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An investigation into accidents in laboratories in universities in China caused by human error: A study based on improved CREAM and SPAR-H

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ABSTRACT

Although considerable research has been devoted to improving safety in university laboratories, accidents, in that environment, have still occurred frequently at the cost of serious injury or even death of laboratory personnel. Currently, few Human Reliability Analyses (HRA) have been conducted with respect to a university laboratory. The aim of the research was to conduct a reliability study relating to human behaviour in a university laboratory to explore quantitatively the causes and influencing factors relating to the frequency of laboratory accidents. Improved Cognitive Reliability and Error Analysis Method (CREAM) and improved Standardized Plant Analysis Risk HRA (SPAR-H) were employed to assess Human Error Probability (HEP) of 23 subjects. The HEP calculated through improved CREAM proved more accurate than results obtained through improved SPAR-H. Unexpectedly, the results demonstrated that under similar environmental conditions, the HEP of subjects did not decrease with an increase in educational background, including additional experimental time and experience. Moreover, environmental conditions exerted greater impact on personnel reliability than Human Inherent Factors (HIFs) in laboratories. It is anticipated that the study would provide valuable insights, in respect of research methods, and to serve as a practical basis for lowering the accident rate in university laboratories.

1. Introduction

Accidents in university laboratories have occurred frequently, which have led to serious consequences. Since 2000, as many as 99 fatalities have been documented as a consequence of 113 laboratory accidents in China. The number of accidents in university laboratories in other countries was equally depressing. Laboratories have usually been regarded as essential to university instruction and scientific research [1], however, it is a potentially hazardous working environment owing to possible risks relating to processes, with machines and chemicals [2]. It has been established that university laboratories were more hazardous than in other working environments in industrial enterprises [3]. Laboratories contain a variety of glassware, chemical reagents, gas cylinders, including reaction kettles, the presence of which poses a significant danger that may lead to safety incidents. Such events could result in the occurrence of health concerns, burns and injuries, including property damage [3–5], more importantly, serious injuries, even leading to death [6,7]. Currently, university laboratory safety measures concentrate mostly on four areas [8]: laboratory safety culture and climate [2,9–11];

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laboratory risk assessment [12–15]; laboratory safety management [16–19]; and laboratory safety education [20–22]. Few HRA, which have widely been carried out in industry, have been conducted in university laboratories [23,24]. Moreover, exhaustive and public accident investigation reports could not be consulted. Therefore, it has proved difficult to identify and quantify human errors. However, it was found that human error was the most common reason responsible for causing laboratory accidents [11,16,25]. Hence, the aim of the study was to conduct a HRA with respect to university laboratories, to study quantitatively the causes behind the frequency of such accidents, including those factors affecting personnel performance, thereby, providing guidance for future accident prevention.

HRA refers to the aspect involving Probabilistic Risk Assessment that is concerned with classifying, and analyzing the causes and results of human error [26]. The development of HRA has gone through several stages: from first generation methods that considered the effects of Performance Shape Factors (PSFs) relating to the reliability of human behaviour [27–29], to second generation methods that emphasized the effects of the environment on the reliability of human cognitive understanding [30,31], to third generation methods that focused on analyzing human errors in dynamic scenarios [32]. Recently, a hybrid model that combined second and third generation methods has been proposed [33–35]. A PSF was defined as a feature of the organization, task, or environment that specifically lowered or improved behaviour, hence, separately, improving or lessening the probability of human error.

In this paper, a method that was identified in our previous work was selected, because it had been successfully applied in industrial enterprises [36]. That method combined the CREAM and HIFs to achieve HEP. The advantage of this method was that it compensated for the lack of assessment of the impact of HIFs on performance reliability from among HRA methods. As the calculation of each HEP was derived from statistical HEPs [37–39], there existed statistical evidence behind each HRA, however, the factor relating to context that affected human performance was different for individual HRAs. In order to study the data from HRA, relating to university laboratories, more reliable and convincing, another HRA, identified as the SPAR-H method, was selected to combine with HIFs to calculate HEP. The reason why the SPAR-H was chosen was that SPAR-H was a method that had been extensively used, was easy to operate, and was applicable under extreme conditions [26,40].

The technical route, with respect to the research, was to combine research into HIFs with CREAM and SPAR-H respectively. The main objective of the study was as below: in the second part, an analysis of HIFs and the Human Factors' test was described, together with, the principal factors relating to CREAM and SPAR-H being investigated. The third section presented the research procedures for CREAM and SPAR-H, by taking high-pressure reactor operations as an example, in addition to the calculation results for HEP. In the fourth part, the calculation results concerning the two methods were compared respectively. The primary factors influencing a personnel' reliability in university laboratories were also investigated. In the final part, Conclusions were presented. The results from which could be used to examine quantitatively the phenomenon of personnel failure, including the main factors influencing the performance of personnel. It is expected to provide scientific guidance, and the basis for strengthening laboratory management and the prevention of accidents. As far as the authors understand, this research was the first time human reliability research has been used to study safety in university laboratories.

2. Materials and methods

2.1. Methods

2.1.1. Analysis of HIFs

Ethical approval was granted for this study by the Sichuan Academy of Safety Science and Technology's ethics committee (SCAKLL [2020]02), with all tests being conducted and published with the written informed consent obtained from the participants. In this study, the human factors that have led to personnel accidents in university laboratories were investigated by means of communicating with tutors and students at several local university laboratories, and, in addition, by observing students' experimental activities. Moreover, laboratory accidents, briefly reported in the media, were also researched. It was found that the main subjective factors that caused accidents to personnel were: not paying attention to the details of an operation; not observing the operating rules; not conducting a risk analysis; conducting experiments without considering the consequences; poor experimental skills; including a poor stress response when abnormal situations arose. It was further found that co-ordination of physiological factors, such as, arms and hands were the main factors relating to poor experimental skills. As a result of carelessness, students with poor hand and arm co-ordination tended to suffer from accidents, such as, injuries, breaking reagent bottles, and glassware during experiments. Lack of carefulness, patience and responsibility were psychological factors closely related to accidents. Therefore, HIFs referring to physiological and psychological factors needed to be evaluated, which included co-ordination, carefulness, patience and responsibility (see Table 1).

The impact of HIFs on performance reliability might then be evaluated. To quantify the impact of HIFs on reliability, weight factors were introduced to adjust the basic Cognitive Failure probability (CFP). The weight factors for HIFs were determined by experts' evaluation methods. By analyzing the test results for HIFs of 1035 participants from industrial firms in addition to their yearly violation

 Table 1

 Human intrinsic factors.

 Type
 HIFs

 Physiological factor
 Hand and arm co-ordination capacity

 Psychological factor
 Responsibility
 Patience
 Carefulness

records, the weight factors (see Table 2) were obtained by eight specialists in the fields of psychology, probability statistics, safety science, and behavioural science.

2.1.2. Technology roadmap

The technology roadmap for the study has been depicted in Fig. 1.

2.1.2.1. CREAM. CREAM was undertaken in conjunction with HIFs in line with four basic phases in evaluating HEP.

The initial phase consisted of constructing a sequence of events [39]. The Hierarchical Task Analysis (HTA) was used as the standard approach. It was determined that the primary task stages should be investigated with all elementary acts being identified, until the acts order of the tasks was established.

The second phase consisted of constructing an outline of the cognitive demands for the task [39]. In this phase, a task's order would be outlined in greater detail through analysing cognitive activity which constituted every elementary act. As indicated in Table 3, Cognitive functions corresponding to cognitive activity would subsequently be analyzed [39].

The third step involved an evaluation for the HIFs and Common Performance Commons (CPCs), with likely cognitive function failures being identified. Table 4 illustrated the link between CPCs and performance reliability [39]. It was necessary for the HIFs, to be appraised for a task examined in this research, and has been identified in Table 1. They comprised thirteen error categories associated with execution, planning, interpretation, and observation, with each error having a basic value concerning error probability as indicated in Table 5. Given the information of the task, an analyst was asked to determine, for each step of the task, relating to which generic failure type was most likely, based on the assessment for CPCs and HIFs.

Finally, the HEP for a certain task could be calculated. To quantify the impact of CPCs on reliability, weight factors were employed to adjust the basic CFP. Table 4 illustrated the CPCs weight factors, and total weight factor for CPCs constituted the multiplication relating to each CPC [39].

2.1.2.2. SPAR-H. SPAR-H combined with HIFs was conducted in accordance with three main steps in assessing HEP.

First ([41,42]), the Human Error Events were identified as an action or diagnosis (or combined Diagnosis and Action) to be taken. Two basic kinds of activities were employed using the same formulae and PSFs, while different values for basic Human Error Probability and PSF multipliers were employed.

Secondly, the PSFs levels were used to determine the multipliers. The context was characterised by Eight PSFs. Every PSF level was accorded an HEP multiplier value, as illustrated in Table 6 and Table 7 [41]. If "Available Time" or "Fitness for Duty" was considered a highly negative instance, following the HEP for the designated task should be set to 1 irrespective of any other multipliers involving other PSFs [43].

Thirdly, in order to obtain the HEP, based upon the number of negative PSFs, two formulae were devised. Formula (1) was employed to obtain the HEP for conditions with less than three negative PSFs, with Formula (2) being employed when three or more negative PSFs influences were present [41].

$$HEP = Nominal HEP \times Composite Multipliers of PSFs$$
(1)

$$HEP = \frac{\text{Nominal HEP} \bullet \text{Composite Multipliers of PSFs}}{\text{Nominal HEP} \bullet \text{(Composite Multipliers of PSFs} - 1) + 1}$$
(2)

Nominal HEP = 0.01 was employed for diagnosis, Nominal HEP = 0.001 for action tasks, and Composite Multipliers of PSFs constituted the multiplication of the PSF level multipliers. If a task contained aspects combining both action and diagnosis, both action and diagnosis sections should be analyzed. formulae (3) were [41]:

Table 2

The HIFs' impact on reliability and Weight factors.

| HIF name | Level | Impact on reliability | CREAM | | | | SPAR-H | |
|-------------------------------------|--------------|-----------------------|--------------------|-----|------|-----|-----------|--------|
| | | | Cognitive function | | | | Diagnosis | Action |
| | | | OBS | INT | PLAN | EXE | | |
| Patience | Good | Improved | 0.5 | 1.0 | 1.0 | 0.5 | 0.5 | 0.5 |
| | Medium | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| | Insufficient | Reduced | 2.0 | 1.0 | 1.0 | 2.0 | 2.0 | 2.0 |
| Responsibility | Good | Improved | 0.5 | 1.0 | 1.0 | 0.5 | 0.5 | 0.5 |
| | Medium | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| | Insufficient | Reduced | 2.0 | 1.0 | 1.0 | 2.0 | 2.0 | 2.0 |
| Carefulness | Good | Improved | 0.5 | 1.0 | 1.0 | 0.5 | 0.5 | 0.5 |
| | Medium | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| | Insufficient | Reduced | 2.0 | 1.0 | 1.0 | 2.0 | 2.0 | 2.0 |
| Hand and arm co-ordination capacity | Good | Not significant | 1.0 | 1.0 | 1.0 | 0.5 | 1.0 | 0.5 |
| | Medium | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| | Insufficient | Reduced | 1.0 | 1.0 | 1.0 | 2.0 | 1.0 | 2.0 |

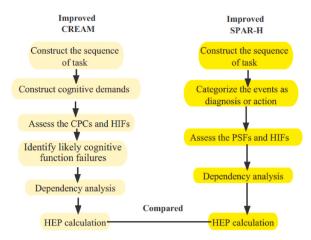


Fig. 1. Technology roadmap.

Cognitive activity by cognitive function demand.

| Cognitive Activity | Cognitive functions | | | | | | | |
|--------------------|---------------------|----------------|----------|-----------|--|--|--|--|
| | Observation | Interpretation | Planning | Execution | | | | |
| Co-ordinate | | | • | • | | | | |
| Communicate | | | | • | | | | |
| Compare | | ◆ | | | | | | |
| Diagnose | | ◆ | ◆ | | | | | |
| Evaluate | | ◆ | ◆ | | | | | |
| Execute | | | | • | | | | |
| Identify | | ◆ | | | | | | |
| Maintain | | | ◆ | • | | | | |
| Monitor | ◆ | ◆ | | | | | | |
| Observe | ◆ | | | | | | | |
| Plan | | | ◆ | | | | | |
| Record | | ◆ | | | | | | |
| Regulate | ◆ | | | • | | | | |
| Scan | ◆ | | | | | | | |
| Verify | ◆ | ◆ | | | | | | |

HEP (diagnosis + action) = HEP (diagnosis) + HEP (action)

(3)

2.1.3. Human factor tests

2.1.3.1. Hand and arm co-ordination assessments. In this research, hand and arm co-ordination, principally, related to finger flexibility, arm stability, arm movement, arm co-ordination, including hand co-ordination. The aforementioned indices were tested using a set of hand and arm co-ordination testing equipment (East China normal university science and education instrument Ltd, China). Finger flexibility was tested through placing a small rod through a hole in an apparatus from top to bottom, and from left to right, employing a tweezer involving the index and thumb, with a time limit required to complete the aforementioned activity, thereby indicating finger flexibility. The arm's stability was evaluated through employing a nine-hole apparatus. The participants were instructed to place a metal pen in a specified test opening for specified time without striking the bottom or sides of the aperture. The frequency with which the metal pen struck the bottom or sides of the hole indicated the arm's steadiness. By using an arm movement tester, arm movement was tested. Participants were asked to remove a cylindrical sheet with their left hand from a hole, rotate it with their right hand, and return it back from right to left in the aperture before removing the sheet with their right hand, before rotating it with their left hand, and returning it back from left to right. Once the aforementioned test had been repeated, and measuring the time spent, errors were noted. An arm coordination tester was used to assess the arm's co-ordination. Participants were required to manipulate a parallelogram apparatus with arms, and a probe was required to be shifted along a designated track. Times off the designated track, and time spent on it were automatically noted. Concerning the hand co-ordination, two knobs were turned by participants with both hands. The turn of the left knob would cause the probe to move from left to right, while the turn of the right knob would cause the probe to move up and down. The co-ordination of both hands made it possible for the probe to proceed on a circular path. Times off the circular track, and time spent on it indicated the effectiveness of hand co-ordination.

The list of the impact on reliability and weight factors for CPCs.

| CPC | Level (Score) | Impact on reliability | Cognitive function | | | |
|---|-----------------------------------|-----------------------|--------------------|-----|------|-----|
| | | | OBS | INT | PLAN | EXE |
| Adequacy of organisation | Very efficient (9–10) | Improved | 1.0 | 1.0 | 0.8 | 0.8 |
| | Efficient (6–8) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Inefficient (3–5) | Reduced | 1.0 | 1.0 | 1.2 | 1.2 |
| | Deficient (0-2) | Reduced | 1.0 | 1.0 | 2.0 | 2.0 |
| Working Conditions | Advantageous (8-10) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Compatible (4–7) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Incompatible (0–3) | Reduced | 2.0 | 2.0 | 1.0 | 2.0 |
| Adequacy of MMI and operational support | Supportive (9–10) | Improved | 0.5 | 1.0 | 1.0 | 0.5 |
| | Adequate (6–8) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Tolerable (3-5) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Inappropriate (0-2) | Reduced | 5.0 | 1.0 | 1.0 | 5.0 |
| Availability of procedures/plans | Appropriate (8–10) | Improved | 0.8 | 1.0 | 0.5 | 0.8 |
| | Acceptable (4–7) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Inappropriate (0–3) | Reduced | 2.0 | 1.0 | 5.0 | 2.0 |
| Number of simultaneous goals | Fewer than capacity (8–10) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Matching current capacity (4–7) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | More than capacity (0-3) | Reduced | 2.0 | 2.0 | 5.0 | 2.0 |
| Available time | Adequate (8–10) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Temporarily inadequate (4-7) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Continuously inadequate $(0-3)$ | Reduced | 5.0 | 5.0 | 5.0 | 5.0 |
| Time of day | Day-time (6–10) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Night-time (0–5) | Reduced | 1.2 | 1.2 | 1.2 | 1.2 |
| Adequacy of Training | Adequate, high experience (8-10) | Improved | 0.8 | 0.5 | 0.5 | 0.8 |
| | Adequate, low experience (4-7) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Inadequate (0-3) | Reduced | 2.0 | 5.0 | 5.0 | 2.0 |
| Crew collaboration quality | Very efficient (9–10) | Improved | 0.5 | 0.5 | 0.5 | 0.5 |
| * • | Efficient (6–8) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Inefficient (3–5) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 |
| | Deficient (0–2) | Reduced | 2.0 | 2.0 | 2.0 | 5.0 |

Table 5

Generic cognitive function failures.

| Cognitive Function | Generic failure typ | be | Basic values |
|--------------------|---------------------|------------------------|--------------|
| Observation | 01 | Wrong object observed | 0.001 |
| | 02 | Wrong identification | 0.007 |
| | 03 | Observation not made | 0.007 |
| Interpretation | I1 | Faulty diagnosis | 0.02 |
| - | 12 | Decision error | 0.01 |
| | 13 | Delayed interpretation | 0.01 |
| Planning | P1 | Priority error | 0.01 |
| C C | P2 | Inadequate plan | 0.01 |
| Execution | E1 | Action of wrong type | 0.003 |
| | E2 | Action at wrong time | 0.003 |
| | E3 | Action on wrong object | 0.0005 |
| | E4 | Action out of sequence | 0.003 |
| | E5 | Missed action | 0.003 |

2.1.3.2. Psychological test. With respect to personality evaluation, a generally stable psychological trait needing consistency, stability, and individuality was required. Therefore, behaviour in several domains of life and work might be applied to predict personality evaluation [44]. In this study, Cattell's 'Sixteen Personality Factors' (16 PF) questionnaire was utilized to perform the personality evaluation experiment. The 16 PF questionnaire is regarded as one of the most significant psychological scales in the world, containing both adequate reliability and validity [45]. There were 185 items on the scale that assessed 16 dimensions. In accordance with the criteria [46], a subject who attained high perfectionism tended to pay more attention to details than one who scored low on perfectionism. People attaining high rule-consciousness tended to be thorough, while a subject who scored low on rule-consciousness would often make mistakes in operations, which could lead to accidents. And people with low tenseness tended to be patient. Therefore, perfectionism, rule-consciousness and Tenseness could be treated as indicators to measure carefulness, compliance and patience. By employing psychological test software (HUA XIN KE JIA Ltd, China), this article measured the scores and evaluation findings of 16 PF. The maximum time to finish the 16 PFs was half an hour.

2.1.3.3. Rating of the test results. Similar to the CPCs and the PSFs, both needed to be rated to determine the multipliers. The test results of HIFs also needed to be rated to determine the factor that corrected error probability. In this research, the rating of the test

| Table 6 | |
|---------------------------|---|
| PSFs for the diagnosis ta | a |

| PSFs | PSFs Levels | Multiplier for Diagnosis |
|---------------------|-----------------------------|--------------------------|
| Available Time | Inadequate time | HEP = 1.0 |
| | Barely adequate time | 10 |
| | Nominal time | 1 |
| | Extra time | 0.1 |
| | Expansive time | 0.01 |
| Stress/Stressors | Extreme | 5 |
| | High | 2 |
| | Nominal | 1 |
| Complexity | Highly complex | 5 |
| | Moderately complex | 2 |
| | Nominal | 1 |
| | Obvious diagnosis | 0.1 |
| Experience/Training | Low | 10 |
| | Nominal | 1 |
| | High | 0.5 |
| Procedures | Not available | 50 |
| | Incomplete | 20 |
| | Available, but poor | 5 |
| | Nominal | 1 |
| | Diagnostic/symptom oriented | 0.5 |
| Ergonomics/HMI | Missing/Misleading | 50 |
| | Poor | 10 |
| | Nominal | 1 |
| | Good | 0.5 |
| Fitness for Duty | Unfit | HEP = 1.0 |
| - | Degraded Fitness | 5 |
| | Nominal | 1 |
| Work Processes | Poor | 2 |
| | Nominal | 1 |
| | Good | 0.8 |

| PSFs | for | the | action | tasks. |
|------|-----|-----|--------|--------|
| | | | | |

| PSFs | PSFs Levels | Multiplier for Action |
|---------------------|----------------------|-----------------------|
| Available Time | Inadequate time | HEP = 1.0 |
| | Barely adequate time | 10 |
| | Nominal time | 1 |
| | Extra time | 0.1 |
| | Expansive time | 0.01 |
| Stress/Stressors | Extreme | 5 |
| | High | 2 |
| | Nominal | 1 |
| Complexity | Highly complex | 5 |
| | Moderately complex | 2 |
| | Nominal | 1 |
| Experience/Training | Low | 3 |
| r of other | Nominal | 1 |
| | High | 0.5 |
| Procedures | Not available | 50 |
| | Incomplete | 20 |
| | Available, but poor | 5 |
| | Nominal | 1 |
| Ergonomics/HMI | Missing/Misleading | 50 |
| 0 | Poor | 10 |
| | Nominal | 1 |
| | Good | 0.5 |
| Fitness for Duty | Unfit | HEP = 1.0 |
| - | Degraded Fitness | 5 |
| | Nominal | 1 |
| Work Processes | Poor | 5 |
| | Nominal | 1 |
| | Good | 0.5 |

results was based on sample data. Nearly 1400 subjects were collected for the sample data, including 1035 front-line workers from industrial enterprises, along with 350 doctors, masters' students and undergraduates from local universities. The Statistical Package for the Social Sciences (SPSS v22.0) was used to analyse the test data. Subjects' test results were evaluated by using a systematic cluster analysis approach. The data recording the shortest measurement were classified into one class through computing the Euclidean metric of the data that were separated into 3 groups. Test results were finally assessed as: poor, medium, or. good.

3. Results

The functioning of high-pressure reactors in university laboratories would involve the management of pressure vessels, which could be highly dangerous. Accidents caused through the control of high-pressure reactors are frequent. Therefore, in this paper, high pressure reactor operations in a university laboratory were taken as an example to conduct human reliability research. The laboratory operators involved PHDs, postgraduates and undergraduates.

3.1. Construct event sequence

The sub-tasks, elementary actions and event sequences involving high-pressure reactor operations were analyzed by employing the HTA method, as shown in Table 8.

3.2. The evaluation results of CPCs, PSFs and HIFs

CPCs and PSFs were evaluated through an on-site assessment of experimental sites, with reference to laboratory management systems, observation of students' experiments, and communication with students. It was found that the laboratories under investigation were not equipped with safety management personnel, safety management systems were not comprehensive enough, no operating procedures were formulated for relevant operations, including no systematic safety training being conducted for students, under high academic pressure. The evaluation results of CPCs and PSFs and their corresponding weight factors were illustrated in Table 9 and Table 10, as follows.

The test of HIFs were conducted with 23 students, who were required to operate a high-pressure reactor daily, with patience, carefulness, hand and arm co-ordination, and responsibility of the subjects being evaluated. The assessment results have been presented in Table 11.

3.3. Identify likely cognitive function failures to calculate HEP by utilizing CREAM and SPAR-H

With respect to the evaluation results of CPCs and HIFs, the likely cognitive function failures in high-pressure reactor operations were identified, as indicated in Table 12. Further, in accordance with analysis steps of SPAR-H, elementary actions regarding highpressure reactor operations as diagnostic and/or action were categorized.

Taking the HEP calculation of Subject 1 as an example, weight factors of HIFs for CREAM and SPAR-H have been provided in Table 13 and Table 14. Table 15 illustrated modifications relating to Cognitive failure probability under the influence of CPCs, PSFs and HIFs. Formula (4) and formula (5) concerning the adjusted CFP for CREAM and adjusted HEP for SPAR-H were as follows:

| $CFP_{adjusted} = CFP_{basic} \times CPCs_{total weight factor} \times HIFs_{total weight factor}$ | (4) |
|--|-----|
| HEP adjusted = HEP basic \times PSFs total weight factor \times HIFs total weight factor | (5) |

HEP $_{adjusted} = HEP _{basic} \times PSFs _{total weight factor} \times HIFs _{total weight factor}$

Once the HEP for each elementary action was obtained, the final step was to incorporate the HEP relating to each elementary action into a hierarchical task analysis shown in Table 8 to attain the HEP for sub-tasks by considering the dependency of elementary actions,

| Table | 8 |
|-------|---|
|-------|---|

| High-pressure reactor operat | tion steps. | |
|------------------------------|----------------------|---|
| Event sequence | Sub-tasks | Elementary Action |
| 1.1 | Preparation | Prepare the experimental tools |
| 1.2 | | Examine the Electrical circuit |
| 1.3 | | Inspect the Kettle mouth gasket |
| 2.1 | Gas-tight test | Tighten the reactor cover |
| 2.2 | | Add the nitrogen |
| 2.3 | | Decompress |
| 3.1 | Experimental process | Charge |
| 3.2 | | Inspect the reaction equipment and facility |
| 3.3 | | Turn on magnetic agitating and cooling system |
| 3.4 | | Heat up the reactor |
| 3.5 | | Observe the response |
| 3.6 | | Turn off the power |
| 3.7 | | Reclaimer |
| 4.1 | Cleaning | Clean the Reactor |

The impact on reliability and weight factors for CPCs.

| CPC | Level | Impact on reliability | Cognitive function | | | | |
|---|---------------------------|-----------------------|--------------------|-----|------|-----|--|
| | | | OBS | INT | PLAN | EXE | |
| Adequacy of organisation | Deficient | Reduced | 1.0 | 1.0 | 2.0 | 2.0 | |
| Working Conditions | Compatible | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | |
| Adequacy of MMI and operational support | Adequate | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | |
| Availability of procedures/plans | Inappropriate | Reduced | 2.0 | 1.0 | 5.0 | 2.0 | |
| Number of simultaneous goals | Matching current capacity | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | |
| Available time | Adequate | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | |
| Time of day | Day-time | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | |
| Adequacy of Training | Inadequate (0–3) | Reduced | 2.0 | 5.0 | 5.0 | 2.0 | |
| Crew collaboration quality | Efficient (6–8) | Not significant | 1.0 | 1.0 | 1.0 | 1.0 | |
| Total weight factor for the CPCs | | | 4.0 | 5.0 | 50.0 | 8.0 | |

Table 10

PSFs for the diagnostic and action tasks.

| PSFs | PSFs Levels | Multiplier for Diagnosis | Multiplier for Action |
|----------------------------------|--------------------|--------------------------|-----------------------|
| Available Time | Nominal time | 1 | 1 |
| Stress/Stressors | High | 2 | 2 |
| Complexity | Moderately complex | 2 | 2 |
| Experience/Training | Low | 10 | 3 |
| Procedures | Incomplete | 20 | 20 |
| Ergonomics/HMI | Nominal | 1 | 1 |
| Fitness for Duty | Nominal | 1 | 1 |
| Work Processes | Nominal | 1 | 1 |
| Total weight factor for the PSFs | | 800 | 240 |

Table 11

HIFs' evaluation of participants.

| HIFs | Patience | Responsibility | Carefulness | Hand and arm co-ordination | Total weight factor for HIFs |
|------------|----------|----------------|-------------|----------------------------|------------------------------|
| No. | Level | Level | Level | Level | |
| Subject 1 | Good | Good | Medium | Medium | 0.25 |
| Subject 2 | Medium | Medium | Good | Medium | 0.5 |
| Subject 3 | Medium | Poor | Poor | Good | 2 |
| Subject 4 | Poor | Medium | Poor | Medium | 4 |
| Subject 5 | Medium | Poor | Poor | Medium | 4 |
| Subject 6 | Medium | Poor | Medium | Good | 1 |
| Subject 7 | Medium | Good | Medium | Good | 0.25 |
| Subject 8 | Good | Poor | Poor | Good | 1 |
| Subject 9 | Good | Medium | Good | Medium | 0.25 |
| Subject 10 | Medium | Medium | Good | Medium | 0.5 |
| Subject 11 | Medium | Medium | Medium | Medium | 1 |
| Subject 12 | Medium | Poor | Poor | Medium | 4 |
| Subject 13 | Good | Good | Good | Poor | 0.25 |
| Subject 14 | Good | Good | Good | Good | 0.0625 |
| Subject 15 | Medium | Medium | Poor | Medium | 2 |
| Subject 16 | Good | Good | Good | Good | 0.0625 |
| Subject 17 | Poor | Medium | Medium | Good | 1 |
| Subject 18 | Good | Medium | Medium | Poor | 1 |
| Subject 19 | Medium | Good | Poor | Good | 0.5 |
| Subject 20 | Good | Medium | Good | Good | 0.125 |
| Subject 21 | Good | Medium | Poor | Good | 0.5 |
| Subject 22 | Good | Good | Medium | Medium | 0.25 |
| Subject 23 | Poor | Medium | Poor | Medium | 4 |

in order for the total HEP to be calculated for the complete task. It was necessary to formulate rules. The study used formulae (6), formulae (7), formulae (8), formulae (9), formulae (10), formulae (11) and formulae (12) presented in Table 16 [43,47]. With reference to Table 16, a high-pressure reactor operation comprised four sub-tasks, with each sub-task containing elementary actions. A reliability block diagram for each sub-task was constructed, as shown in Fig. 2. A sub-task preparation consisted of three elementary actions, that comprised separate processes in order that each elementary action was not influenced by whether other actions were successful or not, which revealed that there was low dependency among elementary actions for sub-task preparations. The condition for the sub-task gas-tight test was similar to sub-task preparation. For the sub-task experimental process, elementary actions 3.1, 3.2,

| The 13 | roler o | ~ ~~ iti | from ation | failuman | dereitara | TICh | | | amanation | atoma |
|--------|---------|----------|------------|----------|-----------|-------|----------|---------|-----------|--------|
| The m | kely c | ogmuve | runction | lanures | auring | HIGU- | pressure | reactor | operation | steps. |

| Event | Elementary Actions | Cognitive | CREAM | | | | | | | SPAR-H | | |
|----------|--|-----------|--------------------|----|------------------|----|----|----|------------|--------|---|---|
| sequence | | activity | Observation errors | | Execution errors | | | | Diagnostic | Action | | |
| | | 01 | 02 | 03 | E1 | E2 | E3 | E4 | E5 | | | |
| 1.1 | Prepare the experimental tools | Execute | | | | | | ٠ | | | | ٠ |
| 1.2 | Examine the Electrical circuit | Execute | | | | | | | | • | • | |
| 1.3 | Inspect the Kettle mouth gasket | Execute | | | | | | | | • | • | |
| 2.1 | Tighten the reactor cover | Execute | | | | ٠ | | | | | | • |
| 2.2 | Adding the nitrogen | Execute | | | | | | • | | | | • |
| 2.3 | Decompress | Execute | | | | • | | | | | | • |
| 3.1 | Charge | Execute | | | | | | • | | | | • |
| 3.2 | Inspect the reaction equipment and facility | Execute | | | | | | | | • | • | |
| 3.3 | Turn on magnetic stirring system and cooling system | Execute | | | | | • | | | | | • |
| 3.4 | Heat up the reactor | Execute | | | | | | ٠ | | | • | |
| 3.5 | Observe the response | Observe | • | | | | | • | | | • | |
| 3.6 | Turn off the power | Execute | • | | | | | | | • | | • |
| 3.7 | Reclaimer | Execute | | | | | • | | | | | • |
| 4.1 | Clean the Reactor | Execute | | | | ٠ | | | | | | • |

3.3, 3.4, 3.5 possessed high dependency in the serial system, configured as R3-1. The success or failure of R3-1 did not affect the Elementary actions 3.6 and 3.7, consequently, 3.6 and 3.7 were configured as R3-2 separately. Finally, total reliability with respect to high-pressure reactor operations could be obtained from the block diagram depicted in Fig. 3. A high-pressure reactor operation would be deemed unsuccessful as any of the sub-tasks failed, consequently, the highest error probability relating to the subtasks was allocated as the error probability for the complete task.

After CFP_i or HEP was calculated, the final operational error probability for the high-pressure reactor operation was attained by employing formulae (13):

$$HEP = 1 - \prod_{i=1}^{n} (1 - CFP_i)$$
(13)

where CFP_i signified a CFP adjustment, with n representing step number for the target operation, the final HEP corresponding to CREAM and SPAR-H for all subjects has been illustrated in Table 17.

3.4. Retrospective analysis

A retrospective method relating to CREAM was employed to analyse the principal causes that might have resulted in accidents. Based on the failure of human cognitive activities, the root causes of human errors were explored by analyzing internal mechanisms together with correlation processes relating to errors. The retrospective analysis constituted the following steps [39].

- 1. **Describe the possible error modes.** Analyzing human error accidents that occurred in laboratories, the error modes could be categorized as: negligence, forgetting, error, and violation, as presented in Table 18.
- 2. Establishment of an antecedent classification Table. With reference to a cause analysis associated with human error accidents that have taken place in laboratories, the factors that might have led to those accidents were divided into four aspects: human, technological, organizational, and environmental. Therefore, the relevant antecedents could be categorized into four types: human related antecedents, technological related antecedents, organizational related antecedents, and environmental related antecedents. Each type of antecedent could be further subdivided into several antecedents. Therefore, the human error antecedent classification Table that applied to laboratories was introduced, as shown in Table 19:
- 3. Establishment of error modes–antecedent, consequence–antecedent traceability table. Based on the human error modes and antecedent classification, combined with the work and request of laboratories, in addition to the investigation relating to accidents, the antecedents of four error modes were determined, as shown in Table 20:

With respect to a specific human error mode, it was considered necessary to trace it to its antecedents. If the antecedents could not be further determined, then it was judged that the specific antecedents were the original source of the problem. Alternatively, tracing would continue assuming the antecedent as a consequence until the root cause had been found. Based on this principle, a consequenceantecedent traceability table suitable for university laboratories was constructed, as shown in Table 21. The antecedents of H7, O4, E1, E2, and E3 could not be determined, therefore, they were the root causes.

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Table 13Weight factors of Subject 1' HIFs for CREAM.

| HIF name | No. | Level | Cognitive function failure for each step | | | | | | | | | | | | | |
|---------------------------------------|-----------|--------|--|------|------|------|------|------|------|------|------|------|------|------|------|-----|
| | | | 1.1 | 1.2 | 1.3 | 2.1 | 2.2 | 2.3 | 3.1 | 3.2 | 3.3 | 3.4 | 3.5 | 3.6 | 3.7 | 4.1 |
| | | | E3 | E5 | E5 | E1 | E3 | E1 | E3 | E5 | E2 | E3 | 01 | E5 | E2 | E1 |
| Patience | Subject 1 | Good | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| Responsibility | | Good | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| Concentration capacity | | Medium | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Arms and hands co-ordination capacity | | Medium | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Total impact of HIFs | | | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.2 |

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Table 14

| Weight factors of Subject 1' HIF | s for SPAR-H. |
|----------------------------------|---------------|
|----------------------------------|---------------|

| HIF name | No. | Level | 1.1 | 1.2 | 1.3 | 2.1 | 2.2 | 2.3 | 3.1 | 3.2 | 3.3 | 3.4 | 3.5 | 3.6 | 3.7 | 4.1 |
|--|---------|--------|--------|-----------|-----------|--------|--------|--------|--------|-----------|--------|-----------|-----------|--------|--------|--------|
| | | | Action | Diagnosis | Diagnosis | Action | Action | Action | Action | Diagnosis | Action | Diagnosis | Diagnosis | Action | Action | Action |
| Patience | Subject | Good | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| Responsibility | 1 | Good | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| Concentration capacity | | Medium | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Arms and hands co-ordination capacity | | Medium | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Total impact of HIFs | | | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 |

The appraisal of Cognitive failure probability of Subject 1.

| Event | Elementary Actions | Likely | CREAM | | | | SPAR-H | | | |
|----------|---|-----------------------------------|----------------|--------------------------------------|--------------------------------------|-----------------|----------------|--------------------------------------|--------------------------------------|-----------------|
| sequence | sequence | cognitive function failures | Basic value | Total weight factor of CPCs | Total weight factor of HIFs | Adjusted CFP | Basic value | Total weight factor of PSFs | Total weight factor of HIFs | Adjusted HEP |
| 1.1 | Prepare the experimental tools | Action on wrong object | 0.0005 | 8.0 | 0.25 | 0.001 | 0.001 | 240 | 0.25 | 0.03 |
| 1.2 | Examine the Electrical circuit | Missed action | 0.003 | 8.0 | 0.25 | 0.006 | 0.01 | 800 | 0.25 | 0.7 |
| 1.3 | Inspect the Kettle mouth gasket | Missed action | 0.003 | 8.0 | 0.25 | 0.006 | 0.01 | 800 | 0.25 | 0.7 |
| 2.1 | Tighten the reactor cover | Action of wrong type | 0.003 | 8.0 | 0.25 | 0.006 | 0.001 | 240 | 0.25 | 0.2 |
| 2.2 | Adding the nitrogen | Action on wrong object | 0.0005 | 8.0 | 0.25 | 0.001 | 0.001 | 240 | 0.25 | 0.2 |
| 2.3 | Decompress | Action of wrong type | 0.003 | 8.0 | 0.25 | 0.006 | 0.001 | 240 | 0.25 | 0.2 |
| 3.1 | Charge | Action on wrong object | 0.0005 | 8.0 | 0.25 | 0.001 | 0.001 | 240 | 0.25 | 0.2 |
| 3.2 | Inspect the reaction equipment and facility | Missed action | 0.003 | 8.0 | 0.25 | 0.006 | 0.01 | 800 | 0.25 | 0.7 |
| 3.3 | Turn on magnetic stirring system and cooling system | Action at wrong time | 0.003 | 8.0 | 0.25 | 0.006 | 0.001 | 240 | 0.25 | 0.2 |
| 3.4 | Heat up the reactor | Action on wrong object | 0.0005 | 8.0 | 0.25 | 0.001 | 0.01 | 800 | 0.25 | 0.7 |
| 3.5 | Observe the response | Wrong object observed | 0.001 | 4.0 | 0.25 | 0.002 | 0.01 | 800 | 0.25 | 0.7 |
| 3.6 | Turn off the power | Missed action | 0.003 | 8.0 | 0.25 | 0.006 | 0.001 | 240 | 0.25 | 0.2 |
| 3.7 | Reclaimer | Action at wrong time | 0.003 | 8.0 | 0.25 | 0.006 | 0.001 | 240 | 0.25 | 0.2 |
| 4.1 | Clean the Reactor | Action of wrong type | 0.003 | 8.0 | 0.25 | 0.006 | 0.001 | 240 | 0.25 | 0.2 |

Table 16

Calculations for the HEP of a task involving fundamental actions.

| Logical relation between elementary actions | Dependency between elementary actions | HEP of the sub-task |
|---|---------------------------------------|--|
| Parallel elementary actions | High dependency | $\text{HEP}_{\text{sub-Task}} = \text{Min} (\text{HEP}_{\text{elementary actioni}})$ (6) |
| | | Or $R_{Task} = Max\{R_{sub-Task i}\}$ (7) |
| | Independent/low dependency | $\text{HEP}_{\text{sub-Task}} = \prod \text{HEP}_{\text{elementary-action I}} (8)$ |
| | | Or $R_{Task} = 1 \cdot \prod (1 \cdot R_{sub-task i})$ (9) |
| Sequential elementary actions | High dependency | $\text{HEP}_{\text{sub-Task}} = \text{Max} (\text{HEP}_{\text{elementary-action }i}) (10)$ |
| | Independent/low dependency | $\text{HEP}_{\text{sub-Task}} = 1 - \prod (1 - \text{HEP}_{\text{elementary-action i}}) \approx \sum \text{HEP}_{\text{elementary-action I}} (11)$ |
| | | Or $R_{Task} = \prod (R_{sub-task i})$ (12) |

4. Discussion

It was found that the HEP calculated by SPAR-H was significantly higher than that calculated by CREAM, as shown in Table 17. Tutors and subject students believed that the HEP calculated by CREAM was more appropriate. Moreover, the fact that there were 21 minor injuries as a result of operating the reactor in this laboratory in 2021 has been recorded in the laboratory's safety management file. With reference to Heinrich's Law, the proportion of major, minor, and no-injury accidents were 1:29:300 based upon a survey of over than 75,000 industrial accident reports [48]. Therefore, it could be calculated that there would be, approximately, 217 unsafe behaviors relating to reactor operations. According to Table 8, the high-pressure reactor operation involved a total of 14 operational behaviors. Approximately, 11,592 operation behaviors in this year's calculation were based on the frequency of each student operating the reactor once a week on average (based on 4 weeks per month and 9 months per year except for winter and summer vacations), thus, the average incidence of unsafe behaviors was approximately 0.02. According to Table 17, the average HEP values of the 23 subjects calculated by SPAR-H and CREAM were 0.384 and 0.062, respectively. Therefore, it could be seen that the HEP calculated by CREAM was more accurate and effective. The reason why the HEP calculated by SPAR-H was significantly higher than that calculated by CREAM could be attributed to the total weight factor for the PSFs because SPAR-H was as high as 800 and 240, while the total weight factor for the CPCs associated with CREAM was 50.

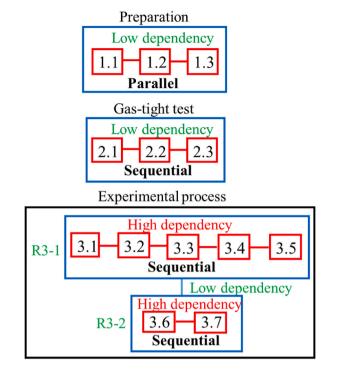


Fig. 2. Fundamental action reliability block diagram of the whole operation.

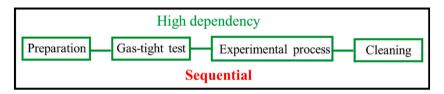


Fig. 3. Sub-task reliability block diagram of the complete operation.

It was seen that there was no significant regularity occurring in the HEP of undergraduates, postgraduates and doctoral students, as shown in Fig. 4. The HEP for undergraduates and postgraduates was as high as 0.196, and that of doctoral students was as high as 0.1. Significantly, the HEP of PHD and postgraduates could be regarded as similar to that of undergraduates, or even higher than that of undergraduates. The HEP for PHD students could also be similar to that of postgraduates, or even higher than that of postgraduates. It could be seen that HEP did not decrease with an increase in educational background, experimental time and experience. It was concluded that under similar conditions of CPCs, the main factors that affected HEP were: patience, responsibility, and carefulness, including other innate HIFs that were difficult to change through acquired factors. These HIF factors possessed no correlation with educational background. The average HEP for PHDs, postgraduates and undergraduates were 0.0366, 0.0466, and 0.113, respectively. The reason behind this trend was that students with higher intrinsic qualities, such as: patience, responsibility, and carefulness, were more inclined to continue to study for a master's degree or a doctoral degree.

In order to investigate which of the CPCs and HIFs exerted a greater impact on the performance of laboratory personnel, the HEP, under the assumption that the combined weight factor for the CPCs was 1 or the combined weight factor for the HIFs was 1, was calculated. The comparison between the two calculation results, and the HEP calculated by CREAM was shown in Table 22. It was found that if the combined weight factor for the CPCs was 1, the average HEP was 0.008, while, if the combined weight factor for an HIF was 1, the HEP was 0.051. Obviously, under the assumption that the combined weight factor for an HIF was 1, HEP was closer to 0.062. It could be seen that the main factors affecting personnels' performance in university laboratories were environmental conditions referred to as CPCs or PSFs. The research results were consistent with the conclusions in the literature [49] where hybrid methods, including the Bayesian network, Human Factors Analysis and Classification System, and Fuzzy set theory, were used to analyse the most factors contributing to Human errors in fires and explosions occurred in laboratories. This differed from those in industrial enterprises. Compared with laboratories, industrial enterprises paid more attention to safety, therefore, more strict safety management systems had been specified, and more resources were made available [50,51]. As a result, industries must be equipped with a safety management department, including full-time safety management personnel. Contextual conditions, commonly, did not exert a negative impact on personnels' reliability. Therefore, HIFs were the main factors that affected personnels' reliability in

| Table 17 | |
|-------------------|-------------------------|
| HEP CREAM and HEP | SPAR-H of the subjects. |

| Number. | Education background | CREAM | SPAR-H |
|-------------|----------------------|----------------------|-----------------------|
| | | HEP _{CREAM} | HEP _{SPAR-F} |
| Subjects 1 | Postgraduate | 0.013 | 0.161 |
| Subjects 2 | Postgraduate | 0.026 | 0.288 |
| Subjects 3 | Postgraduate | 0.1 | 0.308 |
| Subjects 4 | Undergraduate | 0.196 | 0.867 |
| Subjects 5 | Postgraduate | 0.196 | 0.867 |
| Subjects 6 | Postgraduate | 0.051 | 0.524 |
| Subjects 7 | Postgraduate | 0.013 | 0.161 |
| Subjects 8 | PHD | 0.051 | 0.524 |
| Subjects 9 | Postgraduate | 0.013 | 0.161 |
| Subjects 10 | Undergraduate | 0.026 | 0.288 |
| Subjects 11 | Postgraduate | 0.051 | 0.524 |
| Subjects 12 | Undergraduate | 0.196 | 0.867 |
| Subjects 13 | Undergraduate | 0.013 | 0.161 |
| Subjects 14 | PHD | 0.003 | 0.044 |
| Subjects 15 | PHD | 0.1 | 0.308 |
| Subjects 16 | PHD | 0.003 | 0.044 |
| Subjects 17 | Postgraduate | 0.051 | 0.524 |
| Subjects 18 | Undergraduate | 0.051 | 0.524 |
| Subjects 19 | PHD | 0.026 | 0.288 |
| Subjects 20 | Postgraduate | 0.006 | 0.085 |
| Subjects 21 | Postgraduate | 0.026 | 0.288 |
| Subjects 22 | Postgraduate | 0.013 | 0.161 |
| Subjects 23 | Undergraduate | 0.196 | 0.867 |

Table 18

Error modes in laboratories.

| Error modes | Form |
|---------------|---|
| Negligence | Warnings ignored, distractions, temporary disturbance, principally |
| Forgetfulness | Forgetting to operate tasks, missing operations, in particular |
| Error | Error in understanding, error relating to inference, error in identification, and error in operation, in particular |
| Violation | Operations that violated regulations, violation in safety regulations |

industrial enterprises.

5. Conclusion

The purpose of the study was to conduct human reliability research into safety in university laboratories, and to explore phenomenon and influencing factors concerning the frequency of accidents from a new perspective. The study is expected to offer guidance for the prevention involving accidents. This study found that the HEP calculated by improved CREAM was more reliable than that calculated by improved SPAR-H. Unexpectedly, the calculation results revealed that under similar environmental conditions, the HEP for students did not decrease with an increase in educational background, including an increase in experimental time and experience. Moreover, environmental conditions exerted greater impact on personnels' reliability than HIFs in laboratories.

With reference to the retrospective analysis of the principal causes concerning laboratory accidents, suggestions for reducing human errors were proposed as follows: Fundamentally, importance should be attached to safety training, to ensure sufficient training intensity and time, with regular practical training provided, and updated training content appropriately. Relaxing safety training and education for PHD or post-doctoral candidates should be avoided. Furthermore, a good safety cultural environment should be encouraged, critical safety management work from aspects, such as, organization, safety management personnel allocation, and system establishment should be put in place, to avoid the relevant root causes described in Table 21. Finally, students with unsatisfactory HIFs' evaluation should be educated thoroughly. For instance, tutors should strengthen the responsibility education for students with a poor sense of responsibility. The measures that could be taken are as follows: to clarify the safety responsibility of students, to improve their awareness of responsibility by using reasoned arguments, and to cultivate their sense of responsibility under pastoral care. The subjects with poor hand and arm co-ordination capacity should be given one-to-one guidance, and face-to-face teaching. It is believed that the power of education could encourage students to attach increasing importance to safety.

The limitations of this study were: an absence in the research concerning how far human error had been improved. The next step would be to examine about how far human errors had been improved in the laboratory. Moreover, two aspects would be mainly researched. On the one hand, how far environmental factors, such as, organizational factors, and systems had been improved. On the other hand, whether psychological factors could be improved, including and how far psychological factors had been improved would need to be focused on.

Table 19 Human error antecedent classification

| Туре | No. | Name | Meanings |
|--------------|-----|---|--|
| Human | H1 | Error identification | Incorrect recognition of pressure gauge reading. |
| | H2 | Delayed interpretation | Judgment and handling timing for faults, sudden problems, and temporary dangerous delays. |
| | H3 | Decision errors | Incorrect, irrational, and unilateral decisions. |
| | H4 | Diagnosis errors | Incorrect and incomplete judgment concerning experimental status and facility equipment failures. |
| | H5 | Inappropriate or incorrect planning | Inappropriate planning might lead to difficulties in implementation and failure to achieve expected goals |
| | H6 | Poor attitude to work | Laziness, lack of care, taking shortcuts, poor sense of responsibility, poor safety awareness, and poor awareness of rules. |
| | H7 | Cognition preference | Blind self-confidence, subjective speculation, along with further incorrect decisions and cognition. |
| | H8 | Performance Variability | Inadequate training would lead to unstable performance. |
| | H9 | Distraction/inattention | Distractions and lack of concentration would lead to information and signals missing owing to physiological and psychological factors. |
| | H10 | Psychological/ physiological factors | Psychological factors : Patience, Conscientiousness, Responsibility, leadership, Communication and co- operation, principally; physiological factors: anti-fatigue pressure, poor hand and arm co-ordination, concentration, including poor memory, principally. |
| | H11 | Poor skill | Inadequate training in skills and safety procedures might result in inadequate experimental proficiency unfamiliarity with rules and regulations, inability to identify hidden dangers, and inability to avoid visibl hazards. |
| Technology | T1 | Equipment failure | Failure of experimental facilities and equipment, operations' equipment with defects or inability to operate normally; inadequate maintenance and management of equipment, damage to equipment, primarily. |
| | T2 | Infeasible or restricted | Inability to achieve a goal; unable to achieve expected results owing to the influence of the operation; |
| | | Operation | unable to operate smoothly because of inappropriate design. |
| | T3 | Incomplete regulations | Obsolete regulations, unclear and incomplete regulations, and defects in management regulations. |
| Organization | 01 | Ineffective skill training | Inadequate skill training might result in personnel's experimental skills not meeting ideal requirements |
| Organization | 02 | Ineffective knowledge training | Inadequate theoretical knowledge training might lead to knowledge deficiencies among experimental personnel, leaving them vulnerable in the face of unexpected events. |
| | 03 | Ineffective safety education | Insufficient safety education might lead to a poor safety culture atmosphere and personnel safety awareness. |
| | 04 | Management | Flaws in the rules and regulations; inappropriate organizational establishment; lack of strict safety supervision, incomplete execution, principally. |
| | 05 | Unreasonable demands | Excessive task allocation would lead to insufficient resources/time or excessive task demands; tutors have overly strict requirements for students. |
| | 06 | Irregular working | Inadequate work time management; nighttime experiments. |
| Environment | E1 | Poor environment | Adverse environments, such as, thunderstorms, coldness, high temperatures, or earthquakes, principally |
| | E2 | Poor working environment | The workspace is confined; poor air circulation; awful smell. |
| | E3 | Environmental change | Temporary dangerous situations, emergencies, unexpected problems. |

| Table 20 | |
|-------------------------|--|
| Error mode-antecedents. | |

| Error modes | Antecedents |
|---------------|---|
| Negligence | P6,P7,P8,P9,P10,T1,T2,O3,O5,O6,E1 |
| Forgetfulness | P2,P5,P6,P9,P10,T3,O1,O2,O4,O6,E1 |
| Error | P1,P3,P5,P6,P7,P8,P10,P11,T1,T2,T3,O1,O3,E3 |
| Violation | P2,P6,P7,P11,T2,T3,O1,O2,O3,O4,05 |

Data availability statement

Because the research data contained confidential information, it was decided not to deposit the data into a publicly available repository.

Statement

The authors declare that ethical approval was granted for this study by the SiChuan Academy of Safety Science and Technology's ethics committee (SCAKLL [2020]02), and all tests were conducted and published with the written informed consent obtained from the participants.

CRediT authorship contribution statement

Ye He: Writing – original draft, Validation, Methodology, Investigation, Formal analysis, Data curation, Conceptualization, Writing – review & editing. **Nian-Sheng Kuai:** Data curation, Formal analysis, Investigation. **Li-Min Deng:** Writing – review & editing, Writing – original draft, Supervision, Resources, Project administration, Methodology, Funding acquisition, Conceptualization. **Zi-Li Wang:**

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|----|----|----|-----|
|----|----|----|-----|

Consequent-antecedents.

| Consequence | Antecedent | Root cause |
|---|-------------------------|--|
| H1 | P4, P9, P10,T2, O1, E3 | Visual error |
| Error identification | | |
| H2 | P1, P10, T1, T3, O2 | Facility equipment failure, lack of knowledge. |
| Delayed interpretation | | |
| НЗ | P1, P6, P7, P9, P10, O2 | Lack of corresponding knowledge and skills, incomplete consideration, and poor psychological factors. |
| Decision errors | | |
| H4 | P1, P6, P7, P10, T3, | Empiricism, multiple interferences. |
| Diagnosis errors | O1, O2, E3 | |
| H5 | P3, P4, P9, O2, O5 | Identifying incorrect goals, inadequate training, incomplete planning, principally. |
| Inappropriate or incorrect planning | | |
| H6 | P10, T3, O3, O4 | Lack of responsibility, inadequate supervision, high task pressure, and low awareness of safety |
| Poor attitude to work | | responsibility. |
| H8 | T1, O1, O5, O6 | Lack of training, physiological factors, and task changes. |
| Performance Variability | | |
| H9 | P10, T1, T2, T3, E1, | Fatigue, personnel interference, short-term experiments, inability to perform experimental work, in |
| Distraction/inattention | E2, E3 | particular. |
| H10 Psychological/ physiological factors | O2, O5, O6, E1, E2 | Poor patience, conscientiousness, responsibility, communication and co-operation, in particular poor response to tiredness, including poor hand and arm co-ordination, inattention, inadequate reaction ability and memory, principally. |
| H11 | P8, P10, O1, O3 | Short training time, lack of updated training content, and poor attitude to work. |
| Poor skill | | |
| T1 | O4 | Ageing, malfunction, and failure to update experimental equipment in a timely manner. |
| Equipment failure | | |
| T2 | H5, T1 | Inappropriate experimental design, presence of obstacles. |
| Impracticable or restricted Operation | | |
| ТЗ | 04 | The situational conditions exceeded the boundaries of regulations. |
| Incomplete regulations | | |
| 01 | 04 | Lack of practical training, short training time, or insufficient training intensity. |
| Ineffective skill training | | |
| 02 | O4 | The training content had not been updated, there was no training, and the training time was |
| Ineffective knowledge training | | insufficient. |
| O3 | 04 | Tutors did not emphasize clearly on safety awareness, and students did not attach importance to it. |
| Ineffective safety education | | |
| 05 | 04 | Multiple tasks, temporary tasks. |
| Excessive demands | | |
| O6 | 04 | Nighttime experiments |
| Irregular working | | |

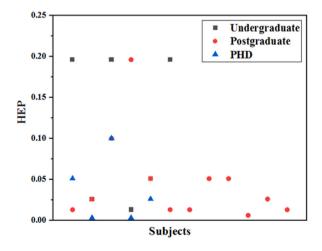


Fig. 4. HEP scatter points relating to the target position of the participants.

The calculation results under assumption.

| No. | Education | Total weight factor for $CPCs = 1$ | Total weight factor for $HIFs = 1$ |
|-------------|---------------|------------------------------------|------------------------------------|
| Subjects 1 | Postgraduate | 0.00162 | 0.051 |
| Subjects 2 | Postgraduate | 0.00325 | |
| Subjects 3 | Postgraduate | 0.013 | |
| Subjects 4 | Undergraduate | 0.0258 | |
| Subjects 5 | Postgraduate | 0.0258 | |
| Subjects 6 | Postgraduate | 0.00649 | |
| Subjects 7 | Postgraduate | 0.00162 | |
| Subjects 8 | PHD | 0.00649 | |
| Subjects 9 | Postgraduate | 0.00162 | |
| Subjects 10 | Undergraduate | 0.00325 | |
| Subjects 11 | Postgraduate | 0.00649 | |
| Subjects 12 | Undergraduate | 0.0258 | |
| Subjects 13 | Undergraduate | 0.00162 | |
| Subjects 14 | PHD | 0.0004 | |
| Subjects 15 | PHD | 0.013 | |
| Subjects 16 | PHD | 0.0004 | |
| Subjects 17 | Postgraduate | 0.00649 | |
| Subjects 18 | Undergraduate | 0.00649 | |
| Subjects 19 | PHD | 0.00325 | |
| Subjects 20 | Postgraduate | 0.0008 | |
| Subjects 21 | Postgraduate | 0.00325 | |
| Subjects 22 | Postgraduate | 0.00162 | |
| Subjects 23 | Undergraduate | 0.0258 | |

Data curation, Formal analysis, Software. Min-Jun Peng: Formal analysis, Data curation.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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