### REVIEW

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## Drugs that offer the potential to reduce hospitalization and mortality from SARS-CoV-2 infection: The possible role of the sigma-1 receptor and autophagy

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### ABSTRACT

**Introduction**: Despite the availability of new vaccines for SARS-CoV-2, there has been slow uptake and problems with supply in some parts of the world. Hence, there is still a necessity for drugs that can prevent hospitalization of patients and reduce the strain on health care systems. Drugs with sigma affinity potentially provide protection against the most severe symptoms of SARS-COV-2 and could prevent mortality via interactions with the sigma-1 receptor.

**Areas covered:** This review examines the role of the sigma-1 receptor and autophagy in SARS-CoV-2 infections and how they may be linked. The authors reveal how sigma ligands may reduce the symptoms, complications, and deaths resulting from SARS-CoV-2 and offer insights on those patient cohorts that may benefit most from these drugs.

**Expert opinion**: Drugs with sigma affinity potentially offer protection against the most severe symptoms of SARS-CoV-2 via interactions with the sigma-1 receptor. Agonists of the sigma-1 receptor may provide protection of the mitochondria, activate mitophagy to remove damaged and leaking mitochondria, prevent ER stress, manage calcium ion transport, and induce autophagy to prevent cell death in response to infection.

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### 1. Introduction

The SARS-CoV-2 (also known as COVID-19) pandemic has become a significant health burden globally, which has affected the social and political landscape and takes a considerable toll on the field of medicine, putting healthcare systems around the world under enormous pressure [1]. The common symptoms observed are cough, fever, and breathlessness [2,3]. In many cases, the symptoms will be mild or non-existent; however, the symptoms will be severe in a significant minority of cases (around 5%). There may be acute lung injury and respiratory distress, heart failure, and death within these severe cases due to cardiac arrest. Despite the constant increase in positive cases and mortality, the development of vaccines gives hope. However, vaccination programs take time and resources to implement, and in the meantime, infection rates continue to rise with the risk of new mutants and variants that could be resistant to the current vaccines. As such, there is still a need for effective treatments that keep patients out of intensive care units and reduce pressure on healthcare systems, especially in those developing nations that have yet to gain access to a vaccine.

Researchers are finding evidence of neurological manifestations of SARS-CoV-2, which may be of prognostic significance [4]. A recent study of 236 379 patients diagnosed with SARS-CoV-2 infection showed that there was a significant increase in risk of developing a neurological disease in the following six months after infection. For patients admitted to an intensive therapy unit, the incidence of subsequent neurological disease diagnosis was over 40%, with more than 25% having their first such diagnosis. These diseases identified included intracranial hemorrhage, ischemic stroke, parkinsonism, dementia, anxiety and mood disorders, and other psychiatric conditions [5]. The neuropathological effects of SARS-CoV-2 infection majorly constitute (i) direct viral injury, (ii) neural or vascular pathology arising secondary to a hyperinflammatory state, (iii) vasculopathy/coagulopathy, (iv) post-infectious autoimmune, and (v) neurological consequence of severe illness (sepsis, hypoxia) [4,6], which can affect both central as well as the peripheral nervous system. However, the evidence so far suggests that the neurological diseases developed after the infection might be because of the reactions inside the body and not by the direct invasion of virus into the nervous system [3,7].

SARS-CoV-2 can impact the CNS, thereby leading to ischemic stroke, manifested by raised inflammatory markers and endothelial dysfunction [7–9]. Encephalopathy,

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### **Article highlights**

- There appears to be a link between sigma-1 receptor ligands and the attenuation of the effects of SARS-CoV-2 infection.
- The role of the sigma-1 receptor in autophagic functions and cell survival may explain why drugs with sigma affinity have a positive effect in SARS-CoV-2 infections.
- Fluvoxamine has been demonstrated [in at least one small study] to offer significant protection against severe symptoms of SARS-CoV-2 infection.
- Fluvoxamine may also prevent the long-term effects of SARS-CoV-2 infection and prevent the neurological problems post SARS-CoV-2 infection.
- Many sigma-1 receptor agonists have antidepressant-like activities in animals and sigma-1 knock our mice show a depressive-like phenotype.

This box summarizes key points contained in the article.

encephalitis, acute demyelinating encephalomyelitis with and without hemorrhage, and transverse myelitis are also reported CNS syndromes [4]. Guillain-Barre syndrome (GBS), brachial plexopathy, polycranial neuropathies, and myopathies are reported syndromes in the CNS [2,6]. However, in the present recovery phase from the pandemic, preference should also be given for post-infectious fatigue by planning strategies for long-term care of recovered patients [10,11].

The development of new drugs is costly and timeconsuming; leading chemists estimate that each new chemical entity requires seven or more synthetic steps in their production [12]. Furthermore, these new drugs need to be tested for safety and efficacy before entering the market. Drugs that have already passed critical safety studies could save lives and resources, especially in developing countries without access to the newly developed vaccines. An example of such drugs would be the administration of anti-depressants in patients, which showed a reduced risk of hospitalization, intubation, and death caused by SARS-CoV-2 as reported from early observational studies [13].

The present review addresses the possibility of reducing the hospitalization and mortality rate due to SARS-CoV-2 infection using readily available drugs and speculates on the possible sigma-1 receptor-related mechanisms behind their protective effects.

### 2. SARS-CoV-2 infection and autophagy

Generally, coronaviruses enter the cells via one of two mechanisms: (1) entry of viral RNA into the cytosol through fusion pore in the plasma membrane (2) endocytosis [14,15]. In the former mechanism, SARS-CoV-2 binds to the cell surface receptor, Angiotensin-converting enzyme 2 (ACE-2) through spike protein (S), resulting in the activation through the protease activity by transmembrane serine protease 2 (TMPRSS2). The released fragment S2 of the proteolytic cleavage binds to the cell membrane leading to the transfer of the viral genome into the cytosol of the host [16]. The presence of TMPRSS2 favors the mechanism, and as the expression of the protease is absent, viral entry is mediated by endocytic machinery, where the spike protein is processed and activated by cathepsin L in the lysosome [17]. Once inside the cell, the viral RNA starts replicating and producing the necessary proteins by controlling the cell replication machinery, subsequently assembled in the endoplasmic reticulum (ER) and Golgi body and released out of the cell through exocytosis.

SARS-CoV-2 hijacks the ER functions to process and pack secretory and structural proteins compromising the hosts' functions. The buildup of the viral proteins in the ER can result in ER stress, and to maintain cellular homeostasis, unfolded protein response (UPR) is activated [18]. Bindingimmunoglobulin protein/78-kDa glucose-regulated protein (BiP/Grp78) acts as a master regulator of the UPR mechanism that keeps the downstream effectors inositol-requiring protein 1a (IRE-1a), protein kinase RNA-like endoplasmic reticulum kinase (PERK) and activating transcription factor 6 (ATF6) in check. ER stress releases Grp78 leading to the activation of the three transmembrane receptors that send signals for reprogramming the cell's machinery for a particular time to ease the burden [19]. However, if the stress conditions persist for a longer period, it leads to autophagy and apoptosis [20]. HEK293T cells overexpressing SARS-CoV-2 spike protein has been shown to induce the expression of Grp78 with further increase in expression of phosphorylated eIF2a through PERK activation to relieve the stress conditions. Likewise, in a casecontrol study, a significant increase in Grp78 and PERK without any change in CHOP expression was observed in individuals with SARS-CoV-2 compared to the healthy individuals indicating the activation of the UPR mechanism during infection [21]. Using a bioinformatics approach, potential human microRNA (miRNA) interactions with the SARS-CoV-2's genome have been predicted [22]. miRNAs are involved in the host's antiviral cellular defense that could inhibit viral protein translation and regulate immune response [23,24]. Exposure to ER stressinducing agent tunicamycin has been reported to reduce miRNA expression in human lung epithelial cells indicating ER stress induced by SARS-Cov-2 could also result in the same [25]. The bioinformatics study revealed that reduction of host miR-34a-3p and miR-495-5p could increase the expression of XBP-1s, Grp78, and promote cell survival. Further, interaction with miR-376b-3p could possibly modulate mTOR and autophagic mechanisms spilling on the hypothesis that SARS-CoV-2 could modulate host miRNAs to favorably hijack ER machinery of the infected cells and evade themselves from the host immune system [22].

Autophagy is a dynamic, evolutionarily conserved process among eukaryotic cells involved in the removal of damaged organelles, bacteria, viral particles, and misfolded proteins for maintaining cellular homeostasis. The process begins with the engulfment of damaged organelles by autophagosomes, which in turn fuse with lysosomes, where the contents are degraded with the help of proteolytic enzymes [26]. Studies have pointed out that viral infection can either activate or inhibit autophagy and acts as a double-edged sword depending on the type of virus and host cell to evade the immune system. For example, herpes simplex virus 1, coxsackievirus B, cytomegalovirus [27–29] inhibit autophagy, while human immunodeficiency virus, influenza virus, hepatitis C virus induces autophagy for their replication and propagation [30-32]. Growing evidence suggests that SARS-CoV-2 impairs the autophagic process for their propagation by interfering with several cellular processes. Network profiling and transcriptomic analysis reported that SARS-CoV-2 causes perturbations in autophagy and impedes autophagic flux either by GSK3ß upregulation or downregulation of autophagy-related genes SNAP29, lysosome acidification genes contributing to viral escape and propagation [33,34]. Gassen et al. (2020) reported that SARS-CoV-2 infection showed a reduction in glycolysis and protein translation by AMPK, mTORC1 inhibition. Further, downregulation of spermidine, degradation of Beclin-1 via AKT1/SKP2, reduction of autophagic flux, and increase in the level of P62 was also observed, indicating inhibition of autophagy. The subsequent decrease follows the downregulation of Beclin-1 in ATG-14, which controls STX17-SNAP29-VAMP8 SNAREmediated autophagosome-lysosome fusion indicating disruption of the process.

An increase in autophagosome formation with reduced maturation and autophagic flux in Vero-6 cells upon SARS-CoV-2 infection was also reported by [35], where the authors have demonstrated that expression of the accessory protein ORF3a alone can trigger incomplete autophagy. ORF3a localizes in the late endosome/lysosomes, interacts with homotypic fusion and protein sorting (HOPS) complex (consisting of VPS11, VPS16, VPS18, VPS33, VPS39, and VPS41), and affects the formation of VPS39-containing functional HOPS complex by inducing the accumulation of VPS39 in the late endosomes/lysosomes. HOPS complex is involved in mediating autophagosome-lysosome fusion by interacting with syntaxin 17 (STX17) [36]. ORF3a inhibits the interaction of the HOPS complex with STX17 and prevents STX17-SNAP29-VAMP8 SNARE complex formation and causes a defect in autophagosome maturation [37]. In another study, ORF3a has been shown to induce the Beclin-1-Vps34-Atg14 complex, involved in autophagosome formation, and inhibit the Beclin-1-VPS34-UVRAG complex, which facilitates autophagosome maturation. The inhibition of the later complex prevents autophagosomelysosome fusion, which helps the viral particles from degradation. Though, UV resistance-associated gene (UVRAG) and the class III phosphatidylinositol 3-kinase VPS34 compete for the same binding site with Beclin-1 to form the respective complexes, Beclin-1-UVRAG complex is more functionally significant and competitive than ATG14, facilitating increased degradation [38]. However, ORF3a decreased UVRAG-Beclin-1 complex formation making ATG14 compete more for the binding site promoting incomplete autophagy [35]. In line with the observation, Yuen et al. (2020) have shown that targeting VPS34 using the inhibitor VPS34-IN1 resulted in significant suppression of SARS-CoV-2 infection in Vero-6 cells rather than using inhibitor SBI0206965 against ULK1 (upstream of VPS34) or 3-methyladenine that inhibits class I PI3-K [39]. These results indicate the possibility that SARS-CoV-2 does not use the complete autophagic machinery; instead, it uses any of the components involved in the process. Preliminary studies using autophagy modulators chloroquine, hydroxychloroquine, clomipramine, mefloquine, ROC-325 have

shown to reduce the cytopathic effects of SARS-CoV-2 [40]. Thus, with reports on the link between autophagy and SARS-CoV-2 propagation, treatment strategies using autophagy-modulating drugs can be of potential use in the fight against the virus.

### 3. Sigma-1 receptor activation and autophagy

In recent years, there have been several studies linking the sigma-1 receptor to the regulation of autophagic activity. The most compelling of which is that knockout of the sigma-1 receptor results in incomplete autophagy, resulting in a buildup of autophagosomes [41]. Furthermore, transfection of sigma-1 negative cells with a full-length copy of the sigma-1 gene (but not truncated versions) restores autophagic function [41]. The evidence for the involvement of the sigma-1 receptor in autophagy is further bolstered by studies showing PRE-084 and ANAVEX2-73 (sigma-1 agonists) can induce the biogenesis of autophagosomes with an increase in UKL-1 phosphorylation [42]. Moreover, many anti-depressant drugs with sigma-1 receptor affinity, such as fluoxetine, fluvoxamine, and imipramine, have been shown to induce an autophagic response in various studies [43-46]. Autophagy dysregulation is known as a key component of several neurodegenerative diseases including Alzheimer's disease [47] and in recent years the sigma-1 receptor has been shown to be a target that can directly and indirectly affect autophagy as well as other cellular mechanisms that could be involved in neurodegeneration [48]. Recent research has shown that sigma-1 receptor stimulation using fluvoxamine activates autophagy and protects against cardiac fibrosis by inhibiting the IRE1 pathway [49].

### 4. Sigma-1 receptors and SARS-CoV-2

The sigma-1 receptor is a chaperone protein that is expressed in a vast number of tissues and resides mainly ER or associated mitochondrial membranes (MEM), where it interacts with various receptors [50], ion channels [51–53] and G-proteins [54,55]. The sigma-1 receptor may translocate to the plasma membrane (PM) on activation, dissociating with other proteins from which it forms a complex such as binding immunoglobulin protein (BiP) [56]. The sigma-1 receptor plays a role in managing cellular stress, and its activation prevents ER and mitochondrial stress likely through the shuttling of calcium to the mitochondria [57]. As such sigma-1 receptor agonists tend to have cytoprotective properties, leading to the development of new drugs for the treatment of neurodegenerative diseases such as Alzheimer's disease [58–60].

A protein interaction mapping study identified 66 druggable human proteins or host factors targeted by 69 compounds (29 FDA-approved drugs, 12 drugs in clinical trials, and 28 pre-clinical compounds). Of particular interest were the sigma receptors (Sigma-1 and TMEM97/Sigma-2), with sigma ligands such as PB28, haloperidol, cloperastine, PD144418, and hydroxychloroquine (Figure 1) having inhibitory activities on viral infectivity in the 10–100 nM range [61]. Knockdown and knockout studies of the sigma-1 receptor showed significant reductions in SARS-CoV-2 replication [62]. Moreover, genome

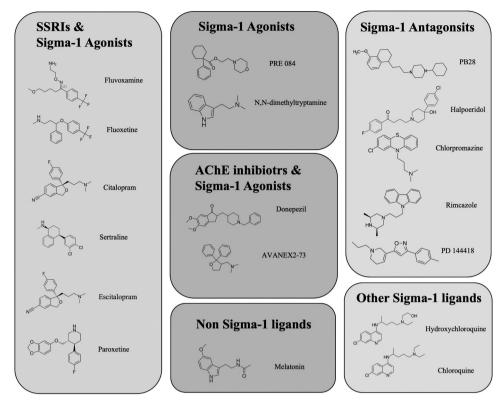


Figure 1. The structures of various compounds that have activities related to the sigma-1 receptor.

analysis focusing on the sigma-1 receptor locus at chromosome 9p13.3, identified that the minor homozygous TT allele at the single nucleotide polymorphism (SNP) rs17775810 (an SNP associated with loss of function and motor neuron disease) had reduced mortality rates (0%) compared to the Heterozygous TC allele and homozygous CC allele (16.2%), further suggesting a role for the sigma-1 receptor in the viral replication of SARS-CoV-2 [63].

## 5. The role of autophagy, the Sigma-1 receptor, and its ligands, in other pandemics

Autophagy plays a crucial role in the viral evasion of range of virus from host immune system. Studies show that genetic or pharmacological inhibition of S-phase kinase-associated protein 2 (SKP2) decreases BECN1 ubiguitination and enhances autophagic flux to reduce the viral replication of MERS-CoV [64]. Hepatitis C virus (HCV) can directly activate autophagy by inducing ER stress [65] or by the interaction, overexpression of the viral proteins (p7 ion channel protein, NS5A) with Beclin-1 [66,67]. Dreuex et al. (2009) has reported that autophagic machinery is not needed for the entry or secretion of HCV viral particles, instead require for replicon replication and life cycle [68]. In the case of influenza A virus (H1N1), binding of viral hemagglutinin glycoprotein to heat shock protein 90AA1, located on the host cell membrane inhibits Akt/mTOR pathway to induce autophagy [69]. The viral proteins of Zika virus (NS4A, NS4B) together suppress Akt/mTOR pathway and promote autophagy, which could be noted from the increase in LC3-II level [70]. Sigma-1 receptor activation by small

molecules have been reported to induce autophagy [42]. The role of sigma-1 receptor in other viral infections have not been well studied and reported except for a report showing the regulation of early steps of hepatitis C viral (HCV) RNA replication by sigma-1 receptor [71], and that sigma-1 receptor agonism with cocaine increases the expression of HIV-1 expression in microglial cells [72]. Furthermore, using a sigma-1 receptor antagonist prior to cocaine treatment, reduced the cathepsin B expression and reduced apoptosis signaling in HIV-1 infected macrophages [73].

Sigma-1 receptor ligands have often been tested against various viral infections. For instance, chloroquine shows activity against a number of viruses. Chloroquine administration significantly attenuated the vertical transmission of chronic Zika virus infection in interferon signaling-competent pregnant SJL mice, and attenuated mortality upon acute ZIKV infection in AG129 mice with lower side effects [74]. Hydroxychloroquine inhibits the protease activity of NS2B-NS3, which helps in the hydrolysis and maturation of Zika virus and decreases the infection in placental cells [75]. Derivatives of chloroquine could inhibit the Zika virus replication in cervical cells [76]. Chloroquine being weak bases can accumulate within the acidic organelles such as endosomes, Golgi vesicles, lysosomes, on which the virus depends for entry, replication, and maturation [77]. A randomized, tripleblind, placebo-controlled pilot trial reports that treatment with chloroquine reduces the HCV viral RNA levels in nonresponders to other viral treatments [78]. Chloroquine treatment to Huh-7 cells transfected with HCV replicon, inhibited viral replication by targeting virus-associated autophagy [79].

Likewise, Chlorpromazine and Trifluoperazine strongly inhibited MERS-CoV and SARS-CoV infection with EC50 values of 5.76 and 12.9 µM, respectively, in Vero E6 cell lines [80]. Treatment with chlorpromazine significantly inhibited the clathrin-dependent entry of SARS-CoV in caveolin-1-negative HepG2 cells [81] and moderately inhibited MERS-CoV replication and cell-cell fusion [82]. Pretreatment of MDCK cells with chlorpromazine inhibits clathrin-mediated entry of H1N1 virus [83]. Fluoxetine inhibits Coxsackie virus replication by binding to the non-structural viral protein 2 C in a stereospecific manner [84,85]. Given the role of sigma-1 receptor in viral entry, replication, maturation and modulation of autophagy inside the host cells, in-depth study on the range of viral infections in relation to sigma-1 receptor would provide better insight and open more possible mode of action of the drugs used.

### 6. Sigma receptor drugs and SARS-CoV-2

### 6.1. Fluvoxamine

Fluvoxamine (Figure 1), sold under the brand name Luvox is a selective serotonin reuptake inhibitor, a known antidepressant, which can also activate sigma-1 receptors (K<sub>i</sub> 36 nM) [60] at the ER, thereby decreasing cytokine production [86]. To date, there have been two double-blind, randomized placebo-controlled studies into the protective effects of fluvoxamine against hospitalization as a result of SARS-CoV-2 infection, and there are more extensive studies currently underway around the world. Of the completed studies, one randomized, double-blind clinical trial with 152 subjects reported that fluvoxamine decreased clinical progression, defined as hypoxia coupled with either shortness of breath or hospitalization, from 8% with observation alone to 0% with fluvoxamine at up to 300 mg daily [87]. In a second study with 65 patients taking fluvoxamine and 48 patients without, the incidence of hospitalization was 0% and 12.5%, respectively, and at 14 days, the persistence of residual symptoms was at 0% and 60% for fluvoxamine and no treatment, respectively [88]. While both these studies are small, and the second does not include any placebo (only untreated patients), they both show very promising initial data suggesting that fluvoxamine can prevent the required hospitalization, intubation, and death. Furthermore, it appears that fluvoxamine may even prevent the long-term symptoms (past 2 weeks). However, we must wait for larger randomized placebo-controlled trials to be completed before recommending its use as a SARS-CoV-2 treatment.

The replication of the coronavirus is associated with the ER [89–91] and has been shown to induce ER stress and activate the unfolded protein response [92,93]. Therefore, as a sigma-1 receptor agonist, fluvoxamine can reduce cellular stress by reducing ER stress and cytokine secretion without inhibiting conventional inflammatory pathways. This cytoprotective activity may help prevent cardiac injury due to cytokine storm syndrome brought on by SARS-CoV-2 infection [94,95]. The sigma-1 receptor has been shown to modulate IRE-1a activity, reduce ER stress, and studies in rodents have shown that sigma-1 receptor agonists are cardioprotective and

enhance survival in pre-clinical models of sepsis [86]. IRE-1 is essential to the induction of autophagy resulting from coronavirus infection [92]. Therefore, IRE-1 inhibition by sigma-1 receptor activation may prevent SARS-CoV-2 from hijacking the autophagy pathway as part of its replication. Fluvoxamine has also been shown to increase the bioavailability of the hormone melatonin [96,97], which itself may have a role to play in SARS-CoV-2 infection (discussed below) [98–101].

The SARS-CoV-2 virus hijacks the mitochondria as part of its replication process and evades detection [102]. This results in mitochondrial depolarization and the release of mitochondrial DNA into the cytoplasm along with other mitochondrial components such as cytochrome-C and calcium ions. The release of these components triggers apoptosis and cell death [103]. This is a particular problem in lung and heart tissue, as damage here can have severe consequences for the infected patient. Stimulation of the sigma-1 receptor with fluvoxamine has been shown to restore mitochondrial calcium mobilization and rescue ATP production in cardiomyocytes [104] and has other beneficial effects (including antioxidant effects) on heart tissues [105,106]. Furthermore, sigma-1 KO mice appear to have cardiac dysfunction associated with mitochondria energy dynamics [107].

### 6.2. Fluoxetine

Fluoxetine is a selective serotonin reuptake inhibitor (SSRI) anti-depressant and sigma-1 receptor agonist ( $K_i$  214–240 nM) [108] (sold under the brand name Prozac) that has several immunomodulatory effects [109] as well as being an inducer of autophagy [110]. *In vitro* studies of fluoxetine have shown it to inhibit SARS-CoV-2, but not other viruses such as the rabies virus, human respiratory syncytial virus replication, the human herpesvirus-8, or Herpes simplex virus type 1. This suggests that fluoxetine acts specifically to inhibit SARS-CoV-2 rather than activating or inhibiting a more generalized pathway. The effective dose is reported to be around 1–50  $\mu$ M [111,112], with concentrations below 50  $\mu$ M being nontoxic in cell culture models of SARS-CoV-2 infection [112].

### 6.3. Donepezil

Donepezil is a neuroprotective agent used in the treatment of Alzheimer's disease. It is a sigma-1 receptor agonist as well as having anti-acetylcholinesterase activity. In rat studies, done-pezil has been shown to dose-dependently occupy sigma-1R sites in rat brains [113]. PET studies in humans using [<sup>11</sup>C] SA4503 showed that oral administration of donepezil resulted in 60–75% occupancy of sigma-1 receptor sites in the brain [114]. Donepezil has been shown to induce neuroprotective effects in retinal ganglion cells by promoting neurite out-growth and inducing autophagic flux [115].

Furthermore, donepezil has also reported activation of AMPK, indicating it can induce autophagy by mTORindependent mechanism [116]. Moreover, multiple studies have investigated the beneficial effects of donepezil on mitophagy and autophagy concerning cardiac ischemia and reperfusion injury [117,118]. Taken together, all this evidence for donepezil's potential sigma-1 agonist activity, cytoprotective properties, and auto/mitophagic control could be of benefit when treating patients infected with SARS-CoV-2. Further studies will be required to ascertain whether there is indeed any potential benefit from donepezil treatment.

### 6.4. Melatonin

Melatonin (MN) has a structure similar to that of the putative sigma-1 receptor agonist N, N-dimethyltryptamine (DMT) (Figure 1). Although similar in structure to DMT, MN is an amide (unlike DMT, which is an amine), and there is no current evidence that MN binds to or activates the sigma-1 receptor. However, studies with the sigma-1 receptor agonist in rats have shown that (+)-N-allyInormetazocine can potentiate MN production at night (but not during the day) [119]. MN is a hormone released by the pineal gland at night and has primarily been associated with the regulation of sleep cycles. Fluvoxamine has been shown to increase the bioavailability of the hormone melatonin [96,97], and there are also ongoing studies into the supplementation of melatonin and SARS-CoV -2 infection. This would appear to correlate with the fact that aged patients have reduced melatonin levels and are more vulnerable to SARS-CoV-2-related death. Furthermore, melatonin acts as an antioxidant and an anti-inflammatory agent. There is much evidence that the mitochondria can synthesize melatonin in tissues throughout the body [120,121], where it can act to mitigate oxidative stress and act as a target for mitochondrial autophagy (mitophagy). As discussed above, SARS-CoV-2 causes mitochondrial depolarization, cytochrome-C release, and oxidative stress. Dysregulation of mitophagy could result in changes in melatonin production and further oxidative stress in the cells as the damaged mitochondria are not removed.

### 6.5. Chloroquine and hydroxychloroquine

Chloroguine and hydroxychloroguine are anti-malarial medications and are sometimes used to treat autoimmune diseases with moderate affinities for the sigma-1 receptor. Chloroguine has been shown previously to provide cytoprotective properties in a sigma-1 receptor-dependent fashion at concentrations below that at which it inhibits autophagy [122], making it a potential candidate as a SARS-CoV-2 treatment. In the initial stages of the SARS-CoV-2 outbreak, there was a scramble to find treatments to slow or prevent symptoms of infection. Hydroxychloroguine was initially recommended by various health authorities as treatments for SARS-CoV-2 infection (including India, China, Korea, and Italy), as in vitro data as well as some small uncontrolled studies appear to suggest its efficacy in preventing SARS-CoV-2 infection plus it has a tolerable safety profile [123]. Hydroxychloroquine has numerous and potentially life-threatening side effects, including retinopathy, hypoglycemia, life-threatening arrhythmia, and cardiomyopathy. To reduce the risk of sudden cardiac death, it was prescribed along with azithromycin [124]. Hydroxychloroquine was even endorsed by the then President of the United States of America, Donald J. Trump,

in March 2020, leading to high demand for the drugs [125]. However, as early as June 2020, clinical studies showed little benefit to hospitalized patients treated with hydroxychloroquine [126–129], and even more alarming was a study that indicated that hydroxychloroquine could increase the risk of death in SARS-CoV-2 hospitalized patients [130]. Subsequently, there were also retractions of published studies due to data irregularities and the validity of the studies supporting hydroxychloroquine use for the treatment of SARS-CoV-2 hospitalized patients [131]. Subsequently, due to safety concerns and lack of efficacy, the World Health Organization (WHO) and the National Institutes of Health (NIH) have recommended against hydroxychloroquine to treat SARS-CoV-2 infection, and their clinical trials stopped.

### 6.6. PB28

PB28 has an affinity for both the sigma-1 receptor and sigma-2/TMEM97 (0.38 and 0.68 nM, respectively) [132], acting as an antagonist of the sigma-1 and agonist of the sigma-2. PB28 has been identified as a drug that can lessen the severity of SARS-CoV-2 infection [61]. PB28 has been reviewed in much detail regarding its role in preventing the severity of SARS-CoV -2 infection [133]. One compelling hypothesis that could explain PB28's SARS-CoV-2 protective properties involves the InsP<sub>3</sub> receptor and the SARS-CoV-2 NSP6 protein. The NSP6 protein locates at the ER and modulates autophagy [134], favoring further infection by preventing the delivery of viral components to the lysosome by the autophagosome. The binding of PB28 to the Sigma-InsP<sub>3</sub> receptor complex results in modulation of NSP6 activity at the ER. PB28 could induce NSP6 binding while also inducing oxidative stress via lysosomal leakage, which in turn activates cytoprotective autophagosome activation [135].

### 6.7. Haloperidol

Haloperidol is an antipsychotic drug with an affinity for both the sigma-1 receptor and sigma-2/TMEM97. It is a sigma-1 receptor antagonist. Gordon et al., has identified haloperidol as a drug that could limit the severity of SARS-CoV-2 infection, inhibiting SARS-CoV-2 with K<sub>i</sub> of 2–12 nM [61]. Furthermore, docking analysis of haloperidol with the NSP6 protein shows haloperidol binds with an affinity score of –7.7 kcal/mol, which predicts a  $K_D$  in the nano to the micromolar range [136]. However, it should be noted that an initial observational clinical study has indicated that haloperidol treatment does not change the associated risk of intubation or death compared to patients not treated with haloperidol, nor does it affect time to discharge [137].

### 6.8. Chlorpromazine

Chlorpromazine is a neuroleptic drug with an affinity for the sigma-1 receptor and is a sigma-1 receptor antagonist [138]. Chlorpromazine has been shown in monkey and human cells (Vero and A549) to prevent SARS-CoV-2 infection, with  $IC_{50}$ s of 8.2  $\mu$ M and 11.3 8.2  $\mu$ M, respectively [139]. Once again, as with haloperidol, observational studies of patients admitted to

# 7. The role of the Sigma-1 receptor in neurological manifestations and post-infection related neurological disease

### 7.1. Stroke

SARS-CoV-2 is an independent risk factor for acute ischemic stroke, with studies showing a statistically significant increase in ischemic stroke cases among patients with SARS-CoV-2 [140] and systematic reviews showing of the clinical data showing the proportions of patients with SARS-CoV-2 and stroke was almost 2% and the risk of death while in hospital was approximately 35% and patients over the age of 70 years being most at risk [141]. SARS-CoV-2 infected patients were statistically more likely than patients with influenza or other respiratory infection to suffer a first-time stroke with odds ratios of 1.7 for each. Furthermore, patients who were admitted to the ITU had a greater risk of first-time stroke with an odds ratio of 3.5 compared to those who did not undergo ITU treatment [5]. The sigma-1 receptor has been shown to be involved in protective mechanisms that are beneficial during the recovery from ischemic stroke. Studies have shown that the activation of the sigma-1 receptor can modulate inflammatory responses involved in cerebral ischemia [142]. Treatment with sigma-1 receptor agonist dimemorfan at the onset of reperfusion reduced the expression of proinflammatory cytokines MCP-1 and IL-1ß via inhibition of the NF-kB pathway [143]. Furthermore, treatment with PRE-084 after the embolic stroke onset (3 and 24 hours) reduced the infarct volume significantly, inhibited proinflammatory cytokines, promoted anti-inflammatory cytokines (such as IL-10 and IL-4) [144]. Activation of the Nrf-2 antioxidant pathway another mechanism that benefits patients suffering with ischemic stroke [145], and activating it via the sigma-1 receptor using a sigma-1 receptor agonist such as fluvoxamine or cutamesine (SA4503) [146] could aid in stroke recovery as well as preventing further SARS-CoV-2 symptoms. Interestingly, the use of a sigma-1 receptor antagonist S1RA has also been shown to reduce damage caused by stroke and ameliorate post-stroke neurological deficits [147].

### 7.2. Encephalopathy

Encephalopathy and encephalitis are complications of SARS-CoV-2 infection, and those who suffer from encephalopathy or encephalitis usually critically ill with a poor prognosis. Encephalopathy is characterized by diffuse inflammation in the brain causing acute clinical symptoms including fever, headache, seizure, focal neurological deficits, and altered consciousness (which may manifest as mental confusion, drowsiness, or a deep coma) [148]. Patients with SARS-CoV-2

compared to patients with influenza or other respiratory infection had statistically significantly increased odds ratios for developing encephalitis of 1.8 and 2.3, respectively. There is little evidence so far that shows the sigma-1 receptor can directly treat encephalopathies but given the sigma-1 receptors ability to reduce oxidative stress and activate many antiinflammatory pathways there is a possibility that targeting the sigma-1 receptor may be beneficial, especially if using sigma-1 ligands to treat SARS-CoV-2 infection before the infection crosses the blood brain barrier (BBB) and leads to encephalopathy.

### 7.3. Dementia

Dementia has been shown to be one of the neurological sequelae that result from SARS-CoV-2 infection with the odds ratios vs influenza infection and other respiratory infections being 2.33 and 1.71 respectively [5]. SARS-CoV-2 can enter the CNS in various ways [149], possibly by infected lymphocytes crossing the BBB [150] during this BBB disruption or via the infection of the olfactory and enteric neurons [151]. As people age there is a loss of integrity of the BBB, and thus making older people more susceptible to SARS-CoV-2 neuroinvasion [152]. There is a growing body of evidence that SARS-CoV-2 infection can increase the risk of developing Alzheimer's disease. It is thought that the infection with SARS-CoV-2 induces neuroinflammation, via the activation of microglia and astrocytes, which produce the proinflammatory cytokines IL-1B, IL-6, IL-12, TNF-α, studies of which have shown to be involved in neurodegenerative disease [153]. Furthermore, in cases of severe inflammation as a result of SARS-CoV-2 infection the BBB integrity may be damaged by cytokine storm, causing damage to neuronal and glial cells, which could be part of the underlying pathology of the sequelae that lead to Alzheimer's disease.

Patients infected with SARS-CoV-2 have shown hypoxic alterations and demyelinating lesions, alterations of functional brain integrity, especially in the hippocampus up to 3 months following infection [154,155]. The hippocampus region of the brain is particularly vulnerable to infection [156], and atrophy of the hippocampus results in neurocognitive decline commonly seen in Alzheimer's disease patients [157,158]. Treatment with SSRIs, many of which have moderate to high sigma-1 affinity such as fluoxetine, has been shown to prevent stress induced atrophy [159] and even induce neurogenesis in the hippocampus [160].

Another interesting hypothesis (although yet to be proved) is the antimicrobial activities of Amyloid- $\beta$  (A $\beta$ ) lead to increased A $\beta$  expression in response to SARS-CoV-2 infection as part of the immune response thus leading to more A $\beta$  deposition in the brain [161].

The sigma-1 receptor has been studied extensively with regard to dementia and in particular Alzheimer's disease [48], with several new and upcoming Alzheimer's disease drugs having sigma-1 receptor affinity and acting as sigma-1 receptor agonists. SARS-CoV-2 patients may benefit from sigma-1 drugs as they may alleviate many of the stresses and neuroinflammatory pathways that are part of Alzheimer's disease's pathology.

### 7.4. Parkinson's disease

It is unclear whether Parkinson's disease results in vulnerability to SARS-CoV-2, but Parkinson's disease is an often observed consequence of several viral encephalitis [162]. While there is currently little evidence that sigma-1 receptor drugs may treat encephalitis, they may prevent the neuroinflammatory pathways that lead to encephalitis, and thus prevent the downstream effects that lead to the development of Parkinson's disease. Furthermore, the sigma-1 receptor is a target for a number of drugs aimed at treating Parkinson's disease [163], such as pridopidine [164] and PRE-084 [165]. Furthermore, the sigma-1 receptor has been shown to be expressed at lower levels in the early stages of Parkinson's disease [166]. Several studies have indicated that dopamine induced cell death of various cultured cell lines can be prevented by sigma-1 receptor ligands [58,167], with many of these activities revolving around preventing oxidative stress and preventing endoplasmic reticulum and mitochondrial stress.

### 7.5. Mental health

The SARS-CoV-2 pandemic has resulted in a large increase in cases of depression, anxiety, and suicide. It is thought that the possible causes of this increase could be related to the stress and fear of catching the disease [168], social isolation [169], the economic burden of unemployment, the possible exacerbation of preexisting neurological conditions, or profound changes to the brain caused by SARS-CoV-2 infection [170].

The sigma-1 receptor has a close relationship with many neurological conditions [60]; many sigma-1 receptor agonists have antidepressant-like activities in animals [59,171], and sigma-1 KO mice show a depressive like phenotype [172].

## 8. The link between anxiety and depression with mortality

The link between anxiety and depression with mortality has been well studied, particularly in cardiology [173] and oncology. Numerous studies have been constantly searched for the correlation between mental disorders and mortality to evaluate whether these psychiatric illnesses are substantial causes of death worldwide and preventable. Walker et al. Walker, McGee [174] conducted a meta-analysis of 203 relevant articles and found that the chance of death in people with mental disorders was 2-fold greater than that in people with good mental health [174]. Inpatients' mortality rates were also significantly higher than outpatients due to the general tendency to have more advanced disease stages and comorbid conditions [174]. Depression, one of the most common mental illnesses, was proved to be associated with excess mortality [175], and the risks among different disease-related depression groups (such as cancer, heart, and kidney diseases) were comparable, except chronic obstructive pulmonary disease (COPD) [176]. There has been shown to be a link between cardiovascular disease, depression, and sigma-1 receptor expression, with fluvoxamine showing cardioprotective and antidepressant effects in rodents [177,178]. Given the risk of death from SARS-CoV-2 infection is greatly increased in patients with cardiovascular disease, this could be of interest regarding possible therapies. The SARS-CoV-2 outbreak has led to vast numbers of deaths and adverse mental health consequences, including the uncertainty of treatment and availability of a vaccine, limited social communication, guarantine, and strict lockdown measures. This immediate stress considerably aggravated levels of post-traumatic stress disorder (PTSD) symptoms, depression, anxiety, stress, insomnia, emotional management, and suicidal ideation in psychiatric patients [179]. Patients with a psychiatric history together with SARS-CoV-2 diagnosis also showed a higher mortality rate than those infected patients without psychiatric condition [180], and patients with a severe psychiatric disorder (SPD) were reported to die from SARS-CoV-2 at a younger age than patients with a common mental disorder (CMD) [181]. It is then assuming that psychiatric history and severity can be essential parts of mortality risk factors. The impact of SARS-CoV-2 was even left to survivors after the recovery by being at a higher risk of psychiatric morbidity afterward [182]. For the general population, the SARS-CoV-2 pandemic could enhance levels of depression and anxiety especially in females, students, low-income and low educational level respondents [183]. One of the main reasons is a lack in social connection and loneliness, which contributes to depression and subsequently leads to premature mortality, and this risk factor was indicated to be equivalent to grades 2 and 3 obesity [184]. Another source of pronounced depression and anxiety is economic damage that could further develop to long-term implications on mental health, resulting in shortened longevity and even suicide [185]. Not surprisingly, a prevalence of psychological and mental disorders in global level has been constantly increased following the pandemic with around 30% in each stress, anxiety, and depression category [186]. Therefore, consideration in psychological strategies for prevention and therapeutic rehabilitation is necessary. Sigma-1 agonists have antidepressant activity; furthermore, many commonly used antidepressants have an affinity for the sigma-1 receptor [59,60]. This could be of great interest when it comes to treating the symptoms of SARS-CoV-2 infection, preventing depressive symptoms, and at the same time providing protection to the heart and lungs [187].

### 9. Conclusions

Despite the roll out of safe and effective vaccines, there has been suspicion and unwillingness by certain sections of the population to take the vaccine. Furthermore, some nations have found difficulty securing a supply of vaccines, while doses in developed nations go to waste due to lack of demand. Without a comprehensive and cooperative worldwide effort to vaccinate enough of the population such that herd immunity takes effect, SARS-CoV-2 will continue to spread, and we will continue to see mutant strains, such as the alpha (B.1.1.7), beta (B.1.351), delta (B.1617.2), and gamma (P.1) variants, which in a worst-case scenario may evade vaccination or prove even more virulent and deadly. For this reason, drugs that lessen the severity of the infection and keep patients out of the ITU will take the strain from healthcare systems worldwide and save thousands of lives. Drugs like fluvoxamine have been identified as drugs that may prevent the worst symptoms of SARS-CoV-2 infection, and small clinical studies have backed this up (Table 1). We wait for more extensive ongoing clinical study results before we can say for sure whether fluvoxamine is indeed as effective as the initial study has indicated.

### 10. Expert opinion

The SARS-CoV-2 outbreak has put tremendous pressure on healthcare systems worldwide, with wave after wave of infections and new strains appearing with different infection rates and different responses to the newly developed vaccines. There is a great effort to vaccinate the world population to bring an end to what has become the worst pandemic since the 1918 influenza (Spanish flu), and vaccination programs worldwide are ongoing at different rates. There are obstacles in the way of vaccinating the world, including access to vaccines, particularly in developing nations with fewer resources, misinformation, and fear of vaccinations, partially since the apparent (although not conclusive and rare) risk of blood clots with the AstraZeneca vaccine. The priority is to save lives and reduce the pressure on health care systems, and drugs that prevent hospitalization and the need for ITU care can do both. Secondly, there are the long-term effects of SARS-CoV-2 (long-haul symptoms). Limiting these would have a positive impact on people's health and wellbeing. Thirdly, there is recent evidence that SARS-CoV-2 infection increases the risk of developing the neurological disease. Sigma-1 receptor ligands such as fluvoxamine may be of benefit to all three aspects of SARS-CoV-2 infection. Early

Table 1. Sigma-1 receptor mediated drugs that may act against SARS CoV-2.

Name of the Drug	Properties	Advantages	Mode of Action	Disadvantages	References
Fluvoxamine	A selective serotonin reuptake inhibitor, and an anti-depressant. Sigma-1 receptor agonist	Fluvoxamine decreased clinical progression, coupled with shortness of breath or hospitalization, with fluvoxamine at up to 300 mg per day. Another study reported the incidence of hospitalization and the persistence of residual symptoms was at 0%	Fluvoxamine can reduce cellular stress by reducing ER stress and cytokine secretion without inhibiting conventional inflammatory pathways, thereby prevent cardiac injury. Fluvoxamine can increase the bioavailability of melatonin	Larger, randomized and placebo- controlled trials are required	[87,88,94,95]
Fluoxetine	SSRI and sigma-1 receptor agonist Anti-depressant which can induce autophagy	Can inhibit the growth of SARS CoV-2 <i>in vitro</i>	Fluoxetine acts specifically to inhibit SARS CoV-2 and it could not inhibit the growth of other viruses	<i>In vivo</i> and clinical trials should be done to confirm the activity	[108,110–112]
Donepezil	Neuroprotective agent with anti-	acetylcholinesterase and sigma-1 receptor activity		Donepezil could occupy sigma-1 receptor sites in the brain, induce neuroprotective effects by promoting neurite outgrowth and inducing autophagic flux, along with activation of AMPK	In vitro, In vivo and clinical trials should be done to confirm the activity
[114–116]					
Melatonin	Hormone associated with sleep cycles	Fluvoxamine has been reported to increase the bioavailability of the melatonin	Melatonin, majorly synthesized in mitochondria, acts as an antioxidant and an anti- inflammatory agent and activate mitophagy	SARS CoV-2 can damage mitophagy. In vitro, In vivo and clinical trials should be done to confirm the activity	[96,97,120,121]
Hydroxychloroquine	Anti-malarial drug with sigma-1 receptor agonist activity	In vitro and some small uncontrolled studies suggested its efficacy in preventing SARS CoV-2 infection	N/A	Clinical studies showed little benefit to hospitalized patients treated with hydroxychloroquine which, however, could increase the risk of death in SARs CoV-2 hospitalized patients	[123,126–130]
PB28	Antagonist of the sigma-1 receptor	Prevent the severity of SARS- CoV-2 infection	PB28 binds to the Sigma-InsP3 receptor complex results in modulation of NSP6 activity leading to autophagosome activation	In vitro, In vivo and clinical trials should be done to confirm the activity	[61,133–135]
Haloperidol	Antipsychotic drug with sigma-1 (antagonist) and sigma-2 activity (agonist)	Limit the severity of SARS- CoV-2 infection. Docking studies suggest haloperidol could effectively bind with NSP6	Activation of NSP6 could lead to activation of autophagy	Clinical study has indicated that haloperidol treatment does not change the associated risk of intubation or death compared to patients not treated with haloperidol, nor does it affect time to discharge	[61,136,137]
Chlorpromazine	Neuroleptic drug with sigma-1 receptor activity	Prevent the severity of SARS- CoV-2 infection in monkey and human cells and in some patients	Unknown	Very few SARS-CoV-2 patients have taken chlorpromazine and more studies should be done	[138,139]

clinical studies have shown it to prevent hospitalization and death and possibly limit some of the long-term (long haul) symptoms, which may prevent some of the neurological symptoms either directly or indirectly.

There is a strong connection between the sigma-1 receptor and SARS-CoV-2 infection and replication, with studies showing that sigma-1 receptor KO reduces replication and that sigma-1 receptor ligands such as fluvoxamine prevent hospitalization and death. Drugs that occupy the sigma-1 receptor may be enough to prevent some of the negative aspects of SARS-CoV-2 infection since both agonists and antagonists appear beneficial. However, there is an argument for selecting the sigma-1 receptor agonists when choosing drugs that are protective against SARS-CoV-2 symptoms. Agonists of the sigma-1 receptor may also provide protection to the mitochondria, activate mitophagy to remove damaged and leaking mitochondria, prevent ER stress, manage calcium ion transport, and induce autophagy to prevent cell death in response to infection. This may provide the much-needed protection in the heart and lungs that prevents cellular/tissue damage that can lead to long-term symptoms or death. Furthermore, the recent identification of the increased risk of neurological disease for those who required ITU care during SARS-CoV-2 points to the potential for sigma-1 ligands as a neuroprotective strategy during and after SARS-CoV-2 infection. Especially as a large number of sigma-1 agonists are showing great potential in the treatment of neurological diseases such as donepezil, T-817MA, ANAVEX2-73, and AF710B for Alzheimer's disease, pridopidine for Amyotrophic lateral sclerosis, and multiple sclerosis, and a host of drugs for depression including fluvoxamine, imipramine, opipramol, igmesine, and sertraline.

It may be of great importance to consider the neurological effects of SARS-CoV-2 infection and evaluate the future risk of the increased neurological disease brought about by the SARS-CoV-2 pandemic. Measures may need to be put into place to screen for these neurological diseases in people who have been previously infected, especially as early detection in these neurological diseases can have more beneficial treatment outcomes.

The patient cohorts most at risk of death when infected with SARS-CoV-2 are people over 60 years of age, and those with comorbidities such as cardiovascular disease and respiratory diseases. Furthermore, men are at a greater risk of death from SARS-CoV-2 than women [188]. Since the sigma-1 receptor activation has been shown to be beneficial in the treatment of cardiovascular and respiratory diseases (providing protection in preclinical studies to the heart and lungs at times of stress) [107,177,189], it would appear that males over the age of 60 with comorbidities such as cardiovascular disease would benefit most from treatment with drugs such as fluvoxamine. Other at-risk groups such as women over the age of 60 with comorbidities, and those younger individuals who suffer from cardiovascular and respiratory diseases could also benefit from sigma-1 receptor drugs potentially preventing the requirement for intensive treatment and reducing the risk of death in these cohorts. This would also reduce the pressure on health care systems allowing them to care for other patients who are not suffering with SARS-CoV-2 infection.

The link between anxiety and other mental disorders and mortality should also be a concern for treating SARS-CoV-2, especially with social distancing and lockdown measures potentially bringing about an increase in these cases. Antidepressant drugs such as fluvoxamine, with their sigma-1 receptor activity, may reduce mortality in many ways, including reducing anxiety and depression that could come about from a SARS-CoV-2 diagnosis.

The way out of the pandemic will be via vaccination and social distancing, thus preventing the further spread of the virus; however, in the meantime, there is a need for drugs that prevent hospitalization, reduce mortality, and prevent the long-term symptoms of SARS-CoV-2 infection. The sigma-1 receptor could be a target that kills two birds with one stone preventing infection and replication of the virus and mitigating damage caused by the virus to important tissues in the body, including heart, lung, and brain tissues.

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J.M.B, S.B, and T.T, conceived the idea for the manuscript, MIP, DSM, PT, and JMB drafted the manuscript. J.M.B, S.B, P.T, and T.T edited the final draft. All authors had in put to the final draft of the manuscript and agree to its publication.

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