

Citation: Xu L, Chen X, Cui M, Ren C, Yu H, Gao W, et al. (2020) The improvement of the shear stress and oscillatory shear index of coronary arteries during Enhanced External Counterpulsation in patients with coronary heart disease. PLoS ONE 15 (3): e0230144. https://doi.org/10.1371/journal. pone.0230144

Editor: Rudolf Kirchmair, Medical University Innsbruck, AUSTRIA

Received: July 31, 2019

Accepted: February 23, 2020

Published: March 19, 2020

Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: https://doi.org/10.1371/journal.pone.0230144

Copyright: © 2020 Xu et al. This is an open access article distributed under the terms of the <u>Creative</u> Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the manuscript and Supporting Information files.

RESEARCH ARTICLE

The improvement of the shear stress and oscillatory shear index of coronary arteries during Enhanced External Counterpulsation in patients with coronary heart disease

Ling Xu¹, Xi Chen², Ming Cui¹, Chuan Ren¹, Haiyi Yu¹, Wei Gao¹, Dongguo Li², Wei Zhao¹

1 NHC Key Laboratory of Cardiovascular Molecular Biology and Regulatory Peptides, Department of Cardiology, Peking University Third Hospital, Beijing, China, 2 School of Biomedical Engineering, Capital Medical University, Beijing, China

These authors contributed equally to this work.
* Idg213@ccmu.edu.cn (DGL); beate_vv@bjmu.edu.cn (WZ)

Abstract

Background

Enhanced External Counterpulsation (EECP) can chronically relieve ischemic chest pain and improve the prognosis of coronary heart disease (CHD). Despite its role in mitigating heart complications, EECP and the mechanisms behind its therapeutic nature, such as its effects on blood flow hemodynamics, are still not fully understood. This study aims to elucidate the effect of EECP on significant hemodynamic parameters in the coronary arterial tree.

Methods

A finite volume method was used in conjunction with the inlet pressure wave (surrogated by the measured aortic pressure) before and during EECP and outlet flow resistance, assuming the blood as newtonian fluid. The time-average wall shear stress (TAWSS) and oscillatory shear index (OSI) were determined from the flow field.

Results

Regardless of the degree of vascular stenosis, hemodynamic conditions and flow patterns could be improved during EECP. In comparison with the original tree, the tree with a severe stenosis (75% area stenosis) demonstrated more significant improvement in hemodynamic conditions and flow patterns during EECP, with surface area ratio of TAWSS risk area (SAR-TAWSS) reduced from 12.3% to 6.7% (vs. SAR-TAWSS reduced from 7.2% to 5.6% in the original tree) and surface area ratio of OSI risk area (SAR-OSI) reduced from 6.8% to 2.5% (vs. SAR-OSI of both 0% before and during EECP in the original tree because of mild stenosis). Moreover, it was also shown that small ratio of diastolic pressure (D) and systolic

Funding: This Paper has been partly funded by National Natural Science Foundation of China (Grant No. 81601968, Wei Zhao) and National Natural Science Foundation of China (Grant No. 11802187, Xi Chen).

Competing interests: The authors have declared that no competing interests exist.

Abbreviations: EECP, Enhanced External Counterpulsation; CHD, Coronary Heart Disease; LMCA, Left Main Coronary Arterial; TAWSS, Time-Average Wall Shear Stress; OSI, Oscillatory Shear Index; SAR-TAWSS, Oscillatory Shear Index; D/S, the ratio of diastolic pressure (D) and systolic pressure (S); PCI, Percutaneous Coronary Intervention; CFD, Computational Fluid Dynamics; FFR, Fractional Flow Reserve; CTA, Computer Tomography Angiography; LAD, Left Anterior Descending Artery. pressure (S) (D/S) could only improve the hemodynamic condition mildly. The SAR-TAWSS reduction ratio significantly increased as D/S became larger.

Conclusions

A key finding of the study was that the improvement of hemodynamic conditions along the LMCA trees during EECP became more significant with the increase of D/S and the severity degree of stenoses at the bifurcation site. These findings have important implications on EECP as adjuvant therapy before or after percutaneous coronary intervention (PCI) in patients with diffuse atherosclerosis.

Introduction

Enhanced External Counterpulsation (EECP) can noninvasively assist circulation in a safe and effective way. EECP increases blood pressure during diastole and causes reversal of blood flow direction in systole, thus generating a unique shape of aortic pressure wave [1]. In addition to the immediate effects of EECP, some patients also experience sustained benefits which can last for up to 5 years post-therapy. Therefore, some persistent mechanisms underlying it could exist [2]. According to latest researches, the increase of shear stress may explain this phenomenon [3–7].

In 2007, Zhang et al discovered that the shear stress in the EECP group was significantly higher than the baseline and the control group in a model of hypercholesterolemic pigs [3]. Later, Du et al used 3-D fluid structure interaction technology to rebuild the vasculature and monitored the shear stress of hypercholesterolemic pig in vitro, finding that both the plague wall stress and the time average wall shear stress significantly increased after EECP treatment [4]. Recently, in healthy volunteers, Randy et al showed that shear stress in both brachial and femoral arteries increased during EECP [5]. Based on the above, it is assumed that EECP can promote long-time relief from ischemic chest pain and improve the prognosis of coronary heart disease (CHD) by increasing shear stress. Additionally, the ratio of diastolic pressure (D) and systolic pressure (S) (D/S) was an important parameter of EECP, and it directly determined the increase of blood pressure during diastole [6,7]. However, previous work did not present the effects of the important parameters on hemodynamic improvement during EECP (i.e., the severe degree of stenosis and the effect of D/S).

Time-averaged wall shear stress (TAWSS) and oscillatory shear index (OSI) are well known as primary risk parameters for the development and progression of atherosclerosis [8–12], which can further lead to various types of coronary stenosis [13]. Recent studies have discovered that low TAWSS (≤ 4 dynes/cm²) and high OSI (≥ 0.15) are risk factors for ruptureprone phenotype, which may be related to lipid accumulation and inflammatory cell infiltration to the intima [14–19]. Therefore, the evaluation of hemodynamic parameters in the epicardial coronary arterial tree is very important for understanding the progression of atherosclerosis as well as high-risk plaque formation. To evaluate the efficacy of EECP as adjuvant therapy after stent implantation or coronary artery bypass grafting, certain hemodynamic parameters can be applied.

Computational fluid dynamics (CFD) methods have been widely used in conjunction with empirically measured waveforms (as boundary conditions) to predict blood flow disturbances (e.g., flow separation, secondary flow, stagnation point flow, reversed flow, and/or turbulence) caused by convective inertia [12, 20–22], using TAWSS and OSI as important parameters [11,

19–21]. Recently, the CFD methods have been adopted to non-invasively determine the fractional flow reserve (FFR) [23, 24], which may guide percutaneous coronary intervention (PCI) for a better clinical outcome [25, 26]. However, few researchers have investigated the effect of EECP based on the CFD methods through examining hemodynamic changes [27].

The objective of this study is to investigate the hemodynamic changes in the patient-specific epicardial left main coronary arterial (LMCA) tree before or during EECP. Hemodynamic parameters used included TAWSS and OSI (SAR-TAWSS and SAR-OSI), and the flow fields were presented. In addition, the effects of other important parameters were also investigated, such as the severity degree of stenosis and D/S. Finally, the significance and limitations of these simulations were discussed.

Materials and methods

Study design

Seven human subjects (six with stent implantation and one with coronary artery bypass grafting) underwent computer tomography angiography (CTA) of coronary arteries. Morphometric data of the epicardial LMCA tree was reconstructed from CTA images. The threedimensional geometrical model was meshed, and the Navier-Stokes and continuity equations were solved using a transient finite volume method. The inlet boundary conditions were the aortic pressure waves before and during EECP. The outlet boundary conditions were flow resistances.

Ethics statement

This is an observational, retrospective study which was performed in compliance with the principles outlined in the Declaration of Helsinki and approved by the Ethics Committee of Peking University Third Hospital and all patients had signed informed consent.

Imaging acquisition

All studies were performed on a dual-source CT scanner (Siemens Definition, Forchheim, Germany). After an initial survey scan, a retrospectively gated contrast-enhanced scan was performed using 80 ml of iodinated contrast (Iopromide-Ultravist 370, Bayer Healthcare, Morristown, USA) injection through an antecubital vein at 5 ml/s followed by 50 ml of normal saline at the same rate. The scan parameters were: $2 \times 64 \times 0.6$ mm collimation, tube voltage– 120 kV; tube current–average 620 mAs adjusted to body size; gantry rotation time– 330 msec; pitch– 0.2–0.43 depending on heart rate. The simultaneous acquisition of multi-parallel cross sections enabled the imaging of coronary artery in a single breath hold. Images were reconstructed with a slice thickness/increment of 0.7/0.4 mm with B26f at temporal resolution of 83 msec (half-scan). The initial data window was positioned at 70% of the R-R interval, with additional data sets reconstructed at ±5% intervals to compensate for motion artifacts in coronary arteries if necessary.

In order to get the aortic pressure, pulse wave analysis (SphygmoCor Version 9, AtCor Medical Pty. Ltd, Australia) was performed on each patient before and during EECP treatment. Pressure oscillations generated by brachial arterial pulsation are transmitted to brachial blood pressure cuff, measured by a transducer and then fed into a microprocessor. Computerized software records pulse wave of brachial artery and derives central aortic pulse wave with a validated generalized transfer factor.

Geometrical models

Morphometric data of epicardial LMCA trees were extracted from patients' CTA images using the MIMICS software (Materialise, NV, Belgium). Based on the morphometric data, geometrical models were generated using the Geomagic Studio software (3D Systems, Rock Hill, USA) and then meshed using ANSYS ICEM (ANSYS Inc., Canonsburg, USA), as shown in Fig 1A and 1B. A mesh dependency was conducted such that the relative error in two consecutive mesh refinements was < 1% for the maximum velocity of steady state flow with inlet flow velocity equal to the time-averaged velocity over a cardiac cycle. A total of approximately 500,000 tetrahedral shaped volume elements (element size = 0.2 mm) were necessary to accurately mesh the computational domain.

3-D computational model

The governing equations were formulated for coronary arteries, each vessel of which was assumed to be rigid and impermeable. Navier-Stokes and continuity equations were solved using the commercial software solver FLUENT (ANSYS, Inc., Canonsburg, USA). Similar to previous studies [28], three cardiac cycles were required to achieve convergence for the transient analysis. The implicit Euler method was used and a constant time step was employed,



https://doi.org/10.1371/journal.pone.0230144.g001

where $\Delta t = 0.01$ s with 80 total time step per cardiac cycle. Although blood is a suspension of particles, it behaves as a Newtonian fluid in vessels with diameters > 1 mm [29]. The measured aortic pressure waves before or during EECP (Fig 2A and 2E) were set as the boundary condition at the inlet of LMCA trees. It was assumed that the distribution of the resting blood flow in normal coronary arteries obeyed scale laws [30], so the resistance of each coronary outlet (R_i) was computed by the total coronary resistance (R_{inlet}) and a morphometry factor (N_i) , which was inversely related to the branch diameters [30, 31]. Many physiological studies have shown that the coronary pressure-flow lines were concave toward the flow axis at lower pressures [32,33], and the zero flow pressure intercept at the physiological pressure range (i.e., P_0 in this study) exceeded coronary venous or left ventricular diastolic pressure by five to ten-fold [34]. Therefore, P_0 was chosen to be 51.7 mmHg [34] to determine P_i (the pressure at each outlet) and R_i through iterative procedures (see details in S1 Appendix), which was similar to a previous study [35]. The viscosity (μ) and density (ρ) of the solution were assumed as 4.5×10⁻³ Pa·s and 1060 kg/m³ respectively to mimic blood flow with a hematocrit of about 45% in these arteries [36]. After the velocity and pressure of the blood flow were calculated, hemodynamic parameters including TAWSS and OSI were determined from the equations in the S1



Fig 2. (A-D) Measured aortic pressure wave (A), TAWSS (B), OSI (C) and flow field (D) in the epicardial LMCA tree of a representative patient before EECP; (E-H) measured aortic pressure wave (E), TAWSS (F), OSI (G) and flow field (H) in the epicardial LMCA tree of the patient during EECP. The small figures for TAWSS and OSI show the posterior view. The small figures for flow field show the zoomed view.

https://doi.org/10.1371/journal.pone.0230144.g002



Fig 3. In correspondence with Fig_{1A} , TAWSS (A), OSI (B) and flow field (C) in the epicardial tree that has an idealized 75% area stenosis at the parent vessel (stenotic length of 7.3 mm) in the first bifurcation of LAD arterial tree before EECP; TAWSS (D), OSI (E) and flow field (F) in the same tree during EECP. The small figures for TAWSS and OSI show the posterior view. The small figures for flow field show the zoomed view.

https://doi.org/10.1371/journal.pone.0230144.g003

Appendix. Moreover, SAR-TAWSS (surface area ratio of TAWSS that equals to

$$\frac{\text{Surface area}_{\text{TAWSS} \le 4 \text{ dynes} \cdot \text{cm}^{-2}}}{\text{Surface area near a bifurcation}} \times 100\%$$
(1)

, where surface area near a bifurcation denotes 10mm length from the distal bifurcation to daughter vessels, and surface area of TAWSS \leq 4 dynes/cm² is high-risk area which may induce coronary heart disease [14–19]) and SAR-OSI (surface area ratio of high OSI that





https://doi.org/10.1371/journal.pone.0230144.g004

equals to

$$\frac{\text{Surface area}_{\text{OSI} \ge 0.15}}{\text{Surface area near a bifurcation}} \times 100\%$$
(2)

, where surface area of OSI \geq 0.15 is high-risk area) were computed at coronary bifurcations using the method in Ref. [31]. The average peak velocity along the main trunk of epicardial left anterior descending artery (LAD) was computed. The curve fitting in Fig 6 was presented using Matlab software (R2014a, MathWorks, USA).



Fig 5. In correspondence with Fig 1A, TAWSS (A), OSI (B) and flow field (C) in the epicardial tree that has an idealized 75% area stenosis at the parent vessel (stenotic length of 7.3 mm) and an idealized 75% area stenosis at the large daughter vessel (stenotic length of 7.9 mm) in the first bifurcation of LAD arterial tree before EECP; TAWSS (D), OSI (E) and flow field (F) in the same tree during EECP. The small figures for TAWSS and OSI show the posterior view. The small figures for flow field show the zoomed view.

https://doi.org/10.1371/journal.pone.0230144.g005

Results

CFD simulations were performed in the epicardial LMCA trees of seven human subjects. A representative LMCA tree is shown in Fig 1A. Based on this tree, Figs 2-5 were constructed, with Figs 3-5 presenting several kinds of idealized stenoses. Fig 6 and Table 3 covered all seven patients who received EECP with different D/S values (0.26, 0.38, 0.65, 0.79, 0.92, 1.21, 1.72). All the trees in Fig 6 were original trees without idealized stenoses.





https://doi.org/10.1371/journal.pone.0230144.g006

The patient in Figs 1–5 received EECP with D/S of 1.21. The aortic pressure wave in Fig 2A was measured before the patient received EECP treatment, while the aortic pressure wave in Fig 2E was measured during EECP treatment. Fig 2B–2D show the distribution of TAWSS, OSI and flow field in the epicardial LMCA tree in Fig 1A. Fig 2F–2H show the distribution of those hemodynamic parameters in the epicardial LMCA tree under pressure in Fig 2E, which lead to decreased SAR-TAWSS (as shown in Table 1) and more regular flows downstream in the first bifurcation of LAD arterial tree (as shown in Fig 2H vs. Fig 2D). The corresponding average peak velocity along the epicardial LAD main trunk was presented in Table 2. In comparison with the case before EECP, the LAD main trunk decreased the pressure drop during EECP.

TAWSS and OSI were computed, and flow field was graphed in the epicardial LMCA tree that had an idealized severe stenosis (75% area stenosis) at the parent vessel in the first LAD bifurcation before and during EECP respectively, as shown in Fig 3A–3F. In comparison with original trees, the trees with severe stenosis demonstrated decreased SAR-TAWSS, increased SAR-OSI and complex flow patterns (significantly increased flow vortices and secondary flows distal to the stenosis). As shown in Fig 3D–3F and Tables 1 and 2, EECP at the tree with a severe stenosis significantly improved the hemodynamic conditions (i.e., significant decreased flow vortices and secondary flows distal to the stenosis) and reduced atherosclerosis-prone zones (i.e., about 50% reduction of SAR-TAWSS and SAR-OSI). Compared with severe stenosis, an idealized mild stenosis (50% area stenosis) was created at the parent vessel in the first LAD bifurcation, and the distribution of TAWSS, OSI and flow field (before EECP and during EECP, respectively) were shown in Fig 4A–4F.

Moreover, serial stenoses were created at the parent vessel (75% area stenosis, stenotic length of 7.3 mm) and large daughter vessel (75% area stenosis, stenotic length of 7.9 mm) in the first LAD bifurcation, and the distribution of TAWSS, OSI and flow field (before EECP and during EECP, respectively) were shown in Fig 5A–5F. Serial severe stenoses significantly

| | SAR-TAWSS | SAR-OSI |
|-----------------------------|-----------|---------|
| Original tree before EECP | 7.2% | 0% |
| Original tree during EECP | 5.6% | 0% |
| 75% stenosis before EECP | 12.3% | 6.8% |
| 75% stenosis during EECP | 6.7% | 2.5% |
| 50% stenosis before EECP | 8.8% | 3.2% |
| 50% stenosis during EECP | 5.3% | 0% |
| Serial stenoses before EECP | 26.8% | 13.1% |
| Serial stenoses during EECP | 7.7% | 4.6% |

Table 1. SAR-TAWSS and SAR-OSI at the mother vessel in the first bifurcation of LAD arterial tree.

https://doi.org/10.1371/journal.pone.0230144.t001

deteriorated hemodynamic conditions (i.e., increased flow vortices and secondary flows distal to both of the stenoses, as shown in Fig 5C) and significantly increased the peak pressure gradient along the epicardial LAD main trunk (as shown in Table 2). EECP at the tree with serial severe stenoses improved the hemodynamic condition to a more significant extent than the case of a single severe stenosis (Fig 5 vs. Fig 3, Tables 1 and 2).

Furthermore, the variation of SAR-TAWSS reduction ratio (during EECP vs. before EECP) in seven patients with different D/S (the real value of D/S during EECP they received) was shown in Table 3, with each row denoting a patient. When D/S was very small, the SAR-TAWSS reduction ratio was also very small, showing that small D/S could only improve the hemodynamic condition mildly. The SAR-TAWSS reduction ratio significantly increased as D/S became larger. The curve fitting of SAR-TAWSS reduction ratio (during EECP vs. before EECP) with D/S was presented in Fig.6. The exponential function $f(x) = ae^{bx}+c$ was used, where a = 0.0932, b = 0.9359 and c = -0.07876, with R-square value of 0.9966.

Discussion

As shown in Fig 2B–2D, a normal tree with a mild stenosis (about 10% area stenosis) at the parent vessel in the first bifurcation of LAD artery led to mildly deteriorated hemodynamic conditions (i.e., decreased TAWSS and increased OSI) and complex flow patterns (increased flow vortices and secondary flows distal to the stenosis). In comparison with Fig 2B–2D, when

| | Table 2. Average pea | k velocit | y along tł | ne epicardia | l LAD | main tru | ınk. |
|--|----------------------|-----------|------------|--------------|-------|----------|------|
|--|----------------------|-----------|------------|--------------|-------|----------|------|

| | Average peak velocity (cm/s) | | |
|-----------------|------------------------------|---------------------|--|
| | Before EECP | During EECP | |
| Original tree | Case 1 ^a | Case 2 ^b | |
| | 6.83 | 11.57 | |
| 75% stenosis | Case 3 ^c | Case 4 ^d | |
| | 7.86 | 18.25 | |
| 50% stenosis | Case 5 ^e | Case 6 ^f | |
| | 7.12 | 13.88 | |
| Serial stenoses | Case 7 ^g | Case 8 ^h | |
| | 8.91 | 23.61 | |

Case 1: original tree before EECP in Fig 2B; Case 2: original tree during EECP in Fig 2F Case 3: a 75% stenosis before EECP in Fig 3A; Case 4: a 75% stenosis during EECP in Fig 3D Case 5: a 50% stenosis before EECP in Fig 4A; Case 6: a 50% stenosis during EECP in Fig 4D Case 7: serial stenoses before EECP in Fig 5A; Case 8: serial stenoses during EECP in Fig 5D

https://doi.org/10.1371/journal.pone.0230144.t002

| D/S | SAR-TAWSS reduction ratio |
|------|---------------------------|
| 0.26 | 4.6% |
| 0.38 | 5.6% |
| 0.65 | 8.3% |
| 0.79 | 11.3% |
| 0.92 | 13.8% |
| 1.21 | 22.2% |
| 1.72 | 38.5% |
| | · |

Table 3. The variation of SAR-TAWSS reduction ratio (during EECP vs. before EECP) in seven patients with different D/S (the real value of D/S during EECP).

https://doi.org/10.1371/journal.pone.0230144.t003

the normal tree received EECP with D/S of 1.21 (Fig 2E), hemodynamic conditions and flow patterns could be improved, and mild secondary flows downstream of the first bifurcation was also observed (Fig 2H). The TAWSS near the first bifurcation of LAD artery (10mm length from the distal bifurcation to the large and the small daughter vessel) increased from 24.3 dynes/cm² (before EECP) to 46.7 dynes/cm² (during EECP), which was consistent with previous studies [1, 3, 27]. As shown in Table 1, SAR-TAWSS reduced from 7.2% to 5.6%, indicating that EECP may improve hemodynamic conditions and decrease atherosclerosis-prone zones (i.e., a decrease of SAR-TAWSS). Similar to previous studies [6, 27], the average peak velocity significantly increased from 6.83 cm/s to 11.57 cm/s during EECP. These results significantly demonstrate that EECP could improve endothelial function in coronary arteries by altering the hemodynamic conditions.

In comparison with the original trees, when the tree with a severe stenosis received EECP with D/S of 1.21, hemodynamic conditions and flow patterns could be restored to a more significant extent (Fig 3). Meanwhile, SAR-TAWSS reduced by 45.5% (the computational formula was (12.3%-6.7%)/(12.3%)) from 12.3% to 6.7%, and SAR-OSI reduced by 63.2% from 6.8% to 2.5%, as shown in Table 1. Therefore, EECP with large D/S may be beneficial for patients with severe atherosclerosis (i.e., by improving their hemodynamic conditions and flow patterns). However, EECP could only serve as an adjuvant therapy for severe atherosclerotic patients, because there were still strong secondary flows in the second LAD bifurcation (Fig 3F vs. Fig 2H), which may be a risk factor for restenosis after PCI and needs further investigation.

Compared with the case of severe stenosis, when the tree with a mild stenosis received EECP with D/S of 1.21 (Fig 2E), hemodynamic conditions and flow patterns were restored to a lesser extent. SAR-TAWSS reduced by 39.8% from 8.8% to 5.3%, and SAR-OSI reduced from 3.2% to 0% (but OSI at some area was very close to the critical value of 1.5). Meanwhile, for mild atherosclerotic patients, EECP could restore hemodynamic conditions with low values of SAR-TAWSS and SAR-OSI (i.e., SAR-OSI decreased to 0%, ref [36]) and more regular flow patterns (i.e., the decrease of flow vortices and secondary flows in Fig 4F vs. Fig 4C). Therefore, it was a relatively effective conservative treatment.

However, greater extent of improvement does not mean better therapeutic effect. For example, in the case of a severe stenosis, strong secondary flows still occurred (Fig 3F). In comparison, the improvement of hemodynamic conditions was more significant in original tree and mild stenosis (Fig 2H and Fig 4F), with no significant secondary flows or vortices and significant decrease of high-risk atherosclerotic area (SAR-TAWSS<6%, SAR-OSI = 0% during EECP). Therefore, the therapeutic effect of EECP could be better for a tree with a mild stenosis than a tree with a severe stenosis. Our findings are consistent with Chen et al., who found that "under this counterpulsation mode, the therapeutic effect became worse with the increased rate of coronary artery stenosis" [27].

Furthermore, the condition of the tree suffering serial severe stenoses was also analyzed. Serial severe stenoses significantly deteriorated hemodynamic conditions (i.e., increased flow vortices and secondary flows distal to both of the stenoses, as shown in Fig 5C), which may be a key risk factor for restenosis after PCI. When the tree was receiving EECP with D/S of 1.21 (Fig 2E), the hemodynamic condition was improved (i.e., the strong flow vortices and second-ary flows almost disappeared, Fig 5F vs. Fig 5C), and atherosclerosis-prone zones reduced significantly (i.e., SAR-TAWSS reduced by 71.3% from 26.8% to 7.7%., and SAR-OSI reduced by 64.9% from 13.1% to 4.6%). Therefore, EECP with large D/S was also beneficial for severe atherosclerotic patients. Considering its effect in restoring the hemodynamic conditions of patients preparing for PCI, EECP was not only an excellent adjuvant therapy after PCI, but also might be an excellent conservative treatment before PCI.

D/S was an important parameter of EECP. In general, large value of D/S (>1.2) could significantly increase coronary perfusion pressure [6,7]. According to the reality of patients, the actual D/S was mostly lower than 1.2. However, the small D/S could still improve the hemodynamic conditions of patients (Table 3). Therefore, if a patient cannot receive EECP with a large value of D/S, which may make the patient uncomfortable or induce hypertension, he/she could choose EECP with a certain value of D/S suggested by the doctor based on the prediction data and experience. In this situation, EECP with small D/S would be an effective adjuvant therapy. As the value of D/S increases, the improvement of the hemodynamic conditions tends to be more significant (i.e., SAR-TAWSS reduction ratio even showed exponential growth with D/S (Fig 6). The study indicated that when a patient could sustain EECP with a large value of D/S (usually >1.2), the improvement in hemodynamic conditions could be very significant. However, more tests are needed to confirm this finding.

Several limitations need to be considered when interpreting the findings. In this study, the sample of patients was too small to find various degrees of coronary artery stenoses, so the idealized stenoses were created in LMCA trees. Different degrees of coronary artery stenoses should be divided into three groups (health trees, mild stenoses, and severe stenoses) in future studies. Besides, we used the aortic pressure waves to surrogate the inlet pressure waves of LMCA as the inlet boundary conditions. Because the pressure in the aorta is greater than that in the the LMCA, it may induce the overestimation of TAWSS. If the pressure waves of LMCA could be measured in the future, we can use more actual inlet boundary conditions. Moreover, the effects of non-newtonian fluid (especially the viscoelastic effect of blood) and elastic vessel walls were not considered in this study, which may lead to the overestimation of TAWSS [34]. More accurate models should be used in the future.

Conclusions

A key finding of the study was that the improvement of hemodynamic conditions (i.e., average velocity, TAWSS, OSI and flow field) along the LMCA trees during EECP became more significant with the increase of D/S (approximately exponential growth) and the severity degree of stenosis at the bifurcations. Moreover, EECP with a low value of D/S (<1.2) could still improve the hemodynamic conditions of patients. The hemodynamic analysis in the epicardial coronary arterial tree improves our understandings of EECP as adjuvant therapy before or after PCI in patients with diffuse atherosclerosis.

Supporting information

S1 Appendix. [37], [38], [39], [40], [41]. (DOCX)

S1 File. (ZIP)

Acknowledgments

Thanks to the anonymous colleagues in the Department of Radiology, Peking University Third Hospital for the collection and analysis of CT images.

Author Contributions

Conceptualization: Ming Cui, Wei Gao, Dongguo Li, Wei Zhao.

Data curation: Ling Xu, Xi Chen, Chuan Ren, Haiyi Yu.

Formal analysis: Ling Xu, Xi Chen, Chuan Ren.

Funding acquisition: Xi Chen, Wei Zhao.

Investigation: Ling Xu, Xi Chen, Chuan Ren, Haiyi Yu, Dongguo Li, Wei Zhao.

Methodology: Ling Xu, Xi Chen, Dongguo Li, Wei Zhao.

Project administration: Ling Xu, Xi Chen, Dongguo Li, Wei Zhao.

Resources: Chuan Ren, Haiyi Yu, Wei Gao, Dongguo Li, Wei Zhao.

Software: Ling Xu, Xi Chen.

Supervision: Ming Cui, Wei Gao, Dongguo Li, Wei Zhao.

Writing - original draft: Ling Xu, Xi Chen.

Writing - review & editing: Dongguo Li, Wei Zhao.

References

- Gurovich A N, Braith R W. Enhanced external counterpulsation creates acute blood flow patterns responsible for improved flow-mediated dilation in humans. Hypertens Res. 2013; 36: 297–305. <u>https://doi.org/10.1038/hr.2012.169</u> PMID: 23076403
- Loh PH, Cleland JG, Louis AA, Elizabeth DK, Jocelyn FC, John LC, et al.Enhanced external counterpulsation in the treatment of chronic refractory angina: a long-term follow-up outcome from the International Enhanced External Counterpulsation Patient Registry. Clin Cardiol. 2008; 31: 159–64. https:// doi.org/10.1002/clc.20117 PMID: 18404725
- Zhang Y, He X, Chen X, Hong M, Liu DH, Luo JY, et al. Enhanced external counterpulsation inhibits intimal hyperplasia by modifying shear stress responsive gene expression in hypercholesterolemic pigs. Circulation. 2007; 116: 526–34. https://doi.org/10.1161/CIRCULATIONAHA.106.647248 PMID: 17620513
- Du J, Wang L. Enhanced External Counterpulsation Treatment May Intervene The Advanced Atherosclerotic Plaque Progression by Inducing The Variations of Mechanical Factors: A 3D FSI Study Based on in vivo Animal Experiment. Mol Cell Biomech. 2015; 12: 249–63. PMID: 27263260
- Braith R W, Casey D P, Beck D T. Enhanced external counterpulsation for ischemic heart disease: a look behind the curtain. Exerc Sport Sci Rev. 2012; 40: 145–52. https://doi.org/10.1097/JES. 0b013e318253de5e PMID: 22407185
- Michaels A D, Accad M, Ports T A, William G. Left ventricular systolic unloading and augmentation of intracoronary pressure and Doppler flow during enhanced external counterpulsation. Circulation. 2002; 106: 1237–42. https://doi.org/10.1161/01.cir.0000028336.95629.b0 PMID: 12208799
- Li B, Chen S, Qi X, Wang WX, Mao BY, Du JH, et al. The numerical study on specialized treatment strategies of enhanced external counterpulsation for cardiovascular and cerebrovascular disease. Med Biol Eng Comput. 2018; 56: 1959–71. https://doi.org/10.1007/s11517-018-1834-z PMID: 29713856
- 8. Fung Y C. Blood Flow in Arteries. 1960.

- Caro CG, Fitz JM, Schroter RC. Proposal of a shear dependent mass transfer mechanism for atherogenesis. Clin Sci. 1971; 40: 5P.
- Ku D N, Giddens D P, Zarins C K. Pulsatile flow and atherosclerosis in the human carotid bifurcation. Positive correlation between plaque location and low oscillating shear stress. Arteriosclerosis. 1985; 5: 293–302. https://doi.org/10.1161/01.atv.5.3.293 PMID: 3994585
- Kleinstreuer C, Hyun S, Buchanan JJ, Longest PW, Archie JJ, Truskey GA. Hemodynamic parameters and early intimal thickening in branching blood vessels. Crit Rev Biomed Eng. 2001; 29: 1–64. https:// doi.org/10.1615/critrevbiomedeng.v29.i1.10 PMID: 11321642
- Chiastra C, Gallo D, Tasso P, Iannaccone F, Migliavacca F, Wentzel JJ, et al. Healthy and diseased coronary bifurcation geometries influence near-wall and intravascular flow: A computational exploration of the hemodynamic risk. J Biomech. 2017; 58: 79–88. https://doi.org/10.1016/j.jbiomech.2017.04.016 PMID: 28457603
- Lefevre T, Louvard Y, Morice M C, Dumas P, Loubeyre C, Benslimane A, et al. Stenting of bifurcation lesions: classification, treatments, and results. Catheter Cardiovasc Interv. 2000; 49: 274–83. <u>https:// doi.org/10.1002/(sici)1522-726x(200003)49:3<274::aid-ccd11>3.0.co;2-n PMID: 10700058</u>
- Gijsen F, Giessen A, Steen A, Jolanda W. Shear stress and advanced atherosclerosis in human coronary arteries. J Biomech. 2013; 46: 240–7. https://doi.org/10.1016/j.jbiomech.2012.11.006 PMID: 23261245
- Chatzizisis Y S, Jonas M, Coskun A U, Roy B. Prediction of the localization of high-risk coronary atherosclerotic plaques on the basis of low endothelial shear stress: an intravascular ultrasound and histopathology natural history study. Circulation. 2008; 117: 993–1002. <u>https://doi.org/10.1161/</u> CIRCULATIONAHA.107.695254 PMID: 18250270
- Gao H, Long Q, Sadat U, Graves M, Gillard GH, Li ZY. Stress analysis of carotid atheroma in a transient ischaemic attack patient using the MRI-based fluid-structure interaction method. Br J Radiol. 2009; 82 Spec No 1: S46–54. https://doi.org/10.1259/bjr/20307071 PMID: 20348536
- Koskinas KC, Chatzizisis YS, Baker AB, Edelman ER, Stone PH, Feldman CL. The role of low endothelial shear stress in the conversion of atherosclerotic lesions from stable to unstable plaque. Curr Opin Cardiol. 2009; 24: 580–90. https://doi.org/10.1097/HCO.0b013e328331630b PMID: 19809311
- Venturini G, Malagrino PA, Padilha K. Integrated Proteomics and Metabolomics Analysis Reveals Differential Lipid Metabolism in HUVEC under high and low shear stress. Am J Physiol Cell Physiol. 2019; in press.
- Zhang B, Gu J, Qian M, Niu LL, Zhou H, Ghista D. Correlation between quantitative analysis of wall shear stress and intima-media thickness in atherosclerosis development in carotid arteries. Biomed Eng Online. 2017; 16: 137. https://doi.org/10.1186/s12938-017-0425-9 PMID: 29208019
- Huo Y, Luo T, Guccione JM, Shawn DT, Tan WC, Navia JA, et al. Mild anastomotic stenosis in patientspecific CABG model may enhance graft patency: a new hypothesis. Plos One. 2013; 8: e73769. https://doi.org/10.1371/journal.pone.0073769 PMID: 24058488
- 21. Ramaswamy SD, Vigmostad SC, Wahle A, Lai YG, Olszewski ME, Braddy KC, et al. Fluid dynamic analysis in a human left anterior descending coronary artery with arterial motion. Ann Biomed Eng. 2004; 32: 1628–41. https://doi.org/10.1007/s10439-004-7816-3 PMID: 15675676
- Sankaranarayanan M, Ghista DN, Poh CL, Tan YS, Ghsaaan SK. Analysis of blood flow in an out-ofplane CABG model. Am J Physiol Heart Circ Physiol. 2006; 291: H283–95. <u>https://doi.org/10.1152/ ajpheart.01347.2005 PMID: 16489100</u>
- Schrauwen J, Coenen A, Kurata A, Wentzel JJ, Steen AFW, Nieman K, et al. Functional and anatomical measures for outflow boundary conditions in atherosclerotic coronary bifurcations. J Biomech. 2016; 49: 2127–34. https://doi.org/10.1016/j.jbiomech.2015.11.036 PMID: 26654676
- Taylor CA, Fonte TA, Min JK. Computational fluid dynamics applied to cardiac computed tomography for noninvasive quantification of fractional flow reserve: scientific basis. J Am Coll Cardiol. 2013; 61: 2233–41. https://doi.org/10.1016/j.jacc.2012.11.083 PMID: 23562923
- 25. Pijls NH, Fearon WF, Tonino PA, Uwe S, Fumiaki I, Bernhard B, et al. Fractional flow reserve versus angiography for guiding percutaneous coronary intervention in patients with multivessel coronary artery disease: 2-year follow-up of the FAME (Fractional Flow Reserve Versus Angiography for Multivessel Evaluation) study. J Am Coll Cardiol. 2010; 56: 177–84. <u>https://doi.org/10.1016/j.jacc.2010.04.012</u> PMID: 20537493
- Tonino PA, De Bruyne B, Pijls NH, Siebert U, Ikeno F, van't Veer M, et al. Fractional flow reserve versus angiography for guiding percutaneous coronary intervention. N Engl J Med. 2009; 360: 213–24. <u>https:// doi.org/10.1056/NEJMoa0807611</u> PMID: 19144937
- Chen S, Li bao, Yang H, Du J, Li X, Liu Y. Hemodynamics of Enhanced External Counterpulsation with Different Coronary Stenosis. Computer Modeling in Engineering & Science 2018; 116(2): 149–162.

- Huo Y, Wischgoll T, Kassab GS. Flow patterns in three-dimensional porcine epicardial coronary arterial tree. Am J Physiol Heart Circ Physiol. 2007; 293: H2959–70. https://doi.org/10.1152/ajpheart.00586. 2007 PMID: 17827262
- **29.** Nichols WW, and McDonald DA. McDonald's blood flow in arteries: theoretic, experimental, and clinical principles, 6th ed, 2011. Hodder Arnold, London.
- Zhou YF, Kassab GS, Molloi S. On the design of the coronary arterial tree: a generalization of Murray's law. Phys Med Biol. 1999; 44(12): 2929–2945. https://doi.org/10.1088/0031-9155/44/12/306 PMID: 10616146
- **31.** Huo Y, Kassab GS. Intraspecific scaling laws of vascular trees. J R Soc Interface. 2012; 9: 190–200. https://doi.org/10.1098/rsif.2011.0270 PMID: 21676970
- Spaan JAE, Piek JJ, Hoffman JIE, Siebes M. Physiological basis of clinically used coronary hemodynamic indices. Circulation. 2006; 113: 446–455. <u>https://doi.org/10.1161/CIRCULATIONAHA.105</u>. 587196 PMID: 16432075
- Klocke FJ, Weinstein IR, Klocke JF, Ellis AK, Kraus DR, Mates RE, et al. Zero-flow pressures and pressure-flow relationships during single long diastoles in the canine coronary bed before and during maximum vasodilation: limited influence of capacitive effects. J Clin Invest. 1981; 68(4): 970–980. https://doi.org/10.1172/JCI110351 PMID: 7287909
- **34.** Nejad A A, Talebi Z, Cheraghali D, Shahbani-Zahiri A, Norouzi M. Pulsatile Flow of Non-Newtonian Blood Fluid inside Stenosed Arteries: Investigating the Effects of Viscoelastic and Elastic Walls, Arteriosclerosis, and Polycythemia Diseases. Computer Methods & Programs in Biomedicine, 2018, 154:109.
- Su B, Huo Y, Kassab G S, Kabinejadian F, Kim S, Leo HL, et al. Numerical investigation of blood flow in three-dimensional porcine left anterior descending artery with various stenoses. Comput Biol Med. 2014; 47: 130–8. https://doi.org/10.1016/j.compbiomed.2014.01.001 PMID: 24607680
- **36.** Fan TT, Feng YD, Feng F, Yin Z, Luo D, Lu Y, et al. A comparison of postoperative morphometric and hemodynamic changes between saphenous vein and left internal mammary artery grafts. Physiological Reports, 2017, 5(21).
- Choy J S, Kassab G S. Scaling of myocardial mass to flow and morphometry of coronary arteries. J Appl Physiol, 2008; 104(5): 1281–1286. <u>https://doi.org/10.1152/japplphysiol.01261.2007</u> PMID: 18323461
- Huo Y, Kassab G S. Effect of compliance and hematocrit on wall shear stress in a model of the entire coronary arterial tree. J Appl Physiol, 2009; 107(2): 500–505. https://doi.org/10.1152/japplphysiol. 91013.2008 PMID: 19541733
- Giessen AGVD, Groen H C, Doriot P, Feyter PJ, Steen AFW, Vosse F N, et al. The influence of boundary conditions on wall shear stress distribution in patients specific coronary trees. J Biomech, 2011; 44 (6): 1089–1095. https://doi.org/10.1016/j.jbiomech.2011.01.036 PMID: 21349523
- Dole W P, Richards K L, Hartley C J, Alexander GM, Campbell AB, Bishop VS. Diastolic coronary artery pressure-flow velocity relationships in conscious man. Cardiovasc Res. 1984; 18: 548–54. <u>https://doi.org/10.1093/cvr/18.9.548</u> PMID: 6467272
- Zhang J M, Zhong L, Luo T, Huo Y, Tan SY, Wong AS, et al. Numerical simulation and clinical implications of stenosis in coronary blood flow. Biomed Res Int. 2014; 2014: 514729. https://doi.org/10.1155/ 2014/514729 PMID: 24987691