

Practice of Epidemiology

Assessment of the Status of Measles Elimination in the United States, 2001–2014

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We assessed the status of measles elimination in the United States using outbreak notification data. Measles transmissibility was assessed by estimation of the reproduction number, *R*, the average number of secondary cases per infection, using 4 methods; elimination requires maintaining *R* at <1. Method 1 estimates *R* as 1 minus the proportion of cases that are imported. Methods 2 and 3 estimate *R* by fitting a model of the spread of infection to data on the sizes and generations of chains of transmission, respectively. Method 4 assesses transmissibility before public health interventions, by estimating *R* for the case with the earliest symptom onset in each cluster (R_{index}). During 2001–2014, *R* and R_{index} estimates obtained using methods 1–4 were 0.72 (95% confidence interval (CI): 0.68, 0.76), 0.66 (95% CI: 0.62, 0.70), 0.45 (95% CI: 0.40, 0.49), and 0.63 (95% CI: 0.57, 0.69), respectively. Year-to-year variability in the values of *R* and R_{index} and an increase in transmissibility in recent years were noted with all methods. Elimination of endemic measles transmission is maintained in the United States. A suggested increase in measles transmissibility since elimination warrants continued monitoring and emphasizes the importance of high measles vaccination coverage throughout the population.

measles; reproduction number; transmissibility; United States

Abbreviation: CI, confidence interval.

High measles vaccine coverage in the United States was successful in halting endemic transmission of measles in the 1990s and led to a declaration of elimination (i.e., interruption of continuous transmission lasting ≥ 12 months) in 2000 (1). Still, importations of measles from endemic areas of the world continue to occur, leading to secondary cases and outbreaks, primarily among unvaccinated persons (2). Recent years have seen an increase in the numbers of both measles cases and outbreaks in the United States. By May 2014, 288 confirmed measles cases had been reported to the Centers for Disease Control and Prevention, surpassing the highest reported yearly total number of cases since elimination (220 cases reported in 2011) (3). In 2011, there were 17 measles outbreaks reported, exceeding the median annual number of outbreaks reported in previous years (4 outbreaks (range, 2-10) during 2001-2010) (4). Concurrently, numbers of nonmedical exemptions from school immunization mandates have increased in some communities, and some parents are either delaying or refusing recommended childhood vaccines (5, 6). The increase in the numbers of both measles cases and measles outbreaks and the increase in attention to vaccine hesitancy has led to concerns about whether self-sustaining measles transmission can occur in the United States.

The key epidemiologic parameter that characterizes the transmission potential of a disease is the effective reproduction number (R), which describes the average number of secondary cases generated per single infected individual, and it is dependent on the degree of susceptibility in the particular population (as opposed to the basic reproduction number (R_0), which describes transmissibility in a totally susceptible population). R characterizes the growth rate of an outbreak and the total number of infected individuals by the end of the outbreak. If R exceeds 1, the number of cases increases over time, and a self-sustaining epidemic may ensue. By contrast, when R is lower than 1, transmission tends to wane

and eventually terminate. Sustaining measles elimination requires maintenance of R at <1 and is achieved by keeping levels of susceptibility low. Describing transmissibility in relation to this critical threshold, R = 1, allows for an assessment of the risk of larger and more sustained outbreaks and of reestablishment of endemic disease. In this study, we used 4 previously described methods to calculate R for measles in the United States, in order to evaluate the status of the US measles elimination program.

METHODS

Confirmed measles cases in the United States are reported by state health departments to the Centers for Disease Control and Prevention using a standard case definition. We analyzed deidentified data on all confirmed cases of measles reported in the United States from 2001 through 2014. The quality and completeness of these data has been evaluated and deemed appropriate, based on the high proportion of chains of measles transmission that can be epidemiologically linked to importations, the detection of small outbreaks, and the detection of few unreported cases when health departments conduct careful investigations (7). Cases are considered imported if at least some of the exposure period (7-21 days before rash onset) occurred outside of the United States and rash occurred within 21 days of entry into the United States, with no known exposure to measles in the United States during that time. US-acquired cases are those that are not directly imported, that are epidemiologically linked to an imported case, or for which viral genetic evidence indicates an imported genotype. Unknown source cases are those with no epidemiologic or virological link to an importation (8). For this evaluation, singleton cases were viewed as an independent event of size 1, and cases with known epidemiologic links found during investigations were defined as a chain of transmission. Chains of transmission were labeled as either 2-case chains or an outbreak (>3 cases). The duration of a chain of transmission was calculated as the difference between the dates of rash onset of the first and last cases; singleton cases were assigned a duration of 0 days. If the duration of the chain of transmission was ≤ 6 days, cases were considered to be in the same generation; 7-14 days was considered 1 generation of spread; 15-24 days was considered 2 generations of spread; and subsequent generations were added every 10 days, as previously described (9).

Assessing R from measles case data

Four standard mathematical methods were used to estimate R; details on the derivation of the formulas used in these methods are available elsewhere (9–11). These methods have been applied to assess measles transmissibility in several countries (9, 11–15) and to calculate R for a variety of other diseases (10, 16–18). Method 1 estimates R as 1 - P, where P is the proportion of cases that were imported. This method calculates the total number of cases arising from an importation as a geometric progression of cases per generation based on R. Methods 2 and 3 use a subcritical branching process that models the spread of an infection for

a given value of R, and from which the expected distribution of chain sizes and durations can be calculated. One can then fit these models to the observed distribution of sizes (in method 2) and generations of spread (in method 3). The last formal assessment of measles transmissibility in the United States was performed for preelimination years 1997-1999 using these methods (9). Because public health response (e.g., quarantining, vaccination of susceptibles) can mitigate measles transmission and limit the number of secondary infections after importations and the size and duration of outbreaks, R as measured by the first 3 methods may be a reflection of both baseline immunity and control measures. Thus, we used a fourth estimation approach (method 4), originally developed to track changes in disease transmissibility over time (10), to assess transmissibility early during outbreaks, when public health interventions are unlikely to be in place. Specifically, we estimated the average number of secondary cases infected by the index case (i.e., the reproduction number of the index case $(R_{index}))$, defined as the case with the earliest date of symptom onset in each cluster (16). Method 4 utilizes a likelihood-based estimation procedure that probabilistically infers "who infected whom" from the observed dates of symptom onset, based on the known distribution of the serial interval (10). The serial interval is the time from symptom onset in a primary case to symptom onset in a secondary case infected by that primary case (19); based on household studies, the serial interval for measles is best described by a gamma probability distribution, with a mean of 11.1 days and a standard deviation of 2.47 days (20).

Analyses were performed in R, version 3.0.2 (R Foundation for Statistical Computing, Vienna, Austria). *R* was estimated for all years combined (2001–2014) and for each year individually. Maximum likelihood estimates of *R* and 95% profile likelihood confidence intervals were calculated for the first 3 methods. For method 4, a ready-to-use tool (21) was used to simulate 100 possible transmission trees for each cluster. R_{index} was estimated as the probabilityweighted average over all transmission trees of the number of cases that were in the second generation. We assigned $R_{index} = 0$ in single cases (16). Combined and annual point estimates and confidence intervals were derived from likelihood functions based on a Poisson distribution with mean R_{index} .

Sensitivity analyses were performed to account for the assumptions and potential biases associated with the different methods (see Web Appendices 1 and 2 and Web Tables 1 and 2, available at http://aje.oxfordjournals.org/).

Data from the National Immunization Survey were used to examine concurrent national rates of 1-dose measles vaccination coverage among children aged 19–35 months from 2001 through 2014 (22), as well as national rates of 2-dose coverage among adolescents aged 13–17 years from 2008 through 2014 (the only full years for which data were available) (23).

RESULTS

During 2001–2014, a total of 1,788 confirmed cases of measles were reported. Of these, 504 (28%) were classified

	Total No. of Cases			Importation St	atus		Vaccination Status						
Year		Imported	1	US-Acquired ^b		Unknown Sou	rce ^c	Unvaccinat	ed	Vaccinated	ď	Unknown	
		No. of Cases %		No. of Cases	%	No. of Cases	%	No. of Cases	%	No. of Cases	%	No. of Cases	%
2001	116	54	47	37	32	25	22	61	53	31	27	24	21
2002	44	18	41	19	43	7	16	33	75	6	14	5	11
2003	56	24	43	21	38	11	20	35	63	10	18	11	20
2004	37	27	73	6	16	4	11	26	70	4	11	7	19
2005	66	24	36	38	58	4	6	50	76	8	12	8	12
2006	55	31	56	21	38	3	5	26	47	14	25	15	27
2007	43	29	67	12	28	2	5	27	63	9	21	7	16
2008	140	25	18	104	74	11	8	108	77	7	5	25	18
2009	72	21	29	39	54	12	17	49	68	8	11	15	21
2010	63	39	62	17	27	7	11	36	57	6	10	21	33
2011	220	80	36	116	53	24	11	143	65	29	13	48	22
2012	55	21	38	29	53	5	9	35	64	12	22	8	15
2013	187	51	27	132	71	4	2	153	82	15	8	19	10
2014	634	60	9	566	89	8	1	478	75	50	8	106	17
Total	1,788	504	28	1,157	65	127	7	1,260	70	209	12	319	18

Table 1. Numbers, Importation Status, and Vaccination Status of Measles Cases in the United States, by Year, 2001–2014

^a Imported cases are those arising in persons who acquired measles outside of the United States and brought their infection into the United States.

^b US-acquired cases are those that are not directly imported, are epidemiologically linked to an imported case, or for which viral genetic evidence indicates an imported genotype.

^c Unknown-source cases are those with no epidemiologic or virological link to an importation.

 $^{d} \geq 1$ doses of a measles-containing vaccine.

as importations, 1,157 (65%) were US-acquired, and 127 (7%) had an unknown source (Table 1). Among the 1,788 cases, 425 were single cases, and the remaining 1,363 represented 80 two-case chains and 101 outbreaks; 58 were outbreaks with 3–5 cases and 43 were clusters of more than 5 cases (Table 2). Of the 181 chains with \geq 2 cases, the median chain size was 3 cases (maximum, 383 cases). Among confirmed cases, 443 had 0 generations of spread, 69 had 1 generation of spread, 52 had 2 generations of spread, and 42 had \geq 3 generations of spread (Table 2). The longest outbreak lasted 121 days or 12 generations.

During all postelimination years from 2001 to 2014, a total of 1,260 (70%) measles cases occurred in individuals who were unvaccinated; 209 case-patients (12%) were vaccinated, and 319 (18%) had an unknown vaccination status (Table 1). On an annual basis, the proportion of case-patients who were unvaccinated or had an unknown vaccination status ranged from 73% in 2001 to 95% in 2008. National rates of 1-dose vaccination coverage among young children ranged from 90% to 93% from 2001 through 2014, and rates of 2-dose coverage among adolescents ranged from 89% to 92% from 2008 to 2014.

The proportion of measles cases that were directly imported varied year-to-year but was generally lower during 2008–2014, as compared with earlier years (Table 1 and Figure 1). Similarly, a general increase in the size and duration of chains of transmission was noted during 2008–2014, compared with

earlier years, although there was variability year-to-year (Table 2).

Estimates of *R* and R_{index} for measles in the United States during 2001–2014 were significantly less than 1 with each of the methods (Table 3). *R* was estimated as 0.72 (95% confidence interval (CI): 0.68, 0.76) from the proportion of cases imported, as 0.66 (95% CI: 0.62, 0.70) from the distribution of chain sizes, and as 0.45 (95% CI: 0.40, 0.49) from the distribution of chain durations. R_{index} was estimated as 0.63 (95% CI: 0.57, 0.69) based on observed dates of symptom onset and the distribution of the serial interval.

For individual years, all estimates of *R* were less than 1 with each of the first 3 methods (Figure 2). R_{index} point estimates were at or above 1 in 2005 and 2013, and the upper limit of the confidence interval for R_{index} crossed 1 in 2008, 2009, and 2014; all other R_{index} estimates were less than 1. Year-to-year variation in the values of *R* and R_{index} were noted for all estimation methods across study years (Figure 2), yet, consistently across methods, *R* and R_{index} estimates were higher overall during 2008–2014 as compared with previous years.

Overall, combined and annual values were generally larger for R_{index} and smaller when R was estimated from the distribution of chain durations (exclusion of single-case chains for this method yielded estimates of R that were comparable to R estimates made by the other methods) (Web Table 2).

	Total No. of Events	Size of Measles Outbreak							No. of Generations (Duration of Outbreak) ^b									
Year		Isolated Cases		2-Case Chains		3–5 Case Outbreaks		>5 Case Outbreaks		0		1		2		≥3		Total No. of Cases Spread From Index Cases ^c
		No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	
2001	61	43	70	8	13	7	11	3	5	44	72	11	18	2	3	4	7	34
2002	26	21	81	2	8	2	8	1	4	22	85	1	4	1	4	2	8	10
2003	29	23	79	3	10	1	3	2	7	24	83	3	10	0	0	2	7	13
2004	21	14	67	5	24	1	5	1	5	14	67	5	24	1	5	1	5	11
2005	25	18	72	4	16	2	8	1	4	19	76	3	12	1	4	2	8	25
2006	30	24	80	2	7	3	10	1	3	26	87	1	3	1	3	2	7	12
2007	27	21	78	2	7	3	11	1	4	22	81	2	7	2	7	1	4	13
2008	39	28	72	4	10	2	5	5	13	28	72	3	8	3	8	5	13	31
2009	28	16	57	4	14	4	14	4	14	19	68	3	11	3	11	3	11	23
2010	48	39	81	5	10	4	8	0	0	40	83	4	8	2	4	2	4	11
2011	116	87	75	13	11	7	6	9	8	89	77	12	10	12	10	3	3	69
2012	29	22	76	3	10	2	7	2	7	22	76	3	10	2	7	2	7	10
2013	45	22	49	12	27	7	16	4	9	24	53	9	20	7	16	5	11	52
2014	82	47	57	13	16	13	16	9	11	50	61	9	11	15	18	8	10	74
Total	606	425	70	80	13	58	10	43	7	443	73	69	11	52	9	42	7	388

Table 2. Numbers of Measles Events,^a Distribution of the Size and Length of Chains of Measles Transmission, and Numbers of Measles Cases Arising From Index Cases in the United States, by Year, 2001–2014

^a Includes isolated cases, 2-case chains, and outbreaks of measles (defined as a chain of transmission with ≥3 confirmed cases). Measles clusters spanning 2 years were categorized to the year during which the majority of cases in the cluster were reported.

^b Singleton cases were assigned a duration of 0 days. Chains of transmission lasting ≤6 days, 7–14 days, and 15–24 days were considered as having 0, 1, and 2 generations of spread, respectively; subsequent generations were added every 10 additional days.

^c Total number of secondary cases infected by index cases each year; based on the number of cases that were in the second generation as estimated by method 4, using a serial interval for measles with a mean of 11.1 days and a standard deviation of 2.47 days (20).



Figure 1. Reported numbers of measles cases (A) and outbreaks (B) and numbers and percentages of cases that were directly imported and not directly imported (C), by year, United States, 2001–2014. An outbreak of measles was defined as a chain of transmission with \geq 3 confirmed cases. Directly imported cases (black portions of columns) are those arising in persons who acquired measles outside of the United States and brought their infection into the United States. Cases not directly imported (gray portions of columns) include persons who acquired measles in the United States—that is, cases that are epidemiologically linked to an imported genotype, and cases with unknown sources (no epidemiologic or virological link to importation). The dark line with squares indicates the proportion of cases that were imported in each year.

DISCUSSION

Our analysis demonstrating low levels of measles transmissibility supports the view that elimination of indigenous measles has been maintained in the United States since it was declared 15 years ago (24). Despite repeated challenges from importations, overall and yearly estimates of R obtained using 3 distinct methods remained less than 1, indicating that in a **Table 3.** Estimates of the Effective Reproduction Number (R) for Measles According to 3 Estimation Methods and Estimates of the Reproduction Number of the Index Case (R_{index}) According to 1 Estimation Method, for All Chains and for Only Those With an Identified Link to an Imported Case, United States, 2001–2014

Estimation Approach	AI	l Chains	Chains With an Identified Imported Source Only			
	R	95% CI	R	95% CI		
Proportion of cases imported	0.72	0.68, 0.76	0.70	0.66, 0.74		
Distribution of chain sizes	0.66	0.62, 0.70	0.70	0.66, 0.74		
Distribution of chain durations	0.45	0.40, 0.49	0.49	0.45, 0.54		
Likelihood-based (R_{index})	0.63	0.57, 0.69	0.74	0.66, 0.81		

Abbreviation: CI, confidence interval.

country with high population immunity (through 1-dose measles-mumps-rubella vaccine coverage of 90% or more) (22) and where infection control measures are aggressively implemented, chains of transmission of measles are not self-sustaining. Reassuringly, R_{index} point estimates exceeded 1 only once across the study years (in 2013), indicating low measles transmissibility even before public health responses to measles cases were initiated. The extraordinary success of the US measles vaccination program is evidenced by the small yearly numbers of cases and outbreaks relative to the size of the US population and the small proportion of outbreaks involving 5 or more cases or lasting 3 or more generations.

However, consistently across methods, we found higher annual estimates of R and R_{index} in more recent years. In addition, in 4 of the last 7 years, the upper confidence limit for R_{index} exceeded 1. These changes in R and R_{index} are a reflection of the increasing number of US-acquired measles cases relative to the number of importations, the increasing size and duration of outbreaks, and the increasing spread from index cases-all of which point to a potentially concerning trend in measles transmissibility in the United States, which warrants close monitoring. Although vaccination coverage rates at the national level have remained high, these do not capture the variability in vaccination rates at the community level (25, 26). The fact that the majority (>70%) of measles cases occur among unvaccinated individuals suggests that failure to vaccinate, rather than failure of vaccine performance (e.g., waning immunity) or selective pressure on measles viruses, is what is driving measles transmission. Together with the increase in transmissibility seen in recent years, these findings may suggest growing clusters of undervaccination. Although these clusters are unlikely to provide the critical mass necessary for measles transmission to be sustained between epidemics without reintroductions (the critical community size for sustained measles transmission is 250,000–400,000) (26, 27), as R approaches 1, larger and more sustained outbreaks will be increasingly common. Broader and more durable propagation of disease imposes a significant economic burden on local



Figure 2. Estimates of the effective reproduction number (*R*) for measles according to the proportion of cases imported (A), the distribution of chain sizes (B), and the distribution of chain durations (C) and estimates of the reproduction number of the index case (R_{index}) according to the likelihood-based estimation method (D), by year, United States, 2001–2014. Bars, 95% confidence intervals.

health institutions (28) and increases the risk of measles being spread to infants too young to be vaccinated or to persons for whom vaccination is not recommended for medical reasons, as well as the risk of measles-associated deaths.

Our results have implications relating to global measles control. Until global eradication of measles is achieved, importations and limited spread of measles are expected to continue to occur in the United States. Since its inception in 2001, the Measles & Rubella Initiative, a partnership among the American Red Cross, the Centers for Disease Control and Prevention, the United Nations Foundation, the United Nations Children's Fund (UNICEF), and the World Health Organization, has had a tremendous impact on global measles control, helping to deliver more than 1 billion doses of measles vaccine and decreasing measles deaths by approximately 80% (29). Still, over 250,000 cases of measles are reported each year globally, and about 400 deaths per day were estimated in 2013mostly among young children (30). The US experience emphasizes the need for continued support of the Measles & Rubella Initiative and other global antimeasles activities.

Our findings must be interpreted with some caveats. First, the methods we used assume homogeneity of susceptibility and mixing in the population, and a degree of heterogeneity between subpopulations clearly exists in the United States (e.g., in levels of nonvaccination, sizes of unvaccinated clusters, settings of transmission, degrees of mixing outside a subpopulation, and density). Heterogeneity can be assessed by comparing the observed and theoretical distributions of outbreak sizes (11, 15). The observed outbreak sizes in this study did not follow the predicted distribution as well as in a previous evaluation (Web Figure 1) (9), suggesting pockets rather than an even distribution of susceptibility. Thus, our estimates of R and R_{index} likely reflect attributes of the affected populations and overestimate transmission in the general population. This indication of heterogeneity supports the notion of growing pools of susceptibility, and does not detract from the fact that importations of measles into these communities can result in transmission and outbreaks. Due to the contagiousness of measles, measles outbreaks uniquely expose the deficiencies in immunization programs. Efforts to further understand transmission heterogeneity could help pinpoint opportunities for improved control in groups with the greatest transmission potential.

Second, our conclusions can be influenced by the effect of public health interventions. In particular, R might underestimate transmission if infection control measures were instituted promptly. However, because of the delay between onset of infectiousness in the index case and implementation of control measures, R_{index} is expected to be less affected by outbreak response (16). Interestingly, we demonstrated overall low transmission during the early stage of outbreaks, which confirms good baseline population immunity. This finding also points to a window of opportunity, before measles takes hold, in which transmission can be halted if cases are detected and reported early. Further studies are needed to disentangle the relative contributions of baseline measles-mumps-rubella vaccine

coverage and infection control measures in avoiding large outbreaks.

Third, interpreting temporal changes in measles transmissibility deserves consideration. Transmission could appear to be increasing due to an increase in the number of importationsfrom a surge of measles in countries visited by US travelers, for example. However, because calculations of R and R_{index} are based on proportions and distributions (and not on the absolute number of cases), these estimates would not be affected by an increase in importations. Stochastic effects, such as introductions occurring randomly into areas with larger pockets of susceptibility in more recent years, could also give the impression of increased transmissibility. In particular, because one large measles outbreak in a highly unvaccinated population accounted for approximately 60% of measles cases during 2014, we also measured R and R_{index} for that year after excluding this outbreak; we obtained similar results, that is, higher estimates in 2014 compared with early postelimination years. If observed changes in R and R_{index} are sustained in future years, these data will support increased susceptibility to measles in the United States.

In summary, we demonstrate maintenance of R below the critical value of 1, which supports the view that elimination of endemic measles is sustained in the United States. Nevertheless, an accumulation of unvaccinated individuals may have driven an increase in measles transmissibility in recent years, which warrants continued monitoring. Because measles is still common in many areas of the world, imported cases of measles and limited spread continue to occur. The key factor for ongoing success is maintenance of high levels of vaccination across the population and minimizing pools of susceptibility. As such, health-care professionals play an important role in educating parents about the safety and benefits of immunizations (31), and they should continue to encourage timely measles vaccination of all eligible patients (26). Vaccination policies that support high coverage and research on optimal vaccination practices (e.g., vaccination as the default option) (32) remain critical (33). Finally, we should support other nations in their efforts to control measles, with the hope of eventual global eradication.

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