Single cell RNA sequencing reveals a shift in cell function and maturation of endogenous and infiltrating cell 1 2 types in response to acute intervertebral disc injury

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9 Abstract

10 Intervertebral disc (IVD) degeneration contributes to disabling back pain. Degeneration can be initiated by injury 11 and progressively leads to irreversible cell loss and loss of IVD function. Attempts to restore IVD function 12 through cell replacement therapies have had limited success due to knowledge gaps in critical cell populations and molecular crosstalk after injury. Here, we used single cell RNA sequencing to identify the transcriptional 13 14 changes of endogenous and infiltrating IVD cell populations, as well as the potential of resident mesenchymal 15 stem cells (MSCs) for tissue repair. Control and Injured (needle puncture) tail IVDs were extracted from 12 week old female C57BL/6 mice 7 days post injury and clustering analyses, gene ontology, and pseudotime trajectory 16 analyses were used to determine transcriptomic divergences in the cells of the injured IVD, while 17 18 immunofluorescence was utilized to determine mesenchymal stem cell (MSC) localization. Clustering analysis 19 revealed 11 distinct cell populations that were IVD tissue specific, immune, or vascular cells. Differential gene 20 expression analysis determined that Outer Annulus Fibrosus, Neutrophils, Saa2-High MSCs, Macrophages, and 21 Krt18⁺ Nucleus Pulposus (NP) cells were the major drivers of transcriptomic differences between Control and 22 Injured cells. Gene ontology of DEGs suggested that the most upregulated biological pathways were 23 angiogenesis and T cell related while wound healing and ECM regulation categories were downregulated. 24 Pseudotime trajectory analyses revealed that cells were driven towards increased cell differentiation due to IVD 25 injury in all IVD tissue clusters except for Krt18⁺ NP which remained in a less mature cell state. Saa2-High and 26 Grem1-High MSCs populations drifted towards more IVD differentiated cells profiles with injury and localized 27 distinctly within the IVD. This study strengthens the understanding of heterogeneous IVD cell populations 28 response to injury and identifies targetable MSC populations for future IVD repair studies.

30 Lay Summary

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31 The intervertebral disc (IVD) is a spinal joint that accumulates damage with age but has limited tissue repair 32 capabilities. IVD damage progresses into degeneration, and IVD degeneration is a leading cause of lower back 33 pain. There are no effective therapies to treat IVD degeneration, but understanding the cell populations that 34 change and respond to injury will uncover targets to restore IVD function. Mesenchymal stem cells (MSCs) are 35 cells within the IVD that can potentially replenish the cells lost after IVD damage. To identify the cell populations 36 of the IVD and how they change with injury, we performed single cell RNA sequencing of IVD tissue 7 days post 37 injury and analyzed the differences in gene regulation. We identified diverse cells populations such as IVD 38 specific tissues, immune cells, vascular cells, and MSCs. We discovered the presence of Saa2 and Grem1 39 expressing MSCs that become less stem cell-like and express higher levels of IVD gene markers after injury. We 40 also determined that Saa2 and Grem1 have slightly different expression patterns in IVD tissues, and this 41 expression becomes reduced after injury. These MSCs could be used in future stem cell therapies to prevent IVD 42 degeneration.

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2 Keywords: scRNASeq, IVD, MSC, repair, degeneration, intervertebral disc, spine, cartilage

3 Introduction

4 Low back pain is a leading cause of disability worldwide and intervertebral disc (IVD) degeneration is a major 5 contributor to back pain^{1,2}. IVD degeneration is the progressive deterioration of IVD structure and function and can be instigated by injury, aging, or mechanical instability³. The etiology of IVD degeneration and how 6 7 endogenous cell populations or infiltrating cells participate in the response to IVD damage is still poorly 8 understood. The IVD has a limited reparative capacity and the lack of innate mechanisms to stimulate repair and 9 restore tissue homeostasis after injury could explain why the IVD is prone to cumulative degenerative changes 10 overtime^{4,5}. Understanding how IVD cell populations respond to injury and identifying resident stem cell populations can provide cellular targets to increase IVD repair and prevent degeneration. 11

12 The IVD is essential for spine function and consists of three major tissue types: the annulus fibrosus (AF), the nucleus pulposus (NP), and the cartilaginous end plate (CEP). The AF can be further divided into the inner (iAF) 13 14 and outer (oAF) annulus fibrosus. The IVD is an avascular, aneural structure with minimal immune cell presence 15 when healthy but becomes infiltrated with nerves, vasculature, and proinflammatory immune cells upon injury⁶. The infiltration of these cell types during a chronic IVD injury and their role in exacerbating degeneration is well 16 17 characterized, but how rapid changes to endogenous and infiltrating cell types affect IVD homeostasis during the acute IVD injury response is less understood⁷. Understanding the cell types important during the acute injury 18 19 response, a critical time window to stimulate repair pathways and restore tissue homeostasis, is key to 20 discovering cellular targets to improving IVD repair post injury⁸.

21 Single cell RNA sequencing (scRNASeq) is a powerful tool to identify novel cell populations and molecular 22 targets. Previous studies have utilized scRNASeq to identify distinct gene markers for the AF and NP, which have 23 an overlapping expression of tissue specific markers, as well as to identify the gene expression profile of the CEP, 24 the most understudied IVD tissue due to being only 1 to 2 cell layers thick. scRNASeq has elucidated the 25 heterogenous nature of each IVD tissue by uncovering novel tissue markers for the diverse cell populations 26 within the endogenous tissues, AF, NP, and CEP, and exogenous tissues such as nerves, vasculature, and other cell types like immune cells and mesenchymal stem cells (MSCs)⁹⁻¹⁵. Though previous studies have identified 27 28 various IVD MSC populations expressing markers such as Mcam, Ctsk, Cd44, Lglals3, and Krt15, the role of MSCs 29 during IVD pathogenesis and how MSCs can be used for regenerative therapies is still unclear.

30 In this study, we subjected C57BL/6 mice to a severe IVD injury to induce degenerative changes. We collected 31 IVD tissue 7 days post injury and conducted scRNASeq to analyze the changes in IVD cell populations during the 32 acute injury response and identify novel populations that could be targetable to improve IVD repair strategies. 33 We discovered the most upregulated biological processes were related to angiogenesis and T cell regulation 34 while wound healing and extracellular matrix (ECM) regulation were the most downregulated. We discovered 35 the presence of Inflammatory NP-like cells that are similar to but distinct from other NP clusters that expressed 36 elevated proinflammatory cytokines in conjunction with the increased presence of macrophages and neutrophils 37 with injury. We also identified the presence of two MSC clusters, Saa2 and Grem1 High expressing MCSs, that 38 lose stemness and stimulate differentiation into IVD tissues with injury and localize to IVD tissues based on 39 immunofluorescence. The identification of these novel cell populations and the biological functions they 40 stimulate in Injured IVDs provides targetable cell types to mediate the deleterious changes after IVD injury and 41 stimulate repair.

1 Methods

2 Animal Care

Female, 12-week old C57BL/6 (#000664) mice were purchased from the Jackson Laboratories and housed in a mouse facility under standard laboratory conditions. A chow diet and water were available at libitum. Institutional Animal Care and Use Committee protocols were established and approved before animal usage and conformed to the National Institutes of Health Guide for the care and use of laboratory animals.

7 Intervertebral Disc Injury

Mouse tail IVD injuries were conducted as previously described by our laboratory¹⁶. Control IVDs were isolated 8 9 from mouse tails in the coccygeal "CC" region CC12/13 through CC16/17. Injured IVDs were conducted using a 10 30G needle to bilaterally puncture regions CC5/6 through CC9/10. Control and Injured IVDs are from the same animal. Punctures were performed by using digital palpation to identify CC5/6 through CC9/10, puncturing each 11 12 level with a sterile 30G needle, and confirming the accuracy of each puncture with X-ray (Faxitron UltraFocus 13 100, Hologic). Mice were anesthetized with vaporized isoflurane/oxygen during the procedure and given a single 14 injection of 1mg/mL carprofen at 5mg/kg/mouse as analgesia immediately after injuries. Mice were returned to 15 the mouse facility and monitored every 24 hours until 7 days post injury. For tail IVD extractions, mice were 16 euthanized at 7 days post injury (dpi) in a CO2 chamber with 3% CO2 for 5 minutes and a 2 minute dwell time. 17 Euthanized mice were submerged in 70% ethanol for 2 minutes before the IVDs were extracted. IVDs were either rendered into a single cell suspension and counted for single cell RNA sequencing or flow cytometry or 18 19 intact tissue was processed for immunofluorescence.

20 Single Cell RNA Sequencing (scRNASeq):

21 Control and Injured IVDs from 6 mice were pooled so that there was a total of 30 IVDs per group rendered into a 22 single cell suspension and snap frozen. Snap frozen cells were thawed, and fluorescently activated cell sorting 23 (FACS) was performed to sort out the viable cells from cell debris and dead cells using DAPI and then 24 resuspended in PBS + 0.04% nonacetylated BSA for preparation of scRNASeq using a Chromium Controller (10X 25 Genomics). Library preparation was performed using Chromium Single Cell 30 GEM, Library & Gel Bead Kit v3 (10X Genomics) following the manufacturer's protocol and sequenced using Illumina NextSeq 500. Alignment of 26 27 scRNASeg data to the mouse genome (mm10) and gene counting was completed utilizing the 10XGenomics Cell 28 Ranger. Subsequently, output files from the Cell Ranger 'count' were read into Seurat v3 for further analysis¹⁷. 29 Cells with fewer than 250 detected genes or genes that were expressed by fewer than 5 cells were excluded 30 from the analysis. After normalization of the data and the most variable genes identified, the data were scaled, 31 and the dimensionality of the data was reduced by principal component analysis (PCA). A non-linear dimensional 32 reduction was then performed via uniform manifold approximation and projection (UMAP) and various cell 33 clusters were identified. All clustering, visualization, and differential gene analysis was performed in Seurat. 34
Table 1 contains the full list of differentially expressed genes.

35 Gene Ontology

Biological pathways enriched by the up-regulated or down-regulated DEGs were identified by using the statistical overrepresentation test from the Panther Classification System with version Panther 18.0. Only GO terms that had a p value and False detection Rate of less than 0.05 were considered. The full list of all GO terms from upregulated DEGS is in **Table 2** and for downregulated DEGs is in **Table 3**.

1 Flow Cytometry

2 Pooled IVDs (n=5 IVDs for each treatment group; n=3 mice for a total of 15 IVDs/flow cytometry run) were 3 isolated and rendered into a single cell suspension by using four serial digestions of 2mg/mL Collagenase Type II 4 (Gibco) at 37 degrees Celsius for 30 minutes each. After resuspension in FACS buffer (0.5% BSA, 2mM sodium 5 azide, 2mM EDTA in 1X PBS), cells were counted and then treated with an Fc blocking buffer containing anti-6 Cd16/32 (BD Biosciences) for 20 minutes before a 30 minute incubation with antibodies at 4 degrees Celsius. 7 The antibodies used are as follows: Cd45-APC Cy7 (BD Biosciences), Cd11b- BV605 (Biolegend), Ly6G- BV510 8 (Biolegend), Ly6C- PE (Biolegend), and 7AAD (Thermofisher) as a live dead stain. For compensation, the spleen 9 from one mouse was rendered into a single cell suspension, treated with Red Blood Cell Lysing Buffer Hybri-10 Max[™] (Sigma Aldrich) and aliguoted to be treated with individual antibodies to serve as single stained controls. After staining, cells were identified by using a LSRFortessa (BD Biosciences) analyzer and FloJo 10.0 software. 11

12 Immunofluorescence

13 10µm thick, midline, sagittal frozen sections were fixed with 4% PFA for 10 minutes and then permeabilized with 14 0.5% Triton X in TBS for 10 minutes. Sections were washed and then blocked using 1% Goat serum in TBS for one 15 hour at room temperature before adding primary antibodies to detect Saa2 (Proteintech, 1:100) and Grem1 16 (Thermofisher, 1:100) overnight at 4 degrees Celsius. An anti- rabbit Alexa 488 secondary (Thermofisher, 1:250) 17 was added for one hour at room temperature. Slides were stained with Hoechst 33258 (Invitrogen, 1:1000) 18 before being mounted and imaged with a Leica Di8 laser scanning confocal microscope with a 10x objective. 19 Fluorescence was quantified using the analyze particles function on FIJI/Image J. n=4

20 Statistical Analyses

21 Statistical analyses for differentially expressed genes were performed using R statistical software. DEGs from 22 each cluster were identified by having an adjusted p value and false detection rate of p > 0.05. All other 23 statistical analyses were performed using GraphPad Prism version 10.2.0. The assumptions for parametric tests 24 were checked to verify none were violated before statistical tests were ran and results interpreted. For 25 immunofluorescence, differences between Control and Injured samples immuno-stained for Grem1 and Saa2 were analyzed using a paired Student's T test. A p value < 0.05 was considered statistically significant and an 26 27 asterisk denotes significance. The magnitude of gene expression for cell-specific markers were tested for 28 differences between Control and Injured cell populations by a Student's t-test or a Mann-Whitney test as 29 appropriate. Chi-squared tests were used to compare the proportions between matched cell clusters between 30 Control and Injured populations.

31 Results

32 scRNASeq identified 11 clusters consisting of IVD tissues, immune cells, MSCs, and vasculature cells

33 Unsupervised clustering analysis identified 11 cell populations present in both Control and Injured IVD samples (Figure 1A). A heat map of the differentially expressed genes enriched in each cluster relative to all other 34 35 clusters was used to determine cell identities (Figure 1B). We identified intervertebral disc (IVD) tissues 36 represented in clusters 1,2,3,4, and 9 which are outer annulus fibrosus (oAF), Cd24⁺ nucleus pulposus (Cd24⁺ NP), inner AF (iAF), Inflammatory NP-Like cells (Inflamm NP), and Krt18⁺ NP cells, respectively (Figure 1B, C). 37 38 Immune cells were present in cluster 5: Neutrophils, and cluster 8: Macrophages. Two MSC populations were 39 identified: Cluster 6: Saa2-High MSC (Saa2 MSC), and cluster 7: Grem1-High MSCs (Grem1 MSC), and vasculature 40 cell types were also identified: cluster 9: Endothelial cells and cluster 11: Pericytes. Of the 11 clusters identified,

the majority showed an increase in cell number with injury except for three clusters: oAF, Grem1 MSC, and 1 2 Krt18⁺ NP where these cell populations were decreased with injury (Figure 1C). Gene expression levels of 3 established tissue markers for the cell types identified in each cluster was measured to support our labeling of 4 the distinct clusters. Most IVD gene markers were expressed in multiple IVD tissues; therefore, quantifying levels 5 of gene expression of each marker was the best strategy for identification. Col1a1 (Figure 1D) and Lum (Figure 1E) are extracellular matrix proteins known to be expressed oAF and iAF, while Fmod (Figure 1F), Col2a1, (Figure 6 **1G**), and *Acan* (Figure 1F) are extracellular matrix proteins highly expressed in the iAF and NP¹⁸⁻²⁰. Cluster 4 was 7 8 identified as Inflammatory NP-Like cells because of the expression of NP tissue markers in conjunction with a 9 higher expression of pro-inflammatory cytokines in this cluster in comparison to the other NP clusters (Figure 10 S1). Myeloperoxidase, MPO, is an enzyme mainly expressed in neutrophils and this gene was only detected in the Neutrophil cluster (Figure 1)²¹. F4/80 (ADGRE1), a murine macrophage marker (Figure 1J), and Cd115 11 (CSF1R), a murine monocyte marker (Figure 1K), were both expressed in the Macrophage cluster, highlighting 12 the presence of both cell types in cluster 8^{22,23}. Flow cytometry analysis of Cd45⁺ immune cells from Control and 13 Injured IVDs at 7 dpi identified neutrophils, macrophages, and monocytes from Cd11b⁺ myeloid cells and 14 15 supported our scRNASeq immune population findings (Figure S2). The MSC clusters both highly expressed stem 16 cell markers such as Cd44, Sca1, and mKi67 (Figure S3) in addition to expressing elevated levels of Saa2, Serum amyloid A2, in cluster 6 (Figure 1L), and Grem1, a BMP antagonist, in cluster 7 (Figure 1M)^{24,25}. Established 17 markers for endothelial cells, Cd31 (Pecam1) (Figure 1N), and Pericytes, Thy1 (Figure 1O), were also highly 18 expressed in their respective clusters^{26,27}. 19

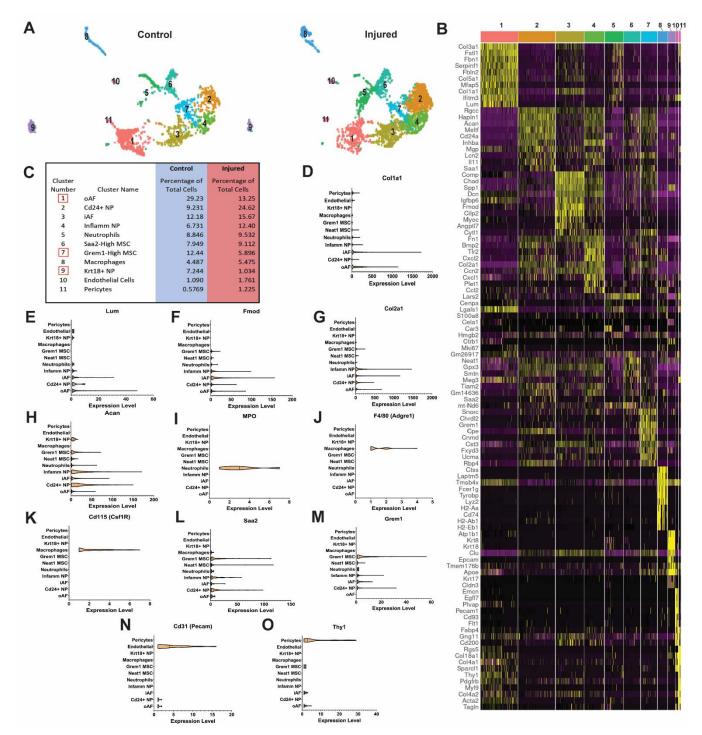




Figure 1: scRNASeq identified 11 clusters consisting of IVD tissues, immune cells, MSCs, and vasculature cell populations. (A) Unsupervised clustering analysis of Control and Injured IVD cells revealed 11 genetically distinct cell populations that include endogenous IVD tissues (AF, NP, and MSC clusters), and infiltrating cell types (immune cells and angiogenic cells). (B) A heat map of the highest expressing genes per cluster aided in the identification of each cluster. (C) The majority of the 11 cell types identified via clustering analysis increase in cell number with injury except for 3 clusters: oAF, Grem1 MSC, and Krt18⁺ NP cells (red boxes). The expression of established tissue markers for each cluster was identified to further confirm the presence of oAF with (D) *Col1a1*

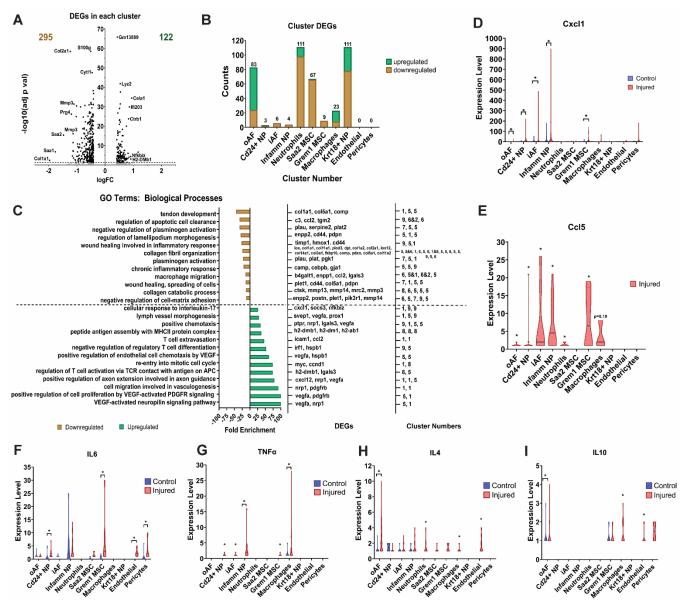
and (E) *Lum*, iAF/NP with (F) *Fmod*, (G) *Col2a1*, and (H) *Acan*, Neutrophils with (I) *MPO*, Macrophages and Monocytes with (J) F4/80 and (K) Cd115, respectively, Saa2 and Grem1 MSCs with (L) *Saa2* and (M) *Grem1*, Endothelial cells with (N) Cd31, and Pericytes with (O) *Thy1*. IVD- intervertebral disc, AF- annulus fibrosus, NPnucleus pulposus, MSC- mesenchymal stem cells.

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6 The acute IVD injury response at 7 dpi showed increased angiogenesis and T cell recruitment but diminished 7 wound healing and ECM pathways

8 To assess which RNA transcripts were most regulated due to injury, we analyzed the differentially expressed 9 genes (DEGs) from each cluster and discovered that 295 genes were downregulated, and 122 genes were 10 upregulated in Injured samples relative to Controls (Figure 2A). Five clusters, oAF, Neutrophils, Saa2 MSC, 11 Macrophages, and Krt18⁺ NP cells, express the majority of the DEGs (**Figure 2B**). Gene ontology enrichment 12 analysis determined that processes involved in VEGF signaling and T cell regulation were the most enriched from 13 the upregulated DEGs while processes involving extracellular matrix (ECM) catabolism, wound healing, and the 14 inflammatory response are downregulated. The specific genes relevant to each biological process identified and 15 the clusters from which these genes were differentially expressed are shown to identify the RNA transcripts and cell populations that mediate these biological processes (Figure 2C). Neutrophils are tied with Krt18⁺ NP cells for 16 17 the highest expression of DEGs, and the Neutrophil cluster has the most DEGs implicated in the biological processes identified via gene ontology (Figure 2B,C). To determine which cell populations were recruiting 18 neutrophils to the IVD after injury, we quantified Cxcl1 expression. Cxcl1 is a potent chemokine that induces 19 neutrophil recruitment and activation in peripheral tissues²⁸. We found that *Cxcl1* expression increased with 20 21 injury in Cd24⁺ NP, iAF, Inflamm NP, Grem1 MSC, and Pericytes (Figure 2D). Since biological processes involving T cell regulation were highly enriched from upregulated DEGs, we determined which cell populations regulated 22 Ccl5 expression, a chemokine that regulates T cell migration²⁹. Ccl5 was only expressed in injured samples and 23 highly regulated in iAF, Inflamm NP, Grem1 MSC and Macrophage clusters (Figure 2E). To determine which cell 24 25 populations were stimulating the pro and anti-inflammatory related biological processes uncovered via gene 26 ontology, we measured the expression of proinflammatory cytokines: IL6 (Figure 2F) and TNF α (Figure 2G), and 27 anti-inflammatory cytokines: IL4 (Figure 2H) and IL10 (Figure 2I). IL6 is downregulated in all IVD tissues excepted 28 $Cd24^{+}$ NP and upregulated in MSC and blood vessel specific clusters while $TNF\alpha$ is upregulated majorly in 29 Inflamm NP and macrophage clusters. *IL4* is overall upregulated except for in Cd24⁺NP cells and Krt18⁺ cells 30 which have a downregulation or absence of regulation of IL4, respectively. IL10 is only upregulated in oAF,

31 Macrophages, Endothelial and Pericyte clusters.



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2 Figure 2: The hallmarks of the IVD injury response at 7 dpi are increased angiogenesis and T cell recruitment 3 but reduced wound healing and ECM pathways. (A) A volcano plot showing the 417 total DEGs from each 4 cluster where 295 are downregulated and 122 are upregulated. (B) The majority of DEGs are expressed in 5 5 clusters: oAF, Neutrophils, Saa2 MSCs, Macrophages, and Krt18⁺ NP cells. (C) Gene ontology analysis of 6 biological processes revealed the most common upregulated GO terms are angiogenesis and T cell recruitment 7 related while the most common downregulated GO terms are ECM and wound healing related. (D) Cxcl1 and (E) 8 Ccl5 are potent regulators of neutrophil and T cell chemotaxis, respectively, and are highly expressed in a cluster 9 specific manner. Proinflammatory cytokines (F) IL6 and (G) TNF α are more highly upregulated with injury than 10 anti-inflammatory cytokines (H) IL4 and (I) IL10. * = p < 0.05. DEG- differentially expressed genes, GO- gene 11 ontology.

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1 Injury promotes IVD tissues to become more terminally differentiated

2 We performed pseudotime trajectory analysis to determine how the differentiation status of the cell 3 populations changed in response to injury based on differential gene expression for the IVD specific clusters (1-4 4, and 9) and MSC clusters (6,7). The Monocle package was used to place cells along pseudotime trajectory 5 corresponding to cell differentiation. Expression data, phenotype data, and feature data were extracted from 6 the Seurat object and a Monocle 'CellDataSet' object was constructed using the 'newCellDataSet' function. 7 Highly variable genes from Seurat object were used as ordering genes. Trajectory construction was then 8 performed after dimensionality reduction and cell ordering with default parameters. Each cell was sorted in 9 pseudo-timepoints ranging from 0 to 20 where the range indicates an increased change in a cell's differentiation state or functional state³⁰. We discovered a common trajectory path consisting of cells in lower pseudo-10 timepoints (black arrow) that branched into two distinct cell differentiation paths with higher pseudo-timepoints 11 12 (red arrows) in both Control (Figure 3A,C) and Injured samples (Figure 3B,D). Analyses of the changes in cell 13 localization on the trajectory branches of each cluster supported a decrease in cells in the lower pseudo-14 timepoints and an increase in more differentiated cells on the branches with injury (Figure S4). Cells from 15 Injured samples aggregate at the tail ends of the branches and have higher pseudo-timepoints than when compared to Controls, suggesting increased differentiation of IVD and MSC cells with injury. We identified the 16 17 IVD cell types localized on each branch of the pseudotime trajectory by determining where the distinct IVD 18 tissues were concentrated with and without injury (Figure S4, Figure 3E). "Fibrocartilaginous-like cells" localized 19 to the top branch since most of the oAF and half of iAF cells were present on this branch. AF cells are described 20 as fibrocartilaginous since they have characteristics of both fibrous and cartilage cells where the oAF is more fibrous-like and the iAF is more cartilage-like and acts as a transition zone between the NP and AF³¹. The bottom 21 22 branch contains "Chondrocyte-like cells" since half of the cells from the iAF and the majority of the NP cells 23 localized to this branch, and NP cells are described as chondrocyte-like since they share many characteristics with hyaline cartilage³² (Figure S4, Figure 3E). Interestingly, Krt18⁺ NP cells were the only IVD cell population 24 25 that were resistant to increased cell differentiation with injury and these cells remained in the lower pseudo-26 timepoints (Figure S4). Quantification of the number of cells present in Controls cells for oAF (Figure 3F), Cd24⁺ 27 NP (Figure 3G), iAF (Figure 3H), Inflamm NP (Figure 3I), and Krt18⁺ NP (Figure 3J) show that the majority are 28 present in low pseudo timepoints (\leq 9)(Figure 3K). There was a drastic shift in the differentiation state with 29 injury where the majority of cells in the aforementioned clusters were present in the high (\geq 10) pseudo 30 timepoints except for Krt18⁺ NP cells (**Figure 3L**).

31 Since we observed increased cell differentiation states based on trajectory analysis and decreased wound 32 healing and collagen fibril organization with gene ontology in Injured samples (Figure 2), we measured the 33 expression levels of proliferative and connective tissue healing markers with injury. Proliferation markers mKi67 34 (Figure 3L) and Top2a (Figure 3M) were increased in oAF or both oAF and Cd24⁺ NP cells, respectively. Col3a, a 35 collagen important during the acute stages of tissue repair, was increased in all 5 IVD tissue specific clusters 36 (Figure 30). We also checked for changes in cell senescence factors with injury to determine if the increase in 37 the number of cells in higher pseudo timepoints correlated with increased cell cycle arrest, a widely reported deleterious consequence of IVD injuries³³. Senescence-Associated Secretory Phenotype (SASP) factors p5338 (Figure 3P), p21 (Figure 3Q), and KRAS (Figure 3R) were all upregulated in a cluster specific manner. P21 and 39 40 KRAS were upregulated in all IVD specific tissue except Krt18⁺ NP cells while p53 was only significantly 41 upregulated in oAF and iAF and downregulated in Krt18⁺ NP cells. These data highlight the cell state changes in 42 IVD tissues in response to injury where increased cell differentiation as measured by pseudo timepoints 43 correlates with increased SASP factor expression and limited regulation of proliferative and repair factors.

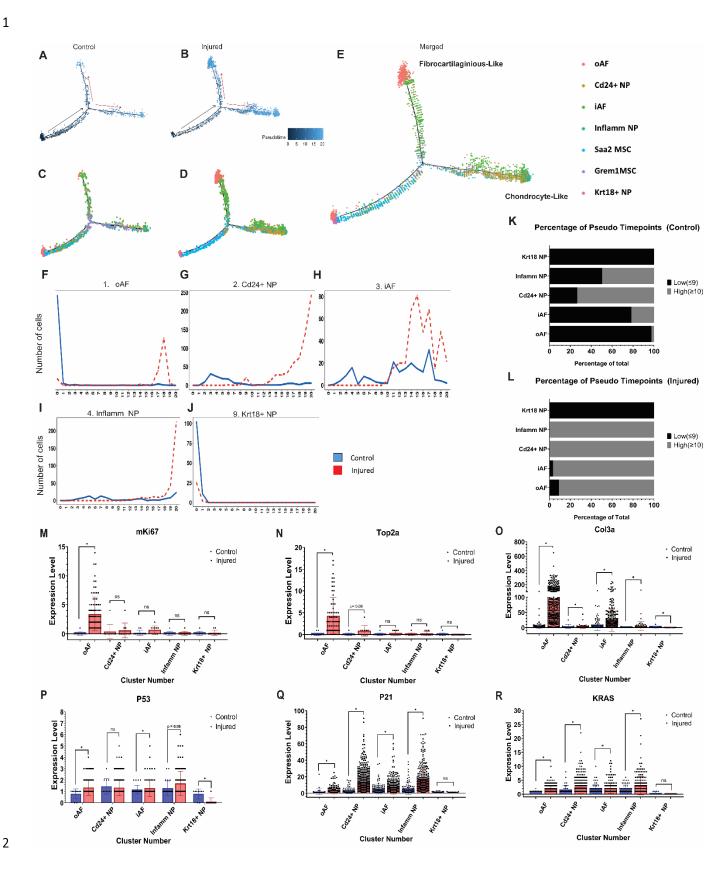
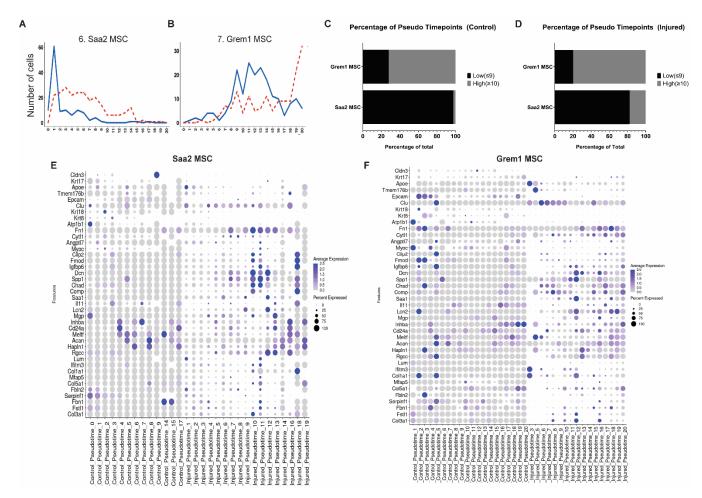


Figure 3. Injury promotes IVD tissues to become more terminally differentiated. Pseudotime trajectory analysis 1 2 of the (A, C) Control and (B, D) Injured cell clusters from the IVD, 1-4 and 9, show two distinct cell differentiation 3 trajectories where cells are in a more mature cell state in the branches. (E) Identification of the cell type 4 represented on each trajectory branch based off IVD cluster localization. Control and Injured cells from IVD 5 tissue clusters 1-4 and 9 and MSC clusters 6 and 7 were sorted into pseudotime points to identify their cell state maturity where 0 is least mature and 20 is most mature. There is an increase in the number of Injured IVD tissue 6 7 cells in the more mature cell state pseudotime points in (F) oAF, (G) Cd24⁺ NP, (H) iAF, and (I) Inflamm NP when 8 compared to Controls but not (J) Krt18⁺ NP cells. The percentage of cells from clusters 1-4, and 9 that are in low 9 pseudotime points (≤ 9) or high pseudotime points (≥ 10) from (K) Control or (L) Injured samples were quantified. 10 Proliferation and connective tissue healing makers (M) mKi67, (N) Top2a, and (O) Col3a gene expression is regulated in a cluster specific manner but is less regulated than senescence factors (P) P53, (Q) P21, and (R) 11 12 KRAS with injury. Panels M-R are bar graphs plotted to show the number of individual cells expressing each gene and statistics were ran on gene expression. Mann-Whitney T- tests were conducted to assess statistically 13 14 significant changes in gene expression between Control and Injured cells. * = p < 0.05.

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16 IVD Injury causes an increase in cell state maturity and a reduction in Stem-ness in Saa2 and Grem1 MSC cell 17 clusters

18 Next, we wanted to assess how the Saa2 MSC and Grem1 MSC populations were responding to injury and if 19 these cell populations were acting as progenitor cells for the AF or NP populations. Pseudotime trajectory 20 analysis determined that cells from the Saa2 MSC cluster were mostly localized to the unbranched region of the 21 pseudotime tree in Controls and there was a slight increase in cell localized to the branches with injury. Grem1 22 MSC cells were distributed throughout the pseudo timepoints and are on both branches, but localization 23 becomes more skewed towards the Chondrocyte-like branch with injury (Figure S4). These data indicate that 24 Saa2 MSCs largely remain undifferentiated with injury, but cells from Injured samples start to differentiate 25 towards the Fibrocartilaginous and Chondrocyte-like cell fates (Figure S4F). However, Grem1 MSCs 26 preferentially undergo differentiation towards the Chondrocyte-like cell fate with injury (Figure S4G). Analysis of 27 the exact pseudo timepoints Saa2 MSCs (Figure 4A) and Grem1 MSCs (Figure 4B) localize to with and without 28 injury show that Saa2 MSCs are majorly in the low pseudo timepoints in Control and Injured samples (Figure 4A, 29 C), but Grem1 MSC cells largely locate to the middle pseudo timepoints (8-15) in Control samples and there is an 30 increase in the highest pseudo timepoints (18-20) with injury (Figure 4B, D). These findings support that Saa2 MSCs remain relatively undifferentiated with injury, but Grem1 MSCs from Injured samples become less stem-31 32 like and undergo differentiation. To support these observations, we measured the expression of IVD tissue 33 markers and markers highly expressed in the IVD tissue clusters to determine if these genes were increased in 34 the MSC clusters with injury. Saa2 MSC (Figure 4E) and Grem1 MSC (Figure 4F) clusters both showed an increase in IVD tissue markers throughout the pseudo timepoints with injury relative to Controls. In addition, there was a 35 36 reduction in stem cell markers in the Saa2 MSC and Grem1 MSC clusters with injury when compared to Controls 37 (Figure S5). These data show that the MSC clusters become less stem and more differentiated with elevated IVD 38 tissue marker expression with injury.



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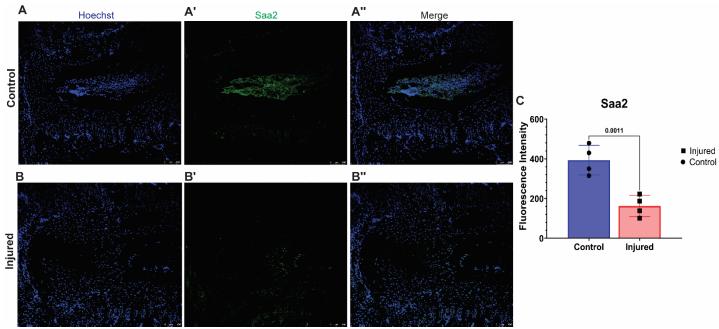
Figure 4. IVD Injury causes an increased cell differentiation in Saa2 and Grem1-High MSC cell clusters. (A) Saa2 MSC and (B) Grem1 MSC cells from Injured IVD samples are in more mature pseudotime points than Control cells and the percentage of cells that are in low pseudotime points or high pseudotime points from (C) Control or (D) Injured samples were quantified. Grem1 MSC have a reduced number of cells in a less mature cell state with a sharp increase in cells present in the most mature pseudotime point due to injury while Saa2 MSCs retain more cells in the less mature cell states after injury. Both (E) Saa2 MSC and (F) Grem1 MSCs have an increase in the number and expression levels of IVD tissue markers due to injury.

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10 Saa2 MSC cells localize to both the Annulus Fibrosus and the Nucleus Pulposus of the IVD

The Saa2 MSC cluster had an increase in cell number and retained some stemness with injury based on trajectory analysis (Figure 1C, Figure S4F). We utilized immunofluorescence to visualize the expression of Saa2 positive cells within the IVD and quantify how localization changes with injury. In controls samples, Saa2 localized to the NP, iAF, and vertebrae growth plates (Figure 6A-A''). With injury, we observed a reduction of Saa2 staining within the IVD and increased staining within the vertebrae (Figure 6B-B''). Quantification of the overall fluorescence intensity of Saa2 positively stained cells showed a reduction in Injured samples (Figure 6C).

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Figure 5: Saa2 positive cells are localized preferentially to the Nucleus Pulposus of the IVD. Saa2 positive cells
 localize to the NP, inner AF, and peripherally in the vertebrae. There is less immuno-stained Saa2 positive cells
 with injury based on positively stain cells from (A) Control and (B) Injured samples. (C) Quantification of Saa2
 immunostaining. N=2

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7 Grem1 MSC cells localize to the IVD and peripheral tissues and are reduced with injury.

Grem1 MSC cluster cell number decreased, and the cells become more differentiated towards the chondrocytelike cell fate with injury (Figure 1C, Figure S4G). To confirm the localization of Grem1 expressing cells within the IVD, we utilized immunofluorescence. In controls samples, Grem1 protein localized to the CEP, iAF, the outer regions of the NP closest to the iAF, and the vertebrae end plates (Figure 6A-A''). With injury, we observed a reduction of Grem1 staining within the IVD tissues and the cells stained with Grem1 at the endplates appear hypertrophic (Figure 6B-B''). Quantification of the overall fluorescence intensity of Grem1 positively stained cells show a reduction in Injured samples, supporting the scRNASeq data (Figure 6C).

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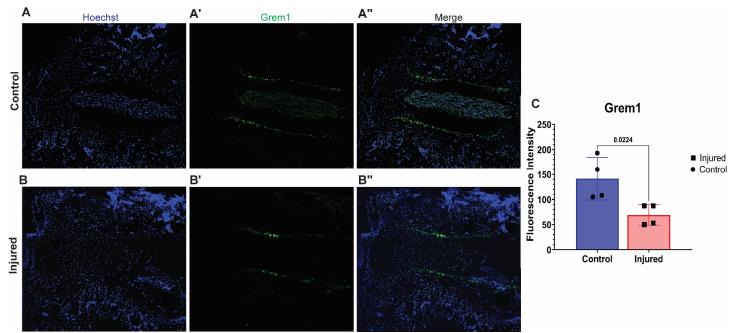


Figure 6: Grem1 positive cells localized to the IVD and bony end plates. Grem1 positive cells localize to the NP,
 inner AF, and end plates of the IVD, and peripherally in the vertebrae. There is a reduction of Grem1 positive
 cells when comparing (A) Control and (B) Injured samples. (C) Quantification of Grem1 immunostaining. N=3

4

5 Discussion

6 Limited tissue repair is characteristic of the IVD and is associated with deleterious outcomes after damage⁵. 7 Improving the IVD repair capacity by using stem cell therapies has shown promising improvements to IVD health in previous studies but outcomes have a limited or temporary efficacy³⁴⁻³⁶. Understanding how the 8 9 heterogeneous IVD cell populations interact with resident stems and how this interaction changes with injury 10 will help improve the efficacy of stem cell therapies. scRNASeg is a powerful technique to uncover the 11 transcriptional changes occurring in the IVD in response to injury. This study utilized clustering analysis in 12 conjunction with gene ontology, pseudotime trajectory, flow cytometry, and immunofluorescence to identify 13 established and novel cell populations, quantify transcriptome changes, and determine the effect of injury on 14 resident MSC populations during the acute injury timepoint of 7 dpi. Here, we have identified biological 15 pathways, cell types, and gene markers that are regulated in response to IVD injury with the intent to pinpoint 16 therapeutic targets for future studies that focus on mediating IVD pathology.

17 Clustering analysis revealed the presence of 11 distinct cell populations present in Control and Injured samples. 18 The clusters identified the presence of outer and inner AF, Cd24⁺NP, Krt18⁺NP, Macrophages, Neutrophils, MSC populations, and vasculature specific cell types, which align with the findings from previous scRNASeq studies 19 (Figure 1A)^{9,10,12,13}. We discovered novel cell populations, such as Inflamm NP cells, Saa2 MSCs, and Pericytes, 20 21 and an increase in the number of cells present in Injured samples when compared to Control. Despite the overall 22 increase in cell number with injury, three clusters have a decrease in cell number: oAF, Grem1 MSCs, and Krt18⁺ 23 NP cells (Figure 1C). These cell populations may have reduced cell numbers due to being the most sensitive to 24 the increased inflammatory state and loss of tissue homeostasis that occurs acutely post injury in the IVD^{7} . Our 25 data supports an increase in inflammation and a decrease in tissue homeostasis by verifying an increase in

neutrophils, monocytes, and macrophages via flow cytometry (Figure S2), showing an increase in proinflammatory gene markers (Figure S1, Figure 2D-G), and identifying a decrease in biological pathways specific to wound healing and ECM related processes based on the differentially expressed genes (Figure 2C).

4 Quantification of the number of DEGs expressed by each cluster showed that 5 of the 11 clusters: oAF, 5 Neutrophils, Saa2 MSC, Macrophages, and Krt18⁺ NP, expressed 94% of DEGs with Neutrophils and Krt18⁺ NP 6 cells expressing 53% alone (Figure 2B). These data show that these 5 cell types are the drivers of transcriptional 7 changes in response to IVD injury during this acute injury timepoint. Interestingly, there were less upregulated 8 DEGs than those downregulated by injury, but biological pathways enriched by the upregulated DEGs were the 9 most definite and consistent. Gene ontology analysis identified that biological pathways involved in angiogenesis 10 and T cell regulation were the most enriched in upregulated DEGs. Analysis of the specific genes and clusters driving the enrichment of angiogenesis identified pro-angiogenic genes such as Vegf, Pdgf, Nrp1, and Hspb1 11 12 regulated primarily by Neutrophils and the oAF (Figure 2C). Vegf and Pdgf signaling are well established pro-13 angiogenic signaling pathways and have been implicated in IVD studies as drivers of increased nerve and vessel infiltration with IVD degeneration¹⁶. Neuropilins, such as Nrp1, function as coreceptors for Vegf receptors to 14 15 stimulate vessel growth and maturity³⁷. Hsbp1 is released by endothelial cells and cooperate with Vegfa to 16 regulate angiogenesis³⁸.

17 The genes and clusters driving the enrichment of pathways related to T cell regulation involved gene such as 18 Hs2-dmb1, Iglas3, Irf1, Hsbp1, Icam1, and Ccl2 which were regulated by Macrophages, Neutrophils, Krt18⁺ NP cells, and the oAF. Hs2-dmb1 plays a role in peptide loading of major histocompatibility complex Class II (MHC II) 19 molecules on antigen presenting cells³⁹. Iglas3 is an ECM protein that negatively regulates Cd4 T cell TCR 20 availability⁴⁰. Irf1 is a transcription factor induced by IFNy signaling and drives differentiation of Cd4 T cells⁴¹. 21 Icam1 is a co-stimulatory receptor for T cell activation, and Ccl2 is a chemoattractant^{42,43}. T cell related pathways 22 are highly enriched based on DEGs at 7 dpi, but intriguingly, no T cells were identified via cluster analysis. We 23 24 have previously profiled the full acute IVD injury response timeline and found that Vegfa, Pdgfa, and T cells 25 genes are not highly regulated at 7 dpi, and there is no discernable increase in regulation of these genes until 10 dpi, 14 dpi, and 17 dpi, respectively⁷. However, the findings from this study show that injured IVDs are primed to 26 increase expression of biological functions related to these genes in a tissue specific manner. Other GO 27 28 pathways associated with immune cell chemotaxis and infiltration of peripheral tissues such as "cellular 29 response to interleukin-17", "lymph vessel morphogenesis", and "positive chemotaxis" were upregulated as well 30 (Figure 2c).

31 Injury also increases the cell maturation state of the cells from IVD tissues. Pseudotime trajectory analysis found 32 an increase in the number of cells present in the later pseudotime points with injury, which represents cells 33 being in an increased maturation or differentiation state, for oAF, Cd24⁺ NP, iAF, and Inflamm NP cells (Figure **3K,L**)³⁰. Interestingly, Krt18⁺ NP cells were resistant to injury-mediated changes in differentiation states, and 34 35 Injured and Control cells remained in the earlier pseudotime points even though the cell number is decreased with injury (Figure 1C, 3K,L, Figure S4). Krt18⁺ NP cells also are tied with the Neutrophils cluster for expressing 36 the most DEGs (Figure 2B). Krt18 has been suggested to be a stem cell marker for NP cells⁴⁴. These data suggest 37 38 Krt18⁺ cells could be a promising additional MSC population to target for IVD repair since they retain stemness 39 but are still highly transcriptionally active with injury. The increase in differentiation state of the majority of the 40 IVD tissue is correlated with elevated gene expression of SASP factors with no change in proliferative factors 41 except for the oAF cells (Figure 3M-R).

Saa2 MSC and Grem1 MSC populations have slight increases the cell maturation state with Injury as well. The 1 2 majority of Saa2 MSC cells remain in the lower pseudotime points even with injury, but cells from Injured 3 samples start to differentiate towards the Fibrocartilaginous and Chondrocyte-like cell fates based on their 4 localization on the pseudotime tree branches (Figure 3E, 4C,D, S4F). Saa2 MSC cells increase with injury, 5 indicating this population is a great target for IVD regenerative therapies since they are replenished and retain 6 some stemness even with injury, and have the capacity to differentiate into different cell fates. Serum amyloid A 7 (Saa) genes, such as Saa1, have been shown to have higher expression in non-degenerate IVD samples from 8 human NP cells when compared to the AF and are expressed in osteoblast MSCs, but most associated with increased expression during the acute phase of inflammation^{10,24}. Conversely, *Grem1* is an established skeletal 9 stem cell marker and is expressed in AF progenitor cells^{25,45}. We observed that Grem1 MSCs preferentially 10 undergo differentiation towards the Chondrocyte-like cell fate with injury (Figure S4G). These data suggest 11 12 Grem1 MSCs may differentiate towards NP and iAF cells with injury, which have chondrocyte-like cell 13 characteristics. Both MSC populations have a reduction in stem cell markers expression with an increase in IVD 14 tissue markers, further supporting their capacity to utilized as target for future regenerative studies (Figure 4E,F, 15 Figure S5). Saa2 and Grem1 both localize to IVD cells based on immunostaining but have slightly different 16 localization patterns. Both Saa2 and Grem1 is present in the NP and iAF while Grem1 also localizes to the end 17 plates and expression is reduced with injury (Figure 5, Figure 6). Despite the potential of these MSCs as targetable cell populations in future studies, Saa2 and Grem1 may simply be good markers for these populations 18 19 but not necessarily targetable genes for modulation of the function of these cells during injury. Other highly 20 regulated DEGS from these clusters should also be considered, such as Neat1, Gpx3, and Meg3 from the Saa2 21 cluster and Cnmd, Chrdl2, and Ucma from the Grem1 cluster, all of which have been identified as stem cell or proliferative markers⁴⁶⁻⁵¹. Additional investigations into their functions and localization in the IVD can provide 22 23 more targets for repair. Another limitation of this study is that IVD cells were flash frozen once rendered into a 24 single cell suspension before being analyzed for scRNASeq. Freezing the cells could have caused additional stress 25 and increased cell loss of more sensitive cell populations. Despite this limitation, our cell population findings are similar to those reported in previous studies^{9,12,13}. 26

In summary, we identified 5 cell populations (oAF, Neutrophils, Saa2 MSCs, Macrophages, and Krt18+ NP) that drive the majority of the transcriptional response to injury at 7 dpi and the biological pathways most regulated due to differential gene expression. We also identified novel populations, such as Inflammatory NP-like cells, Saa2 MSCs, and Pericytes, the role of the Saa2 and Grem1 MSC populations to differentiate into IVD tissues with injury, and the potential of Krt18⁺ NP cells as an additional MSC population. The identification of these novel cell populations and the biological functions they stimulate in injured IVDs provides targetable cell types to mediate the deleterious changes after IVD injury and stimulate repair.

34

35 Acknowledgements

This work was conducted with funding support from National Institute of Arthritis and Musculoskeletal and Skin Diseases: R01AR074441, R01AR077678, R21AR081517, P30 AR074992, T32 Postdoctoral Training in Regenerative Medicine (T32 EB028092) from the National Institute of Biomedical Imaging and Bioengineering, and the Rita Levi-Montalcini Postdoctoral Fellowship in Regenerative Medicine from the Center of Regenerative Medicine at Washington University. Thank you to the Flow Cytometry & Fluorescence Activated Cell Sorting Core for the usage of the core's analyzers. Part of this work was performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under Contract DE-AC52-07NA27344.

1 Author Contributions

- 2 SWC, GGL, and SYT designed the research study, contributed to data interpretation, and wrote the manuscript.
- 3 AS, SPW, NRH, and GGL performed the scRNASeq analyses. SWC, REW, GWDE, RV, and KSB collected the tissues,
- 4 performed the research, and analyzed data. All co-authors reviewed and revised the manuscript.

5 Competing Interests

6 The authors declare no competing interests.

7 Data Availability

8 All data collected for this study is available upon request.

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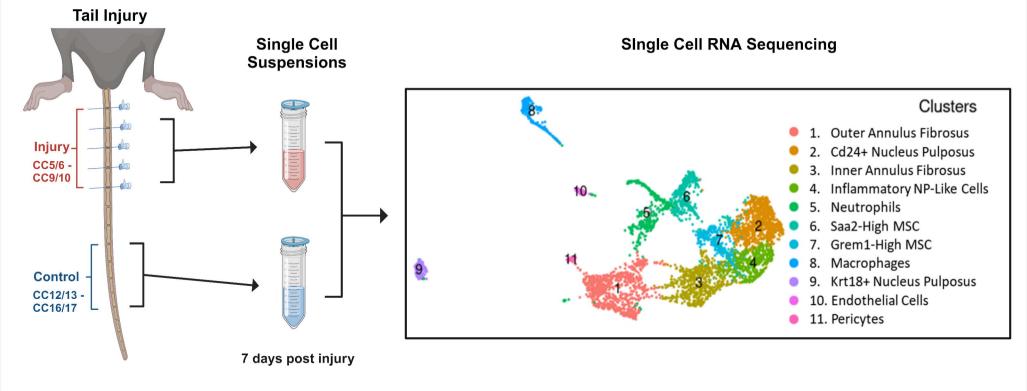
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6



12 week old Female C57BL/6

Graphical Abstract. Isolation of mouse coccygeal intervertebral discs for scRNASeq analyses.