## The Invention and Early History of the N-Localizer for Stereotactic Neurosurgery

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#### Abstract

Nearly four decades after the invention of the N-localizer, its origin and history remain misunderstood. Some are unaware that a third-year medical student invented this technology. The following *conspectus* accurately chronicles the origin of the N-localizer, presents recently discovered evidence that documents its history, and corrects misconceptions related to its origin and early history.

Categories: Medical Physics, Neurosurgery, Radiation Oncology

**Keywords:** stereotactic neurosurgery, stereotactic radiosurgery, image guidance, image-guided, computed tomography, magnetic resonance imaging, positron emission tomography (pet), n-localizer, medical imaging, brain imaging

#### Introduction And Background

The N-localizer (*aka* N-bar) has become an important tool for image-guided stereotactic neurosurgery and radiosurgery. The N-localizer produces two circles and one ellipse in tomographic images obtained via computed tomography (CT), magnetic resonance (MR), or positron emission tomography (PET). The relative spacing between the ellipse and the two circles precisely determines the position of the tomographic section with respect to the N-localizer (Figure 1) [1-2].

Received 05/25/2016 Review began 05/27/2016 Review ended 06/23/2016 Published 06/14/2016

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#### How to cite this article

Brown R A, Nelson J A (June 14, 2016) The Invention and Early History of the N-Localizer for Stereotactic Neurosurgery. Cureus 8(6): e642. DOI 10.7759/cureus.642



## FIGURE 1: N-Localizer and Its Interaction with the Tomographic Section

 $(\mathbf{a})$  Side view of the N-localizer. The tomographic section intersects the N-localizer at two

vertical rods and one diagonal rod. (b) Tomographic image. The intersection of the tomographic section with the N-localizer produces two circles and one ellipse. The relative spacing between the centers of the ellipse and the two circles varies according to the height at which the tomographic section intersects the diagonal rod. Measuring this spacing permits calculation of the position of the tomographic section with respect to the N-localizer [2].

Russell A. Brown invented the N-localizer in May 1978 when he was a third-year medical student and during a research elective under the supervision of James A. Nelson at the University of Utah [3]. In August 1978, Brown designed and built the first CT-compatible stereotactic frame in order to test the concept of the N-localizer (Figure 2). This stereotactic frame was presented at a joint meeting of the Western Neurological Society and the American Academy of Neurological Surgery held in Los Angeles, California in October 1978 [1] and at the INSERM Symposium on Stereotactic Irradiations held in Paris, France in July 1979 [4].



#### FIGURE 2: The First CT-Compatible Stereotactic Frame

Brown designed and built this stereotactic frame in August 1978 in order to test the concept of the N-localizer [1]. Three N-localizers are attached to this frame and are merged end-to-end such that only seven rods are present. Because three points determine a unique plane in threedimensional space, the locations of the centers of the three ellipses that the three N-localizers produce in a tomographic image precisely determine the position of the tomographic section with respect to the stereotactic frame [2].

Beginning in 1979, seven different stereotactic frames incorporated the N-localizer: Brown-Roberts-Wells (BRW) [5], Pfizer [6], Todd-Wells [7], Reichert-Mundinger [8-9], Kelly-Goerss Compass [10], Leksell [11], and Cosman-Roberts-Wells (CRW) [12]. Subsequently, the N-localizer achieved widespread use in image-guided stereotactic neurosurgery and radiosurgery directed by CT [13-16], MR [17-21], and PET [22-23].

The N-localizer has assisted stereotactic radiosurgery [24-26] and neurosurgery for tumor biopsy [27-28] and resection [29], for hematoma evacuation [30-31], for cyst and abscess aspiration [31-34], for brachytherapy [31-37], for electrode placement to manage pain [31] and epilepsy [31, 38-39] and to treat Parkinson tremor [40-42], and for thalamotomy, pallidotomy, and dentatomy [31,42]. The simplicity and accuracy of the N-localizer render it an important tool for modern neurosurgery [3].

The impact of the N-localizer on stereotactic surgery and radiosurgery may be estimated from data compiled by Linskey, who counted the types of journal articles published during the years 1966 through 2003 [43]. Those data are summarized as follows [3].

Publications devoted to tumor stereotaxis were a minor fraction of all stereotactic neurosurgery publications until 1979, when the N-localizer was first described [1-2]. Beginning in 1980, the number of publications devoted to stereotactic surgery, brachytherapy or radiosurgery

increased rapidly. Publications devoted to frame-based stereotactic surgery dominated until 1994, when they were overtaken by publications devoted to stereotactic radiosurgery. Publications devoted to stereotactic radiosurgery progressively increased and subsequently outnumbered publications devoted to stereotactic surgery or brachytherapy. During the three years from 2001 through 2003, publications devoted to stereotactic radiosurgery comprised roughly two-thirds of all publications devoted to cranial tumor stereotaxis.

The volume of stereotactic radiosurgery assisted by the N-localizer has continued to increase until the present day. Leksell and Lunsford affirm [44], "Statistics reported by about 80% of all Gamma Knife centers indicate that as of 2014, more than a million patients had undergone Gamma Knife surgery, and approximately 60,000 new patients undergo such surgery every year."

#### **Review**

During the 38 years since the invention of the N-localizer, misconceptions have arisen concerning its origin and early history in relation to subsequent developments in image-guided stereotactic surgery. Those misconceptions have been discussed previously [45]. The current article presents recently discovered evidence (see Figure 9 in Appendix 6) that corroborates that previous discussion.

The first misconception maintains that the Pfizer frame was the first CT-compatible stereotactic frame and that it was constructed in 1978. Kondziolka and Lunsford of the University of Pittsburgh assert this misconception, together with their failure to discuss the prior literature, in their claim [46], "At our center, the first CT compatible stereotactic head frame, in collaboration with industry, was constructed in 1978 and utilized in 13 patients [6, 47]. [...] During this interval, the newly redesigned Leksell CT compatible stereotactic head frame [13] was used for dedicated brain biopsies under the direction of its inventor, Lars Leksell. Several groups were working on devices to allow accurate CT based stereotactic surgery [48]."

The above assertion presents an erroneous chronology. The Pfizer frame was neither the first CT-compatible stereotactic frame (see Figure 2) nor was it constructed and used in 1978. Instead, it was constructed and used in 1979, as per Lunsford et al., who recount [49], "In 1979, our first efforts in image-guided stereotactic surgery attempted to adapt an early-generation Leksell frame. The metallic artifacts precluded adequate computerized tomography (CT) imaging, and we subsequently developed a CT-compatible stereotactic device (Pfizer frame [...]) [50, 6] which was used in an initial series of 15 patients beginning in 1979." This statement is corroborated by Lunsford, Niranjan, Kassam, Khan, Amin, and Kondziolka, who state [51], "During the interval of 1979 to 1980, 13 stereotactic procedures were performed in a diagnostic scanner at our hospital." These two statements confirm that the Pfizer frame was constructed and used in 1979, not in 1978.

Further evidence that the Pfizer frame was constructed in 1979 is provided by Perry, Rosenbaum, Lunsford, Swink, and Zorub, who state [6], "The *Pfizer* stereotactic frame was made after attempts to modify the Leksell frame [...] proved difficult" (italics added). This evidence is corroborated by a letter from Perry to Lunsford, Rosenbaum, and Zorub [52] and a letter from Pfizer Medical Systems to its patent attorney [53]. Those letters verify that as of January 15, 1979, Perry, Rosenbaum, Lunsford, and Zorub had not yet attempted any surgery using the modified early-generation Leksell frame. Hence, the Pfizer frame, which was constructed after efforts to adapt the early-generation Leksell frame had failed, was constructed in 1979.

In addition to presenting an erroneous chronology, the above assertion [46] of Kondziolka and

Lunsford disregards the fact that the CT-guidance technologies of the Leksell frame and the Pfizer frame were derivative. For both frames, the inclusion of vertical and diagonal elements originated from Brown's prior invention and description of the N-localizer. This fact is established by the articles that introduced the Leksell [13] and Pfizer [6] frames. Both articles cited one [1] of Brown's seminal articles that had introduced the N-localizer one year earlier [1-2]. Although Lunsford (with and without Kondziolka) had previously cited one or the other of Brown's seminal articles in multiple publications [6, 31, 50-51, 54-55], these coauthors cited neither seminal article in their above assertion. Instead, they cited a later article by Roberts and Brown [48] that was published contemporaneously with the first articles from the University of Pittsburgh [6, 47] and one year after Brown's seminal articles had introduced the N-localizer.

The second misconception maintains that investigators from Pfizer Medical Systems and the University of Pittsburgh invented the N-localizer. This misconception is asserted by Lunsford, Niranjan, Kassam, Khan, Amin, and Kondziolka, who claim [51], "During the subsequent years of training, the senior author had an opportunity to work with an innovative neuroradiologist, Arthur Rosenbaum, M.D., and an engineer, John Perry, Ph.D., who then headed the imaging division of Pfizer Medical Instruments. Together, we developed an image-guided stereotactic system using the now well-known N-localizer technology. This elegant solution was proposed by Perry et al. [6] and Rosenbaum et al. [47] independently and virtually simultaneously as publications from Brown [2] and Roberts and Brown [48] of Utah."

In the above assertion, the intended antecedent of "elegant solution" could be "image-guided stereotactic system" or "N-localizer technology." Perry et al. did propose the Pfizer image-guided stereotactic system [6], which incorporated the N-localizer, several months after Brown et al. had proposed the Brown-Roberts-Wells (BRW) image-guided stereotactic system [5]. However, the historical record shows that none of the above-mentioned individuals, with the exception of Brown, invented the N-localizer. Instead, Perry adopted the N-localizer after Brown had disclosed it to him. Documents that corroborate these facts have remained preserved in the archives of the U.S. Patent and Trademark Office for more than 30 years. The following discussion, which is based on those archives, recounts Perry's research related to image-guided stereotactic surgery and reveals the events that led to his adoption of the N-localizer.

Prior to the invention of the N-localizer, Lee, Villafana, and Lapayowker had reported a method for estimating the position of a tomographic section with respect to patient anatomy [56-57]. Their method involved a plate into which were milled vertical slots whose tops lay along a diagonal line (Figure 3).



# FIGURE 3: Slotted Plate and Its Interaction with the Tomographic Section

(a) Side view of the slotted plate. The tomographic section intersects the plate into which are milled vertical slots of different lengths. The tops of the slots lie along a diagonal line. (b)

Tomographic image. The intersection of the tomographic section with the slotted plate produces a variable number of notches. The number of notches depends on the height at which the tomographic section intersects the plate. Counting the number of notches permits estimation of the position of the tomographic section with respect to the slotted plate.

Documents from the archives of the U.S. Patent and Trademark Office indicate that as of January 15, 1979, Perry, Rosenbaum, Lunsford, and Zorub had attached three slotted plates to a Leksell frame [52-53]. In principle, three slotted plates enabled the calculation of the position of a tomographic section with respect to a stereotactic frame, similar to the manner in which three N-localizers enable this calculation (Figure 2).

In practice, however, the slotted plate was susceptible to error as a result of the discrete or quantized nature of the slots. Perry observed that it was necessary to count carefully the numerous notches that were visible in the tomographic image, because any miscount would give rise to errors in the subsequent calculation of the position of the tomographic section with respect to the stereotactic frame [52]. Moreover, the partial volume effect [58-59], which derives

from the several-millimeter thickness of the tomographic section, impeded accurate counting of the notches, because any slot that passed partially into but not entirely through the tomographic section would produce an only faintly visible notch. For these reasons, the slotted plate was vulnerable to human error and hence was unsuitable for clinical use. The N-localizer avoids these quantization problems and the attendant possibility of computational errors by virtue of the continuous nature of the N-localizer's rods.

Perry's earliest description of the slotted plate, and indeed the earliest record of his involvement with image-guided stereotactic surgery, was in his letter dated January 15, 1979, and addressed to his collaborators, Lunsford, Rosenbaum, and Zorub at the University of Pittsburgh [52]. Perry's letter describes three slotted plates attached to a stereotactic frame and provides instructions for using computer software in conjunction with those slotted plates to calculate the position of a tomographic section with respect to that stereotactic frame. Well before the date of Perry's letter, Brown had already invented the N-localizer [60], built the first CT-compatible stereotactic frame [61], and presented his results to the Western Neurological Society and the American Academy of Neurological Surgery [1].

On January 25, 1979, Brown spoke by phone with one of Perry's coworkers at Pfizer Medical Systems and learned that Perry's research concerned image-guided stereotactic surgery [62]. The following day, Pfizer Medical Systems sent to its patent attorney a letter that included a photo of a Leksell frame to which three slotted plates were attached and a photo of a CT image of the Leksell frame and slotted plates [53]. A few days later, Brown spoke by phone with Perry and disclosed the N-localizer to him [63]. Perry et al. subsequently abandoned the slotted plate, adopted the N-localizer, and incorporated it into the Pfizer CT-compatible stereotactic frame [6].

Perry's earliest description of the N-localizer was in his application to the U.S. Patent and Trademark Office dated April 13, 1979 [64]. When challenged by Brown via a Patent Interference proceeding before the U.S. Patent and Trademark Office, Perry failed to provide any evidence whatsoever of having invented the N-localizer. Moreover, Brown's invention of the N-localizer [60] had preceded Perry's earliest involvement with image-guided stereotactic surgery [52] by eight months. Consequently, Perry conceded "priority of invention" to Brown [65], and the U.S. Patent and Trademark Office awarded to Brown patent protection for the Nlocalizer and for other significant aspects of image-guided stereotactic surgery [66]. The documents [1, 52-53, 60-62, 65] that the U.S. Patent and Trademark Office examined prior to awarding patent protection to Brown instead of Perry are a matter of public record. Those documents may be obtained from the U.S. Patent and Trademark Office by requesting a copy of the folder for Interference No. 101267. In order to facilitate access to those documents, copies are included in the Appendices to this article.

### Conclusions

Brown invented the N-localizer and built the first CT-compatible stereotactic frame in 1978. The N-localizer has become an important tool for modern neurosurgery and has achieved widespread use in image-guided stereotactic neurosurgery and radiosurgery directed by CT, MR, and PET. Beginning in 1979, seven different stereotactic frames incorporated the N-localizer. For each frame, the inclusion of the N-localizer was derivative and originated from Brown's research.

### **Appendices**



FIGURE 4: Appendix 1: John Perry Letter, pp. 1-3, January 15,

1979



FIGURE 5: Appendix 2: Richard Matthews Letter, pp. 1-7,

January 26, 1979

or properties of the derestantic head frame using moder dowels in the pear fature. Neglossky 5/9/78 Read and senderstore 17 may 1978 ⊊aatsis Aas 5/24/78 24/18 A radification to the proposed had been used allow determining the backson in basis assor. This mathemation of your similar to these Someries have allowed device. One shark with a hard forme, the base of nucles is hard forme, the base of nucles is and forme, the base of nucles is hard forme. n ĵ → wet ' 쐈 T y lina V 24 slice direction 10 EXELDIT A one the adds for sourcing only some 27 cosspices which are recovered following sourcing to allow pleasant of the horizontal bars dissribed earliers is not direction a) K 熑 붠 A can parallel to the y-axis will then show the following 6 circles where it interests the disposed roots and the estimation of the seture on the diagonal vodes (next peter page); 'Z8 to to the description of the transfer of the terminal rod The solid off the is properliand to the height of the elice as measured along the xears in the diagram. It the frame is robuble doct the event, that is, no larger puelled to the y-aris the diagram 320 will results 200 ho do ho 6 the frame is rotated about the x-axis = following diagram will result: ЪĆ P. 0.2 ۵ ah haven't figured out how to dehed that have the dehed The may be possible to assume the may be possible to assume the no robbin about the x- and y-axes if the frame is placed on a flat comfor, that is, a candor page orthogonal to the plane as the skee, Wedneddy 5/24/78 < 34 5/24/78 Specific placed as follows would allow strattion of rotation about the y-axis: The rotation would be detected in the same manner as rotation about The same on ... the z-axis, wednesday = [24/78 State of Contraction of the second state of th

FIGURE 6: Appendix 3: Russell Brown Notebook 1, pp. 26-30,

May 24, 1978

80: Frame built should have 3 diagonals equally suspaced around its perimeter:

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nearest min.) I then apply these settings to the frame and pass the a hudto road as a probe. In all as of far the the rod is within 2 mm tip of Hp of the road is intracting that its, it from being "an farget", that its, it is usually below and to the left of the intended point of anticit

FIGURE 7: Appendix 4: Russell Brown Notebook 1, pp. 80-83,

August 28, 1978

54 \$ The square root of the sums of the square's of the three individual errors is taken to be the error for a probe placement. This is possible because. These 3 errors are approximately orthogonal , to one another. For the 20 probe placements documented on the preceding page the mean error is 2.03 min ) and the standard deviation is 0.47 mm. I was present for a number of these tests wit nessed same, and lamed my hand calculator 1/20/78 n of the mean 2/8/25 I have spoken with Brian Heightman (sp?) 1/25/79 of Pfizer Medical, Inc. about my stereotactic project. He says that John ferry of Pfizer is working on a similar project and has found ) that the officer, reconstruction algorithm is unaffected (or minimally algorithm is unaffected (or minimally affected) by aluminum. I would, of muse, prefer to use aluminum for the Ourse, prefer Subrication because it 1's more Jurable than plastik. 1/25/79 ٧, - - / -

FIGURE 8: Appendix 5: Russell Brown Notebook 2, p. 54, January 25, 1979



MEDICAL SYSTEMS, INC.

#### February 2, 1979

Dr. E. Bruce McIff Utah Valley Hospital 1034 North Fifth Street, West Provo, Utah 84601

Dear Dr. McIff:

I have spoken with Dr. Russ Brown about his use of your O200FS scanner for software development relating to stereotaxis. This letter is to assure you that no harm will come to your scanner, provided he observes the following rules:

The fixed disk must be write-locked.
 He must use his own removable disk.

I look forward to seeing the results of this work, and offer my best wishes for success with your <code>0200FS</code>.

Sincerely,

. ^ 1 . V+ ++ 1. 8 John H. Perry Research & Development

JHP/cr

cc: Dr. Russ Brown Mr. Rick Stine

9052 Old Annapolis Road Columbia, Maryland 21045

FIGURE 9: Appendix 6: John Perry Letter, p. 1, February 2, 1979

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Registration No. 17,550		Dated:	B. G. Nilsson		
			Registration No. 17,550		
Docket No. 2568-101 (213) 620-0600		Docket No. 2568-101 (213) 620-0600			

FIGURE 10: Appendix 7: John Perry Concession of Priority, November 27, 1985

### **Additional Information**

#### **Disclosures**

**Conflicts of interest:** The authors have declared that no conflicts of interest exist.

#### Acknowledgements

The authors thank Barbara Pedrick for assistance in obtaining copies of journal articles.

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