lead to infertility and impaired endocrine function, and increases the 99 risk of conditions associated with aging such as heart attack, stroke, and osteoporosis²⁴.

100 In all mammals, ovarian follicles undergo highly-conserved processes of growth, 101 maturation, ovulation, and death, although the timeline of these events is species-specific^{16,17}. In 102 humans, the time for an activated primordial follicle to mature into a fully-grown pre-ovulatory 103 follicle takes about 12 months, while in mice, this process takes around 21 days^{25,26}. The 104 menstrual cycle in humans takes an average of 28 days and typically results in the ovulation of a

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single oocyte per cycle, while the mouse estrous cycle takes only 4-5 days and results in the ovulation of several oocytes per cycle^{19,26}. The mouse reproductive cycle begins around 6-8 weeks of age and ends approximately after 12 months^{26,27}. In humans, the menstrual cycle begins at the onset of puberty and continues until menopause^{16,19}. Though mice do not undergo a true "menopause", they experience similarities to human females in the processes of ovarian reserve depletion, loss of fertility, and endocrine dysfunction with aging, and are therefore tremendously useful models for study of mammalian reproductive function²⁸.

112 Immune regulation plays an important role in reproductive and endocrine health. The 113 ovary is subject to immune cell infiltration as it is a highly vascularized, non-immunoprivileged organ²⁹. In the ovary, immune cells are critical in processes related to granulosa cell turnover, 114 ovulation, clearing atretic follicles, and the development and breakdown of the corpus luteum^{30,31}. 115 116 In addition, signaling by cytokines such as TNF- α and transforming growth factor- β (TGF- β) are crucial in follicle maturation and ovulation²⁰. However, it is likely that dysregulation or over-117 activation of these immune factors could cause damage to the ovary^{32–34}. A few epidemiologic 118 119 studies have reported a higher incidence of POI and unexplained infertility in women with chronic inflammatory or autoimmune conditions such as Crohn's Disease and psoriasis^{35,36}. In addition, 120 121 there is some evidence linking inflammation and autoimmunity on ovarian aging and follicle 122 depletion in animal models³⁴. However, the specific mechanisms of autoimmune depletion of the 123 ovarian reserve remain unknown, and it is unclear whether immune checkpoint inhibitor treatment 124 creates a sufficiently heightened systemic immune response to elicit ovarian damage and 125 endocrine disruption.

The ovarian toxicity of many of the frontline chemotherapeutics for TNBC has been wellcharacterized, with the non-renewable population of oocytes being some of the most vulnerable cells to damage^{6,23}. As immune checkpoint inhibitors continue to be incorporated into clinical practice, more studies on their reproductive and endocrine effects are necessary, especially if they are to be used as a component of fertility-sparing treatment regimen. Moreover, given the

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fact that they are still relatively new to the cancer therapeutic repertoire, data on long-term fertility outcomes in human TNBC patients treated with immune checkpoint inhibitors is not yet available and thus preclinical models must be used to evaluate their ovarian impacts³⁷. We hypothesized that ICIs targeting PD-1, LAG-3 and TIM-3 would be relatively benign to ovarian reserve and endocrine function.

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137 MATERIAL AND METHODS

138 Animals

Wild-type C57Bl/6 mice were obtained from the Jackson Laboratory (strain # 000664). All animal protocols were approved by Brown University Institutional Animal Care and Use Committee and were performed in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals (# 22-09-0002). All animal protocols were reviewed and acknowledged by the Lifespan University Institutional Care and Use Committee (# 1987412-1).

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145 E0771 tumor-bearing mouse model and tissue collection

146 Mouse E0771 cells were obtained from ATCC and cultured in DMEM, 10% FBS, and 147 penicillin/streptomycin. Cells were found to be free of pathogens and mycoplasma (per Charles 148 River pathogen testing). Eight-week-old female C57Bl/6J mice were injected with 100 μ L of 1x10⁵ 149 E0771 cell suspension in Matrigel or saline control into the bilateral 4th mammary pads under 150 isoflurane sedation. Once palpable 14 days later, a group of pre-treatment mice were collected, 151 and remaining mice were randomly allocated into study groups to begin treatment regimen of 152 immune checkpoint inhibitor monotherapy or control. Mice received 200 µg doses of mouse anti-153 PD-1 (clone: 29F.1A12), anti-LAG-3 (clone: C9B7W), anti-TIM-3 (clone: RMT3-23), or rat IgG2a 154 isotype control (clone: 2A3) every 4 days via intraperitoneal injection, with treatments stopping 155 after the third dose. All antibodies used for *in-vivo* treatments were purchased from BioXcell. Doses were based on previously described tumor-reducing regimens⁴⁵. Mice were monitored for 156

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14 days and then collected once they reached proestrus stage. Upon collection, tumors, ovaries,
and serum were obtained for further analysis. Tumor burden was determined by quantifying tumor
weight as a proportion of total mouse weight.

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161 Non-tumor-bearing mouse model and tissue collection

Eight-week-old female C57BI/6J mice were mock-injected with 100 μL saline in the 4th mammary pad under isoflurane sedation. 14 days later, mice were randomly allocated into study groups to begin treatment with anti-PD-1 monoclonal antibody, IgG isotype control, or saline via intraperitoneal injection. Mice in the anti-PD-1 and IgG isotype control group received 200 μg doses of monoclonal antibodies every 4 days via intraperitoneal injection, with treatments stopping after the third dose. Mice were monitored for 14 days and then collected once they reached proestrus stage. Upon collection, ovaries and serum were obtained for further analysis.

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170 Vaginal cytology and estrus cycle analysis

After the final dose of immunotherapy or control, estrus cycle stage was monitored daily over the course of 14 days via vaginal smear cytology as previously described⁴⁶. Briefly, the vagina of each mouse was flushed with saline and then mixed with toluidine blue O dye on a glass slide, then classified into the different sub-stages of estrus based on the cell types visualized in the sample. The percentage of time spent in each sub-stage of estrus was calculated for each mouse and results were compared between treatment groups.

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178 Ovarian histology and follicle quantification

Ovaries from tumor-bearing and non-tumor-bearing mice were stained and analyzed as previously described⁴⁶. Briefly, ovaries were fixed in formalin and embedded in paraffin for sectioning at 5 μ m by the Brown Molecular Pathology Core, and every fourth slide was deparaffinized and stained with hematoxylin and eosin (H&E). Five slides per ovary were

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quantified. Follicles were staged and counted on one section per every H&E-stained slide, and
these counts were normalized to section area to yield follicle density.

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186 TUNEL staining

Prior to staining, ovarian FFPE slides were deparaffinized as previously described. Slides 187 188 were then washed for 3 minutes in Phosphate Buffered Saline (PBS), then permeabilized by 189 applying freshly prepared 20 µg/mL Proteinase K in 10mM Tris-HCl solution and incubating for 190 15 minutes in a humid chamber at room temperature. Slides were then washed 2x, 3 minutes in 191 PBS, 1x 3 minutes in PBS + 0.1% Triton (PBST), then again 2x, 3 minutes in PBS. Slides were 192 then stained with the In Situ Cell Death Detection Kit, Fluorescein (Roche) according to 193 manufacturer's instructions. Briefly, slides were incubated with TUNEL reaction mixture for 1 hour 194 in a humid chamber at 37°C protected from light. Slides were then washed 3x for 3 minutes in 195 PBS, once for 3 minutes in DAPI/PBS solution, and then once for 5 minutes in PBS. Slides were 196 then mounted and analyzed on an EVOS M5000 Fluorescence Imaging System and images of 197 all fields of a single section were captured.

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199 Serum hormone analysis

200 Whole blood from mice was collected via post-mortem cardiac puncture and serum 201 separated out as previously described⁴⁶. Serum was sent to the University of Virginia Ligand 202 Assay & Analysis Core for the Center for Research in Reproduction for quantification of serum 203 concentrations of AMH, LH, and FSH.

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205 Superovulation of tumor-bearing and non-tumor-bearing mice

For superovulation experiments in tumor-bearing mice, eight-week-old wild-type C57BI/6J mice were orthotopically injected with E0771 cells into the right 4th mammary pad as previously described. Once palpable 14 days later, mice were randomly allocated into groups to receive

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209 intraperitoneal injections of anti-200 µg doses of PD-1 monoclonal antibody or IgG isotype control, 210 or 100 µL of saline control every 4 days with treatments stopping after the third dose. Eight days 211 after receiving their final dose, mice underwent ovarian hyperstimulation as previously described⁴⁶. Briefly, mice were injected with 5-IU pregnant mare goat serum (PMSG; Prospec 212 213 Bio), and then 48 hours later, injected with 5-IU human chorionic gonadotropin (HCG; Prospec 214 Bio). Twelve hours after HCG injection, mice were euthanized, and ovulated oocytes were 215 collected from the ampullae. Number of oocytes ovulated were counted per mouse and results 216 were compared between treatment groups.

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For superovulation experiments in non-tumor-bearing mice, six-week-old wild-type C57Bl/6J mice were mock-injected with saline into the mammary pad as described. As with the tumor-bearing superovulation study, mice were randomly allocated into groups 14 days later to receive intraperitoneal injections of anti-200 μ g doses of PD-1 monoclonal antibody or IgG isotype control, or 100 μ L of saline control every 4 days with treatments stopping after the third dose. Eight days after receiving their final dose, mice underwent ovarian hyperstimulation and ovulated oocytes were quantified as described.

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226 Statistical analysis

227 Ovarian area and follicle density were quantified from H&E-stained ovarian sections. 228 Follicles were counted by stage, and these counts were then normalized to the section area to 229 calculate oocyte density and account for size differences between different ovaries. For estrus 230 cycling analyses, the percentage of time spent in each substage was then averaged between all 231 animals in a treatment group. For superovulation studies, recovered oocytes were quantified and 232 averaged per treatment group, and mice were classified as "successfully stimulated" if the number 233 of retrieved oocytes met an age-adjusted threshold based on average litter sizes for wild-type 234 C57BI/6J mice in our lab (7 oocytes for 8-week-old mice, 10 oocytes for 6-week-old mice). One-

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way ANOVA with post-hoc Tukey's tests for multiple comparisons were performed to evaluate
differences in tumor burden, follicle abundance, serum hormone levels, percentage of time spent
in estrus between treatment groups.

- 238
- 239 **RESULTS**

Inhibition of PD-1, LAG-3, and TIM-3 does not impact ovarian follicle abundance or quality in a
mouse model of triple negative breast cancer

242 To assess the effects of immune checkpoint inhibition on ovarian health and endocrine 243 function in a clinically-relevant model of TNBC, we collected ovaries and serum of C57BI/6J mice 244 who had been orthotopically injected with syngeneic E0771 cells into the mammary pad, then 245 treated with intraperitoneal injections of anti-PD-1, anti-LAG-3, or anti-TIM-3 monotherapy. These 246 immunotherapies represent an array of immune checkpoint inhibitor candidates that have 247 demonstrated varying efficacy in clinical trials for solid cancers, with anti-PD-1 being the most effective in TNBC populations³⁸⁻⁴⁰. By choosing these specific targets, we were able to 248 249 comprehensively evaluate the effects of differential immune activation on the ovarian reserve and 250 hormonal homeostasis. Tumor-bearing control group mice received intraperitoneal injections of 251 IgG isotype at the same timepoints as immunotherapy-treated mice, and healthy control mice only 252 received a mock-injection of saline into the mammary pad at the time of orthotopic injections. To 253 control for cycle stage-dependent fluctuations in folliculogenesis, ovaries were collected during 254 the proestrus stage 14-16 days following the final immunotherapy treatment. This collection 255 timepoint allowed us to capture any effects that may have a pattern of delayed onset seen in 256 some other adverse immune effects.

Morphologically, ovarian architecture was similar among all treatment groups (Fig 1a-f). Follicles were classified by stage, including the immature, quiescent primordial follicles that make up the ovarian reserve, the developing primary, secondary, and preantral follicles that have been recruited and activated to undergo maturation, and the antral follicles that are nearly ready for

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261 ovulation. Degenerative follicles, which are undergoing atresia and dying, were also guantified 262 per section. At the post-treatment timepoint, anti-PD-1-treated mice showed almost complete 263 tumor regression, which was statistically significant when compared to the IgG isotype control 264 group (p=0.0125) (Fig 1f). Mice treated with anti-LAG-3 or anti-TIM-3 exhibited a more variable 265 response to treatment, with the anti-TIM-3-treated group achieving a significant reduction in tumor 266 burden (p=0.0492). Though the anti-LAG-3 group had a lower mean tumor burden than IgG 267 isotype controls, this difference was not statistically significant (p=0.8006). Ovarian area, as well 268 as overall and stage-specific oocyte densities were not significantly different between immune 269 checkpoint inhibitor-treated groups and IgG isotype-treated or saline mock-injected controls (Fig 270 1q-i). There were also no appreciable levels of oocyte or granulosa cell apoptosis found via 271 TUNEL staining (Supp Fig 1). These findings indicate that inhibition of a variety of immune 272 checkpoint interactions has no long-term effect on ovarian morphology or folliculogenesis in a 273 tumor-bearing system.

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Inhibition of PD-1, LAG-3, or TIM-3 does not perturb endocrine homeostasis or reproductive
cyclicity

277 Given that long-term endocrine dysfunction and disruption of reproductive cycling are 278 common side effects of cancer therapy that are at times unrelated to ovarian reserve function, we 279 assessed these outcomes via serum hormone and estrus cycling analysis in the E0771 tumor-280 bearing mouse model. As with the ovarian collections, we collected serum during the proestrus 281 stage 14-16 days following the final immunotherapy treatment to control for cycle stage-282 dependent fluctuations in hormone levels. Serum levels of luteinizing hormone (LH) and follicle 283 stimulating hormone (FSH) were quantified to evaluate possible disturbances in the hypothalamic-284 pituitary-gonadal axis and hormonal cycling. Serum levels of anti-Mullerian hormone (AMH), 285 produced by granulosa cells of maturing follicles and current clinical gold standard for evaluating follicle abundance, was also quantified⁴¹. Serum levels of LH and FSH levels did not differ 286

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significantly between any of the immunotherapy treatment and control groups (Fig 2a-b). Likewise, there were no significant differences observed in LH:FSH ratio (Fig 2c), a common clinical metric in which high values correlate with a lack of ovulation in polycystic ovarian syndrome⁴². Consistent with our ovarian follicle density results, we found that AMH levels were unchanged between immunotherapy-treated and control group animals.

Estrus cyclicity was analyzed by monitoring vaginal cytology daily for two weeks starting the day after the final immunotherapy or IgG isotype control treatment. We found that there were no significant differences in the amount of time spent in each of the cycle stages between any of the immunotherapy treatment and control groups (Figure 2e). Taken together with the serum hormone data, these findings suggest that immune checkpoint blockade does not impair endocrine function or hormonal cyclicity in tumor-bearing mice.

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Treatment with anti-PD-1 immunotherapy does not impact ovarian follicle density or reproductive
cyclicity in a non-tumor-bearing mouse model

301 To further investigate ovarian and endocrine function after treatment with anti-PD-1 302 immunotherapy and to disentangle any observed effects from tumor burden-specific phenomena. 303 we sought to validate our results in a tumor-free mouse model. To simulate orthotopic implantation 304 of tumor cells, young adult female C57BI/6J mice age-matched to the E0771 cohort were mock-305 injected with saline into the mammary pad. Narrowing down our treatment focus to answer 306 questions of key clinical relevancy, we then administered anti-PD-1 mAb, IgG isotype control, or 307 saline control intraperitoneal injections, all at the same timepoints as the cohort of E0771 tumor-308 bearing mice. As with all tumor-bearing studies, ovaries and serum collected 14-16 days following 309 the final treatment when mice entered the proestrus stage.

Ovaries from all groups of non-tumor-bearing mice appeared morphologically similar to each other (Fig 3a-c) and showed no differences in total area (Fig 3d). Upon quantifying ovarian follicle abundance, anti-PD-1-treated mice did not differ significantly in oocyte and follicle stage

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313 densities compared to IgG isotype and saline-treated controls (Fig 3e-f). These findings 314 recapitulate our results from the tumor-bearing model and suggest that PD-1 blockade has no 315 negative effect on follicle abundance or folliculogenesis.

To evaluate any effects of anti-PD-1 immunotherapy on hormonal cyclicity in the absence of tumor burden, we monitored estrus cycling via vaginal cytology for two weeks following administration of the final immunotherapy or control treatment. Unsurprisingly, we found that the percentage of time spent in each substage of estrus was unchanged between anti-PD-1-treated animals and control animals treated with IgG isotype or saline (Fig 3g). These results are consistent with the tumor-bearing model, suggesting that hormonal cyclicity is unaffected by PD-1 inhibition in both a tumor-bearing and non-tumor-bearing system.

323 Interestingly, the only differences observed in ovarian composition are found when 324 comparing treatment-matched groups from the tumor-bearing and non-tumor-bearing cohorts. 325 IgG isotype-treated animals from the tumor-bearing group have a statistically significant decrease 326 in ovarian area compared to their non-tumor-bearing counterparts (Supp Fig 2). Likewise, tumor-327 bearing anti-PD-1-treated animals had higher levels of preantral follicle density than the non-328 tumor-bearing anti-PD-1-treated group (Supp Fig 2). These findings demonstrate that the effect 329 of tumor burden may play a larger role in determining ovarian and endocrine health than any 330 immune-related changes resulting from PD-1 blockade.

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332 Treatment with anti-PD-1 immunotherapy does not impair ovulatory capacity

To investigate whether PD-1 inhibition could affect ovulatory efficiency, we conducted superovulation studies to assess responses to ovarian hyperstimulation. One week following treatment with anti-PD-1 immunotherapy, IgG isotype, or saline control, animals of each group were hormonally stimulated with PMSG, then given a trigger shot of HCG 48 hours later. Cumulusoocyte complexes and ovaries were collected from the ampullas of all animals 12 hours after the

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HCG injection. Ovaries from stimulated mice displayed corpora lutea formation consistent withrecent ovulation (Fig 4 a-c).

There were no significant differences in the numbers of successfully stimulated mice or number of oocytes recovered per stimulated mouse between the anti-PD-1-treated group and control groups (Fig 4d-e). These results indicate that PD-1 inhibition does not impact ovulatory efficiency or the ability to respond to hormonal stimulation.

344

345 **DISCUSSION**

346 As cancer therapeutics and survivorship outcomes improve, preserving the ovarian 347 reserve during cancer treatment has become critical. Not only does the ovarian reserve comprise 348 an individual's entire reproductive potential, but it also directly contributes to endocrine balance, 349 making it critical for female health-span and lifespan. Moreover, though immune checkpoint 350 inhibitors may potentially be a less-toxic approach to treating cancer, they are still associated with 351 a suite of adverse immune effects and thus require characterization of their impact on reproductive and hormonal function^{2,13}. Based on our rigorous studies in both a TNBC tumor-352 353 bearing model and a tumor-free model, we found no evidence that immune checkpoint inhibition 354 negatively affects the ovarian reserve or endocrine homeostasis.

355 In this work, we demonstrate that ovarian architecture and follicle density remain 356 unchanged by immune checkpoint blockade. Ovaries from tumor-bearing mice treated with 357 immunotherapies targeting PD-1, LAG-3, and TIM-3 appeared healthy and bore remarkable 358 resemblance to ovaries from mice treated with IgG isotype control. While we were interested in 359 understanding any ovarian or endocrine effects of anti-PD-1 therapy as it is commonly being used 360 to treat TNBC in the clinic, we chose to also investigate anti-LAG-3 and anti-TIM-3 because of 361 their possible future uses in treatment regimens for TNBC or other solid cancers. However, due 362 to their variable efficacy in TNBC clinical trials, we narrowed our scope to focus solely on anti-PD-1 therapy in non-tumor-bearing and subsequent studies³⁸. As seen in the tumor-bearing 363

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364 cohort, ovaries from non-tumor-bearing mice treated with anti-PD-1 were equally as healthy as 365 the control group ovaries. Critically, this lack of ovarian and endocrine damage observed after 366 immune checkpoint inhibitor treatment coincides with tumor regression in the tumor-bearing 367 cohort, particularly in anti-PD-1-treated mice. This anti-tumor efficacy indicates that our 368 monotherapy doses are therapeutically relevant, and thus, are appropriate doses for evaluating 369 reproductive toxicity.

370 Interestingly, the primary differences were found only when comparing ovaries from tumor-371 bearing mice to those from non-tumor-bearing mice. Among mice treated with anti-PD-1 therapy. 372 preantral follicles were significantly higher in the tumor-bearing group, while non-significant 373 increases could also be seen in degenerative and primary follicles of the tumor-bearing group. 374 One possible reason for this could be a delay in follicle maturation in tumor-bearing animals, 375 causing an accumulation of maturing follicles and thus a higher percentage of degeneration. 376 These disruptions in folliculogenesis may be attributable to non-specific inflammation related to 377 tumor burden, especially considering the anatomical proximity of the mammary tumor to the 378 ovaries. Moreover, among mice treated with IgG isotype, ovarian area was reduced in the tumor-379 bearing group while oocyte density remained comparable. This finding could potentially be due to 380 cancer-related inflammatory effects on the somatic compartment of the ovary, a mechanism proposed by Chagour et al⁴³. These results may possibly indicate a trend that local cancer-381 382 associated inflammation may have a larger role in determining ovarian health than immune 383 checkpoint blockade itself.

Our studies also show that hormonal cyclicity and serum hormone levels are similar between immunotherapy and control group mice. These results were not particularly surprising given that we found that immunotherapy did not diminish the ovarian reserve. However, considering that autoimmune disorders affecting endocrine function are among the most common of the adverse immune effects associated with immune checkpoint inhibitor treatment, it was important for our research to investigate possible extra-ovarian effects¹³. Finally, our results

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390 suggest that anti-PD-1 immunotherapy has no effect on responsiveness to hormonal stimulation 391 or ovulatory capacity. Taken together, we can conclude that immune checkpoint inhibitors, namely 392 anti-PD-1-based immunotherapies, could be a critical component of ovary-sparing cancer 393 therapeutic regimens.

394 Though there is little literature available on the effects of immunotherapy on the ovarian 395 reserve, a 2022 study from Winship et al. found that PD-L1 and CTLA-4 inhibition causes 396 depletion of the ovarian reserve, disruption of estrus cyclicity, reduced ovulatory capacity, and an 397 increase in intra-ovarian immune activity¹⁴. Similar to our studies, Winship et al. evaluated these 398 immunotherapies in both a tumor-bearing and non-tumor-bearing model. Given that we 399 investigated different immune checkpoint targets, our results are not necessarily inconsistent with 400 those reported by Winship et al. However, our conclusions are strikingly different when 401 generalizing about the effects of immune checkpoint blockade as a whole. While the Winship 402 study posits that immune checkpoint inhibitors are harmful to the ovarian reserve, our study is the 403 first to test the effects of anti-PD-1 therapy, the only standard-of-care immunotherapy approved by the FDA for the treatment of TNBC⁷. In addition to the difference in selection of immune 404 405 checkpoint targets, some of our contrasting results may also be attributable to the difference in mouse models used. For example, the Winship study employed the use of C57BI/6J mice 406 407 orthotopically injected with AT3OVA cells for their tumor-bearing experiments¹⁴. While this is 408 indeed a syngeneic model for mammary carcinoma, the cell line is not as commonly used as our 409 E0771 model and could have critical differences in immunogenicity, receptor expression, or 410 clinical relevance⁴⁴. Ultimately, our study provides critical context to the current body of 411 knowledge on the reproductive and endocrine impact of immune checkpoint inhibitors.

412 Our mouse studies sought to model a clinically-relevant dosing schedule equivalent to one 413 round of immunotherapy treatment widely used in previous research. By allowing 14 days to 414 elapse between treatment and collection, we were able to monitor and record daily estrus cycling 415 to assess immediate effects before eventually capturing delayed effects on ovarian health and

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serum hormone balance. Future studies will target long-term outcomes such as fecundity andpremature ovarian aging after immunotherapy exposure.

418 Though we aimed to design and execute comprehensive studies, this work is not without 419 its limitations. Our studies do not investigate subtle immunotherapy-induced changes that may 420 not be apparent in histological analyses. However, due to the overwhelming lack of negative 421 functional effects observed, any subtle phenotypes will be subject of future studies and are 422 beyond the purview of this current study. In addition, we recognize that the number of mice who 423 were responsive to hormonal stimulation is low, making it more difficult to draw conclusions about 424 ovulatory capacity. However, considering the need to balance a clinically-relevant dosing schema 425 with the inherent challenges of hormonally stimulating mice older than 4 weeks of age, we chose 426 to perform our study as described and make statistical adjustments to account for reasonable 427 oocyte recoveries.

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429 CONCLUSIONS

430 Our research adds novel information to a burgeoning field studying the effects of 431 immunotherapy on reproductive and endocrine function, and provides reassuring data to support 432 that anti-PD-1 therapy for TNBC, which is now standard-of-care, does not detrimentally affect 433 ovarian function. Given the rising number of young women being diagnosed with TNBC, there is 434 a critical need to design treatment approaches that can maximize therapeutic efficacy while 435 minimizing damage to the ovarian reserve, thereby improving treatment outcomes and quality of 436 life for survivors. Though population-level clinical data would provide the most clarity on the fertility 437 outcomes after immune checkpoint inhibitor treatment, our studies present a human-relevant 438 animal model to assess ovarian and endocrine effects of novel immunotherapies to inform clinical 439 recommendations.

440

441 **DECLARATIONS**

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- 442 Ethics approval and consent to participate
- 443 Not applicable.
- 444
- 445 Consent for publication
- 446 Not applicable.
- 447
- 448 Availability of data and materials
- 449 The data generated from this study are available from the corresponding author upon reasonable
- 450 request.
- 451
- 452 Competing interests
- 453 The authors declare no competing interests.
- 454
- 455 Funding

456 Primary sources of funding for personnel, reagents, and supplies was provided by Swim Across

457 America and the Foundation for Women's Wellness. Support for key instruments used for this

458 research was provided by the Kilguss Research Core of Women and Infants Hospital and the

- 459 Brown University Genomics Core.
- 460

461 *Authors' contributions*

462 PDLC, MFW-S, JNM, ES, LH, MMA, and KJG performed the experiments. PDLC and KJG 463 analyzed and interpreted the data. PDLC and KJG wrote and revised the manuscript.

464

465 Acknowledgements

466 The authors would like to thank the Program in Women's Oncology of Women and Infants Hospital

467 and the generous funding support of Swim Across America and the Foundation for Women's

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- 468 Wellness. Technical support for this work was provided by the University of Virginia Center for
- 469 Research in Reproduction Ligand Assay and Analysis Core, which is supported by the Eunice
- 470 Kennedy Shriver NICHD Grant R24 HD102061. The authors thank the Freiman and James
- 471 laboratories for providing valuable feedback on this project.

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473 FIGURE LEGENDS

- 474 Figure 1- Inhibition of PD-1, LAG-3, and TIM-3 does not impact ovarian follicle abundance or
- 475 quality in a mouse model of triple negative breast cancer

476 Ovaries from E0771 tumor-bearing mice treated with monoclonal antibodies targeting PD-1, LAG-

- 477 3, TIM-3 (A-C), IgG isotype (D), and a healthy control mock-injected with saline (E) were collected, 478 formalin-fixed, paraffin-embedded, and then stained with hematoxylin and eosin via standard 479 protocols (n=3 per group). Degenerative follicles are denoted with white arrows. Tumor burden 480 analysis (**F**) at study endpoint reveals near-complete tumor regression in anti-PD-1-treated mice, 481 along with varying reductions in tumor size in the anti-LAG-3 and anti-TIM-3 groups (n=3 per 482 treatment group). Ovarian size, oocyte density, and follicle stage density was not significantly 483 different between any of the treatment or control groups (G-I). Ovarian follicle counts were 484 quantified using one section on every fourth slide of sectioned ovary to capture all follicle stages 485 without over-representing larger follicles. To account for size differences between ovaries, section 486 area was used to normalize follicle counts. Data for follicle counts, follicle density, and ovarian 487 area can be found in Supplementary File 1.
- 488 *p<0.05, as indicated,
- 489

490 Figure 2- Inhibition of PD-1, LAG-3, and TIM-3 does not perturb endocrine homeostasis or 491 reproductive cyclicity

Serum concentrations of LH (**A**) and FSH (**B**), as well as the LH:FSH ratio (**C**), and AMH (**D**) were not significantly different between any of the treatment and control groups (n=3 per group). Estrus cyclicity was monitored daily via vaginal cytology for two weeks starting the day following the final immunotherapy treatment, and the percentage of time spent in each substage was averaged between mice in each treatment group (n=3 per group). The amount of time spent in each substage of the estrus cycle was unchanged between all treatment and control groups (**E**).

21

499 Figure 3- Treatment with anti-PD-1 immunotherapy does not impact ovarian follicle density or 500 reproductive cyclicity in a non-tumor-bearing mouse model

501 Ovaries were collected for FFPE from healthy, non-tumor-bearing mice treated with anti-PD-1 502 monoclonal antibodies, IgG isotype control, or saline control (**A-C**), and then stained with 503 hematoxylin and eosin (n= 3 per group). There were no significant differences between ovarian 504 size, oocyte density, follicle abundance, or the percentage of time spent in each substage of 505 estrus between treatment groups (**D-G**). Data for follicle counts, follicle density, and ovarian area 506 can be found in Supplementary File 2.

507

508 Figure 4- Treatment with anti-PD-1 immunotherapy does not impair ovulatory capacity

509 One week following treatment with anti-PD-1 monoclonal antibodies, IgG isotype control, or saline 510 control, mice were super-ovulated with PMSG and HCG using standard protocols. Ovaries from 511 superovulated mice were collected for FFPE and then stained with hematoxylin and eosin (A-C). 512 There were no significant differences in the number of mice who responded to hormonal 513 stimulation (D) or the number of oocytes retrieved from successfully superovulated mice (E). 514 Retrieved oocytes were collected from each animal, then counted and averaged per treatment 515 group (n=6 for anti-PD-1 and IgG isotype control, n=5 for saline control). Mice were classified as 516 "successfully stimulated" if their number of retrieved oocytes reached an age-adjusted threshold 517 for hyperstimulation (10 oocytes for 7-week-old mice, 7 oocytes for 10-week-old mice). Counts of 518 retrieved oocytes from "successfully stimulated" mice were averaged and compared between 519 treatment groups (n=5 for anti-PD-1, n=2 for IgG isotype control, n=4 for saline control).

520

521 Supplemental figure 1

522 TUNEL staining of ovaries from E0771 tumor-bearing mice treated with monoclonal antibodies 523 targeting PD-1, LAG-3, TIM-3, and IgG isotype control showed no appreciable levels of apoptosis 524 in follicles.

22

525

526 Supplemental figure 2

527 Differences in ovarian area, follicle density, and estrus cyclicity in tumor-bearing vs. non-tumor-528 bearing mice. Among mice treated with IgG isotype control, ovarian area was significantly 529 increased in non-tumor-bearing mice, which may indicate increased volume in the ovarian 530 somatic compartment of healthy mice. Among mice receiving anti-PD-1 treatment, preantral 531 follicle counts were significantly higher in the tumor-bearing group, perhaps indicating an 532 accumulation of maturing follicles in TNBC mice.

533 *p<0.05, as indicated

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Primordial Primary

Follicle Stage

Secondary

Preantral

Antral

Degenerative



d

С

Serum LH to FSH ratio 8 Serum concentration ratio 6 4 2 0



anti-PD-1

- anti-LAG-3
- anti-TIM-3
- lgG
- Saline

Serum AMH Levels



anti-PD-1 anti-LAG-3 anti-TIM-3 lgG Saline



-2









100

190

19^G saline

anti-PD-1

IgG isotype control



Saline control



d

Number of responders to hormonal stimulation



е

Retrieved oocytes per stimulated mouse

